Search for Scalar Bottom Quark Pair Production with the ATLAS Detector in $pp$ Collisions at $\sqrt{s} = 7$ TeV

G. Aad et al.*
(ATLAS Collaboration)
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The results of a search for pair production of the scalar partners of bottom quarks in 2.05 fb$^{-1}$ of $pp$ collisions at $\sqrt{s} = 7$ TeV using the ATLAS experiment are reported. Scalar bottom quarks are searched for in events with large missing transverse momentum and two jets in the final state, where both jets are identified as originating from a bottom quark. In an R-parity conserving minimal supersymmetric scenario, assuming that the scalar bottom quark decays exclusively into a bottom quark and a neutralino, 95% confidence-level upper limits are obtained in the $\tilde{b}_1 - \tilde{\chi}_1^0$ mass plane such that for neutralino masses below 60 GeV scalar bottom masses up to 390 GeV are excluded.

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Supersymmetry (SUSY) [1–9] is a theory that provides an extension of the standard model (SM) and naturally resolves the hierarchy problem by introducing supersymmetric partners of the known bosons and fermions. In the framework of a generic R-parity conserving minimal supersymmetric extension of the SM, the MSSM, SUSY particles are produced in pairs and the lightest supersymmetric particle is stable, providing a possible candidate for dark matter. The scalar partners of right-handed and left-handed quarks (squarks) can mix to form two mass eigenstates. In a large variety of models, the lightest supersymmetric particle is the lightest neutralino ($\tilde{\chi}_1^0$) and the mass of the lightest scalar bottom quark eigenstate (sbottom, $\tilde{b}_1$) can be significantly lower than the other squark masses. Consequently, $\tilde{b}_1$ could be produced with large cross sections at the Large Hadron Collider (LHC). In this Letter, a search for direct sbottom pair production is presented, assuming a SUSY particle mass hierarchy such that the sbottom decays exclusively into a $b$ quark and a $\tilde{\chi}_1^0$ ($\tilde{b}_1 \rightarrow b \tilde{\chi}_1^0$). The expected signal is characterized by the presence of two jets originating from the hadronization of the $b$ quarks and missing transverse momentum—its magnitude is referred to as $E_{\text{T}}^{\text{miss}}$ in the following—resulting from the undetected neutralinos. Results of searches for direct sbottom production have been previously reported by the Tevatron [10,11] and LEP [12] experiments. The search described here extends these results using 2.05 fb$^{-1}$ of 7 TeV $pp$ collision data recorded by the ATLAS experiment at the LHC.

The ATLAS detector [13] consists of inner tracking devices surrounded by a superconducting solenoid, electromagnetic and hadronic calorimeters, and a muon spectrometer with a toroidal magnetic field. The inner detector, in combination with the 2 T field from the solenoid, provides precision tracking of charged particles for $|\eta| < 2.5$ [14]. It consists of a silicon pixel detector, a silicon strip detector, and a straw tube tracker that also provides transition radiation measurements for electron identification. The calorimeter system covers the pseudorapidity range $|\eta| < 4.9$. It is composed of sampling calorimeters with either liquid argon or scintillating tiles as the active media. The muon spectrometer has separate trigger and high-precision tracking chambers which provide muon identification and measurement for $|\eta| < 2.7$.

This search uses data recorded between March and August 2011 at the LHC. After the application of beam, detector, and data quality requirements, the data set corresponds to a total integrated luminosity of 2.05 ± 0.08 fb$^{-1}$ [15,16]. The data are selected with a three-level trigger system that requires a high transverse momentum ($p_T$) jet and missing transverse momentum [17]. Events are required to have a reconstructed primary vertex associated with five or more tracks, consistent with the beam spot position.

