Studies on the semantics of questions and the pragmatics of answers
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QUESTIONS AND LINGUISTIC ANSWERS
0. Introduction

Interrogative-answer pairs are of special interest to any theory which aims to model natural language interpretation. There are abundantly many reasons for this, most of which rather have the looks of a cliché, we are afraid. (But then, isn't a cliché a cliché because of its very truth?) Few would like to challenge that natural language is first and foremost a system of human communication. And hardly more controversial is the claim that language is a pretty successful means to exchange information. Even those who never get tired to stress the multitude of functions linguistic utterances can fulfill, will have to admit that the informative use is prominent among them.

The informative use of language is intimately linked to question answering. One might even go as far as to say that it is all there is to it. One might argue that there really is no separable assertoric use of language, that there is no way to get even close to understanding the way in which indicatives function if they are viewed in isolation. Whenever one tries to describe how something functions, one finds oneself looking for its goal or purpose. In this case we don't have to look very far. The main purpose of the assertoric use of sentences is to convey information. If an assertion succeeds in this, it answers a question. And this no matter whether or not such a question was actually posed, for example (for there might be other ways to do so) by the utterance of an interrogative sentence by the one to whom the assertion was addressed.¹

As a matter of fact, this perspective is what drove us to the study of questions. Our original interest was what we called 'epistemic pragmatics', an analysis of the role of
information in language use. The analysis aimed at was a logical one, and maybe for that reason tended to focus on the assertoric use of sentences.

Part of that project was the formulation of conditions for the correct use of indicatives, conditions pertaining to the information of the speaker, not only his information about the world, but also, and equally important, his information about the information of the addressee. This task comes down to trying to arrive at a precise formulation of the Gricean Maxims of Relation, Quality, Quantity, and, more peripherally, Manner. To shortcut a long history, it proved inevitable to refer to questions in the formulation of, first and foremost, the Maxim of Relation. And it turned out that the Maxim of Quantity has to seek a delicate balance between on the one hand requiring an utterance to be maximally informative, and on the other hand requiring it not to be unnecessarily overinformative, a balance which is almost impossible to find if we don't assume an assertion to take place against the background of a certain implicit or explicit question.²

This being so, a pragmatic analysis of assertions calls for an analysis of questions. And if the analysis aimed at is to be a logical one, we need a logic of questions, or, turning the medal, a semantics of interrogative sentences.

To those dedicated to logical semantics, interrogatives and answers are an outstanding challenge. It has often been put forward, not only by notorious adversaries of a logical approach to language, but also by such eminences grises in the field as Frege and the author of the Tractatus, that the variety of uses to which language can be put in principle lies outside the realm of logic.³ Logic is preoccupied with the notions of truth and truth conditions of sentences so deeply, so the argument seems to go, that it is hardly to be expected that it will have anything of interest to say about non-descriptive sentences, or the non-descriptive use of sentences.

This puts a heavy burden on the logical semanticists approach to natural language. To be sure, logical semantics
is bound to have its explanatory limits, that is nothing to get worried or excited about. There is more in between natural language and its interpretation than semantics will ever be able to reveal. But then, there isn't only semantics, there is syntax, pragmatics, and lexical semantics as well. (And you might go on adding your own favourites.) But it can not be denied that if logical semantics is to be a viable enterprise at all, it should be able to ascribe wellbehaved semantic objects not only to indicative sentences, but, for a start, to interrogatives as well. It just will not do to ignore questions. Semantics is to be a semantics of both interrogatives and indicatives, or else it is not to be.

For this and maybe other reasons as well, there has been a lively interest in the logic of questions throughout the years. But, if we may say so, with marginal success as far as natural language semantics is concerned. Perhaps under the influence of the success of modal logic and other intensional logics, most modern approaches try to deal with interrogatives by adding special operators, or by using imperative and/or epistemic operators that already have been added, to standard logical languages.

This is not the place to describe the history of so-called 'erotetic logic'. It has certainly left us a load of interesting problems and results, but it never succeeded in arriving at a proposal for the analysis of interrogatives in natural language that could enjoy acceptance by a larger part of the logical semanticists communion. As we see it, this misfortune is largely due to the failure to come up with a single and simple type of semantic object that can serve to be associated with the syntactic category of interrogative sentences. Preferably, such an object should not be something completely new and never heard of, but should stay within the limits of the by now familiar, and successful, intensional type theory. And further, and equally important, it should be such that it opens our eyes to new meta-notions which are of logical interest. A new step in semantics should offer a new outlook on the field of logic if it is really worthwhile. For the semantics of interroga-
tives this seems to require that it give rise to simple and logically wellbehaved notions of entailment between questions, and of answerhood as a relation between questions and assertions. And the stronger these notions cling to the trustworthy notion of logical consequence, the better it is.

Tichy may be honoured as the one who perhaps has propagated this view in its most pure form. Tichy's message is that the ordinary logical apparatus provides all the tools we need to deal with the logic and the semantics of interrogatives. To be sure, he doesn't mean standard predicate logic, but (his version of) intensional logic. More specifically, he argues that we need nothing besides our good old basic semantic objects: entities, truth values and indices; and no new ways of constructing more complex objects from these basic ones than the ones we are already familiar with.

In our opinion, all this is very true. However, we feel that Tichy pushes things too far in this direction. In the end, he gives interrogatives no privacy at all. In Tichy's view, every interrogative shares its logical analysis with an 'indicative expression', yes/no-interrogatives with indicative sentences, constituent interrogatives with predicative expressions. This deprives them of the right to form a homogeneous category, to which intuitively they are entitled. And, equally important, it bereaves them of their own identity. It makes no sense to turn interrogative sentences into truth value expressions, as Tichy does with yes/no-interrogatives. One has heard it say too many times that interrogatives don't have truth values, to embrace a theory that tells us that after all they do. Maybe therefore the semanticists community is hesitant to accept Tichy's proposal, interesting though it may be, as its standard theory of the semantics of interrogatives and answers.

Tichy's analysis can also be used to illustrate a traditional feature of the logical approaches to questions we mentioned above. Not having made a semantic distinction between interrogatives and indicatives, there is no other way open to him than to keep them apart by seeking refuge in pragmatics (or, as in the old days, psychology). There is
no semantic difference between an indicative and the corresponding yes/no-interrogative. They both express a proposition and denote a truth value (they both contain a 'Gedanke', Frege said). The difference lies only in the concern or attitude the speaker has towards this proposition. These attitudes are of no concern to the logician or semanticist (they may be to the pragmaticist or psychologist), only their objects, propositions, are.\footnotemark

A conservative mind may find this view on the matter attractive, it declares logic to be quite allright the way it is. To us it seems to rob logic and semantics of a subject to which it might have some interesting contributions to make. It is also quite likely to confirm the critics of logical semantics in their prejudice that logic will fall short to pay its debt to the study of non-assertoric uses of language.

Still, these are mainly objections of a more or less ideological nature. Fortunately, there is more to it. As an additional argument for his position, Tichy remarks that the difference between indicatives and interrogatives vanishes if they occur as complements embedded in sentences. Indeed, this were to be expected if the difference were merely one of psychological attitude. But the argument can easily be seen to be based upon a false premiss. If we are to take Tichy's word for it, to know whether something is the case is to be just the same as to know that it is the case. Well, if it actually is the case, yes, but if it is actually not the case, no. Then to know whether something is the case is to know that it is not the case.\footnotemark

It is precisely when we look at wh-complements, indirect questions, that the semantic differences between indicatives and interrogatives come out in the open, at least, if we assume interrogatives and their accompanying complements to be intimates. Theories of interrogatives sharing Tichy's basic point of view (Hausser's work is a case in point) invariably lead to poor analyses of wh-complements.\footnotemark

We have tried to do better by working in the opposite direction. In G&S 1982 we investigated the semantics of
wh-complements. We hoped that starting out from questions as they occur embedded in indicatives, familiar ground for a semanticist, would lead us indirectly to a single uniform semantic object all kinds of interrogatives can be associated with. What we ended up with are propositional concepts. Not any old propositional concept will do as a semantic object that can be expressed by an interrogative. Those that do can be shown to have special properties, and these we call questions. These properties assure that a question can be viewed as a partition of the set of indices.

In G&S 1984a we made ample use of this insight in defining notions of semantic and pragmatic answerhood. Being somewhat pretentious, that paper might be seen to typify the potential possibilities of a logical theory based on the notions of interrogative entailment and answerhood. Both kinds of notions can be seen to be intimately related to the standard logical notion of entailment between indicatives.

The main objective of this paper is to apply this semantic and pragmatic theory of questions and answerhood to natural language interrogatives and linguistic answers. The latter will be seen to have their own peculiarities. For the larger part, these reflect that answers essentially occur in the context of an interrogative. Characteristic answers, and among them we refuse to discriminate against either so-called 'short' or so-called 'long' answers, can be interpreted intelligibly only by relating them to the interpretation of the interrogative in the context of which they occur.

The present paper is organized as follows. In section 1 we give a quick sketch of how interrogatives can be derived and interpreted as expressing propositional concepts. The details of their analysis is left unargued for here. For the larger part this would have meant repeating what was already said in G&S 1982. Up to the point where wh-complements are treated as a kind of terms, what we have said there about the semantics of wh-complements applies to interrogatives in much the same way.

In section 2 we turn to the main topic. There we present a preliminary informal discussion of the nature of linguistic
answers. In section 3 we set ourselves to a more formal implementation of the outcome of this discussion. We first concentrate on answers to single constituent interrogatives, interrogatives with a single occurrence of a wh-term. Next we show that the treatment of multiple interrogatives and sentential (yes/no-) interrogatives is nothing but a straightforward generalization of the simple case. The notion of exhaustiveness, which also plays a predominant role in our analysis of wh-complements, and hence in that of interrogatives, will be seen to be of central importance in the analysis of linguistic answers just as well.

In section 4, we link our analysis of interrogative-answer pairs to the notions of semantic and pragmatic answerhood defined in G&S 1984a. It will be seen that there is a rather direct correspondence between these notions and semantic and pragmatic properties of linguistic answers.

In the final section 5, we deal with exhaustiveness again. The possibility is discussed of a pragmatic alternative for the semantic treatment of exhaustiveness of answers that is offered in section 3.

Two appendices have been added. Appendix 1 uses some notions defined in section 4 to give a pragmatic characterization of the distinction between specific and non-specific use of terms. Appendix 2 is also related to section 4, and deals with the topic of how to compare answers in quantitative respects.

It will be clear that this paper is closely linked to G&S 1982 and G&S 1984a. Though we tried to avoid repeating in great detail what was said there, we feel that the present paper can be read independently of those two others.

It was our strategy in writing this paper just to tell our own story in the main text and to use the notes to indicate where we follow or leave the steps of our predecessors. This has no other than stilistic reasons, and certainly is not to be taken to implicate that we underestimate their influence. On the contrary, we are well aware of how much we owe to the work of Hausser, Scha and Szabolcsi, to mention our main sources.
1. Questions and interrogatives

We use the term question to refer to modeltheoretic semantic objects. Syntactic objects that express questions are called interrogative sentences. This much in the same way as the term proposition is used to refer to the kind of semantic objects that are expressed by indicative sentences. Questions are a special kind of propositional concepts. A proposition is an object of type \( <s,t> \), it is the characteristic function of a set of indices, a subset of the total set of indices \( I \). A propositional concept is an object of type \( <s,<s,t> \), a function from indices to propositions, or equivalently, a relation between indices. As we shall see, it lies in the nature of questions that they always correspond to equivalence relations on \( I \).

Since questions and propositions are different kinds of semantic objects, and since the former are expressed by interrogatives and the latter by indicatives, interrogative and indicative sentences belong to different syntactic categories. An indicative is an expression of category \( S \), the corresponding semantic type \( f(S) = t \), the type of truth values. Indicatives denote a truth value and express a proposition. An interrogative is an expression of category \( S' \), the corresponding semantic type \( f(S') = <s,t> \), the type of propositions. Interrogatives denote a proposition and express a propositional concept, a question.

The proposition denoted by an interrogative at an index is the proposition an indicative should express in order to be the true and complete semantic answer at that index to the question expressed by the interrogative. This is how interrogatives and indicatives, questions and propositions, are semantically related to each other. The sense or
meaning of an interrogative is the function which tells us for each index which proposition is the true and complete semantic answer at that index. Its answerhood conditions constitute the meaning of an interrogative. 13

There are different kinds of interrogatives. There are sentential (yes/no-) interrogatives such as (1) and there are constituent interrogatives. Among the latter we distinguish between single constituent interrogatives such as (2), and multiple constituent interrogatives such as (3).

(1) Does John love Mary?
(2) Whom does John love?
(3) Which man loves which woman?

We can speak more generally of n-constituent interrogatives, singles being 1-constituent interrogatives and multiples being n-constituent interrogatives for n \(> 1\). In fact, it will prove to be quite handy to view sentential interrogatives as 0-constituent ones.

Though these are different kinds of interrogatives, they all belong to the same syntactic category $S^2$, since they all express questions. Their syntactic derivation, however, differs in that they are derived from expressions belonging to different syntactic categories. A sentential interrogative such as (1) is derived from a sentence, an $S$-expression. A single constituent interrogative such as (2) is derived from an expression expressing a property, in this case the property of being loved by John. A multiple such as (3) is derived from an expression expressing a relation, in this case the relation of loving restricted to men for its first and to women for its second argument. In general, an n-constituent interrogative is derived from an expression expressing an n-place relation, since propositions can be viewed as 0-place relations between individuals. 14

The syntactic categories of the expressions from which interrogatives are derived, we call the categories of abstracta, AB's. Abstracts form a family of categories. The members of the family are identified by their number of
places. There are n-place abstracts, $AB^n$, for $n \geq 0$, their categorial definition runs as follows:

$$(AB) \quad AB^0 = S \quad AB^{n+1} = AB^n/e,$$ for $n \geq 0$

So, given the usual category-type assignment, an expression of category $AB^n$ will express an n-place relation between individuals. Interrogatives are derived from abstracts, and these in their turn are derived stepwise. An n-place abstract is derived from an (n-1)-place abstract, where the latter is to contain a syntactic variable $PRO_k$. The syntactic process is one of replacing the variable by a 'wh-term'. The corresponding semantic operation is that of binding a variable by $\lambda$-abstraction. (And this is precisely why abstracts are called abstracts.) So-called wh-terms are not really terms. They are best viewed as syncategorematic expressions, just as their logical counterparts, abstraction signs $\lambda x$, are.

From this general picture of the way in which interrogatives are derived, we can conclude that there are basically two rules involved. The first is an abstract formation rule, forming $AB^{n+1}$'s from $AB^n$'s. The second is an interrogative formation rule, forming $\exists$'s from $AB^n$'s. Of course, each rule will consist of a syntactic and a semantic part. Since syntax is not our concern here, we will not take the trouble to specify syntactic operations. Our semantic theory is intended to be a general one. Where we use English phrases, one should be able to replace them by corresponding phrases from different languages without affecting what we say about semantics. The semantic rules are formulated as translation rules from the object language to the language of two-sorted type theory $Ty_2$. 
The first rule, the rule of abstract formation, reads as follows:

\((S:AB)\) If \(\beta\) is an \(AB^n\), \(n \geq 0\), and \(\beta\) contains one or more occurrences of \(\text{PRO}_{k}\); and if \(\alpha\) is a wh-term who or which \(\delta\), where \(\delta\) is a CN, then \(F_{AB,k}(\alpha,\beta)\) is an \(AB^{n+1}\).

\((T:AB)\) If \(\beta\) translates as \(\beta'\), and \(\alpha\) as \(\alpha'\), then \(F_{AB,k}(\alpha,\beta)\) translates as \(\lambda x_k \beta'\) if \(\alpha\) is who, and translates as \(\lambda x_k[\delta']\beta'\) if \(\alpha\) is which \(\delta\) and \(\delta\) translates as \(\delta'\).

The task that the syntactic function \(F_{AB,k}\) is to perform is to replace one of the occurrences of the syntactic variable \(\text{PRO}_k\) by a wh-term, and to anaphorize other occurrences. The syntactic operation of abstract formation need not be a uniform syntactic process in all cases, for all \(n \geq 0\), in all languages. In G&S 1982 the rule was divided into four separate rules. In section 4 of that paper, we stated in some detail the content of the syntax of abstract formation in English. In that language, but not in all, there is a significant syntactic difference between the formation of \(AB^1\)'s and \(AB\)'s with more than one place. One of the wh-terms that is introduced is not simply substituted for an occurrence of the syntactic variable, but it is also preposed. By repeated application of \((S:AB)\) to form abstracts with two or more places, other wh-terms that are introduced are simply substituted for one of the occurrences of a syntactic variable.20

Besides this, there are all sorts of other syntactic phenomena that have to be taken care of, many of them being language specific. The motivation behind presenting abstract formation as a single rule here is that it corresponds to a single semantic operation in all cases. As the translation rule reveals, this semantic operation is that of binding a variable by \(\lambda\)-abstraction, where if the wh-term contains a
common noun phrase, abstraction is restricted to the set of individuals denoted by the noun. The semantic interpretation of restricted λ-abstracts \( \lambda x[a] \beta \) is defined in section 3.7 of G&S 1982.

Let us illustrate the rule of abstract formation by giving two examples. The \( AB^1 \) (5), underlying the single constituent interrogative (2), is derived from the open sentence (4), which is an \( AB^0 \), since according to definition (AB) \( AB^0 = S \).

\[
(4) \quad \text{John loves PRO}_1, \\
(4') \quad \text{love}(a)(j,x_1) \\
(5) \quad \text{whom John loves} \\
(5') \quad \lambda x_1[\text{love}(a)(j,x_1)]
\]

The result of applying \( F_{AB,1} \) to (4) and the wh-term \( \text{who is} \) that \( \text{PRO}_1 \) is replaced by the wh-term, inheriting its case, and is put in front position. The translation (5') of (5) expresses the property of being loved by John. It is obtained from the translation (4') of (4) by binding the free variable \( x_1 \) in (4') by λ-abstraction.

The \( AB^2 \) underlying the two-constituent interrogative (3) is derived in two steps from the open sentence (6), translating as (6'):

\[
(6) \quad \text{PRO}_1 \text{loves PRO}_2, \\
(6') \quad \text{love}(a)(x_1,x_2)
\]

First we form the \( AB^1 \) (7) from (6) and the wh-term \( \text{which woman} \), translating as the restricted λ-abstract (7'), which is equivalent to the more familiar looking (7''):

\[
(7) \quad \text{PRO}_1 \text{loves which woman} \\
(7') \quad \lambda x_2[\text{woman}(a) \land \text{love}(a)(x_1,x_2)] \\
(7'') \quad \lambda x_2[\text{woman}(a)(x_2) \land \text{love}(a)(x_1,x_2)]
\]

According to its translation, the \( AB^1 \) (7) expresses the property of being a woman and being loved by the individual
assigned to the variable $x_1$.

By a second application of the rule of abstract formation, we form the $AB^2 (8)$ from the $AB^1 (7)$, translating as $(8')$, which is again equivalent to $(8'')$:

$$
(8) \text{ which man loves which woman} \\
(8') \lambda x_1 [\text{man}(a)] \lambda x_2 [\text{woman}(a)(x_2) \land \text{love}(a)(x_1, x_2)] \\
(8') \lambda x_1 \lambda x_2 [\text{man}(a)(x_1) \land \text{woman}(a)(x_2) \land \text{love}(a)(x_1, x_2)]
$$

From its translation, we can see that the two-place abstract $(8)$ denotes the set of pairs of individuals $<x, y>$ such that $x$ is a man, $y$ is a woman and $x$ loves $y$. I.e. it expresses the relation of loving restricted to men for its first and to women for its second argument.

The second and last rule we need is the rule of interrogative formation, which reads as follows:

$$(S:I) \text{ If } \beta \text{ is an } AB^n, n \geq 0, \text{ then } F_I(\beta) \text{ is an } S$$

$$(T:I) \text{ If } \beta \text{ translates as } \beta', \text{ then } F_I(\beta) \text{ translates as } \lambda i[\beta' = (\lambda a \beta')(i)]$$

In this case too, the syntactic function $F_I$ may have to perform different syntactic operations for different cases in different languages. In particular, this may hold for $n = 0$ on the one hand, in which case $F_I$ produces sentential interrogatives from $AB^0's$, i.e. $S's$, and for $n \geq 1$ on the other hand, in which case $F_I$ produces constituent interrogatives. For English, the main thing $F_I$ should accomplish is to give abstracts the characteristic word order of interrogative sentences. For other languages, other syntactic aspects may need to be taken care of.

The semantic operation that corresponds to the syntactic function $F_I$ can be characterized as follows. When applied to an $n$-place relation, it yields a proposition, i.e. the characteristic function of a set of indices. This set contains all and only those indices at which the denotation of the input relation is the same as at the actual index, the
index assigned to the index variable \( a \). In other words, such a proposition will give a rigid and exhaustive specification of the actual denotation of the relation, a specification that counts as the true and complete semantic answer to the question expressed by the output interrogative. Such a proposition is what an interrogative denotes at a certain index. Its sense or meaning determines such a proposition for each index. This kind of propositional concept is what an interrogative expresses. It is a relation between indices which holds between two indices iff the denotation of the input \( n \)-place relation between individuals is the same set of \( n \)-tuples of individuals at both of them. In case \( n = 0 \), i.e. if we are dealing with sentential interrogatives, the input is a proposition. The interpretation then boils down to the following: the proposition denoted by a sentential interrogative is that set of indices where the truth value of the input sentence is the same as at the actual index. It is the proposition expressed by the input sentence if that sentence is actually true, it is the proposition expressed by its negation if it is actually false.\(^{21}\)

Let us illustrate the rule of interrogative formation by considering the examples (1) - (3) given above. The sentential interrogative (1) is formed from the indicative (9). The translation rule turns the translation (9') of the indicative into the translation (1') of the interrogative:

\[
(9) \text{ John loves Mary.} \\
(9') \text{ love}(a)(j,m) \\
(1) \text{ Does John love Mary?} \\
(1') \lambda i [\text{love}(a)(j,m) = \text{love}(i)(j,m)]
\]

The translation (1') is an expression of type \(<s,t>\). It denotes a proposition, the characteristic function of the set of indices at which John loves Mary iff he loves her at the actual index assigned to \( a \). I.e. it is the proposition that John loves Mary in case he actually does love her, and it is the proposition that John doesn't love Mary in case he
actually does not love her. The intension or meaning of (1) is represented by (10):

\[(10) \lambda \lambda i [\text{love}(a)(j,m) = \text{love}(i)(j,m)]\]

The expression (10) is of type <s,<s,t>>, it denotes a propositional concept. It is that function from indices to propositions which when applied to an index at which John loves Mary yields the proposition that he loves Mary, and when applied to an index at which he does not love Mary yields the proposition that he doesn't love her. So, indeed, the intension or meaning of (1) is the function which tells us for each index which proposition counts as a complete true answer to the question expressed by the interrogative.

The single constituent interrogative (2) is formed from the AB\(^1\) (5), and is translated as (2'):

\[(2) \text{ Whom does John love?}\]
\[(2') \lambda i [\lambda x_1 [\text{love}(a)(j,x_1)] = \lambda x_1 [\text{love}(i)(j,x_1)]]\]

According to its translation, the interrogative (2) denotes the characteristic function of the set of indices at which John loves the same individuals as at the actual index. I.e. it denotes the proposition that gives an exhaustive specification of the individuals that John loves. Such a proposition would indeed have to be expressed by a complete true answer to the question expressed by (1). The question is the function from indices to such specifications of the individuals John loves. I.e. it presents the answerhood conditions for the interrogative. It gives us for each index the proposition that is to be expressed by a complete true answer at that index.

The two-constituent interrogative (3) is formed from the AB\(^2\) (8), and it translates as (3').

\[(3) \text{ Which man loves which woman?}\]
\[(3') \lambda i [\lambda x_1 \lambda x_2 [\text{man}(a)(x_1) \land \text{woman}(a)(x_2) \land \text{love}(a)(x_1,x_2)] = \lambda x_1 \lambda x_2 [\text{man}(i)(x_1) \land \text{woman}(i)(x_2) \land \text{love}(i)(x_1,x_2)]]\]
According to its translation, the interrogative (3) denotes the proposition that gives an exhaustive specification of the pairs of individuals \(<x, y>\) such that \(x\) is a man and \(y\) is a woman and \(x\) loves \(y\). Its meaning, the question it expresses, determines such a proposition for each index.

From the general description of what interrogatives express according to our rules, it will be clear that they do indeed express a special kind of propositional concepts. An interrogative derived from an abstract expresses that relation between indices which holds between two of them iff the denotation of the abstract is the same at each of them. Such a relation is reflexive, symmetric and transitive, i.e. it is an equivalence relation on the set of indices. An equivalence relation on a set corresponds to a partition of that set. So, a question can also be viewed as a partition of the set of indices. This view of questions was extensively used in G&S 1984a in defining semantic and pragmatic notions of answerhood. It will be put to that same use again in section 4 of the present paper.

This much will have to do for an explanation of our analysis of interrogatives. There are many points at which it is in need of further discussion and elaboration. To mention only two, we have hardly paid any attention here to syntax at all, and we have restricted ourselves to a very limited class of interrogative sentences, containing only one particular kind of wh-words. (The kind of interrogatives dealt with here are quite as restricted in scope as the kind of indicatives that are dealt with in standard predicate logic.) We feel that in the context of the present paper, these limitations are justified. Here, our interest lies in semantics, and our main topic is to show how our analysis of interrogatives fits in with an analysis of linguistic answers. Further elaboration of our theory of interrogatives will only be worth its while once it has been established for relatively simple cases that it can be dovetailed with a theory of linguistic answers in an interesting way.
2. Linguistics answers

2.0. Introduction

Questions are modeltheoretic, semantic objects that can serve as the interpretation of interrogative sentences. The notion of answerhood is of a different nature. Unlike questions, answers cannot be isolated as just a special kind of semantic objects. Answerhood is essentially a relation. Semantically speaking, it is a relation between a question and a proposition. If we view propositions and questions as first order objects, answerhood is a second order notion, so to speak. It is a relation that may, or may not, hold between a particular proposition and a particular question. A proposition may be, or may fail to be, an answer to a particular question.

In the previous section we have seen that the notion of a question itself already characterizes a notion of answerhood: a proposition $P$ is a semantic answer to a question $Q$ iff for some index $i$, $P$ is the extension of $Q$ at $i$. This notion is a highly restrictive one. For every question, there is at an index only one proposition that counts as the true answer to that question at that index. This may seem to be at odds with the obvious fact that in actual speech situations there may be many different ways of providing the information a questioner asks for by uttering an interrogative. This might even be taken to expose a serious flaw in our treatment of the semantics of interrogatives.

Fortunately, this is not so. On the contrary, in G&S 1984a we have shown how pragmatic notions of answerhood can be defined that explain why in actual speech situations there
are, in principle, far more possibilities of answering a question than semantics suggests, if only one takes into account that question-answering relates to the information of the questioner. But no pragmatics without semantics! These pragmatic notions are strongly rooted in the semantic notion of answerhood that our interpretation of interrogatives inherently gives rise to. What will be said in section 4 of this paper about the relation between types of linguistic answers and the gamut of semantic and pragmatic notions of answerhood will highlight this important point.

In G&S 1984a we were concerned with defining notions of answerhood as relations between questions, propositions and information. There we dealt with questions and propositions only as modeltheoretic, semantic objects. Of course, these objects were intended to serve as the interpretation of linguistic, syntactic objects: interrogative sentences and linguistic answers. The process of interpretation itself was not focussed upon in G&S 1984a, but it is the main topic of this paper. What we are interested in here is finding an interpretation procedure that relates a pair consisting of an interrogative and a linguistic answer to a pair consisting of a question and a proposition. We intend the output of such a process of interpretation to serve as the input for our theory of semantic and pragmatic answerhood.

The interpretation of the first element of interrogative-answer pairs was already presented in the previous section. The interpretation of the second element of such pairs is a complicated matter. For a start, linguistic answers come in two kinds. There are so-called 'short' answers, which we propose to call constituent answers, and there are 'long' answers, which we will call sentential answers.

2.1. Constituent answers

For interrogative-constituent answer pairs such as (1)-(3), there is the immediate problem that, taken in isolation, the constituents surfacing in constituent answers do not
express propositions:

(1) Who walk in the garden? John and Mary.
(2) Whom did John kiss? A girl and two boys.
(3) Which boy kissed which girl? The tall boy, Mary; and the small boy the two redheads.

We take it that one thing is beyond doubt: semantically speaking, and maybe even more clearly pragmatically speaking, a potential answer is to be something that has a propositional nature. It is rather a truism to state that for anything to be a possible answer to a question, be it a linguistic utterance, a gesture, or any other kind of act, it should convey information. And information is essentially of a propositional nature.

Taking this into account, it is clear that all linguistic answers, including constituent answers, should be taken to express propositions. Assuming syntactic categories of expressions to correspond uniformly with the type of semantic objects they are interpreted as, this implies that constituent answers should be taken to belong to the category S, the same syntactic category as is assigned to ordinary indicative sentences. A constituent surfacing in a constituent answer, not being an S-expression, cannot as such, in isolation, serve as an answer.

Any theory of questions and answers that we know of, including those that strongly favour constituent answers as the basic kind of answers, implicitly or explicitly agrees with this. All theories that deal with constituent answers transform them into propositions in one way or other during the process of interpretation. And, as is to be expected, such a transformation is usually carried out by relating the interpretation of the constituent surfacing in the answer to the interpretation of the interrogative. In principle, there is quite a variety of ways in which this process may be executed. We concentrate on the one which from a semanticist's point of view is the most pure and direct one. It is schematically indicated in figure 1.
According to the schema in figure 1, an interrogative-constituent answer pair is to be derived from an interrogative and a constituent. Its interpretation is a question-proposition pair. The question is the interpretation of the interrogative, the proposition expressed by the constituent answer is obtained by relating the interpretation of the input interrogative and the input constituent. What this brings to light is that the interpretation of a constituent answer is essentially context-dependent, it expresses a proposition in the context of a certain interrogative.

To distinguish constituent answers from the constituents surfacing in them, we write the former as a constituent with a full stop. This is to indicate that they are considered to belong to the same syntactic category as indicative sentences. Whereas the constituent John and Mary, a term conjunction, is of category T, the constituent answer John and Mary. is of category S, the category of syntactic objects expressing a proposition.

It need not be quite clear at the outset how the schema in figure 1 applies to multiple constituent interrogatives and their answers, such as example (3) above. On the face of it, it seems to say that the tall boy, Mary; and the small boy, the two redheads is the constituent on which the corresponding constituent answer is based. We will see that things can indeed be taken to be quite this way. The analysis of multiple constituent interrogatives and their answers will turn out to be a straightforward generalization of the simple case of single constituent interrogatives and answers.
2.2. Sentential answers and exhaustiveness

It might be believed—as those who take sentential answers to be the basic kind of answers tend to do—that things are much easier for constituent interrogative-sentential answer pairs. Sentential answers are full sentences, so they do express a proposition when taken in isolation. This being so, the interpretation schema presented in figure 2 would seem quite sufficient for sentential answers.

\[
\begin{array}{c}
\text{< interrogative, sentential answer >} \\
\text{< question, proposition >} \\
\text{interrogative} \\
\text{sentence}
\end{array}
\]

(fig.2)

We believe this simple picture to be an illusion, and in this we side with the constituent answer fans, without however wanting to join either of these two competing sides in their preference of one particular kind of answer to the other. Even in interpreting sentential answers we need, in many cases, the context provided by the interrogative to be able to arrive at a proper interpretation. This is true, not only in the quite general sense in which almost any sentence in any discourse depends on the context for (part of) its interpretation, but also in a sense which is more or less specific for characteristic interrogative-sentential answer pairs. In some cases the simple schema of figure 2 may suffice, but for the most characteristic cases it does not.

