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# Multiple Evolutionary Trajectories Have Led to the Emergence of Races in *Fusarium oxysporum* f. sp. *lycopersici*

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**ABSTRACT** Race 1 isolates of *Fusarium oxysporum* f. sp. *lycopersici* (FOL) are characterized by the presence of *AVR1* in their genomes. The product of this gene, Avr1, triggers resistance in tomato cultivars carrying resistance gene *I*. In FOL race 2 and race 3 isolates, *AVR1* is absent, and hence they are virulent on tomato cultivars carrying *I*. In this study, we analyzed an approximately 100-kb genomic fragment containing the *AVR1* locus of FOL race 1 isolate 004 (FOL004) and compared it to the sequenced genome of FOL race 2 isolate 4287 (FOL4287). A genomic fragment of 31 kb containing *AVR1* was found to be missing in FOL4287. Further analysis suggests that race 2 evolved from race 1 by deletion of this 31-kb fragment due to a recombination event between two transposable elements bordering the fragment. A worldwide collection of 71 FOL isolates representing races 1, 2, and 3, all known vegetative compatibility groups (VCGs), and five continents was subjected to PCR analysis of the *AVR1* locus, including the two bordering transposable elements. Based on phylogenetic analysis using the EF1- $\alpha$  gene, five evolutionary lineages for FOL that correlate well with VCGs were identified. More importantly, we show that FOL races evolved in a stepwise manner within each VCG by the loss of function of avirulence genes in a number of alternative ways.

**IMPORTANCE** Plant-pathogenic microorganisms frequently mutate to overcome disease resistance genes that have been introduced in crops. For the fungus *Fusarium oxysporum* f. sp. *lycopersici*, the causal agent of *Fusarium* wilt in tomato, we have identified the nature of the mutations that have led to the overcoming of the *I* and *I-2* resistance genes in all five known clonal lineages, which include a newly discovered lineage. Five different deletion events, at least several of which are caused by recombination between transposable elements, have led to loss of *AVR1* and overcoming of *I*. Two new events affecting *AVR2* that led to overcoming of *I-2* have been identified. We propose a reconstruction of the evolution of races in FOL, in which the same mutations in *AVR2* and *AVR3* have occurred in different lineages and the FOL pathogenicity chromosome has been transferred to new lineages several times.

**KEYWORDS** crops, disease resistance, evolution, fungus, pathogen

In many plant-pathogen interactions, a pair of matching genes determines resistance of the host to a specific pathogen. One is a plant resistance (*R*) gene and the other a pathogen avirulence (*AVR*) gene (1, 2). Plants that express an *R* gene are resistant to the pathogens that express the matching *AVR* gene. This concept is known as the gene-for-gene model (1, 2). The interaction between the products of these two matching genes, either directly or indirectly, prevents further growth of the invading pathogen in the host plant (3). However, many pathogens can evolve to evade *R* gene-mediated resistance by loss of function of its matching *AVR* gene or by employing new virulence factors. In turn, the host can develop novel *R* genes to recognize newly

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evolved pathogen effectors. Knowledge about the mechanisms underlying loss of function of avirulence genes is of critical importance to understand how pathogens overcome *R* gene-mediated resistance in host plants. In many pathogens, loss of function of *AVR* genes has been associated with point mutations or transposon insertions into the promoters or coding sequences of avirulence genes, as well as with deletion of the entire gene (4–10).

*Fusarium oxysporum* f. sp. *lycopersici* (FOL) is a soilborne fungus that causes wilt disease in tomato plants (11, 12). Three physiological races of FOL have been identified based on their pathogenicity to tomato cultivars carrying dominant, race-specific resistance genes. Race 1 had been the prevalent race since the late 19th century, when *Fusarium* wilt was first described, until the discovery and introgression of the first *R* gene against race 1 (13); race 2 was first reported in 1945 in Ohio (14), whereas race 3 was first reported in 1978 in Australia (15). The interaction between tomato and races of FOL fits the so-called gene-for-gene model (1). Tomato *R* genes that confer race-specific resistance to FOL are known as immunity (*I*) genes. To date, three *I* genes, namely, *I* (or *I-1*), *I-2*, and *I-3*, have been identified in wild tomatoes and have been introgressed into tomato cultivars (16, 17).

The three *I* genes confer resistance against FOL races containing the three matching avirulence genes *AVR1*, *AVR2*, and *AVR3*, respectively (18–20). *AVR1* is present in all FOL race 1 isolates but is absent in race 2 and 3 isolates (21), with two exceptions: a race 2 isolate and a race 3 isolate from Japan in which the *AVR1* gene was independently interrupted by a transposon (22, 23). The absence of *AVR1* enables race 2 and 3 isolates to infect tomato cultivars carrying *I*. *AVR2* is present in all FOL isolates, albeit that *I-2* breaking (race 3) isolates contain an *AVR2* allele with a point mutation leading to an amino acid change in the protein (20). So far, three *AVR2* alleles have been described, with the amino acid changes V41→M, R45→H, and R46→P. All three amino acid changes lead to loss of the avirulence function of *Avr2*, whereas its virulence function remains unaffected (20). Two *AVR3* alleles have been described, one encoding a protein with a glutamic acid (E) at position 165 and one with a lysine (K) residue at this position. Both proteins still act as avirulence factors, but they differ in the degrees of virulence they confer (18, 24).

As a (predominantly) asexual organism, *F. oxysporum* is thought to evolve mostly by means of mutations and DNA rearrangements and potentially through the process of parasexual recombination (25). Heterokaryon formation is a prerequisite for parasexual recombination and is thought to be limited to strains that are vegetatively compatible. Strains that can form heterokaryons with one another are assigned to the same vegetative compatibility group (VCG), and those that cannot are assigned to different VCGs (26, 27). For *F. oxysporum* f. sp. *lycopersici*, four VCGs (VCG0030, -0031, -0033, and -0035) have been reported so far (28–30). VCG0030 includes isolates formerly assigned to VCG0032 (31).

Previously, results from restriction fragment length polymorphism (RFLP), random amplified polymorphic DNA (RAPD), and isozyme analyses demonstrated that isolates within a VCG are genetically more similar to each other than to isolates of the same race in another VCG. This suggests that each VCG represents a clonal population (28, 31, 32). To determine further phylogenetic relationships within and among *formae speciales* of *F. oxysporum* DNA sequences of the mitochondrial small-subunit (mtSSU) rRNA gene, the ribosomal DNA (rDNA) intergenic spacer (IGS) region and the translation elongation factor (*EF1- $\alpha$* ) gene have been used (33–35). Such studies confirmed multiple evolutionary origins for many *formae speciales* of *F. oxysporum*. For example, phylogenetic analysis based on rDNA IGS, mating type locus (MAT) 1, and polygalacturonase (Pgs) 1 revealed evolutionary lineages for FOL that correlate with VCGs (36).

In several studies it has been suggested that deletion of *AVR1* from race 1 isolates resulted in the emergence of race 2 (avirulence genotype – *AVR2 AVR3*) and that a point mutation in *AVR2* of race 2 brought about the birth of race 3 (– *avr2 AVR3*) (37). However, the molecular mechanism underlying the loss of *AVR1* has not been deter-

mined. In this study, a molecular analysis that reveals a likely mechanism underlying the deletion of *AVR1* in FOL race 1 isolates that led to the emergence of race 2 is presented.

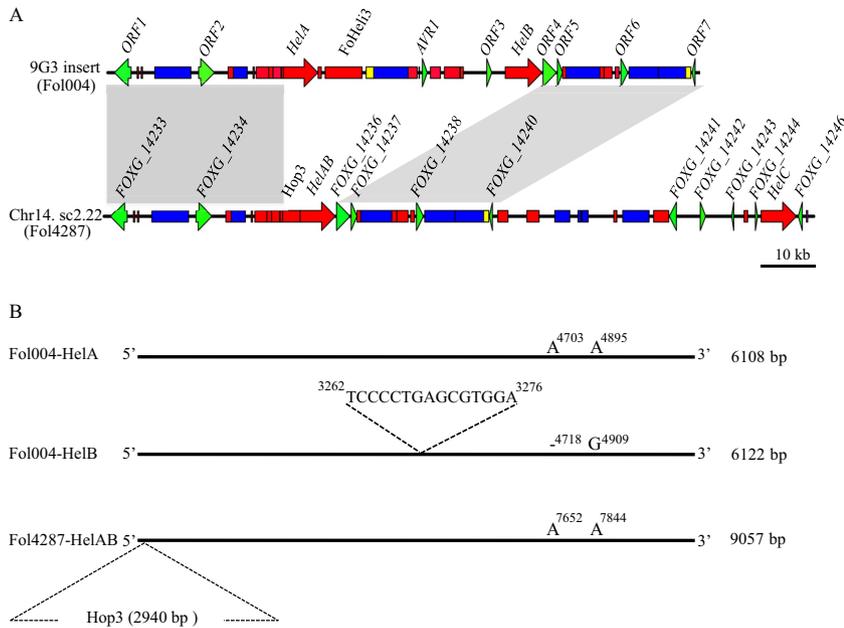
## RESULTS

**Sequencing and annotation of the *AVR1* genomic region.** In a previous study we determined the primary structure of a 2.8-kb genomic fragment (GenBank accession number [AM234064.2](#)) representing the *AVR1* locus of *F. oxysporum* f. sp. *lycopersici* (FOL) race 1 isolate 004 (FOL004) (19). To analyze a larger genomic fragment containing the *AVR1* locus, we needed to obtain the regions flanking this 2.8-kb fragment. To this end, a FOL004-bacterial artificial chromosome (BAC) library (38) was screened using *AVR1*-specific primers (numbers 1091 and 1033 [see Table S1 in the supplemental material]). This resulted in the identification of four clones with inserts ranging from approximately 65 kb to 100 kb. Since restriction analysis (see Fig. S1A in the supplemental material) revealed that at least the two smallest inserts (2G2 and 9C1) were covered completely by the largest one (9G3), these two were excluded from further analysis. The positions of the inserts of the four clones relative to each other are shown in Fig. S1B in the supplemental material. Paired-end sequencing of clones 9G3 and 14I-2 made clear that the latter did not carry additional information either. Therefore, further analysis was restricted to the approximately 100-kb insert of clone 9G3. Details of the assembly of clone 9G3 are given in Fig. S2 in the supplemental material. Briefly, the length of the full 9G3 insert was found to be 98,694 nucleotides in total. The coding sequence for *Avr1* is located between nucleotides 52222 and 53014 and is interrupted by one intron (nucleotides 52376 to 52439).

Besides the *Helitron* transposons mentioned in the supplemental material (*HelA* and *HelB*), the 9G3 insert contains a large number of other transposable elements (TEs) from both class I (retrotransposons) and class II (DNA transposons). TEs are classified based on the system proposed by Wicker and colleagues (39). Within class I we identified members of the *Copia* and *Gypsy* superfamilies as well as solo long terminal repeat retrotransposons, *LINE* transposable elements, and *SINE* transposable elements (Fig. 1; see Table S2 in the supplemental material). Most of the class II elements are members of the Tc1-mariner superfamily and include *Pogo* transposable elements, *hAT* transposable elements, and *MITE* transposable elements (Fig. 1; Table S2). Altogether, the TEs occupy 58.9% (58,342 bp in total size) of the insert of BAC clone 9G3.