Jets are reconstructed offline from three-dimensional topological calorimeter energy clusters by using the anti-$k_t$ jet algorithm [18,19] with a radius parameter of 0.4. The measured jet energy is corrected for inhomogeneities and for the noncompensating nature of the calorimeter by using $p_T$- and $\eta$-dependent correction factors ([20] and references therein). Only jet candidates with $p_T > 20$ GeV within $|\eta| < 2.8$ are retained. During the data-taking period, a localized electronics failure in the liquid argon barrel calorimeter created an inactive region in the second and third calorimeter layers ($\Delta \eta \times \Delta \phi \approx 1.4 \times 0.2$) in which on average 30% of the incident jet energy is not measured. If either of the two selected leading jets fall in this region the event is rejected. The loss in signal acceptance is smaller than 10% for the models considered.
Electron candidates are required to have $p_T > 20 \text{ GeV}$, $|\eta| < 2.47$, and satisfy the “medium” selection criteria reported in [21]. Muon candidates are required to have $p_T > 10 \text{ GeV}$, $|\eta| < 2.4$ and are identified as a match between an extrapolated inner detector track and one or more track segments in the muon spectrometer. Additional requirements are applied to electron and muon candidates when defining lepton (\(t = e, \mu\)) control regions. In this case, electrons must have $p_T > 25 \text{ GeV}$ pass the “tight” selection criteria in [21] and be isolated—the $p_T$ sum of tracks within a cone in the ($\eta, \phi$) plane of radius $\Delta R = 0.2$ around the candidate, $\Sigma p_T$, must be less than 10% of the electron $p_T$. Muons must have $p_T > 25 \text{ GeV}$, longitudinal and transverse impact parameter within 1 mm and 0.2 mm of the primary vertex, respectively, and $\Sigma p_T < 1.8 \text{ GeV}$. Following the object reconstruction described above, overlaps between jet candidates and electrons or muons are resolved. Any jet within a distance $\Delta R = 0.2$ of a “medium” electron candidate is discarded. Any remaining lepton within $\Delta R = 0.4$ of a jet is discarded.

The calculation of $E_T^{\text{miss}}$ is based on the magnitude of the vectorial sum of the $p_T$ of the reconstructed jets (with $p_T > 20$ and $|\eta| < 4.5$), leptons—including nonisolated muons—and the calorimeter clusters not belonging to reconstructed objects [22].

Events must pass basic quality criteria against detector noise and noncollision backgrounds and are selected with at least one jet with $p_T > 130 \text{ GeV}$ and $E_T^{\text{miss}} > 130 \text{ GeV}$ to ensure full trigger efficiency, and one additional jet with $p_T > 50 \text{ GeV}$. Both jets are required to be associated to the primary interaction and to originate from a $b$ quark using a tagging algorithm that exploits both impact parameter and secondary vertex information. Jets are tagged for $|\eta| < 2.5$ and the parameters of the algorithm are chosen such that a tagging efficiency of 60%, 10%, and <1% is achieved for $b$ jets, $c$ jets, and light flavor or gluon jets, respectively, in $t\bar{t}$ events in Monte Carlo (MC) simulation [23]. Events with identified electron or muon candidates or additional jets with $p_T > 50 \text{ GeV}$ are vetoed to exploit the topology of the addressed signal. The multijet background contribution with large $E_T^{\text{miss}}$, due to the mismeasurement of the jet energies in the calorimeters or to neutrino production in heavy quark decays, is suppressed by requiring the smallest azimuthal separation between the $E_T^{\text{miss}}$ direction and any of the two leading jets, $\Delta \phi_{\text{min}}$, to be above 0.4. If a third jet with $30 < p_T < 50 \text{ GeV}$ is found in the event, its azimuthal separation with the $E_T^{\text{miss}}$ must be above 0.2, where jets with $p_T$ below 30 GeV are not used due to the large expected contribution from multiple interactions. In addition, the ratio of $E_T^{\text{miss}}$ to the scalar sum of $E_T^{\text{miss}}$ and the transverse momenta of the two leading jets is required to be above 0.25.

A selection based on the boost-corrected transverse mass [24], $m_{T_{\text{miss}}}$, is employed to further discriminate sbottom pair production from SM background processes.

For two identical decays of heavy particles into two visible particles $\nu_i$ and $\nu_j$, and into invisible particles, the transverse mass [25] is defined as $(m_{T_{\text{miss}}}^2 - p_T^2)^{1/2}$. The boost-corrected transverse mass conservatively corrects to account for boosts in the transverse plane due to initial state radiation and hence protects the expected endpoint in the distribution. In the case of sbottom pair production with $\tilde{b}_1 \rightarrow b\tilde{\chi}_1^0$, $m_{T_{\text{miss}}}$ is expected to have an endpoint at $(m_{\tilde{b}_1}^2 - m_{\tilde{\chi}_1}^2)/m_{\tilde{b}_1}$. To maximize the sensitivity across the $\tilde{b}_1 - \tilde{\chi}_1^0$ mass plane, three signal regions (SRs) are defined as a function of the $m_{T_{\text{miss}}}$ threshold, with $m_{T_{\text{miss}}}$ > 100, 150 and 200 GeV.

