Searches for scalar leptoquarks in pp collisions at $\sqrt{s} = 8$ TeV with the ATLAS detector

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Searches for scalar leptoquarks in \( pp \) collisions at \( \sqrt{s} = 8 \) TeV with the ATLAS detector

ATLAS Collaboration

CERN, 1211 Geneva 23, Switzerland

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Abstract

Searches for pair-produced scalar leptoquarks are performed using 20 \( fb^{-1} \) of proton–proton collision data provided by the LHC and recorded by the ATLAS detector at \( \sqrt{s} = 8 \) TeV. Events with two electrons (muons) and two or more jets in the final state are used to search for first (second)-generation leptoquarks. The results from two previously published ATLAS analyses are interpreted in terms of third-generation leptoquarks decaying to \( b\nu_{\tau}\bar{b}\nu_{\tau} \) and \( t\nu_{\tau}\bar{t}\nu_{\tau} \) final states. No statistically significant excess above the Standard Model expectation is observed in any channel and scalar leptoquarks are excluded at 95 \% CL with masses up to \( m_{LQ1} < 1050 \) GeV for first-generation leptoquarks, \( m_{LQ2} < 1000 \) GeV for second-generation leptoquarks, \( m_{LQ3} < 625 \) GeV for third-generation leptoquarks in the \( b\nu_{\tau}\bar{b}\nu_{\tau} \) channel, and \( 200 < m_{LQ3} < 640 \) GeV in the \( t\nu_{\tau}\bar{t}\nu_{\tau} \) channel.

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1 Introduction

Leptoquarks (LQ) are predicted by many extensions of the Standard Model (SM) \([1–7]\) and may provide an explanation for the many observed similarities between the quark and lepton sectors of the SM. LQs are colour-triplet bosons with fractional electric charge. They carry non-zero values of both baryon and lepton number \([8]\). They can be either scalar or vector bosons and are expected to decay directly to lepton–quark pairs (where the lepton can be either charged or neutral).

The coupling strength between scalar LQs and the lepton–quark pairs depends on a single Yukawa coupling, termed \( \lambda_{LQ\rightarrow\ell q} \). The additional magnetic moment and electric quadrupole moment interactions of vector LQs are governed by two coupling constants \([9]\). The coupling constants for both the scalar and vector LQs, and the branching fraction of the LQ decay into a quark and a charged lepton, \( \beta \), are model dependent. The production cross-section and couplings of vector LQs are enhanced relative to the contribution of scalar LQs, although the acceptance is expected to be similar in both cases. This analysis considers the simpler scenario of scalar LQ pair-production, for which the form of the interaction is known and which provides more conservative limits on LQ pair-production than for vector LQ pair-production.

In proton–proton collisions, LQs can be produced singly and in pairs. The production of single LQs, which happens at hadron colliders in association with a lepton, depends directly on the unknown Yukawa coupling \( \lambda_{LQ\rightarrow\ell q} \). However, LQ...
pair-production is not sensitive to the value of the coupling. In $pp$ collisions with a centre-of-mass energy $\sqrt{s} = 8$ TeV, the dominant pair-production mechanism for LQ masses below $\sim 1$ TeV is gluon fusion, while the $qq$-annihilation production process becomes increasingly important with increasing LQ mass.

The minimal Buchmüller–Rückl–Wyler model (mBRW) [10] is used as a benchmark model for scalar LQ production. It postulates additional constraints on the LQ properties, namely that the couplings have to be purely chiral, and makes the assumption that LQs are grouped into three families (first, second and third-generation) that couple only to leptons and quarks within the same generation. The latter requirement excludes the possibility of flavour-changing neutral currents (FCNC) [11], which have not been observed to date.

Previous searches for pair-produced LQs have been performed by the ATLAS Collaboration with 1.03 fb$^{-1}$ of data collected at $\sqrt{s} = 7$ TeV, excluding at 95% confidence level (CL) the existence of scalar LQs with masses below 660 (607) GeV for first-generation LQs at $\beta = 1$ (0.5) [12] and 685 (594) GeV for second-generation LQs at $\beta = 1$ (0.5) [13]. The CMS Collaboration excluded at 95% CL the existence of scalar LQs with masses below 830 (640) GeV for first-generation LQs at $\beta = 1$ (0.5) and 840 (650) GeV for second-generation LQs at $\beta = 1$ (0.5) with 5.0 fb$^{-1}$ of data collected at $\sqrt{s} = 7$ TeV [14].

Pair-produced third-generation scalar LQs decaying to $b\nu_t\bar{\nu_t}$ have been excluded by the CMS Collaboration for masses below 700 GeV at $\beta = 0$, and for masses below 560 GeV over the full $\beta$ range using 19.7 fb$^{-1}$ of data collected at $\sqrt{s} = 8$ TeV [15]. Third-generation scalar LQs have been excluded in the $b\tau^+\bar{\nu}_\tau$ channel at $\beta = 1$ for masses up to 740 GeV by the CMS Collaboration using 19.7 fb$^{-1}$ of data collected at $\sqrt{s} = 8$ TeV [16], and by the ATLAS Collaboration at $\beta = 1$ for masses up to 534 GeV using 4.7 fb$^{-1}$ of data collected at $\sqrt{s} = 7$ TeV [17]. The CMS Collaboration also excluded third-generation scalar LQs in the $t\tau^-\bar{\nu}_\tau$ channel at $\beta = 1$ for masses up to 685 GeV using 19.7 fb$^{-1}$ of data collected at $\sqrt{s} = 8$ TeV [15].

In this paper, searches for pair-produced first- and second-generation scalar LQs (LQ1 and LQ2, respectively) are performed by selecting events with two electrons or muons plus two jets in the final state (denoted by $eejj$ and $\mu\mujj$, respectively). In addition, limits are placed on pair-produced third-generation scalar LQs (LQ3) by reinterpreting ATLAS searches for supersymmetry (SUSY) in two different channels [18,19]. LQ production and decay mechanisms can be similar to those of stop quarks ($\tilde{t}$) and sbottom quarks ($\tilde{b}$). For example, $\tilde{t}\tilde{t} \rightarrow t\bar{t} \chi^0 \chi^0$ gives the same event topology as $\text{LQ3}\text{LQ3} \rightarrow t\nu_t\bar{\nu_t}$, in the limit where the neutralino ($\chi^0$) is massless. Two ATLAS analyses optimised for these SUSY processes are therefore used to set limits on the equivalent LQ decay processes: LQ3 $\text{LQ3} \rightarrow b\nu_t\bar{\nu_t}$ and LQ3 $\text{LQ3} \rightarrow t\nu_t\bar{\nu_t}$. The results for each LQ3 channel cannot be combined since the parent LQs have different electric charges in the two cases ($-\frac{1}{2}e$ for the LQ3 $\text{LQ3} \rightarrow b\nu_t\bar{\nu_t}$ channel and $\frac{1}{2}e$ for the LQ3 $\text{LQ3} \rightarrow t\nu_t\bar{\nu_t}$ channel, where $e$ is the elementary electric charge). The branching fractions of LQ3 decays to $b\nu_t$ and $t\nu_t$ are assumed to be equal to 100% in each case. Although complementary decays of a charge $-\frac{1}{2}e$ (2e) LQ into a $t\tau^-\bar{\nu}_\tau$ ($b\tau^+\bar{\nu}_\tau$) final state are also allowed, kinematic suppression factors which favour LQ decays to $b$-quarks over $t$-quarks and the relative strengths of the Yukawa couplings would have to be considered. Since these suppression factors are model dependent, limits are not provided as a function of $\beta$ for the LQ3 channels.

2 The ATLAS detector

The ATLAS experiment [20] is a multi-purpose detector with a forward–backward symmetric cylindrical geometry and nearly 4π coverage in solid angle. The three major sub-components of ATLAS are the tracking detector, the calorimeter and the muon spectrometer. Charged-particle tracks and vertices are reconstructed by the inner detector (ID) tracking system, comprising silicon pixel and microstrip detectors covering the pseudorapidity $|\eta| < 2.5$, and a straw tube tracker that covers $|\eta| < 2.0$. The ID is immersed in a homogeneous 2 T magnetic field provided by a solenoid. Electron, photon and tau energies are measured with sampling calorimeters. The ATLAS calorimeter system covers a pseudorapidity range of $|\eta| < 4.9$. Within the region $|\eta| < 3.2$, electromagnetic calorimetry is provided by barrel and endcap high-granularity lead/liquid argon (LAr) calorimeters, with an additional thin LAr presampler covering $|\eta| < 1.8$, to correct for energy loss in material upstream of the calorimeters. Hadronic calorimetry is provided by a steel/scintillator-tile calorimeter, segmented into three barrel structures within $|\eta| < 1.7$, and two copper/LAr hadronic endcap calorimeters. The forward region ($3.1 < |\eta| < 4.9$) is instrumented by a LAr calorimeter with copper (electromagnetic) and tungsten (hadronic) absorbers. Surrounding the calorimeters is a muon spectrometer (MS) with air-core toroids, a system of precision tracking chambers providing coverage over $|\eta| < 2.7$, and detectors with triggering capabilities over $|\eta| < 2.4$ to

\footnote{ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the $z$-axis along the beam pipe. The $x$-axis points from the IP to the centre of the LHC ring, and the $y$-axis points upward. Cylindrical coordinates ($r, \phi$) are used in the transverse plane, $\phi$ being the azimuthal angle around the beam pipe. The pseudorapidity is defined in terms of the polar angle $\theta$ as $\eta = -\ln \tan(\theta/2)$.}
provide precise muon identification and momentum measurements.

3 Data and Monte Carlo samples

The results presented here are based on proton–proton collision data at a centre-of-mass energy of $\sqrt{s} = 8\text{ TeV}$, collected by the ATLAS detector at the LHC during 2012. Data samples corresponding to an integrated luminosity of 20.3 fb$^{-1}$ are used by all channels except for the LQ3 $\ell\ell\nu\nu$ analysis which uses 20.1 fb$^{-1}$ because of requirements made by the trigger used in the analysis.