To get to the point, the interpretation strategy of figure 2 will suffice if the sentential answer is explicitly exhaustive, as those in (4)–(6) are.

(4) Who walk in the garden? Only John and Mary walk in the garden.

(5) Whom did John kiss? John kissed a girl and two boys and no-one else.
(6) Which boy kissed which girl? The tall boy kissed just Mary, and the small boy kissed only the two redheads, and no other boy kissed a girl.

It will not suffice, however, if the sentential answer is not explicitly exhaustive, as those in (7)-(9) are.

(7) Who walk in the garden? John and Mary walk in the garden.
(8) Whom did John kiss? John kissed a girl and two boys.
(9) Which boy kissed which girl? The tall boy kissed Mary, and the small boy the two redheads.

However, we really can't prevent ourselves from believing that, though the answers in (4)-(6) are perfectly in order, the corresponding ones in (7)-(9) are much more characteristic. And, what may be more significant, in the context of the respective interrogatives the latter characteristically express the same proposition as the former. But, of course, interpreted in isolation the corresponding pairs of sentences are not equivalent at all. Those in (4)-(6) do imply those in (7)-(9) respectively, but not the other way around. Taken in isolation the interpretation of the indicative sentence in (7) is such that its truth is compatible with other people than John and Mary walking in the garden as well. But if someone who has to answer the question expressed by the interrogative in (7), wants to express that, as far as his information goes, there may be others that walk in the garden besides John and Mary, he cannot do so by using the indicative sentence in (7) as a linguistic answer. (Neither, by the way, can he use the constituent answer in (1).) He has to indicate explicitly the non-exhaustiveness of his answer. This he can do e.g. by using (10), (11), or (12).

(10) John and Mary, for example, walk in the garden.
(11) (I don't know, but) at least John and Mary walk in the garden.
(12) John and Mary are among the ones that walk in the garden.

And, confusingly enough, (10)-(12) are logically equivalent to the indicative in (7) when the latter is interpreted in isolation. But in the context of the interrogative they are not. In that context the indicative in (7) is equivalent with the indicative in (4), or with the equivalent sentence (13).

(13) John and Mary are the ones that walk in the garden.

The same point can be illustrated further by the fact that sentence (14) will receive a different interpretation if it is interpreted as a sentential answer to each of the questions expressed by the interrogatives (15)-(18).

(14) John kissed Mary.
(15) Who kissed Mary?
(16) Whom did John kiss?
(17) Who kissed whom?
(18) What did John do?

The implicit exhaustiveness of (14) as an answer to each of (15)-(18) concerns different items in each case. More explicit paraphrases of the propositions expressed by (14) as an answer to (15)-(18) are (19)-(20) respectively.

(19) John is the one who kissed Mary.
(20) Mary is the one that John kissed.
(21) The only one who kissed was John and the only one he kissed was Mary.
(22) The thing that John did was kiss Mary.

No two of the sentence (19)-(22) are logically equivalent. For example, the truth of (19) is quite compatible with other girls being kissed by John, whereas (20) is not. And
the truth of (20) is quite compatible with Mary being kissed by other boys as well, but (19) contradicts this. And (21) implies both (19) and (20), but is not implied by either one of them. Sentence (21) illustrates clearly that the question expressed by (17) asks for an exhaustive specification of a certain relation. It also illustrates that explicit indication of exhaustiveness of the answer can become quite cumbersome and unnatural.

In fact, sentence (14) as an answer to (15)-(18) will carry a different intonation pattern in each case; that 'disambiguates' it. Using capitalization to indicate which element receives contrastive stress, these 'readings' can be represented as follows:

(23) JOHN kissed Mary.
(24) John kissed MARY.
(25) JOHN kissed MARY.
(26) John KISSED MARY.

The consequences of this are rather far-reaching. Up to this point one might still try to uphold that the interpretation schema in figure 2 is basically correct. One might try to argue that characteristic sentential answers can be interpreted in isolation if one treats focus as a semantic phenomenon. Sentences in isolation may carry focus on one or more of their constituents, and focus semantically results in an exhaustive interpretation of the focussed constituent(s). A suitable characteristic interrogative-sentential answer pair would be one in which the focus of the answer matches the exhaustiveness the interrogative asks for. On this view there would be no need after all to use the interpretation of the interrogative in the interpretation of the sentential answer.

First, it should be noted that viewed in this way, focus cannot in all cases be located at individual constituents in the sentence. Sentence (25) as an answer to the question expressed by (17) illustrates this clearly. As an answer to (17), (25) expresses that John and Mary are the only pair of
individuals that stand in the kissing-relation. Sentence (25) does not mean that John is the only individual who kissed only Mary (where others might also have kissed others). So, as a suitable answer to (17) it are not the individual terms John and Mary that each carry focus, but it is the pair of these two expressions that carries focus as a single unit.

Second, and more important, this plea cannot help all sentential answers to escape from contextual interpretation. Consider the following example:

(27) Which man walks in the garden?
(28) John walks in the garden.

The point of this example is that if we assume that the term John carries focus in (28), the proposition that results if we follow the kind of semantic treatment of focus sketched above, is too exhaustive for the interrogative (27). What (27) asks for is an exhaustive listing of men that walk in the garden. And the proposition expressed by (28) in the context of (27) has to be that John is the only man that walks in the garden. But assuming the term John to carry focus, and assuming focus to trigger exhaustiveness, would assign (28) the interpretation that only John walks in the garden, that John is the only person that walks there, if we don't mind the context the interrogative (27) provides.

This example does not provide an argument against a semantic treatment of focus, resulting in an exhaustive interpretation of focussed constituents. But it does provide a conclusive argument against the possibility to interpret characteristic sentential answers without relating them to the interrogative. One really needs the interpretation of the interrogative in order to arrive at the proper interpretation of sentential answers. And to be sure, this interpretation is an exhaustive one.

Exhaustiveness of answers was brought to the fore in discussing characteristic sentential answers. It was used to argue that not only constituent answers, but sentential answers as well, should receive their interpretation in the
context of the interrogative they serve to answer. But just as the latter fact applies to both kinds of answers, so does exhaustiveness. The interrogative-constituent answer pairs (1)-(3) in section 2.1 are fully equivalent to the corresponding interrogative-sentential answer pairs (7)-(9). We repeat one example of each:

(1) Who walk in the garden? John and Mary.
(7) Who walk in the garden? John and Mary walk in the garden.

Both in (1) and in (7) the answer expresses that John and Mary are the ones that walk in the garden. Both answers are implicitly exhaustive. All answers are taken to be exhaustive, unless they are explicitly marked as being non-exhaustive, or, and that is another possibility, if the non-linguistic context makes it perfectly obvious that the question itself is meant to be interpreted non-exhaustively. To repeat an example from G&S 1982, if you're walking down the road in your home-town and an Italian tourist addresses you, asking:

(29) Where can I buy an Italian newspaper?

you won't bore her citing a complete list of bookstalls and other places where Italian newspapers are sold. You just mention some place where she is likely to find one. And if you are a nice person you mention one that is not too far away and easy to find, and you won't try to be funny and answer "In Rome.".

2.3. Answers, questions and abstracts

In section 2.1 we stated that constituent answers express propositions. And which propositions they express, depends on the interrogative in the context of which they appear. Further we saw in the previous section that something
similar holds for sentential answers, and we observed that both kinds of answers are in general implicitly exhaustive. Concentrating on constituent answers, and forgetting about exhaustiveness for the moment, the proposition they express should be obtained by relating the interpretation of the constituent surfacing in them, and the interpretation of the interrogative.

In this section we will show that in order to get this to work, it will not do to relate the interpretation of constituents to the final stage of development of interrogatives as expressing questions. We can't use the butterfly, we need the caterpillar, the intermediary stage of interrogatives as abstracts. As such they were seen, in section 1, to express properties or relations.

Suppose our domain of discourse consists of the five individuals John, Peter, Bill, Mary and Suzy. Suppose further that at the actual index John and Mary walk, whereas the other three do not. If the actual index is assigned to the index variable a by the assignment function g, this would mean that (30), the interpretation of the translation of the abstract (31), would be the characteristic function of the set \{John, Mary\}:

\[ \lambda x [\text{walk}(a)(x)]_{M,g} \]

(30) \[\lambda x [\text{walk}(a)(x)]_{M,g} \]

(31) who walks

Analogously, (32), the interpretation of the translation of the abstract (33), would be the characteristic function of the set \{Peter, Bill, Suzy\}:

\[ \lambda x [\text{\neg walk}(a)(x)]_{M,g} \]

(32) \[\lambda x [\text{\neg walk}(a)(x)]_{M,g} \]

(33) who doesn't walk

At the $\overline{S}$-level the two interrogatives (34) and (35) translate as the expressions (36) and (37) respectively.

(34) Who walks?
(35) Who doesn't walk?
The interpretation of (36) is (the characteristic function of) the set of indices where the same individuals walk as at the actual index, i.e. in our example the indices where John and Mary are the ones that walk. The interpretation of (37) is (the characteristic function of) the set of indices where the same individuals do not walk as do not walk at the actual index, in our example the indices where Peter, Bill and Suzy are the ones that do not walk. If our domain remains constant at different indices, these two sets of indices, these two propositions, coincide. This means that the positive interrogative (34) and the negative interrogative (35) have the same denotation at the actual index. In fact, they have the same denotation no matter which index we care to choose as the actual one. Both interrogatives (34) and (35) will have the same denotation at each index, i.e. they express the same question.

But then, no matter how we try to transform constituent answers into propositions, if we do this in the context of either one of these two interrogatives interpreted as questions, one and the same constituent answer cannot but be transformed into one and the same proposition. But this is certainly wrong. In the context of (34), the constituent answer (38):

(38) John and Mary.

expresses the proposition that John and Mary are the ones that walk, whereas in the context of (35) the same answer expresses the quite different proposition that John and Mary are the ones that do not walk.

The source of this problem is that in the transition from abstract to interrogative, i.e. in the transition from relation to question, information is lost. Abstracts that express different relations, for example complementary ones as in our example, are sometimes turned into
interrogatives that express the same question.\textsuperscript{31}

Other examples that do not concern complementary relations can be used to illustrate the same point. Any two interrogatives that are formed from abstracts which express rigid properties or relations, express the same question, viz. the constant function from indices to the tautology, i.e. the tautological question. Examples are (39) and (40).

(39) What is the sum of 5 and 7?
(40) What is the product of 5 and 7?

This is correct insofar as the true answers to any two such questions will always be logically equivalent (and logically valid). But true constituent answers may have to indicate different objects, in the examples the numbers 12 and 35 respectively.

And to give yet another example, suppose our set of indices is restricted to indices where the time difference between Amsterdam and Moscow is exactly as it is at our actual index. Then the two interrogatives (41) and (42) would express the same question.

(41) What time is it now in Amsterdam?
(42) What time is it now in Moscow?

But if the constituent answer (43)

(43) 5 p.m.

is a true answer to the first, it should be false as an answer to the second.\textsuperscript{32}

The conclusion must be that the correct input for the derivation of interrogative-constituent answer pairs, should not consist of an interrogative and a constituent, as the schema in figure 1 has it, but should consist of an abstract and a constituent. Only from the interpretations of these two expressions will it be possible to obtain the proposition expressed by a constituent answer.
At this point we want to stress that the argumentation presented above shows only that in order to assign the proper interpretation to answers we should relate the interpretation of the constituent surfacing in the answer to the interpretation of the abstract underlying the interrogative and not to the interpretation of the interrogative as such. The argumentation can not be used against interpreting interrogatives as questions.

Taking up the last example again, it is true that, given the assumption that the set of indices is restricted to those where the time difference between Amsterdam and Moscow is as it actually is, the two interrogatives (41) and (42) express the same question. Is that not counterintuitive? For the sentence (44) seems to answer the first of these interrogatives, but not the second.

(44) It is now 5 p.m. in Amsterdam

It would only answer the second interrogative as well if one knows that it is two hours later in Moscow than in Amsterdam. A simple calculation would then show that it is 7 p.m. now in Moscow. But, of course, this is precisely what our assumption takes care of! It implies that at every index the time difference between the two cities is two hours. And if this holds at every index, it holds a fortiori at every index that is compatible with what one knows. In a model satisfying our assumption, the sentences (44) and (45) are equivalent.

(45) It is now 7 p.m. in Moscow

But then one cannot fail to know the one if one knows the other. And this means that either one of these two sentences can serve equally well to answer either one of the two interrogatives.

So, rather than corrupting the interpretation of interrogatives as questions, this argumentation on the contrary supports it. If under our assumption the two answers (44)
and (45) are equivalent, the interrogatives better be equivalent as well.

Of course, there remains this itchy feeling. But it is caused by a disease possible world semantics suffers from. Possible world semantics makes it impossible to distinguish between there existing a necessary relation between the time in Amsterdam and the time in Moscow on the one hand, and the information one may have about the existence and content of this relationship on the other hand. And this disease is contagious. If possible world semantics has it this way, a semantic analysis of interrogatives carried out within that framework cannot fail to have it as well. Within the present context we need not worry about it. Once possible world semantics has been cured from this ailment, our semantics of interrogatives will be cured as well. Many doctors have already devoted themselves to finding a remedy for this ailment, and many medicines have been prescribed. Most of them do the patient a lot of good. But it is our feeling that only a major operation will bring final relief. But that is not the task we have set ourselves here. 33

2.4. Conclusion

A constituent answer such as (48), and the corresponding characteristic sentential answer (49), express the same proposition in the context of the interrogative (46), and they express the same proposition in the context of (47).

(46) Who walks in the garden?
(47) Which boy walks in the garden?
(48) John.
(49) John walks in the garden.

But the proposition expressed by (48) and (49) as answers to the question expressed by (46) is not the same as the one they express in the context of (47). The proposition expressed by an answer is to give an exhaustive specification
of the denotation of the abstract from which the interrogative is derived. As answers to (46), (48) and (49) say the same as (50). And as answers to (47), they say the same as (51).

(50) The one who walks in the garden is John.
(51) The boy who walks in the garden is John.

We need the interpretation of the abstract underlying an interrogative to obtain the proposition expressed by both kinds of answers in the context of that interrogative.

In a compositional semantic framework this means that abstracts have to take part in the derivation of answers. And by its side, the constituent surfacing in the constituent or sentential answer will feature in it as well. Together they can be seen to contain the syntactic material that is required to build both kinds of answers. And their interpretation was seen to be necessary to obtain the proposition these should express. Necessary, but not yet sufficient, since we also have to take care of exhaustiveness of characteristic answers. We cannot simply relate the interpretation of the abstract and the constituent. In the process of combining them, we have to apply a semantic operation that 'exhaustivifies' the interpretation of the constituent.34

Returning to our example, we have to apply a semantic operation of 'exhaustivization' to the interpretation of the term John, minimizing it, so to speak, to only John. If we relate the resulting exhaustive term interpretation to the property expressed by the abstract who walks in the garden (or which boy walks in the garden) we will indeed obtain the proposition that gives an exhaustive specification of the ones (or the boys) that walk in the garden.

Since the abstract also suffices to derive the interrogative, the abstract and the constituent together suffice as input for the derivation of interrogative-answer pairs.35 This leads us to the general interpretation schema of interrogative-answer pairs in figure 3.36
The input is formed by an abstract, expressing a relation, and a constituent. The output is an interrogative-answer pair, where the answer is either a constituent one or a sentential one. Its interpretation is a question-proposition pair. The interrogative and its interpretation are obtained from the abstract and its interpretation by the process of interrogative formation presented in section 1. The answer and the proposition it expresses, are obtained by combining the abstract and its interpretation with the constituent, the interpretation of which has been subjected to a semantic process of exhaustivization.

Constituent answers and sentential answers are treated as variants of each other. They may differ in surface form, but they are derived and interpreted in much the same way. As was our purpose, the outcome of this process, a question and a proposition, are apt to serve as the input of our theory of semantic and pragmatic answerhood.

It is important to bear in mind that what are produced this way are a quite particular kind of interrogative-answer pairs, which we referred to most of the time as characteristic interrogative answer pairs. They certainly do not exhaust all possibilities. In principle any sentential expression
can serve as an answer. But it are these characteristic cases that deserve, and need, special attention.
3. Semantics of linguistic answers

3.0. Introduction

In this section, we discuss in more detail the derivation and interpretation of interrogative-answer pairs as it was schematically indicated in figure 3 in the previous section.

It is our aim to implement this schema in the grammar by transforming it into grammatical rules. We will end up with a single pair of rules, a syntactic rule that forms interrogative-answer pairs, and a corresponding semantic rule which translates such pairs of natural language expressions into pairs of expressions of a logical language. The latter are interpreted as a question and a proposition respectively, and thereby the former are indirectly interpreted as such as well.

We hasten to add that as far as syntax is concerned, the rule will be hardly less schematic than the schema we already presented. It is, first and foremost, semantics that we are interested in here. We will indicate to some extent what is involved in the syntactic process, but we will not explicate the syntactic functions we introduce for a particular natural language. Of course, since we embrace semantic compositionality as a methodological principle, our semantics will constrain syntax in certain ways. It imposes a certain kind of derivational structure on interrogatives and answers, but it also leaves open a great many syntactic details that can be treated in several different ways. Which way to choose may be decided upon for autonomous syntactic reasons. What we do have to stand for as natural language semanticists is that an intelligible syntax that meets the constraints set up by our semantics is feasible.37
The single pair of rules we will propose is of a general nature. It applies to sentential and to single and multiple constituent interrogatives and deals with both constituent and sentential answers. It might well be that the syntactic functions involved differ widely for different instances, and that it would be more elegant to split up the one syntactic rule into several different (sub-) rules. But since in all cases a single and general semantic process is involved, there is no reason for such a division from a purely semantic point of view.

For expository reasons, however, we start out in section 3.1 with single constituent interrogatives and their answers. For the larger part, we will be concerned with giving content to the semantic operation of exhaustivization. For single constituent answers and their sentential comrades, this task is much the same as that of specifying the semantics of the term-modifier only. In section 3.1.2, we will discuss the impact exhaustivization has on different kinds of terms. In section 4, these will be seen to correspond nicely with different types of notions of answerhood. Making use of the generalized quantifier view on terms, we define a uniform semantic process of exhaustivization that is argued to give correct results in all cases, for all kinds of terms. It will come out in section 3.1.3, however, that the general applicability of this semantic process does require a theory of terms that really takes the semantic plurality of certain terms seriously, and does not neglect it, as those working on the theory of generalized quantifiers tend to do.

After having presented our analysis of single constituent interrogatives and their answers, we show in section 3.2 how it can be generalized straightforwardly to cover multiple constituent ones as well.

Although we originally developed our analysis for constituent interrogatives and their answers, it will turn out in section 3.3 that it fits short and long answers to sentential (yes/no-) interrogatives equally well. Their semantics is neatly covered by the same rule that applies to constituent interrogatives. Exhaustiveness plays a distinctive role
in this case as well. On the side, we get a quite natural explanation for the fact that natural language conditionals and disjunctions in many cases tend to get interpreted as biconditionals and exclusive disjunctions.

3.1. Single constituent interrogatives

3.1.1. The rule

Following the schema in figure 3, a single constituent interrogative-answer pair is to be derived syntactically from an abstract, in this case an $AB^1$ (one-place abstract), and a constituent, in this case a $T$ (term). The derivation is to result in a pair of expressions: an interrogative, an $S$; and an answer, either a constituent or a sentential one, but in both cases an expression of category $S$. This is what can be said off-hand about the input and output categories of the expressions involved.

One half of the derivation, that of forming an interrogative from an abstract, was already presented in section 1. Concerning the other half, it can be noticed that the semantic types corresponding to the categories of the input expressions are such that we could use standard functional application as a way of combining their interpretations. The category $AB^1$, defined as $S/e$, corresponds to the semantic type $<e,t>$; the category $T$, defined as $S/(S/e)$, corresponds to the type $<<s,<e,t>>,t>$. If we apply a term translation to the intension of an $AB^1$ translation, the result is a truth value expression of type $t$. This is indeed the type that corresponds to the category $S$ of indicatives, the category assigned to answers.

In this way, it could be accounted for quite directly that the interpretation of the answer depends on the interpretation of the abstract that forms the basis of the interpretation of the interrogative. But what is not yet accounted for is the exhaustiveness of the answer. If, again, we follow the schema in figure 3, this should be obtained by
first applying a semantic operation to the input term that has the semantic effect of exhaustivization, and after that using functional application to combine the interpretation of the abstract and the thus modified interpretation of the term to obtain a truth value expression.

This leads to the following pair of rules for the derivation and interpretation of single constituent interrogative-answer pairs.

\[(S:IA1) \text{ If } \beta \text{ is an } AB^1, \text{ and } \alpha \text{ a } T, \text{ then } <F_I(\beta),F_{CA1}(\alpha,\beta)> \text{ and } <F_I(\beta),F_{SA1}(\alpha,\beta)> \text{ is an } <S,S>\]

\[(T:IA1) \text{ If } \beta \text{ translates as } \beta', \text{ and } \alpha \text{ as } \alpha', \text{ then both } <F_I(\beta),F_{CA1}(\alpha,\beta)> \text{ and } <F_I(\beta),F_{SA1}(\alpha,\beta)> \text{ translate as } <\lambda i[\beta' = (\lambda a\beta')(i)], \text{ exh}(\lambda a\beta')(\lambda a\beta')>\]

From an abstract and a term, the syntactic rule \((S:IA1)\) forms pairs of expressions consisting of an interrogative, an \(S\), followed by an answer, an \(S\). The new category \(<S,S>\) is introduced as an ad hoc notation for the syntactic category of such pairs. The rule forms both constituent answers and sentential ones as second elements of such pairs. The syntactic function \(F_{CA1}\) is to take care of the former and \(F_{SA1}\) of the latter. The function \(F_I\) was already introduced in section 1, it turns abstracts into interrogatives.

Constituent and sentential answers are treated as two syntactic options that receive the same semantic interpretation. Both \(F_{CA1}(\alpha,\beta)\) and \(F_{SA1}(\alpha,\beta)\) are translated as \(\text{exh}(\lambda a\beta')(\lambda a\beta')\). The translation of interrogative formation \(F_I(\beta)\) was already explained in section 1. The logical expression \(\text{exh}\) is a logical constant of type \(<s,f(T),f(T)>, i.e. when applied to the intension of a term translation, it delivers a term translation. Its interpretation is to take care of the exhaustivization of the term on which it operates. So, the type of the expression \(\text{exh}(\lambda a\beta')(\lambda a\beta')\) is \(t\). Since \(f(AB^1) = <c,t>, \text{ the type of } \lambda a\beta' \text{ will be } <s,<c,t> >, t>. So, the type of an answer translation \(\text{exh}(\lambda a\beta')(\lambda a\beta')\) is \(t\). Both
kinds of answers express a proposition. Since the interrogative expresses a question, as we saw in section 1, the result of the translation procedure as a whole is a pair of logical formulas of which the first expresses a question and the second a proposition. And this is what we are after. These are the kinds of semantic objects that the notions of semantic and pragmatic answerhood defined in G&S 1984a apply to.

We will not state the workings of the syntactic functions introduced by the rule. We keep our promise and say very little about syntax here. What we have to say about $F_I$, the function forming interrogatives, we already said in section 1. So, we can confine ourselves to the answer functions $F_{CA1}$ and $F_{SA1}$. Both take an abstract and a term as input. For the former, the syntactic role of the abstract is a limited one. Only the term will surface in a constituent answer. But, as we have argued for extensively in section 2, we really do need the abstract for its semantic interpretation as an answer. Still, even in this case, the abstract has some syntactic influence as well. E.g. the term surfacing in the answer is to be assigned case. And its case should be the same as that of the wh-term in the abstract. Similarly, in some cases prepositions (or pre-, in-, and affixes in certain languages) have to be added to the term to form the proper constituent answer. Compare:

(1) Whom does Mary love? Him that always sends her flowers.
(2) To whom did John give the book? To Mary.

The abstract can give the required syntactic material or information to be able to give a constituent answer its correct form. 39

But, of course, the abstract plays a far more important role in helping to form sentential answers. And it will indeed be far more complicated to state the content of the syntactic function $F_{SA1}$ that is to achieve this. But at least, it seems that the term and abstract together contain (or can be made to contain) all the required syntactic
material and structural information to form the sentential answer. Largely oversimplifying matters, what F_{SA1} is to do is to replace the wh-term in the abstract by the input term. For a language such as English, where a wh-term is preposed, this means disconnecting the wh-term and filling in the input term in the empty position left by the preposed wh-term in the original sentential structure. And surely, all kinds of other details will further have to be taken care of, such as word order, case assignment and agreement with other elements in the resulting sentential structure.

A few global remarks about the nature of the syntactic and semantic objects that are defined by the rules (S:IA1) and (T:IA1) may be in order here. We described the output of the syntactic rule as interrogative-answer pairs, and their semantic interpretation as question-proposition pairs. In a sense, these objects are of a highly artificial nature. They cannot be viewed, at least not in a straightforward way, as the kind of objects one normally takes a grammar to produce.

A sentence grammar produces sentences. Among these there may be interrogative sentences and indicative sentences that superficially resemble the elements of the objects produced by our rule, but as pairs they are not produced by a sentence grammar. The important point is that a sentence grammar may be regarded as a model, in some sense of that word, of the way in which speech production or speech interpretation proceeds. And it are sentences, indicative, interrogative and otherwise, that are produced and interpreted. No-one will utter, or interpret, interrogative-answer pairs.

Proceeding from individual sentences to larger units, texts, does not change this in an essential way. Text-grammars model the production or interpretation of larger pieces of coherent discourse, such as occur in spoken or written language. Again, these may contain interrogatives and declaratives, but interrogative-answer pairs are not to be found among them.

What then are these objects, and what kind of grammar is the one that produces them? In order to shed some light on this question, let us review the reasons adduced above for
going about the matter in the way we do. Our objectives in this paper are two. First of all, we want to make clear that the theory of answerhood developed in G&S 1984a in an abstract and language independent way, can be applied to concrete linguistic expressions. And secondly, we want to show how an analysis of interrogatives based on the theory of wh-complements developed in G&S 1982, which is a propositional theory and which lays a heavy stress on the phenomenon of exhaustivity, can deal with non-sentential answers. In both cases, we need to consider interrogatives and answers, questions and propositions, in relation to each other. For the various notions of answerhood defined in G&S 1984a are all relational, and as we argued in section 2, non-sentential answers (and sentential ones too, for that matter) cannot be interpreted properly but in the context of an interrogative.

These facts are accounted for by letting our rules produce and interpret interrogatives and answer in relation, i.e. in pairs. The rules are satisfactory as far as the tasks we set ourselves are concerned, as the remainder of this paper is intended to show.

In view of these considerations, it seems that we must interpret interrogative-answer pairs in a rather abstract way, i.e. not as objects that may actually, as such, be found in speech production or interpretation, but rather as abstract objects that reflect certain properties of objects that do occur in everyday speech. Obviously, the normal situation is one in which a question is raised by one speaker and is answered by another. Neither of these two speech participants actually produces or interprets an interrogative-answer pair. But each one of them does something that is reflected in the way in which interrogative-answer pairs are handled by our rules. For obvious reasons, the production of an interrogative is not influenced by the answer that is going to be given to it, and neither is its interpretation. This is reflected in the rules by the fact that neither in the syntactic nor in the semantic rule the first element of the pair produced is affected in any way by the second. The production of an answer by another speaker, however, is
heavily influenced by his interpretation of the interrogative. And, in its turn, the interpretation of this answer by the questioner essentially depends on the meaning of his original interrogative as well. The fact that in our rules, an answer, be it a constituent or a sentential one, both syntactically and semantically depends on the form and interpretation of the interrogative reflects this.

Thus, our rules can be interpreted as embodying in one object two aspects of what goes on in a question-answer dialogue in two different speech participants. The one who answers uses the interrogative in producing his answer. And the one who asks the question uses it in interpreting the answer that he is offered.

In a sense, then, the rules that define interrogative-answer pairs may be said to be 'discourse grammar' rules, i.e. rules that could be part of a system that accounts for the structural syntactic and semantic properties of interactive discourses. To be sure, our rules deal with only a few aspects of only one elementary type of discourse. They govern more or less standard, strictly informative, question-answer dialogues between two speech participants. The 'discourses' they produce are of a highly artificial nature. Actual speech proceeds in far more intricate and delicate ways. But, nonetheless, we claim that they do reflect certain important properties of question answering, in particular the exhaustiveness of answers. Our rules permit us to investigate the consequences precisely, and it is thus, we believe, that studying rather abstract miniature dialogues of this kind will prove valuable once we proceed to tackle more natural and complicated ones.

3.1.2. Exhaustiveness

In this section, we turn to the interpretation of the semantic process of exhaustivization of the interpretation of terms. We will specify the semantic content of the logical expression \( \text{exh} \) that figures in rule (T:IA1) in the translation of
constituent and sentential answers.

To keep the exposition simple, we choose as our example the rather artificial, but simple interrogative sentence (3):

(3) Who walk(s)?

First of all, it can be observed that all kinds of terms can surface in constituent answers to the question expressed by (3), and the same holds, of course, for the corresponding sentential answers. In many cases, a proper name, or a conjunction of such names may be available that serves our purposes perfectly well. We then get answers like the following:

(4) (a) John.
    (b) John walks.

(5) (a) John and Mary.
    (b) John and Mary walk.

As we have seen, in the context of the interrogative (3), such answers purport to give an exhaustive specification of the individuals that actually walk. So, if the answer that (4)(a) and (b) provide is true, the set of walkers consists of exactly one individual, the individual John. And similarly, if (5)(a) and (b) provide a true answer, the set of walkers consists of exactly two individuals, the individuals John and Mary. (So, although taken in isolation, the truth of (5)(b) implies the truth of (4)(b), as answers to (3), they contradict each other.) In the context of (3), (4)(a) and (b), and (5)(a) and (b) express virtually the same as (4)(c) and (5)(c) respectively:

(4)(c) Only John walks.
(5)(c) Only John and Mary walk.

In other words, the semantic content of *exh* can be verbalized as the term-modifier only in cases like these.

Using standard predicate logic for the moment, we can re-
present the answers in (4) and (5) in the context of (3) by the formulas (4)(d) and (5)(d) respectively:

(4)(d) \( \forall x [\text{walk}(x) \leftrightarrow x = j] \)
(5)(d) \( \forall x [\text{walk}(x) \leftrightarrow [x = j \lor x = m]] \)

But proper names do certainly not exhaust our linguistic means to answer questions. It might be quite appropriate to use a universally quantified term such as in (6):

(6)(a) Every boy.
(b) Every boy walks.

