Equations for formally real meadows

Bergstra, J.A.; Bethke, I.; Ponse, A.

DOI
10.1016/j.jal.2015.01.004

Publication date
2015

Document Version
Author accepted manuscript

Published in
Journal of Applied Logic

License
CC BY-NC-ND

Citation for published version (APA):
Equations for formally real meadows

Jan A. Bergstra\textsuperscript{a}, Inge Bethke\textsuperscript{a,\ast}, Alban Ponse\textsuperscript{a}

\textsuperscript{a}Section Theory of Computer Science, Informatics Institute, University of Amsterdam, The Netherlands

Abstract

We consider the signatures $\Sigma_m = (0, 1, -, +, \cdot, ^{-1})$ of meadows and $(\Sigma_m, s)$ of signed meadows. We give two complete axiomatizations of the equational theories of the real numbers with respect to these signatures. In the first case, we extend the axiomatization of zero-totalized fields by a single axiom scheme expressing formal realness; the second axiomatization presupposes an ordering. We apply these completeness results in order to obtain complete axiomatizations of the complex numbers.

Keywords: formally real meadow, signed meadow, real numbers, complex numbers, completeness theorems.

Classification codes: MSC: 12D15, ACM: F.4.1; F.1.2

1. Introduction

The signature $\Sigma_f = (0, 1, -, +, \cdot)$ of fields has two constants 0 and 1, a unary function $-$, and two binary functions $+$ and $\cdot$. The first-order theory of fields is given by the axioms of commutative rings (see Table 1) and two additional axioms, namely

\[0 \neq 1,\]
\[x \neq 0 \rightarrow \exists y \ x \cdot y = 1.\]

A field $F$ is said to be ordered if there exists a subset $F^{>0} \subseteq F$—the set of positive elements in $F$—such that $F^{>0}$ is closed under addition and
\begin{align*}
(x + y) + z &= x + (y + z) \\
x + y &= y + x \\
x + 0 &= x \\
x + (-x) &= 0 \\
(x \cdot y) \cdot z &= x \cdot (y \cdot z) \\
x \cdot y &= y \cdot x \\
1 \cdot x &= x \\
x \cdot (y + z) &= x \cdot y + x \cdot z
\end{align*}

Table 1: The set CR of axioms for commutative rings

multiplication, and \( F \) is the disjoint union of \( F^{>0} \), \( \{0\} \), and \( \{-a \mid a \in F^{>0}\} \). Then \( F \) is totally ordered if we define \( a > b \) to mean \( a - b \in F^{>0} \). Moreover, if \( a > b \), then \( a + c > b + c \) for every \( c \) and \( a \cdot c > b \cdot c \) for every \( c \in F^{>0} \). The theory of ordered fields is formulated over the signature \( \Sigma_{or} = (0, 1, +, -, \cdot, <) \). It has all the field axioms and, in addition, the axioms for a total ordering that is compatible with the field operations given in Table 2.

In 1927, the theory of ordered fields grew into the Artin-Schreier theory of ordered fields and formally real fields.

**Definition 1.1.** A field \( F \) is called formally real if \(-1\) is not a sum of squares in \( F \).

A main result of the Artin-Schreier theory (see e.g. [9]) states:

**Proposition 1.2.** Let \( F \) be an arbitrary field. \( F \) is formally real if and only if for all \( n \geq 0 \) and all \( x_0, \ldots, x_n \in F \) we have

\[
\Sigma_{i=0}^n x_i^2 = 0 \Rightarrow x_0 = \cdots = x_n = 0.
\]

Formally real fields can therefore be axiomatized by the following infinite list of axioms, one for each \( n \geq 0 \),

\[
\forall x_0 \forall x_1 \cdots \forall x_n (x_0 \cdot x_0 + \cdots + x_n \cdot x_n = 0 \Rightarrow (x_0 = 0 \land \cdots \land x_n = 0)).
\]

A formally real field has no defined order relation. However, it is always possible to find an ordering (and often more) that will change a formally real
field into an ordered field. One can view a formally real field as an ordered field where the ordering is not explicitly given. The fields of rational numbers $\mathbb{Q}$ and of real numbers $\mathbb{R}$ are examples.

\[
x \neq 0 \rightarrow (x < 0 \lor 0 < x) \quad \text{(OF1)}
\]
\[
x < y \rightarrow \neg(y < x \lor x = y) \quad \text{(OF2)}
\]
\[
x < y \rightarrow x + z < y + z \quad \text{(OF3)}
\]
\[
x < y \land 0 < z \rightarrow x \cdot z < y \cdot z \quad \text{(OF4)}
\]

Table 2: The set $OF$ of axioms for ordered fields

Since the signature of fields does not include a multiplicative inverse, the axiom for the inverse is not universal, and therefore a substructure of a field closed under multiplication is not always a field. This can be remedied by adding a unary inverse operation $-1$ to the language. In meadows were defined as members of a variety specified by equations. A meadow is a commutative ring equipped with a total unary operation $-1$ named inverse that satisfies $0^{-1} = 0$. Every field $F$ can be expanded to a meadow (or zero-totalized field) $F_0$ after making the inverse operator total by $0^{-1} = 0$. Thus $\mathbb{Q}_0, \mathbb{R}_0$ and $\mathbb{C}_0$ are meadows—the meadows of the rational, real and complex numbers, respectively.

An advantage of meadows over working with the signature of fields is that it facilitates formal reasoning without requiring the use of either a logic of partial operations or a three valued logic. We will exploit this advantage and prove two completeness results the statement and meaning of which are accessible to all people who have been exposed to real numbers in elementary mathematics. We will view the real numbers as a formally real meadow which can be equipped with an ordering that is encoded in a sign function. We will prove that all valid equations over the meadow of reals are derivable from the axioms of meadows plus an axiom scheme expressing formal realness; the valid equations over the signed meadow of the reals follow from the axioms of meadows together with the axioms for the sign function.

The remainder of this paper is organized as follows. The next section comprises preliminaries and a digression on meadows of characteristic 0. In Section we introduce formally real meadows and provide two axiomatizations with accompanying completeness results. Our first completeness result
is
\[ Md + EFR \vdash s = t \quad \text{if and only if} \quad \mathbb{R}_0 \models s = t \quad (†) \]
where \( Md \) is a finite equational axiomatization of meadows and \( EFR \) is an equational axiom scheme expressing formal realness. We obtain (†) as an immediate consequence of the Artin-Schreier Theorem and Tarski’s theorem on quantifier elimination for real closed fields. Moreover, we introduce signed meadows and give a finite axiomatization of formally real meadows expanded by a sign function. Our second completeness result with respect to formally real meadows is
\[ Md + \text{Signs} \vdash s = t \quad \text{if and only if} \quad (\mathbb{R}_0, s) \models s = t \quad (‡) \]
with \( \text{Signs} \) a finite set of axioms for the sign function \( s \). Also (‡) relies on both the Artin-Schreier Theorem and Tarski’s theorem. In the last section, we apply these completeness results and obtain complete axiomatizations of the meadow of complex numbers.

2. Preliminaries

In [6] meadows were defined as the members of a variety specified by twelve equations. However, in [3] it was established that the ten equations in Table 3 imply those used in [6]. Summarizing, a meadow is a commutative ring with unit equipped with a total unary operation \(-1\) named \textit{inverse} that satisfies the two equations
\[
(x^{-1})^{-1} = x, \\
x \cdot (x \cdot x^{-1}) = x.
\]
(RIL)

Here RIL abbreviates \textit{Restricted Inverse Law}. We write \( Md \) for the set of axioms in Table 3 and write \( \Sigma_m = (\Sigma_f, -1) \) for the signature of meadows. From the axioms in \( Md \) the following identities are derivable:
\[
0^{-1} = 0, \\
(-x)^{-1} = -(x^{-1}), \\
(x \cdot y)^{-1} = x^{-1} \cdot y^{-1}, \\
0 \cdot x = 0, \\
x \cdot -y = -(x \cdot y), \\
-(-x) = x.
\]
\[(x + y) + z = x + (y + z)\]
\[x + y = y + x\]
\[x + 0 = x\]
\[x + (-x) = 0\]
\[(x \cdot y) \cdot z = x \cdot (y \cdot z)\]
\[x \cdot y = y \cdot x\]
\[1 \cdot x = x\]
\[x \cdot (y + z) = x \cdot y + x \cdot z\]
\[(x^{-1})^{-1} = x\]
\[x \cdot (x \cdot x^{-1}) = x\]

Table 3: The set \(Md\) of axioms for meadows

We often use the derived operators subtraction, pseudo ones and pseudo zeros given in Table 4. Pseudo constants enjoy a couple of nice properties which are listed in the appendix. The most prominent are \(0_0 = 1_1 = 1\), \(0_1 = 1_0 = 0\) and

\[0_t + 1_t = 1\] and \(0_t \cdot 1_t = 0\)

for all terms \(t\). In the remainder we shall tacitly assume that a meadow has subtraction and pseudo constants. Moreover, we freely use numerals \(n\)—defined by \(0 = 0, 1 = 1\) and \(n + 1 = n + 1\) for \(n \geq 1\)—and exponentiation with constant integer exponents.