Simulated signal events of pair-produced scalar LQs decaying to $e^+e^-q\bar{q}$, $\mu^+\mu^-q\bar{q}$, $\ell\nu\ell\nu$, and $b\bar{b}b\bar{b}$ final states are produced using the PYTHIA 8.160 [21] event generator with CTEQ6L1 [22] parton distribution functions (PDFs). The coupling $\lambda_{LQ}$ which determines the LQ lifetime and width [23] is set to $\sqrt{0.01 \times 4\pi\alpha}$, where $\alpha$ is the fine-structure constant. This value gives the LQ a full width of less than 100 MeV, which is much smaller than the detector resolution. For LQ masses in the ranges considered here (200 GeV $\leq m_{LQ} \leq 1300$ GeV, in steps of 50 GeV), the value of the coupling used is such that the LQs can be considered to decay promptly. The production cross-section of pair-produced LQs is assumed to be independent of the coupling strength. The signal process is normalised to the expected next-to-leading-order (NLO) cross-sections for scalar LQ pair-production [24]. The signal production cross-section is 23.5 fb for a LQ mass of 600 GeV, and 0.40 fb for a 1 TeV LQ and is the same for each generation.

3.1 Monte Carlo for background predictions

The Monte Carlo (MC) samples used to estimate the contributions from SM backgrounds to the LQ1 and LQ2 searches are discussed here. Details about the MC models used for estimating backgrounds in the LQ3 searches are available in Refs. [18] (for the $b\nu\bar{b}\bar{\nu}$ channel) and [19] (for the $t\nu\bar{t}\bar{\nu}$ channel).

The MC samples used to model the $Z/\gamma^* +$jets background with a dilepton invariant mass ($m_{\ell\ell}$) less than 120 GeV and high-mass Drell–Yan backgrounds ($m_{\ell\ell} \geq 120$ GeV) are generated with SHERPA 1.4.1 [25]. The high-mass Drell–Yan samples are generated assuming massive $c$- and $b$-quarks instead of the conventional massless treatment.

Samples of $t\bar{t}$ events are produced with POWHEG box [26,27] interfaced with PYTHIA 6. MC samples representing the $WW$, $WZ$, and $ZZ$ diboson decays are generated with HERWIG 6.52 [28] and use the AUET2 [29] values for the tunable parameters (the ‘AUET2 MC tune’). Samples of single-top-quark events in the $Wt$ and $s$-channel are generated with MC@NLO 4.01 [30,31] and the AUET2 MC tune, while the $t$-channel samples are generated with AcerMC 3.8 [32] interfaced with PYTHIA 8 and use the AUET2B [33] MC tune. The hadronisation and parton showering of the samples produced with MC@NLO are done using HERWIG 6.52 coupled to JIMMY 4.3.1 [34]. The $W+\text{jets}$ samples are produced with ALPGEN 2.14 interfaced with JIMMY 4.3.1, also with the AUET2 MC tune applied. The choice of PDFs used to produce the MC simulated samples is generator dependent: AcerMC, PYTHIA, HERWIG and ALPGEN use CTEQ6L1, while MC@NLO uses CT10 [35]. For all samples, the detector response is modelled [36] using GEANT4 [37], except for the Drell–Yan background samples, which use a fast detector simulation where the calorimeter response is parameterised. The differences between fast and full simulation in terms of kinematic spectra and modelling of relevant objects are evaluated to be negligible.

The cross-sections of background processes used in the analysis are taken from theoretical predictions. Single-top production cross-sections in the $s$-channel [38], $t$-channel [39], and in associated production with a $W$ boson [40], are calculated to NLO+NNLL accuracy. $W+\text{jets}$ and $Z \rightarrow \ell\ell$ cross-sections with NNLO accuracy are used [41]. The cross-sections for $WW$, $WZ$, and $ZZ$ processes are calculated at NLO [42,43]. The theoretical cross-section for $WW$ production is scaled by a factor 1.2 and the uncertainty is increased by an extra 20%, in order to take into account the ATLAS and CMS measurements [44,45], which showed an excess in data at the level of 20% (see Refs. [46,47] for more discussion about possible causes of the excess).

For the $Z/\gamma^* +$jets and $t\bar{t}$ backgrounds, LO and NLO cross-sections, respectively are used. These backgrounds are constrained using two control regions (CRs), as described in Sect. 4.5.

4 Searches for first- and second-generation LQs

The first- and second-generation analyses exploit similarities in the final states and use common search strategies to select dilepton plus dijet final states. Control regions are used to constrain estimates of the dominant backgrounds to the data. A set of discriminating variables is used to define signal regions (SRs) that are used for a counting analysis.

4.1 Trigger and data collection

Selected data events are required to have all relevant components of the ATLAS detector in good working condition. For the LQ1 ($eejj$) analysis, the trigger requires at least two electromagnetic calorimeter clusters, defined as energy deposits in the cells of the electromagnetic calorimeter. The leading cluster is required to have transverse momentum $p_T > 35\text{ GeV}$
and the sub-leading one $p_T > 25$ GeV. This trigger selects electrons without imposing any requirement on the isolation and this allows a data-driven estimate of the background contribution from jets in the final state that pass the electron selection, as described in detail in Ref. [48]. The trigger is 98% efficient with respect to the offline selection, which requires $p_T$ above 40 (30) GeV for the leading (sub-leading) electron.

For the LQ2 ($\mu\mu jj$) analysis, events are selected from data using a trigger which requires the presence of at least one muon candidate in the event with $p_T$ above 36 GeV. This trigger is fully efficient relative to the offline selection for muons with $p_T$ above 40 GeV [49].

4.2 Object selection

Electrons are selected and identified by imposing requirements on the shape of the cluster of energy deposits in the calorimeter, as well as on the quality of the track, and on the track-to-cluster matching. The identification efficiency is on average 85% [50]. Electron candidates must have transverse energy $E_T > 30$ GeV and $|\eta| < 2.47$. Electron candidates associated with clusters in the transition region between the barrel and endcap calorimeters (1.37 < $|\eta|$ < 1.52) are excluded. All electrons are required to be reconstructed with cluster-based or combined cluster- and track-based algorithms and to satisfy calorimeter quality criteria. Requirements are made on the transverse ($|d_0|$) and longitudinal ($|z_0|$) impact parameters of the electron relative to the primary vertex and must satisfy $|d_0| < 1$ mm and $|z_0| < 5$ mm. In addition, electrons are required to be isolated by imposing requirements on the $E_T^{\Delta R<0.2}$ measured in the calorimeter within a cone of size $\Delta R = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2} = 0.2$ around the electron cluster excluding the electron cluster energy, and corrected to account for leakage (i.e. energy deposited by the electron outside of the cluster) and the average number of proton–proton interactions per bunch-crossing. The isolation requirements are optimised for high-$p_T$ electrons following the strategy in Ref. [48]. The leading electron is required to have $E_T^{\Delta R<0.2} < 0.007 \times E_T + 5$ GeV, and the sub-leading electron is required to have $E_T^{\Delta R<0.2} < 0.022 \times E_T + 6$ GeV.

Muon tracks are reconstructed independently in the ID and the MS. Tracks are required to have a minimum number of hits in each system, and must be compatible in terms of geometrical and momentum matching. In particular, in order to prevent mis-measurements at high $p_T$, muons are required to have hits in all three MS stations, as described in Ref. [48]. In order to increase the muon identification efficiency, when one muon in the event satisfies the three-stations requirement, the criteria for the second muon in the event are relaxed to require hits in only two MS stations. Information from both the ID and MS is used in a combined fit to refine the measurement of the momentum of each muon [51]. Muon candidates are required to have $p_T > 40$ GeV, $|\eta| < 2.4$, $|d_0| < 0.2$ mm and $|z_0| < 1.0$ mm. Muons must also pass a relative-isolation requirement $p_T^{\Delta R<0.2}/p_T < 0.2$, where $p_T^{\Delta R<0.2}$ is the sum of the transverse momenta of all the tracks with $p_T$ above 1 GeV (except for the muon track) within a cone of $\Delta R < 0.2$ around the muon track, and $p_T$ is the transverse momentum of the muon.

Jets are reconstructed from clusters of energy deposits detected in the calorimeter using the anti-$k_t$ algorithm [52] with a radius parameter $R = 0.4$ [53]. They are calibrated using energy- and $\eta$-dependent correction factors derived from simulation and with residual corrections from in-situ measurements. The jets used in the analysis must satisfy $p_T > 30$ GeV and $|\eta| < 2.8$. Jets reconstructed within a cone of $\Delta R = 0.4$ around a selected electron or muon are removed. Additional jet quality criteria are also applied to remove fake jets caused by detector effects. A detailed description of the jet energy scale measurement and its systematic uncertainties is given in Ref. [54].

4.3 Event pre-selection

Multiple $pp$ interactions during bunch-crossings (pile-up) can give rise to multiple reconstructed vertices in events. The primary vertex of the event, from which the leptons are required to originate, is defined as the one with the largest sum of squared transverse momenta of its associated tracks. Events are selected if they contain a primary vertex with at least three associated tracks satisfying $p_T,\text{track} > 0.4$ GeV.

MC events are corrected to better describe the data by applying a per-event weight to match the distribution of the average number of primary vertices observed in data. A weighting factor is also applied in order to improve the modelling of the vertex position in $z$. Scale factors are applied to account for differences in lepton identification and selection efficiency between data and MC simulation. The scale factors depend on the lepton kinematics and are described in detail in Ref. [51] for muons, and in Ref. [55] for electrons. The energy and momentum of the selected physics objects are corrected to account for the resolution and scale measured in data, as described in Ref. [51] for muons, in Ref. [55] for electrons and in Ref. [54] for jets.