Besides *AVR1*, eight non-TE open reading frames (ORFs) were identified in the 9G3 insert, ranging from 280 to 6,114 bp in length (Fig. 1; see Table S3 in the supplemental material). *ORF1*, -2, -5, -6, -7, and -8 have been annotated in the reference genome (*FOXG\_14233*, *FOXG\_14234*, *FOXG\_14236*, *FOXG\_14237*, *FOXG\_14238*, and *FOXG\_14240*, respectively). *ORF3* and -4 are located within the 31-kb fragment that is absent in the reference genome (see below). *ORF3* encodes a protein of 2,037 amino acids with a rolling-circle (RC) replication initiator (rep) and a DNA helicase (hel) domain, the main hallmarks of *Helitron* proteins (40, 41). However, except for the conserved residues in the rep and hel domains, there is virtually no homology with the *HelA* and *HelB* proteins. A BLAST search of the *ORF3* sequence against the genome sequence of FOL strain 4287 (FOL4287) identified four copies (sequence identity over 99%) belonging to *FoHeli3* (Table S3), one of the *Helitron* families that have been identified in *F. oxysporum* (48). Moreover, analysis of the flanking sequences of *ORF3* confirmed that it possesses terminal structural features specific for *FoHeli3*. *ORF4* encodes a 275-amino-acid protein of unknown function. Three homologs of *ORF4* were identified in the FOL4287 genome (Table S3).

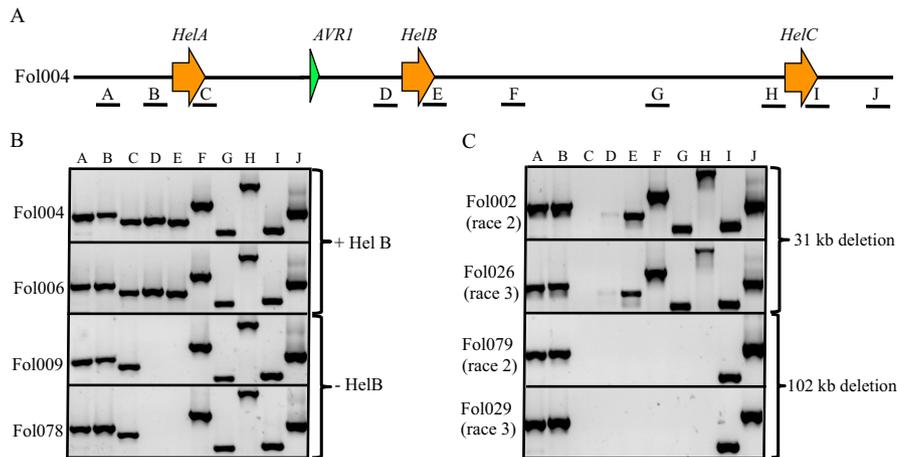
**Loss of *AVR1* in FOL4287 can be explained by homologous recombination between *Helitron* transposons.** The genome of FOL4287 has been sequenced, assembled, and annotated ([www.broadinstitute.org](http://www.broadinstitute.org)) and is used here as reference genome for FOL004. FOL4287 is a race 2 isolate and lacks *AVR1*. Sequence identity of the 9G3 insert with the reference genome is over 99.9%, except for a fragment containing *AVR1* found to be absent in FOL4287; the 9G3 sequence starting with the 5' end of *HelA* and ending with the 3' end of *HelB* is reduced to one *Helitron* copy (*HelAB*) in the reference



**FIG 1** The absence of *AVR1* in the reference strain FOL4287 can be explained by recombination between *Helitron* transposons. (A) Genetic organization of the 9G3 BAC insert (100 kb) of FOL004 containing *AVR1* and its comparison to a genomic region in supercontig 2.22 (sc2.22) of chromosome 14 of FOL4287. Conserved regions in both sequences are shown in gray boxes. Comparison revealed that a 43-kb genomic region containing *AVR1* and including *HelA* and *HelB* in the insert has been replaced by *HelAB* and an adjacent *Hop3* transposable element in FOL4287. Genomic maps are drawn to scale. (B) Schematic representations of FOL004 *HelA*, FOL004 *HelB*, and FOL4287 *HelAB*. FOL004 *HelB* contains an extra 15 bp (TCCCCTGAGCGTGGA) compared to FOL004 *HelA* and FOL4287 *HelAB*. Moreover, two polymorphisms were found in *HelB* (4718A/- and 4909A/G) compared to corresponding positions in FOL004 *HelA* and FOL4287 *HelAB*. A *Hop3* insertion at the 5' end of the FOL4287 *HelAB* is indicated.

genome (Fig. 1). *HelAB* shows 100% sequence identity with *HelA*, except for the insertion of a *Hop3* transposable element between the fourth and fifth nucleotides of the 5' end of *HelAB* (Fig. 1). *Hop3* is 2,941 bp in length, including 98-bp terminal inverted repeats, and is flanked by an 11-bp direct repeat representing a target site duplication (42). These observations confirm the absence of a 31-kb (from the 3' end of *HelA* to the 5' end of *HelB*) genomic fragment containing *AVR1* in race 2 isolate FOL4287 compared to race 1 isolate FOL004, and they strongly suggest that this absence is the result of a homologous recombination event between *HelA* and *HelB*. The site of recombination is downstream of the A/G polymorphism (Fig. 1), unless this mutation occurred later in time than the recombination event leading to the emergence of race 2. In the latter case, the point of recombination should be positioned upstream of the mutation. The same line of reasoning applies for the deletion and duplication found in *HelB* (Fig. 1).

An alternative mechanistic explanation for the evolution of races could be that race 2 represents an ancestral FOL strain without *AVR1* and that a genomic fragment containing *AVR1* and *HelB* has been acquired later. However, in insert 9G3, *HelA* has been inserted into a nonautonomous version of transposable element *YahAT7*, due to which the latter element is split into two fragments of 529 bp and 71 bp. In FOL4287, the 71-bp fragment of the *YahAT7* element is well conserved just upstream of *HelAB*, but downstream of this *Helitron* transposon the 529-bp fragment is not present. Acquisition by race 2 of a genomic fragment with *AVR1* and *HelB* in a way that joins the 529-bp fragment of *YahAT7* to the 3' end of *HelA*, which already has the 71-bp *YahAT7* fragment at its 5' end, seems very unlikely. Consequently, we hypothesize that race 2 evolved from race 1 by the deletion of the *AVR1* locus, most probably due to a homologous recombination event between the *Helitron* transposons that border this genomic region (*HelA* and *HelB*). We also hypothesize that the *Hop3* insertion at the 5' end of *HelAB* in FOL4287 is a later evolutionary event.



**FIG 2** Differences in the AVR1-containing genomic region between FOL strains. (A) Schematic representation of 144 kb around AVR1 in FOL004 deduced from a FOL004 BAC insert containing AVR1 (100 kb) and the FOL4287 reference genome. A to J indicate primer pairs used to scan this region in a collection of FOL isolates originating from different geographical areas. A, primer set 4539/4540; B, primer set 4298/4297; C, primer set 4242/4241; D, primer set 4345/4297; E, primer set 4242/4340; F, primer set 4355/4354; G, primer set 4309/4306; H, primer set 4395/4297; I, primer set 4242/4371; J, primer set 4541/4542. (B) Example of PCR experiments showing amplification of fragments from the genomic DNA of race 1 isolates with primer pairs A to J. The absence of PCR products with primer pairs D and E indicates the absence of *Helitron B* (*HelB*). (C) Examples of PCR experiments showing fragments amplified from genomic DNA from race 2 and race 3 isolates with primer pairs A to J. The lack of PCR products with primer pairs C and D indicates the absence of a genomic region between *HelA* and *HelB*. The absence of PCR products with primer pairs C to H indicates the absence of a genomic region between *HelA* and *HelC*.

**The presence or absence of *HelB* divides race 1 isolates into two groups.** In FOL4287, downstream of *HelA*B, there is yet another *Helitron* copy, *HelC*, with 100% sequence identity with *HelA* (Fig. 1). This suggests that the genome of FOL004 contains one *Helitron* transposon (*HelA*) 17.3 kb upstream of AVR1 and two *Helitron* transposons downstream at distances of 12.9 kb (*HelB*) and 88 kb (*HelC*). To analyze the genomic region containing *HelA* and *HelC* in different FOL strains, 10 sets of primers (A to J) that would give unique PCR products of approximately 400 to 2,000 bp were designed (Fig. 2A; Table S1). Products brought about by primer pairs A and J correspond to FOL004 sequences 5,158 bp upstream of *HelA* and 824 bp downstream of *HelC*, respectively. PCR fragments produced with primer pairs B, D, and H are indicative for the presence in the genome of the 5' ends of *HelA*, *HelB*, and *HelC*, respectively. Likewise, fragments generated using pairs C, E, and I point to the presence of the 3' ends of these elements. PCR fragments produced with primer pairs F and G correspond to sequences 2.3 kb and 14 kb downstream of *HelB*, respectively. These primer sets were used to “scan” a 144-kb genomic region in a collection of 71 FOL isolates, including 26 race 1, 28 race 2, and 17 race 3 isolates (listed in Table 1).

In all race 1 isolates, each primer pair (A to J) gave a PCR product of the expected length except for pairs D and E (Fig. 2B). This demonstrates that all 26 race 1 isolates tested contain *HelA* and *HelC* in their genomes (Fig. 2B; Table 1). Interestingly, primer pairs D and E, together indicative for the presence of *HelB*, gave no PCR product in 15 race 1 isolates (Fig. 2B; Table 1). In further analysis, a 1.8-kb fragment could be amplified from the latter 15 race 1 isolates using primers corresponding to the 5' and 3' flanking sequences of *HelB* (see Fig. S3 in the supplemental material, 4345 and 4340 [Table S1]). Sequencing this 1.8-kb fragment confirmed the absence of *HelB*. These results suggest that race 1 isolates can be divided into two groups, one with and one without *HelB* (Fig. 2B).