The term cancellation meadow is introduced in [4] for a zero-totalized field that satisfies the so-called “cancellation axiom”

\[x \neq 0 \land x \cdot y = x \cdot z \rightarrow y = z.\]

\[x - y = x + (-y)\]
\[1_x = x \cdot x^{-1}\]
\[0_x = 1 - 1_x\]

Table 4: The derived operators subtraction, pseudo ones and pseudo zeros
An equivalent version of the cancellation axiom that we shall further use in this paper is the Inverse Law (IL), i.e., the conditional axiom
\[ x \neq 0 \rightarrow x \cdot x^{-1} = 1. \] (IL)

So IL states that there are no proper zero divisors. (Another equivalent formulation of the cancellation property is \( x \cdot y = 0 \rightarrow x = 0 \lor y = 0 \).)

Paradigm cancellation meadows are \( \mathbb{Q}_0, \mathbb{R}_0 \) and \( \mathbb{C}_0 \). However, there also exist meadows with proper zero divisors and infinite meadows with characteristic 0 different from \( \mathbb{Q}_0, \mathbb{R}_0 \) and \( \mathbb{C}_0 \). For example, in [7] it is proved that \( \mathbb{Z}/n\mathbb{Z} \) with elements \{0, 1, \ldots, n − 1\} where arithmetic is performed modulo \( n \) is a meadow if \( n \) is squarefree, i.e. \( n \) is the product of pairwise distinct primes. Thus \( \mathbb{Z}/10\mathbb{Z} \) is a meadow where \( 2 \neq 0 \neq 5 \) but \( 2 \cdot 5 = 0 \). The existence of an infinite non-cancellation meadow is shown in the following theorem.

**Theorem 2.1.** There exists a non-cancellation meadow \( M \) of characteristic 0 which does not have \( \mathbb{Q}_0 \) as a subalgebra.

**Proof.** Choose a new constant symbol \( a \). For \( k \in \mathbb{N} \) let
\[ E_k = \{ a \neq 0 \} \cup \{ n \neq 0 \mid n \in \mathbb{N}, 0 < n < k \} \cup \{ 2 \cdot a = 0 \} \cup Md. \]
Moreover, choose a prime \( p \neq 2 \) exceeding \( k \) and interpret \( a \) in \( \mathbb{Z}/2p\mathbb{Z} \) by \( p \). Then \( \mathbb{Z}/2p\mathbb{Z} \models E_k \). It follows that \( E_k \) is consistent and therefore \( E = \bigcup_{k=1}^{\infty} E_k \) is consistent by the compactness theorem. Let \( M \) be a model for \( E \).
Then
1. \( M \) is a meadow, since \( Md \subseteq E \),
2. \( M \) has characteristic 0, since \( M \models n \neq 0 \) for all \( n \in \mathbb{N} \) with \( n \neq 0 \),
3. \( M \) is not a cancellation meadow, since \( M \models 2 \neq 0, M \models a \neq 0 \), but \( M \models 2 \cdot a = 0 \), and
4. \( M \models 2 \cdot 2^{-1} \neq 1 \), for otherwise
\[ a = 1 \cdot a = 2 \cdot 2^{-1} \cdot a = 2^{-1} \cdot 2 \cdot a = 2^{-1} \cdot 0 = 0; \]

hence \( \mathbb{Q}_0 \) is not a subalgebra of \( M \). \( \square \)

In [2], we proved a finite basis result for the equational theory of cancellation meadows. This result is formulated in a generic way so that it can be applied to any expansion of a meadow that satisfies the propagation properties defined below.
Definition 2.2. Let $\Sigma$ be an extension of $\Sigma_m$ and $E \supseteq Md$.

1. $(\Sigma, E)$ has the propagation property for pseudo ones if for each pair of $\Sigma$-terms $t, r$ and context $C[\ ]$, 
   \[ E \vdash 1_t \cdot C[r] = 1_t \cdot C[1_t \cdot r]. \]

2. $(\Sigma, E)$ has the propagation property for pseudo zeros if for each pair of $\Sigma$-terms $t, r$ and context $C[\ ]$, 
   \[ E \vdash 0_t \cdot C[r] = 0_t \cdot C[0_t \cdot r]. \]

Preservation of these propagation properties admits the following nice result:

Theorem 2.3 (Generic Basis Theorem for Cancellation Meadows). If $\Sigma \supseteq \Sigma_m, E \supseteq Md$ and $(\Sigma, E)$ has the pseudo one propagation property and the pseudo zero propagation property, then

\[ E \vdash s = t \text{ if and only if } E + IL \models s = t \]

for all $s, t \in \Sigma$.

Proof. This is Theorem 3.1 of [2].

Meadow terms can be represented in a particular standard way.

Definition 2.4. A term $P$ over $\Sigma_m$ is a Standard Meadow Form (SMF) if, for some $n \in \mathbb{N}$, $P$ is an SMF of level $n$. SMFs of level $n$ are defined as follows:

- SMF of level 0: each expression of the form $s \cdot t^{-1}$ with $s$ and $t$ $\Sigma_f$-terms,
- SMF of level $n+1$: each expression of the form 
  \[ 0_t \cdot P + 1_t \cdot Q \]
with $t$ a $\Sigma_f$-term and $P$ and $Q$ SMFs of level $n$.

Theorem 2.5. For each term $s$ over $\Sigma_m$ there exists an SMF $s'$ with the same variables such that $Md \vdash s = s'$.

Proof. This is Theorem 2.1 of [2].
It follows that every meadow equation has a first-order representation over the signature of fields. Since we will apply the first-order representation solely in the context of cancellation meadows we may freely use IL.

**Corollary 2.6.** For each equation \( s = t \) over \( \Sigma_m \) there exists a quantifier-free first-order formula \( \phi(s, t) \) over \( \Sigma_f \) with the same variables such that

\[
Md + IL \models s = t \iff \phi(s, t).
\]

**Proof.** By the preceding theorem we may assume that both \( s \) and \( t \) are SMF’s. We employ induction on the levels \( n, m \) of \( s \) and \( t \), respectively.

1. \( n = 0 \): Then there are terms \( s_1, s_2 \) over \( \Sigma_f \) such that \( s = s_1 \cdot s_2^{-1} \).
   (a) \( m = 0 \): Then there are terms \( t_1, t_2 \) over \( \Sigma_f \) such that \( t = t_1 \cdot t_2^{-1} \).
   Since \( s = t \) we have \( s_2 \cdot t_2 \cdot (s_1 \cdot t_2 - t_1 \cdot s_2) = 0 \) and hence \( s_2 = 0 \) or \( t_2 = 0 \) or \( s_1 \cdot t_2 = t_1 \cdot s_2 \). Thus we can take
   \[
   \phi(s, t) \equiv (s_2 = 0 \rightarrow (t_1 = 0 \lor t_2 = 0)) \land
   (t_2 = 0 \rightarrow (s_1 = 0 \lor s_2 = 0)) \land
   (s_2 \cdot t_2 \neq 0 \rightarrow s_1 \cdot t_2 = t_1 \cdot s_2).
   \]
   (b) \( m = k + 1 \): Then \( t = 0_{\nu} \cdot P + 1_{\nu} \cdot Q \) for some \( \Sigma_f \)-term \( t' \) and SMFs of level \( k \). Observe that if \( t' = 0 \), then \( 1_{\nu} = 0 \) and \( 0_{\nu} = 1 \) and therefore \( s = P \). Likewise, if \( t' \neq 0 \), then \( 1_{\nu} = 1 \) and \( 0_{\nu} = 0 \) and therefore \( s = Q \). Thus we can take
   \[
   \phi(s, t) \equiv (t' = 0 \rightarrow \phi(s, P)) \land (t' \neq 0 \rightarrow \phi(s, Q)).
   \]

2. \( n = l + 1 \): Then \( s = 0_{\nu} \cdot P + 1_{\nu} \cdot Q \) for some \( \Sigma_f \)-term \( s' \) and SMFs \( P, Q \) of level \( k \). Now we argue as in case 1(b) and take
   \[
   \phi(s, t) \equiv (s' = 0 \rightarrow \phi(P, t)) \land (s' \neq 0 \rightarrow \phi(Q, t)).
   \]

We use pseudo ones to give an infinite axiomatization of meadows of characteristic 0 in Table 5. As a corollary to Theorem 2.3 we have:

**Corollary 2.7.** Let \( s, t \in \Sigma_m \). Then

\[
Md + C0 \models s = t \quad \text{if and only if} \quad Md + C0 + IL \models s = t.
\]
Proof. Since \((Md, \Sigma_m)\) has the propagation properties (see Corollary 3.1 of \([2]\)), the derived operators also share these properties. We therefore can apply Theorem 2.3.