Events are selected in the $eejj$ channel if they contain exactly two electrons with $p_T > 40$ (30) GeV for the leading (sub-leading) electron and at least two jets with $p_T > 30$ GeV. For the $\mu \mu jj$ channel, events are selected if they contain exactly two muons with $p_T > 40$ GeV and opposite-sign charge, and at least two jets with $p_T > 30$ GeV. No requirements are placed on the charges of the electron candidates due to inefficiencies in determining the charge of high-$p_T$ tracks.
associated with electrons. These sets of requirements form the basic event ‘pre-selection’ for the analyses, which is used to build the control and signal regions discussed in the following sections.

4.4 Signal regions

After applying the event pre-selection requirements, a set of signal regions is defined using additional kinematic variables in order to discriminate LQ signals from SM background processes and to enhance the signal-to-background ratio. The variables used are:

- \( m_{\ell\ell} \): The dilepton invariant mass.
- \( S_T \): The scalar sum of the transverse momenta of the two leading leptons and the two leading jets.
- \( m_{\text{LQ}}^{\min} \): The lowest reconstructed LQ mass in the event.
- \( m_{\text{LQ}}^{\min} \): The reconstructed masses of the two LQ candidates in the event (\( m_{\text{LQ}}^{\min} \) and \( m_{\text{LQ}}^{\max} \)) are defined as the invariant masses of the two lepton–jet pairs with the smallest difference (and \( m_{\text{LQ}}^{\min} < m_{\text{LQ}}^{\max} \)).

Signal regions are determined by optimising the statistical significance as defined in Ref. [56]. The optimisation procedure is performed in a three-dimensional space constructed by \( m_{\ell\ell} \), \( S_T \) and \( m_{\text{LQ}}^{\min} \) for each of the signal mass points. Several adjacent mass points may be grouped into a single SR. The signal acceptance of the selection requirements is estimated to be \( \approx 50 \% \) in the \( \mu\mu jj \) channel and between 65 and 80 \% in the \( eejj \) channel (assuming \( \beta = 1.0 \)). The difference is due to tighter quality selection requirements in the \( \mu\mu jj \) channel used to prevent muon mis-measurements in MS regions with poor alignment or missing chambers. The optimised signal regions are presented in Table 1 together with the mass of the corresponding LQ hypothesis. Each LQ mass hypothesis is tested in only one signal region, where limits on \( \sigma \times \beta \) are extracted.

### Table 1

The minimum values of \( m_{\ell\ell} \), \( S_T \), and \( m_{\text{LQ}}^{\min} \) used to define each of the signal regions targeting different LQ masses in the \( eejj \) and \( \mu\mu jj \) channels. Each signal region is valid for one or more mass hypotheses, as shown in the second column.

<table>
<thead>
<tr>
<th>LQ masses (GeV)</th>
<th>( m_{\ell\ell} ) (GeV)</th>
<th>( S_T ) (GeV)</th>
<th>( m_{\text{LQ}}^{\min} ) (GeV)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SR1 300</td>
<td>130</td>
<td>460</td>
<td>210</td>
</tr>
<tr>
<td>SR2 350</td>
<td>160</td>
<td>550</td>
<td>250</td>
</tr>
<tr>
<td>SR3 400</td>
<td>160</td>
<td>590</td>
<td>280</td>
</tr>
<tr>
<td>SR4 450</td>
<td>160</td>
<td>670</td>
<td>370</td>
</tr>
<tr>
<td>SR5 500–550</td>
<td>180</td>
<td>760</td>
<td>410</td>
</tr>
<tr>
<td>SR6 600–650</td>
<td>180</td>
<td>850</td>
<td>490</td>
</tr>
<tr>
<td>SR7 700–750</td>
<td>180</td>
<td>950</td>
<td>580</td>
</tr>
<tr>
<td>SR8 800–1300</td>
<td>180</td>
<td>1190</td>
<td>610</td>
</tr>
</tbody>
</table>

4.5 Background estimation

The main SM background processes to the LQ1 and LQ2 searches are the production of \( Z/\gamma^*+\text{jets} \), \( t\bar{t} \) events where both top quarks decay leptonically, and diboson events. Additional small contributions are expected from \( Z \rightarrow \tau\tau \) and single-top processes. Multi-jets, \( W+\text{jets} \), \( t\bar{t} \) (where one or more top quarks decays hadronically), and single-top events with mis-identified or non-prompt leptons arising from hadron decays or photon conversions can also contribute. These fake lepton backgrounds are estimated separately in the \( eejj \) and \( \mu\mu jj \) channels using the same data-driven techniques as described in Ref. [48] and are found to be negligible for the \( \mu\mu jj \) channel.

Normalisation factors, derived using background-enriched control regions, are applied to the MC predictions for \( Z/\gamma^*+\text{jets} \) and \( t\bar{t} \) backgrounds to predict as accurately as possible the background in the signal regions. These control regions are constructed to be mutually exclusive to the signal region and the assumption is made that normalisation factors and their associated uncertainties in the signal region are the same as in the background-enriched control regions.

4.5.1 Control regions for \( Z/\gamma^*+\text{jets} \) and \( t\bar{t} \) backgrounds

Two control regions with negligible signal contributions are defined to validate the modelling accuracy of the MC simulated background events and to derive normalisation scale factors. The \( Z/\gamma^*+\text{jets} \) control region is defined by the pre-selection requirements with an additional requirement of \( 60 < m_{\text{ee}} < 120 \text{ GeV} \) in the \( eejj \) channel and \( 70 < m_{\mu\mu} < 110 \text{ GeV} \) in the \( \mu\mu jj \) channel. These control regions define a pure sample of \( Z/\gamma^*+\text{jets} \) events. The \( t\bar{t} \) control region is defined in both channels by applying the pre-selection requirements, but demanding exactly one muon and one electron (both with \( p_T \) above 40 GeV) in the offline selection instead of two same-flavour leptons. In the case of the \( t\bar{t} \) control region for the \( eejj \) channel, the trigger requirement is modified by requiring a single isolated electron with \( p_T \) above 24 GeV, which is fully efficient relative to the offline selection for electrons with \( p_T \) above 30 GeV. In both cases, the same selection criteria are applied to data and MC events.

Normalisation factors are applied to the MC predictions for the \( Z/\gamma^*+\text{jets} \) and \( t\bar{t} \) background processes. They are obtained by performing a combined maximum likelihood fit to the observed yields in the control regions and signal region under consideration. Systematic uncertainties on the predicted MC yields related to the uncertainty on the cross-sections are taken into account by the fit through the use of dedicated nuisance parameters. The fit procedure is performed using the \textsc{HistFitter} package [57], which is a tool based on the \textsc{RooStats} framework [58]. The normalisation scale factor obtained from a background-only fit for the
The event pre-selection requirements are shown in Figs. 1, 2 and 3 for the data, background estimates, and for three LQ masses of 300, 600 and 1000 GeV (with \(\beta = 1.0\)).

### 4.5.2 Kinematic distributions

The distributions of the kinematic variables after performing the event pre-selection requirements are shown in Figs. 1, 2 and 3 for the data, background estimates, and for three LQ masses of 300, 600 and 1000 GeV (with \(\beta = 1.0\)).

### 4.6 Systematic uncertainties

The theoretical uncertainty on the NLO cross-section is taken into account for diboson, single-top, \(W+jets\) and \(Z \rightarrow \tau\tau\) processes. For the two dominant backgrounds (\(tt\) and \(Z/\gamma^*+jets\)) the modelling uncertainties are estimated using the symmetrised deviation from unity of the ratio of data to MC events in the \(tt\) and \(Z/\gamma^*+jets\) control regions, which is fitted with a linear function for \(\hat{S}_T > 400\) GeV. The modelling systematic uncertainty is then applied as a function of \(\hat{S}_T\), in the form of a weighting factor. The choice of \(\hat{S}_T\) for such a purpose is motivated by its sensitivity to mismodelling of the kinematics of jets and leptons. It varies in the \(eejj\) (\(\mu\mujj\)) channel between 8 % (10 %) and 25 % (30 %) for the \(Z/\gamma^*+jets\) background and between 6 % (10 %) and 24 % (40 %) for the \(tt\) background. It increases for signal regions targeting higher \(m_{LQ}\).

The jet energy scale (JES) uncertainty depends on \(p_T\) and \(\eta\) and contains additional factors, which are used to correct for pile-up effects. They are derived as a function of the number of primary vertices in the event to take into account additional \(pp\) collisions in a recorded event (in-time pile-up), or as a function of the expected number of interactions per bunch-crossing to constrain past and future collisions affecting the measurement of energies in the current bunch-crossing (out-of-time pile-up). An additional uncertainty on the jet energy resolution (JER) is taken into account. The relative impact on the background event yields from the JES (JER) uncertainty is between 8 % (1 %) in SR1 and 26 % (1 %) in SR8. The signal selection efficiency change due to the JES uncertainties ranges between 3 % in SR1 and 1 % in SR8, while the effect of the JER is negligible.

The electron energy scale and resolution are corrected to provide better agreement between MC predictions and data. The uncertainties on these corrections are propagated through the analysis as sources of systematic uncertainty. Uncertainties are taken into account for the electron trigger (~0.1 %), identification (~1 %) and reconstruction (~1 %) efficiencies, and for uncertainties associated with the isolation requirements (~0.1 %).

Scaling and smearing corrections are applied to the \(p_T\) of the muons in order to minimise the differences in resolution between data and MC simulated events. The uncertainty on these corrections is below 1 %. Differences in the identification efficiency and in the efficiency of the trigger selection are taken into account and are less than 1 %.

QCD renormalisation and factorisation scales are varied by a factor of two to estimate the impact of higher orders on...
Fig. 2 Distributions of the total scalar energy ($S_T$) in the $eejj$ (left) and $\mu\mu jj$ (right) channels after applying the pre-selection cuts. The signal model assumes $\beta = 1.0$. The last bin includes overflows. The ratio of the number of data events to the number of background events (and its statistical uncertainty) is also shown. The hashed bands represent all sources of statistical and systematic uncertainty on the background prediction.