Such answers would convey the information that the set of walkers consists of all and only boys, that the set of walkers equals the set of boys. Again, this is not the same as (6)(b) expresses in isolation. The predicate logical formula representing the answers in (6) in the context of (3) is (6)(d), which again might be verbalized by using only as in (6)(c):

(6)(c) Only every boy walks.
(d) \( \forall x [\text{walk}(x) \leftrightarrow \text{boy}(x)] \)

It should be remarked that though (4);, (5) and (6) are equally good answers from a purely syntactic point of view, and share the property of being characteristically interpreted exhaustively, they need not be equally good from a semantic or pragmatic perspective, i.e. as carriers of the information the question asks for. If (6) is a true answer, so would be a conjunction of all proper names of the boys in the domain of discourse. If we consider rigidity to be a semantic property of proper names, such a conjunction of names would provide a semantically rigid answer, whereas the answers in (6) would not.

Such difference in potential semantic and pragmatic value between syntactically equally good linguistic answers is even more clear if we compare (4)-(6) with the answers in
the examples (7) and (8):

(7)(a) John or Mary.
(b) John or Mary walks.

(8)(a) A girl.
(b) A girl walks.

In general, if our question (3) is answered by (7) or (8),
our question will still not be answered completely, but in
many cases we will have come closer to an answer, our quest-
on will then be answered at least partially. From a syntac-
tic point of view, such indefinite answers are quite in order.
And, interestingly enough, they share the property of being
characteristically interpreted exhaustively. In the context
of the interrogative (3), the answers in (7) convey the in-
formation that precisely one individual walks, and that this
individual is either John or Mary. This is what is expressed
by the formula (7)(d), and what can be verbalized explicitly
by means of (7)(c):

(7)(c) Only John or Mary walks.
(d) ∀x[(walk(x) ↔ x = j) v (walk(x) ↔ x = m)]

Notice that only can be distributed over the elements of a
disjunction, but not over the elements of a conjunction. Sen-
tence (7)(c) is equivalent with (9) and (10), but (5)(c) is
not equivalent with (11):

(9) Only John or only Mary walks.
(10) Only John walks or only Mary walks.
(11) Only John walks and only Mary walks.

In fact, sentence (11) is a contradiction.

Similarly, the answers in (8) say that exactly one indivi-
dual walks and that this individual is a girl. The corre-
spanding formula is (8)(d), it also represents the meaning of
(8)(c):
(8)(c) Only a girl walks.
(d) $\exists x[\text{girl}(x) \land \forall y[\text{walk}(y) \iff x = y]]$

Let us stick to these five examples for the moment, and try to use these sufficiently different cases to arrive at a proper interpretation of the process of exhaustivization. Though in the end we use an intensional logical framework, we still continue to use extensional logical representations for the moment. There is no harm in this, since intensionality is not essentially involved in the process of exhaustivization as such.

If one takes a quick superficial look at the formulas (4)(d) - (8)(d), it will seem hard to find a general compositional way to arrive at them. Using the examples given above, our task can be described as follows. If we apply the logical expression $\text{exh}$ to the extensional term translations given in (12)(a) - (16)(a), the interpretation of the resulting expressions (12)(b) - (16)(b) should warrant that they are equivalent with (12)(c) - (16)(c):

(12)(a) John $\sim \lambda P P(j)$
(b) $\text{exh}(\lambda P \ P(j))$
(c) $\lambda P \forall x[P(x) \iff x = j]$

(13)(a) John and Mary $\sim \lambda P[P(j) \land P(m)]$
(b) $\text{exh}(\lambda P[P(j) \land P(m)])$
(c) $\lambda P \forall x[P(x) \iff [x = j \lor x = m]]$

(14)(a) every boy $\sim \lambda P \forall x[\text{boy}(x) \land P(x)]$
(b) $\text{exh}(\lambda P \forall x[\text{boy}(x) \land P(x)])$
(c) $\lambda P \forall x[\text{boy}(x) \iff P(x)]$

(15)(a) John or Mary $\sim \lambda P[P(j) \lor P(m)]$
(b) $\text{exh}(\lambda P[P(j) \lor P(m)])$
(c) $\lambda P \forall x[[P(x) \iff x = j] \lor [P(x) \iff x = m]]$

(16)(a) a girl $\sim \lambda P \exists x[\text{girl}(x) \land P(x)]$
(b) $\text{exh}(\lambda P \exists x[\text{girl}(x) \land P(x)])$
(c) $\lambda P \exists x[\text{girl}(x) \land \forall y[P(y) \iff x = y]]$
If we apply the formulas (12)(c) - (16)(c) to the abstract
\( \lambda x \text{ walk}(x) \), the extensional translation of the abstract un-
derlying interrogative (3), we get, using \( \lambda \)-conversion, the
formulas (4)(d) - (8)(d). Since this is what the translation
rule tells us to do in order to arrive at the translation of
the answers (4) - (8) in the context of the interrogative (3),
we get the proper results if we can specify the content of
\( \text{exh} \) in such a way that the equivalences between (12)(b) -
(16)(b) and (12)(c) - (16)(c) hold.

In the extensional formulas in (12) - (16), the predicate
variable \( P \) will be assigned a subset of the domain of indi-
viduals \( D \). So, all expressions in (12) - (16) denote a set of
subsets of \( D \). The translation of \( \text{John} \) in (12)(a) denotes
those subsets of \( D \) of which the individual \( \text{John} \) is an element.
I.e. it contains the unit set \( \{ \text{John} \} \) and all sets \( X \subseteq D \) such
that \( \{ \text{John} \} \subseteq X \). In view of the equivalence aimed at between
(12)(b) and (c), the expression \( \text{exh}(\lambda P \, P(j)) \) is to denote
the set containing those subsets \( X \) of \( D \) such that all ele-
ments of \( X \) equal \( \text{John} \). I.e. it should denote the set \( \{ \{ \text{John} \} \} \).
This suggests that \( \text{exh} \) works as a kind of filter on the set
of sets denoted by a term. It filters out those sets \( X \) in the
denotation of the term for which there is no other set \( Y \) in
its denotation such that \( Y \subseteq X \). So, it seems that \( \text{exh} \) can be
defined as the following semantic operation:

\[
(17) \quad \text{exh} = \lambda P \lambda P'[P(P) \land \exists P'[P'(j) \land P \neq P' \land \forall x[P'(x) \rightarrow P(x)]]]
\]

If we use this definition to write out (12)(b), the exhaust-
vization of \( \text{John} \), we get the following result:

\[
(12)(d) \quad \lambda P[P(j) \land \exists P'[P'(j) \land P \neq P' \land \forall x[P'(x) \rightarrow P(x)]]]
\]

The expression (12)(d) is indeed equivalent to (12)(c), which
means that when applied to proper names, \( \text{exh} \) as defined in
(17) gives correct results.

Let us check definition (17) by considering our other
examples. Writing out (13)(b), the exhaustivization of
\( \text{John} \) and \( \text{Mary} \), by means of definition (17), we arrive at:
This is correct, (13)(d) is equivalent to (13)(c). In semantic terms, the denotation of John and Mary contains those \( X \subseteq D \) such that \( \{\{\text{John}, \text{Mary}\}\} \subseteq X \). From this set \( \{X | \{\text{John}, \text{Mary}\} \subseteq X\} \), \text{exh} filters out the smallest sets, resulting in \( \{\{\text{John}, \text{Mary}\}\} \).

Using definition (17) to write out (14)(b), the exhaustivization of every man, we get the following result:

\[
(14)(d) \lambda P[\forall x[\text{man}(x) \rightarrow P(x)] \land \\
\forall P'[\forall x[\text{man}(x) \rightarrow P'(x)] \land P \neq P' \land \forall y[P'(y) \rightarrow P(y)]\]
\]

Again, the result is correct. Formula (14)(d) is equivalent with (14)(c).

Let us now look at example (15). The denotation of the term John or Mary is the result of taking the union of the denotations of the terms John and Mary:

\[
\{X | \{\text{John}\} \subseteq X\} \cup \{X | \{\text{Mary}\} \subseteq X\} = \{X | \{\text{John}\} \subseteq X \land \{\text{Mary}\} \subseteq X\}.
\]

This latter set of sets contains two smallest elements, the sets \( \{\text{John}\} \) and \( \{\text{Mary}\} \). So, the result of applying exhaustivization is the set \( \{\{\text{John}\}, \{\text{Mary}\}\} \). And this is the denotation of (15)(d), which is the result we get if we use definition (17) in writing out the expression (15)(b):

\[
(15)(d) \lambda P[[P(\text{j}) \lor P(\text{m})] \land \\
\forall P'[[[P'(\text{j}) \lor P'(\text{m})] \land P \neq P' \land \forall x[P'(x) \rightarrow P(x)]]]
\]

In this case too, the resulting formula (15)(d) is equivalent with the intuitive predicate logical translation (15)(c).

Using definition (17) in writing out our last example, (16)(b), the exhaustivization of a girl, the resulting expression will again denote a set of unit sets. This is so because the term a girl denotes a set of sets of which the smallest elements are singletons consisting of a single girl. Exhaustivization filters out these singletons:
In this last case too, the result is satisfactory, (16)(d) is equivalent with the intuitive translation (16)(c).

To sum up, we have seen that a simple and conceptually clear definition of the semantic operation of exhaustivization can be given that gives correct results when applied to proper names, simple conjunctions and disjunctions thereof, and simple universally and existentially quantified terms. It operates on a set of sets and filters out its smallest elements.

Still, logical clarity is no guarantee for truth. We have so far only looked at few simple examples of terms. There, our definition of exhaustivization was confirmed, and this may give us hope, but it does not give us proof that it will work for all cases it has to work for, i.e. that it gives correct results when applied to any term that allows for an exhaustive interpretation. We will not attempt to arrive at such a proof in this paper, though we will discuss some apparent counterexamples in the next sub-section and will indicate how to deal with them.

3.1.3 Exhaustiveness and plurality

There are many terms besides those discussed above for which definition (17) of exhaustivization works perfectly, such as those in (18), but there are also others for which it prima facie does not give correct results, such as those listed in (19):

(18) John and Mary or Suzy; John or Mary and Suzy, every man and Mary; a man and a woman; a man or a woman; two men; Mary and a man; Mary or two men
(19) John or Mary or both (John and Mary); at most two girls; at least one girl; John or every man; at most John
What goes wrong, and what causes it to go wrong, can be made clear by considering the first example in (19).

The term John denotes the set \{X \mid \{\text{John}\} \subseteq X\}, Mary denotes the set \{X \mid \{\text{Mary}\} \subseteq X\}. If we take their conjunction (both) John and Mary to denote the intersection of these two sets, we get \{X \mid \{\text{John}, \text{Mary}\} \subseteq X\}. The latter set is clearly a subset of each of the former two. This means that the union of all three of them, which is the denotation of John or Mary or both (John and Mary), will be the same as the union of the first two of them, the denotation of John or Mary.

This will come as no surprise. The standard logical treatment of John or Mary is such that it means John or Mary or both of them. And this, we believe, is quite correct. But, of course, this implies that any definition of exh, or of any other term-modifier, will give the same result when it is applied to John or Mary or to John or Mary or both. As we have seen in the previous section, the result of applying exh to the former, and then combining the resulting exhausted term with e.g. the predicate walk, is a formula that expresses that exactly one individual walks, and that this individual is either John or Mary. And if John or Mary and John or Mary or both denote the same set of sets, we would get precisely the same result if we apply exh to the latter. And this in turn would mean that the answers (7) and (20) to the interrogative (3) would express the same proposition:

(3) Who walk(s)?

(7) John or Mary.

(20) John or Mary or both (John and Mary).

But clearly, as answers to the question expressed by (3), (7) and (20) have a different meaning. The answer (7) means indeed that precisely one individual walks and that it is John or Mary, but (20) means that either precisely one individual walks and that it is John or Mary, or that precisely two individuals walk, both the individuals John and Mary. Whereas in the context of (3), (7) is equivalent with (21), (20) is
equivalent with (22):

(21) Only John or only Mary.
(22) Only John or only Mary or only both (John and Mary).

What seems to cause the problem at hand is that semantic plurality has not been taken into account the way it should be. The third disjunct of John or Mary or both (John and Mary) is semantically plural. The standard treatment of John and Mary used above does not take this into account properly. It simply takes the intersection of the denotations of John and Mary, resulting in the set \{X \mid \{\text{John, Mary}\} \subseteq X\}.

This 'analysis' of plural terms is all right for many contexts, but it is also known to be wrong in general as an analysis of such terms. In many contexts we have to consider John and Mary not as denoting a set of properties of individuals, those properties that both the individual John has and the individual Mary has, but as denoting a set of properties of 'groups', those properties that the group consisting of John and Mary has.

There are various ways to account for this, and consequently there are various theories of semantic plurality around. Here, we do not want to make a particular choice among them, since the problem we discuss here, and the way in which we want to solve it, should not essentially depend on any particular feature of any particular theory. As long as the theory makes a neat distinction between individuals and groups it is all right with us. So, let us just represent the group consisting of John and Mary as [John, Mary], without committing ourselves to a particular view on the nature of the semantic object it represents. The denotation of the semantically plural term John and Mary will then be the set of properties of groups and/or individuals \{X \mid \{\text{John, Mary}\} \subseteq X\}.

Once this much has been acknowledged, our difficulties disappear. The denotation of the term (23) now becomes (24), and applying the semantic operation of exhaustivization to this set of sets results in (25):
(23) John or Mary or both (John and Mary)
(24) \{X \mid \{\text{John}\} \subseteq X \lor \{\text{Mary}\} \subseteq X \lor \{[\text{John},\text{Mary}]\} \subseteq X\}
(25) \{[\text{John}], [\text{Mary}], \{[\text{John},\text{Mary}]\}\}

This is exactly what one wants to get. For if (24) is the denotation of the term (23), in the context of the interrogative (3), the constituent answer (20) will indeed express what we intuitively considered it to express, viz. that either John is the one who walks, or Mary is the one who walks, or John and Mary are the ones that walk. 51

So, by taking semantic plurality into account, we do get the fully satisfactory result that the two terms John or Mary and John or Mary or both do not have precisely the same denotation, but are interpreted in such a way that, though interchangeable in certain contexts, they have a different meaning in others, e.g. when they are interpreted exhaustively, as they must when they are taken as answers.

It should be noted that these results are obtained by combining the intuitive and simple interpretation of exhaustiveness defined in (17) with the view that semantic plurality has to be taken seriously, a view that has been motivated also on entirely independent grounds.

Plurality is also involved in the difference between a girl and at least one girl, or more generally, in the difference between n girls and at least n girls. Again, the standard logical treatment of these terms does not differentiate between them, but rather treats them as equivalent. And in this case too, though this may be correct for some contexts, it is not so for all. It does not lead to an appropriate interpretation of the answer (26), which clearly differs from the answer (8):

(3) Who walk(s)?
(8) A girl.
(26) At least one girl.

The proposition that (8) expresses in the context of (3), we
described as follows: exactly one individual walks, and this individual is a girl. The proposition that (26) expresses in the context of (3) is that at least one individual walks, and that the individual(s) that walk(s) are girls. Or, in 'plural' terms, it says that the group of walkers is a group of girls with at least one member. And generally, an answer of the form At least n girls, in the context of the interrogative Who walk(s)? expresses that the group of walkers is a group of girls with at least n members.52

That this is a correct paraphrase of the meaning of this answer follows from the perfectly reasonable assumption that a group walks iff its members do. This is a feature of the property of walking (and many others besides) and has nothing to do with the meaning of the term as such. This becomes clear if one contrasts the pair (3) - (26) with the pair (27) - (28):

(27) Who gather?
(28) At least six girls.

In the context of (27), the answer (28) expresses that one group gathers, a group of girls having at least six members.

So, we have come to the conclusion that a term of the form at least n girls denotes the following set of sets:

(29) \{X|G \subseteq X, \text{ where } G \text{ is a group of girls having at least } n \text{ members}\}

Contrast this with n girls, which denotes the set of sets:

(30) \{X | \{G\} \subseteq X, \text{ where } G \text{ is a group of } n \text{ girls}\}

If we apply exhaustivization to (29), we arrive at (31), if we apply it to (30), we get (32):

(31) \{\{G\} : G \text{ a group of girls having at least } n \text{ members}\}
For \( n = 1 \), this gives us the results we wanted to get for the answers (8) and (26) in the context of the interrogative (3).

In a completely similar way, one can deal with terms such as at most two girls. The standard non-plural treatment of it characterizes it is monotone decreasing over the domain of individuals \( D \). Under such a treatment, the empty set is the unique smallest element in the set of sets denoted by it. Since \( \text{exh} \) selects the smallest elements from a set of sets, this means that only at most two girls would come out equivalent with no-one, predicting quite falsely, that the answers (32) and (33) express the same proposition in the context of the interrogative (3):

\[
(3) \text{Who walk(s)?}
\]

\[
(32) \text{At most two girls.}
\]

\[
(33) \text{No-one.}
\]

In the context of (3), the answer (32) expresses the proposition that at most two individuals walk, and that the individuals that walk (if any) are girls. In 'plural' terms, it says that exactly one group walks, that it is a group of girls, and that it has at most two members. If we treat terms of the form at most \( n \) girls as semantically plural terms, we do get better results, at least as far as exhaustivization is concerned. If their denotation would be something like (34), exhaustivization would lead to (35):\(^{53,54}\)

\[
(34) \{X \mid \{G\} \subseteq X, \text{where } G \text{ is a group of girls having at most } n \text{ members}\}
\]

\[
(35) \{\{G\} \mid G \text{ a group of girls having at most } n \text{ members}\}
\]

The set of sets (34) will, in general, have many smallest elements, and hence (35) will, in general, have many elements as well. E.g. if \( n = 2 \), it contains all unit sets having as its sole element a group of zero, one or two girls.
The other problematic examples listed in (19) can be handled in an analogous fashion. So, we can draw the following conclusions. First of all, the semantic operation of exhaustivization defined in (17) is basically correct. Secondly, apparent counterexamples can be countered effectively by taking semantic plurality seriously. An overall proper treatment of exhaustiveness really presupposes a proper treatment of plurality.

Since that is an independent topic, and one which we are not concerned with here, we feel free to neglect plurality in the remainder, and to choose our examples in such a way that cases where plurality essentially comes in are avoided. We feel that for the moment it suffices to have shown that once a proper treatment of plurality is adopted, proper results can be obtained in all cases.

3.1.4. An example

Sofar, we used an extensional formulation of the semantic operation of exhaustivization. This is justified since it really is an extensional operation. But terms are generally treated intensionally, i.e. as sets of properties rather than as sets of sets (and this for good reasons). Since exhaustivization is to apply to terms on their intensional interpretation, we replace definition (17) by the following one (in which \( P \) now ranges over properties, and \( P' \) over second order properties, and not over sets and sets of sets anymore):

\[
(36) \text{exh} = \lambda P, P' [ P(a)(P) \land \exists P' [P(a)(P') \land P(a) \neq P'(a) \land \\
\forall x [P'(a)(x) \rightarrow P(a)(x)]]]
\]

It will be clear from the discussion above that if this definition of \( \text{exh} \) is used in connection with the translation rule (T;IA1) stated in section 3.1.1, the rule assigns the correct interpretation to both constituent and sentential answers in the context of a single constituent interrogative.
We will illustrate this by giving one further, somewhat more complicated example. Consider the interrogative-answer pairs (37) - (38) and (37) - (39):

(37) Which guests does John kiss?
(38) John kisses Bill or Peter, and two girls.
(39) Bill or Peter, and two girls.

These pairs result of the syntactic rule (S:IA1) is applied to the abstract (40), translating as (40'), and the term (41), translating as (41'):

(40) which guests John kisses
(40') \( \lambda x[\text{guest}(a)(x) \land \text{kiss}(a)(j,x)] \)
(41) Bill or Peter, and two girls
(41') \( \lambda P[[P(a)(b) \lor P(a)(p)] \land \exists x \exists y[x \neq y \land \text{girl}(a)(x) \land P(a)(x) \land \text{girl}(a)(y) \land P(a)(y)]] \)

For both interrogative-answer pairs (37) - (38) and (37) - (39), the translation rule (T:IA1) results in the pair of formulas \(<(37'), (38')>\), where (37') and (38') read as follows:

(37') \( \lambda i[\lambda x[\text{guest}(a)(x) \land \text{kiss}(a)(j,x)] = \lambda x[\text{guest}(i)(x) \land \text{kiss}(i)(j,x)] ] \)
(38') \( \text{exh}(\lambda a(41'))(\lambda a(40')) \)

The expression \( \text{exh}(\lambda a(41')) \) occurring in (38'), can be written out as (42):

(42) \( \lambda P[[P(a)(b) \lor P(a)(p)] \land \exists x \exists y[x \neq y \land \text{girl}(a)(x) \land P(a)(x) \land \text{girl}(a)(y) \land P(a)(y) ] \land \neg \exists P'[\left[P'(a)(b) \lor P'(a)(p) \right] \land \exists x \exists y[x \neq y \land \text{girl}(a)(x) \land P'(x) \land \text{girl}(a)(y) \land P'(y) ] \land P(a) \neq P'(a) \land \forall z[\left[P'(a)(z) \rightarrow P(a)(z) \right]]] \)
Formula (42) can be reduced to (42'):

\[(42') \lambda P[\exists x \exists y [x \neq y \land \text{girl}(a)(x) \land \text{girl}(a)(y) \land \\
\forall z [[P(a)(z) \leftrightarrow [z = x \lor z = y \lor z = b]] \lor \\
[P(a)(z) \leftrightarrow [z = x \lor z = y \lor z = p]]]]\]

If we apply (42'), the reduced translation of the exhaustivization of the term (41) Bill or Peter, and two girls, to the intension of (41'), the translation of the abstract (40) which guests John kisses, we arrive at formula (38''), the interpretation of the answers (38) and (39) in the context of the interrogative (37):

\[(38'') \exists x \exists y [x \neq y \land \text{girl}(a)(x) \land \text{girl}(a)(y) \land \\
\forall z [[\text{guest}(a)(z) \land \text{kiss}(a)(j, z)] \leftrightarrow \\
[z = x \lor z = y \lor z = b]] \lor \\
[[\text{guest}(a)(z) \land \text{kiss}(a)(j, z)] \leftrightarrow \\
[z = x \lor z = y \lor z = p]]]\]

Formula (38'') expresses that the quests that John kisses are three, that two of them are girls, and that the third one is either John or Bill. And this is precisely what (38) and (39) mean as answers to the question expressed by (37).

This ends our discussion of single constituent interrogative-answer pairs. We formulated a syntactic and semantic rule forming and interpreting such pairs in section 3.1.1. The remainder of section 3.1 was devoted to giving content to the semantic operation of exhaustivization, resulting in definition (36) in section 3.1.2. The example just given shows that the results which are obtained, are indeed the ones one wants, even in rather complicated cases. What remains to be done is to generalize our rules, so as to cover also multiple constituent interrogative-answer pairs (section 3.2), and sentential interrogative-answer pairs (section 3.3).
3.2. **Multiple constituent interrogatives**

Up to now, we have only discussed single constituent interrogatives and their answers. We now turn to multiple constituent ones. The syntactic and semantic rule for their derivation and interpretation will be seen to be a straightforward generalization of the pair of rules (S:IA1) and (T:IA1).

Interpreted at the level of abstracts, an n-constituent interrogative expresses an n-place relation, as we saw in section 1. In simple cases, a constituent answer to such an interrogative surfaces as an n-place sequence of terms. E.g. the two-constituent interrogative (43) might receive the constituent answer (44)(a) or the corresponding sentential answer (44)(b):

\[
(43) \text{ Which man loves which woman?} \\
(44)(a) \text{ John, Suzy.} \\
(44)(b) \text{ John loves Suzy.}
\]

The abstract underlying the interrogative (43) translates as (43'):

\[
(43') \lambda x \lambda y [\text{man}(a)(x) \land \text{woman}(a)(y) \land \text{love}(a)(x,y)]
\]

Formula (43') expresses the relation of loving restricted to men for its first and to women for its second argument. Its denotation corresponds to a set of pairs <a,b> such that a is a man, b is a woman and a loves b. The answers (44)(a) and (b) express the proposition that the pair <John,Mary> is the only such element in the set of pairs denoted by (43').

We can obtain this result by taking the following steps:
(i) We derive both (44)(a) and (b) from the abstract underlying (43) and the sequence of terms John, Mary.
(ii) We interpret this sequence of two terms as denoting a set of two-place relations, i.e. as a set of relations
between two individuals, extensionally speaking a set of sets of pairs of individuals. Each element in this set of relations is a relation in which the individual John stands to the individual Suzy. I.e. the extension of each relation in the set denoted by the sequence John, Suzy, contains at least the pair <John, Suzy>.

We could then apply functional application of the thus interpreted sequence John, Mary to the interpretation of the AB2 which man loves which woman. But this would result in a proposition that says that the pair <John, Mary> is an element of the set of pairs denoted by the abstract. So, we need a further step that guarantees the exhaustiveness of such answers.

(iii) This step consists in applying an operation of exhaustivization to the set of two-place relations denoted by the sequence John, Suzy. Extensionally speaking, this operation filters out the smallest set of pairs in the denotation of that sequence. In this case, it filters the set {<John, Mary>} out of the set \( \{X : \{<John, Mary>\} \subseteq X\} \).

(iv) The last step is then functional application of the exhaustified interpretation of the sequence John, Suzy to the interpretation of the abstract which man loves which woman.

From this informal sketch, it will already be quite clear that the whole procedure is a simple generalization of the case of single constituent interrogative-answer pairs. In what follows, we will state the formal details of the steps we have just distinguished.

3.2.1. Multiple terms

A first thing to notice is that not only simple n-place sequences, but also conjunctions and disjunctions thereof can be transformed into an answer. Our interrogative (43) could also be answered by (45) or (46):
(43) Which man loves which woman?

(45)(a) John loves Suzy, and Bill (loves) Mary.
(45)(b) John, Suzy; and Bill, Mary.

(46)(a) John loves Suzy, or Bill (loves) Mary.
(46)(b) John, Suzy; or Bill, Mary.

The answers (45)(a) and (b) should be derived from the conjunction of the two-place sequences of terms John, Suzy and Bill, Mary, the answers (46)(a) and (b) from their disjunction. We will call both simple sequences of n terms and conjunctions and disjunctions thereof 'n-place terms'. Just as n-place abstracts form a family of categories $AB^n$ for $n \geq 0$, so do n-place terms. The latter family of categories can be defined in terms of the first as follows:

$T^n = S/AB^n$, for $n \geq 0$

Ordinary terms belong to the category $T^1 = S/AB^1 = S/(S/e)$. The corresponding type $f(T^1) = \langle<s,<e,t>,t\rangle$, i.e. they denote a set of properties. A two-place term belongs to the category $T^2 = S/AB^2 = S/((S/e)/e)$. The corresponding type $f(T^2) = \langle<s,<e,<e,t>,t\rangle$, i.e. they denote a set of two-place relations. In general, a $T^n$ denotes a set of n-place relations. 57

Definition (T) defines $T^0$'s, zero-place terms, as expressions of category $S/S$, i.e. the category of sentence adverbs. We will make use of this in section 3.3, where we discuss sentential interrogatives and their answers.

We now state the syntactic rule that derives n-place terms and the corresponding translation rule that serves to interpret them: 58

(S:T^n) If $a_1, \ldots, a_n$ are $T^1$'s, then $F_{T^n}(a_1, \ldots, a_n)$ is a $T^n$

(T:T^n) If $a_1$ translates as $a_1'$, \ldots, $a_n$ as $a_n'$, then $F_{T^n}(a_1, \ldots, a_n)$ translates as

$\lambda R^n[a_1' (\lambda a \lambda x_1[\ldots a_n' (\lambda a \lambda x_n[R^n(a)(x_1, \ldots, x_n)])\ldots])]$
A variable \( R^n \) is of type \( <s,f(AB^n)> \), i.e. it ranges over \( n \)-place relations. From this it will be clear that if a \( T^n \)
and an \( AB^n \) are combined by means of functional application, the result is a sentential expression. Our examples concern \( T^2 \)'s only. We will write \( R \) instead of \( R^2 \).

As a first simple example, the \( T^2 \) John, Mary is the result of \( F_2(\text{John}, \text{Mary}) \). Its translation is given in (47), which can be reduced to (47'):

\[
\begin{align*}
(47) & \quad \lambda R[\lambda P(a)(j)(\lambda a\lambda x_1[\lambda P(a)(m)(\lambda a\lambda x_2[R(a)(x_1,x_2)])])] \\
(47') & \quad \lambda R R(a)(j,m)
\end{align*}
\]

The rule does not only apply to proper names, but to all sorts of terms. Two examples illustrating this are (48) and (49):

\[
\begin{align*}
(48) & \quad \text{every man, a girl} \\
(48') & \quad \lambda R\forall x[\text{man}(a)(x) \rightarrow \exists y[\text{girl}(a)(y) \land R(a)(x,y)]] \\
(49) & \quad \text{John and Bill, Mary or Suzy} \\
(49') & \quad \lambda R[(R(a)(j,m) \land R(a)(b,m)) \lor (R(a)(j,s) \land R(a)(b,s))]
\end{align*}
\]

In order to be able to deal with answers such as (45) and (46), we further need to generalize term conjunction and disjunction to conjunction and disjunction of \( T^n \)'s. The following two rules accomplish this:

\[
\begin{align*}
(S:CT^n) & \quad \text{If } a \text{ and } \beta \text{ are } T^n \text{'s, then } a \text{ and } \beta \text{ is a } T^n \\
(T:CT^n) & \quad \text{If } a \text{ translates as } a' \text{ and } \beta \text{ as } \beta', \text{ then } a \text{ and } \beta \text{ translates as } \lambda R^n[a'(R^n) \land \beta'(R^n)] \\
(S:DT^n) & \quad \text{If } a \text{ and } \beta \text{ are } T^n \text{'s, then } a \text{ or } \beta \text{ is a } T^n \\
(T:DT^n) & \quad \text{If } a \text{ translates as } a' \text{ and } \beta \text{ as } \beta', \text{ then } a \text{ or } \beta \text{ translates as } \lambda R^n[a'(R^n) \lor \beta'(R^n)]
\end{align*}
\]
We will give three examples to illustrate these rules. The conjunction of the two T^2's John, Mary and Bill, Suzy results in the T^2 (50), translating as (50'), their disjunction results in the T^2 (51), translating as (51'):

(50) John Mary; and Bill Suzy
(50') \lambda R(a)(j,m) \land R(a)(b,s)

(51) John, Mary; or Bill Suzy
(51') \lambda R(a)(j,m) \lor R(a)(b,s)

A more complex example is the T^2 (52), which translates as (52'):

(52) John and Bill, Mary or Suzy; and Peter or Fred, a redhead
(52') \lambda R([R(a)(j,m) \land R(a)(b,m)] \lor [R(a)(j,s) \land R(a)(b,s)]) \land
\exists x[\text{readhead(a)(x)} \land [R(a)(p,x) \lor R(a)(f,x)]]

The way in which (52) is derived is presented in the derivation tree (52"):

This concludes what should be said about the second step in the analysis of multiple constituent interrogatives and their answers that we distinguished in the preceding section, the construction and interpretation of n-place terms.
3.2.2. Exhaustiveness of multiple terms

The next step we have to take consists in providing a generalization of the semantic operation of exhaustivization in such a way that it not only applies to ordinary terms, \( T^1 \)'s, but to \( T^n \)'s in general. It is not so much the operation of exhaustivization as such that is in need of generalization, since it already corresponds to a quite general concept: that of taking the smallest elements out of a set of sets. \( T^n \)'s are associated with sets of sets in much the same way as \( T^1 \)'s are. Where-as the latter extensionally correspond to a set of sets of individuals, the former more generally correspond to a set of sets of \( n \)-tuples of individuals. The concept of exhaustivization applies equally well to both of them.