An extension field \(\widehat{F}\) of a field \(F\) is any field containing \(F\) as a subalgebra. An extension field \(\widehat{F}\) of \(F\) is said to be algebraically closed if every non-trivial polynomial has a root in \(F\). Every field has an algebraic closure. In 1951, Tarski [13] proved that the theory of algebraically closed fields in the first-order language over the signature \((0, 1, -, +, \cdot)\) admits elimination of quantifiers. The most important model theoretic consequences hereof are the completeness of the theory of algebraically closed fields of a given characteristic—in particular, the theory of algebraically closed fields of characteristic 0 coincides with the theory of the complex numbers over the signature \((0, 1, -, +, \cdot)\)—and its decidability (see e.g. \([8, 10]\)).

A reduct of an algebraic structure is obtained by omitting some of the operations and relations of that structure. The converse of a reduct is an expansion. In the sequel, we will write \(M|\Sigma'\) for the reduct of the \(\Sigma\)-algebra \(M\) to \(\Sigma' \subseteq \Sigma\) and \((M, \circ)\) for the expansion of \(M\) by an operation or relation \(\circ\). In particular, we will write \(M|\Sigma_f\) for the reduct of the meadow \(M\) to the signature of fields, and \((M, s)\) and \((M, <)\) for the expansion of the meadow \(M\) with a sign function and order relation, respectively.

**Theorem 2.8.** Let \(s, t\) be \(\Sigma_m\)-terms. Then

\[ Md + C0 \vdash s = t \quad \text{if and only if} \quad C_0 \models s = t. \]

Proof. Because \(C_0\) constitutes a meadow of characteristic 0, soundness is immediate.

Assume that \(s = t\) is not derivable from \(Md + C0\). By the previous corollary, there exists a cancellation meadow \(M\) of characteristic 0 with \(M \not\models s = t\). Since \(M\) is a field, it has an algebraic closure, say, \(\widehat{M}\). Then \(\widehat{M} \not\models s = t\) and hence \(\widehat{M}|\Sigma_f \not\models \phi(s, t)\) where \(\phi(s, t)\) is the \(\Sigma_f\)-representation given in Corollary 2.6. Therefore \(C_0|\Sigma_f \not\models \phi(s, t)\) by completeness and thus \(C_0 \not\models s = t\). \qed
Remark 2.9. Initial algebras provide standard models of equational specifications. They contain only elements that can be constructed from those appearing in the specification, and satisfy only those closed equations that appear in the specification or are logical consequences of them. It is easy to see that $Md + C0$ constitutes an initial algebra specification of the rational numbers. See also [6].

Remark 2.10. In a way very similar to Theorem 2.8 one can show that $Md + \{p = 0\}$ is a complete axiomatization of algebraically closed fields of characteristic $p$.

3. Formally real meadows

Our first axiomatization of formal realness is the infinite set of axioms given in Table 6.

Definition 3.1. A meadow $M$ is called formally real if $M \models EFR$.

Observe that $(EFR_n)$ is an equational representation of the conditional axiom

$$CEFR_n \equiv x_0^2 + \cdots + x_n^2 = 0 \rightarrow x_0 = 0.$$

Proposition 3.2. For all $n \geq 0$, $Md + EFR \vdash CEFR_n$.

Proof. Suppose $x_0^2 + \cdots + x_n^2 = 0$. Then $0_{x_0^2 + \cdots + x_n^2} = 0 = 1$. Hence $x_0 = 0$ by $(EFR_n)$.

Again $Q_0$ and $R_0$ are formally real meadows; however, $C_0$ is not formally real.

As a corollary to Theorem 2.3 we have

Corollary 3.3. Let $s, t \in \Sigma_m$. Then

$$Md + EFR \vdash s = t \quad \text{if and only if} \quad Md + EFR + IL \models s = t.$$
Proof. This follows again from the fact that \((Md, \Sigma_m)\) has the propagation properties (see Corollary 3.1 of [2]).

**Remark 3.4.** As an alternative we could have chosen the axiom scheme AEFR given in Table 7 as an axiomatization of formal realness. Observe that \((AEFR_n)\) expresses the fact that

\[
\sum_{i=0}^{n} x_i^2 \neq -1
\]

which is somewhat closer to the definition of formal realness found in the literature (see also Definition [1,4]). In the following theorem we prove that EFR and AEFR are indeed equivalent in the context of meadows.

\[
1 + x_0^2 + \cdots + x_n^2 = 1 \quad (AEFR_n)
\]

Table 7: The set AEFR of alternative axioms for formally real meadows

**Theorem 3.5.** Let \(M\) be a meadow and \(s, t \in \Sigma_m\). Then

\(Md + EFR \vdash s = t\) if and only if \(Md + AEFR \vdash s = t\).

Proof. Observe that Corollary 3.3 also holds for AEFR instead of EFR. It therefore suffices to prove that

\[M \models EFR \quad \text{if and only if} \quad M \models AEFR\]

for every cancellation meadow \(M\). The claim now follows from Proposition 1.2 and the fact that a cancellation meadow is a field.

A real closed field \(F\) is a formally real field in which every positive element has a square root and, moreover, every polynomial of odd degree in one indeterminate with coefficients in \(F\) has a root. In [13], Tarski also proved quantifier elimination for real closed fields in the first-order language over the signature \((0, 1, -, +, \cdot, <)\). As a consequence, the theory of real closed fields is complete —in particular, the theory of real closed fields coincides with the theory of the real numbers over the signature \((0, 1, +, \cdot, -, <)\)—and is decidable (see e.g. [8,10]).
If \((F, <)\) is an ordered field, the Artin-Schreier Theorem \([1]\) states that \(F\) has an algebraic extension, called the real closure \(\hat{F}\) of \(F\), such that \(\hat{F}\) is a real closed field whose ordering is an extension of the given ordering \(<\) on \(F\) and is unique up to isomorphism of fields. The classical proof of this theorem relies on the use of Zorn’s Lemma which is equivalent to the axiom of choice. However, in 1991 Sander \([12]\) showed the existence of real closures of ordered fields in Zermelo-Fränkel axiomatic set theory.

Our first completeness result for formally real meadows follows the proof of Theorem 2.8 and, in addition, relies on the Artin-Schreier Theorem and Tarski’s theorem for real closed fields.

**Theorem 3.6.** Let \(s, t\) be \(\Sigma_m\)-terms. Then

\[ Md + EFR \vdash s = t \quad \text{if and only if} \quad \mathbb{R}_0 \models s = t. \]

**Proof.** Because the meadow of real numbers is formally real, soundness is immediate.

Assume that \(s = t\) is not derivable from \(Md + EFR\). From Corollary 3.3 we get the existence of a formally real cancellation meadow \(M\) with \(M \not\models s = t\). Since \(M\) is formally real, it is orderable by, say, \(<\). By the Artin-Schreier Theorem \((M, <)\) has the real closure \((\hat{M}, <)\). Since \((\hat{M}, <) \not\models s = t\), we find that \((\hat{M}, <)|\Sigma_f \not\models \phi(s, t)\) where \(\phi(s, t)\) is the first-order representation of \(s = t\) given in Corollary 2.6. Since the theory of real closed fields coincides with the theory of the real numbers over the signature \((0, 1, -, +, \cdot, <)\), we can conclude that \(\mathbb{R}_0|\Sigma_f \not\models \phi(s, t)\) and therefore \(\mathbb{R}_0 \not\models s = t\).

The theory of \(Md + EFR\) or, equivalently, the theory of \(Md + AEFR\) cannot be finitely axiomatized.