Fig. 3 Distributions of the lowest reconstructed LQ mass ($m_{\text{min}}^{\text{LQ}}$) in the $eejj$ (left) and $\mu\mu jj$ (right) channels after applying the pre-selection cuts. The signal model assumes $\beta = 1.0$. The last bin includes overflows. The ratio of the number of data events to the number of background events (and its statistical uncertainty) is also shown. The hashed bands represent all sources of statistical and systematic uncertainty on the background prediction.

The signal production cross-section. The variation is found to be approximately 14% for all mass points. The uncertainty on the signal cross-section related to the choice of PDF set is evaluated as the envelope of the prediction of 40 different CTEQ6.6 NLO error sets [24]. The uncertainty ranges from 18% at $m_{LQ} = 300$ GeV to 56% at $m_{LQ} = 1300$ GeV. These uncertainties are the same for all LQ generations. The effect on the choice of PDF set on the signal acceptance times reconstruction efficiency is estimated using the Hessian method [59]. The final PDF uncertainties on the signal samples are approximately 1% for most mass points, rising to 4% for some higher LQ masses. The impact of the choice of PDF set on the acceptance times reconstruction efficiency for each background process is estimated using the Hessian method (using the same method as for signals). The uncertainties range from 4% in the low-mass signal regions to 17% in the high-mass signal regions.
Table 2 Background and signal yields in three representative signal regions for LQs with masses \(m_{LQ} = 300, 600\) and \(1000\) GeV for the \(eejj\) channel (assuming \(\beta = 1.0\)). The observed number of events is also shown. Statistical and systematic uncertainties are given.

<table>
<thead>
<tr>
<th>Yields</th>
<th>(eejj) channel</th>
<th>SR1</th>
<th>SR6</th>
<th>SR8</th>
</tr>
</thead>
<tbody>
<tr>
<td>Observed</td>
<td>627</td>
<td>8</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Total SM</td>
<td>((6.4 \pm 0.4) \times 10^2)</td>
<td>11 \pm 2</td>
<td>1.5 \pm 0.4</td>
<td></td>
</tr>
<tr>
<td>(Z/\gamma^* \rightarrow \ell\ell)</td>
<td>((3.2 \pm 0.4) \times 10^2)</td>
<td>7 \pm 2</td>
<td>1.3 \pm 0.4</td>
<td></td>
</tr>
<tr>
<td>(Z \rightarrow \tau\tau)</td>
<td>2.1 \pm 0.3</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td></td>
</tr>
<tr>
<td>(t\bar{t})</td>
<td>((2.4 \pm 0.2) \times 10^2)</td>
<td>2.3 \pm 0.5</td>
<td>0.12 \pm 0.04</td>
<td></td>
</tr>
<tr>
<td>Single top</td>
<td>19 \pm 3</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td></td>
</tr>
<tr>
<td>Diboson</td>
<td>22 \pm 3</td>
<td>0.8 \pm 0.3</td>
<td>&lt;0.01</td>
<td></td>
</tr>
<tr>
<td>Fake leptons (including (W+jets))</td>
<td>34 \pm 6</td>
<td>0.410 \pm 0.010</td>
<td>0.033 \pm 0.006</td>
<td></td>
</tr>
<tr>
<td>(m_{LQ} = 300) GeV</td>
<td>((17.6 \pm 0.9) \times 10^3)</td>
<td>–</td>
<td>–</td>
<td></td>
</tr>
<tr>
<td>(m_{LQ} = 600) GeV</td>
<td>–</td>
<td>231 \pm 13</td>
<td>–</td>
<td></td>
</tr>
<tr>
<td>(m_{LQ} = 1000) GeV</td>
<td>–</td>
<td>–</td>
<td>5.2 \pm 0.3</td>
<td></td>
</tr>
</tbody>
</table>

Table 3 Background and signal yields in three representative signal regions for LQs with masses \(m_{LQ} = 300, 600\) and \(1000\) GeV for the \(\mu\mujj\) channel (assuming \(\beta = 1.0\)). The observed number of events is also shown. Statistical and systematic uncertainties are given.

<table>
<thead>
<tr>
<th>Yields</th>
<th>(\mu\mujj) channel</th>
<th>SR1</th>
<th>SR6</th>
<th>SR8</th>
</tr>
</thead>
<tbody>
<tr>
<td>Observed</td>
<td>426</td>
<td>5</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Total SM</td>
<td>((4.1 \pm 0.3) \times 10^2)</td>
<td>7.0 \pm 1.2</td>
<td>1.3 \pm 0.4</td>
<td></td>
</tr>
<tr>
<td>(Z/\gamma^* \rightarrow \ell\ell)</td>
<td>209 \pm 18</td>
<td>4.6 \pm 1.0</td>
<td>0.9 \pm 0.3</td>
<td></td>
</tr>
<tr>
<td>(Z \rightarrow \tau\tau)</td>
<td>0.9 \pm 0.1</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td></td>
</tr>
<tr>
<td>(t\bar{t})</td>
<td>172 \pm 18</td>
<td>1.7 \pm 0.6</td>
<td>0.18 \pm 0.11</td>
<td></td>
</tr>
<tr>
<td>Single top</td>
<td>14 \pm 5</td>
<td>0.3 \pm 0.4</td>
<td>&lt;0.01</td>
<td></td>
</tr>
<tr>
<td>Diboson</td>
<td>14 \pm 2</td>
<td>0.5 \pm 0.2</td>
<td>0.19 \pm 0.05</td>
<td></td>
</tr>
<tr>
<td>Fake leptons (including (W+jets))</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td></td>
</tr>
<tr>
<td>(m_{LQ} = 300) GeV</td>
<td>((12.0 \pm 0.6) \times 10^3)</td>
<td>–</td>
<td>–</td>
<td></td>
</tr>
<tr>
<td>(m_{LQ} = 600) GeV</td>
<td>–</td>
<td>152 \pm 18</td>
<td>–</td>
<td></td>
</tr>
<tr>
<td>(m_{LQ} = 1000) GeV</td>
<td>–</td>
<td>–</td>
<td>3.4 \pm 1.3</td>
<td></td>
</tr>
</tbody>
</table>

4.7 Results

The observed and expected yields in three representative signal regions for the \(eejj\) and the \(\mu\mujj\) channels after the combined maximum likelihood fits are shown in Tables 2 and 3, respectively. The fit maximizes the likelihood constructed using the two CRs and the SR under study. When constructing the likelihood, the signal strength and the background scale factors are treated as free parameters, the systematic uncertainties are treated as nuisance parameters.

No significant excess above the SM expectation is observed in any of the signal regions and a modified frequentist CL\_s method [60] is used to set limits on the strength of the LQ signal, by constructing a profile likelihood ratio. Pseudo-experiments are used to determine the limits.

The cross-section limits on scalar LQ pair-production are presented as a function of \(\beta\) for both channels in Fig. 4. Also shown are the results of the ATLAS searches for first- and second-generation LQs using 1.03 fb\(^{-1}\) data at \(\sqrt{s} = 7\) TeV which also included searches in the \(evjj\) and \(\muvjj\) decay channels and therefore provide better sensitivity at low values of \(\beta\). First (second)-generation scalar LQs are excluded for \(\beta = 1\) at 95% CL for \(m_{LQ1} < 1050\) GeV (\(m_{LQ2} < 1000\) GeV). The expected exclusion ranges are the same as the observed ones. First (second)-generation scalar LQs are excluded for \(m_{LQ1} < 650\) GeV (\(m_{LQ2} < 650\) GeV) at \(\beta = 0.2\) and \(m_{LQ1} < 900\) GeV (\(m_{LQ2} < 850\) GeV) at \(\beta = 0.5\).

5 Search for third-generation LQs in the \(b\bar{v}_t\bar{b}\bar{\nu}_t\) channel

The ATLAS search for pair-production of third-generation supersymmetric partners of bottom quarks (sbottom, \(\bar{b}\)) [18] is reinterpreted in terms of the LQ model, in the case where each LQ decays to a \(b\)-quark and a \(\nu_t\) neutrino. In the original analysis, the \(\bar{b}\) is assumed to decay via \(b \rightarrow b \bar{\chi}_0^0\), and...
Fig. 4 The cross-section limits on scalar LQ pair-production times the square of the branching ratio as a function of mass (left) and the excluded branching ratio as a function of the LQ mass (right) to eq for the eejj channel (top) and to μq for the μμjj channel (bottom). The ±1(2)σ uncertainty bands on the expected limit represent all sources of systematic and statistical uncertainty. The expected NLO production cross-section (β = 1.0) for scalar LQ pair-production and its corresponding theoretical uncertainty due to the choice of PDF set and renormalisation/factorisation scale are also included. The exclusion limits on LQ1 [12] and LQ2 [13] set by ATLAS in the eejj + eνjj and μμjj + μνjj search channels using 1.03 fb$^{-1}$ of data collected at $\sqrt{s} = 7$ TeV are also shown.