The only thing that is in need of generalization is our definition of the logical expression \( \text{exh} \) as it was stated in (36). Instead of a single expression \( \text{exh} \) of type \( \langle s, f(T) \rangle, f(T) \rangle \), we need a whole family of expressions \( \text{exh}^n \), for \( n \geq 0 \), of types \( \langle s, f(T^n) \rangle, f(T^n) \rangle \). The general definition that specifies their semantic content reads as follows:

\[
\text{exh}^n = \lambda R^n \lambda R^n [R^n(a)(R^n) \land \exists R^n[a(R^n)(R^n) \land R^n(a) \neq R^n(a) \land \forall x_1 \ldots x_n[R^n(a)(x_1, \ldots, x_n) \rightarrow R^n(a)(x_1, \ldots, x_n)]]]
\]

A variable \( R^n \) is of type \( \langle s, f(T^n) \rangle \), a variable \( R^n \) of type \( \langle s, f(AB^n) \rangle \). For \( n = 2 \), we will suppress the superscripts in our examples. Definition (36) of \( \text{exh} \) is the definition of \( \text{exh}^1 \) which is a special instance of (53).

If we apply \( \text{exh}^2 \) to the simple \( T^2 \) \( \text{John, Mary} \), the reduced result is (54):

\[
\lambda RVxVy[R(a)(x,y) \leftrightarrow [x = j \land y = m]]
\]
The expression (54) denotes the set of relations which hold
between the pair of individuals <John, Mary> and no others.
This is indeed the interpretation we need, to obtain correct
results for the interpretation of the corresponding two-
constituent answer John, Mary, in the context of two-constit-
uent interrogatives.

By way of further illustration, we give the reduced re-
sults of applying \(\text{exh}^2\) to the examples of \(T^2\)'s given in the
previous section.

\[(50)\text{ John, Mary; and Bill, Suzy}\]
\[(50e) \lambda R\forall x\forall y [R(a)(x, y) \leftrightarrow [(x = j \land y = m) \lor (x = b \land y = s)]]\]

\[(51)\text{ John, Mary; or Bill, Suzy}\]
\[(51e) \lambda R\forall x\forall y [(R(a)(x, y) \leftrightarrow [x = j \land y = m]) \lor
[R(a)(x, y) \leftrightarrow [x = b \land y = s]]]\]

\[(48)\text{ every man, a girl}\]
\[(48e) \lambda R\forall x[\text{man}(a)(x) \leftrightarrow \exists y[\text{girl}(a)(y) \land \forall z[R(a)(x, z) \leftrightarrow z = y]]]\]

\[(49)\text{ John and Bill, Mary or Suzy}\]
\[(49e) \lambda R\exists z [[z = m \lor z = s] \land \forall x\forall y [R(a)(x, y) \leftrightarrow
[[x = j \lor x = b] \land y = z]]]\]

\[(52)\text{ John and Bill, Mary or Suzy; and Peter or Fred, a redhead}\]
\[(52e) \lambda R\exists z_1 \exists z_2 \exists z_3 [[z_1 = m \lor z_1 = s] \land [z_2 = p \lor z_2 = f] \land
\text{redhead}(a)(z_3) \land
\forall x\forall y[R(a)(x, y) \leftrightarrow [[[x = j \lor x = b] \land y = z_1] \lor
[x = z_2 \land y = z_3]]]\]

These examples may suffice to show that our definition of
exhaustivization gives correct results, also when applied to
more complex cases.

Of course, we have to make the same proviso concerning
terms, in this case \(T^n\)'s, that essentially involve plurality.
For example, if semantic plurality is not taken seriously,
exhaustivization of (55) and (57) will come out equivalent
the exhaustivization of (56) and (58) respectively.
(55) John, Mary; or Bill, Mary; or both John, Mary; and
    Bill, Mary
(56) John, Mary; or Bill, Mary
(57) John or Bill or both (John and Bill), Mary
(58) John or Bill, Mary

In fact, all four of them come out equivalent if we don't
take plurality into account. Though (55) and (57) would
constitute equivalent short answers to a two-constituent
interrogative, and (56) and (58) as well, the latter two
give different answers than the former two.

Again, this can be remedied by taking semantic plurality
seriously. A conjunction of T^n's, for example, should then
be taken to correspond to a set of relations between groups
of individuals, rather than to a set of relations between
individuals simpliciter. We will not discuss this matter
further here, since what could be said without going into
technical details, would be a simple variation of the theme
sung in section 3.1.3 above.

3.2.3. The general rule

Now that we have introduced simple and complex n-place terms,
and have indicated how the semantic proces of exhaustivization
applies to them, all the ingredients are available to state
the general rules that derive and interpret n-constituent
interrogative-answer pairs:

(S:IA) If \( \beta \) is an \( AB^n \), and \( a \) a \( T^n \), then \( \langle F_1(\beta), F_{CA^n}(a, \beta) \rangle \)
    and \( \langle F_1(\beta), F_{SA^n}(a, \beta) \rangle \) is an \( \langle S, S \rangle \)

(T:IA) If \( \beta \) translates as \( \beta' \), and \( a \) as \( a' \), then both
    \( \langle F_1(\beta), F_{CA^n}(a, \beta) \rangle \) and \( \langle F_1(\beta), F_{SA^n}(a, \beta) \rangle \) translate
    as \( \langle \lambda i[\beta' = (\lambda a')'(i)] , \text{exh}^n(\lambda a')(\lambda a') \rangle \)
Clearly, the rules (S:IA1) and (T:IA1), presented in section 3.1.1 are simply a special instance of these general rule schemata. The remarks we made there, e.g. about the grammatical status of the rule, remain in force, and need not be repeated here. The syntactic rule produces pairs of expressions, the first element of which is an interrogative, and the second element of which is a constituent or sentential answer. The interrogative, formed from an n-place abstract is translated into a logical expression that denotes a proposition and expresses a question. That part of the rule was already explained in section 1. The constituent and the corresponding sentential answer are formed from the n-place abstract and an n-place term. In both cases the result is a sentential expression. Their interpretation is obtained by first applying the semantic operation of exhaustivization to the n-place term, and next applying it to the intension of the n-place abstract.

We will give one simple example to illustrate the rules. Consider the interrogative-constituent answer pair <(59),(60)>:

(59) Which man loves which woman?

(60) John, Mary; and Bill Suzy.

The pair of them are derived from the abstract (61), translating as (61'), and the two-place term (62), translating as (62'):

(61) which man loves which woman
(61') \( \lambda x \lambda y [ \text{man}(a)(x) \land \text{woman}(a)(y) \land \text{love}(a)(x,y) ] \)

(62) John, Mary; and Bill, Suzy
(62') \( \lambda R[R(a)(j,m) \land R(a)(b,s)] \)

According to the rules, the pair <(59),(60)> is then translated as the pair of logical expressions <(59'),(60')>:

(59') \( \lambda i [ \lambda x \lambda y [ \text{man}(a)(x) \land \text{woman}(a)(y) \land \text{love}(a)(x,y) ] = \lambda x \lambda y [ \text{man}(i)(x) \land \text{woman}(i)(y) \land \text{love}(i)(x,y) ] ] \)
Formula (60') can be reduced to (60"):

\[(60") \forall x \forall y [(\text{man}(a)(x) \wedge \text{woman}(a)(y) \wedge \text{love}(a)(x,y)) \Leftrightarrow (x = j \wedge y = m) \vee (x = b \wedge y = s)]\]

The expression (59'), translating the interrogative, expresses the question or propositional concept, which has as its extension at an index k the proposition that gives a rigid and exhaustive specification of the pairs of individuals \(\langle x, y \rangle\) such that \(x\) is a man and \(y\) is a woman at \(k\), and \(x\) loves \(y\) at \(k\). The formula (60") expresses the proposition that the pairs of individuals \(\langle John, Mary \rangle\) and \(\langle Bill, Suzy \rangle\) are the only pairs of individuals consisting of a man and a woman such that the first loves the second.

So, in this case, the proposition expressed by the answer gives the kind of specification the question expressed by the interrogative asks for. If (60) further happens to be true at the actual index, the index assigned to the variable \(a\), then (60) is not only an answer, but also a true answer to (59).

Further examples can easily be constructed by applying the rules to the two-place terms discussed in the previous two sections.

To conclude this section, it can be observed that the rules (S:IA) and (T:IA) give a general implementation of the interpretation schema presented in figure 3 of section 2.4, which was the outcome of our informal discussion of the interpretation of interrogative-answer pairs. This means that we have accomplished one of the main tasks we set ourselves in this paper: to define syntactic and semantic rules which analyze interrogative sentences and linguistic answers in such a way, that the semantic and pragmatic theory of answerhood developed in G&S 1984 applies to them. Section 4 is devoted to a discussion of this matter.
But first, there is one more topic we want to address ourselves to, a topic that was largely neglected in this paper so far: sentential (yes/no-) interrogatives and their answers.

3.3. **Sentential interrogatives**

In previous sections, we have concentrated almost exclusively on constituent interrogatives. We have presented a uniform analysis of sentential ('long') and constituent ('short') answers to single and multiple constituent interrogatives. We argued that in order to give a correct account of the interpretation of constituent-answer pairs, the level of abstracts should be taken as a starting point. In this section we discuss the generalization of this approach to sentential interrogatives.

3.3.1. **Zero-constituent interrogatives**

Sentential interrogatives such as (63) can receive both sentential answers such as (64)(a) and (65)(a), and short answers such as those in (64)(b) and (65)(b):

(63) Will John visit the party?

(64)(a) (Yes,) John will visit the party.

(64)(b) Yes.

(65)(a) (No,) John will not visit the party.

(65)(b) No.

The short answers in (64)(b) and (65)(b) have the syntactic form of a sentence adverb. A sentence adverb is an expression of category S/S, such an adverb takes a sentence to form a new sentence. So, on the hypothesis that the derivation of sentential interrogative-answer pairs runs parallel to that of constituent ones, the input to the IA-rule forming
these pairs will have to be an S and an S/S. In fact, the IA-rule is already attuned to this. The base of the definition (AB) of the family of categories AB^n (given in section 1) is AB^0 = S. And the definition (T) of the family of categories T^n reads T^n = S/AB^n, which means that T^0 = S/S. Sentence adverbs are zero-place terms, and the abstracts underlying sentential interrogatives are full sentences.

This means that the IA-rule can be used to form sentential interrogative-answer pairs in exactly the same way as it forms constituent ones. A single rule of interrogative-answer pair formation suffices in all cases. Again, it may very well be that the syntactic operations involved are different for the sentential interrogatives and the constituent interrogative cases, which would warrant to split up the rule into several (sub) rules. But the important fact is that on the semantic side, a single interpretation schema suffices.60

3.3.2 Yes and no

Let us illustrate these remarks by giving some examples. Let the expressions yes and no of category S/S be translated as indicated in (66):

(66) yes ~ λp p(a)
    no ~ λp ¬p(a)

If we apply the syntactic function F_C^0 of rule (S:IA) to the S (= AB^0) John walks and the S/S (= T^0) yes, the resulting pair of expressions are those in (67). And if we apply F_S^0 to them, the result is the pair of expressions in (68). And, similarly, if we apply the same functions to the same sentence and no, we end up with (69) and (70):

(67) Does John walk? Yes.
(68) Does John walk? Yes, John walks.
(69) Does John walk? No.
(70) Does John walk? No, John doesn't walk.

According to the translation rule \((T:IA)\), (71) is the translation of both (67) and (68), and (72) is the translation of both (69) and (70):

\[
\begin{align*}
(71) & \; \lambda i[\text{walk}(a)(j) = \text{walk}(i)(j)] \cdot \\
& \quad \text{exh}^0(\lambda a \lambda p(a))(\lambda a \text{walk}(a)(j)) \\
(72) & \; \lambda i[\text{walk}(a)(j) = \text{walk}(i)(j)] \cdot \\
& \quad \text{exh}^0(\lambda a \lambda p(a))(\lambda a \text{walk}(a)(j))
\end{align*}
\]

Although this may not be quite evident at first sight, (71) and (72) do indeed express what we want them to express intuitively, and what is more transparently expressed by \((71')\) and \((72')\), since the former two can be reduced to the latter two:

\[
\begin{align*}
(71') & \; \lambda i[\text{walk}(a)(j) = \text{walk}(i)(j)] , \; \text{walk}(a)(j) \\
(72') & \; \lambda i[\text{walk}(a)(j) = \text{walk}(i)(j)] , \; \neg \text{walk}(a)(j)
\end{align*}
\]

The first expression in the pairs \((71')\) and \((72')\) express the question whether John walks. The second expression in \((71')\) expresses the proposition that John walks, and that in \((72')\) the proposition that John doesn't walk.

The equivalence of \((71)\) and \((71')\) hinges on the equivalence of \(\text{exh}^0(\lambda a \lambda p(a))(\lambda a \text{walk}(a)(j))\) and \(\text{walk}(a)(j)\). Using definition (53) of \(\text{exh}^n\), the former expression can be written out as:

\[
\begin{align*}
(73) & \; \text{walk}(a)(j) \land \neg \exists p(a) \land p(a) \neq \text{walk}(a)(j) \land \\
& \quad [p(a) \rightarrow \text{walk}(a)(j)]
\end{align*}
\]

That \((73)\) is equivalent with \(\text{walk}(a)(j)\) can be seen as follows. Suppose \(\text{walk}(a)(j)\) is true. Then the first conjunct of \((73)\) is true, of course, and the second conjunct is true as well:
There is indeed no proposition that is both true and has a different truth value from that of \( \text{walk}(a)(j) \), i.e. is false at the same time. And suppose that \( \text{walk}(a)(j) \) is false, then the first conjunct of (73) is false. So, (73) is false. In a quite similar way, it follows that the second elements in (72) and (72') express the same proposition.

From this, we may conclude that our rules \((S:IA)\) and \((T:IA)\) give the required results, not only when they are applied to obtain single and multiple constituent interrogatives and their answers, but also if they are used to derive and interpret sentential interrogatives and their positive and negative answers. But at the same time, it can be noticed that for the answers \textbf{Yes} and \textbf{No}. exhaustivization, which is built in in \((T:IA)\), does not play a role. The final results (71') and (72') can be obtained equally well if the interpretation of the \(T^0\)'s \textbf{yes} and \textbf{no} is immediately applied to the intension of the \(\text{AB}^0\) John walks, without first applying the semantic operation of exhaustivization to the interpretation of these zero-place terms. Applying exhaustivization does no harm either, it simply has no effect.

3.3.3. Exhaustiveness, the limit

It is not difficult to understand why exhaustivization makes no difference to \textbf{yes} and \textbf{no}. In general, exhaustivization will make no difference if it is applied to a term that already is exhaustive. This is the case if the set of sets to which the term corresponds has no two elements such that the one is smaller than the other. (This is also why repeated application of exhaustivization will never have any effect.)

What are the sets of sets to which \textbf{yes} and \textbf{no}, or \(T^0\)'s in general, correspond? A \(T^1\) corresponds to a set of \(<e,t>'s\), a set of sets of individuals. A \(T^2\) corresponds to a set of \(<e,<e,t>'s\), a set of sets of pairs of individuals. Quite similarly, a \(T^0\) corresponds to a set of \(t\)'s, i.e. a set of truth values. As it happens, \textbf{yes} corresponds to \(\{1\}\), and \textbf{no} to \(\{0\}\). If we define \(0 = \emptyset\) and \(1 = \{\emptyset\}\), \textbf{yes} corresponds to
\{\emptyset\}, and no to \{\emptyset\}. And, indeed, if we take the set of smallest elements out of either one of them, in both cases the input will be identical to the output. This is a peculiarity of the \(T^0\)'s yes and no that is not shared by all of them. A \(T^0\) might just as well correspond to the set of truth values \{0,1\}, i.e. the set of sets \{\emptyset,\{\emptyset\}\}. If we then apply exhaustivization, the output is \{\emptyset\}, and is not identical to the input. So, in principle, exhaustivization can play a role for certain \(T^0\)'s. And, in fact, it does. There are cases where the interpretation of an answer to a sentential interrogative is essentially exhaustive.

The short answer (75)(a) and the corresponding sentential answer (75)(b) to the question expressed by (74) form a typical example:

(74) Does John walk?
(75)(a) If Mary walks.
(75)(b) John walks if Mary walks.

The phrase if Mary walks can be regarded as a sentential adverb, i.e. as an S/S. It translates as indicated in (76):

(76) \(\lambda p[\text{walk}(a)(m) \rightarrow \text{p}(a)]\)

If one applies the S/S if Mary walks to the S John walks, the result is the conditional sentence (77), translating as (77'):

(77) John walks if Mary walks
(77') \text{walk}(a)(m) \rightarrow \text{walk}(a)(j)

However, it can be observed, that in the context of the interrogative (74), the answers (75)(a) and (b) do not express the proposition expressed by (77'), the translation of the indicative sentence (77) in isolation, but rather the proposition expressed by (78'), the translation of the biconditional sentence (78):
(78) John walks if and only if Mary walks
(78') \text{walk}(a)(m) \leftrightarrow \text{walk}(a)(j)

So, in the context of a sentential interrogative, an answer derived from the T\textsuperscript{0} \textit{if Mary walks}, really means \textit{only if Mary walks}. And this is indeed the exhaustive interpretation our interrogative-answer rule assigns to it.

The AB\textsuperscript{0} underlying the interrogative (74) is the sentence \textit{John walks}, translating as \text{walk}(a)(j). If we apply (T:IA) to this abstract and the translation of \textit{if Mary walks} given in (76), the resulting translation of the answers (75)(a) and (b) is (75'), which using the definition of $\text{exh}^0$ can be written out as (75''), which is equivalent to (78'):

\begin{align*}
(75') & \text{exh}^0(\lambda a \forall p[\text{walk}(a)(m) \rightarrow p(a)])(\lambda a \text{walk}(a)(j)) \\
(75'') & [\text{walk}(a)(m) \rightarrow (\text{walk}(a)(j))] \land \exists p[[\text{walk}(a)(m) \rightarrow p(a)] \land \neg p(a) \land \text{walk}(a)(j)] \\
& \text{or} [p(a) \land \neg \text{walk}(a)(j)]
\end{align*}

That (75'') and (78') are equivalent can be seen as follows:

- Suppose Mary and John both walk. Then the biconditional (78') is true. The first conjunct of (75'') is then also true, and so is the second conjunct. No proposition satisfies $[\text{walk}(a)(m) \land p(a)]$ and $[p(a) \neq \text{walk}(a)(j)]$. To satisfy the first, such a proposition would have to be true, since it is supposed that Mary walks. To satisfy the second, it would have to be false, since it is supposed that John walks. And no proposition can be true and false at the same time.

- Suppose Mary walks and John does not. Then (78') is false. And so is (75''), since then its first conjunct is false.

- Suppose John walks and Mary does not. Then (78') is false. And so is (75''), Though its first conjunct is true, its second conjunct is false. Any false proposition $p$ satisfies $[\text{walk}(a)(m) \rightarrow p(a)] \land [p(a) \neq \text{walk}(a)(j)] \land [p(a) \rightarrow \text{walk}(a)(j)]$, since it is supposed that John walks and Mary does not.

- Suppose Mary and John both do not walk. Then (78') is true. And so is (75''). Its first conjunct is true, and so is its...
second. No proposition can satisfy both \([p(a) \neq \text{walk}(a)(j)] \) and\([p(a) \rightarrow \text{walk}(a)(j)]\). To satisfy the first, it would have to be true, since it is assumed that John doesn't walk. To satisfy the second it would have to be false.

So, although they don't look like it, both (75)(a) and (b) as answers to (74) express that John walks if and only if Mary walks. And this is precisely what these answers intuitively express in that context. And this result is obtained by virtue of the fact that the translation rule exhaustifies the \(T^0 \text{ if Mary walks}\).

The way in which exhaustivization works in this case, can be explained as follows. At an index at which Mary walks, the set of sets corresponding to \(\text{if Mary walks}\) is the set \(\{\emptyset\}\), i.e. the set \(\{1\}\). At an index at which she doesn't walk, it is the set \(\{\emptyset, \{\emptyset\}\}\), i.e. \(\{0,1\}\). In the first case, exhaustivization has no effect, but in the latter case, it gives as output \(\emptyset\). So, exhaustivization has an overall effect when applied to such \(T^0\)'s.

Notice, by the way, that which set of truth values corresponds to \(\text{if Mary walks}\) (and to its exhaustivization only if \(\text{Mary walks}\)) is index dependent. It depends on the truth value of \(\text{Mary walks}\) at that index. In this respect there is an important difference between \(T^0\)'s such as \(\text{if Mary walks}\) and \(\text{yes}\) and \(\text{no}\). The latter two at each index correspond to the same set, the sets \(\{1\}\) and \(\{0\}\) respectively. At any index, the set of propositions denoted by \(\text{yes}\) are the true propositions at that index, and the set of propositions denoted by \(\text{no}\) are the false propositions at that index. But if \(\text{Mary walks}\) at an index, \(\text{only if Mary walks}\) denotes the set of true propositions at that index, and if she doesn't walk at an index it denotes the set of false propositions at that index. In section 4.5, this special semantic property of \(\text{yes}\) and \(\text{no}\) is related to their special status as standard answers.

Notice further, that a conditional sentential answer is not always interpreted as a biconditional. Consider the following example:
(79) Is it true that John walks if Mary walks?
(80) (Yes,) John walks if Mary walks.

In this case, the conditional (80) is a straightforward positive answer to the question expressed by (79), which asks whether a conditional sentence is true or not.

This may explain why conditional sentences in some situations are most naturally interpreted as biconditionals, whereas in other situations they are not. What our analysis of interrogative-answer pairs predicts is that conditionals receive their standard logical interpretation if they are put forward as answers to an (implicit or explicit) question asking for the truth value of the conditional as such, and that they are interpreted as biconditionals if they are put forward as answers to an (implicit or explicit) question asking for the truth value of their consequents.

Quite similar phenomena can be observed with respect to disjunctions. Consider the following example:

(81) Are there cookies in the box?
(82)(a) (Yes,) or chocolates.
(82)(b) (Yes,) there are cookies in the box, or chocolates.

In the context of the interrogative (81), the answers (82)(a) and (b) express an exclusive disjunction. In the context of the interrogative (83), on the other hand, (84) expresses an inclusive disjunction:

(83) Are there cookies or chocolates in the box?
(84) (Yes,) there are cookies or chocolates in the box.

These results too are predicted by our interrogative-answer rule.

To conclude this section, we have seen that exhaustivization also plays a distinctive role in the interpretation of certain answers to sentential interrogatives. In section 0, we speculated that the indicative use and interrogative use of language are mutually dependent. More specifically,
we suggested that indicatives can profitably be viewed as being used against the background of an implicitly or explicitly raised question. On that view (most) indicative use of language in fact is: providing a (partial) answer to some question. In the light of this, the results noticed above seem to provide a quite natural explanation of the fact that simple conditionals are often interpreted as biconditionals, and inclusive disjunctions as exclusive ones.

3.3.4. Qualified answers

The examples discussed in the previous sections all concern extensional sentence adverbs, i.e. adverbs that operate on the extension (truth value) of the proposition they are applied to. And exhaustivization is also an extensional semantic operation. In view of this, it is not to be expected that the results for truly intensional adverbs, such as necessarily, possibly and probably will be satisfactory as well, at least not without qualification.

As it happens, the results for necessarily and possibly are quite reasonable, if they are interpreted as purely (onto-)logical modalities, i.e. if we translate these sentence adverbs as indicated in (85):

\[(85) \text{necessarily } \sim \lambda p \forall a \rho(a)\]
\[\text{possibly } \sim \lambda p \exists a \rho(a)\]

If we form the interrogative answer pair \(<\phi?, \text{Necessarily.}>\) from the sentence \(\phi\) and the S/S necessarily by (S:IA), the translation rule (T:IA) predicts that the answer simply means that it is necessarily the case that \(\phi\). If we form the interrogative answer pair \(<\phi?, \text{Possibly.}>\) from \(\phi\) and possibly the translation rule predicts that the answer expresses that it is only possible that \(\phi\), i.e. that it is not the case that \(\phi\), but that \(\phi\) is possible.

Of course, in particular in the context of an interrogative, an (onto-)logical interpretation of these sentence
adverbs used as short answers is not very plausible. In such a context, the more likely interpretation is that of a doxastic or epistemic modality.

There is a fundamental difference in the way a logical modality functions as an answer, and the way in which a doxastic or epistemic modality does. The former were considered to be part of the answer, whereas the latter are not part of the answer, but qualifications of an answer. The following examples illustrate this:

(86) Who walks?
(87) John, I believe.
(88) Does John walk?
(89) (Yes,) I believe so.
(90) (No,) I believe not.

Clearly, the answer (87) to (86) expresses the proposition that the speaker believes that John is the one who walks, i.e. the phrase I believe qualifies the exhaustive answer John., and is not itself part of the exhaustive answer. The way to form the answer (87) is first to construct the answer John. from the abstract who walks and the term John, and next to apply the qualifier I believe to this sentential expression. Clearly, if we proceed in this way, the answer (87) will be assigned the meaning it intuitively has.

Quite the same holds for the answers (89) and (90) in the context of (88). The answer (89) expresses the proposition that the speaker believes it to be true that John walks, and (90) expresses the proposition that the speaker believes that John does not walk. In these cases too, I believe qualifies the positive or negative answer, and is not really part of it. This is perhaps even more clearly indicated in the following example:

(91) Does John walk?
(92) If Mary walks, I believe.
The answer (92) expresses that the speaker believes that John walks if and only if Mary walks. Hence, *I believe* qualifies the short and exhaustive answer *If Mary walks.*

What is said here about doxastic qualifications of short answers applies equally well to sentential ones. Compare (86), (87) with (93), (94) and (91), (92) with (95), (96):

(93) Who walks?
(94) John walks, I believe.
(95) Does John walk?
(96) John walks if Mary walks, I believe.

If the sentence adverbs *possibly, necessarily, maybe* and the like are used as doxastic or epistemic modalities, they also have to be interpreted as qualifications of exhaustive answers rather than as being part of exhaustive answers. Consider the following examples:

(97) Who walks?
    John, obviously.
    John, maybe.
    John, of course.
(98) Does John walk?
    Possibly, yes.
    May be so.
    Certainly not.

Of course, these are rather sketchy remarks, which deserve further scrutiny. Still, we believe that our conjecture that doxastic or epistemic modalities, in a wide sense of the word, should be viewed as qualifications of answers is borne out by the observations we made above. And hence, these kinds of answers in no way conflict with the exhaustive interpretation we assign to answers, as one might prima facie believe.
3.3.5. **Negative sentential interrogatives**

A last remark we want to make about sentential interrogative-answer pairs concerns 'negative' interrogatives. Consider the following example:

(99) Doesn't John walk?
(100) No.

If we would apply the interrogative-answer rule to the AB $\text{John doesn't walk}$ and the S/S $\text{no}$ to produce the pair consisting of (99) and (100), the semantic result would be that the answer $\text{No.}$ expresses the proposition that it is not the case that John doesn't walk, i.e. that John walks. This, obviously, is incorrect. All theories treating $\text{yes}$ and $\text{no}$ basically as sentence modifiers run into this problem.

One way of talking oneself out of this spot is the following. A negative interrogative such as (99) should not be constructed from the negative sentence $\text{John doesn't walk}$, but from the same AB $\text{John walks}$ as its positive counterpart, i.e. the sentence $\text{John walks}$. Then, the answer $\text{No.}$ expresses that, indeed, John doesn't walk. The negation that surfaces in the interrogative has no role in determining the semantic content of the interrogative, but only serves to indicate a doxastic attitude of the questioner. Roughly speaking, it indicates that the questioner expects a negative answer to the question whether John walks.

Let us point at three facts that may help to convince the reader that this is not an altogether implausible view on the matter.

First of all, it can be noticed that a negative interrogative cannot be replied to by a simple $\text{Yes.}$. A positive answer to a negative interrogative has to be marked in one way or another, e.g. by emphatic stress and/or do-support:
(99) Doesn’t John walk?
(101) But yes, he does!

Such a marking, and less exhuberant ones than that in (101) could suffice as well, seems to be needed to overrule the attitude the questioner gives expression to by using a negative interrogative.

Notice that the interrogative *Does John walk?* itself is unmarked for doxastic attitudes on part of the questioner, and that it is also possible to ask the same question using an interrogative with a positive marking, indicating that the questioner would have expected the answer to be a positive one. In that case, a negative answer needs to be marked:63

(102) John does walk, doesn’t he?
(103) Yes.
(104) But no, he doesn’t.

A second point we think supports our view is that besides positive and negative marking, all sorts of other markers of doxastic or other kinds of attitudes are possible in interrogatives, which are not part of the question that is being asked, but merely serve as qualifications on behalf of the questioner. Consider the following examples:

(105) Does John come, perhaps?
(106) Do you have a pen, by any chance?

Clearly, the simple positive answer *Yes,* just means that John comes, and that one has a pen. So, obviously, the expressions *perhaps* and *by any chance* are not part of the semantic content of these interrogatives, i.e. do not help to determine which questions they express. They mark an attitude, i.e. they qualify the interrogatives in much the same way as negation in a negative interrogative does.
A third phenomenon that agrees with our view is the difference between such interrogatives as (107) and (108):

(107) Are you not happy?
(108) Are you unhappy?

If we are right, (107) is an interrogative that asks whether you are happy in which the questioner has marked her expectation that a negative answer will be given. So, No. as an answer to (107) means that one is not happy, and a positive answer should be marked, as in But yes, I am., and expresses that one is happy. This seems to be in agreement with intuitions. On the other hand, positive and negative answers to (108) need not be marked at all, and can be expressed by a simple Yes. or No., where these express quite the opposite from what they (when suitably marked) express as answers to (107). If the negation in (107) would be a matter of content of the interrogative, and not, as we think it is, a matter of form, this clear distinction between (107) and (108) would be an absolute mystery.

That a negative interrogative such as (99) Doesn't John walk? should be formed from a positive John walks, does not mean that it would be impossible to form interrogatives from negative sentences such as John doesn't walk. It seems, however, that the resulting interrogative should then not have the form of (99), but rather should have the form of something like (109):

(109) Is it so/true/the case that John doesn't walk?

The answer Yes. means that John doesn't walk, the answer No. that he does.

The phenomenon of marking by negation that a negative answer is expected can be observed in this case as well. Compare (109) with (110):

(110) Isn't it so/true/the case that John doesn't walk?
Notwithstanding the fact that (110) contains one more negation than (109), both the positive answer Yes, and the negative answer No, mean quite the same in both cases. Though a positive answer to (110) needs to be marked as indicated in (111) to overrule the expectation for a negative answer that is conveyed by the outermost negation in (110):

(111) But yes, it is true.

One last remark on this issue concerns the following. It is important to notice that on our view of the semantics of interrogatives, it is not surprising at all that negation in interrogatives can be used the way it is. What makes this possible is the fact that strictly semantically speaking, there is no difference whatsoever between the question expressed by an interrogative formed from the AB John walks, and the interrogative formed from John doesn't walk. Though these abstracts have different meanings, the interrogatives formed from them express exactly the same question. In other words, in the semantics of interrogatives, negation has no role of its own to play. And precisely this opens the possibility to put negation in interrogatives to the use it is put to.