Simulated event samples are used to aid in the description of the background and to model the SUSY signal. Top quark pair and single top production are simulated with MC@NLO [26], fixing the top quark mass at 172.5 GeV, and the next-to-leading-order (NLO) parton density function (PDF) set CTEQ6.6 [27]. Samples of $W + j$, $Z + j$, and $t\bar{t}$ with light and heavy flavor jets, and $tW$ with additional $b$ jets, $t\bar{t}bb$, are generated with ALPGEN [28] and PDF set CTEQ6L1 [29]. The fragmentation and hadronization for the ALPGEN and MC@NLO samples are performed with HERWIG [30], using JIMMY [31] for the underlying event. Samples of $Z\bar{t}t$ and $Wt\bar{t}$ are generated with MADGRAPH [32] interfaced to PYTHIA [33]. Diboson ($WW$, $WZ$, $ZZ$) samples are generated with HERWIG. All background cross sections are normalized to the results of higher order calculations [34]. The signal samples are generated in the MSSM framework at fixed $\tilde{b}_1$ and $\tilde{\chi}_1^0$ masses, with $BR(\tilde{b}_1 \rightarrow b\tilde{\chi}_1^0) = 100\%$, using the HERWIG++ [30] v2.4.2 Monte Carlo program. The SUSY sample yields are normalized to the results of NLO calculations, as obtained using the PROSPINO [35] v2.1 program. The CTEQ6L6M [36] parameterization of the PDFs is used and the renormalization and factorization scales, $\mu_{R,F} = \mu$, are set to the sbottom mass. NLO cross sections vary between 12 pb and 0.05 pb for sbottom masses in the range 200–500 GeV. The MC samples are produced using parameters tuned as described in [37,38] and are processed through a detector simulation [39] based on GEANT4 [40]. Effects of multiple proton-proton interactions per bunch crossing are included in the simulation.

The signal efficiencies vary across the $\tilde{b}_1 - \tilde{\chi}_1^0$ mass plane. As an example, for the SR with $m_{T_{\text{miss}}} > 150 \text{ GeV}$ the efficiencies are found to be between 1% and 6% as the sbottom mass increases from 200 GeV to 500 GeV, and between 6% and 2% as $\Delta m = m_{\tilde{b}_1} - m_{\tilde{\chi}_1}$ decreases. The sensitivity for $\Delta m$ below 130 GeV is limited by the selection in $m_{T_{\text{miss}}}$ and the trigger-driven requirements on the leading jet $p_T$ and $E_T^{\text{miss}}$.

The main SM processes contributing to the background are top quark pair and single top production as well as associated production of $W/Z$ bosons with heavy flavor jets—referred to as $Z + h$ and $W + h$, respectively. In particular, the signal region with $m_{T_{\text{miss}}} > 100 \text{ GeV}$ is...
dominated by semileptonic $t\bar{t}$ events as a consequence of the $m_{CT}$ endpoint at 135 GeV in top pair production, while the signal regions with $m_{CT} > 150$, 200 GeV are dominated by the irreducible $Z + hf$ production with $Z \to \nu\nu$ decay, followed by $W + hf$ production with $W \to \tau\nu$. Contributions from multijet, diboson, and associated production of $t\bar{t}$ with $W, Z$ or additional $b$ jets are subdominant. Noncollision backgrounds were found to be negligible.