5.1 Object and event selection

Events are required to have exactly two $b$-tagged [61] jets with $p_T > 20$ GeV and $|\eta| < 2.5$, and $E_T^{\text{miss}} > 150$ GeV. Additional jets in the event are accepted if they have $p_T > 20$ GeV and $|\eta| < 4.9$. Events with one or more electrons (muons) with $p_T > 7$ (6) GeV are vetoed. Candidate signal events are selected from data using a $E_T^{\text{miss}}$ trigger which is 99% efficient for events passing the offline selection. Several variables are defined and used to optimise the event selection:
Table 4  Summary of the event selection in each signal region for the $b\nu_t\bar{b}\nu_t$ channel [18]

<table>
<thead>
<tr>
<th>Description</th>
<th>Signal Regions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Event cleaning</td>
<td>SRA</td>
</tr>
<tr>
<td>Lepton veto</td>
<td>Common to all SR</td>
</tr>
<tr>
<td>$E_T^{miss}$</td>
<td>&gt; 150 GeV</td>
</tr>
<tr>
<td>Leading jet $p_T$</td>
<td>&gt; 130 GeV</td>
</tr>
<tr>
<td>Second jet $p_T$</td>
<td>&gt; 50 GeV</td>
</tr>
<tr>
<td>Third jet $p_T$</td>
<td>veto if &gt; 50 GeV</td>
</tr>
<tr>
<td>$\Delta\phi(p_T^{miss},\text{lead jet})$</td>
<td>-</td>
</tr>
<tr>
<td>$b$-tagging</td>
<td>leading 2 jets</td>
</tr>
<tr>
<td></td>
<td>$(p_T &gt; 50 \text{ GeV},</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>$m_{b\text{-jets}} = 2$</td>
<td></td>
</tr>
<tr>
<td>$\Delta\phi_{\text{min}}$</td>
<td>&gt; 0.4</td>
</tr>
<tr>
<td>$(m_{\text{eff}} = E_T^{miss} + p_T^1 + p_T^1)$</td>
<td>$E_T^{miss}/m_{\text{eff}} &gt; 0.25$</td>
</tr>
<tr>
<td>$(m_{\text{eff}} = E_T^{miss} + p_T^1 + p_T^2)$</td>
<td>-</td>
</tr>
<tr>
<td>$m_{\text{CT}}$</td>
<td>&gt; 150, 200, 250, 300, 350 GeV</td>
</tr>
<tr>
<td>$H_{T,3}$</td>
<td>-</td>
</tr>
<tr>
<td>$m_{b\nu}$</td>
<td>&gt; 200 GeV</td>
</tr>
</tbody>
</table>

Table 5  For each signal region in the $b\nu_t\bar{b}\nu_t$ channel, the observed event yield is compared with the background prediction obtained from the fit. Signal yields for different values of $m_{LQ}$ (assuming $\beta = 0.0$) are given for comparison. The category ‘Others’ includes the diboson and $t\bar{t}+W/Z$ processes. Statistical, detector-related and theoretical systematic uncertainties are included, taking into account correlations [18]

<table>
<thead>
<tr>
<th>SRA, $m_{CT}$</th>
<th>150 GeV</th>
<th>200 GeV</th>
<th>250 GeV</th>
<th>300 GeV</th>
<th>350 GeV</th>
<th>SRB</th>
</tr>
</thead>
<tbody>
<tr>
<td>Observed</td>
<td>102</td>
<td>48</td>
<td>14</td>
<td>7</td>
<td>3</td>
<td>65</td>
</tr>
<tr>
<td>Total SM</td>
<td>94 ± 13</td>
<td>39 ± 6</td>
<td>16 ± 3</td>
<td>5.9 ± 1.1</td>
<td>2.5 ± 0.6</td>
<td>64 ± 10</td>
</tr>
<tr>
<td>Top quark</td>
<td>11.1 ± 1.8</td>
<td>2.4 ± 1.4</td>
<td>0.4 ± 0.3</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td>41 ± 7</td>
</tr>
<tr>
<td>Z production</td>
<td>66 ± 11</td>
<td>28 ± 5</td>
<td>11 ± 2</td>
<td>4.7 ± 0.9</td>
<td>1.9 ± 0.4</td>
<td>13 ± 4</td>
</tr>
<tr>
<td>W production</td>
<td>13 ± 6</td>
<td>5 ± 3</td>
<td>2.1 ± 1.1</td>
<td>1.0 ± 0.5</td>
<td>0.5 ± 0.3</td>
<td>8 ± 5</td>
</tr>
<tr>
<td>Others</td>
<td>4.3 ± 1.5</td>
<td>3.4 ± 1.3</td>
<td>1.8 ± 0.6</td>
<td>0.12 ± 0.11</td>
<td>0.10^{+0.12}_{-0.10}</td>
<td>2.0 ± 1.0</td>
</tr>
<tr>
<td>Multi-jet</td>
<td>0.2 ± 0.2</td>
<td>0.06 ± 0.06</td>
<td>0.02 ± 0.02</td>
<td>&lt;0.01</td>
<td>&lt;0.01</td>
<td>0.16 ± 0.16</td>
</tr>
<tr>
<td>$m_{LQ} =$ 300 GeV</td>
<td>(8.5 ± 0.2) × 10^2</td>
<td>435 ± 17</td>
<td>96 ± 8</td>
<td>7 ± 2</td>
<td>0.6 ± 0.6</td>
<td>68 ± 7</td>
</tr>
<tr>
<td>$m_{LQ} =$ 600 GeV</td>
<td>21.9 ± 0.4</td>
<td>19.0 ± 0.4</td>
<td>15.6 ± 0.4</td>
<td>12.0 ± 0.3</td>
<td>8.7 ± 0.3</td>
<td>1.8 ± 0.1</td>
</tr>
</tbody>
</table>

- $\Delta\phi_{\text{min}}$: The minimum azimuthal distance ($\Delta\phi$) between any of the leading three jets and the $p_T^{miss}$.
- $m_{\text{eff}}$: The scalar sum of the $p_T$ of the leading two or three jets (depending on the signal region) and the $E_T^{miss}$.
- $H_{T,3}$: The scalar sum of the $p_T$ of all but the leading three jets.
- $m_{b\nu}$: The invariant mass of the two $b$-tagged jets in the event.
- $m_{CT}$: The contransverse mass [62], used to measure the masses of pair-produced heavy particles that decay sem invisibly (i.e. decays where one of the decay products can be detected, but the other cannot).

In the original analysis, different signal regions were optimised according to the masses of the third-generation squark and the lightest supersymmetric particle (LSP). In the case
of the LQ model reinterpretation the signal regions corresponding to the case where the mass of the LSP is approximately zero have best sensitivity, but all the signal regions are retained for coherence with the original analysis. The different signal region definitions are given in Table 4. Signal regions A (SRA) have five different $m_{CT}$ thresholds. Signal region B (SRB) is optimised towards the region where the squark and LSP masses are approximately equal. The signal region with the best expected limit is used for each point in the exclusion plots.

5.2 Background estimation

The dominant background process is the production of $Z$ bosons in association with heavy-flavour jets where the $Z$ boson subsequently decays to two neutrinos [$Z \rightarrow \nu \nu + bb$]. Its contribution is estimated from data in an opposite-sign dilepton control region. Top quark pair-production ($tt$) and $W$ bosons produced in association with heavy flavour quarks also contribute significantly and are normalised in dedicated control regions before being extrapolated to the signal regions using MC simulation. Different control regions are defined for each signal region, requiring one or two leptons plus additional requirements similar to the corresponding signal region. The contributions from $Z$+jets, $W$+jets, and top quark production are estimated simultaneously with a profile likelihood fit to the three control regions. Contributions from diboson and $tt+W/Z$ processes are estimated from MC simulation in all regions. The contribution from multi-jet events is estimated from data by taking well-measured multi-jet events from data and smearing the jets with jet response functions taken from MC simulation and validated in data. This procedure is described in detail in Ref. [63]. The contribution from multi-jet events in signal regions is found to be negligible.

5.3 Results

The number of data events observed in each signal region is reported in Table 5, together with the SM background expectation after the background-only fit, and the expected number of signal events for different LQ masses. The signal acceptance efficiency is around 2% for all but the lowest LQ masses targeted (dropping to 0.27% efficiency for $m_{LQ} = 200$ GeV). All sources of systematic and statistical uncertainty are taken into account. The dominant systematic uncertainties on the background prediction are the jet energy scale (JES 1–5%) and resolution (JER 1–8%), and the $b$-tagging uncertainty (2–10%). Detector-related systematic uncertainties on the signal prediction are dominated by uncertainties on the $b$-tagging efficiency (~30%). The second-largest source of uncertainty is due to the JES and is around 3%.

![Fig. 5](image_url) The expected (dashed) and observed (solid) 95% CL upper limits on third-generation scalar LQ pair-production cross-section times the square of the branching ratio to $bb\nu\nu$ as a function of LQ mass, for the $b\bar{b}, b\bar{b}_c$ channel. The $\pm 1\sigma$ uncertainty bands on the expected limit represent all sources of systematic and statistical uncertainty. The expected NLO production cross-section ($\beta = 0.0$) for scalar LQ pair-production and its corresponding theoretical uncertainty due to the choice of PDF set and renormalisation/factorisation scale are also included.

The uncertainties on the signal production cross-section are estimated using the methods described in Sect. 4.6. These uncertainties are the same for all LQ generations but the uncertainty due to the choice of PDF set varies with $m_{LQ}$. Since the third-generation analyses consider a different mass range to the first- and second-generation analyses, in this case the uncertainty due to the choice of PDF set ranges from 7.1% at $m_{LQ} = 200$ GeV to 30% at $m_{LQ} = 800$ GeV. Effects on the acceptance due to the choice of PDF set are negligible.

No significant excess above the SM expectation is observed in any of the signal regions. Figure 5 shows the observed and expected exclusion limits for the scalar LQ3 pair-production scenario obtained by taking, for each signal mass configuration, the signal region with the best expected limit. These limits are obtained using the methods described in Sect. 4.7. These methods compare the observed numbers of events in the signal regions with the fitted background expectation and accounting for signal contamination in the corresponding CRs for a given model. Pair-produced third-generation scalar LQs decaying to $b\nu\bar{b}\nu\bar{c}$ are excluded at 95% CL for $m_{LQ} < 625$ GeV. The expected excluded range is $m_{LQ} < 640$ GeV.

6 Search for third-generation LQs in the $t\bar{t}\nu\bar{c}$ channel

The ATLAS search for pair-production of the supersymmetric partner of the top quark (stop quark, $\tilde{t}$) [19] is reinterpreted in terms of the LQ model, in the case where each LQ decays...
to a top quark and a \( \nu_T \) neutrino. The original analysis has
dedicated signal regions targeting \( t \bar{t} \) decays into \( t \tilde{\chi}_0 \) and the
subsequent semileptonic decay of the \( t \tilde{\chi}_0 \) pair. Events compatible with \( t \bar{t} \) plus extra \( E_T^{\text{miss}} \) are selected with final states
containing one isolated lepton, jets, and \( E_T^{\text{miss}} \). A complete
description of the analysis strategy, including the treatment
of systematic uncertainties on background processes can be
found in Ref. [19]. The event selection and the background
estimation methods are summarised here for clarity.