**Theorem 3.7.** \(Md + AEFR\) has no finite axiomatization.

**Proof.** Suppose \(Md + AEFR\) has a finite axiomatization. Then by pure logic, there must exist \(n \in \mathbb{N}\) such that \(Md + AEFR_n\) is an axiomatization of \(Md + AEFR\). In order to obtain a contradiction, we construct a cancellation meadow \(K_n\) with

1. every sum of \(n + 1\) squares is unequal to \(-1\) (and thus \(K_n\) satisfies \(AEFR_n\)), and
2. \(-1\) is the sum of \(m + 1\) squares for some \(m > n\) (and thus \(K_n\) does not satisfy \(AEFR_m\)).
The **Pythagoras number** of a field is the smallest positive integer $p$ such that every sum of squares is a sum of $p$ squares. In [11], Prestel showed that for every natural number $k$ there exists an ordered subfield $R_k$ of $\mathbb{R}$ with Pythagoras number $k$. Now let for $k = (n + 2)(n + 1) + 1$ $R_k$ be such an ordered subfield of $\mathbb{R}$ with Pythagoras number $k$ and choose $r = r_1^2 + \cdots + r_k^2$ in $R_k$ that cannot be written as the sum of $(n + 2)(n + 1)$ squares. Let $K_n = R_k(\sqrt{-r})$ be the zero-totalized field extension of $R_k$ with the root of $-r$ and observe that in $K_n$

1. every sum of $n+1$ squares is unequal to $-1$: Suppose $s_1^2 + \cdots + s_{n+1}^2 = -1$. Viewing $K_n$ as a quotient ring we can write every $s_i$ as $a_i + b_i \cdot \sqrt{-r}$ with $a_i, b_i \in R_k$. It follows that

$$-1 = \Sigma_{i=1}^{n+1} (a_i^2 - b_i^2 r) + (\Sigma_{i=1}^{n+1} 2a_i b_i) \cdot \sqrt{-r}.$$ 

Therefore $-1 = \Sigma_{i=1}^{n+1} (a_i^2 - b_i^2 r)$. Since $R_k$ is an ordered field, $-1$ cannot be the sum of squares. So $b_1^2 + \cdots + b_{n+1}^2 \neq 0$. Hence

$$r = (1^2 + a_1^2 + \cdots + a_{n+1}^2)(b_1^2 + \cdots + b_{n+1}^2)^{-1}.$$ 

Thus

$$r = (1^2 + a_1^2 + \cdots + a_{n+1}^2)(b_1^2 + \cdots + b_{n+1}^2)(b_1^2 + \cdots + b_{n+1}^2)^{-2}.$$ 

Whence $r$ can be written as a sum of $(n + 2)(n + 1)$ squares contrary to the particular choice of $r$.

2. $-1$ is the sum of $k$ squares: Observe that $r \neq 0$, since it cannot be written as the sum of $(n + 2)(n + 1)$ squares. Therefore

$$-1 = r \cdot (\sqrt{-r})^{-2} = r_1^2 \cdot (\sqrt{-r})^{-2} + \cdots + r_k^2 \cdot (\sqrt{-r})^{-2} = (r_1 \cdot \sqrt{-r}^{-1})^2 + \cdots + (r_k \cdot \sqrt{-r}^{-1})^2.$$ 

A finite axiomatization of formal realness is obtained by extending the signature $\Sigma_m$ of meadows with the unary sign (or signum) function $s(x)$. We write $\Sigma_{fs}, \Sigma_{ms}$ for these extended signatures, so $\Sigma_{fs} = (\Sigma_f, s)$ and $\Sigma_{ms} = (\Sigma_m, s)$. The sign function $s(x)$ presupposes an ordering on its domain and is defined by

$$s(x) = \begin{cases} 
-1 & \text{if } x < 0, \\
0 & \text{if } x = 0, \\
1 & \text{if } x > 0.
\end{cases}$$
We define the sign function in an equational manner by the set *Signs* of axioms given in Table 8. First, notice that by *Md* and axiom (S1) (or axiom (S2)) we find

\[ s(0) = 0 \quad \text{and} \quad s(1) = 1. \]

Then, observe that in combination with the inverse law *IL*, axiom (S6) is an equational representation of the conditional axiom

\[ s(x) = s(y) \rightarrow s(x + y) = s(x). \]

From *Md* and axioms (S3)–(S6) one can easily compute \( s(t) \) for any closed term \( t \). Some more consequences of the *Md+Signs* axioms are these (see [2]):

\[
\begin{align*}
  s(x^2) &= 1_x \quad \text{(S7)} \\
  s(x^3) &= s(x) \quad \text{(S8)} \\
  1_x \cdot s(x) &= s(x) \quad \text{(S9)} \\
  s(x)^{-1} &= s(x) \quad \text{(S10)} \\
  s(s(x)) &= s(x) \quad \text{(S11)}
\end{align*}
\]

Expanding \( \mathbb{Q}_0 \) and \( \mathbb{R}_0 \) in the obvious way, we obtain signed meadows \( (\mathbb{Q}_0, s) \) and \( (\mathbb{R}_0, s) \).

Signed meadows need not be cancellation meadows. This can easily be seen as follows. Expand the signature of signed meadows by two new constants \( a, b \) and consider the equational theory \( T = Md + Signs + \{ a \cdot b = 0 \} \). We obtain a model for \( T \) by interpreting in \( (\mathbb{R}_0, s) \) \( a \) by 0 and \( b \) by 1 and construct a second model by interchanging the interpretations of \( a \) and \( b \). It

Table 8: The set *Signs* of axioms for the sign function

<table>
<thead>
<tr>
<th>Axiom</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>(S1)</td>
<td>( s(1) = 1 )</td>
</tr>
<tr>
<td>(S2)</td>
<td>( s(0) = 0 )</td>
</tr>
<tr>
<td>(S3)</td>
<td>( s(-1) = -1 )</td>
</tr>
<tr>
<td>(S4)</td>
<td>( s(x^{-1}) = s(x) )</td>
</tr>
<tr>
<td>(S5)</td>
<td>( s(x \cdot y) = s(x) \cdot s(y) )</td>
</tr>
<tr>
<td>(S6)</td>
<td>( 0 \cdot s(x - s(y)) \cdot (s(x + y) - s(x)) = 0 )</td>
</tr>
</tbody>
</table>
follows that the initial algebra of $T$ satisfies neither $a = 0$ nor $b = 0$. However, $Md \cup \text{Signs}$ has the propagation properties for pseudo ones and zeros (see Corollary 5.1 of [2]). We therefore have the following finite basis result for signed cancellation meadows.

**Corollary 3.8.** Let $s, t \in \Sigma_{ms}$. Then

$$Md + \text{Signs} \vdash s = t$$ if and only if $$Md + \text{Signs} + IL \vdash s = t.$$

Signed meadows are formally real.

**Proposition 3.9.** For every $n \geq 0$, $Md + \text{Signs} \vdash EFR_n$.

**Proof.** We give a semantic proof; a syntactic proof can be found in the appendix. By Corollary 3.8 it suffices to prove that $M \models EFR_n$ for all signed cancellation meadows. Thus assume $M \models Md + \text{Signs} + IL$. Observe that if $a_0^2 + \cdots + a_m^2 \neq 0$ then $1_{a_0^2 + \cdots + a_m^2} = 1$ and hence $0_{a_0^2 + \cdots + a_m^2} \cdot a_0 = 0$. We can therefore assume that $a_0^2 + \cdots + a_n^2 = 0$ and prove $M \models EFR_n$ by induction on $n$. $EFR_0$ follows from the cancellation property. For $n = m + 1$ we distinguish three cases.

Case $a_0 = 0$: Then $0_{a_0^2 + \cdots + a_m^2} \cdot a_0 = 0$.

Case $a_i = 0$ for some $0 < i \leq m + 1$: Without loss of generality we may assume that $i = m + 1$. Then $a_0^2 + \cdots + a_m^2 + a_{m+1}^2 = a_0^2 + \cdots + a_m^2$. Hence

$$0_{a_0^2 + \cdots + a_m^2} \cdot a_0 = 0_{a_0^2 + \cdots + a_m^2} \cdot a_0 = 0$$

by the induction hypothesis.

Case $a_i \neq 0$ for all $0 \leq i \leq m + 1$: We obtain a contradiction as follows. Since $a_0^2 + \cdots + a_m^2 + a_{m+1}^2 = 0$ we have $s(a_0^2 + \cdots + a_m^2) = s(0) = 0$. On the other hand, it follows from the cancellation property and (S7) that $s(a_i^2) = 1$ for every $0 \leq i \leq m + 1$ and hence $s(a_0^2 + \cdots + a_{m+1}^2) = 1$ by (S6). □

We can represent signed meadow terms in a standard way similar to ordinary meadow terms.

**Definition 3.10.** A term $P$ over $\Sigma_{ms}$ is a Signed Standard Meadow Form (SSMF) if, for some $n \in \mathbb{N}$, $P$ is an SSMF of level $n$. SSMFs of level $n$ are defined as follows:

- SSMF of level $0$: each expression of the form $s \cdot t^{-1}$ with $s$ and $t$ $\Sigma_{fs}$-terms,
- SSMF of level $n + 1$: each expression of the form

$$0_t \cdot P + 1_t \cdot Q$$

with $t$ a $\Sigma_{fs}$-term and $P$ and $Q$ SSMFs of level $n$. 

15
Theorem 3.11. For each term $s$ over $\Sigma_{ms}$ there exists an SSMF $s'$ with the same variables such that $Md + \text{Signs} \vdash s = s'$.