The estimation of the main background processes is carried out by defining data control regions where each background component is dominant. The background estimate in each SR is derived through “transfer factors” equivalent to the ratio of expected event yields in the signal and control regions estimated using the MC simulation. The contributions from top and $W + hf$ production are estimated using a transfer factor from a control region where events have exactly one electron or muon, $E_{\text{miss}} > 80$ GeV, and at least two $b$ jets with $p_T > 130$ GeV and 50 GeV. The transverse mass of the ($\ell, E_{\text{miss}}$) system is required to be between 40 GeV and 100 GeV to select events containing $W \to \ell\nu$. The contribution to this control region from other SM processes accounts for less than 10% of the total and is estimated from simulation. The $Z + hf$ contribution is estimated using a transfer factor from a control region where events have two opposite-sign same-flavor leptons ($\ell^+\ell^-$), at least two $b$ jets with $p_T > 80$ GeV and 50 GeV, and invariant mass of the two leptons $m_{\ell\ell}$ between 81 GeV and 101 GeV ($Z$-mass interval). In addition, the transverse momentum of the ($\ell^+, \ell^-$, $E_{\text{miss}}$) system is required to be greater than 50 GeV. The contribution from top quark production in this control region accounts for about 50% of the total and is subtracted using a sideband estimate in two 40 GeV mass windows above and below the $Z$-mass interval.

The subdominant background contribution from dibosons, $Zt\bar{t}$, $Wt\bar{t}$, and $t\bar{t}b\bar{b}$ is estimated using MC simulation and increases from 1% to 10% of the total SM prediction as the selection cut on $m_{CT}$ increases from 100 GeV to 200 GeV. Finally, the residual multijet background is estimated using data. A sample of multijet events with large $E_{\text{miss}}$ is constructed starting from multijet events with low $E_{\text{miss}}$ and smearing the momenta of jets with response functions. This prediction is tuned in a multijet dominated control region where the requirement on $\Delta\phi_{\text{min}}$ is inverted. The contribution is found to be less than 5% across the SRs.

The total systematic uncertainty on the background expectations varies from 21% to 44%, increasing with the $m_{CT}$ selection applied, and is dominated by the uncertainty due to finite data statistics in the control regions. The next dominant uncertainty on the SM estimates derives from the residual uncertainties on the theoretical modeling of the top background. It varies between 10% and 15% depending on the SR and is evaluated using additional MC samples: AERMC [42,43], for the impact of initial and final state radiation, PYTHIA and POWHEG [44] for choice of fragmentation model and generator. The residual uncertainties on the $W + hf$ and $Z + hf$ theoretical modeling account for less than 5% of the total uncertainty. Finally, the experimental uncertainties on the $b$-tagging efficiency and jet energy scale and resolution are also considered; across the SRs they vary between 5% and 8% and between 6% and 9%, respectively.

For the SUSY signal processes, uncertainties on renormalization and factorization scales and on the PDF affect the theoretical cross section. PDF uncertainties are estimated using the CTEQ6.6M PDF error eigenvector set and are between 7% and 16% depending on the sbottom mass. The variation of renormalization and factorization scales by a factor of 2 changes the nominal signal cross section, $\sigma_{\text{nom}}$, by $\pm 15\%$, independently of $m_{\tilde{b}_R}$. In the following, the cross sections calculated with scale settings $2 \times \mu$ and $\mu/2$ are referred to as $\sigma_{\text{min}}$ and $\sigma_{\text{max}}$, respectively. The impact of detector-related uncertainties, such as the jet energy scale and $b$-tagging, on the signal event yields varies between 35% and 45% and is dominated by the uncertainties on the $b$-tagging efficiency.

Table I reports the observed number of events and the SM predictions before the $m_{CT}$ selection and for each SR. Both transfer factor and MC estimates are given. The data are in good agreement with the SM background expectations within uncertainties in all cases. Figure 1 shows the measured $m_{CT}$ and $E_{\text{miss}}$ distributions before $m_{CT}$ selection compared to the SM predictions. MC estimates are rescaled to match the total integral predicted by the transfer factor estimates for $Z + hf$ and the sum of top and $W + hf$, respectively. For illustrative purposes, the distributions expected for the MSSM scenario with sbottom and neutralino mass planes of 300 GeV and 100 GeV, respectively, are added to the SM predictions. The results are translated into 95% confidence-level (C.L.) upper limits on contributions from new physics using the CLs prescription [45]. The SR with the best expected sensitivity at each point in parameter space is adopted as the nominal result. Figure 2 shows the observed and expected exclusion limits at 95% C.L. in the $\tilde{b}_1 - \tilde{\chi}^0_1$ mass plane, assuming $BR(\tilde{b}_1 \to b\tilde{\chi}^0_1) = 100\%$. Systematic uncertainties are treated as nuisance parameters and their correlations are taken into account. For the MSSM scenarios considered, the upper limit at 95% C.L. on the sbottom masses obtained in the most conservative hypothesis, $\sigma_{\text{min}}$, is 390 GeV for $m_{\tilde{b}_1} = 0$. The limit becomes 405 GeV for $\sigma_{\text{nom}}$ and 420 GeV for $\sigma_{\text{max}}$. Neutralino masses of 120 GeV are excluded for $275 < m_{\tilde{b}_1} < 350$ GeV. The three signal regions are used to set limits on the effective cross section of new physics models, $\sigma_{\text{eff}}$, including the effects of experimental acceptance and efficiency. The observed (expected)
excluded values of $\sigma_{\text{eff}}$ at 95% C.L. are 13.4 fb, 9.6 fb, and 5.6 fb (15.2 fb, 9.2 fb, and 4.7 fb), respectively, for $m_{\text{CT}} > 100, 150,$ and 200 GeV.