### 6.1 Object and event selection

Events are required to contain exactly one electron with
\( p_T > 25 \text{ GeV} \) and \( |\eta| < 2.47 \), or muon with \( p_T > 25 \text{ GeV} \) and
\( |\eta| < 2.4 \). Events containing more than one electron or muon
with looser identification and \( p_T \) requirements (10 GeV for both)
are vetoed. In some signal regions, events are vetoed if
they are consistent with containing a hadronically decaying
\( \tau \) lepton. Events are required to have a minimum of four jets
with \( p_T > 20 \text{ GeV} \) and \( |\eta| < 2.5 \), with at least one of these
passing \( b \)-tagging requirements [61]. In addition, selected
events must have \( E_T^{\text{miss}} > 100 \text{ GeV} \). Several variables are used
to further select signal events and reject background processes:

- \( m_T \): The transverse mass of the electron or muon and the
  \( E_T^{\text{miss}} \).
- \( am_T \) and \( m_{1,2}^T \): These are two variants on the transverse mass \((m_{1,2}^T) \) [64–66] which is a generalisation of the
transverse mass when applied to signatures with two invisible particles in the final state. The asymmetric
transverse mass \( am_T \), aims to reject dileptonic \( t \bar{t} \) events where one of the leptons is not reconstructed or is out-
side the acceptance (and therefore adds to the \( E_T^{\text{miss}} \) of the event). The second implementation of this variable,
the \( \tau \) transverse mass \( m_{1,2}^T \), targets \( t \bar{t} \) events where one
top decays leptonically and the other top decays into a \( \tau \) that subsequently decays hadronically.
- \( \text{topness} \): This variable is designed to reject dileptonic \( t \bar{t} \) events where one lepton is assumed to be lost, as
detailed in Ref. [67]. The topness variable is based on
the minimisation of a \( \chi^2 \)-type function.
- \( m_{\text{had-top}} \): This quantity is used to reject dileptonic \( t \bar{t} \) events but retain signal events that contain a hadronically
decaying on-shell top quark, as in the LQ \( \rightarrow t + \nu_T \) and \( \tilde{t}_1 \rightarrow t \tilde{\chi}_0 \) scenarios.
- \( \Delta \phi(jet_i, \rho_T^{\text{miss}}) \): The azimuthal opening angle between
the leading or sub-leading jet and \( \rho_T^{\text{miss}} \) used to suppress
multi-jet events where \( \rho_T^{\text{miss}} \) is aligned with one of the
leading two jets.

### Table 6 Selection criteria for the
four SRs (\( tN_{\text{diag}}, tN_{\text{med}}, tN_{\text{high}}, \) and \( tN_{\text{boost}} \))
employed to search for
LQ \( \rightarrow t \bar{t} \nu_T \), events [19]. The
details of the limit-setting
procedure for the exclusion
setup can be found in Sect. 6.3.

<table>
<thead>
<tr>
<th></th>
<th>( tN_{\text{diag}} )</th>
<th>( tN_{\text{med}} )</th>
<th>( tN_{\text{high}} )</th>
<th>( tN_{\text{boost}} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lepton</td>
<td>( 1 ) lepton</td>
<td>( 1 ) lepton</td>
<td>( 1 ) lepton</td>
<td>( 1 ) lepton</td>
</tr>
<tr>
<td>Jets</td>
<td>( \geq 4 ) with ( p_T &gt; 60, 60, 40, 25 \text{ GeV} )</td>
<td>( \geq 4 ) with ( p_T &gt; 80, 60, 40, 25 \text{ GeV} )</td>
<td>( \geq 4 ) with ( p_T &gt; 100, 80, 40, 25 \text{ GeV} )</td>
<td>( \geq 4 ) with ( p_T &gt; 75, 65, 40, 25 \text{ GeV} )</td>
</tr>
<tr>
<td>( b )-tagging</td>
<td>( \geq 1b )-tag (70% eff.) amongst four selected jets</td>
<td>( \geq 1b )-tag (70% eff.) amongst four selected jets</td>
<td>( \geq 1b )-tag (70% eff.) amongst four selected jets</td>
<td>( \geq 1b )-tag (70% eff.) amongst four selected jets</td>
</tr>
<tr>
<td>Large-( R ) jet</td>
<td>( \geq 1 ) lepton</td>
<td>( \geq 1 ) lepton</td>
<td>( \geq 1 ) lepton</td>
<td>( \geq 1 ) lepton</td>
</tr>
<tr>
<td>( \Delta \phi(jet_{1,2}, \rho_T^{\text{miss}}) )</td>
<td>( \geq 0.85 )</td>
<td>( \geq 0.85 )</td>
<td>( \geq 0.85 )</td>
<td>( \geq 0.85 )</td>
</tr>
<tr>
<td>( E_T^{\text{miss}} )</td>
<td>( &gt; 100 \text{ GeV} )</td>
<td>( &gt; 200 \text{ GeV} )</td>
<td>( &gt; 320 \text{ GeV} )</td>
<td>( &gt; 315 \text{ GeV} )</td>
</tr>
<tr>
<td>( m_T )</td>
<td>( &gt; 60 \text{ GeV} )</td>
<td>( &gt; 140 \text{ GeV} )</td>
<td>( &gt; 200 \text{ GeV} )</td>
<td>( &gt; 175 \text{ GeV} )</td>
</tr>
<tr>
<td>( \Delta \phi(b\text{-jet}, \ell) )</td>
<td>( \geq 2.5 )</td>
<td>( \geq 2.5 )</td>
<td>( \geq 2.5 )</td>
<td>( \geq 2.5 )</td>
</tr>
<tr>
<td>( E_T^{\text{miss}} / \sqrt{T_T} )</td>
<td>( &lt; 2.5 )</td>
<td>( &lt; 2.5 )</td>
<td>( &lt; 2.5 )</td>
<td>( &lt; 2.5 )</td>
</tr>
<tr>
<td>( \Delta \phi(jet_i, \rho_T^{\text{miss}}) )</td>
<td>( &gt; 0.8 ) ((i = 1, 2))</td>
<td>( &gt; 0.8 ) ((i = 1, 2))</td>
<td>( &gt; 0.8 ) ((i = 1, 2))</td>
<td>( &gt; 0.8 ) ((i = 1, 2))</td>
</tr>
</tbody>
</table>

Exclusion setup shape-fit in \( m_T \) and \( E_T^{\text{miss}} \). cut-and-count
– $E_T^\text{miss}/\sqrt{H_T}$: An approximation of the $E_T^\text{miss}$ significance, where $H_T$ is defined as the scalar $p_T$ sum of the leading four jets.
– $H_T^\text{sig}$: An object-based missing transverse momentum, divided by the per-event resolution of the jets, and shifted to the scale of the background [68].

The variables listed above are used to define three cut-and-count SRs and one shape-fit SR. Table 6 details the event selections for these signal regions. The SR labelled $tN_\text{boost}$ targets LQ/stop masses of $\gtrsim 700$ GeV and takes advantage of the ‘boosted’ topology of such a heavy parent particle. The selection assumes that either all decay products of the hadronically decaying top quark, or at least the decay products of the hadronically decaying $W$ boson, collimate into a jet reconstructed with a radius parameter $R = 1.0$ [69,70].

### 6.2 Background estimation

The dominant sources of background are the production of $t\bar{t}$ events and $W$+jets where the $W$ boson decays leptonically. Other background processes considered are single top, dibosons, $Z$+jets, $t\bar{t}$ produced in association with a vector boson ($t\bar{t}V$), and multi-jets.

The predicted numbers of $t\bar{t}$ and $W$+jets background events in the SRs are estimated from data using a fit to the number of observed events in dedicated control regions. Each SR has an associated CR for each of the $t\bar{t}$ and $W$+jets backgrounds. The CRs are designed to select events as similar as possible to those selected by the corresponding SR while keeping the contamination from other backgrounds and potential signal low. This is achieved by e.g. requiring that $60 < m_T < 90$ GeV and in the case of the $W$+jets CR, inverting the $b$-jet requirement so that it becomes a $b$-jet veto. The simulation is used to extrapolate the background predictions into the signal region. The background fit predictions are validated using dedicated event samples, referred to as validation regions (VRs), and one or more VR is defined for each of these. Most VRs are defined by changing the $m_T$ windows to $90 < m_T < 120$ GeV. The VRs are designed to be kinematically close to the associated SRs to test the background estimates in regions of phase space as similar as possible to the SRs.

The multi-jet background is estimated from data using a matrix method described in Refs. [71,72]. The contribution is found to be negligible. All other (small) backgrounds are determined entirely from simulation and normalised to the most accurate theoretical cross-sections available.

### 6.3 Results

The number of events observed in each signal region is reported in Tables 7 and 8, together with the SM background expectation and the expected number of signal events for different LQ masses. The signal acceptance is between 1.5 and 3 % depending on the LQ mass. All sources of systematic uncertainty and statistical uncertainty are taken into account. The dominant sources of uncertainty on the background prediction come from uncertainties related to the JES, JER, $t\bar{t}$ background modelling, the $b$-tagging efficiency, and statistical uncertainties.

Detector-related systematic effects are evaluated for signal using the same methods used for the backgrounds (see Ref. [19] for details). The dominant detector-related systematic effects are the uncertainties on the JES (4 %) and the $b$-tagging efficiency (3 %).

The uncertainties on the signal production cross-section are estimated using the methods described in Sect. 4.6. The effect on the choice of PDF set on the signal acceptance is less than 1 % for most mass points, but increases to 1.7 % for $m_{LQ} = 800$ GeV.