**Race 2 and race 3 isolates can also be divided in two groups.** To test the hypothesis that race 2 evolved from race 1 by the deletion of AVR1 through homologous recombination between the two *Helitron* transposons bordering the AVR1 locus, we analyzed the AVR1 loci of 28 race 2 isolates (Table 1) using the primer sets A to

**TABLE 1** Molecular diversity in the *AVR1* genomic region and *AVR2* and *AVR3* genes in FOL<sup>a</sup>

Fol no	Original code	Origin	A	B	C	D	E	F	G	H	I	J	AVR1 (SIX4)	AVR2 (SIX3)	AVR3 (SIX1)	VCG	Clade (based on Efl-α)
<b>Race 1</b>																	
Fol001	E329 / WCS801	Netherlands	+	+	+	+	+	+	+	+	+	+	wt	wt	E	003-**	A4
Fol003	E240 / WCS861	Netherlands	+	+	+	+	+	+	+	+	+	+	wt	+	K	0030	A2
Fol004	B1 / IPO1530	Netherlands	+	+	+	+	+	+	+	+	+	+	wt	wt	K	0030	A2
Fol006	D1	France	+	+	+	+	+	+	+	+	+	+	wt	+	K	0030	A2
Fol008		Netherlands	+	+	+	+	+	+	+	+	+	+	wt	wt	E	003-*	A1
Fol009	E172 / FOL-R5-6	Wisconsin, US	+	+	+	+	+	+	+	+	+	+	wt	+	E	0030	A2
Fol010	E175	Netherlands	+	+	+	+	+	+	+	+	+	+	wt	wt	E	0031	A1
Fol011	E179	Rhode Island, US	+	+	+	+	+	+	+	+	+	+	wt	+	E	0030	A2
Fol012		Netherlands	+	+	+	+	+	+	+	+	+	+	wt	wt	E	003-**	A4
Fol014	LSU-3	Louisiana, US	+	+	+	+	+	+	+	+	+	+	+	+	E	0030	A2
Fol016	BFOL-51	Louisiana, US	+	+	+	+	+	+	+	+	+	+	wt	wt	E	0031	A1
Fol021	Fol1(66044)	Israel	+	+	+	+	+	+	+	+	+	+	wt	+	E	0030	A2
Fol022	Fol-650 B	Israel	+	+	+	+	+	+	+	+	+	+	wt	+	E	0030	A2
Fol027	626	Florida, US	+	+	+	+	+	+	+	+	+	+	+	+	E	003-**	A2
Fol030	218	Spain	+	+	+	+	+	+	+	+	+	+	+	+	E	0030	A2
Fol032	48112	Spain	+	+	+	+	+	+	+	+	+	+	+	+	E	003-**	A2
Fol037A	MX395	Mexico	+	+	+	+	+	+	+	+	+	+	+	+	K	0030	A2
Fol040	EY-101	Egypt	+	+	+	+	+	+	+	+	+	+	+	+	E		A2
Fol055	CBS 646.78	Morocco	+	+	+	+	+	+	+	+	+	+	+	+	E		A2
Fol064	Fol lyco 7038	Japan	+	+	+	+	+	+	+	+	+	+	wt	wt	E	0031	A1
Fol078	MAFF305121	Japan	+	+	+	+	+	+	+	+	+	+	+	+	E		A2
Fol082	MAFF103036	Japan	+	+	+	+	+	+	+	+	+	+	+	+	E	0030	A2
Fol091	BRIP 5188 a	Australia	+	+	+	+	+	+	+	+	+	+	wt	wt	E		A2
Fol093	BRIP 53774 a	Australia	+	+	+	+	+	+	+	+	+	+	+	wt	E		A2
Fol095	WAC 7591	Australia	+	+	+	+	+	+	+	+	+	+	+	wt	E		A2
Fol096	WAC 7673	Australia	+	+	+	+	+	+	+	+	+	+	+	wt	E		A2
<b>Race 2</b>																	
Fol002	E241 / WCS862	Netherlands	+	+	+	+	+	+	+	+	+	+	-	wt	K	0030	A2
Fol005	C24	Netherlands	+	+	+	+	+	+	+	+	+	+	-	wt	E	003-*	A5
Fol007	D2	France	+	+	+	+	+	+	+	+	+	+	-	wt	E	0030	A2
Fol015	BFOL-53	Louisiana, US	+	+	+	+	+	+	+	+	+	+	-	+	E	0030	A2
Fol017	OSU-451	Ohio, US	+	+	+	+	+	+	+	+	+	+	-	wt	E	0031	A1
Fol018	LSU-7	Louisiana, US	+	+	+	+	+	+	+	+	+	+	-	wt	E	0032	A2
Fol020	FRC 0-1078	Florida, US	+	+	+	+	+	+	+	+	+	+	-	+	E	0030	A2
Fol023	Fol-1295T(66047)	Israel	+	+	+	+	+	+	+	+	+	+	-	+	E	0030	A2
Fol024	Fol-W841D	Israel	+	+	+	+	+	+	+	+	+	+	-	+	E		A2
Fol025	18947	Australia	+	+	+	+	+	+	+	+	+	+	-	+	E	0030	A2
Fol028	548	Florida, US	+	+	+	+	+	+	+	+	+	+	-	+	E	0030	A2
Fol033	281	Spain	+	-b	+	+	+	+	+	+	+	+	-	+	E	0030	A2
Fol4287	4287	Spain	+	-b	+	+	+	+	+	+	+	+	-	wt	E	0030	A2
Fol039	EY-102	Egypt	+	+	+	+	+	+	+	+	+	+	-	+	E		A2
Fol054	CBS 645.78	Maroc	+	+	+	+	+	+	+	+	+	+	-	+	E		A2
Fol059	CBS 413.90	Israel	+	+	+	+	+	+	+	+	+	+	-	wt	E		A2
Fol069	DF0-23	California, US	+	+	+	+	+	+	+	+	+	+	-	wt	E	0035	A4
Fol070	DF0-35	California, US	+	+	+	+	+	+	+	+	+	+	-	wt	E	0035	A4
Fol071	DF1-12	California, US	+	+	+	+	+	+	+	+	+	+	-	wt	E	0035	A4
Fol072	DF0-38	California, US	+	+	+	+	+	+	+	+	+	+	-	wt	E	0031	A1
Fol073	DF0-40	California, US	+	+	+	+	+	+	+	+	+	+	-	wt	E	0030	A2
Fol076	DF0-68	California, US	+	+	+	+	+	+	+	+	+	+	-	wt	E	0031	A1
Fol079	JCM12575	Japan	+	+	+	+	+	+	+	+	+	+	-	wt	K	0031	A1
Fol088	BRIP 22964 a	Australia	+	+	+	+	+	+	+	+	+	+	-	wt	K		A2
Fol087	BRIP 17552 a	Australia	+	+	+	+	+	+	+	+	+	+	-	wt	K		A2
Fol086	BRIP 15364 a	Australia	+	+	+	+	+	+	+	+	+	+	-	wt	K		A2
Fol090	BRIP 5187 a	Australia	+	+	+	+	+	+	+	+	+	+	-	wt	E		A2
Fol092	BRIP 16848 a	Australia	+	+	+	+	+	+	+	+	+	+	-	wt	E		A2
<b>Race 3</b>																	
Fol026	14844 (M1943)	Australia	+	+	+	+	+	+	+	+	+	+	-	R>H	K	0030	A2
Fol029	5397	Florida, US	+	+	+	+	+	+	+	+	+	+	-	R>H	K	0030	A2
Fol035	IPO3		+	+	+	+	+	+	+	+	+	+	-	R>P	K	0030	A2
Fol036	Fol036	Florida, US	+	+	+	+	+	+	+	+	+	+	-	R>H	K	0030	A2
Fol038	CA92/95	California, US	+	+	+	+	+	+	+	+	+	+	-	R>P	K	0030	A2
Fol057	NRRL 26383	Florida, US	+	+	+	+	+	+	+	+	+	+	-	V>M	K	0033	A3
Fol089	MN25c	Florida, US	+	+	+	+	+	+	+	+	+	+	-	V>M	K	0033	A3
Fol066	Fol MM25	Arkansas, US	+	+	+	+	+	+	+	+	+	+	-	V>M	K	0033	A3
Fol067	Fol MM10	Arkansas, US	+	+	+	+	+	+	+	+	+	+	-	V>M	K	0033	A3
Fol074	DF0-41	California, US	+	+	+	+	+	+	+	+	+	+	-	R>P	K	0030	A2
Fol080	Chz1-A	Japan	+	+	+	+	+	+	+	+	+	+	-	V>M	K	0033	A3
Fol081	Kochi-1	Japan	+	+	+	+	+	+	+	+	+	+	+a	V>M	E	0030	A2
Fol083	BRIP 13037 a	Australia	+	+	+	+	+	+	+	+	+	+	-	R>H	K		A2
Fol084	BRIP 13038 a	Australia	+	+	+	+	+	+	+	+	+	+	-	R>H	K		A2
Fol085	BRIP 15363 a	Australia	+	+	+	+	+	+	+	+	+	+	-	T50/-	K		A2
Fol097	BRIP 13039 a	Australia	+	+	+	+	+	+	+	+	+	+	-	c	E		A2
Fol094	BRIP 15362 a	Australia	+	+	+	+	+	+	+	+	+	+	-	R>P	K		A2

<sup>a</sup>a, *AVR1* has been truncated by *Hormin* insertion; b, a Hop 3 insertion at the 5' end of *HelA*; c, deletion of entire *AVR2* gene. Orange indicates absence of *HelB*, pink indicates deletion of the *AVR1* genomic region between *HelA* and *HelB* (31 kb), green indicates deletion of the *AVR1* genomic region between *HelA* and *HelC* (approximately 102 kb), and yellow indicates deletion of *AVR1* genomic region including *HelA* and *HelB*. +, positive PCR result; -, negative PCR result; wt, wild-type sequence confirmed by sequencing. E and K represent different *AVR3* alleles. R→H, amino acid change in *Avr2* protein at 45th position; R→P, amino acid change in *Avr2* protein at 46th position; V→M, amino acid change in *Avr2* protein at 41th position; T50/-, amino acid deletion in *Avr2* protein at 50th position. \*, self-compatible; \*\*, self-incompatible (31). FOL064 and FOL067 were provided by Bart Lievens (Belgium), FOL074 by Corby H. Kistler (USA), FOL078 by Makoto Kawase (Japan), and FOL079 by Tsutomu Arie (Japan). FOL054 was obtained from the CBS Fungal Biodiversity

(Continued on next page)