In summary, we report results of a search for sbottom pair production in $pp$ collisions at $\sqrt{s} = 7$ TeV, based on 2.05 fb$^{-1}$ of ATLAS data. The events are selected with large $E_T^{\text{miss}}$ and two jets consistent with originating from $b$ quarks in the final state. The results are in agreement with SM predictions for backgrounds and translate into 95% C.L. upper limits on sbottom and neutralino masses in a given MSSM scenario for which the exclusive decay $b_{1} \rightarrow b\tilde{\chi}_{1}^{0}$ is assumed. For neutralino masses below 60 GeV, sbottom masses up to 390 GeV are excluded, significantly extending previous results.

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[14] ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the center of the detector and the z axis coinciding with the axis of the beam pipe. The x axis points from the IP to the center of the LHC ring, and the y axis points upward. Cylindrical coordinates (r, φ) are used in the transverse plane, being the azimuthal angle around the beam pipe. The pseudorapidity is defined in terms of the polar angle θ as η = −ln[tan(θ/2)].

(ATLAS Collaboration)

1Department of Physics, University of Alberta, Edmonton AB, Canada
1bDepartment of Physics, Dumlupinar University, Kutahya, Turkey
3AABBDivision of Physics, TOBB University of Economics and Technology, Ankara, Turkey
3bTurkish Atomic Energy Authority, Ankara, Turkey
4LAPP, CNRS/IN2P3 and Université de Savoie, Annecy-le-Vieux, France
5High Energy Physics Division, Argonne National Laboratory, Argonne, Illinois, USA
6Department of Physics, University of Arizona, Tucson, Arizona, USA
7Department of Physics, The University of Texas at Arlington, Arlington, Texas, USA
8Physics Department, University of Athens, Athens, Greece
9Physics Department, National Technical University of Athens, Zografou, Greece

10Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan
11Institut de Física d’Altes Energies and Departament de Física de la Universitat Autònoma de Barcelona and ICREA, Barcelona, Spain
12aInstitute of Physics, University of Belgrade, Belgrade, Serbia
12bVinca Institute of Nuclear Sciences, Belgrade, Serbia
13Department of Physics and Technology, University of Bergen, Bergen, Norway
14Physics Division, Lawrence Berkeley National Laboratory and University of California, Berkeley, California, USA
15Department of Physics, Humboldt University, Berlin, Germany
16Albert Einstein Center for Fundamental Physics and Laboratory for High Energy Physics, University of Bern, Bern, Switzerland
17School of Physics and Astronomy, University of Birmingham, Birmingham, United Kingdom
18aDepartment of Physics, Bogazici University, Istanbul, Turkey
18bDivision of Physics, Dogus University, Istanbul, Turkey
18cDepartment of Physics Engineering, Gaziantep University, Gaziantep, Turkey
18dDepartment of Physics, Istanbul Technical University, Istanbul, Turkey
19aINFN Sezione di Bologna, Italy
19bDipartimento di Fisica, Università di Bologna, Bologna, Italy
19cPhysikalisches Institut, University of Bonn, Bonn, Germany
21Department of Physics, Boston University, Boston, Massachusetts, USA
22Department of Physics, Brandeis University, Waltham, Massachusetts, USA
23Universidade Federal do Rio De Janeiro COPPE/EE/IF, Rio De Janeiro, Brazil
23aFederal University of Juiz de Fora (UFJF), Juiz de Fora, Brazil
23bFederal University of Sao Joao del Rei (UFSJ), Sao Joao del Rei, Brazil
23cInstituto de Física, Universidade de Sao Paulo, Sao Paulo, Brazil
24Physics Department, Brookhaven National Laboratory, Upton, New York, USA
25aNational Institute of Physics and Nuclear Engineering, Bucharest, Romania
25bUniversity Politehnica Bucharest, Bucharest, Romania
25cWest University in Timisoara, Timisoara, Romania
26Departamento de Física, Universidad de Buenos Aires, Buenos Aires, Argentina
27Cavendish Laboratory, University of Cambridge, Cambridge, United Kingdom