Similar methods as described in Sect. 4.7 are used to assess the compatibility of the SM background-only hypothesis with the observations in the signal regions. The observed number of events is found to agree well with the expected number of background events in all signal regions. No significant excess over the expected background from SM processes is observed and the data are used to derive one-sided limits at 95 % CL. The results are obtained from a profile likelihood-ratio test following the CL$_s$ prescription [60]. The likelihood of the simultaneous fit is configured to include all CRs and one SR or shape-fit bin. The ‘exclusion setup’ event selection is applied (see Table 6), and all uncertainties except the theoretical signal uncertainty are included in the fit.
Table 8 The number of observed events in the shape-fit signal region, together with the expected number of background events and signal events for different LQ masses (assuming β = 0.0) in the tντ̄ντ̄ channel [19]

<table>
<thead>
<tr>
<th>tN̄ diag</th>
<th>125 &lt; E_T^{miss} &lt; 150 GeV</th>
<th>125 &lt; E_T^{miss} &lt; 150 GeV</th>
<th>E_T &gt; 150 GeV</th>
<th>E_T &gt; 150 GeV</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>120 &lt; m_T &lt; 140 GeV</td>
<td>m_T &gt; 140 GeV</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Observed</td>
<td>117</td>
<td>163</td>
<td>101</td>
<td>217</td>
</tr>
<tr>
<td>Total SM</td>
<td>(1.4 ± 0.2) × 10^2</td>
<td>(1.5 ± 0.2) × 10^2</td>
<td>98 ± 13</td>
<td>(2.4 ± 0.3) × 10^2</td>
</tr>
<tr>
<td>t̄t</td>
<td>(1.2 ± 0.2) × 10^2</td>
<td>(1.4 ± 0.2) × 10^2</td>
<td>85 ± 12</td>
<td>(2.0 ± 0.3) × 10^2</td>
</tr>
<tr>
<td>W+jets</td>
<td>7 ± 3</td>
<td>6 ± 3</td>
<td>4.6 ± 1.5</td>
<td>10 ± 4</td>
</tr>
<tr>
<td>Single top</td>
<td>5 ± 2</td>
<td>6 ± 2</td>
<td>6±2</td>
<td>9 ± 4</td>
</tr>
<tr>
<td>Diboson</td>
<td>0.29 ± 0.18</td>
<td>0.8 ± 0.5</td>
<td>0.3 ± 0.3</td>
<td>0.30 ± 0.15</td>
</tr>
<tr>
<td>Z+jets</td>
<td>0.17 ± 0.08</td>
<td>0.24 ± 0.12</td>
<td>0.30 ± 0.15</td>
<td>0.5 ± 0.3</td>
</tr>
<tr>
<td>t̄tV</td>
<td>1.5 ± 0.5</td>
<td>2.9 ± 0.9</td>
<td>2.5 ± 0.8</td>
<td>11 ± 3</td>
</tr>
<tr>
<td>mLQ = 300 GeV</td>
<td>28 ± 3</td>
<td>77 ± 6</td>
<td>64 ± 5</td>
<td>269 ± 10</td>
</tr>
<tr>
<td>mLQ = 600 GeV</td>
<td>0.15 ± 0.04</td>
<td>0.62 ± 0.08</td>
<td>0.83 ± 0.09</td>
<td>18.8 ± 0.4</td>
</tr>
</tbody>
</table>

Fig. 6 The expected (dashed) and observed (solid) 95 % CL upper limits on the third-generation scalar LQ pair-production cross-section times the square of the branching ratio to tντ̄ as a function of LQ mass, for the tντ̄ channel. The ±1(2)σ uncertainty bands on the expected limit represent all sources of systematic and statistical uncertainty. The expected NLO production cross-section (β = 0.0) for third-generation scalar LQ pair-production and its corresponding theoretical uncertainty due to the choice of PDF set and renormalisation/factorisation scale are also included.

Exclusion limits are obtained by selecting a priori the signal region with the lowest expected CL_s value for each signal grid point. The expected and observed limits on the LQ3 LQ3 → tντ̄ channel process are shown in Fig. 6. Third-generation scalar LQs decaying to tντ̄ are excluded at 95 % CL in the mass range 210 < mLQ < 640 GeV. The expected exclusion range is 200 < mLQ < 685 GeV. The limits for stop production in the case where the neutralino is massless are slightly stronger than the limits set on LQ3 production since the nominal stop limits consider a mostly right-handed stop. This leads to the top quarks being polarised in such a way that the acceptance increases. The limit worsens at low mass, due to the effect of greater contamination from top backgrounds.

7 Summary and conclusions

Searches for pair-production of first-, second- and third-generation scalar leptoquarks have been performed with the ATLAS detector at the LHC using an integrated luminosity of 20 fb^-1 of data from pp collisions at √s = 8 TeV. No significant excess above the SM background expectation is observed in any channel. The results are summarised in Table 9.

The results presented here significantly extend the sensitivity in mass compared to previous searches. Low-mass regions are also considered and limits on the cross-sections are provided for the different final states analysed. Since β is not constrained by the theory, searches in the low mass regions are also important in order to extract limits for low-β values for the LQ1 and LQ2 analyses.

Table 9 Expected and observed exclusion ranges at 95 % CL for each of the four LQ decay channels considered

<table>
<thead>
<tr>
<th>Decay channel</th>
<th>Expected limit</th>
<th>Observed limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>eejj (β = 1.0)</td>
<td>mLQ1 &lt; 1050 GeV</td>
<td>mLQ1 &lt; 1050 GeV</td>
</tr>
<tr>
<td>μμjj (β = 1.0)</td>
<td>mLQ2 &lt; 1000 GeV</td>
<td>mLQ2 &lt; 1000 GeV</td>
</tr>
<tr>
<td>bν̄ν̄ (β = 0.0)</td>
<td>mLQ3 &lt; 640 GeV</td>
<td>mLQ3 &lt; 625 GeV</td>
</tr>
<tr>
<td>tν̄ν̄ (β = 0.0)</td>
<td>200 &lt; mLQ &lt; 685 GeV</td>
<td>210 &lt; mLQ &lt; 640 GeV</td>
</tr>
</tbody>
</table>