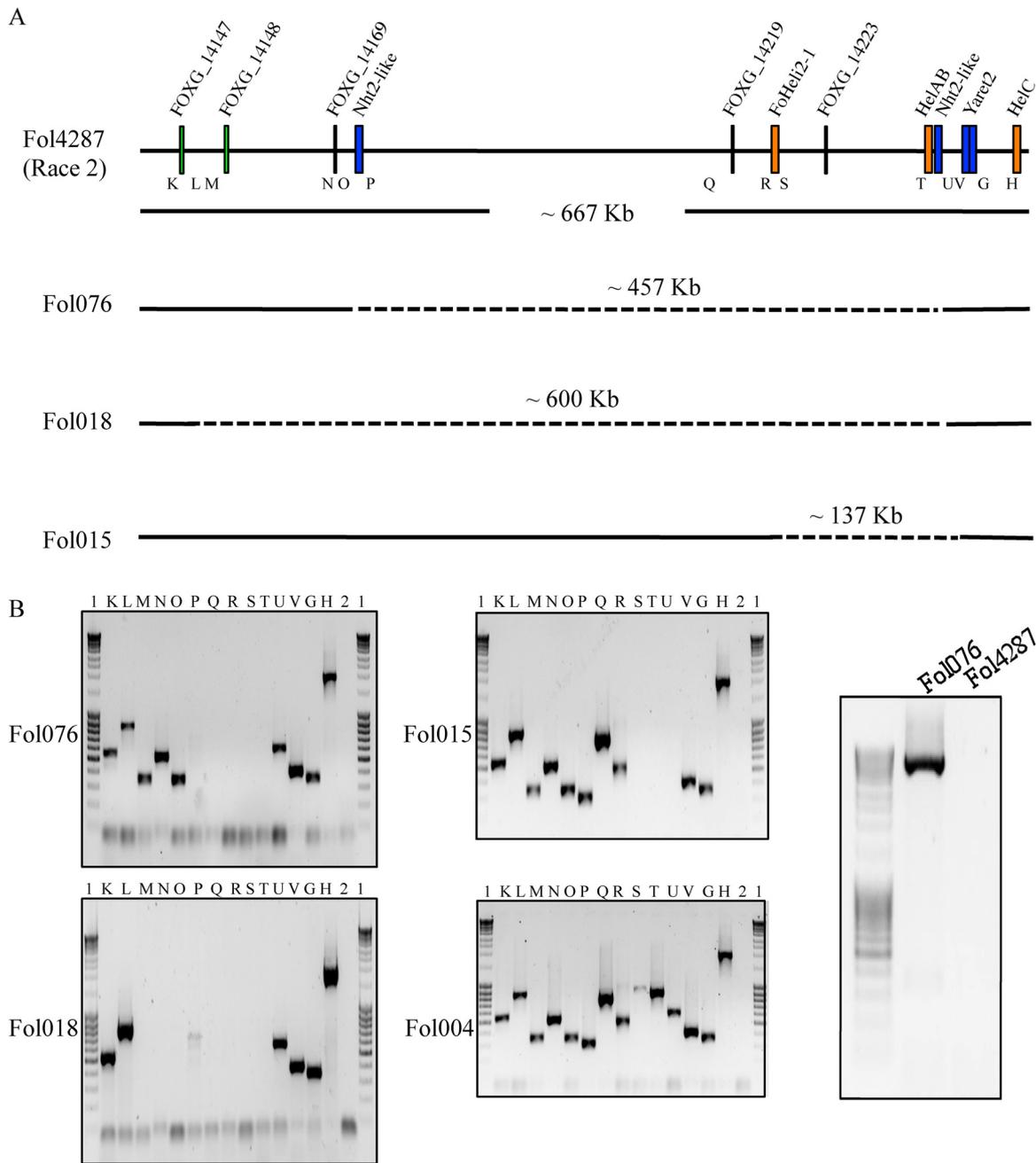
J (Fig. 2A). In 20 race 2 isolates, a PCR product was obtained with primer pairs A and B and E to J, but no product was obtained with sets C and D, indicative for deletion of the *AVR1* genomic region between *HelA* and *HelB* (Fig. 2C; Table 1). This supports the hypothesis that race 2 isolates evolved by the deletion of the 31-kb genomic region with *AVR1* due to homologous recombination between two adjacent *Helitron* transposons (*HelA* and *HelB*). However, in two race 2 isolates, FOL4287 (see Fig. S4A in the supplemental material) and FOL033 (data not shown), primer pair B did not amplify a PCR fragment. As mentioned above, in FOL4287, a *Hop3* transposable element was inserted into the 5' end of *HelAB* (Fig. 1). The lack of a PCR product using primer pair B could be due to the conditions used in this experiment, which were not suited to amplify a product of 3.7 kb including a full *Hop3* element. To confirm this hypothesis, additional PCR experiments were conducted using primers specific for this region. Primers 4304 and 4298 (Table S1) specifically bind to the 3' end of *Hop3* and to its downstream flanking region, respectively. Using these primers, a PCR product of 624 bp could be amplified from FOL4287 and FOL033 DNA only (see Fig. S4B in the supplemental material). Similarly, using primers 4303 and 4297, which correspond to the 5' end of *Hop3* and the 5' end of *HelAB*, respectively, a PCR product of 723 bp was amplified from FOL4287 and FOL033 DNA only (see Fig. S4C in the supplemental material). Sequencing of these PCR products confirmed that in FOL4287 and FOL033, a *Hop3* element has been inserted into the 5' end of *HelAB*. These experiments also support the hypothesis that the *Hop3* insertion is a late evolutionary event after the emergence of race 2 isolates from race 1 by the deletion of *AVR1*. Interestingly, FOL4287 and FOL033 are from the same region in Spain and hence might be closely related to each other.

In five race 2 isolates, PCR products were produced with primer pairs A, B, I, and J but not with primer pairs C to H, indicating a deletion of an approximately 102-kb region between *HelA* and *HelC* (Fig. 2C; Table 1). Using primers corresponding to the 5' flanking sequence of FOL004 *HelA* and 3' flanking sequence of FOL004 *HelC* (4298 and 4371 [Table S1]), a fragment of approximately 6.5 kb could be amplified from FOL017, a representative of the group of race 2 isolates with the 102-kb deletion; no product was obtained when either FOL004 or FOL4287 DNA was used as the template (data not shown). Sequencing this 6.5-kb PCR product revealed a *Helitron* transposon, suggesting that the genomic region in the latter group of race 2 isolates was deleted due to a homologous recombination event between *HelA* and *HelC*. Hence, the *Helitron* transposon amplified from FOL017 using primer pair 4298 and 4371 was designated *Helitron AC (HelAC)*. No sequence polymorphisms were identified between FOL004 *HelA*, FOL004 *HelC*, and FOL017 *HelAC*.

Unexpectedly, in three race 2 isolates, FOL076, FOL015, and FOL018, the PCR results were negative for primer sets A to F (Table 1). This suggests that the genomes of these isolates carry a large deletion including *AVR1*, *HelA*, and *HelB*. To investigate the extent of the deletion in these isolates, we took the same approach as described above and "scanned" a genomic region corresponding to the approximately 660-kb region upstream the position of *HelC* in the FOL4287 genome. Fourteen primer sets (G, H, and K to V [Table S1]) were used, and isolate FOL004 was used as a control. The relative positions of the primer sets on the 660-kb fragment as well as the positions of some relevant ORFs are shown in Fig. 3A. The results of the "scan" are shown in Fig. 3B. In

#### TABLE 1 Continued

Centre (The Netherlands). Donors of all other isolates are mentioned in reference 24. WCS, Willie Commelin Scholten culture collection, The Netherlands; IPO, Instituut voor Plantenziektenkundig Onderzoek culture collection, The Netherlands; BRIP, Plant Pathology Herbarium culture collection, Queensland, Australia; MAFF, Ministry of Agriculture, Forestry and Fisheries culture collection, Japan; WAC, Western Australian Plant Pathology culture collection, Australia; LSU, Louisiana State University, Baton Rouge, LA, USA; CBS, Centraalbureau voor Schimmelcultures-Fungal Biodiversity Center, Utrecht, The Netherlands; OSU, Ohio State University, Columbus, OH, USA; FRC, *Fusarium* Research Center, The Pennsylvania State University, State College, PA, USA; JCM, Japan Collection of Microorganism, RIKEN BioResource Center, Japan; NRRL, Agricultural Research Service Culture Collection of the U.S. Department of Agriculture, Peoria, IL, USA.



**FIG 3** Large deletions lead to loss of *AVR1* in FOL076, FOL018, and FOL015. (A) Schematic representation of an approximate 667-kb genomic region in supercontig 2.22 (sc2.22) of chromosome 14 of FOL4287 (positions 96651 to 764000). *HelAB* indicates the location of the *AVR1* genomic region (31 kb) in FOL004. K to V, G, and H indicate primer pairs used to scan this region in FOL076, FOL018, and FOL015. Dashed lines indicate deleted regions in the genomes of FOL076, FOL018, and FOL015 compared to FOL4287, with approximate sizes. (B) Left, PCR experiments showing amplicons from FOL076, FOL018, and FOL015 with primer pairs K to V, G, and H. FOL004 genomic DNA was used as a positive control. Right, amplification of a 5.7-kb PCR product from FOL076 using primers 5049 and 4626. FOL4287 genomic DNA was used as a control. Lanes: 1, GeneRuler 1-kb DNA ladder (0.25 to 10 kb); 2, water control; K, primer set 4866/4867; L, primer set 4890/4891; M, primer set 4967/4968; N, primer set 5023/5024; O, primer set 5025/5026; P, primer set 4874/4875; Q, primer set 4749/4750; R, primer set 4880/4881; S, primer set 4751/4752; T, primer set 4618/4732; U, primer set 4733/4626; V, primer set 4309/4306; G, primer set 4616/4617; H, primer set 4395/4297.

FOL076, primer sets G, H, K to O, U, and V gave positive PCR results, whereas with sets P to T no fragments were amplified (Fig. 3B). This suggests that a fragment between primer pairs O and U is absent in the genome of this isolate. In FOL4287, an *NHT2*-like retrotransposon (43) is present just downstream of both primer set O and *HelAB*. Primer set U corresponds to the 3' end of this retrotransposon and its flanking region. A primer

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(5049 [Table S1]) that corresponds to a unique sequence upstream of the *NHT2*-like element close to primer set O was designed. Furthermore, the reverse primer (4626 [Table S1]) of set U corresponds to a unique sequence downstream of the second copy of the *NHT2*-like element. Using these two primers, we were able to amplify an approximately 5.7-kb fragment from FOL076 genomic DNA but not from FOL4287 genomic DNA (Fig. 3B). Sequencing confirmed the presence of an *NHT2*-like element in the fragment. This observation suggests that deletion of *AVR1* from the FOL076 genome is due to a homologous recombination event between two copies of an *NHT2*-like element. Compared to the genome of FOL004, a 488-kb fragment with the *AVR1* locus has been deleted in FOL076. Compared to the reference genome, the deletion is 31 kb shorter, thus being approximately 457 kb (Fig. 3A).

In FOL018, primer pairs K, L, U, V, G, and H gave positive PCR results, whereas sets M to T gave no fragments (Fig. 3B). Since primer sets L and U are approximately 600 kb apart from each other in the FOL4287 genome, we estimate that in isolate FOL018 an approximately 631-kb fragment containing *AVR1* is missing compared to in FOL004. The genome sequence of FOL4287 has a sequence gap just downstream of primer set L. In an attempt to bridge this gap in the reference genome, we tried to PCR amplify sequences using primers corresponding to the ends of the two contigs flanking the gap (5052 and 4968 [Table S1]). Unfortunately, no DNA was amplified. Like in FOL076, an *NHT2*-like retrotransposon is present near or at the right boundary of the deletion. With the reverse primer of set U and a primer corresponding to the contig end upstream of the gap (5052 and 4626 [Table S1]), we were unable to amplify a fragment from FOL018. The exact length of the deletion in this strain therefore remains undetermined.

In FOL015, primer sets G, H, K to R, and V gave positive PCR results, whereas primer sets S to U gave negative results (Fig. 3B). This indicates a deletion between primer sets R and V and that an approximately 168-kb genomic region containing *AVR1* is absent in FOL015 compared to FOL004; compared to FOL4287, the deletion is approximately 137 kb (Fig. 3A). Unfortunately, due to sequence gaps in the corresponding genomic region of reference strain FOL4287, we could not determine the exact boundaries of the deletion in this strain either.