181802-14
INFN Sezione di Roma Tor Vergata, Italy
Dipartimento di Fisica, Università di Roma Tor Vergata, Roma, Italy
INFN Sezione di Roma Tre, Italy
Dipartimento di Fisica, Università Roma Tre, Roma, Italy
Faculté des Sciences Ain Chock, Réseau Universitaire de Physique des Hautes Energies-Université Hassan II, Casablanca, Morocco
Centre National de l’Energie des Sciences Techniques Nucleaires, Rabat, Morocco
Université Cadi Ayyad, Faculté des sciences Semlalia Département de Physique, B.P. 2390 Marrakech 40000, Morocco
Faculté des Sciences, Université Mohamed Premier et LPTPM, Oujda, Morocco
Faculté des Sciences, Université Mohammed V, Rabat, Morocco
DSM/IRFU (Institut de Recherches sur les Lois Fondamentales de l’Univers), CEA Saclay (Commissariat à l’Energie Atomique), Gif-sur-Yvette, France
Santa Cruz Institute for Particle Physics, University of California Santa Cruz, Santa Cruz, California, USA
Department of Physics, University of Washington, Seattle, Washington, USA
Department of Physics and Astronomy, University of Sheffield, Sheffield, United Kingdom
Department of Physics, Shinshu University, Nagano, Japan
Fachbereich Physik, Universität Siegen, Siegen, Germany
Department of Physics, Simon Fraser University, Burnaby BC, Canada
SLAC National Accelerator Laboratory, Stanford, California, USA
Faculty of Mathematics, Physics & Informatics, Comenius University, Bratislava, Slovak Republic
Department of Subnuclear Physics, Institute of Experimental Physics of the Slovak Academy of Sciences, Kosice, Slovak Republic
Department of Physics, University of Johannesburg, Johannesburg, South Africa
School of Physics, University of the Witwatersrand, Johannesburg, South Africa
Department of Physics, Stockholm University, Sweden
The Oskar Klein Centre, Stockholm, Sweden
Physics Department, Royal Institute of Technology, Stockholm, Sweden
Department of Physics and Astronomy, Stony Brook University, Stony Brook, New York, USA
Department of Physics and Astronomy, University of Sussex, Brighton, United Kingdom
School of Physics, University of Sydney, Sydney, Australia
Institute of Physics, Academia Sinica, Taipei, Taiwan
Department of Physics, Technion: Israel Institute of Technology, Haifa, Israel
Raymond and Beverly Sackler School of Physics and Astronomy, Tel Aviv University, Tel Aviv, Israel
Department of Physics, Aristotle University of Thessaloniki, Thessaloniki, Greece
International Center for Elementary Particle Physics and Department of Physics, The University of Tokyo, Tokyo, Japan
Graduate School of Science and Technology, Tokyo Metropolitan University, Tokyo, Japan
Department of Physics, Tokyo Institute of Technology, Tokyo, Japan
Department of Physics, University of Toronto, Toronto ON, Canada
TRIUMF, Vancouver BC, Canada
Department of Physics and Astronomy, York University, Toronto ON, Canada
Institute of Pure and Applied Sciences, University of Tsukuba, 1-1-1 Tennodai, Tsukuba, Ibaraki 305-8571, Japan
Science and Technology Center, Tufts University, Medford, Massachusetts, USA
Centro de Investigaciones, Universidad Antonio Narino, Bogota, Colombia
Department of Physics and Astronomy, University of California Irvine, Irvine, California, USA
INFN Gruppo Collegato di Udine, Italy
ICTP, Trieste, Italy
Dipartimento di Chimica, Fisica e Ambiente, Università di Udine, Udine, Italy
Department of Physics, University of Illinois, Urbana, Illinois, USA
Department of Physics and Astronomy, University of Uppsala, Uppsala, Sweden
Instituto de Física Corpuscular (IFIC) and Departamento de Física Atómica, Molecular y Nuclear and Departamento de Ingeniería Electrónica and Instituto de Microelectrónica de Barcelona (IMB-CNM), University of Valencia and CSIC, Valencia, Spain
Department of Physics, University of British Columbia, Vancouver BC, Canada
Department of Physics and Astronomy, University of Victoria, Victoria BC, Canada
Waseda University, Tokyo, Japan
Department of Particle Physics, The Weizmann Institute of Science, Rehovot, Israel
Department of Physics, University of Wisconsin, Madison, Wisconsin, USA
Fakultät für Physik und Astronomie, Julius-Maximilians-Universität, Würzburg, Germany
Fachbereich C Physik, Bergische Universität Wuppertal, Wuppertal, Germany
Department of Physics, Yale University, New Haven, Connecticut, USA
Yerevan Physics Institute, Yerevan, Armenia
aDeceased.
bAlso at Laboratorio de Instrumentacao e Física Experimental de Partículas-LIP, Lisboa, Portugal.
cAlso at Faculdade de Ciências and CFNUL, Universidade de Lisboa, Lisboa, Portugal.
dAlso at Particle Physics Department, Rutherford Appleton Laboratory, Didcot, United Kingdom.
eAlso at TRIUMF, Vancouver BC, Canada.
fAlso at Department of Physics, California State University, Fresno, CA, USA.
gAlso at Novosibirsk State University, Novosibirsk, Russia.
hAlso at Fermilab, Batavia, IL, USA.
iAlso at Department of Physics, University of Coimbra, Coimbra, Portugal.
\+Also at Università di Napoli Parthenope, Napoli, Italy.
jAlso at Institute of Particle Physics (IPP), Canada.
kAlso at School of Physics, Middle East Technical University, Ankara, Turkey.
lAlso at Louisiana Tech University, Ruston LA, USA.
mAlso at Department of Physics and Astronomy, University College London, London, United Kingdom.