Proof. In \cite{2} a proof is given for $\Sigma_{m}$-terms by structural induction. It thus suffices to prove that the set of SSMFs is closed under $s$. We employ induction on level height $n$. If the SSMF $t$ has level 0 then $t = s_1 \cdot s_2^{-1}$ for $\Sigma_{fs}$-terms $s_1, s_2$. Then

$$s(t) = s(s_1 \cdot s_2^{-1}) = s(s_1) \cdot s(s_2^{-1}) = s(s_1) \cdot s = s(s_1 \cdot s_2) \cdot 1^{-1}$$

by axiom (S4) and (S5). Assume $t = 0_s \cdot P + 1_s \cdot Q$ for some $\Sigma_{fs}$-term $s$ and SSMFs $P, Q$ of level $n$. Then $0_s \cdot t = 0_s \cdot P$ and $1_s \cdot t = 1_s \cdot Q$. Hence

$$s(t) = (0_s + 1_s) \cdot s(t) = 0_s \cdot s(t) + 1_s \cdot s(t) = s(0_s \cdot t) + s(1_s \cdot t) = 0_s \cdot s(P) + 1_s \cdot s(Q)$$

by (S1), (S2) and (S5). \hfill \Box

As in the case of ordinary meadow equations, it follows that every signed meadow equation has a first-order representation over the signature of signed fields. In the corollary and the proposition below we again apply freely IL since we will use these results only in the context of cancellation meadows.

Corollary 3.12. For each equation $s = t$ over $\Sigma_{ms}$ there exists a quantifier-free first-order formula $\phi(s, t)$ over $\Sigma_{fs}$ with the same variables such that

$$Md + \text{Signs} + \text{IL} \vdash s = t \iff \phi(s, t).$$

Given a signed cancellation meadow, we shall consider it ordered by the order induced by the sign function. This can be expressed by the axiom

$$x < y \iff s(y - x) = 1. \quad (SO)$$

Proposition 3.13.

1. $Md + \text{IL} + \text{Signs} + SO \vdash OF$, and
2. for all terms $s, t$ over $\Sigma_{fs}$ there exists a formula $\psi(s, t)$ over $(\Sigma_f, <)$ with the same free variables such that

$$Md + \text{IL} + \text{Signs} + SO \vdash s = t \iff \psi(s, t).$$
Proof. 1. We prove the derivability of the axioms given in Table 2.

(OF1): Assume $x \neq 0$. Then $1_x = 1$. Therefore

\[
(1 - s(x)) \cdot (1 + s(x)) = 1_x \cdot (1 - s(x)^2) \\
= 1_x - s(x)^2 \quad \text{by (S3)} \\
= 1_x - 1_x \quad \text{by (S5), (S7)} \\
= 0.
\]

Hence $s(x) = 1$ or $s(x) = -1$ by cancellation. If $s(x) = 1$ then $0 < x$; if $s(x) = -1$ then $1 = -1 \cdot s(x) = s(-1) \cdot s(x) = s(-x)$ and thus $x < 0$.

(OF2): Assume $x < y$. Then $s(y - x) = 1$. Thus, if $y < x$ then $s(x - y) = s(y - x)$ and so $0 = s(0) = s((y - x) + (x - y)) = 1$ by (S6). Likewise, if $x = y$, then $0 = s(x - x) = s(y - x) = 1$. Thus $\neg (y < x \lor x = y)$.

(OF3): If $x < y$ then $1 = s(y - x) = s((y + z) - (x + z))$. Hence $x + z < y + z$.

(OF4): If $x < y$ and $0 < z$ then $s(y - x) = 1$ and $s(z) = 1$. Thus $1 = 1 \cdot 1 = s(y - x) \cdot s(z) = s((y - x) \cdot z) = s(y \cdot z - x \cdot z)$, i.e. $x \cdot z < y \cdot z$.

2. Let $t$ be a $\Sigma_{fs}$-term, $\text{Var}(t)$ be the set of variables occurring in $t$ and $x \notin \text{Var}(t)$. By structural induction, we will first construct a formula $\gamma(x, t)$ over $(\Sigma_f, <)$ with free variables $\text{Var}(t) \cup \{x\}$ such that for all $(\Sigma_f, s)$-terms $s$, $M' \models s = t \leftrightarrow \gamma(s, t)$.

(a) $t = 0$, $t = 1$ or $t = y$ ($y \neq x$): $\gamma(x, t) \equiv x = t$,
(b) $t \equiv -t'$: $\gamma(x, t) \equiv \forall z(\gamma(z, t') \to x = -z)$ with $z \notin \text{Var}(t') \cup \{x\}$,
(c) $t \equiv t_1 \circ t_2$ with $\circ \in \{+, \cdot\}$: $\gamma(x, t) \equiv \forall y \forall z(\gamma(y, t_1) \land \gamma(z, t_2) \to x = y \lor z)$ with $y, z \notin \text{Var}(t_1 \circ t_2) \cup \{x\}$,
(d) $t \equiv s(t')$:

\[
\gamma(x, t) \equiv (x = 0 \to \gamma(x, t')) \land \\
(x \neq 0 \to \forall z(\gamma(z, t') \to \\
(0 < z \land x = 1) \lor (z < 0 \land x = -1))))
\]

with $z \notin \text{Var}(t') \cup \{x\}$.

We prove that $\gamma(x, s(t'))$ meets the requirements. $\gamma(x, s(t'))$ is a formula over $(\Sigma_f, <)$ since $\gamma(x, t')$ is so. Moreover, the free variables in $\gamma(x, s(t'))$ are $\text{Var}(t') \cup \{x\} = \text{Var}(s(t')) \cup \{x\}$. Now assume $s = s(t')$. If $s = 0$ then $s(t') = 0$. If $t' \neq 0$ we can argue as in (1) in the case of (OF1) to derive a contradiction. Thus $t' = 0$. Hence $s = t'$ and
therefore $\gamma(s, t')$. To prove the second conjunct assume $s \neq 0$ and $z = t'$. Then $z \neq 0$ and hence $0 < z$ or $z < 0$ by (OF1). If $0 < z$ then $s = s(z) = 1$; likewise, if $z < 0$ then $s(-z) = 1$ and hence $s = s(z) = -1$. $\gamma(s, s(t')) \rightarrow s = s(t')$ follows in a similar fashion. Now observe that

$$M' \models s = t \leftrightarrow \forall x \forall y((x = s \land y = t) \rightarrow x = y)$$

with $x, y \not\in \text{Var}(s) \cup \text{Var}(t)$. Thus we can take

$$\psi(s, t) \equiv \forall x \forall y((\gamma(x, s) \land \gamma(y, t)) \rightarrow x = y).$$

We slightly modify the proof of Theorem 3.6 in order to obtain:

**Theorem 3.14.** Let $s, t$ be $\Sigma_{ms}$-terms. Then

$$Md + \text{Signs} \vdash s = t \quad \text{if and only if} \quad (\mathbb{R}_0, s) \models s = t.$$  

**Proof.** Soundness is again immediate.

Assume that $s = t$ is not derivable from $Md + \text{Signs}$. By Corollary 3.8 we now have a counter model $M$ which is a signed cancellation meadow. Since $M$ is formally real by Proposition 3.9 it is orderable. We consider the particular order given by

$$x < y \iff s(y - x) = 1.$$ 

Then $(M, <)$ is an ordered field by Proposition 3.13.1. By the Artin-Schreier Theorem $(M, <)$ has real closure $(\hat{M}, <)$ whose ordering is an extension of the given ordering. We find that $(\hat{M}, <) \not\models s = t$. By Corollary 3.12, $s = t$ is equivalent to a $(\Sigma_f, <)$-formula $\phi(s, t)$. Every equation $s' = t'$ in $\phi(s, t)$ can be replaced by a $(\Sigma_f, <)$-formula $\psi(s', t')$ by Proposition 3.13.2. Thus there exists a $(\Sigma_f, <)$-formula $\Gamma$ such that $(\hat{M}, <) \models s = t \leftrightarrow \Gamma$ and hence $(\hat{M}, <) | \Sigma_f \not\models \Gamma$. Since the theory of real closed fields coincides with the theory of the real numbers over the signature $(0, 1, -, +, <)$, we can conclude that $\mathbb{R}_0 \not\models \Gamma$. Therefore $(\mathbb{R}_0, s) \not\models s = t$. 

**Corollary 3.15.** If an open quantifier free formula $\phi(x_1, ..., x_k)$ is satisfiable in some signed cancellation meadow then that formula is satisfiable in the signed meadow of reals.
Proof. This is the same fact in another wording. If $\phi(x_1,\ldots,x_k)$ is not satisfiable in the signed reals then $\neg\phi(x_1,\ldots,x_k)$ is valid in the reals and by the preceding theorem it must be derivable from $Md + Signs$, whence it is true in all cancellation meadows in contradiction with the assumption.