Previous studies have indicated that FOL race 3 evolved from race 2 by a point mutation in *AVR2*. If this is true, one would expect two groups of race 3 isolates as well, one with the 31-kb deletion and one with a 102-kb deletion. To test this hypothesis, we extended our PCR analysis of the *AVR1* locus to 17 race 3 isolates. Of the isolates analyzed, 10 carried the 102-kb genomic fragment deletion with *AVR1* and six the deletion of the 31-kb fragment (Fig. 2C; Table 1). The Japanese Kochi-1 isolate (FOL081) was the only exception. PCR results for this isolate were positive for primer sets A to J except for D and E (Table 1), indicating that this isolate contains the *AVR1* genomic region with *HelA* and *HelC*. This is fully in line with the observations by Inami and coworkers, who have shown that in this FOL race 3 isolate, *AVR1* is inactivated by the insertion of a *Hormin* transposon (22). We assume that Kochi-1 evolved from a race 1 isolate without *HelB* into a race 2 isolate by the insertion of the *Hormin* element into *AVR1* and subsequently evolved into a race 3 isolate by a point mutation in *AVR2*. However, we cannot exclude the possibility that the mutation in *AVR2* occurred prior to the *Hormin* insertion.

In conclusion, except for three race 2 isolates and one race 3 isolate, all FOL race 2 and race 3 isolates can be divided into two groups based on the deletion events that led to the loss of the *AVR1* locus. One group lacks 102 kb of the *AVR1* locus, most probably due to homologous recombination between *HelA* and *HelC*, and the other lacks the 31-kb *AVR1* genomic region, most probably due to a homologous recombination event between *HelA* and *HelB*.

**Sequence polymorphisms in the FOL *AVR* genes.** Using a PCR approach with specific primers (1091 and 1033 [Table S1]), all 26 FOL race 1 isolates were tested for the presence of *AVR1*. In line with their classification as race 1, all gave rise to a PCR

	31	41	45	46	50	60
Fol4287	QLQGRGNPYCVFPGRRTSSTSFSTSFSTEP					
Fol057	QLQGRGNPYC[F]PGRRTSSTSFSTSFSTEP					
Fol026	QLQGRGNPYCVFP[C]RTSSTSFSTSFSTEP					
Fol074	QLQGRGNPYCVFPGR[T]SSTSFSTSFSTEP					
Fol085	QLQGRGNPYCVFPGRRTSS[S]SSTSFSTEP					

**FIG 4** Novel mutation in AVR2 in FOL race 3 isolate FOL085. A sequence alignment of wild-type (FOL4287) Avr2 with four mutated versions of Avr2 (V41→M, R45→H, R46→P, and T50/-) in four race 3 strains (FOL085, -074, -026, and -057) is shown. Amino acid changes are highlighted in green.

fragment of the expected length (“+” in Table 1). Fourteen fragments were sequenced and compared to AVR1 of FOL004 (“wt”); no sequence variation was observed (Table 1).

As expected, all 54 race 1 and 2 isolates contained AVR2. Thirty AVR2 amplicons from race 1 and race 2 strains were sequenced and found to be identical (Table 1). FOL race 3 isolates are believed to have evolved from race 2 by a point mutation in AVR2 leading to an amino acid change in the protein (20). So far, three AVR2 alleles have been described, each with one of the amino acid changes V41→M, R45→H, and R46→P. All three amino acid changes lead to the loss of the avirulence function of Avr2. Out of the 17 race 3 isolates analyzed here (Table 1), six contain the V41→M allele, five the R45→H allele, and four the R46→P allele. Interestingly, one race 3 isolate from Australia (FOL085) contains a hitherto-unknown AVR2 allele, one with a deletion of the triplet encoding the threonine (T) residue at position 50 of Avr2. The virulence of this isolate on I-2 lines indicates that this deletion has also led to the loss of the avirulence function of AVR2 (Table 1 and data not shown). One isolate (FOL097) failed to produce an AVR2-specific PCR fragment with primers 2934 and 964 (Table S1). The absence of AVR2 in this isolate suggests that either AVR2 is absent or PCR amplification has failed, for example, due to an insertion into AVR2 of a transposable element. To test this, additional PCR experiments were carried out on genomic DNA of FOL097. Like the original AVR2-specific primer set, the sets corresponding to either a region 950 bp upstream (1723 and 1236 [Table S1]) or a region 700 bp downstream (1237 and 1238 [Table S1]) of the AVR2 ORF and inside the ORF (962 and 963 [Table S1; Fig. S1]) failed to amplify a product from FOL097. This strongly suggests that the genomic region containing AVR2 is missing in FOL097. Another FOL effector gene, that for Six5, is located ~1 kb upstream of AVR2 in the opposite orientation. We tested whether this gene is absent from FOL097 as well. Interestingly, PCR amplification using gene-specific primers corresponding to a region inside the Six5 ORF produced no amplicon in FOL097 (Fig. S1). In summary, one new mutation in AVR2 was identified in addition to the three already known. Remarkably, all four mutations that abolish avirulence occur in the same region of the Avr2 protein, between amino acids 40 and 51 (Fig. 4). Hence, this region appears to be recognized, directly or indirectly, by I-2. Furthermore, the first FOL isolate with the AVR2 locus deleted was identified. This isolate also lacks Six5.

So far only a single polymorphism has been reported in FOL AVR3, resulting in either a glutamate (E) or a lysine (K) residue at position 164 (AVR3<sup>E</sup> or AVR3<sup>K</sup>) (24). In this study, AVR3 from all FOL isolates in our collection was sequenced. All carry either the AVR3<sup>E</sup> or the AVR3<sup>K</sup> allele (Table 1).

**Comparison of EF1- $\alpha$  gene sequences reveals a hitherto-unknown clonal line of FOL.** Vegetative compatibility has often been used to estimate the diversity within the *formae speciales* of *F. oxysporum*. Isolates within a VCG are genetically very similar, and generally it is believed that VCGs represent clonal populations (28, 31, 32). To assess to what VCG a particular isolate belongs requires the creation of mutants and testing these against tester isolates. Another way to assess genetic relationships between individual isolates is the comparison of conserved sequences in the genome. Examples of such sequences are the internal transcribed spacer (ITS) sequence and intergenic spacer (IGS) sequences of rRNA genes, mating type locus (MAT) sequences, endopolygalacturonase (*pg1*) and exopolygalacturonase (*pgx4*) genes, and the elongation factor 1- $\alpha$  (EF1- $\alpha$ ) gene. Table 1 indicates to which VCG each individual FOL isolate belongs

as far as this is known. To investigate the genetic relationship between the FOL isolates used in this study, the sequence of approximately 565 bp corresponding to the EF1- $\alpha$  gene was retrieved from public databases or determined for all isolates. In the analysis, EF1- $\alpha$  gene sequences of representative isolates from other *formae speciales* of *F. oxysporum* were included. *Fusarium commune* served as outgroup (44). A maximum-likelihood tree revealed five groups (clades A1 to A5) for FOL isolates (Fig. 5). Within each clade, the EF1- $\alpha$  gene sequences are 99.8 to 100% identical.

All seven FOL isolates known to belong to VCG0031 group into clade A1 (clade numbering is the same as used by Kawabe and coworkers [36]), and both races 1 and 2 are represented. Moreover, clade A1 also contains isolates of *F. oxysporum* f. sp. *batatas* and *F. oxysporum* f. sp. *erythroxili*, suggesting that these isolates are closely related to FOL isolates of VCG0031. *F. oxysporum* isolates usually have a very narrow host range (45); hence, we assume that *F. oxysporum* f. sp. *batatas* and *F. oxysporum* f. sp. *erythroxili* are pathogenic only on sweet potato and cocoa plant, respectively, and do not have the effector repertoire that is required for virulence on tomato and is encoded on chromosome 14 in FOL4287 (45).

All isolates known to belong to VCG0030 group into clade A2, and all three FOL races are represented. In addition, clade A2 contains five self-incompatible FOL isolates, an isolate of *F. oxysporum* f. sp. *melonis* (FOM) (NRRL26406) belonging to FOM VCG0136 and five isolates of *F. oxysporum* f. sp. *radicis-lycopersici* (FORL) belonging to FORL VCG0090. This suggests that FOL VCG0030, FOM VCG0136, and FORL VCG0090 are closely related. Clade A3 contains all four known VCG0033 isolates; these are all race 3.

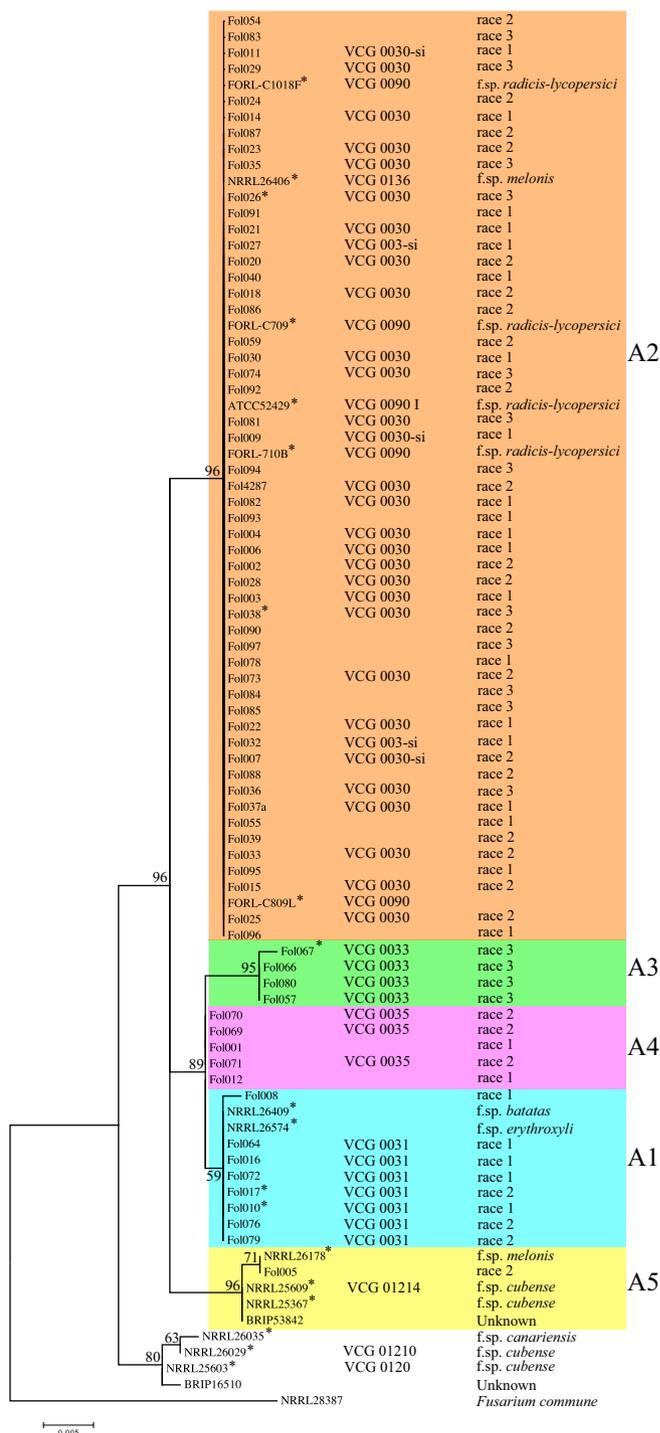
Clade A4 contains two FOL race 1 isolates (VCG not known) and three race 2 isolates of VCG0035 (29), all reported from a single site in California, USA. Based on VCG tests, Mes and coworkers proposed that the Dutch race 1 isolates FOL001 and FOL012 do not belong to either VCG0030 or VCG0031 (31). Here we show that the two isolates from The Netherlands share identical EF1- $\alpha$  gene sequences with the American VCG0035 race 2 isolates, positioning them in clade A4. This suggests that FOL001 and FOL012 belong to VCG0035 as well.