This concludes what we have to say here about the interpretation of sentential interrogative-answer pairs. It will be clear by now, that the interpretation schema in figure 3 in section 2.4 gives a completely general picture of the way in which interrogative-answer pairs can be derived and interpreted. The rules (S:IA) and (T:IA), stated in section 3.2.3, which implement this schema, have been seen to apply quite generally to single constituent interrogatives, multiple constituent interrogatives and sentential interrogatives, and their constituent and sentential answers.

This means that we have completed the first of the two tasks we set ourselves in this paper, viz. to present a semantics of characteristic interrogative-answer pairs. In the next section, we will turn to the second task, viz. to show how the theory of answerhood of G&S 1984 a applies to them.
4. Answers and answerhood

4.0. Introduction

In this section we will link the theory of answerhood developed in G&S 1984a, with the rules that generate and interpret interrogative-answer pairs, presented in section 3. The answerhood relations defined in G&S 1984a are relations between semantic objects, modeltheoretic entities. It is our objective to apply this theory to linguistic objects, to interrogative-answer pairs. Thus, we will define answerhood relations between interrogatives and linguistic answers. A relation of answerhood is not considered to be a syntactic relation, but a semantic one, one that applies to interpreted interrogative-answer pairs. Pragmatic considerations come in once we also take the information of the questioner into account.

At this point, it is important to notice that the interrogative-answer pairs that form the subject matter of this paper are of a particular kind. The constituent and sentential answers the IA-rule delivers account for the most standard ways in which questions are linguistically answered. It should be borne in mind, though, that this kind of answers has no exclusive rights. In principle, any means of expressing a proposition, more in particular any sentence, can serve to answer any question for a certain questioner in a certain situation, provided it fits her information in the proper way. For this to be the case, there need not be an inherent relation, a relation of a general semantic nature, between an interrogative and a sentence that is offered as an answer.

On the other hand, the answers in the interrogative-
answer pairs that are derived by means of the IA-rule do have such an inherent relation to the question expressed by the interrogative in the pair. The rule was designed to have this effect. Therefore, it may be expected that in this particular case, there is a non-arbitrary relationship between semantic properties of linguistic answers on the one hand, and relations of answerhood on the other. The proposition expressed by a linguistic answer is determined by the interpretation of the constituent on which it is based and on that of the abstract underlying the interrogative in the context of which it is derived.

In view of this, it may be hypothesized that certain semantic properties of the constituent are directly linked to the kind of answerhood relation that obtains between the question and the proposition. In what follows, we will see that, to a large extent, this is indeed the case. And the existence of such inherent links may be viewed as a (partial) explanation of the fact that these answers form a natural linguistic class.

The remainder of this section is organized as follows. In 4.1. we introduce various semantic notions of answerhood, which in 4.2. are related to semantic properties of constituents. In 4.3. corresponding pragmatic answerhood relations will be defined, which are again linked to corresponding pragmatic characteristics of constituents in 4.4. Throughout these sections we restrict ourselves to single constituent interrogatives, but in section 4.5. we generalize to multiple constituent interrogatives and yes/no-interrogatives.

4.1. Semantic notions of answerhood

We briefly introduce various notions of semantic answerhood in the vein of G&S 1984a. In that paper we viewed questions as partitions of the set of indices and propositions as subsets of the set of indices. In what follows we will use that settheoretical terminology again, since it facilitates exposition.
We saw in section 1 that a question is an equivalence relation on the set of indices I. To every equivalence relation on a set A, there corresponds a partition of that set, a set of non-empty, non-overlapping subsets of A, the union of which equals A. The partition of the set of indices I made by a question Q, we denote by I/Q. In some cases these partitions can be represented pictorially. Two example of such representations are given below in figure 1. A yes/no-question corresponds to a bipartition of I. Constituent questions generally correspond to partitions with (many) more elements.

\[ D = \{ \text{John,Bill} \} \]

(fig.1)

The elements of a partition are sets of indices, i.e. propositions. The propositions in the partition are the possible semantic answers to the question. This leads us to the most fundamental notion of semantic answerhood, that of a proposition being a (complete) semantic answer to a question.68

68 A proposition \( P \) is a semantic answer to a question \( Q \) iff \( P \in I/Q \)

A (complete) semantic answer is, of course, a limit of a
more general notion, that of a partial answer:

(2) \( P \) is a partial semantic answer to \( Q \) iff
\[
P \neq \emptyset \land \exists X \subseteq I/Q: P = \bigcup_{x \in X} \]

A partial semantic answer is the union of, a disjunction of, at least one, but not all semantic answers. I.e. such an answer excludes at least one, but not all semantic answers. As is to be expected, a (complete) semantic answer is also a partial one. 69

A more liberal notion of answerhood is one that covers propositions which imply an answer. Parallel to (1) and (2), two cases can be distinguished:

(3) \( P \) gives a semantic answer to \( Q \) iff
\[
P \neq \emptyset \land \exists P' \subseteq I/Q: P \subseteq P'
\]

(4) \( P \) gives a partial semantic answer to \( Q \) iff
\[
P \neq \emptyset \land \exists X \subseteq I/Q: P \subseteq \bigcup_{x \in X} \]

So, a proposition gives a (partial) answer iff it is non-contradictory and implies a (partial) semantic answer. Of course, if a proposition is a (partial) semantic answer, it gives a partial semantic answer as well. These four notions are illustrated in figure 2.
There is, in general not just one partial answer given by P to Q. (E.g. if I/Q = {A,B,C,D}, then if P \subseteq A \cup B, also P \subseteq A \cup B \cup C.) There is, however, always a smallest partial answer given by P, so we can speak of the unique partial answer given by P, meaning this smallest one. If P gives a partial semantic answer, there will be at least one semantic answer P' with which it is compatible (i.e. for which holds P \cap P' \neq \emptyset), precisely one if P gives a complete semantic answer. (And there will also be at least one semantic answer it is not compatible with.) If P gives an answer, then the unique smallest partial answer it gives is the union of, the disjunction of, the semantic answers it is compatible with.70

(5) Let P give a partial semantic answer to Q.

The partial semantic answer to Q that P gives =

\[ U \{ P' | P' \in I/Q \& P' \cap P \neq \emptyset \} \]

Clearly, if P is a (partial) semantic answer, then the
answer that $P$ gives is $P$ itself. So, if we look again at figure 2, the partial answers given by $P_1$ and $P_2$, are $P_1$ and $P_2$ themselves. If a proposition merely gives an answer, things are different. The answer $P_3$ gives is $P_1$, and the answer $P_4$ gives is $P_2$.

Of course, we also want to define the notion of a true semantic answer at a given index. Parallel to (1)-(4), four cases can be distinguished, captured by the one following definition:

(6) \( P \) is gives a true [partial] semantic answer to \( Q \) at an index \( i \) iff
(a) \( P \) is gives a (partial) semantic answer to \( Q \);
(b) the partial semantic answer to \( Q \) that \( P \) gives is true at \( i \).

Notice that if \( P \) is a true (partial) semantic answer, then \( P \) itself must be true. But if \( P \) merely gives such an answer, this need not be so. The actual index may lie inside the answer \( P \) gives, but outside \( P \) itself. (Notice that for the analogous case of being/giving a false answer, the falsity of \( P \) follows in both cases.)

These definitions concern relations between semantic objects, between questions and propositions. We tie them to linguistic objects, to interrogatives and linguistic answers, as follows:

(7) Let \( \phi \) be an \( S \)-expression, and \( \psi \) an \( S \)-expression.
Then \( \phi \) is gives a (true) (partial) semantic answer to \( \psi \) (at \( i \)) iff
\( \lambda \alpha \phi \) is gives a (true) (partial) semantic answer to \( \lambda \alpha \psi \) (at \( i \)).

Nothing could be more straightforward. A sentential expression constitutes a certain type of answer to an interrogative iff the proposition expressed by the former stands in the corresponding answerhood relation to the question expressed by the
latter. Since constituent answers derived by means of the IA-rule, like sentential answers, are sentential expressions, the definition applies equally well to both kinds of answers. And notice that it applies even more generally to any pair of expressions of the appropriate categories, not just to those interrogative-answer pairs derived by the IA-rule. Any expression that is interpreted as a proposition, may constitute a certain type of answer to an interrogative. An S-expression in an interrogative-answer pair obtained by the IA-rule, however, expresses a particular kind of proposition, since it is derived in a particular way from a constituent and the abstract underlying an interrogative. These answers are characteristic linguistic answers, they form a kind of standard way of formulating an answer. This raises the question whether, due to their special status, they also are connected with a particular kind of answerhood relation. This question is to be answered in the next section.

4.2. Answers and semantic answerhood

In principle, two factors can play a role in determining connections between properties of answers and answerhood relations: the particular kind of construction embodied in the IA-rule, and independent semantic properties of the input constituent. It is particular to the IA-rule that it delivers exhaustive propositions.

Restricting ourselves to single constituent interrogatives, a proposition expressed by an answer should be an exhaustive specification of the extension of a property, the property expressed by the abstract underlying the interrogative. So, Who walks? asks for an exhaustive specification of the individuals that walk. The construction of answers embodied in the IA-rule, more in particular the operation of exhaustivization that is part of it, explicitly takes care of the aspect of exhaustiveness.

As such, however, this does not guarantee that a linguistic
answer expresses a proposition that bears some semantic answerhood relation to the question expressed by the interrogative. An example illustrating this is where the interrogative is Who walks? and the input term is the walkers. The resulting proposition that the walkers are the ones that walk, is a tautology, and hence fits no semantic relation of answerhood to the contingent question expressed by the interrogative.

Specifying the extension of the property of walking requires that the individuals belonging to this extension are (individually or collectively) semantically identified. This implies that a term from which the answer is built up, is semantically rigid.

However, even rigidness combined with exhaustiveness is not enough. The answer John or Bill is semantically rigid (assuming that proper names are treated as rigid designators), and it is exhaustified when derived by means of the IA-rule. But the proposition it expresses in the context of Who walks? is not a complete semantic answer. It says that either John is the one who walks, or Bill is the one who walks. I.e. it is a disjunction of (two) complete semantic answers, i.e. it is a partial semantic answer. (If the fourfold partition in figure 2 is the partition corresponding to Who walks? as it was represented in figure 1, then the proposition P₂ in figure 2 is the proposition expressed by the short answer John or Bill, as it is derived by the IA-rule.) What this answer, though rigid and exhaustive, fails to do is to definitely identify the extension of the property of walking. So, definiteness is another semantic characteristic of terms that is relevant here.

These three notions of exhaustiveness, rigidness and definiteness of terms we found to be relevant here, are defined as follows:

(8) A term $a$ is exhaustive iff

$$\forall a \forall X[a'(\lambda a X) \rightarrow \exists Y[a'(\lambda a Y) \land X \neq Y \land \forall z[Y(z) \rightarrow X(z)]]]$$
(9) A term \( a \) is **rigid** iff
\[
\forall a \forall i \forall X [a'(\lambda a X) = ((\lambda a'(i))(\lambda a X))]
\]

(10) A term \( a \) is **definite** iff
\[
\forall a \exists X [a'(\lambda a X) \land \forall Y [a'(\lambda a Y) \rightarrow \forall z [X(z) \rightarrow Y(z)]]]
\]

As will be obvious from definition (8), the property of exhaustiveness is guaranteed by the semantic operation \( \text{exh} \) (see definition (36) in section 3.1.4.).

According to definition (9), a term is rigid iff it characterizes the same set of sets of individuals at each index, i.e. iff \( \lambda a \lambda X \exists P[a'(P) \land P(a) = X] \) denotes a constant function. Examples of rigid terms are proper names, given their standard Kripkean treatment; such terms as **everyone**, **someone**, **no-one**, when these are taken to express unrestricted quantification over one fixed domain; and all terms expressing restricted quantification, but where the property expressed by the common noun phrase in the term is a rigid property. Further, all extensional constructions of terms from rigid terms preserve rigidity. This holds e.g. for conjunction, disjunction, negation, and -important in this context- for exhaustivization.

The definition (10) of definiteness requires a term to characterize at each index a set of sets with a unique smallest element. Examples of definite terms are proper names; terms expressing universal quantification; and definite descriptions. Conjunction and exhaustivization again preserve definiteness, but disjunction and negation do not always. Examples of indefinite terms are disjunctions of different proper names, and terms expressing existential quantification (if it is not restricted to a property which necessarily belongs to precisely one individual).\(^{72}\)

Notice that definitions (8)-(10) apply to ordinary terms, i.e. \( T^i \)'s, only. They can be generalized to cover \( T^n \)'s uniformly in a straightforward way. In fact, for \( T^0 \)'s (sentence adverbs such as **yes**, **no** and **if Mary walks** the results are surprisingly pleasing, as we shall see in section 4.5. below. For the moment we keep restricting ourselves to single
constituent interrogatives, and hence to answers formed from T's, i.e. from ordinary terms.

The definitions (8)-(10) of the semantic characteristics of exhaustiveness, rigidness and definiteness of terms, now allow us to state some general facts about connections between these semantic properties of terms and some of the semantic notions of answerhood defined in section 4.1. If a term has certain semantic properties, and is used together with an abstract to form an interrogative-answer pair, then it is guaranteed that the question expressed by the interrogative, and the proposition expressed by the answer, stand in a certain relation of answerhood.

The first of the facts that hold here, is the following:

(11) Let β be an AB, and α a T, and let <β?,α> be an interrogative-answer pair constructed from β and α by rule (S:IA). Then the following holds:
If α is rigid and definite, and α does not express a contradiction, then α is a (complete) semantic answer to β?

In fact, something more general holds:

(12) Let β and α be as above.
If α is exhaustive, rigid and definite, and α'(λα β') is not a contradiction, then [
\[λα[α'(λα β')]\]] is a (complete) semantic answer to [
\[λαλι[β' = (λα β')(i)]\]]

That (11) is a special case of (12) rests on the fact that the translation rule (T:IA) exhaustifies the input term α.

That (12) holds is shown by the following informal reasoning. Let a term α be rigid, definite and exhaustive. Then α characterizes at each index the same set of sets (rigidness), containing exactly one element (definiteness and exhaustiveness). Call this set of individuals A. At each index, the formula α'(λα β') is true iff the denotation of
the abstract $\beta'$ equals $A$. Given that $a'(\lambda a \beta')$ is true at at least one index (non-contradictoriness), the proposition $[\lambda a[a'(\lambda a \beta')]]$ is an element of the partition on $I$ that corresponds to the question $[\lambda a[\beta' = (\lambda a \beta')(i)]]$. For, each element in this partition characterizes a set of indices at which the denotation of the abstract $\beta'$ is the same.

The converse of (12) (and of (11)) does not hold in general. Consider the following formal counterexample.

Let $\beta$ be an $AB^\uparrow$, translating as $\lambda x \ G(a)(x)$ ("Who $G$'s?"). Let $a$ be a $T^\uparrow$, translating as $\lambda P \exists y [F(a)(y) \land P(a)(y)]$ ("an $F$."). Then $a'(\lambda a \ beta') = \exists y [F(a)(y) \land G(a)(y)]$ ("An $F$ $G$'s."). Let us further make the following assumptions:

(a) $\forall i: [G](i) = \{a\} \lor [G](i) = \{b\}$
(b) $\exists i: b \in [F](i)$
(c) $\exists i3x: x \in [G](i) \land x \in [F](i)$
(d) $\exists i3j: [F](i) \neq [F](j)$
(e) $\exists i3x3y: x \neq y \land x \in [F](i) \land y \in [F](i)$

Assumption (c) guarantees that $an \ F \ G$'s is non-contradictory. Assumption (d) says that $an \ F$ is non-rigid, and assumption (e) implies that it is also neither definite, nor exhaustive. Given the nature of the abstract assumed in (a), and the relation between the predicates $G$ and $F$ assumed in (b), it holds in every model $M$ satisfying (a)-(e) that $an \ F \ G$'s is a complete semantic answer to $Who \ G$'s?, even though $an \ F$ is neither rigid, nor definite, nor exhaustive.

More concretely, suppose that $M$ is as specified below:

$D = \{a, b, c\}; I = \{i, j\}$

$[F](i) = \{a\}; [F](j) = \{a, c\}$

$[G](i) = \{a\}; [G](j) = \{b\}$

In this model, $[\lambda a[\lambda i[G(a) = G(i)]] = \{i\}, \{j\}]$, and $[\lambda a3y[F(a)(y) \land G(a)(y)]] = \{i\}$. So, indeed, the latter is a complete semantic answer to the former.

A less dramatic, but more natural counterexample to the
converse of (12) is the following interrogative-answer pair:

(13) Which prime number did John write on the blackboard?
(14) An even number.

Assuming the common noun **number** to express a rigid property, the term **an even number**, is rigid, but neither definite, nor exhaustive. Even if by applying the IA-rule to obtain the answer (14), the term is exhaustified, it still remains indefinite. But nevertheless, (14) is a complete semantic answer to (13).

Notice that (11) and (12) imply that if a term $a$ is rigid, definite and exhaustive, it cannot give rise to a proposition which merely gives a semantic answer. Suppose $a$. would give a semantic answer without being one. Then it would contain more information than a semantic answer does. This extra information would have to be contained already in the term $a$. So, $a'$ would have to be equivalent with some expression $\lambda P[y'(P) \land \phi']$, where $y'$ is the translation of some rigid, definite and exhaustive term, and $\phi'$ expresses the extra information. Disregarding exhaustiveness, a natural language example is the term John, who lives in Boston, where $\gamma$ is the term John, and $\phi$ expresses the information contained in the non-restrictive relative clause. It can be shown, however, that such a term, even if it is subjected to exhaustivization, will never be both rigid, definite and exhaustive. Notice that for $\lambda P[y'(P) \land \phi']$ to give an answer, $\phi'$ should be non-contradictory. If it is merely to give an answer, $\phi'$ should be non-tautologous as well. So, $\phi'$ should be contingent. At an index at which $\phi'$ is true, $\lambda P[y'(P) \land \phi']$ denotes the same set of properties as $a'$. And at an index at which $\phi'$ is false, the term denotes the empty set. Hence, this term cannot be definite. And since $a'$ does not denote the empty set at each index (since by hypothesis it gives rise to an answer), it is not rigid either.

However, such terms do have semantic characteristics which are related to those of rigidness and definiteness, and which guarantee that terms that have them give a semantic answer.
These properties are called 'semi-rigidity' and 'semi-definiteness', and they are defined as follows:

(15) a term \( \alpha \) is semi-rigid iff
\[
\forall a [\forall i \forall x [\alpha'(\lambda a x) = ((\lambda a a')(i))(\lambda a x)] \lor \exists X: \alpha'(\lambda a x)]
\]

(16) a term \( \alpha \) is semi-definite iff
\[
\forall a [\forall x [\alpha'(\lambda a x) \rightarrow \forall y [\alpha'(\lambda a y) \rightarrow \forall z [x(z) \rightarrow y(z)]]] \lor
\exists X: \alpha'(\lambda a x)]
\]

A term is semi-rigid iff at each index it characterizes the same set of sets, or the empty set. The latter will happen if the additional information contained in a term is false at an index. In other words, a term is semi-rigid iff at every index at which the additional information is true, it characterizes the same set of set of individuals.

Similarly, a term is semi-definite if at every index at which the additional information is true, it characterizes a set of sets with a unique smallest element. Notice that if a term is rigid, it is semi-rigid as well, and if it is definite, it is semi-definite too.

We can now state a second general fact concerning a connection between certain semantic properties of terms and a notion of answerhood.

(17) Let \( \beta \) be an \( \text{AB} \), and \( \alpha \) a \( \text{T} \). Then the following holds:
If \( \alpha \) is semi-rigid, semi-definite and exhaustive, and \( \alpha'(\lambda a \beta') \) is not a contradiction, then \([\lambda a [\alpha'(\lambda a \beta')]]\)
gives a (complete) semantic answer to \([\lambda a i [\beta' = (\lambda a \beta')(i)]]\)

As we saw above, characteristic examples of terms with these properties are terms with non-restrictive relative clauses. Answers to interrogatives which are constructed from such terms by means of the IA-rule, indeed give a semantic answer. Consider the following example:
(18) Who kissed Mary?
(19) John, who really loves her.

According to the translation rule \(T:IA\), (19), in the context of (18), means the same as (20):

(20) John is the one who kissed Mary, and John really loves Mary

And (20) indeed implies the semantic answer expressed by (21):

(21) John is the one who kissed Mary

This example can also be used to illustrate the point made in section 4.1 that a proposition which merely gives an answer, can give a true answer without being true itself. In our example, (20) (being what (19) expresses in the context of (18)) might be false, but at the same time it might still give the true answer (21). This happens if in fact John is the one who kissed Mary, but does not really love her.

So far, we have only stated connections between properties of terms and semantic notions of complete answerhood. But such connections also exist between semantic properties of terms and semantic notions of partial answerhood. At the beginning of this section we saw that a term like John or Bill, if interpreted exhaustively, precisely lacks the power to be a complete semantic answer because it lacks the property of definiteness. But, of course, it is a prime example of a term that gives rise to a partial semantic answer. It is the property of definiteness that distinguishes between complete and partial semantic answers.

This leads us to the formulation of the last two facts concerning the connection between semantic properties of terms and semantic notions of answerhood that we want to discuss here.
(22) Let $\beta$ be an $AB^1$, and $\alpha$ a $T^1$. Then the following holds:
If $\alpha$ is rigid and exhaustive, and $\alpha'(\lambda a \beta')$ is a contingency, then $[\lambda a[\alpha'(\lambda a \beta')]]$ is a partial semantic answer to $[\lambda a\lambda i(\beta' = (\lambda a \beta')(i))]$

(23) Let $\beta$ and $\alpha$ be as above. Then the following holds:
If $\alpha$ is semi-rigid and exhaustive, and $\alpha'(\lambda a \beta')$ is a contingency, then $[\lambda a[\alpha'(\lambda a \beta')]]$ gives a partial semantic answer to $[\lambda a\lambda i(\beta' = (\lambda a \beta')(i))]$

Requiring $\alpha'(\lambda a \beta')$ to be contingent, rather than merely non-contradictory, as in (12) and (17), is needed to ensure that the proposition indeed excludes at least one possible semantic answer, as the notions of partial semantic answerhood require. Otherwise, a term such as no-one or at least someone, which is indeed rigid, would qualify as being a partial answer to every interrogative of the form Who G's? But of course, it never is.

To summarize our findings in this section: we have seen that our four main notions of semantic answerhood are intimately related to semantic properties of terms. The semantic property of exhaustiveness is involved in all four notions of answerhood. The weakest notion of giving a partial semantic answer further requires semi-rigidity. In giving a complete semantic answer the notion of semi-definiteness comes in as well. The difference between giving an answer and being an answer lies in the difference between semi-rigidity and semi-definiteness and full rigidity and full definiteness.

Semantic notions of answerhood are interesting in their own right, but question-answering is first and foremost a matter of pragmatics. The purpose of answering a question is to fill in a gap in the information of the questioner. We therefore turn in the next two sections to pragmatics.
4.3. Pragmatic notions of answerhood

In the previous section, we have seen that there are indeed strong connections between certain semantic properties of terms and various notions of semantic answerhood. Specific kinds of linguistic answers, being of a certain form and having a certain content, derived from terms which exhibit special semantic properties, are singled out as a kind of standard answers.

On the one hand, this is quite satisfactory, because such standard answers do have a special status in natural language communication. For example, in highly institutionalized situations of question-answering, such as interrogations in the Court Room, or in quizzes, standard answers, and more in particular semantically rigid answers, are called for. Often, if a non-standard answer has been given by the interrogated person, the official interrogator will try to elicit a standard answer containing rigid designations. And he will do this even in case, from an ordinary communicative point of view, the original non-standard answer was already perfectly in order, and the elicited answer does not add anything to its communicative content. It is quite literally a formality that in such situations standard rigid answers are required. In fact, not only under such rather peculiar circumstances do standard answers have a special role, in ordinary communicative situations they are, other things being equal, preferred as well. They serve to express propositions that count as answers solely in virtue of their meaning. No other information besides linguistic knowledge is needed to get at what one is after, an answer.

On the other hand, though all this may be true, it takes little effort to observe that as often as not, answers based on semantically non-rigid terms, such as definite descriptions, are used quite successfully in question-answering. I.e. in
actual speech situations in which information is exchanged, answers based on semantically non-rigid terms can serve quite well to give the questioner a complete or a partial answer. They need not always have this effect, but they can, and, and this is important, whether they will depends on the information that is already available to the speech participants. Whether or not a certain linguistic answer serves its purpose in an actual speech situation, does not only depend on its meaning, i.e. is not only a matter of semantics, but depends also on the information already available to the questioner, i.e. is also a matter of pragmatics.

This introduces the notion of information as a pragmatic parameter in determining pragmatic notions of answerhood. If we are to lay down definitions which tell us (at least part of the story of) when a proposition is an answer to a question for a certain questioner, we are to do this relative to the information of the questioner. Such definitions of pragmatic notions of answerhood were given in G&S 1984a. We introduce quite similar notions here. These pragmatic notions are quite like their semantic counterparts, except for the fact that a new parameter is introduced, that of an information set. An information set is a non-empty set of indices, a subset of the total set of indices. It is to be thought of as a, quite simple-minded, representation of the information of the questioner.77

Just as a question Q makes a partition I/Q on the total set of indices I, it also makes a partition J/Q on a non-empty subset J of I.78 Figure 3 gives a pictorial representation of a simple example.
In the situation depicted in figure 3, one of the possible semantic answers is already excluded by the information of the questioner. But Q is still the question in the information set J. Several answers are still possible as far as this information goes, J/Q has still several elements. So, we define:

\[(24) \text{Q is a question in an information set J iff}\]
\[\exists x \exists y: x, y \in J/Q \land x \neq y\]

A question Q will be answered, i.e. is solved, in the information if no such alternatives exist any more, i.e. if J/Q has only one element, being J itself.

We are now ready to state the pragmatic counterparts of the semantic notions of a proposition being or giving a complete or a partial answer to a question. These pragmatic relations of answerhood, again, are relations between semantic, model-theoretic entities, viz. propositions, questions and information sets. In terms of them we will again define the corresponding relations between linguistic entities, viz. interrogatives and linguistic answers. In section 4.4 we will examine whether in these cases too there are connections between properties of terms and these pragmatic notions.
of answerhood.

First we define the notion of a (complete) pragmatic answer:

(25) Let Q be a question in J.
P is a pragmatic answer to Q in J iff $P \cap J \in J/Q$

The upshot of this definition is that P is a (complete) pragmatic answer to Q in J, if adding P to the information set J (i.e. taking the intersection of P and J) results in an information set in which the question Q is solved.\(^7^9\)

The notion of a partial pragmatic answer is defined as follows:

(26) Let Q be a question in J.
P is a partial pragmatic answer to Q in J iff 
$P \cap J \neq \emptyset$ \& \exists X \in J/Q: $P \cap J \subseteq X$

According to this definition, P is a partial pragmatic answer if adding it to the information set J (provided that it is compatible with J in the first place) excludes at least one answer which hitherto was admitted.

The two corresponding notions of giving a complete or a partial answer are captured by (27) and (28):

(27) Let Q be a question in J.
P gives a pragmatic answer to Q in J iff 
$P \cap J \neq \emptyset$ \& \exists P' \in J/Q: $P \subseteq P'$

(28) Let Q be a question in J.
P gives a partial pragmatic answer to Q in J iff 
$P \cap J \neq \emptyset$ \& \exists X \in J/Q: $P \subseteq X$

Analogous to the semantic counterparts, a proposition gives a complete or a partial pragmatic answer if it pragmatically implies (i.e. implies in conjunction with the information J) a complete or a partial pragmatic answer (all this, again, provided that the proposition is compatible with J to begin with).
Each of the four representations in figure 4 below illustrates one of the pragmatic notions of answerhood defined above:

<table>
<thead>
<tr>
<th>pragmatic</th>
<th>complete</th>
<th>partial</th>
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<tbody>
<tr>
<td>is</td>
<td>$P_1$</td>
<td>$P_2$</td>
</tr>
<tr>
<td>gives</td>
<td>$P_3$</td>
<td>$P_4$</td>
</tr>
</tbody>
</table>

(fig.4)

The four notions of being or giving a complete or a partial pragmatic answer not only run quite parallel to the corresponding semantic notions, the semantic notions are even a
limit of the pragmatic ones. For \( J = I \), the two sets of definitions coincide. An information set being equal to the total set of indices represents the situation in which one has no factual information at all. To such a tabula rasa, only standard semantic answers can answer questions.

The dependencies that were observed to hold between the different semantic notions of answerhood in section 4.1, hold equally well between their pragmatic counterparts. To be an answer implies to give one, and to be or to give a complete answer implies to be or to give a partial one. Further it holds that if \( J' \subseteq J \) and \( P \) stands in a certain type of pragmatic answerhood relation to \( Q \) in \( J \), than \( P \) stands in that same type of relation to \( Q \) in \( J' \), provided that \( Q \) is a question in \( J' \) as well and that \( P \) is compatible with \( J \). In view of the fact just noted, that semantic answerhood is a limit of pragmatic answerhood, this means that if \( P \) bears a certain semantic answerhood relation to \( Q \), it bears the corresponding pragmatic answerhood relation to \( Q \) in any information set, under the same provisos as made above. If \( J' \subseteq J \), and \( P \) stands in a certain answerhood relation to \( Q \) in \( J \), it may stand in a 'stronger' relation to \( Q \) in \( J' \). If \( P \) merely gives an answer in \( J \), \( P \) may be an answer in \( J' \). And if \( P \) is or gives a merely partial answer in \( J \), it may be or may give a complete answer in \( J' \).

As was the case for semantic answerhood, we are also interested in the notion of a true pragmatic answer. We saw in section 4.1. that a proposition can merely give a true semantic answer without being true itself, whereas it has to be true itself if it is to be a true semantic answer. But false propositions can not only merely give, but can also be true pragmatic answers. And further, and this is something to be quite happy about, if not all our information happens to be true, i.e. if \( J \) (being the conjunction of all our information) is false, this does not prevent us from getting true answers either.

This being as it is, the notion of a true pragmatic answer needs to look over the borders of an information set.
If we have to decide whether $P$ gives a true pragmatic answer in $J$, we have to see whether the (partial) semantic answer determined by $P$ with respect to $J$, is true. So, we need the following pragmatic analogue of the notion defined in (5) in section 4.1. of the semantic answer given by a proposition:

(29) Let $P$ give a partial pragmatic answer to $Q$ in $J$.