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References

44 Institut für Kern- und Teilchenphysik, Technische Universität Dresden, Dresden, Germany
45 Department of Physics, Duke University, Durham, NC, USA
46 SUPA-School of Physics and Astronomy, University of Edinburgh, Edinburgh, UK
47 INFN Laboratori Nazionali di Frascati, Frascati, Italy
48 Fakultät für Mathematik und Physik, Albert-Ludwigs-Universität, Freiburg, Germany
49 Section de Physique, Université de Genève, Geneva, Switzerland
50 (a)INFN Sezione di Genova, Genoa, Italy; (b)Dipartimento di Fisica, Università di Genova, Genoa, Italy
51 (a)E. Andronikashvili Institute of Physics, Iv. Javakhishvili Tbilisi State University, Tbilisi, Georgia; (b)High Energy Physics Institute, Tbilisi State University, Tbilisi, Georgia
52 II Physikalisches Institut, Justus-Liebig-Universität Giessen, Giessen, Germany
53 SUPA-School of Physics and Astronomy, University of Glasgow, Glasgow, UK
54 II Physikalisches Institut, Georg-August-Universität, Göttingen, Germany
55 Laboratoire de Physique Subatomique et de Cosmologie, Université Grenoble-Alpes, CNRS/IN2P3, Grenoble, France
56 Department of Physics, Hampton University, Hampton, VA, USA
57 Laboratory for Particle Physics and Cosmology, Harvard University, Cambridge, MA, USA
58 (a)Kirchhoff-Institut für Physik, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany; (b)Physikalisches Institut, Ruprecht-Karls-Universität Heidelberg, Heidelberg, Germany; (c)ZITI Institut für technische Informatik, Ruprecht-Karls-Universität Heidelberg, Mannheim, Germany
59 Faculty of Applied Information Science, Hiroshima Institute of Technology, Hiroshima, Japan
60 (a)Department of Physics, The Chinese University of Hong Kong, Shatin, N.T., Hong Kong; (b)Department of Physics, The University of Hong Kong, Hong Kong, China; (c)Department of Physics, The Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong, China
61 Department of Physics, Indiana University, Bloomington, IN, USA
62 Institut für Astro- und Teilchenphysik, Leopold-Franzens-Universität, Innsbruck, Austria
63 University of Iowa, Iowa City, IA, USA
64 Department of Physics and Astronomy, Iowa State University, Ames, IA, USA
65 Joint Institute for Nuclear Research, JINR Dubna, Dubna, Russia
66 KEK, High Energy Accelerator Research Organization, Tsukuba, Japan
67 Graduate School of Science, Kobe University, Kobe, Japan
68 Faculty of Science, Kyoto University, Kyoto, Japan
69 Kyoto University of Education, Kyoto, Japan
70 Department of Physics, Kyushu University, Fukuoka, Japan
71 Instituto de Fisica La Plata, Universidad Nacional de La Plata and CONICET, La Plata, Argentina
72 Physics Department, Lancaster University, Lancaster, UK
73 (a)INFN Sezione di Lecce, Lecce, Italy; (b)Dipartimento di Matematica e Fisica, Università del Salento, Lecce, Italy
74 Oliver Lodge Laboratory, University of Liverpool, Liverpool, UK
75 Department of Physics, Jožef Stefan Institute and University of Ljubljana, Ljubljana, Slovenia
76 School of Physics and Astronomy, Queen Mary University of London, London, UK
77 Department of Physics, Royal Holloway University of London, Surrey, UK
78 Department of Physics and Astronomy, University College London, London, UK
79 Louisiana Tech University, Ruston, LA, USA
80 Laboratoire de Physique Nucléaire et de Hautes Energies, UPMC and Université Paris-Diderot and CNRS/IN2P3, Paris, France
81 Fysiska institutionen, Lunds universitet, Lund, Sweden
82 Departamento de Fisica Teorica C-15, Universidad Autonoma de Madrid, Madrid, Spain
83 Institut für Physik, Universität Mainz, Mainz, Germany
84 School of Physics and Astronomy, University of Manchester, Manchester, UK
85 CPPM, Aix-Marseille Université and CNRS/IN2P3, Marseille, France
86 Department of Physics, University of Massachusetts, Amherst, MA, USA
87 Department of Physics, McGill University, Montreal, QC, Canada
88 School of Physics, University of Melbourne, Melbourne, VIC, Australia
89 Department of Physics, The University of Michigan, Ann Arbor, MI, USA
90 Department of Physics and Astronomy, Michigan State University, East Lansing, MI, USA
91. (a) INFN Sezione di Milano, Milan, Italy; (b) Dipartimento di Fisica, Università di Milano, Milan, Italy
92. B.I. Stepanov Institute of Physics, National Academy of Sciences of Belarus, Minsk, Republic of Belarus
93. National Scientific and Educational Centre for Particle and High Energy Physics, Minsk, Republic of Belarus
94. Department of Physics, Massachusetts Institute of Technology, Cambridge, MA, USA
95. Group of Particle Physics, University of Montreal, Montreal, QC, Canada
96. P.N. Lebedev Institute of Physics, Academy of Sciences, Moscow, Russia
97. Institute for Theoretical and Experimental Physics (ITEP), Moscow, Russia
98. National Research Nuclear University MEPhI, Moscow, Russia
99. D.V. Skobeltsyn Institute of Nuclear Physics, M.V. Lomonosov Moscow State University, Moscow, Russia
100. Fakultät für Physik, Ludwig-Maximilians-Universität München, Munich, Germany
101. Max-Planck-Institut für Physik (Werner-Heisenberg-Institut), Munich, Germany
102. Nagasaki Institute of Applied Science, Nagasaki, Japan
103. Graduate School of Science and Kobayashi-Maskawa Institute, Nagoya University, Nagoya, Japan
104. (a) INFN Sezione di Napoli, Naples, Italy; (b) Dipartimento di Fisica, Università di Napoli, Naples, Italy
105. Department of Physics and Astronomy, University of New Mexico, Albuquerque, NM, USA
106. Institute for Mathematics, Astrophysics and Particle Physics, Radboud University Nijmegen/Nikhef, Nijmegen, The Netherlands
107. Nikhef National Institute for Subatomic Physics and University of Amsterdam, Amsterdam, The Netherlands
108. Department of Physics, Northern Illinois University, De Kalb, IL, USA
109. Budker Institute of Nuclear Physics, SB RAS, Novosibirsk, Russia
110. Department of Physics, New York University, New York, NY, USA
111. Ohio State University, Columbus, OH, USA
112. Faculty of Science, Okayama University, Okayama, Japan
113. Homer L. Dodge Department of Physics and Astronomy, University of Oklahoma, Norman, OK, USA
114. Department of Physics, Oklahoma State University, Stillwater, OK, USA
115. Palacký University, RCPTM, Olomouc, Czech Republic
116. Center for High Energy Physics, University of Oregon, Eugene, OR, USA
117. LAL, Université Paris-Sud and CNRS/IN2P3, Orsay, France
118. Graduate School of Science, Osaka University, Osaka, Japan
119. Department of Physics, University of Oslo, Oslo, Norway
120. Department of Physics, Oxford University, Oxford, UK
121. (a) INFN Sezione di Pavia, Pavia, Italy; (b) Dipartimento di Fisica, Università di Pavia, Pavia, Italy
122. Department of Physics, University of Pennsylvania, Philadelphia, PA, USA
123. National Research Centre “Kurchatov Institute” B.P.Konstantinov Petersburg Nuclear Physics Institute, St. Petersburg, Russia
124. (a) INFN Sezione di Pisa, Pisa, Italy; (b) Dipartimento di Fisica E. Fermi, Università di Pisa, Pisa, Italy
125. Department of Physics and Astronomy, University of Pittsburgh, Pittsburgh, PA, USA
126. (a) Laboratório de Instrumentação Física Experimental de Partículas-LIP, Lisbon, Portugal; (b) Faculdade de Ciências, Universidade de Lisboa, Lisbon, Portugal; (c) Department of Physics, University of Coimbra, Coimbra, Portugal; (d) Centro de Física Nuclear da Universidade de Lisboa, Lisbon, Portugal; (e) Departamento de Física, Universidade do Minho, Braga, Portugal; (f) Departamento de Física Teorica y del Cosmos and CAFPE, Universidad de Granada, Granada, Spain; (g) Dep Fisica and CEFITEC of Faculdade de Ciencias e Tecnologia, Universidade Nova de Lisboa, Caparica, Portugal
127. Institute of Physics, Academy of Sciences of the Czech Republic, Prague, Czech Republic
128. Czech Technical University in Prague, Prague, Czech Republic
129. Faculty of Mathematics and Physics, Charles University in Prague, Prague, Czech Republic
130. State Research Center Institute for High Energy Physics, Protvino, Russia
131. Particle Physics Department, Rutherford Appleton Laboratory, Didcot, UK
132. (a) INFN Sezione di Roma, Rome, Italy; (b) Dipartimento di Fisica, Sapienza Università di Roma, Rome, Italy
133. (a) INFN Sezione di Roma Tor Vergata, Rome, Italy; (b) Dipartimento di Fisica, Università di Roma Tor Vergata, Rome, Italy
134. (a) INFN Sezione di Roma Tre, Rome, Italy; (b) Dipartimento di Matematica e Fisica, Università Roma Tre, Rome, Italy
135 (a) Faculté des Sciences Ain Chock, Réseau Universitaire de Physique des Hautes Energies-Université Hassan II, Casablanca, Morocco; (b) Centre National de l’Energie des Sciences Techniques Nucleaires, Rabat, Morocco; (c) Faculté des Sciences Semlalia, Université Cadi Ayyad, LPHEA-Marrakech, Marrakech, Morocco; (d) Faculté des Sciences, Université Mohamed Premier and LPTPM, Oujda, Morocco; (e) Faculté des Sciences, Université Mohammed V-Agdal, Rabat, Morocco

136 DSM/IRFU (Institut de Recherches sur les Lois Fondamentales de l’Univers), CEA Saclay (Commissariat à l’Energie Atomique et aux Energies Alternatives), Gif-sur-Yvette, France

137 Santa Cruz Institute for Particle Physics, University of California Santa Cruz, Santa Cruz, CA, USA

138 Department of Physics, University of Washington, Seattle, WA, USA

139 Department of Physics and Astronomy, University of Sheffield, Sheffield, UK

140 Department of Physics, Shinshu University, Nagano, Japan

141 Fachbereich Physik, Universität Siegen, Siegen, Germany

142 Department of Physics, Simon Fraser University, Burnaby, BC, Canada

143 SLAC National Accelerator Laboratory, Stanford, CA, USA

144 (a) Faculty of Mathematics, Physics and Informatics, Comenius University, Bratislava, Slovak Republic; (b) Department of Subnuclear Physics, Institute of Experimental Physics of the Slovak Academy of Sciences, Kosice, Slovak Republic

145 (a) Department of Physics, University of Cape Town, Cape Town, South Africa; (b) Department of Physics, University of Johannesburg, Johannesburg, South Africa; (c) School of Physics, University of the Witwatersrand, Johannesburg, South Africa

146 (a) Department of Physics, Stockholm University, Stockholm, Sweden; (b) The Oskar Klein Centre, Stockholm, Sweden

147 Physics Department, Royal Institute of Technology, Stockholm, Sweden

148 Departments of Physics and Astronomy and Chemistry, Stony Brook University, Stony Brook, NY, USA

149 Department of Physics and Astronomy, University of Sussex, Brighton, UK

150 School of Physics, University of Sydney, Sydney, Australia

151 Institute of Physics, Academia Sinica, Taipei, Taiwan

152 Department of Physics, Technion: Israel Institute of Technology, Haifa, Israel

153 Raymond and Beverly Sackler School of Physics and Astronomy, Tel Aviv University, Tel Aviv, Israel

154 Department of Physics, Aristotle University of Thessaloniki, Thessaloniki, Greece

155 International Center for Elementary Particle Physics and Department of Physics, The University of Tokyo, Tokyo, Japan

156 Graduate School of Science and Technology, Tokyo Metropolitan University, Tokyo, Japan

157 Department of Physics, Tokyo Institute of Technology, Tokyo, Japan

158 Department of Physics, University of Toronto, Toronto, ON, Canada

159 (a) TRIUMF, Vancouver, BC, Canada; (b) Department of Physics and Astronomy, York University, Toronto, ON, Canada

160 Faculty of Pure and Applied Sciences, University of Tsukuba, Tsukuba, Japan

161 Department of Physics and Astronomy, Tufts University, Medford, MA, USA

162 Centro de Investigaciones, Universidad Antonio Narino, Bogotá, Colombia

163 Department of Physics and Astronomy, University of California Irvine, Irvine, CA, USA

164 (a) INFN Gruppo Collegato di Udine, Sezione di Trieste, Udine, Italy; (b) ICTP, Trieste, Italy; (c) Dipartimento di Chimica, Fisica e Ambiente, Università di Udine, Udine, Italy

165 Department of Physics, University of Illinois, Urbana, IL, USA

166 Department of Physics and Astronomy, University of Uppsala, Uppsala, Sweden

167 Instituto de Física Corpuscular (IFIC) and Departamento de Física Atómica, Molecular y Nuclear and Departamento de Ingeniería Electrónica and Instituto de Microelectrónica de Barcelona (IMB-CNM), University of Valencia and CSIC, Valencia, Spain

168 Department of Physics, University of British Columbia, Vancouver, BC, Canada

169 Department of Physics and Astronomy, University of Victoria, Victoria, BC, Canada

170 Department of Physics, University of Warwick, Coventry, UK

171 Waseda University, Tokyo, Japan

172 Department of Particle Physics, The Weizmann Institute of Science, Rehovot, Israel

173 Department of Physics, University of Wisconsin, Madison, WI, USA

174 Fakultät für Physik und Astronomie, Julius-Maximilians-Universität, Würzburg, Germany

175 Fachbereich C Physik, Bergische Universität Wuppertal, Wuppertal, Germany

176 Department of Physics, Yale University, New Haven, CT, USA