Clade A5 contains only one FOL isolate, FOL005, a race 2 isolate from The Netherlands. In a vegetative compatibility test, this isolate did not show compatibility with any other isolate belonging to a known VCG (31). Moreover, in our phylogenetic tree, FOL005 groups with FOM and *F. oxysporum* f. sp. *cubeense* isolates and with one *F. oxysporum* isolate with unknown host specificity (BRIP53842). Isolates within this clade share 99.8 to 100% identical EF1- $\alpha$  gene sequences and at least five polymorphic sites compared to isolates from other clades. Together, these observations suggest that FOL005 belongs to a hitherto-unknown FOL VCG.

Taken together, this phylogenetic study based on EF1- $\alpha$  gene sequences shows that FOL comprises at least five evolutionary lineages. Among these, four correlate with known VCGs of FOL (VCG0030, VCG0031, VCG0033, and VCG0035). The coincidence of FOL VCGs with distinct phylogenetic lineages is also supported by previous phylogenetic studies based on the ribosomal DNA intergenic spacer region (36). The present extended analysis further confirms that FOL's ability to cause disease on tomato has emerged multiple times independently within the *F. oxysporum* complex (33), probably through horizontal chromosome transfer (46).

## DISCUSSION

The genome of the sequenced isolate FOL4287 consists of 11 core and four lineage-specific (LS) chromosomes. The LS chromosomes are devoid of housekeeping genes, encode the so-called Six (secreted in xylem) proteins, and possess many transposable elements (42, 46). The 100-kb insert of clone 9G3 from a FOL004 BAC library shows all of the characteristics of an LS chromosome: almost 60% of it contains TE sequences, it encodes a Six protein (Six4 or Avr1), and it is devoid of housekeeping genes. Comparison of the 100-kb insert sequence to the FOL4287 reference genome revealed that, except for a 31-kb fragment containing *AVR1*, the entire insert aligns to a region in LS chromosome 14 of FOL4287 (Fig. 1). This suggests that FOL004 *AVR1*, like



**FIG 5** Maximum-likelihood (ML) tree inferred from EF1- $\alpha$  gene sequences of *Fusarium oxysporum* isolates. *Fusarium commune* strain NRRL28387 (GenBank accession number AF246832.1) was used as an outgroup. Bootstrap values calculated in ML analysis are shown beside branches. Five lineages (A1 to A5) for FOL inferred from ML analysis are indicated in different colors. The EF1- $\alpha$  gene sequences of FOL isolates indicated by asterisks were determined in a previous study (21).

AVR2 (SIX3) and AVR3 (SIX1), is located on chromosome 14. However, whether the AVR1 locus is really located on this chromosome remains unclear. Inami and coworkers examined two Japanese FOL race 1 isolates by pulse-field gel electrophoresis and Southern analysis and found AVR1 in one isolate on the same chromosome as AVR2 and AVR3, whereas in the other isolate, AVR1 appeared to be located on a different

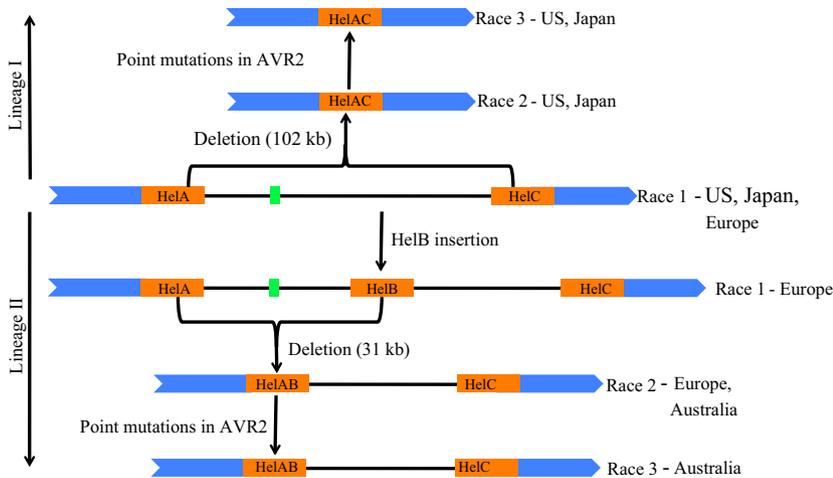
chromosome (22). This indicates that in race 1 isolates, *AVR1* is not always on the same chromosome as *AVR2* and *AVR3*.

Besides *AVR1* and the *FoHel13 Helitron* (*ORF3*), the 31-kb deletion contains only one ORF (*ORF4*) (Fig. 1), which encodes a protein with unknown function. In FOL4287, three copies of this ORF are present at different locations in the genome (see Table S3 in the supplemental material). FOL007 (a race 2 isolate with the 31-kb deletion) is virulent on the general susceptible tomato line C32 (19). This suggests that the 31-kb deletion including *AVR1* and *ORF4* is not crucial for virulence of FOL, although loss of *ORF4* in the 31-kb region might have been compensated for by other *ORF4* copies in the genome. The 102-kb fragment lost in other race 2 isolates contains, in addition to *AVR1*, *ORF3*, and *ORF4*, a putative oxidoreductase gene (*ORF5*), two genes encoding RecQ helicases (*ORF6* and -7), three ORFs encoding proteins with unknown function (*FOXG\_14241*, *FOXG\_14242*, and *FOXG\_14244*), and one ORF encoding an aspartic protease (*FOXG\_14243*) (Fig. 1). Three genes encoding a highly similar oxidoreductase and four genes encoding a highly similar aspartic protease are present in the genome of FOL4287 as well. FOL029, which possesses the 102-kb deletion, is highly virulent and does not have any copies of *FOXG\_14241*, *FOXG\_14242*, and *FOXG\_14244* elsewhere in the genome (19). This suggests that *FOXG\_14241*, *FOXG\_14242*, and *FOXG\_14244* are also not required for pathogenicity of FOL on tomato.

In the 26 FOL race 1 isolates analyzed, *AVR1* is positioned in the genome between two copies of a *Helitron* transposable element. In 15 isolates, one copy (*HelA*) is located 17.2 kb upstream of *AVR1*, and the other (*HelC*) resides 88 kb downstream of the avirulence gene. In the 11 other isolates, a third copy (*HelB*) is present 12.9 kb downstream of *AVR1* (69 kb upstream of *HelC*). Hence, two groups of race 1 isolates can be distinguished: one with *HelB* located between *AVR1* and *HelC* and one without *HelB* (Fig. 2B). We assume that at some point in time *HelB* was inserted into the *AVR1* locus upstream of *HelC*. However, the possibility that *HelB* was deleted from the *AVR1* locus cannot be ruled out. So far nothing is known about a potential excision mechanism of *Helitron* transposons and whether such an excision event would leave footprints in the DNA. Sequencing a 1.8-kb fragment (see Fig. S3 in the supplemental material) of the *AVR1* locus from which *HelB* could have been excised and comparing the sequence with the *HelB* flanking sequences did not reveal any potential excision footprint. *Helitron* transposons are found throughout the genome of FOL4287 but are most abundant on chromosome 14 (7 copies, of which 6 share >97% sequence identity) (42, 48). No *Helitron* transposons are found near *AVR2*, but one *Helitron* copy is located ~20 kb upstream and another 3,455 bp downstream of *AVR3* (42, 48).

Except for the pathway for the emergence of the Kochi-1 isolate, all FOL race 2 isolates appear to have evolved from race 1 by the loss of part of the *AVR1* genomic region in at least five independent deletion events, of which three were observed only once. In three events, the deletion of the *AVR1* genomic fragment was likely due to homologous recombination between identical transposable elements flanking this genomic fragment. In two of these cases, homologous recombination had occurred between two identical *Helitron* transposable elements, resulting in either a 102-kb or a 31-kb deletion (Fig. 1, 2, and 6) (40). In an another event, an *AVR1*-containing genomic region of approximately 457 kb was found to be deleted, most probably due to homologous recombination between two *NHT2*-like retrotransposons (Fig. 3) (43). These results suggest that transposable elements, particularly *Helitron* transposons, played a major role in the evolution of races within FOL.

*Helitron* transposons form a separate class of DNA transposons that has been identified in many eukaryotic species, including fungi (40). Even though we propose that recombination between *Helitron* transposons is responsible for the deletion of the *AVR1* locus, we do not have direct evidence for *Helitron* recombination. FOL004 *HelA* and FOL4287 *HelAB* are 100% identical, except for the insertion of transposable element *Hop3* at the 5' end of FOL4287 *HelAB*. Since FOL004 *HelB* differs from FOL004 *HelA* by a duplication of a 15-bp sequence, a single-base-pair deletion, and one A/G polymorphism (Fig. 1), we assume that recombination between *HelA* and *HelB* occurred at any