Remark 3.16. It should be noted that whether or not the equational theory of signed rationals can be finitely axiomatized is still an open problem. The case of the reals is much simpler indeed.

4. The meadow of complex numbers

Rational complex numbers have been studied before in [5]. In this section, we generalize that approach in order to give a completeness result for arbitrary complex meadow terms.

We extend the signature $\Sigma_m$ of meadows to the signature of complex numbers $(\Sigma_m,i,\bar{\cdot})$ where $i$ is the imaginary unit and $\bar{\cdot}$ is the unary operation of complex conjugation. The defining equations are listed in Table 10 and Table 11. (CC0)–(CC8) are the usual equalities for complex numbers and (CC9) ensures propagation; SSAV expresses the fact that any sum of squares of absolute values of complex numbers cannot yield -1. As a special instance of SSAV we obtain the set of axioms $C0$ for meadows of characteristic 0 (see also Table 5):

$$1_{n+1} = 1_{1+1} \cdot \ldots \cdot 1_{n+1} = 1 \quad (C0_n)$$

In the sequel we will use the derived unary operators $re(\cdot)$ and $im(\cdot)$—the real part and the imaginary part of a complex number—given in Table 11. Here we write $s/t$ for $s \cdot t^{-1}$. $re(\cdot)$ and $im(\cdot)$ enjoy a couple of nice properties which are listed in Table 12.

**Proposition 4.1.** For $i \in \{0,\ldots,22\}$,

$$Md + CC + SSAV \vdash RI_i$$

**Proof.** We prove (RI0), (RI1), (RI2), (RI13) and (RI21).

- (RI0):
  $$re(x) + im(x) \cdot i = \frac{1}{2} \cdot (x + \overline{x}) - \frac{1}{2} \cdot (x - \overline{x}) \cdot i$$
  $$= \frac{1}{2} \cdot (x + \overline{x}) + \frac{1}{2} \cdot (x - \overline{x}) \quad \text{by (CC8)}$$
  $$= \frac{1}{2} \cdot x + \frac{1}{2} \cdot \overline{x}$$
  $$= \frac{1}{2} \cdot 2^{-1} \cdot x$$
  $$= x \quad \text{by (C01)}$$

19
\[
\begin{align*}
\overline{0} &= 0 & \text{(CC0)} \\
\overline{1} &= 1 & \text{(CC1)} \\
\overline{i} &= -i & \text{(CC2)} \\
\overline{-x} &= -\overline{x} & \text{(CC3)} \\
\overline{x + y} &= \overline{x} + \overline{y} & \text{(CC4)} \\
\overline{x \cdot y} &= \overline{x} \cdot \overline{y} & \text{(CC5)} \\
\overline{x^{-1}} &= (\overline{x})^{-1} & \text{(CC6)} \\
\overline{x} &= x & \text{(CC7)} \\
\overline{i \cdot i} &= -1 & \text{(CC8)} \\
1_{\overline{x}} &= 1_x & \text{(CC9)}
\end{align*}
\]

Table 9: The set \( CC \) of axioms for complex conjugation

\[
1_{1+x_0\overline{x_0}+\cdots+x_n\overline{x_n}} = 1
\]

Table 10: The set \( SSAV \) of axioms for the absolute value of complex numbers

\[
\begin{align*}
re(x) &= \frac{1}{2} \cdot (x + \overline{x}) \\
im(x) &= -\frac{i}{2} \cdot (x - \overline{x})
\end{align*}
\]

Table 11: The derived operators \( re(\cdot) \) and \( im(\cdot) \)
\[ x = \text{re}(x) + \text{im}(x) \cdot i \]  

RI10

\[ \text{re}(x) = \overline{\text{re}(x)} \]  

RI11

\[ \text{im}(x) = \overline{\text{im}(x)} \]  

RI12

\[ \text{re}(\text{re}(x)) = \text{re}(x) \]  

RI13

\[ \text{re}(\text{im}(x)) = \text{im}(x) \]  

RI14

\[ \text{im}(\text{re}(x)) = 0 \]  

RI15

\[ \text{im}(\text{im}(x)) = 0 \]  

RI16

\[ \text{re}(0) = 0 \]  

RI17

\[ \text{re}(1) = 1 \]  

RI18

\[ \text{re}(i) = 0 \]  

RI19

\[ \text{re}(-x) = -\text{re}(x) \]  

RI20

\[ \text{re}(x + y) = \text{re}(x) + \text{re}(y) \]  

RI21

\[ \text{re}(x \cdot y) = \text{re}(x) \cdot \text{re}(y) - \text{im}(x) \cdot \text{im}(y) \]  

RI22

\[ \text{re}(x^{-1}) = \text{re}(x) \cdot (\text{re}(x) \cdot \text{re}(x) + \text{im}(x) \cdot \text{im}(x))^{-1} \]  

RI23

\[ \text{im}(\overline{x}) = \text{re}(x) \]  

RI24

\[ \text{im}(0) = 0 \]  

RI25

\[ \text{im}(1) = 0 \]  

RI26

\[ \text{im}(i) = 1 \]  

RI27

\[ \text{im}(-x) = -\text{im}(x) \]  

RI28

\[ \text{im}(x + y) = \text{im}(x) + \text{im}(y) \]  

RI29

\[ \text{im}(x \cdot y) = \text{im}(x) \cdot \text{im}(y) + \text{im}(x) \cdot \text{re}(y) \]  

RI30

\[ \text{im}(x^{-1}) = -\text{im}(x) \cdot (\text{re}(x) \cdot \text{re}(x) + \text{im}(x) \cdot \text{im}(x))^{-1} \]  

RI31

\[ \text{im}(\overline{x}) = -\text{im}(x) \]  

RI32

Table 12: Properties of the real part and the imaginary part of complex numbers
• (RI1):

\[
\overline{re(x)} = \frac{1}{2} \overline{x + \overline{x}} = \frac{1}{2} \overline{x + \overline{x}} \quad \text{by (CC1), (CC4), (CC5), (CC6)}
\]

\[= \frac{1}{2} \overline{(x + \overline{x})} \quad \text{by (CC4)}
\]

\[= \frac{1}{2} \overline{(\overline{x} + x)} \quad \text{by (CC7)}
\]

\[= \frac{1}{2} \overline{x + \overline{x}} \quad \text{by (CC5)}
\]

\[= \overline{re(x)}
\]

• (RI2):

\[
\overline{im(x)} = \frac{-i}{2} \overline{x - \overline{x}} = \frac{-i}{2} \overline{x - \overline{x}} \quad \text{by (CC1), (CC2), (CC3), (CC4), (CC5), (CC6)}
\]

\[= \frac{-i}{2} \overline{(x - \overline{x})} \quad \text{by (CC3), (CC4)}
\]

\[= \frac{-i}{2} \overline{(\overline{x} - x)} \quad \text{by (CC7)}
\]

\[= \frac{-i}{2} \overline{x - \overline{x}} \quad \text{by (CC5), (CC6)}
\]

\[= \overline{im(x)}
\]

• (RI13), (RI21): Observe that

\[t^{-1} = t^{-1} \cdot 1_{t^{-1}}
\]

\[= t^{-1} \cdot 1_{t}
\]

\[= t^{-1} \cdot 1_{t} \quad \text{by (CC9)}
\]

\[= t^{-1} \cdot t^{-1} \cdot t
\]

\[= (t \cdot t^{-1})^{-1} \cdot t
\]

\[= (re(t) \cdot re(t) + im(t) \cdot im(t))^{-1} \cdot (re(t) - im(t) \cdot i) \quad \text{by (RI0), (RI1), (RI2), (CC2)}
\]

Thus

\[re(t^{-1}) = \frac{1}{2} (t^{-1} + \overline{t^{-1}})
\]

\[= \frac{1}{2} ((re(t) \cdot re(t) + im(t) \cdot im(t))^{-1} \cdot (re(t) - im(t) \cdot i)
\]

\[+ (re(t) \cdot re(t) + im(t) \cdot im(t))^{-1} \cdot (re(t) + im(t) \cdot i)) \quad \text{by (RI1), (RI2), (CC2), (CC3), (CC4)}
\]

\[= re(t) \cdot (re(t) \cdot re(t) + im(t) \cdot im(t))^{-1}
\]
Likewise

\[ \text{im}(t^{-1}) = -\frac{i}{2}(t^{-1} - \overline{t^{-1}}) \]

\[ = -\frac{i}{2}((\text{re}(t) \cdot \text{re}(t) + \text{im}(t) \cdot \text{im}(t))^{-1} \cdot (\text{re}(t) - \text{im}(t) \cdot i) - (\text{re}(t) \cdot \text{re}(t) + \text{im}(t) \cdot \text{im}(t))^{-1} \cdot (\text{re}(t) + \text{im}(t) \cdot i)) \]

by (RI1), (RI2), (CC2), (CC3), (CC4)

\[ = -\text{im}(t) \cdot (\text{re}(t) \cdot \text{re}(t) + \text{im}(t) \cdot \text{im}(t))^{-1} \]

The real and the imaginary part of a complex number can be represented by so-called real forms.