Also at Group of Particle Physics, University of Montreal, Montreal QC, Canada.
pAlso at Department of Physics, University of Cape Town, Cape Town, South Africa.
qAlso at Institute of Physics, Azerbaijan Academy of Sciences, Baku, Azerbaijan.
rAlso at Institut für Experimentalphysik, Universität Hamburg, Hamburg, Germany.
sAlso at Manhattan College, New York NY, USA.
tAlso at School of Physics, Shandong University, Shandong, China.
uAlso at CPPM, Aix-Marseille Université and CNRS/IN2P3, Marseille, France.
wAlso at School of Physics and Engineering, Sun Yat-sen University, Guangzhou, China.
xAlso at Academia Sinica Grid Computing, Institute of Physics, Academia Sinica, Taipei, Taiwan.
yAlso at DSM/IRFU (Institut de Recherches sur les Lois Fondamentales de l’Univers), CEA Saclay (Commissariat a l’Energie Atomique), Gif-sur-Yvette, France.
zAlso at Section de Physique, Université de Genève, Geneva, Switzerland.
aaAlso at Departamento de Física, Universidade de Minho, Braga, Portugal.
bbAlso at Institute for Particle and Nuclear Physics, Wigner Research Centre for Physics, Budapest, Hungary.
ccAlso at California Institute of Technology, Pasadena CA, USA.
ddAlso at Institute of High Energy Physics, Chinese Academy of Sciences, Beijing, China.

eAlso at Department of Physics and Astronomy, University of Sheffield, Sheffield, United Kingdom.
ffAlso at Department of Physics, Oxford University, Oxford, United Kingdom.
ggAlso at Institute of Physics, Academia Sinica, Taipei, Taiwan.
hhAlso at Department of Physics, The University of Michigan, Ann Arbor MI, USA.
iiAlso at Laboratoire de Physique Nucléaire et de Hautes Energies, UPMC and Université Paris-Diderot and CNRS/IN2P3, Paris, France.

\textit{176}Domaine Scientifique de la Doua, Centre de Calcul CNRS/IN2P3, Villeurbanne Cedex, France