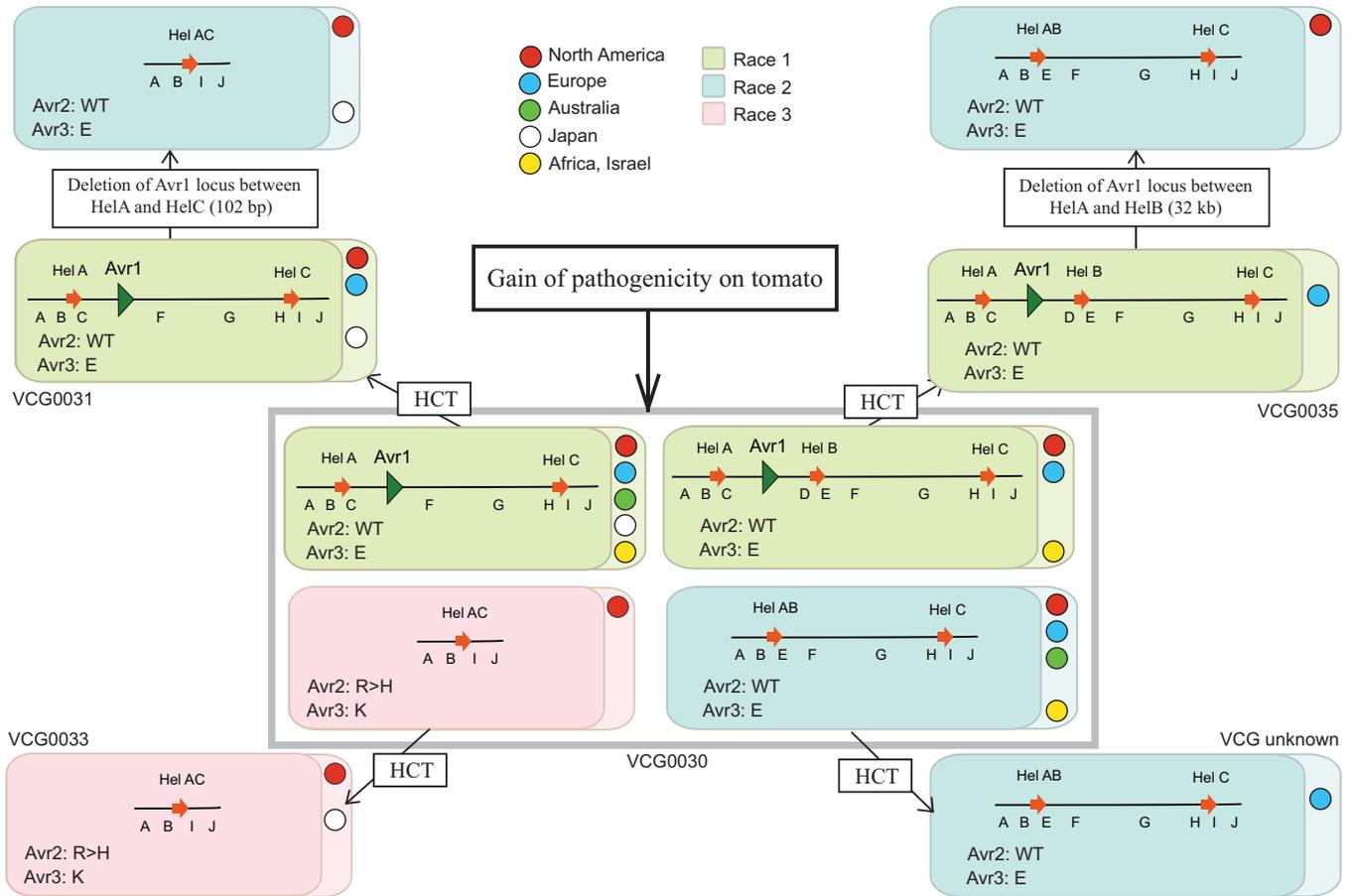


**FIG 6** A model for the evolution of FOL race 2 and race 3 isolates from race 1. Two lineages (I and II) are indicated by arrows. Green boxes indicate *AVR1*, and orange boxes indicate *Helitron* transposons. Blue indicates regions conserved in the FOL isolates.

position downstream of nucleotide 4909 (Fig. 1). No sequence polymorphisms were identified among *HelA*, *HelC*, and *HelAC*, and hence it is impossible to determine the precise location of this recombination event.

**Clonal lineages within FOL.** Five evolutionary lineages (clades A1 to A5) were identified within FOL in a phylogenetic analysis using the sequences of the EF1- $\alpha$  genes from 71 FOL isolates that represent the world population (Fig. 5). Within each lineage, the EF1- $\alpha$  gene sequences showed 99.8 to 100% identity.

Phylogenetic analyses based on distinct genes do not always result in consistent phylogenies for *F. oxysporum*, probably due to hybridizations and/or horizontal transfer events (46, 47). In this EF1- $\alpha$  gene phylogeny, clade A1 to clade A4 were associated with known VCGs of FOL. This suggests that this method can be used to quickly determine the clonal lineage (hence VCG) for isolates without resorting to VCG analysis. Identification of a new clonal lineage (clade A5) for FOL suggests that hitherto-unidentified distinct lineages still exist in nature. Overall, these results are consistent with the findings of previous studies in which FOL was shown to be polyphyletic, meaning that pathogenicity to tomato has evolved multiple times independently (36, 47). The polyphyletic nature of FOL can be explained by horizontal chromosome transfer (HCT). This might be true at least for FOL, where a small chromosome, designated chromosome 14, has been shown to transfer between different isolates of *F. oxysporum* (46). The effector genes in FOL that facilitate virulence on tomato are located on chromosome 14 and are most likely absent from other *formae speciales* (42, 45). The conservation of these genes with 100% identity in FOL isolates belonging to different clades suggests that pathogenicity toward cultivated tomato has spread relatively recently to different clades through horizontal chromosome transfer. Moreover, the occurrence of all three races from diverse geographical locations in the same clonal lineage implies that new races emerge independently at different locations, either from local populations or at a center of origin followed by long-distance dispersal. Figure 7 represents a model to explain how pathogenicity to tomato cultivars has evolved in different clonal lines of FOL. We consider VCG0030 to be the ancestral lineage because of its widespread occurrence and genotype diversity (see below). As depicted in Fig. 7, factors facilitating virulence on tomato may have evolved initially within VCG0030, and then a chromosome carrying these virulence factors (e.g., chromosome 14) might have been transferred horizontally to other clonal lines (VCGs) (Fig. 7). Due to selection pressure from host resistance, races have evolved within each clonal line independently by loss of function of avirulence genes. VCG0033 contains only race 3 isolates. These isolates may have evolved from hitherto-unidentified race 1 or race 2 isolates in the same



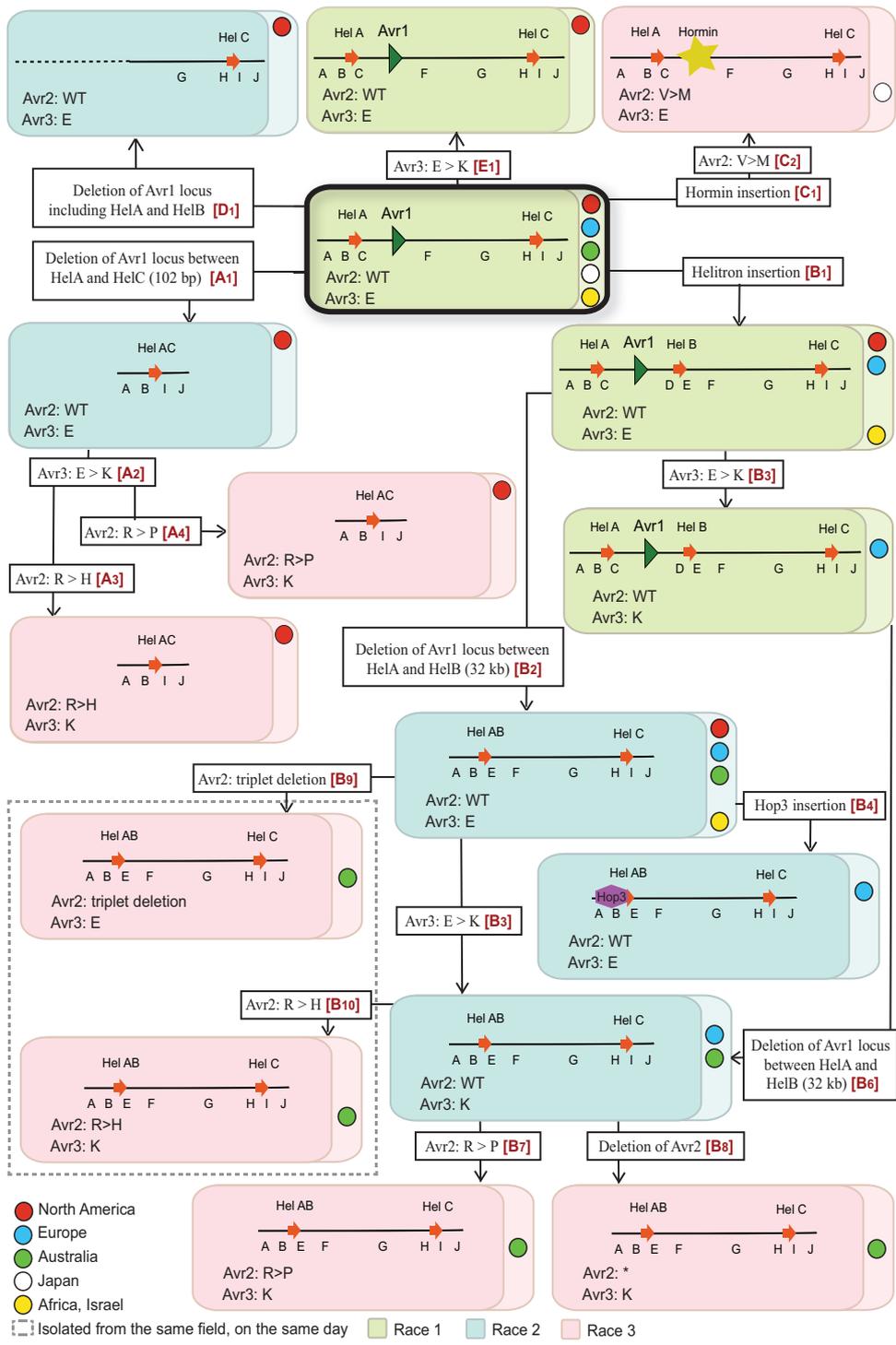
**FIG 7** A model for gain of pathogenicity toward tomato in different clonal lineages (VCGs) of FOL. Each box indicates a genotype; the *AVR1* genomic region of each isolate is represented schematically within the box, along with sequence types for *AVR2* and *AVR3*. Boxes are colored according to race: green, race 1; blue, race 2; pink, race 3. Colored circles within the boxes indicate geographical locations. We propose that different VCGs obtained their various genotypes through horizontal chromosome transfer (HCT) and independent losses of the *AVR1* locus, possibly after homologous recombination between flanking *Helitron* transposons.

lineage. An alternative explanation is that the race 3 isolates emerged by the acquisition of chromosome 14 through horizontal transfer from an already-existing race 3 isolate in a different lineage, as shown in Fig. 7.

**Pathways for the evolution of races and avirulence genotypes within VCG0030.**

The present study provides evidence for the independent evolution of different FOL races within clonal lineages (VCGs). We have shown that FOL race 2 evolved from race 1 isolates by deletion of a genomic region containing *AVR1*, apparently through homologous recombination between two identical *Helitron* transposons flanking the locus. Within a given race, isolates can be divided into various types based on the event that led to *AVR1* deletion and the sequences of the *AVR2* and *AVR3* alleles in their genome (Table 1). From these data, we propose a model of the minimal number of events leading to the evolution of races from an ancestral race 1 type in the major lineage, VCG0030 (Fig. 8). Five independent pathways (A to E, indicated in red within the boxes describing molecular events) are hypothesized, each one with one or multiple evolutionary events that led to the evolution of different types and races in a stepwise manner. In the model, the common ancestor for all races and types is a FOL race 1 without *HelB* but with *AVR1*, *AVR2*, and *AVR3*<sup>E</sup> present as well as *HelA* and *HelC* upstream and downstream of *AVR1*, respectively. We believe this FOL race 1 type (framed red in Fig. 8) to be the common ancestor for several reasons. First, this FOL race 1 type occurs in all five global regions from which the isolates used in our studies originate: North America, Europe, Australia, Japan, and North Africa/Israel. Furthermore, as discussed above, it is very unlikely that a race 2 (or race 3) isolate represents an

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**FIG 8** Proposed events underlying evolution of FOL races and AVR genotypes within VCG0030. As in Fig. 7, each box indicates a genotype; the AVR1 genomic region of each isolate is represented schematically within the box, along with sequence types for Avr2 and Avr3. Boxes are colored according to race: green, race 1; blue, race 2; pink, race 3. Colored circles within the boxes indicate geographical locations. Molecular events that led to emergence of new races and genotypes are described in open boxes. We inferred five potential parsimonious evolutionary pathways that lead from the ancestral genotype (thick black box) to the various genotypes observed. These pathways are named A to E, and individual steps are indicated with a number (e.g., A1 is the first step in pathway A). Pathways and steps are indicated in red within the open boxes describing molecular events.