**Definition 4.2.** The set of real forms is defined inductively as follows.

1. 0 and 1 are real forms,
2. \(\text{re}(x)\) and \(\text{im}(x)\) are real forms for every variable \(x\),
3. if \(s\) and \(t\) are real forms then so are \(-s\), \(s^{-1}\), \(s + t\) and \(s \cdot t\).

**Lemma 4.3.** For each \(t \in (\Sigma_m, i, -)\) there exist real forms \(t_1, t_2\) such that

\[ \text{Md} + \text{CC} \vdash \text{re}(t) = t_1 \text{ and } \text{Md} + \text{CC} \vdash \text{im}(t) = t_2. \]

**Proof.** This follows by an easy induction on \(t\). \(\square\)

Since real and imaginary parts of complex numbers are independent, we can interpret ordinary meadow terms as complex terms while retaining provable equality.

**Proposition 4.4.** Let \(s, t\) be \(\Sigma_m\)-terms with free variables among \(\{x_0, \ldots, x_n, y_0, \ldots y_n\}\). For fresh variables \(\{z_0, \ldots, z_n\}\),

\[ t^* \equiv t[x_0, \ldots, x_n := \text{re}(z_0), \ldots, \text{re}(z_n)][y_0, \ldots, y_n := \text{im}(z_0), \ldots, \text{im}(z_n)] \]

and

\[ s^* \equiv s[x_0, \ldots, x_n := \text{re}(z_0), \ldots, \text{re}(z_n)][y_0, \ldots, y_n := \text{im}(z_0), \ldots, \text{im}(z_n)] \]

are real forms such that

\[ \text{Md} + \text{AEFR} \vdash s = t \Rightarrow \text{Md} + \text{CC} + \text{SSAV} \vdash s^* = t^* \]

Here, we adopt the notation \([v_1, \ldots, v_n := r_1, \ldots, r_n]\) for the substitution \(\sigma\) with \(\sigma(v_i) = r_i\) for \(0 \leq i \leq n\) and \(\sigma(v) = v\) for all \(v \neq v_i, 0 \leq i \leq n\).
Proof. Suppose $Md + CC + SSAV 
vdash s^* = t^*$. Then there exists a $(\Sigma_m, i, \cdot)$-structure $M = (U, 0, 1, i, -, +, \cdot, -^{-1}, \cdot)$ with $M \nvdash s^* = t^*$. Let

$$M' = (U', 0_{M'}, 1_{M'}, -_{M'}, +_{M'}, \cdot_{M'}, 1^{-1}_{M'})$$

be the $\Sigma_m$-structure obtained from $M$ by stipulating $U' = \{\text{re}(u) \mid u \in U\}$, $0_{M'} = 0$, $1_{M'} = 1$, and for $u, u_0, u_1 \in U'$,

$$-_{M'}(u) = -u$$
$$+_{M'}(u_0, u_1) = u_0 + u_1$$
$$\cdot_{M'}(u_0, u_1) = u_0 \cdot u_1$$
$$(u)^{-1}_{M'} = u^{-1}.$$ 

Then $M'$ is well-defined: $0_{M'}, 1_{M'} \in U'$ by (RI7), (RI8), and e.g. since

$$re(re(u)^{-1}) = re(re(re(u)) \cdot (re(re(u)) \cdot re(re(u)) + im(re(re(u)))^{-1})$$

by (RI13)

$$= re(re(re(u) \cdot re(re(u))^{-1})$$

by (RI3), (RI5)

$$= re(u)^{-1}$$

it follows that $(u)^{-1}_{M'} \in U'$ for every $u \in U'$. Moreover, since $M \nvdash s^* = t^*$, $M' \nvdash s = t$. Clearly, $M' \models Md$ and as

$$1 + re(x_0)re(x_0) + \ldots + re(x_n)re(x_n) = 1 + re(x_0)re(x_0) + \ldots + re(x_n)re(x_n) = 1$$

also $M' \models AEFR$. Thus $Md + AEFR \nvdash s = t$. 

We can now apply our previous completeness result in order to prove the axiomatization of the complex numbers to be complete.

**Theorem 4.5.** Let $s, t$ be $(\Sigma_m, i, \cdot)$-terms. Then

$$Md + CC + SSAV \vdash s = t \quad \text{if and only if} \quad (\mathbb{C}_0, i, \cdot) \models s = t.$$ 

Proof. Soundness is again immediate.

Assume $(\mathbb{C}_0, i, \cdot) \models s = t$. Then $(\mathbb{C}_0, i, \cdot) \models s - t = 0$. By Lemma 4.3 we can pick real forms $r_1, r_2$ such that

$$Md + CC + SSAV \vdash re(s - t) = r_1 \quad \text{and} \quad Md + CC + SSAV \vdash im(s - t) = r_2.$$
Then
\[(C_0, i, \bar{\cdot}) \models re(s - t) = r_1 \text{ and } (C_0, i, \bar{\cdot}) \models im(s - t) = r_2.\]

From (RI7) and (RI15) it follows that
\[(C_0, i, \bar{\cdot}) \models r_1 = 0 \text{ and } (C_0, i, \bar{\cdot}) \models r_2 = 0.\]

Without loss of generality, we may assume that the free variables of \(r_1\) and \(r_2\) are amongst \(\{z_0, \ldots, z_n\}\). Now choose fresh variables \(\{x_0, \ldots, x_n, y_0, \ldots y_n\}\) and consider the meadow terms \(u_1, u_2\) obtained from \(r_1, r_2\) by replacing occurrences of a subterm of the form \(re(z_i)\) by the variable \(x_i\) and occurrences of subterms of the form \(im(z_i)\) by the variable \(y_i\). Then
\[\mathbb{R}_0 \models u_1 = 0 \text{ and } \mathbb{R}_0 \models u_2 = 0\]
and hence
\[Md + EFR \vdash u_1 = 0 \text{ and } Md + EFR \vdash u_2 = 0\]
by Theorem 3.6.

Whence
\[Md + AEFR \vdash u_1 = 0 \text{ and } Md + AEFR \vdash u_2 = 0\]
by Theorem 3.5. Since \(r_1 \equiv u_1^*\) and \(r_2 \equiv u_2^*\), it follows from Proposition 4.4 that
\[Md + CC + SSAV \vdash r_1 = 0 \text{ and } Md + CC + SSAV \vdash r_2 = 0.\]

Therefore
\[Md + CC + SSAV \vdash s - t = re(s - t) + im(s - t) \cdot i = 0,\]
and thus \(Md + CC + SSAV \vdash s = t.\)

\[\square\]

Remark 4.6. One obtains a finite axiomatization of the complex numbers by replacing SSAV by the eight axioms \((S1) - (S3), (S^{\ast}4) - (S^{\ast}8)\) for the sign function given in Table 13. Note that \(s\) differs from the usual generalizations of the sign function on \(\mathbb{R}\) to \(\mathbb{C} - \{0\}\) given by \(\text{sgn}(z) = z/|z|\) — where \(|z|\) is the absolute value of \(z\) — and
\[csgn(z) = \begin{cases} 1 & \text{if } re(z) > 0, \\ -1 & \text{if } re(z) < 0, \\ \text{sgn}(im(z)) & \text{if } re(z) = 0. \end{cases}\]
\[ s(1_x) = 1_x \quad \text{(S1)} \]
\[ s(0_x) = 0_x \quad \text{(S2)} \]
\[ s(-1) = -1 \quad \text{(S3)} \]
\[ s(re(x)^{-1}) = s(re(x)) \quad \text{(S4)} \]
\[ s(re(x) \cdot re(y)) = s(re(x)) \cdot s(re(y)) \quad \text{(S5)} \]
\[ 0_{s(re(x) - s(re(y))} \cdot (s(re(x) + re(y)) - s(re(x))) = 0 \quad \text{(S6)} \]
\[ s(x) = s(re(x)) \quad \text{(S7)} \]
\[ s(x) = s(x) \quad \text{(S8)} \]

Table 13: The set \( \text{Signs}^* \) of axioms for the sign function for complex numbers

That \( Md + CC + \text{Signs}^* \) is indeed a complete axiomatization of the meadow of complex numbers can be seen by redoing the proof given above. Observe that \( (C0_1) \)—which is used in Proposition 4.1—follows from \( \text{Signs}^* \) by

\[ 1_2 = s(1_2) = s(re(1)) = s(1) = 1. \]

References


Appendix

In this appendix we will give a syntactic proof of Proposition 3.9 without the use of IL. We obtained this proof from a proof relying on IL by applying
the IL-elimination steps suggested in the proof of Theorem 2.3. We first list some properties of pseudo ones and pseudo zeros in Table A.14.