The partial semantic answer to $Q$ determined by $P$ in $J = U \{P' \mid P' \in I/Q \land P' \cap J \neq \emptyset \}$

This notion can be illustrated by comparing figures 4 and 2. The (partial) semantic answers determined by the pragmatic answer $P_1-P_4$ in figure 4, are $P_1-P_4$ in figure 2 respectively. This leads us to the following definition of true pragmatic answerhood:

(30) $P$ is/gives a true (partial) pragmatic answer to $Q$ in $J$ at $i$ iff

(a) $P$ is/gives a (partial) pragmatic answer to $Q$ in $J$;

(b) the partial semantic answer to $Q$ determined by $P$ in $J$ is true at $i$

The pragmatic notions of answerhood defined in (25)-(28) and in (30) concern relations between modeltheoretic objects. In definition (31) they are applied in a definition of pragmatic answerhood as a relation between linguistic objects:

(31) Let $\phi$ be an $S$-expression, and $\psi$ an $S$-expression. Then $\phi$ is/gives a (true) (partial) pragmatic answer to $\psi$ in $J$ (at $i$) iff $[\lambda a \phi']$ is/gives a (true) (partial) pragmatic answer to $[\lambda a \psi']$ in $J$ (at $i$)

As was the case with the corresponding semantic definition (7), our pragmatic definition (31) applies to any pair consisting of an interrogative and a sentential expression. Our IA-rule forms a special subset of such interrogative-answer pairs. In the next section we will see that under
certain pragmatic conditions they guarantee that certain pragmatic relations of answerhood hold between interrogatives and answers that are derived by means of the rule.

4.4. Answers and pragmatic answerhood

We saw that the pragmatic notions of answerhood defined in the previous section run parallel to the corresponding semantic notions defined in 4.1. This suggests that we may find the same kind of connections between properties of terms and the various pragmatic notions of answerhood as we found in section 4.2 between such properties and semantic notions. Since our notions of pragmatic answerhood are pragmatic analogues of our semantic notions, the properties of terms involved can be expected to be pragmatic analogues of the semantic properties defined in section 4.2. Such notions of pragmatic exhaustiveness, pragmatic rigidness, and pragmatic definiteness are defined in (32)-(34). They differ from their semantic comrades defined in (8)-(10) only in that they are relativized to an information set J, i.e., that quantification over indices is restricted to indices in J.

(32) A term α is \textit{pragmatically exhaustive in J} iff
\[ \forall a \in J \forall x \forall y \forall z [ (\lambda a x) \rightarrow \exists y [ (\lambda a y) \land x \neq y \land \forall z [ (y z) \rightarrow x z ] ] ] \]

(33) A term α is \textit{pragmatically rigid in J} iff
\[ \forall a \in J \forall i \in J [ (\lambda a x) = ((\lambda a a') (i)) (\lambda a x) ] \]

(34) A term α is \textit{pragmatically definite in J} iff
\[ \forall a \in J \exists x [ (\lambda a x) \land \forall y [ (\lambda a y) \rightarrow \forall z [ x y ] ] ] \]

Whether or not a term has one or more of these pragmatic properties depends not only on its semantic interpretation (which is assumed to be shared by all speech participants), but also on the information one has. Notice that, J being a subset of I, it is 'easier' for a term to have one of these pragmatic properties than it is for it to have the corres-
ponding semantic property. This explains why in actual speech situations, in which a lot of information is available, it is so much easier to provide efficient and adequate answers than semantics proper suggests. And this supports the view that an interesting theory of question-answering cannot do without a semantically based pragmatics.

Completely analogous to (12) and (22), which state connections between the semantic properties of exhaustiveness, rigidness and definiteness of terms and the notions of being a complete or a partial semantic answer, we can state the following two facts:

(35) Let $\beta$ be an $AB^1$, $\alpha$ a $T^1$, and $J$ an information set. Then the following holds:

If $\alpha$ is pragmatically exhaustive, pragmatically rigid and pragmatically definite in $J$, and $[\lambda a(\alpha'(\lambda a \beta'))] \cap J \neq \emptyset$, then $[\lambda a(\alpha'(\lambda a \beta'))]$ is a (complete) pragmatic answer to $[\lambda a[\beta' = (\lambda a \beta')(i)]]$ in $J$.

(36) Let $\beta$ and $\alpha$ be as above. Then the following holds:

If $\alpha$ is pragmatically exhaustive and pragmatically rigid in $J$, and $[\lambda a(\alpha'(\lambda a \beta'))] \cap J \neq \emptyset$, and $[\lambda a(\alpha'(\lambda a \beta'))] \cap J \subseteq J$, then $[\lambda a(\alpha'(\lambda a \beta'))]$ is a partial pragmatic answer to $[\lambda a[\beta' = (\lambda a \beta')(i)]]$ in $J$.

Analogous to (17) and (23), similar facts hold concerning connections between giving a (partial) pragmatic answer and pragmatic semi-rigidness and pragmatic semi-definiteness. We will leave out the definitions of these pragmatic properties of terms and of the corresponding connections with pragmatic answerhood, since they can be obtained from their semantic counterparts in a way completely similar to those stated in (31)-(36).

Let us briefly and informally illustrate (31)-(36) by considering some examples. A first example concerns pragmatic rigidity:
(37) Whom did you talk to?
Your father.

Intuitively, the answer in (37) can hardly fail to be a complete pragmatic answer to the question expressed by the interrogative. But notice that the term your父亲 is not semantically rigid. So, the term does not give rise to a complete semantic answer. But the term is pragmatically rigid in the information set of anyone who knows who his/her father is. In the information set of any such person, the answer in (37) will be a complete pragmatic answer to the question expressed by the interrogative. Pragmatic definiteness is already secured by the semantic interpretation of the term, it is semantically definite, and hence cannot fail to be pragmatically definite as well. Pragmatic exhaustiveness is secured by the way in which (37) is constructed by the IA-rule. This guarantees semantic exhaustiveness, and hence pragmatic exhaustiveness as well.

Our second example also concerns pragmatic rigidness:

(38) Who won the Tour de France in 1980?
The one who ended second in 1979.

In the information set of anyone who has the information that Joop Zoetemelk ended second in the Tour de France of 1979, the term on which the answer in (38) is based is pragmatically rigid, and hence the answer will be a complete pragmatic answer to the interrogative for such a person. (Pragmatic exhaustiveness and definiteness are secured in the same way as in our first example.)

We saw in section 4.2. that definite descriptions are not, in general, semantically rigid. They are so only in case the common noun phrase occurring in them is semantically rigid. Pragmatic rigidness requires this property to be rigid only with respect to the information set. What this amounts to is that a definite description is pragmatically rigid for someone who has the information who the referent of the
The example (38) may also serve to illustrate what was remarked above about the notion of a true pragmatic answer. Joop Zoetemelk did indeed end second in the Tour de France of 1979, so, anyone having this information does not only receive a complete answer, but even a true complete answer to his question. Suppose, however, that our questioner mistakenly believes that Eddy Merckx ended second in 1979. Then he still receives a complete pragmatic answer, but this time the false one that Eddy Merckx won the 1980 edition. The more intriguing case is the one in which a false proposition nevertheless gives a complete true answer. Such a thing happens, for example, if our questioner wrongly believes that Joop Zoetemelk was the winner in 1979 and his interrogative is (38) is answered by (39):

(39) The one who won in 1979.

The proposition expressed by (39) in the context of the interrogative in (38), viz. that the one who won the Tour de France in 1979, won again in 1980, is false. But to our misinformed questioner it carries over the true information that Joop Zoetemelk won the Tour de France in 1980. So, a false proposition can be a true complete pragmatic answer.

Our last example illustrates pragmatic definiteness:

(40) Who served you when you bought these boots?

An elderly lady wearing glasses.

The term on which the answer in (40) is based in neither semantically rigid, nor semantically definite. Still, within the information of the salesmanager who asks this question, it is quite likely that the answer is a complete pragmatic answer. If the property of being an elderly lady wearing glasses applies to a single member of the staff, the salesmanager's information will enable her to identify the person referred to in the answer of the client. I.e. in that case,
the semantically non-rigid and non-definite term an elderly lady wearing glasses will be pragmatically definite and rigid in the information set of the salesmanager.87

The example (40) illustrates quite clearly under what kind of communicative circumstances indefinite, non-rigid terms constitute perfectly good answers. It is the kind of situation in which the speech participants have disharmonious information about a certain subject matter, but nevertheless are to achieve effective exchange of information. The salesmanager will be quite well acquainted with the members of her staff, but she probably has no idea as to who of them served the customer. The latter may at least be able to give a faint description of the person who served him. By performing the piece of question-answering recorded in (40) they achieve close informational harmony. Their linguistic cooperation leads them to coordination of information with little effort.

A last remark to be made in this section concerns the fact that the connections between pragmatic properties of terms and pragmatic relations of answerhood, like in the semantic case, run only in one direction. Such properties suffice to guarantee that such relations hold, but they are not necessary for that. For the semantic case this was shown in a rather formal way in section 4.2. But, in fact, the intuitive reason behind it is quite clear. Consider the following example:

(41) From which authors did the editors already receive their contribution to the proceedings?
I don't know, but at least they received it from Professor A.

The answer in (40) could also be formulated more shortly as in (42):

(42) At least from Prof. A.
Suppose our questioner knows that Prof. A. is bound to be the last one to send in his contribution. (The reader will have no difficulty to come up with his own natural example.) Then the explicitly non-exhaustive answer (42) will give our questioner the exhaustive, definite, and maybe even rigid answer that the editors have received the contribution of each author already. Nevertheless, the term on which (42) is based, as such, will keep lacking the relevant pragmatic properties. It is only in connection with the content of the abstract underlying the interrogative in (41), that an exhaustive answer results within the information set of our questioner. It are the particular habits of Prof. A. in sending in his contributions to proceedings that help him out in this case. For suppose, though this is unlikely, that Prof. A. is also always the first to accept an invitation to attend a conference, then the same answer (42) will be of little help to get a complete answer to the question posed by (43):

(43) From whom did the organizers already receive a letter of acceptance to attend the conference?

Going back to the semantic examples in section 4.2, we see that there exactly the same phenomenon is at work. No matter what, the term an even number is as indefinite as a term can be. It is only in the context of being a prime number, a property referred to in the interrogative Which prime number did John write on the blackboard?, that this answer results in a proposition identifying a definite number.88

So, to conclude this remark, the nature of a term on which an answer is based, may, as such, already guarantee that a certain relation of answerhood holds. But such a relation may obtain also on the basis of the interpretation of the term in the context of a certain interrogative.

These examples may suffice to show that the various pragmatic notions of answerhood do indeed give us the means to account for intuitive relations of answerhood which are
not covered by semantics. Intuitively, a definite description may be just as good an answer as, say, a proper name. Moreover, in many cases only descriptions, definite or merely indefinite, may be available. Our notions of pragmatic answerhood not only allow us to take into account such answers, they also explain under what kind of circumstances they count as answers.

At the same time, our pragmatics confirms, so to speak, our semantic analysis. For in effect, the pragmatic notions are firmly based on the semantic ones. The former are straightforward relativizations of the latter. This relativization may well be circumscribed as taking into account the fact that interrogatives are the linguistic means to get the gaps in one's information filled.

To close the circle opened at the beginning of section 4.3, one may say that the semantic notions are just special instances of the corresponding pragmatic notions. Semantic answers are the answers one is to address to a questioner who has no factual information at all. Since we know our information about the information of others to be imperfect, but do not always know where these imperfections are exactly located, the safest way to answer a question is to stay as close to semantic answers as one possibly can. This explains their role as standards of answering questions, which in certain highly institutionalized forms of question-answering are the kind of answers called for, even if from the perspective of ordinary daily communication other kinds of answers could do the job just as well.

4.5. Multiple and zero-constituent answers

In the preceding sections we have restricted ourselves to single constituent interrogatives and their answers. In this last section we will briefly indicate how what was said above can be generalized to sentential (zero-constituent) and multiple constituent interrogatives and their answers. As for
the latter, nothing really exciting can be added to what already has been said, but as to the former, we will note some rather interesting consequences.

The only point at which the restriction to single constituent interrogatives played a role in the preceding sections was in establishing connections between properties of terms and relations of answerhood. We defined the notions of semantic and pragmatic exhaustiveness, rigidity and definiteness for ordinary terms, \( T' \)'s, only. Their generalizations to \( T^n \)'s are straightforward, we will only given them here for semantic exhaustiveness, rigidity and definiteness.

\[(44)\] A \( T^n \) \( a \) is exhaustive iff
\[
\forall \alpha \exists R^n[\alpha'(\lambda a R^n) \land R^n \neq S^n \land \\
\forall x_1 \ldots x_n[S^n(x_1 \ldots x_n) \rightarrow R^n(x_1 \ldots x_n)]]
\]

\[(45)\] A \( T^n \) \( a \) is rigid iff
\[
\forall \alpha \forall \forall R^n[\alpha'(\lambda a R^n) = (\langle \lambda a a' \rangle(\langle \rangle))(\lambda a R^n)]
\]

\[(46)\] A \( T^n \) \( a \) is definite iff
\[
\forall \exists R^n[\alpha'(\lambda a R^n) \land \forall S^n[\alpha'(\lambda a S^n) \rightarrow \\
\forall x_1 \ldots x_n[R^n(x_1 \ldots x_n) \rightarrow S^n(x_1 \ldots x_n)]]]
\]

For \( n > 1 \), an explanation of these notions would add little to what already was said in section 4.2. with respect to (8)-(10), which deal with the special case in which \( n = 1 \). It may suffice to note that if a simple \( T^n \) is constructed from exhaustive, rigid or definite \( T' \)'s, it has these respective properties itself as well. As far as conjunctions and disjunctions of \( T^n \)'s are concerned, exhaustiveness and rigidity are preserved under both conjunction and disjunction, definiteness is only preserved under conjunction.

Equipped with these generalized versions of the definitions of the semantic properties of exhaustiveness, rigidity and definiteness, and given the fact that the related notions of semi-rigidity and semi-definiteness, and the corresponding pragmatic notions, can be obtained in a similar way, the statements (12), (17), (22), (23), (35) and (36) concerning
the connections between such properties of terms and the various semantic and pragmatic notions of answerhood, apply quite generally to n-constituent interrogatives and their answers. It suffices to replace the precondition in these statements 'Let $\beta$ be an $AB^1$, and $\alpha$ a $T^1$', by the more general precondition 'Let $\beta$ be an $AB^n$, and $\alpha$ a $T^n$'.

A final point that deserves some discussion, is what happens in the special case where $n = 0$, i.e. the case in which the general notions apply to sentential interrogatives and their answers.

First of all, notice that the $T^0$'s *yes* and *no*, as they were defined in (66) in section 3.3.2, are exhaustive, rigid and definite according to (44)-(46). To see this, notice that in case $n = 0$ the variables $R^n$ and $S^n$ quantified over in (44)-(46) are variables of type $t$, i.e. variables which range over $\{1,0\}$, i.e. over the True and the False. When applied to *yes*, (44) amounts to stating the tautology that there is only one True, and when applied to *no*, it says that there is only one False. What (45) expresses in these two cases is that the True is the True, and that the False is the False, respectively. And (46) comes down to the statement that the True and the False exist.

What this means is that when applied to *yes* and *no* and a sentential (yes/no-)interrogative, (12) states that the sentential answers Yes and No cannot fail to be complete semantic answer to the question expressed by that interrogative, which to us does not seem to be altogether unlikely.

Secondly, if one applies (44)-(46) to a $T^0$ of the form if $\phi$, it can be observed that, no matter what sentence we fill in for $\phi$, the phrase will be definite. But it will not always be rigid. It will be rigid iff $\phi$ is rigid, i.e. iff $\phi$ is a tautology or a contradiction. If $\phi$ is a contingency, it is not. Speaking in pragmatic terms, this means that if $\phi$ will be pragmatically rigid iff either the proposition that $\phi$, or the proposition that not-$\phi$ belongs to the information set, i.e. iff $\phi$ is true throughout $J$, or false throughout $J$. The property of exhaustiveness will in any case be
taken care of by applying the IA-rule.

To see what all this means, consider the following examples:

(47) Will you come to the party?
(48) If 2 + 2 = 4.
(49) If 2 + 2 = 5.
(50) If Mary comes.

In view of the fact that if 2 + 2 = 4 is exhaustive, rigid and definite, as we have just seen, our statement (12) predicts that (48) is a complete semantic answer to (47) (or to any other sentential interrogative). It simply means the same as Yes. In view of the fact that if 2 + 2 = 5 is rigid and definite, and will be exhaustified by the application of the IA-rule (the phrase in the previous example was already exhaustive in its own right), (12) predicts that (49) is a complete semantic answer as well, and simply means No.

Since Mary comes is a contingency, there is no guarantee that (50) will be a semantic answer. But it may very well be a complete pragmatic answer. It is so, both in case the questioner has the information that Mary comes, and in case he has the information that Mary does not come. In the first case, the phrase if Mary comes is pragmatically exhaustive, rigid and definite. In the second case it is pragmatically rigid and definite as well, and is exhaustified by means of the IA-rule. So, in both cases (50) will be a complete pragmatic answer, as (35) predicts. Thus, by the aid of the information one has about whether Mary comes or not, (50) may constitute a positive, or a negative complete pragmatic answer to the question raised by (47).

To us, it seems that these results are the ones one would like to get. The predictions seem to be in accordance with our semantic and pragmatic intuitions. These examples, by the way, also strongly support the correctness of incorporating exhaustivization in the IA-rule which produces and interprets
characteristic interrogative-answer pairs. There is no doubt, we think, that (49) means No, quite as clearly as (48) means Yes, and that, pragmatically speaking, (50) means No, for someone who has the information that Mary does not come, with quite the same force as it means Yes, for someone who has the opposite information that she does come. But only in the yes-cases are the answers exhaustive in their own right. In the no-cases, exhaustiveness really needs to be imparted on the answers from the outside, if we are to obtain these, we feel pleasing, results. And the IA-rule neatly takes care of this.
5. **Exhaustiveness and pragmatics**

There is one point which we carefully avoided mentioning up to now, a point which we suspect must have crossed the mind of many a reader. One may have granted us that constituent interrogatives ask for an exhaustive specification of the extension of a property or relation. Consequently, one may have agreed that characteristic linguistic answers should receive an exhaustive interpretation, an interpretation which as such, i.e. in isolation, they do not have. Suppose we have reached this much, in other words, suppose we have convinced the reader that the propositions which our analysis connects with characteristic answers, are indeed the propositions they convey. That would be wonderful. But even if so, one might have fundamental doubts about the way in which our analysis leads to these results. In this analysis, exhaustiveness is a semantic property of characteristic answers, exhaustivization comes in as a semantic operation on the constituent(s) from which a linguistic answer is derived. Why, one may ask, isn't exhaustiveness simply obtained as a conversational implicature? If anything is a good candidate for implicature-hood, exhaustiveness of answers is, or so it seems. We quite agree. If an interrogative asks for an exhaustive specification, anything put forward as an answer will quite naturally be interpreted as such, provided that it is not made quite explicit that this conclusion should not be drawn. Exhaustiveness of answers prima facie seems to be a prime example of a conversational implicature that should be explicitly cancelled to prevent it from being drawn as a justified pragmatic conclusion.  

We are inclined to prefer such a pragmatic strategy over
the semantic one explored in this paper. Why then didn't we take this grand route over the summits of Gricean reasoning, where the air is thin, but the view so much clearer? The reason is that we do not see a pass that leads into this promised land. The informal Gricean reasoning sounds quite appealing. The problem is to make it work, i.e. to base it on an adequate and precise formulation of the Gricean Maxims.

If the exhaustiveness of an answer is a conversational implicature, it has to be a logical consequence of the assumption that it is a correct answer. To get this pragmatic strategy to work, what is called for is a formal statement of the requirements inherent in the Gricean Maxims. If on the basis of such a formulation exhaustiveness could be shown to be formally derivable as a pragmatic consequence, we would be quite content to barter our semantic approach for it.

In G&S 1984a we did propose a formulation of the Maxims of Relation, Quantity and Quality, which is applicable to questions and answers. As we will indicate below, this formulation of the maxims will not do for the purpose of characterizing exhaustiveness as an implicature. Of course, this does not prove much. Instead of interpreting this result as providing further support to the semantic account of exhaustiveness proposed in this paper, one might just as well take it to constitute conclusive evidence against our formulation of the maxims. To be sure, if one really insists, it will always be possible simply to write an exhaustiveness claim explicitly into a formulation of the maxims that applies specifically to answers. But that is not what one wants. If the game of pragmatics is played fair, such a phenomenon as the exhaustiveness of answers should follow from a general formulation of the maxims that applies to all assertions, and not just to the specific assertions that characteristic answers are. For therein lies the explanatory power of the Gricean framework, in that it embodies general principles underlying all co-operative linguistic behaviour.

Of course, judging whether the game of pragmatics is
played according to the unwritten rules, will always remain a delicate matter. The only legitimate move we can make at this moment is to show that indeed our formulation of the maxims does not enable one to give a pragmatic account of exhaustiveness, and to indicate why it is we think that it will be hard to improve upon it without foul play.

In G&S 1984a we formulated the Maxim of Relation more or less as follows. An answer $a$ is relevant to a question $\beta$ asked by a questioner with information $J$ iff $a$ at least gives a partial pragmatic answer to $\beta$ in $J$. The Maxim of Quality simply requires $a$ to determine a true semantic answer to $\beta$ in $i$. Taken together, Relation and Quality require $a$ to at least give a true partial pragmatic answer to $\beta$ in $J$ in $i$. Obviously, this requirement is too weak: it can easily be met by answers that are not exhaustive. The Maxim of Quantity is, of course, the obvious candidate to rule out non-exhaustive answers. What Quantity does is making a choice between the various answers that meet the requirements set by Relation and Quality. According to Quantity, complete true pragmatic answers are preferred over partial true pragmatic answers. Moreover, Quantity prefers being an answer over merely giving one. And, finally, it prefers semantic answers over pragmatic ones. If we consider two answers $a$ and $a'$, where $a'$ is the exhaustive variant of $a$, it will be clear that, if both meet the requirements of Relation and Quality, the exhaustive $a'$ will be preferred by Quantity over the non-exhaustive $a$. So, we see that instead of providing non-exhaustive answers with an exhaustiveness implicature, Quantity rather does the opposite. It prefers exhaustive answers over non-exhaustive ones, and consequently a non-exhaustive answer will pragmatically imply the negation of exhaustiveness.\footnote{94}

And it is difficult to see how the Maxim of Quantity, whatever precise formulation one might want to give of it, could not have this effect. For Quantity asks to give as much information as possible, within the bounds set by Relation and Quality. And given the semantic fact that questions
ask for an exhaustive specification, exhaustive answers clearly comply better than non-exhaustive ones. Quantity as such then merely allows one to infer that the answerer who gives a certain specification, does not positively believe of other individuals that they have the property in question too. But this is not the same as inferring that the specification given is meant to be exhaustive, i.e. as inferring that the answerer believes of all other individuals that they do not have the property.

Yet, characteristic answers are, under normal circumstances, interpreted exhaustively. Therefore, it seems that we must conclude that exhaustivity, perhaps contrary to our expectations, is a semantic property after all.

The existence of non-exhaustive answers prompts a final remark. First of all, notice that non-exhaustiveness is the marked case: a non-exhaustive answer should be explicitly marked as such (unless the context makes it quite clear that the answer is meant to be non-exhaustive, or that a non-exhaustive answer will suffice). This means, or so it seems, that we also need a rule which does not include the semantic operation of exhaustivization. This, in a sense, makes most answers ambiguous between an exhaustive and a non-exhaustive reading. Secondly, notice that not explicitly exhaustive answers are always interpreted exhaustively. This can be explained as a matter of pragmatics. And this explanation at the same time tells us why non-exhaustive answers should be marked as such. The explanation uses, among other things, the Maxim of Manner, and runs as follows. One might take Manner to state, among other things, that one may use an ambiguous expression only if one is willing to stand for all of its readings that are relevant in the situation in which one uses it. So, if one gives an answer to a question, one allows the questioner to interpret the answer in that reading which constitutes the best answer to her question. If an expression is ambiguous between an exhaustive and a non-exhaustive reading, this means that the questioner is allowed to take it on its exhaustive reading, unless of course
the answerer has explicitly marked his answer to indicate that it should not be taken as such (or the context does so). 95

Notice that such an explanation presupposes that exhaustiveness is a semantic property. Of course, the sketchy remarks made above do not prove that exhaustiveness is a semantic, rather than a pragmatic phenomenon. They do not exclude that one day someone comes up with a perfectly general and plausible explication of the Gricean Maxims that does allow one to derive exhaustiveness pragmatically. However, for reasons indicated above, we doubt that this is possible. And even if this were to happen, we believe that the analysis presented in this paper may be worth its while, since it gives what we think is an accurate account of the outcome of this process, though maybe not of the ways that lead to it.
Appendix 1. Specificity revisited

The notions of pragmatic rigidness and definiteness, defined in (32) and (33) in section 4.4, are closely connected with the pragmatic notion of specificity, as it was discussed in G&S 1981. This notion of specificity applies to the use of terms. For example, a term like a picture can be used specifically or non-specifically by a speaker in uttering a sentence such as (1):

(1) A picture is missing from the gallery

The speaker uses the term a picture specifically in using it in the context of sentence (1) if he thereby refers to a particular object, i.e. if his information determines a unique particular object that is both a picture and is missing. The speaker uses the term non-specifically in the context of sentence (1), if his information tells him no more than that there is some picture missing, without it being determined by his information which one it is. (Or if his information even allows for the possibility that more than one picture is missing.)

The main point of G&S 1981 was that the specific/non-specific distinction is a pragmatic one, and does not correspond to a semantic ambiguity. Semantically, it was argued, sentence (1) is simply an unambiguous existentially quantified sentence. In G&S 1981, the notion of specificity was defined in terms of a formal system called epistemic pragmatics. In the present framework, the notion can be defined as follows:
Let $\alpha$ be a term, $\beta$ an intransitive verbphrase, and $\alpha \beta$ the sentence formed from them; and let $J$ be an information set.

$\alpha$ is used specifically in the context of $\alpha \beta$ in $J$ iff

(i) $[\lambda \alpha \alpha' (\lambda \alpha' \beta')] \subseteq J$

(ii) $\lambda \exists Q [\alpha' (Q) \land P = \lambda a \lambda x [Q(a)(x) \land \beta'(x)]]$ is definite and rigid in $J$

Clause (i) requires that the sentence formed from $\alpha$ and $\beta$ expresses a proposition that is entailed by (contained in) the information of the speaker. I.e., it is required that the speaker believes the sentence to be true, he is required to use the sentence sincerely. The second clause (ii) requires that the term $\alpha$ such that $\beta'$ is rigid and definite in the information of the speaker. Where such that $\beta'$ is the restrictive relative clause formed from $\beta$. If we apply definition (2) to our example (1) this means that a picture is used specific, if the speaker believes sentence (1) to be true, and if the term a picture such that it is missing is definite and rigid for the speaker. More formally, clause (i) requires (3), and clause (ii) requires (4) and (5):

(3) $\forall a \in J: [\text{picture}] (a) \cap [\text{missing}] (a) \neq \emptyset$

(4) $\forall a \forall i \in J: [\text{picture}] (a) \cap [\text{missing}] (a) =$

$[\text{picture}] (i) \cap [\text{missing}] (i)$

(5) $\forall a \in J: [\text{picture}] (a) \cap [\text{missing}] (a) = \emptyset$ or

$\exists d \in D, \forall a \in J: [\text{picture}] (a) \cap [\text{missing}] (a) = \{d\}$

The requirements (3), (4) and (5) boil down to (6):

(6) $\exists d \in D, \forall a \in J: [\text{picture}] (a) \cap [\text{missing}] (a) = \{d\}$

And (6) corresponds precisely to the informal characterization of the specific use of a picture in the context of sentence (1) that we started out with: according to the information $J$ of the speaker, there is a unique object $d$ such that $d$ is a picture and $d$ is missing. The speaker specifically refers to
the object \( d \) in using the term \textit{a picture} in the context of sentence (1).

If definition (2) of specificity is applied to a term like \textit{every picture}, still using \textit{is missing} as our verbphrase, it is required that indeed every picture is missing according to the information of the speaker, and that the term \textit{every picture that is missing} is definite and rigid in his information. Since this term is already semantically definite, it cannot fail to be definite in the information of the speaker. In fact, the two conditions (i) and (ii) together require that the term \textit{every picture} itself is rigid in the information. Condition (i) in this case requires (7), and (ii) requires (8):

\[
(7) \forall a \in J: \text{[picture]}(a) \subseteq \text{[missing]}(a)
\]
\[
(8) \forall a \in J: \text{[picture]}(a) \cap \text{[missing]}(a) = \\
\text{[picture]}(i) \cap \text{[missing]}(i)
\]

Because of (7), (8) can be reduced to (9):

\[
(9) \forall a \in J: \text{[picture]}(a) = \text{[picture]}(i)
\]

What (9) requires is that the set of pictures form a definite set in the information of the speaker, i.e. that his information tells him what the pictures are.

In G&S 1981, we did not give a uniform definition of specificity that applies to all kinds of terms. We stated separate definitions for different kinds of terms. The definition we gave for universally quantified terms came down to what is required by (9). The uniform definition (2) presented above, corresponds to the notion of \textit{sincere specificity} as it was defined in our earlier paper.

For definite descriptions, the distinction between specific and non-specific use corresponds, to a large extent, to Donnellan's distinction between referential and attributive use of definite descriptions. If we apply definition (2) to the term \textit{the picture in room A} and the verbphrase \textit{is missing}, specific use requires the speaker to have the information that there is (was) a unique picture in room A and that it is
missing. And further requires that the term the picture in room A that is missing is definite in his information, which it cannot fail to be since this term is already semantically definite, and that it is rigid in his information. More formally, clause (i) requires (10) to hold, and (ii) requires (11):

(10) \( \forall a \in J, \exists d \in D: [\text{picture r.A}](a) = \{d\} \land d \in [\text{missing}](a) \)

(11) \( \exists d \in D, \forall a \in J: [\text{picture r.A}](a) \cap [\text{missing}](a) = \{d\} \lor \forall a \in J: [\text{picture r.A}](a) \cap [\text{missing}](a) = \emptyset \)

Because of (10), (11) can be reduced to (12):

(12) \( \exists d \in D, \forall a \in J: [\text{picture r.A}](a) = \{d\} \)

And (12) requires that the information of the speaker tells him what the referent of the description the picture in room A is. It is required that there be a specific object \( d \) which the speaker believes to be its referent. In this case too, (10) and (12) together correspond to sincere specific use as it was defined in G&S 1981, (12) on its own corresponds to specificity simpliciter as it was defined there. So, it proves to be the case that in order to obtain a uniform definition of specific use for all kinds of terms, one should focus on sincere specificity.

The single and uniform definition presented here, is much to be preferred over the whole bunch of separate definitions for different kinds of terms, we had to use in our earlier paper to cover the notion of specificity. The present definition links the pragmatic notion of specificity to the notions of pragmatic definiteness and rigidity of terms. And the fundamental difference between the circumstances in which definite terms and indefinite terms (called 'universal' and 'non-universal' in our earlier paper) are used specifically, gets a deeper explanation. The difference is that indefinite terms in general depend for their specific use on both the information of the speaker about the denotation of the noun-phrase in the term, and the information he has about the denotation of the verbphrase. It is precisely because of the
fact that they are semantically indefinite that they need, so to speak, the context of the sentence as a whole to become specific.
Appendix 2. Answers compared, a topic in logical pragmatics

In G&S 1984a, section 7, we discussed the possibility of comparing answers in quantitative respects. We claimed that, under certain conditions, of any two propositions that give an answer to a particular question in a (certain kind of) information set, the one will be quantitatively better than the other, or either their intersection ('conjunction') or their union ('disjunction') will be a better answer than both of them. In this appendix, we intend to prove a slightly more general version of this claim. We will make use of the definitions given in section 4 of the present paper.