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ancestral isolate without *AVR1* and that at some point in time this isolate acquired a genomic fragment containing *AVR1*. All race 1 isolates scored positive for primer pairs B, C, H, and I, indicative for the presence of both *HelA* and *HelC*. As discussed before as well, we assume that *HelB* was inserted into the *AVR1* locus upstream of *HelC* rather than that *HelB* was excised from the locus. However, conclusive evidence for this is not available. Race 1 isolates all contain *AVR1* and *AVR2*, and in all cases analyzed (approximately 50% of the isolates) these appeared to be wild type. All FOL isolates contain *AVR3*, either the *AVR3<sup>E</sup>* or the *AVR3<sup>K</sup>* allele. Since isolates with the *AVR3<sup>K</sup>* allele are more virulent than isolates with the *AVR3<sup>E</sup>* allele, we assume the common ancestor to contain the *AVR3<sup>E</sup>* allele.

In pathway A, race 2 evolved from the ancestral race 1 by deletion of a 102-kb genomic region carrying the *AVR1* locus, due to a homologous recombination event between *HelA* and *HelC* (indicated as event A1 in Fig. 8). Subsequently, a single point mutation in the *AVR3* gene (event A2 in Fig. 8) led to the emergence of race 2 with the *AVR3<sup>K</sup>* allele. Race 3 then evolved from this race 2 in two independent events (A3 and A4 in Fig. 8). In each event, a point mutation in the *AVR2* gene caused the loss of its avirulence function. Since some race 1 isolates also carry the *AVR3<sup>K</sup>* allele, these isolates presumably evolved from the ancestral race 1 type independently (E1 in Fig. 8).

In pathway B, first *HelB* was inserted 12.9 kb downstream of *AVR1* (B1 in Fig. 8). Subsequently, race 2 evolved by deletion of a 31-kb genomic region containing *AVR1* (B2 in Fig. 8), due to homologous recombination between *HelA* and *HelB*. A single nucleotide change in *AVR3* then led to the emergence of race 2 with the *AVR3<sup>K</sup>* allele (B3 in Fig. 8). An alternative pathway for evolution of race 2 with the *AVR3<sup>K</sup>* allele is as follows. First, a single nucleotide change in *AVR3* of a race 1 isolate with *HelB* led to the emergence of race 1 with the *AVR3<sup>K</sup>* allele (B5 in Fig. 8). Thereafter, a homologous recombination event caused the deletion of the 31-kb genomic region containing the *AVR1* locus, resulting in race 2 with the *AVR3<sup>K</sup>* allele (B6 in Fig. 8). However, because of the widespread distribution of race 2 with the *AVR3<sup>E</sup>* allele, we believe that the first pathway (B1 to B2 to B3 in Fig. 8) is the most plausible explanation for evolution of race 2 with the *AVR3<sup>K</sup>* allele. In the type that evolved upon event B2, a copy of transposable element *Hop3* was inserted into the 5' end of *HelAB* (B4 in Fig. 8). To explain evolution of race 3 within pathway B, four independent events are proposed (B7, -8, -9, and -10 in Fig. 8), all related to the loss of the avirulence function of *AVR2*.

Pathway C is proposed for the evolution of the Kochi-1 isolate (FOL081), a race 3 isolate from Japan. FOL race 2 isolates FOL018 and FOL015 (VCG0031 race 2 isolate FOL076) could have evolved from the ancestral race 1 isolate by independent deletion events (D1 in Fig. 8). Since neither *HelA* nor *HelB* was involved in the deletion of the *AVR1* locus in FOL018, FOL015, and FOL076, these isolates may also have evolved from another, hitherto-unknown ancestral race 1 type without these *Helitron* transposons.

In the proposed model, a number of events occur multiple times independently. For example, in four independent events (A2, B3, B5, and E1), the *AVR3<sup>E</sup>* allele changed into the *AVR3<sup>K</sup>* allele. Since the *AVR3<sup>K</sup>* allele renders isolates more virulent than the *AVR3<sup>E</sup>* allele, an opposite change (*AVR3<sup>K</sup>* into *AVR3<sup>E</sup>*) is not likely to be selected for and is hence not proposed in the model. Remarkably, no other mutations in *AVR3* have been observed. Another example is the emergence of race 3 isolates within pathway B in four independent mutational events to explain evolution of race 3 from race 2 by mutations in *AVR2*: a deletion of a triplet (B9) or the whole gene (B8) or an amino acid change at position 45 (R→H; B10) or position 46 (R→P; B7). Also, the deletion of the *AVR1* locus between *HelA* and *HelB* could have occurred twice (B2 and B6).

The results from the current study confirm the polyphyletic nature of *Fusarium oxysporum* f. sp. *lycopersici*. In each genetically distinct lineage, different races of FOL showing different avirulence genotypes have evolved from preexisting races by the loss of function of avirulence genes. However, it is still unknown how the ancestral FOL race originated. Further studies on the pathogenicity determinants on chromosome 14 are required to enhance our understanding of how FOL gained pathogenicity on tomato.

## MATERIALS AND METHODS

**Fungal isolates.** The fungal isolates used in this study are listed in Table 1. Most of the isolates originate from the United States, Japan, Europe, Australia, and North Africa. For most of these isolates, pathogenicity, vegetative compatibility, and genetic diversity have been assessed in previous studies. All isolates were cultured on Czapek Dox agar (CDA) (Oxoid) and incubated in darkness at 25°C.

**Fungal genomic DNA isolation, PCR analysis, and sequencing.** Fungal genomic DNA (gDNA) was extracted using the following method. A patch of mycelium was scraped from the margin of a colony and suspended in 400  $\mu$ l Tris-EDTA buffer (10 mM Tris [pH 8], 1 mM EDTA [pH 8]) together with 300  $\mu$ l phenol-chloroform (1:1) and approximately 300  $\mu$ l glass beads (about 400  $\mu$ m). The mycelium was mechanically disrupted by vortexing for 2 min. The supernatant (150  $\mu$ l) was collected after centrifugation (5 min) at maximum speed and mixed with an equal volume of chloroform. The supernatant (100  $\mu$ l) again was collected after vortexing and centrifugation (5 min) and stored at  $-20^{\circ}\text{C}$  for further use. One microliter of genomic DNA was used for PCR experiments. The primers used in this study are listed in Table S1 in the supplemental material. The amplified products were resolved electrophoretically in a 1% agarose gel. PCR products were sequenced and analyzed using SeqBuilder (DNASTar, Madison, WI).

**BAC library screening, DNA isolation, and restriction analysis.** A BAC library of race 1 isolate FOL004 was screened with *AVR1*-specific primers (numbers 1091 and 1033 [Table S1]) (38). Putative BAC clones were verified by a second PCR using DNA isolated from these clones as the template. BAC DNA was isolated with the Qiagen Miniprep kit (Qiagen, Basingstoke, United Kingdom) according to the manufacturer's instructions. To estimate the insert sizes, 5- $\mu$ l aliquots of extracted BAC DNA were digested with 5 U of either *NotI*, *SwaI*, or both for 3 h at 37°C. The digestion products were resolved by contour-clamped homogeneous electric field (CHEF)-gel analysis (CHEF-DRIII system; Bio-Rad) in a 1% wide-range resolute agarose gel in 0.5 $\times$  Tris-borate-EDTA (TBE) buffer. Electrophoresis was carried out for 18 h at 4°C with an initial switch time of 5 s and a final switch time of 15 s in a voltage gradient of 6 V/cm. Insert sizes were compared to those of the CHEF DNA size standard lambda ladder (Bio-Rad), CHEF DNA size standard 8- to 48-kb ladder (Bio-Rad), and GeneRuler 1-kb DNA ladder (Fermentas).

**Sequencing, annotation, and analysis.** The BAC clone sequencing and assembly were carried out by BaseClear (Leiden, The Netherlands). Open reading frames of the insert sequence were predicted using the gene-finding program Fgenesh (Softberry, Inc., Mount Kisco, NY). The predicted ORFs were subjected to BLAST searches against the *Fusarium* comparative database ([www.broadinstitute.org](http://www.broadinstitute.org)) and NCBI nonredundant databases (<http://www.ncbi.nlm.nih.gov/>). The genome of FOL029 was searched for copies of *FOXG\_14241*, *FOXG\_14242*, and *FOXG\_14244* using local Megablast with default settings; *FOXG\_14241* and *FOXG\_14244* returned no hits at all, and *FOXG\_14242* returned only partial hits (spanning up to one-third of the gene). We manually inspected an alignment of this gene with the contig in FOL029 where we found the best hit and concluded that this contig does not contain a copy or close paralog of *FOXG\_14242*. Protein domains were predicted by InterProScan analysis (<http://www.ebi.ac.uk/>). The repetitive elements were identified by BLAST against the RepeatMasker library (Open 3.2.9) (<http://www.repeatmasker.org/>), *Fusarium* comparative database, and NCBI nonredundant database. The transposases/retrotransposases were classified by BLAST against the Repbase (<http://www.girinst.org/repbase/>) and manual inspection using the system proposed by Wicker and colleagues (39). Comparison between the insert sequence and the FOL reference genome was carried out using Mauve genome alignment software (<http://darlinglab.org/mauve/mauve.html>).

**Phylogenetic analysis.** For phylogenetic analysis, DNA sequences of the EF1- $\alpha$  gene were either retrieved from GenBank or determined in this study. Multiple-sequence alignment was performed using ClustalW on the EBI/EMBL site (<http://www.ebi.ac.uk/Tools/clustalw>) and then manually adjusted with UniPro ugene software to remove all gap sequences and ambiguously aligned regions. There were a total of 565 positions in the final data set. Phylogenetic analyses were conducted in MEGA 5 software. The evolutionary history was inferred by using the maximum-likelihood method based on the Kimura 2-parameter model. The tree with the highest log likelihood ( $-1,069.4772$ ) is shown (Fig. 5). The percentage of trees in which the associated taxa clustered together is shown next to the branches. The initial tree(s) for the heuristic search was obtained automatically by applying Neighbor-Join and BioNJ algorithms to a matrix of pairwise distances estimated using the maximum-composite-likelihood (MCL) approach and then selecting the topology with the superior log-likelihood value. A discrete gamma distribution was used to model evolutionary rate differences among sites (5 categories [+G, parameter = 0.4697]). The tree is drawn to scale, with branch lengths measured in the number of substitutions per site. The sequence of *Fusarium commune* (NRRL28387) (GenBank accession number AF246832.1) was used as an outgroup (44).

**Accession number(s).** The sequence of the full 9G3 insert has been deposited in GenBank under accession number KP213325.1.

## SUPPLEMENTAL MATERIAL

Supplemental material for this article may be found at <https://doi.org/10.1128/AEM.02548-16>.

**TEXT S1**, PDF file, 1.3 MB.

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