We derive (PC4): Since

\[ 0_s \cdot (s + t) = 0_s \cdot s + 0_s \cdot t \]
\[ = 0_s \cdot t \quad \text{by (PC3)} \]

we have

\[ 0_s^{-1} \cdot (s + t)^{-1} = (0_s \cdot (s + t))^{-1} \]
\[ = (0_s \cdot t)^{-1} \]
\[ = 0_s^{-1} \cdot t^{-1} \]

and hence

\[ 0_s \cdot (s + t)^{-1} = 0_s^2 \cdot 0_s^{-1} \cdot (s + t)^{-1} \]
\[ = 0_s^2 \cdot 0_s^{-1} \cdot t^{-1} \]
\[ = 0_s \cdot t^{-1} . \]

Thus

\[ 0_s \cdot 0_{s+t} = 0_s - 0_s \cdot (s + t) \cdot (s + t)^{-1} \]
\[ = 0_s - 0_s \cdot t^{-1} \cdot (s + t) \]
\[ = 0_s - 0_s \cdot t \cdot t^{-1} \]
\[ = 0_s \cdot 0_t . \]
Therefore and hence by (S6), (S7) and (PC5) Lemma A.1. For all \( n \in \mathbb{N} \), \( Md + Signs \vdash 0_{x_0^2 + \cdots + x_n^2} \cdot 1_{x_0 \cdots x_n} = 0 \).

**Proof.** We first prove by induction on \( n \) that

\[
Md + Signs \vdash 1_{x_0 \cdots x_n} \cdot s(x_0^2 + \cdots + x_n^2) = 1_{x_0 \cdots x_n} \quad (\dagger)
\]

For \( n = 0 \) observe that \( 1_x \cdot s(x^2) = 1_x \cdot 1_x = 1_x \) by (S7) and (PC5). Suppose \( n = m + 1 \). By the induction hypothesis we have

\[
1_{x_0 \cdots x_m} \cdot s(x_0^2 + \cdots + x_m^2) = 1_{x_0 \cdots x_m}
\]

and thus \( 1_{x_0 \cdots x_{m+1}} \cdot s(x_0^2 + \cdots + x_m^2 + x_{m+1}^2) = 1_{x_0 \cdots x_{m+1}} \) by (PC8). It follows that

\[
0 = 1_{x_0 \cdots x_{m+1}} \cdot s(x_0^2 + \cdots + x_m^2) - 1_{x_0 \cdots x_{m+1}}
\]

\[
= 1_{x_0 \cdots x_{m+1}} \cdot (s(x_0^2 + \cdots + x_m^2) - 1_{x_0 \cdots x_m} \cdot 1_{x_{m+1}}) \quad \text{by (PC8)}
\]

\[
= 1_{x_0 \cdots x_{m+1}} \cdot s(x_0^2 + \cdots + x_m^2 + x_{m+1}^2) - 1_{x_0 \cdots x_{m+1}} \cdot 1_{x_{m+1}} \quad \text{by (PC8)}
\]

\[
= 1_{x_0 \cdots x_{m+1}} \cdot s(x_0^2 + \cdots + x_m^2 + x_{m+1}^2) - 1_{x_0 \cdots x_{m+1}} \cdot s(x_{m+1}^2) \quad \text{by (S7)}
\]

\[
= 1_{x_0 \cdots x_{m+1}} \cdot (s(x_0^2 + \cdots + x_m^2) - s(x_{m+1}^2))
\]

Therefore

\[
1_{x_0 \cdots x_{m+1}} \cdot 0_{s(x_0^2 + \cdots + x_m^2) - s(x_{m+1}^2)} = 1_{x_0 \cdots x_{m+1}}
\]

and hence by (S6), (S7) and (PC5)

\[
0 = 1_{x_0 \cdots x_{m+1}} \cdot 0
\]

\[
= 1_{x_0 \cdots x_{m+1}} \cdot 0_{s(x_0^2 + \cdots + x_m^2) - s(x_{m+1}^2)} \cdot (s(x_0^2 + \cdots + x_m^2)) - s(x_{m+1}^2))
\]

\[
= 1_{x_0 \cdots x_{m+1}} \cdot 1_{x_0 \cdots x_{m+1}} \cdot (s(x_0^2 + \cdots + x_m^2) - 1_{x_0 \cdots x_{m+1}})
\]

\[
= 1_{x_0 \cdots x_{m+1}} \cdot s(x_0^2 + \cdots + x_m^2) - 1_{x_0 \cdots x_{m+1}}
\]

i.e. \( 1_{x_0 \cdots x_{m+1}} \cdot s(x_0^2 + \cdots + x_m^2 + x_{m+1}^2) = 1_{x_0 \cdots x_{m+1}} \).

We then have

\[
0 = 0 \cdot 1_{x_0 \cdots x_n}
\]

\[
= s(0) \cdot 1_{x_0 \cdots x_n} \quad \text{by (PC3)}
\]

\[
= s(0_{x_0^2 + \cdots + x_n^2} \cdot (x_0^2 + \cdots + x_n^2)) \cdot 1_{x_0 \cdots x_n} \quad \text{by (S2), (S5)}
\]

\[
= 0_{x_0^2 + \cdots + x_n^2} \cdot s(x_0^2 + \cdots + x_n^2) \cdot 1_{x_0 \cdots x_n} \quad \text{by (PC3)}
\]

\[
= 0_{x_0^2 + \cdots + x_n^2} \cdot 1_{x_0 \cdots x_n} \quad \text{by (1).}
\]
We now prove:

**Proposition 3.9 (revisited).** For every \( n \geq 0 \), \( Md + Signs \vdash EFR_n \).

**Proof.** We prove \( EFR_n \) by induction on \( n \). For \( n = 0 \) observe that \( 0 \cdot x = 0 \cdot x = 0 \) by (PC2) and (PC3). For \( n = m + 1 \) assume that \( Md + Signs \vdash 0_{y_0^2 + \ldots + y_m^2} \cdot y_0 = 0 \) (IH). Then for all \( 0 \leq i \leq m + 1 \)

\[
0_{x_0^2 + \ldots + x_{m+1}^2} \cdot 0_i \cdot x_0 = 0
\]

for \( i = 0 \), this follows from \( 0_{x_0} \cdot x_0 = 0 \) (PC3); for \( 1 \leq i \leq m + 1 \) we have

\[
0_{x_0^2 + \ldots + x_{m+1}^2} \cdot 0_i \cdot x_0 = 0_{x_0^2 + \ldots + x_{m+1}^2} \cdot 0_{x_i} \cdot x_0 \quad \text{by (PC2)} \\
= 0_{x_i^2} \cdot 0_{x_0^2 + \ldots + x_{m+1}^2} \cdot x_0 \quad \text{by (‡)} \\
= 0_{x_i^2} \cdot 0_{x_0^2 + \ldots + x_{i+1}^2 + \ldots + x_{m+1}^2} \cdot x_0 \quad \text{by (PC4)} \\
= 0_{x_0^2 + \ldots + x_{i+1}^2 + \ldots + x_{m+1}^2} \cdot x_0 \quad \text{by (IH)}.
\]

Thus

\[
0_{x_0^2 + \ldots + x_{m+1}^2} \cdot x_0 \\
= 0_{x_0^2 + \ldots + x_{m+1}^2} \cdot (1_{x_0} + 0_{x_0}) \cdot x_0 \\
= 0_{x_0^2 + \ldots + x_{m+1}^2} \cdot 1_{x_0} \cdot x_0 \quad \text{by (‡)} \\
= 0_{x_0^2 + \ldots + x_{m+1}^2} \cdot 1_{x_0} \cdot (1_{x_1} + 0_{x_1}) \cdot x_0 \\
= 0_{x_0^2 + \ldots + x_{m+1}^2} \cdot 1_{x_0} \cdot x_0 \cdot x_0 \quad \text{by (‡) and (PC8)} \\
= 0_{x_0^2 + \ldots + x_{m+1}^2} \cdot 1_{x_0} \cdot x_0 \cdot x_0 \cdot \ldots \cdot x_m \cdot (1_{x_{m+1}} + 0_{x_{m+1}}) \cdot x_0 \\
= 0_{x_0^2 + \ldots + x_{m+1}^2} \cdot 1_{x_0} \cdot \ldots \cdot x_m \cdot x_0 \quad \text{by (‡) and (PC8)}.
\]
We may therefore conclude that
\[
0 \prod_{i=0}^{m+1} x_i = 0
\]

by Lemma A.1 by the above identity since \(1 \cdot 0 = 0\).