In definition (5) in section 4 of the notion of the partial semantic answer given by a proposition $P$ to a question $Q$, we used the auxiliary notion of the union of the possible semantic answers to $Q$ compatible with $P$. Here, we introduce the following notation for that auxiliary notion:

\begin{equation}
\text{JP}_I/Q = \bigcup \{P' | P' \in I/Q \land P' \cap P \neq \emptyset\}
\end{equation}

In view of definition (4) in section 4 of the notion of giving a partial semantic answer, the following holds:

\begin{equation}
P \text{ gives a partial semantic answer to } Q, A(P,I/Q), \text{ iff}
\end{equation}

\[|P,I/Q| \neq \emptyset \land |P,I/Q| \neq I\]

The following four facts can also be seen to hold:

\begin{align}
(3) \quad |P_1 \cap P_2,I/Q| &= |P_1,I/Q| \cap |P_2,I/Q| \\
(4) \quad |P_1 \cup P_2,I/Q| &= |P_1,I/Q| \cup |P_2,I/Q| \\
(5) \quad P_1 \subseteq P_2 \Rightarrow |P_1,I/Q| \subseteq |P_2,I/Q| \\
(6) \quad P \neq \emptyset \Rightarrow |P,I/Q| \neq \emptyset
\end{align}
From (6) it follows that if \( P_1 \cap P_2 \neq \emptyset \), then \( \mathcal{J}(P_1 \cap P_2, I/Q) \neq \emptyset \). And from \( \mathcal{J}(P_1, I/Q) \neq I \) and (3), it follows that \( \mathcal{J}(P_1 \cap P_2, I/Q) \neq I \).

This means that (2) guarantees that:

\[ (7) \text{ If } \mathcal{A}(P_1, I/Q) \cap P_1 \cap P_2 \neq \emptyset, \text{ then } \mathcal{A}(P_1 \cap P_2, I/Q) \]

Further, from (4) it follows that if \( \mathcal{J}(P_1, I/Q) = \mathcal{J}(P_2, I/Q) \), and \( \mathcal{J}(P_1, I/Q) \neq I \), then \( \emptyset \neq \mathcal{J}(P_1 \cup P_2, I/Q) \neq I \). By (2), this implies:

\[ (8) \text{ If } \mathcal{A}(P_1, I/Q) \cap \mathcal{A}(P_2, I/Q) \cap \mathcal{J}(P_1, I/Q) = \mathcal{J}(P_2, I/Q), \text{ then } \mathcal{A}(P_1 \cup P_2, I/Q) \]

Quite similar facts hold for pragmatic answerhood. First we define:

\[ (9) \mathcal{J}(P, J/Q) = \bigcup \{ P' \mid P' \in J/Q \land P' \cap P \neq \emptyset \} \]

In view of definition (27) in section 4 of the notion of giving a partial pragmatic answer in \( J \), it holds that:

\[ (10) P \text{ gives a partial pragmatic answer to } Q \text{ in } J, \mathcal{PA}(P, J/Q), \text{ iff } J \neq \mathcal{J}(P, J/Q) \neq \emptyset \]

If we substitute \( J/Q \) for \( I/Q \), and \( \mathcal{PA}(P \neq \emptyset, J \neq \emptyset) \), and make some other obvious adjustments, facts similar to (3) - (8) hold for pragmatic answerhood as well.

Let us now define some notions of quantitative comparison of semantic answers. Quantitative comparison of two propositions makes sense only if both are qualitatively in order. In semantic terms this means that both have to be true. Pragmatically, quality requires that both are believed to be true. For this to be possible they should at least be compatible with each other. We therefore restrict quantitative comparison to mutually consistent propositions.

First we define the notion of being a more informative semantic answer:
(11) Let $A(P_1, I/Q), A(P_2, I/Q)$ and $P_1 \cap P_2 \neq \emptyset$.

$P_1$ is a more informative semantic answer to $Q$ than $P_2$ iff

$|P_1, I/Q| \subset |P_2, I/Q|$

$P_1$ and $P_2$ are equally informative semantic answers to $Q$ iff

$|P_1, I/Q| = |P_2, I/Q|$

In words, one answer is more informative than another if it excludes more possible semantic answers. In view of (5), entailment is not sufficient for being more informative. It can further be noticed that propositions that give complete semantic answers are the most informative ones.

On top of (11), we define an additional comparative notion. We compare equally informative answers for their being more standard:

(12) Let $A(P_1, I/Q), A(P_2, I/Q), P_1 \cap P_2 \neq \emptyset$, and $|P_1, I/Q| = |P_2, I/Q|$. $P_1$ is a more standard answer to $Q$ than $P_2$ iff $P_1 \supset P_2$

Of two equally informative answers, the more standard one is the one that is weaker, which is the one that is closer to being a partial semantic answer, rather than merely giving one. Propositions that are partial semantic answers are the most standard ones. Whereas the notion of informativeness favours stronger propositions, up to the point where it makes no difference as to whether being stronger excludes another possible semantic answer, the notion of standardness favours weaker propositions among ones excluding the same possible semantic answers. If one proposition is less standard than another, it will contain more information that is irrelevant to the question. It is therefore considered to be quantitatively worse. It contains more than is called for. Of course, one proposition is quantitatively better than another as soon as it is more informative, i.e. if it excludes more possible semantic answers. So, both informativeness and standardness play a role in determining whether one proposition is quantitatively better than another. The way in which they cooperate in this is given in the following definition:
Let \( A(P_1, I/Q) \), \( A(P_2, I/Q) \), and \( P_1 \cap P_2 \neq \emptyset \).

\( P_1 \) is a quantitatively better semantic answer to \( Q \) than \( P_2 \) iff either (i) \( P_1 \) is a more informative semantic answer to \( Q \) than \( P_2 \) or (ii) \( P_1 \) and \( P_2 \) are equally informative semantic answers to \( Q \), and \( P_1 \) is a more standard answer to \( Q \) than \( P_2 \).

Using \( >_Q \) to abbreviate 'being a quantitatively better semantic answer to \( Q \) than', (13) can be formulated as follows:

\[
(13') P_1 >_Q P_2 \text{ iff either (i) } \sigma_{P_1, I/Q} \subseteq \sigma_{P_2, I/Q} \text{ or (ii) } \sigma_{P_1, I/Q} = \sigma_{P_2, I/Q} \land P_1 \Rightarrow P_2
\]

Propositions that are complete semantic answers are, as is to be expected, the quantitatively best answers.

Not from any two different and compatible propositions can we choose one that is quantitatively better than the other. But in some cases we can:

Let \( A(P_1, I/Q) \), \( A(P_2, I/Q) \), and \( P_1 \cap P_2 \neq \emptyset \).

If \( P_1 \subset P_2 \), then either \( P_1 >_Q P_2 \) or \( P_2 >_Q P_1 \).

The fact stated in (14) follows from (5), which says that if \( P_1 \) is stronger than \( P_2 \), then \( P_1 \) will be at least as informative as \( P_2 \). This leaves two possibilities:

(i) \( \sigma_{P_1, I/Q} \subseteq \sigma_{P_2, I/Q} \), in which case \( P_1 >_Q P_2 \);

(ii) \( \sigma_{P_1, I/Q} = \sigma_{P_2, I/Q} \), in which case \( P_2 >_Q P_2 \), since \( P_2 \Rightarrow P_1 \).

If \( P_1 \) is merely compatible with \( P_2 \), i.e. if the one does not entail the other, things are more complicated. This is the situation in which \( P_1 \cap P_2 \subset P_1 \land P_1 \cap P_2 \subset P_2 \). From (5), again, we know the following:

\[
(15) \text{ (i) } P_1 \cap P_2 \subset P_1 \Rightarrow \sigma_{P_1 \cap P_2, I/Q} \subseteq \sigma_{P_1, I/Q} \quad \text{(ii) } P_1 \cap P_2 \subset P_2 \Rightarrow \sigma_{P_1 \cap P_2, I/Q} \subseteq \sigma_{P_2, I/Q}
\]

This leaves us four possibilities in case \( P_1 \) and \( P_2 \) have a real overlap:
(16) If \( P_1 \cap P_2 \subseteq P_1 \) \& \( P_1 \cap P_2 \subseteq P_2 \), then either:

(i) \( |P_1 \cap P_2| \cap I/Q| = |P_1| \cap I/Q| \) \& \( |P_1 \cap P_2| \cap I/Q| = |P_2| \cap I/Q| \)

i.e. \( |P_1| \cap I/Q| \subseteq |P_2| \cap I/Q| \); or

(ii) \( |P_1 \cap P_2| \cap I/Q| = |P_1| \cap I/Q| \) \& \( |P_1 \cap P_2| \cap I/Q| = |P_2| \cap I/Q| \)

i.e. \( |P_2| \cap I/Q| \subseteq |P_1| \cap I/Q| \); or

(iii) \( |P_1 \cap P_2| \cap I/Q| = |P_1| \cap I/Q| \) \& \( |P_1 \cap P_2| \cap I/Q| \subseteq |P_2| \cap I/Q| \); or

(iv) \( |P_1 \cap P_2| \cap I/Q| = |P_1| \cap I/Q| \) \& \( |P_1 \cap P_2| \cap I/Q| = |P_2| \cap I/Q| \)

i.e. \( |P_1| \cap I/Q| = |P_2| \cap I/Q| \)

Only in the first two cases can we choose the quantitatively better one among \( P_1 \) and \( P_2 \). In case (i) it is \( P_1 \), in case (ii) it is \( P_2 \).

But notice that in case (iii), \( P_1 \cap P_2 \) tends to be more informative than both \( P_1 \) and \( P_2 \). On the assumption that \( A(P_1, I/Q) \) and \( A(P_2, I/Q) \), and that \( P_1 \cap P_2 \neq \emptyset \), we know from (7) that \( A(P_1 \cap P_2, I/Q) \). So, in case (iii), on these assumptions, \( P_1 \cap P_2 \) is a quantitatively better answer than both \( P_1 \) and \( P_2 \).

And in case (iv), something similar holds. On the assumption that \( A(P_1, I/Q) \) and \( A(P_2, I/Q) \), we know from (8) that it follows from (iv) that \( A(P_1 \cup P_2, I/Q) \). (iv) tells us that \( P_1 \) and \( P_2 \) are equally informative. From (4) it then follows that \( P_1 \cup P_2 \) is equally informative as well. By assumption we know that \( P_1 \cup P_2 \supseteq P_1 \) and \( P_1 \cup P_2 \supseteq P_1 \). Then definition (13) of being a quantitatively better answer tells us that \( P_1 \cup P_2 \) is a better answer than both \( P_1 \) and \( P_2 \).

In effect, this means that we have proved the following:

(17) If \( A(P_1, I/Q) \), \( A(P_2, I/Q) \), \( P_1 \cap P_2 \neq \emptyset \), and

\( P_1 \cap P_2 \subseteq P_1 \) \& \( P_1 \cap P_2 \subseteq P_2 \), then either:

(i) \( P_1 \supseteq Q \ P_2 \); or

(ii) \( P_2 \supseteq Q \ P_1 \); or

(iii) \( A(P_1 \cap P_2, I/Q) \) \& \( P_1 \cap P_2 \supseteq Q \ P_1 \) \& \( P_1 \cap P_2 \supseteq Q \ P_2 \); or

(iv) \( A(P_1 \cup P_2, I/Q) \) \& \( P_1 \cup P_2 \supseteq Q \ P_1 \) \& \( P_1 \cup P_2 \supseteq Q \ P_2 \); or

If \( P_1 \) and \( P_2 \) are compatible with each other, i.e. \( P_1 \cap P_2 \neq \emptyset \), there are three possibilities: the one may entail the other, they may have a real overlap, or they may be identical. (14) tells us that in the first case one will be quantitatively
better than the other. And (17) tells us that in the second case one will be quantitatively better than the other, or their intersection ('conjunction') or union ('disjunction') is. In the last case, the two are of course equally good from a quantitative perspective. This means that by combining (14) and (17), we arrive at the following more general fact:

\[(18) \text{ If } A(P_1 I/Q), A(P_2 I/Q) \text{ and } P_1 \cap P_2 \neq \emptyset, \text{ then either:} \]
\[
\begin{align*}
\text{(i)} & \quad P_1 > Q P_2; \text{ or } \\
\text{(ii)} & \quad P_2 > Q P_1; \text{ or } \\
\text{(iii)} & \quad A(P_1 \cap P_2, I/Q) \land P_1 \cap P_2 > Q P_1 \land P_1 \cap P_2 > Q P_2; \text{ or } \\
\text{(iv)} & \quad A(P_1 \cup P_2, I/Q) \land P_1 \cup P_2 > Q P_1 \land P_1 \cup P_2 > Q P_2; \text{ or } \\
\text{(v)} & \quad P_1 = P_2
\end{align*}
\]

In words, of any two different mutually compatible propositions that give a partial semantic answer to a certain question, either the one is a quantitatively better semantic answer to the question than the other, or either their intersection ('conjunction'), or their union ('disjunction') is a partial semantic answer to the question as well, and is quantitatively better than either one of them.

But this is only one half of the story: the semantic half. Let us now turn to pragmatic answerhood. It will need no argumentation that the pragmatic analogue of the semantic notion of being a quantitatively better answer as it was defined in (13) will play an important role in evaluating pragmatic quantity of answers. First, we define the pragmatic analogues of the notions of being semantically more informative and being semantically more standard answers:

\[(19) \text{ Let } PA(P_1, J/Q), PA(P_2, J/Q) \text{ and } P_1 \cap P_2 \neq \emptyset. \]
\[
\begin{align*}
P_1 & \text{ is a more informative pragmatic answer to } Q \text{ than } P_2 \text{ iff } \\
|P_1, J/Q| & < |P_2, J/Q| \\
P_1 & \text{ and } P_2 \text{ are equally informative pragmatic answers to } Q \text{ iff } \\
|P_1, J/Q| & = |P_2, J/Q|
\end{align*}
\]
(20) Let \( PA(P_1, J/Q) \), \( PA(P_2, J/Q) \), \( P_1 \cap P_2 \neq \emptyset \) and 
\( |P_1, J/Q| = |P_2, J/Q| \)

\( P_1 \) is a more standard pragmatic answer to \( Q \) in \( J \) than \( P_2 \) iff 
\( P_1 \cap J \supseteq P_2 \cap J \)

Notice, that quantitative comparison of pragmatic answers is restricted to propositions that are not only compatible with each other and with the information set \( J \), but are compatible within \( J \). Again, this means that we only want to take propositions into consideration that are qualitatively allright. If this is to be the case, it has to be possible to update the information with both propositions.

The two notions of being a more informative and being a more standard pragmatic answer can be combined in the following definition of being a semi quantitatively better pragmatic answer:

(21) Let \( PA(P_1, J/Q) \), \( PA(P_2, J/Q) \), and \( P_1 \cap P_2 \neq \emptyset \).

\( P_1 \) is a semi quantitatively better pragmatic answer to \( Q \) in \( J \) than \( P_2 \) iff either

(i) \( P_1 \) is a more informative pragmatic answer to \( Q \) in \( J \) than \( P_2 \);

or (ii) \( P_1 \) and \( P_2 \) are equally informative pragmatic answers to \( Q \) in \( J \), and \( P_1 \) is a more standard pragmatic answer to \( Q \) in \( J \) than \( P_2 \)

Using \( >_{Q,J} \) to abbreviate 'is a semi quantitatively better pragmatic answer to \( Q \) in \( J \)', (21) can be formulated as follows:

(21') \( P_1 >_{Q,J} P_2 \) iff either (i) \( |P_1, J/Q| \subseteq |P_2, J/Q| \)

or (ii) \( |P_1, J/Q| = |P_2, J/Q| \) and 
\( P_1 \cap J \supseteq P_2 \cap J \)

This pragmatic notion of comparison of answers completely restricts itself to a comparison within the information set \( J \), and does not look outside it. This means that if two propositions are equivalent within \( J \), i.e. if they are pragmatically
equivalent, they cannot fail to come out as being semi quantitively equally good pragmatic answers in J. This may happen even if the two propositions are semantically radically different. In a notion of full quantitative pragmatic comparison a semantic comparison will be put on top of the pragmatic comparison provided by definition (21).

But first, it can be noticed that the following fact holds, the proof of which runs completely parallel to that of (18):

(22) If PA(P_1,J/Q), PA(P_2,J/Q), and P_1 \cap P_2 \cap J \neq \emptyset, then either:

(i) P_1 > Q,J P_2;
(ii) P_2 > Q,J P_1; or
(iii) PA(P_1 \cap P_2,J/Q) & P_1 \cap P_2 > Q,J P_1 & P_1 \cap P_2 > Q,J P_2; or
(iv) PA(P_1 \cup P_2,J/Q) & P_1 \cup P_2 > Q,J P_1 & P_1 \cup P_2 > Q,J P_2; or
(v) P_1 \cap J = P_2 \cap J

In words, of any two pragmatically non-equivalent propositions which give a partial pragmatic answer to a question Q in an information set J, either the one is semi quantitatively better than the other, or either their intersection or their union gives a partial pragmatic answer, and is semi quantitatively better than each of them.

The pragmatic notion of being semi quantitatively better evaluates propositions in a smaller area than the notion of being a quantitatively better semantic answer. The effect of this is that the two comparative notions may give radically different outcomes when applied to the same two propositions, meeting the preconditions of both notions. Not only can it happen that two propositions come out as pragmatically equally good, whereas semantically the one is better than the other, it may also happen that from a semantic point of view P_1 is better than P_2, whereas from a pragmatic answer P_2 is better than P_1. This happens when P_1 and P_2 are pragmatically equally informative, but P_2 is pragmatically more standard than P_1, but where P_1 is semantically more informative than P_2.

What is quite naturally asked for from a logical pragmatic point of view is to combine the forces of the comparative notions of semantic quantity and semi pragmatic quantity into
the following full comparative notion of pragmatic quantity:

(23) Let \( PA(P_1, J/Q), \ PA(P_2, J/Q), \) and \( P_1 \cap P_2 \cap J \neq \emptyset \).

\( P_1 \) is a quantitatively better pragmatic answer to \( Q \) in \( J \) than \( P_2 \) iff either:

(i) \( P_1 \) is a semi quantitatively better pragmatic answer to \( Q \) in \( J \) than \( P_2 \)

or (ii) \( P_1 \) and \( P_2 \) are semi quantitatively equally good pragmatic answers to \( Q \) in \( J \), and

\( P_1 \) is a quantitatively better semantic answer to \( Q \) in \( J \) than \( P_2 \).

Abbreviating the notion defined in (23) as \( \succ_{Q,J} \), (23) can also be formulated as follows:

\[ (23') P_1 \succ_{Q,J} P_2 \text{ iff either:} \]

(i) either \( \lfloor P_1, J/Q \rfloor \subseteq \lfloor P_2, J/Q \rfloor \)

or \( \lfloor P_1, J/Q \rfloor = \lfloor P_2, J/Q \rfloor \) and \( P_1 \cap J \supseteq P_2 \cap J \)

or (ii) \( P_1 \cap J = P_2 \cap J \) and

either \( \lfloor P_1, I/Q \rfloor \subseteq \lfloor P_2, I/Q \rfloor \)

or \( \lfloor P_1, I/Q \rfloor = \lfloor P_2, I/Q \rfloor \) and \( P_1 \supseteq P_2 \).

We believe this double, and in fact fourfold, evaluation of the quantity of answers not only to be formally quite appealing, we also believe it to be of empirical pragmatic import. In section 7 of G&S 1984a, we gave some still rather artificial examples to support this. It is our claim that in actual question-answering, the one who answers a question will first of all try to formulate her answer in such a way that it stands the best chance to fill in the gap in the information of the questioner indicated by the question. This first aspect in itself has two sides. First of all, the more possible answers still allowed for by the information of the questioner it excludes, the better it is. And second, if two answers are equally good in this respect, the answerer will choose the one that contains less superfluous information in view of what the question asks for. She will try not to provide more information than is relevant to the question. If two answers are equally
good in these two respects, then the second aspect comes into play. If two answers are equally adequate in filling in the gap in the information of the questioner indicated by his question, the answerer chooses the one that is better from a purely semantic point of view, i.e. that is a better answer in view of its conventional meaning, shared by all speech participants. This second aspect, again, has two sides. First, if an answer is more informative to the question on the basis of its conventional meaning, it is preferred. The importance of this step will be clear from the fact that if the answerer did not take it, she would have no reason at all to choose an answer $P$ over an answer $P \lor \neg J$, i.e. the answer $P$ in 'disjunction' with the 'negation' of the information the questioner already has. Finally, if two answers remain equally good in this respect as well, the answerer chooses the one that is most relevant to the question from a purely semantic point of view. The importance of this step can be seen as follows. If the answerer did not take this step, she would have no reason to choose an answer $P$ over an answer $P \land J$, i.e. $P$ in 'conjunction' with all the information the questioner already has.

This illustrates why we believe the four-step evaluation of the quantity of answers not only to be attractive from a purely logical point of view, but also to be empirically relevant. We hasten to add that quantity is not all there is involved in evaluating answers. First of all, quality overrules quantity. It is no use to choose a quantitatively better answer if its quality is not guaranteed, i.e. if the answerer cannot stand for its truth. We cannot make our answers more informative than our own information allows for. And further, it should be remembered that if we talk about answers here, we talk about propositions, and not about linguistic objects, linguistic answers. A proposition that provides a quantitatively better answer is no good if we don't have the linguistic means to communicate it.

The aspect of quality and the possibility to phrase an answer in public language are a kind of preconditions. We only will start to evaluate answers in quantitative respects
if they meet these two conditions. Another factor, that comes in in a different way, is manner. Matters of manner can first of all help to choose between two linguistic answers that differ in form, but are semantically equivalent. E.g. if $\phi$ and $\psi$ are equivalent, $\phi \land \psi$ and $\phi \lor \psi$ will be equivalent to both of them, and to each other, as well. Then, clearly, $\phi$ and $\psi$ are better from the perspective of manner than their conjunction and disjunction. This illustrates that manner can help to choose between linguistic answers which are semantically equivalent, and hence are quantitatively equally good.

However, we tend to believe that manner may also come in in an earlier stage of evaluation. It is not unlikely that manner may interfere with quantity, i.e. that matters of manner may overrule matters of quantity. To be more specific, there are reasons to believe that in case two linguistic answers are pragmatically equivalent, and hence provide semi quantitatively equally good pragmatic answers, the one may be preferred over the other for reasons of manner, even though the other provides a quantitatively better semantic answer.

An example we have in mind is the following. Suppose the questioner asks for the identification of a certain individual. Suppose further that the answerer has two definite descriptions available that both rigidly identify one and the same individual in the information set of the questioner. These two descriptions are then pragmatically equivalent. But semantically they need not be equivalent at all. Suppose the two descriptions give rise to propositions that have a real overlap in $I$. Quantitative comparison by means of (23), will have as its outcome that identification by means of both descriptions at the same time, will provide a more informative and hence better semantic answer. In many cases, pragmatic manner, so to speak, will then overrule semantic quantity, and will tell us that it is overall more correct to simply use one of these description instead of turning the two into one more complex combination of both descriptions, precisely because this prolixity has no function in closing the gap in the information of the questioner indicated by his question.
These caveats are important in order to arrive at a realistic assessment of the empirical import of the measurement of quantity carried out by definition (23). It evaluates answers in quantitative respects under the assumption that other things are equal. But, as we have indicated, it need not be the case that other things are always equal. The following fact, which follows in a straightforward way from (22) and (18), should also be appraised bearing in mind the provisos just made:

\[(24)\] If \(PA(P_1, J/Q), PA(P_2, J/Q), \) and \(P_1 \cap P_2 \cap J \neq \emptyset\) then either:

(i) \(P_1 \Rightarrow Q, J \Rightarrow P_2;\) or
(ii) \(P_2 \Rightarrow Q, J \Rightarrow P_1;\) or
(iii) \(PA(P_1 \cap P_2, J/Q) \land P_1 \cap P_2 \Rightarrow Q, J \Rightarrow P_1;\) or
(iv) \(PA(P_1 \cup P_2, J/Q) \land P_1 \cup P_2 \Rightarrow Q, J \Rightarrow P_1;\) or
(v) \(P_1 = P_2\)

In words, of any two different propositions which are compatible with each other within \(J\) and give partial pragmatic to \(Q\) in \(J\), then either the one is a quantitatively better pragmatic answer, or the other is, or their intersection ('conjunction'), or their union ('disjunction') gives a partial pragmatic answer and is quantitatively better than both of them.

If we take a look at the different notions of answerhood defined in section 4, it can be observed that a proposition that gives a complete pragmatic answer will always be preferred over one that merely gives one. And further, a proposition that is a partial pragmatic answer is always preferred over one that merely gives one.

All we have said so far, applies equally well to notions of true answerhood. One further fact can be noticed. If we restrict ourselves to information sets that are knowledge sets, i.e. information sets \(J\) for which it holds that the actual index \(a \in J\), and if we deal with the notion of giving a true pragmatic answer in such a set, then the precondition \(P_1 \cap P_2 \cap J \neq \emptyset\), occurring in several definitions and statements can be dropped. It is already guaranteed by the fact that in
such cases $a \in P_1$, $a \in P_2$, and $a \in J$. 98

One final remark concerns the fact that most of the time we will be rather in doubt about what exactly the information of the person who asks us a question is. One could say that, in general there will be quite a number of information sets such that as far as our own information goes, each of them could be the information set of our questioner. In answering, we need to take all these possibilities into account. Roughly speaking, this means that we better take the union of all these possible information sets in order to decide what will be the best way to phrase our answer. Quite the same holds, if we are to answer a question for many different persons at the same time. In cases like these, the set of indices $J$ with respect to which we answer a question tends to grow more equal to the total set of indices $I$. The effect of this will be that better answers will tend to be standard semantic answers. This explains in a natural way, why in highly institutionalized and formal question-answering situations, such as those obtaining in the Court of Law, rigid standard semantic answers are called for. In such situations, questions are posed on behalf of the social community, and the answers, which are to be recorded, should be answers to the community as a whole, and therefore to a great variety of information sets, and not only with respect to the information of the person who is actually carrying out the interrogation.

It is our hope that the scanty remarks in this appendix may have convinced some reader that not only matters of semantics, but also matters of pragmatics, can stand formalization, and indeed, may gain from it. There is not only room for a logical semantics, but also for a logical pragmatics. Pragmatics is as much in need of the attention of logicians as semantics was.
Notes

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1. The essential mutual dependence between the interrogative use of language and the assertoric use is used in Bartsch (to appear) to describe the coherence and correctness of texts.

2. The connection between the notion of relevance, and the Maxim of Relation, and the notion of a question was made in G&S 1981. There we suggested that part of the content of the notion of relevance might be covered using the concept of a 'topic of conversation', speculating that such a topic, at least in informative conversations, can be regarded as an (implicitly or explicitly raised) question, the answer to which is what the conversation is all about, so to speak. In G&S 1984a, section 8, a more formal elaboration of what the Gricean Maxims amount to for informative question-answer dialogues is given, in which this idea is used as well.

3. For a slightly more elaborate discussion, see G&S 1984c, section 1.

4. For an extensive bibliography which runs up to 1975, see Egli & Schleichert (1976). Influential systems of erotetic logic are for example Harrah (1963), Belnap & Steel (1976), and Aqvist and Hintikka (Aqvist (1965), Hintikka (1976)). It should be noted that not all erotetic logicians have pretensions concerning natural language, their goal being "unabashedly normative", to quote Belnap describing Belnap & Steel (see Belnap (1982,165)). For a discussion of the approach of Aqvist and Hintikka, see G&S 1984c, section 4.4.

5. As for example is done in the system developed by Aqvist and Hintikka referred to in note 4.

6. A similar sentiment is expressed in Hamblin's pioneering paper on the analysis of question in Montague grammar, where he writes (Hamblin 1976,253):

"The study of questions leans out to pragmatics in the sense that someone who thinks the exclusive purpose of language is to state
truths may be led by it to think again. But it is remarkable that
it is possible to produce a semantics (or model theory) of questions,
and that it dovetails surprisingly neatly with Montague's own
semantics of statements."

7. See Tichy (1978). He starts his paper with an unequivocal
statement that runs as follows:

"It seems to be generally taken for granted that in order to be
able to deal with questions, ordinary "alethic" logic has to be
supplemented with a distinctive "erotetic" logic. The purpose of
the present article is to challenge this assumption. Its thesis
is that an adequate logical account of the assertoric mode of
speech is bound to be directly applicable to questions and equally
adequate. The need for a special logic of questions, it will be
argued, is no greater than the need for a special logic of beliefs,
for a special logic of conjectures, of wishes, prayers, prejudices,
promises, or insults."

8. A similar objection could be raised against the approach of
Hoepelman (see Hoepelman (1981)) in which a many-valued logic
is used to 'equate' declaratives and interrogatives, though
this is not to say that his analysis does not capture some
interesting phenomena.

9. Thus Tichy, for example, writes (Tichy 1978,276):

"The declarative/interrogative distinction is thus not one of logic.
[...] The difference [...] lies entirely in the pragmatic attitude
of the speaker."

This holds, according to Tichy, not only for declaratives and
the corresponding yes/no-interrogatives, but quite generally,
also for example for properties and the corresponding consti-
tuent interrogatives, as may be clear from the following
quotation (Tichy 1978,277):

"These diverse attitudes have a common object: walkerhood. To say
that Tom fears walkers and to say that Tom asks who the walkers
are, is to report two different relations as holding between the
same two relata."

As far as yes/no-interrogatives are concerned anyway, Tichy's
position bears a striking resemblance to that taken by Frege
in 'Der Gedanke', where he writes (Frege 1918,62):

"Fragesatz und Behauptungssatz enthalten denselben Gedanken; aber
der Behauptungssatz enthält noch etwas mehr, nämlich eben die
Behauptung. Auch der Fragesatz enthält etwas mehr, nämlich eine
Aufforderung."

In analyses within the framework of speech act theory, too,
a position akin to that of Tichy can be discerned. For
example, Searle analyzes yes/no-interrogatives as having the
form ?(p), and constituent interrogatives as having the form
?\(p(x)\). Here, ? stands for the illocutionary force indicating device that corresponds to questions, \(p\) is a 'complete proposition', and \(p(x)\) a propositional function. (For details see Searle (1969,31-32,66-67).) Though the respective frameworks are radically different, Searle's way of representing interrogatives clearly resembles Tichy's analysis: the semantic content of an interrogative is an 'ordinary' semantic object (a proposition, a predicate, a relation), and the difference between declaratives and interrogatives is one of illocutionary force, i.e. it is one of use, not of content. Hence the difference is not semantic, but pragmatic.

As we hope to make clear in the main text, we think that it is a mistake to think that no semantic differences exist between indicatives and interrogatives, although we certainly do not want to suggest that the semantic differences are all there is to it. There are, no doubt, all kinds of phenomena concerning indicatives and interrogatives which can not be explained in semantic terms, but which essentially depend on the differences between the characteristic use to which they are put. However, a proper semantic analysis is a prerequisite for an account of such pragmatic differences.

10. As we saw in the previous note, there is no semantic difference, according to Tichy, between (a) and (b):

(a) Bill walks
(b) Does Bill walk?

The syntactic difference between the two is an indication of a different "concern", of a different attitude of the speaker towards what in both cases is the same "topic", the same semantic content, viz. the proposition that Bill walks. The semantic identity of (a) and (b) holds also for the corresponding embedded constructions, i.e. for the corresponding that-complement and whether-complement. Consider (c) and (d):

(c) Tom asserts that Bill walks
(d) Tom asks whether Bill walks

According to Tichy there is no syntactic difference (no inversion, no question mark) since there is no need to indicate the attitude, which is here explicitly mentioned. That he considers the two complements in (c) and (d) as semantically identical, is borne out by the following quotation (Tichy, 1978,276):

"[a], [b], and the subclauses of [c] and [d] are logically indistinguishable: they have the same referent and the same logical form. The difference between [a] and [b] lies entirely in the pragmatic attitude of the speaker. And the difference between [c] and [d] boils down to the difference in meaning between the verbs "asserts" and "asks"."

That, pace Tichy, there is a semantic difference, can be argued for by means of such pairs of examples as (e) and (f), and (g) and (h):
(e) Tom tells that Bill walks
(f) Tom tells whether Bill walks
(g) Tom knows that Bill walks
(h) Tom knows whether Bill walks

Assuming that tell and know have the same meaning in (e) and (f) and (g) and (h) respectively, Tichy's thesis that the complements have the same semantic interpretation predicts that (e) and (f) and (g) and (h) respectively, also have the same interpretation. But that is simply not the case. If Bill does not walk, and Tom tells that Bill does not walk, (f) is true, but (e) is false. And if Bill does not walk, and Tom knows that Bill does not walk, (h) is true, and (g) is false.

So, it seems that there are purely semantic differences between indicatives and interrogatives, after all. (For an extensive discussion and argumentation concerning the semantics of various types of complements, see G&S 1982, section 1.) However, disagreement with Tichy's specific thesis concerning the semantics of interrogatives does not imply disagreement with his main methodological point. Although contrary to Tichy we think there are important, systematic semantic differences between indicatives and interrogatives, we do agree with him that there is no need for a special logic, or a special semantics for interrogatives. Our semantic theory should be able to cope with both.

For a general discussion of the kind of approach Tichy favours, see G&S 1984c, section 4.2.

11. See Hausser (1976, 1983). In section 4.2. of G&S 1984c Hausser's proposals are discussed as an instance of what is often referred to as the 'categorial' approach.

12. Abusing Frege's terminology, and at the same time more or less contradicting his view on the matter, one might say that questions are not complete thoughts in this sense that interrogatives do not as such contain one specific thought. The completion of a thought in the sense of the selection of one among various possible ones, is what they ask for. See also note 9, and G&S 1982.

13. As the formulation we use, reveals, the interrogatives we are dealing with here express a question that has a unique true semantic answer at an index. Not all interrogatives are of this sort, some allow for more than one true semantic answer at an index. Such interrogatives are discussed in G&S 1984b, where it is shown that they can be dealt with elegantly within our framework without affecting the semantic notion of a question as it is characterized here. Basically, we analyze such interrogatives as being connected with a set of questions. A complete answer to one of the questions in the set is considered to be a complete answer to the interrogative. With such interrogatives, the addressee may choose, so to speak, which question in the set expressed by the interrogative, he will answer. We leave these 'choice-interrogatives'
(and 'mention-some interrogatives') out of consideration in this paper. The interrogatives which are treated here are often called 'mention-all interrogatives'. We consider these mention-all interrogatives, or exhaustive interrogatives, to be the most simple and basic kind of interrogative. The basic, exhaustive nature of interrogatives is not explicitly argued for in this paper. We refer the reader to the discussion of exhaustiveness in G&S 1982, in particular sections 1.5 and 3.4.

Further it should be noted that the fact that a question has a unique true semantic answer in no way implies that there is always only one way to actually answer the question posed by an interrogative. In actual speech situations there may be many different, and sometimes equally adequate ways to answer a question. This, however, is largely a matter of pragmatics. In G&S 1984a we discussed and defined such pragmatic notions of answerhood. The semantics on which this pragmatics is based is precisely the semantics of interrogatives presented here. The pragmatic notions of answerhood will be put to use again in section 4 of this paper in characterizing different kinds of linguistic answers.

14. This two-step derivation of interrogatives distinguishes our approach from others, in particular from constituent answer based theories such as those of Tichy (Tichy 1978), Hausser (Hausser 1976, 1983) and Scha (Scha 1983). Roughly speaking the latter theories remain in their analysis at the level of abstracts.

As far as interrogatives, in distinction from wh-complements, are concerned, we would not want to claim that taking the second step, the step from abstracts to S-expressions, is absolutely essential. Still, we think it is an advantage of our approach that all interrogatives are assigned one and the same syntactic category, and hence one and the same kind of semantic object. Notice that as abstracts they belong to a whole family of different categories, and are assigned all kinds of different semantic objects.

A second attractive feature, besides uniformity, is that interrogatives are assigned a category of their own, and consequently have their own kind of semantic object. As abstracts they express properties or relations, i.e. kinds of semantic objects they have to share with verbal and nominal phrases.

These aspects of our analysis become more important, if not essential, when one deals with wh-complements. (See G&S 1982, section 1.8.) Constituent answer based theories tend to provide poor analyses of wh-complements, if they try to do so seriously at all.

On the other hand, it proves to be the case that a proper analysis of linguistic answers essentially is in need of the level of abstracts underlying interrogatives, as is argued in section 2.3. This holds for constituent answers and for sentential answers. This is true, even though a theory of semantic and pragmatic relations of answerhood can be
adequately and elegantly formulated in terms of a relation between questions, the kind of objects expressed by interrogatives on our analysis, and propositions, the kind of objects expressed by indicative sentences. For details see G&S 1984a and section 4 of this paper. It should be noted though that that theory can be reformulated in terms of relations between properties/relations and propositions.

In short, though the two-step derivation of interrogatives via abstracts may not be really necessary (the second step might be interpreted as the step that takes us from interrogatives to wh-complements), it does result in an over-all elegant approach of interrogatives, wh-complements and the relation of answerhood.

Let us, to conclude with, just note that there may be various, perhaps even rather strong arguments in favour of treating interrogatives uniformly as questions. In G&S 1982 we argued that wh-complements should be analyzed as such, noting, among other things, that wh-complements and that-complements can be co-ordinated, a fact that suggests strongly that they belong to the same category. In fact, co-ordination also occurs freely among interrogatives, without discrimination between sentential interrogatives and constituent interrogatives of various kinds (with various numbers of places). So, for interrogatives too, co-ordination provides an argument in favour of a uniform analysis. (See G&S 1984b for a statement of such co-ordination rules for interrogatives.)

Other arguments for a uniform analysis that, moreover, is systematically related to the analysis of indicative sentences, can be taken from the existence of sentences in which interrogative sentences and indicative sentences are treated on a par. One example is provided by 'conditional interrogatives' such as:

(a) If you saw John, did you talk to him?

which can be argued to consist of an indicative and an interrogative (and not of a conditional as an interrogative). Other examples are sentences like

(b) Hühner is a great chess-player all right, but can he stand the stress of the tournament?

in which an indicative is conjoined with an interrogative.

For an analysis of the latter kind of construction, see Hoepelman (1981), from which this example is taken.

15. In G&S 1982 we defined abstract categories in a slightly different fashion. There, the label AB referred to the set of categories:

(a) \( \bigcup_{n \geq 1} \mathbb{A} \mathbb{B}^n \)

\( \mathbb{A} \mathbb{B}^0 \)'s are not included in the set \( \mathbb{A} \mathbb{B} \) defined in (a). The definition used in this paper will prove to be somewhat more convenient, and moreover will lead more readily to certain generalizations.
It should be noted that, contrary to what is suggested in the text, an \( AB^n \) is a relation between individual concepts. It is solely for the sake of simplicity that here we will ignore them, and treat \( AB^n \)'s as relations between individuals.

16. In this paper we only deal with constituent interrogatives which express questions that ask for a specification of objects (things, mostly persons) of type e. Our examples will almost exclusively contain the wh-terms who and which CN. But we do claim that interrogatives containing other kinds of wh-terms, asking for specifications of objects of different types, can be handled in a similar way. As abstracts, such interrogatives simply express relations between other kinds of objects.

But, as it happens, even interrogatives containing only who or which CN as wh-terms may sometimes ask for specifications of other kinds of objects than just individuals or things. For example, in G&S 1983 it is argued that some interrogatives also have a reading in which they express a request to specify a certain Skolem-function.

A second case in point are de dicto readings of such interrogatives as:

(a) What does John seek?
(b) Whom does John worship?

The answer:

(c) A unicorn.

to (a) might be taken to express the same proposition as the answer (d) to (a), interpreted de dicto:

(d) John seeks a unicorn.

The analysis presented here does not account for such de dicto readings of interrogatives and answers. One way of doing so, a way which stays as close as possible to the way de dicto readings of indicatives are handled in Montague grammar, is to use abstraction over sets of properties of individuals besides (or instead of) abstraction over individuals. These kinds of examples are also left out of consideration in the remainder of this paper. Incorporating them, it seems, would be a basic exercise in Montague grammar, and would not affect the fundamental features of the proposal made here.

Although we do not have any definite opinions on the matter yet, we are inclined to believe that abstraction over sets of properties can also shed some light on the intricate problems surrounding the meaning of 'Who is...?'-interrogatives.

Of course, we can construct such interrogatives as (e) and (f):

(e) Who is John?
(f) Who is the president?

from abstracts over individuals, or individual concepts. For (e) this makes sense only if proper names are not considered to be rigid designators epistemically, otherwise the tautolo-
gical question results. (See also note 89.) On that analysis, (e) and (f) ask for the identification of an individual, the one bearing the name 'John', and the one that has the property of being the president, respectively. What in that case counts as an adequate answer depends largely on the context and on the information of the questioner. If the questioner can see the man in the corner, a satisfactory answer could be (g):

(g) The man standing over there in the corner.

Or, if he can remember the man we met yesterday during lunch, a good answer could be (h):

(h) The man we met yesterday during lunch.

But, as several authors have pointed out, we need not always be interested in this particular type of answer. We may not be interested in getting acquainted in this way with a certain individual, or it may be quite impossible to get acquainted with this individual in this way. Perhaps what we are interested in is to know what role John plays in a certain social context, or we might be interested in knowing some salient properties of the president. Requests for that kind of information can be made too by using the interrogatives (e) and (f), but then we need a different kind of reading for such interrogatives than the one we get abstracting over individuals. It seems not unreasonable to suppose that such a reading might be obtained by basing the interrogative on an abstract in which abstraction runs over sets of properties of individuals.

But this is certainly not the whole story. As soon as we start quantifying or abstracting over properties, functions, or sets of such entities, we run into the problem that there simply are far too many around. We met this problem e.g. in discussing functional readings of interrogatives, in G&S 1983. Szabolcsi (1984) also pays attention to it, for she meets the same problem when she applies her theory of semantic focus to other syntactic elements than terms. What seems to be needed is a formulation of some kind of semantic or pragmatic restriction on the functions, properties, etc. that are relevant in the domain of discourse. The problem of how to get such a restriction to work is a difficult one, and one that is relevant in other contexts besides question-answering as well.

The issue of 'Who is...?'-interrogatives is discussed at length in Boër & Lycan (1975) in the context of the problem what 'knowing who' amounts to. (An illuminating discussion of the theory of Boër & Lycan, and of the proposals of Aqvist, Hintikka and Kaplan can be found in Grewendorf (1983).) Boër & Lycan approach the matter by calling to help the notion of 'teleological relativity'. What knowing who amounts to, they argue, depends on the purpose of such knowledge. In terms of questions, it depends on what purpose they are to serve, what kind of specification or characterization the questioner is after, what exactly a 'Who is...?'-interrogative asks for. We share this observation (which by the way
certainly applies to other kinds of interrogatives in much the same way), but we do think the way in which Boër & Lycan try to incorporate teleological relativity in a logical framework poses a fundamental problem. Their general strategy is to build it into semantics proper. They want to assign different truth conditions to sentences of the form 'John knows who... is' relative to certain epistemic purposes. It is our feeling that in this way a largely pragmatic phenomenon is unduly brought into semantics.

But we hasten to add that certainly teleological relativity is one of those intriguing phenomena where it is hard to draw the line between semantics and pragmatics, between conventional truth-conditional aspects of meaning and interpretation and those which are conversational and non-truth-conditional. Mention-some interpretations of interrogatives are another case in point. We discuss them in G&S 1984b, also paying attention to the question where to draw the line between semantics and pragmatics. Some remarks pertaining the different interpretations of 'Who is...?'-interrogatives can be found in G&S 1982b.

17. Wh-terms, like their logical counterparts, the λ-abstractions, are best viewed as syncategorematic expressions, but they need not be viewed this way. We might also take each wh-term to belong to a whole family of categories, viz. to each member of the family of categories $AB^\lambda /AB^\lambda$. See also G&S 1982, section 3.8., where it is explained why abstracts are necessary, and what goes wrong if wh-terms are treated as ordinary terms, as they are for example in Karttunen’s analysis (see Karttunen 1977).

18. In this respect the theory outlined in this paper and others is intended to be more than a descriptively adequate theory of interrogatives and wh-complements in English. In fact, we would like to claim that some fundamental elements of the theory are 'universals' of natural language semantics. For example, we would like to claim that all natural language interrogatives can be fruitfully interpreted as partitions of the set of indices. Also, the analysis of the various relations of answerhood developed in G&S 1984a, and the systematic relationships between semantic and pragmatic properties of term phrases and such answerhood relations, we think will hold for any natural language. Other aspects of our analysis may be more language dependent. E.g. the way in which certain ambiguities manifest themselves will vary from language to language. But we would be surprised to find languages that do not have the means to express the readings in question.

19. See G&S 1982 section 2 for a concise sketch of Ty2 and a comparison with IL, the language of intensional type theory. A formal exposition and an extensive discussion of Ty2 can be found in Gallin (1975). See also Janssen (1983, chapter III). Ty2-models and IL-models contain basically the same ingredients. The important difference between the two languages is
that in $\text{Ty2}$, $s$ is a basic type. Unlike IL, $\text{Ty2}$ has constants, variables and complex expressions of type $s$. Only variables of type $s$ are being used here. The variables $a$, $i$ and $j$ are used as variables of type $s$, where $a$ is a designated variable which we assume to be assigned the actual index. The modal operators of IL correspond to universal and existential quantification over indices, the intension operator corresponds to $\lambda$-abstraction, and the application of the extension operator to a. $\text{Ty2}$ has more expressive power than IL. In section 6.2 of G&S 1982 it was claimed that this excess power is really needed to state a correct translation rule for the process of quantifying terms into wh-complements. This claim was refuted in Zimmermann (1984).

20. In the syntax of wh-complements of Karttunen (1977) and that of G&S (1982), it is the first wh-term that is introduced, that is preposed. Bennett (1977) preposes the last wh-term that is introduced. He presents some syntactic and semantic arguments. We think that there are also arguments for the first position. As far as we can judge, the matter is still open, and needs further investigation. In the present paper, more in particular in the examples we will present, we adopt Bennett's position, for reasons of convenience. It makes it possible to state the semantic import of various rules in a more straightforward and natural way.

21. Questions are concepts, functions from indices to propositions, i.e. relations between indices. This means that they are essentially intensional objects. This is an important point. We firmly believe that it is beyond the resources of extensional logic to offer an interesting theory of questions and answerhood. If one tries to give an informal characterization of the notion of a question, one finds oneself using intensional notions. A question marks uncertainty. A question exists if several alternatives, several possibilities lie open. For someone asking a question, there are several possible answers. This multitude of possibilities is precisely what triggers a question. The purpose of posing a question is to take away this multitude of possibilities. It is to take away uncertainty by eliciting an answer from our addressee, who is to point out one of the possibilities as the actuality. And this is precisely what our technical notion of a question is aimed to model. And it is precisely for this reason that it is an intensional object, a function which for different possibilities, different indices yields different answers, different propositions.

This holds in much the same way if we look at interrogatives at the level of abstracts. At that level too we have to consider them as intensional objects, as properties or relations, i.e. as functions from indices to extensions. What we want to be informed about is an extension. And we request our addressee to specify the actual extension within a multitude of different possible extensions.

From this perspective it is no coincidence that within extensional frameworks, such as standard predicate logic, an
interesting logical theory of questions and answers never got off the ground. Viewing interrogatives as open formulas, or predicates does no justice to the essentially intensional character of their meaning.

The lack of success of extensional logic in getting to grips with questions has been taken to reveal that interrogative sentences, and thereby an important part of natural language, lies outside the realm of logic altogether. Consequently, questions were declared by some to be of no logical interest whatsoever, they were declined as purely a matter of psychology, or, more fashionably, of pragmatics. And this has led some linguists and some philosophers with an interest in natural language to declare logic to be of little or no interest for the study of natural language. The domain of logic, it was held, consists of the true and the false, logic deals exclusively with the assertoric, descriptive use of language.

This ill fate of questions bears some resemblance to that of the logical modalities. And it will be clear that it is our opinion that the development of intensional logic not only has brought the study of the logical modalities back to where it belongs, but also has brought within reach the construction of an adequate theory of questions and answers. And that will lend strong support to the view that logical, modeltheoretic semantics may be developed into a general theory of meaning for natural language.

Just as it was no coincidence that extensional logic never came up with a good theory of questions, it is no coincidence either that several theories of questions have been developed after possible world semantics came into existence. It is not our intention to claim that possible world semantics answers all our questions. It does not. Our main point is simply that a theory of questions and answers needs some notion of intension. Perhaps not the technical notion of intension we know from, say, intensional type theory, but some notion. We are convinced that a purely extensional, or a purely realist semantics will never be able to deal adequately with linguistic phenomena that pertain to information and information exchange. It is a well-known fact that the standard theory of possible world semantics in this domain fails in some respects too. It can be argued to be still too much of a realist theory. Yet, we think it is beyond doubt that at the present moment the framework of possible world semantics, with all its varieties, is by far the best overall framework to deal with intensional phenomena. Both in breadth and in depth, is has no real competitors yet.

22. It is the notion of a complete semantic answer. Beside this notion, other notions of answerhood are important as well, such as that of a pragmatic answer, and that of a partial answer. See also note 13, and section 4.

23. In this respect the analysis of the notion of answerhood outlined in G&S 1984a is a general one. Since it deals with questions and answer as semantic objects, its application is
not restricted to linguistic answers. In principle it applies to all kinds of information carriers, linguistic and otherwise. As for linguistic answers, sometimes an answer may carry information that has little or nothing to do with its conventional meaning, as the following example may illustrate:

24. Not all theories conclude from this that constituent answers belong to the same syntactic category as indicatives, the category S. In Tichy (1978) and Scha (1983) the category of constituent answers seems to be identified with the category of the constituent. In Hausser (1976,1983) they are assigned to the category S, but in a way that differs from the one that is used here. Hausser turns constituents into full sentences by adding so-called 'context-variables'. The category of the context variable corresponds to the category of what in our analysis is the abstract underlying the interrogative. The contextual interpretation of constituent answers is then carried out by assigning the interpretation of this expression to the context-variable.

25. Scha (1983), Tichy (1978) and Hausser (1976,1983) all regard constituent answers as basic. Hamblin (1976) and Karttunen (1977) apparently consider sentential answers to be such. Sometimes the preference for one kind of answer over the other is reflected in the terminology that is used. Hausser for example uses the terms 'non-redundant answer' and 'redundant answer'. Belnap (1982) is neutral, noticing we need both kinds anyway.

26. This is a well-known phenomenon. Basing himself on Prior & Prior (1955), Scha (1983) traces its observation all the way back to Whately (1826). Hausser (1977) makes use of it to argue for his giving priority to constituent answers. What
seems to be original to our discussion of the phenomenon
is that we explicitly relate it to the phenomenon of exhaust-
iveness.

The message is that questions may serve to disambiguate
indicatives. For both theoretical and practical reasons it
may be important to study in detail to which extent this
is a fruitful idea. Logical semantics has it that almost
any sentence is multiply ambiguous. This is often regarded
as a serious defect. For one thing, it seems to contradict
our intuitions. By this we do not mean that logical semantics
generally assigns readings to sentences which intuitively
they do not have. What we mean is that if almost all senten-
ces are as ambiguous as is predicted, one would not expect
language to be the effective means of communication it is.
It would seem to predict that whenever a sentence is uttered
it would take a lot of time and effort to decide which reading
of the sentences one has to choose. As everyone agrees, the
context is of great help in deciding between alternative
readings. But building an explicit, full-blown theory of
context and its functions is something that has not been
achieved so far.

Our suggestion is that part of such a theory might con-
sist in working out the idea that assertive utterances are
generally implicitly or explicitly related to a question the
addressee of the assertion has. Interpreting an assertion as
a purported answer to a question may be of great help in
resolving ambiguities.

It are scope ambiguities that we are thinking of here in
the first place. In connection with this, it may be useful
to notice that the way in which we view the derivation of
answers to take place, viz. by combining an abstract and a
constituent to form a sentential expression that expresses
a proposition, is quite similar to the way in which the rules
of quantification, which take care of scope ambiguities,
operate in Montague grammar. On this view, a quantified-in
expression would correspond to a questioned element.

A general theory of ambiguity resolution along these
lines, if it could be made to work, would be useful too
in the application of logical semantics in 'natural language
engineering'.

27. An important difference between the treatment of constituent
answers in Scha (1983) and those in Tichy (1978) and Hausser
(1977,1983) is that Scha, basing himself on G&S 1982, does
account for the exhaustiveness of answers, whereas Tichy and
Hausser do not. The resulting exhaustive interpretations of
answers generated in Scha's approach and in ours are much
alike. But we feel that our way of achieving these results
is more effective and theoretically more satisfactory. We
hope to make this clear in notes 45, 55, and 59.

28. A semantic treatment of certain focus phenomena can be found
in Szabolcsi (1981,1984). Interestingly enough, in her ana-
lysis of sentences with a focussed constituent (she only
takes sentences with one focussed element into consideration)
she derives them from constituent interrogatives (analyzed more or less like our abstracts). Her analysis of sentences with a focussed constituent is quite like our analysis of answers. A focussed constituent receives an exhaustive interpretation. In her 1981 paper, Szabolcsi explicitly makes the connection between the interpretation of sentences with focussed constituents and the interpretation of answers.

29. We assume that the semantic interpretation of syntactically singular and syntactically plural interrogatives is basically the same. Thus, according to us, both the singular (27) and its plural counterpart (a):

(a) Which men walk in the garden?

ask for an exhaustive listing of men that walk in the garden. As for (27), one might feel that this interrogative presupposes that only one man walks in the garden, whereas (a) leaves this open (or presupposes that there is more than one). In G&S 1984c section 3.3 we have argued that, first of all, such presuppositions are not semantic presuppositions, but pragmatic presuppositions, which pertain to the expectations the questioner who phrases the question has regarding the answer. And secondly, we argued that the occurrence of existence- and uniqueness-presuppositions is not determined by the syntactic form of the interrogative, but is triggered by far more intricate, and highly context-dependent factors.

In view of this, we will ignore all matters concerning uniqueness- and existence-presuppositions throughout this paper. The reader who is not convinced by the argumentation and examples in G&S 1984c, is requested to substitute plural for singular interrogatives, and vice versa, wherever he or she feels this is needed to maintain consistency. If all is well, nothing that is argued for in this paper will hinge on this.

30. See section 6.3. of G&S 1982. As we did there, we suggest a pragmatic approach to the phenomenon of 'mention-some' interpretations of interrogatives. In G&S 1984b, the matter is discussed more extensively, and it is shown to what extent a semantic approach is possible within our framework. In this paper we deal exclusively with mention-all, or exhaustive answers, which we believe to be semantically more basic.

31. This fact was also observed in Zimmermann (1984), a paper which contains many interesting remarks concerning G&S 1982 besides, e.g. a detailed comparison with the theory put forward by Boër (Boër 1978).

32. We owe this example to Peter van Emde Boas, who brought it forward as an objection against the analysis of answerhood presented in G&S 1984a. We will argue in the text that it does not affect the analysis of answerhood as a semantic relation between questions and propositions. However, exam-
amples such as these do make clear that the abstracts underlying interrogatives have to play an essential role in determining the interpretation of linguistic answers. As a matter of fact, the present paper originated as a reaction to the criticisms made by van Emde Boas.

33. We will not attempt to give an exhaustive survey of all the attempts that have been made to cure standard possible world semantics from such disorders as the ascription of logical omniscience, the failure to deal with inconsistent beliefs, etc. Within possible world semantics, one might say, some of these problems have been handled adequately by some theories, but no theory has as yet dealt with them all in such a way as to gain universal acclaim. This holds for the approach that involves 'impossible worlds' (Hintikka), the one that takes propositions and the like as primitive entities (Thomason), for approaches that use structured meanings (Lewis, Cresswell), and others. Outside possible world semantics alternative approaches are beginning to emerge, of which we should mention the theory of situation semantics (Barwise & Perry) and that of datasemantics (Veltman, Landman). As we already stated earlier, we think that at present none of these alternatives, promising and exciting though they may be, has yet reached the status of a serious rival of possible world semantics and its varieties as a theory in which to study natural language semantics. (So no qualification is meant here regarding these frameworks as rival logical or philosophical theories.) In fact, we think that the resources of possible world semantics are far from exhausted and may fruitfully be explored further. Even though the fundamental limitations of a framework appear to be clear, it may be reasonable, even advisable in some cases, to develop it further. Not only may one use a tool successfully in one area, which fails in another, also doing so may gain a clearer conception of what exactly it is that is wrong, and thereby a better view of what a more satisfactory framework should be like. To give an example that relates to the subject at hand, it is known that possible world semantics as a theory of information and of the way in which information grows and alters has its limitations (see e.g. Landman (1984)). Yet, for relatively simple cases it is a clear, well-defined and adequate tool, and applying it, as we did e.g. in developing some notions of pragmatic answerhood, one may even be surprised at how far it will take one.

Let us not be misunderstood, we do not argue for rigid adherence to an established framework. But neither would we recommend setting aside a limited but useful tool in the absence of a definitely superior one. Linguistics, and certainly semantics, is not yet that much of a full grown branch of science, that we should not agree with Hugo Brandt Corstius who once remarked that "in linguistics too, one should let a thousand flowers bloom". (Though the use of just a little herbicide every now and then, may do no harm.)
34. At this point there is a difference between Scha (1983) on the one hand, and Szabolcsi (1981, 1984) and our approach on the other. We want to apply the operation of exhaustivization to constituents on their usual interpretation. Scha creates a lexical ambiguity: constituents, terms, have two basic interpretations, the ordinary one, and an exhaustive interpretation. We prefer a compositional approach to exhaustivization in which the constituents as such are not considered to be lexically ambiguous, but receive an exhaustive interpretation as a result of the application of a single semantic operation of exhaustivization to ordinary constituent interpretations.

35. The derivation of such pairs is not really an essential feature of our approach. We could just as well derive interrogatives and answers separately. But what remains true even then, is that we need an abstract underlying an interrogative. The meaning of an answer is a function of the meaning of such an abstract and of the meaning of a constituent. In a compositional framework, such as that of Montague grammar, this requires that the abstract is a derivational part of the answer. If the context provided by an interrogative determines in part the interpretation of the answer, the framework requires it to be a derivational part of it. This holds just as well for sentential answers as it does for constituent ones. There is no way, or at least we see none, to derive sentential answers as if they were isolated sentences, expressing the propositions they express outside the context of an interrogative, and combine them with the interpretation of an interrogative or abstract to arrive at the required exhaustive interpretation. (This holds also if one works with structured propositions.) The only way to do it would be to decompose the sentence again in a part that corresponds to the abstract underlying the interrogative, and a part that is a constituent that fits the constituent interrogative. From these two parts the answer can be composed by exhaustifying the constituent part and putting it together again with the abstract part. See also section 5, in which the (im)possibility of a pragmatic approach to exhaustiveness is discussed.

36. In terms of the schema of figure 3 we can make a global comparison between our approach and others. A general difference between our approach and constituent answer based theories such as those put forward in Hausser (1977, 1983), Tichy (1978) and Scha (1983) is that they all interpret the interrogative itself as an abstract. (This holds for the focus theory of Szabolcsi (1981, 1984) just as well.) Like them we use the interpretation of the abstract as a property or a relation in order to arrive at a proper interpretation of answers, but the interrogative as such we treat as expressing a question (see also note 14). Tichy and Scha do not treat constituent answers as sentential expressions, Hausser does, making use of context-variables (see note 24). Tichy and Hausser do not account for exhaustiveness, they simply combine the interpretation of the constituent and that of the abstract,
without first exhaustifying the former. Scha does account for exhaustiveness, but not by means of a separate semantic operation that applies to ordinary constituent interpretations, but by making the constituents as such lexically ambiguous (see also note 34). In her theory of semantic focus, Szabolcsi accounts for exhaustiveness in much the same way as we do. Except for Scha's, constituent answer based theories all treat multiple constituent interrogatives poorly, if at all. Similarly, Szabolcsi only deals with sentences containing a single focussed constituent. Being strongly biased towards constituent answers, the theories of Scha, Hausser and Tichy pay little or no attention to sentential answers. According to our interpretation schema, both kinds of answers are to be treated on a par.

This comparison is rather global and streamlined, and leaves out many more or less important features of the theories discussed. In some notes still to come, we will discuss some details of Scha's and Szabolcsi's treatment of exhaustiveness, those two approaches being the ones that we consider to be closest to ours. A discussion of the theories of Hausser and Tichy can be found in G&S 1984c, section 4.2. and in notes 9 and 10.

37. But of course semantics may constrain syntax in certain ways if one operates in a compositional framework. A case in point regarding interrogatives and wh-complements, is the existence of the syntactic level of analysis of abstracts. Purely syntactic reasons for this do not seem to exist (if we disregard the fact that it provides a uniform level of analyses of interrogatives, wh-complements and another type of wh-constructions, viz. relative clauses, see G&S 1982, section 4.5.), but for semantic reasons its incorporation in the grammar is essential. In G&S 1982, section 3.8., we argued that without abstracts no correct semantics for multiple wh-complements could be given, a fact that has been proved by Zimmermann (see Zimmermann 1984).

38. Strictly speaking, an AB1 corresponds to a set of individual concepts, and a T to a set of properties of individual concepts. Since we have no need for individual concepts here, we will ignore them, and speak of individuals, etc. See also note 15. Some have argued that individual concepts can be ignored altogether (see e.g. Dowty, Wall & Peters (1981)), whereas others see some use for them (see Gamut (1982) and Janssen (1984)). The latter paper contains a discussion of individual concepts and so-called 'concealed questions'.

39. There is one particular phenomenon that deserves special mention. The term surfacing in a constituent answer may contain what look like anaphoric pronouns that are bound by terms in the abstract. Consider the following examples:

(a) Whom does John love?
   (i) Himself.
   (ii) John loves himself.
At first sight these answers seem hard to account for given the way in which (S:IA1) and (T:IA1) are defined. According to these rules, the term on which an answer is based has wide scope with respect to terms occurring in the abstract underlying the interrogative. The standard way to construct the ordinary sentences that correspond to the sentential answers (a)(ii), (b)(ii) and (c)(ii) is to quantify the terms John, every man and no-one into the open sentences (d), (e) and (f) respectively:

(d) $\text{PRO}_1$ loves $\text{PRO}_1$'s self
(e) $\text{PRO}_1$ loves $\text{PRO}_1$'s mother
(f) $\text{PRO}_1$ loves $\text{PRO}_1$'s alter ego

If someone should want to account for (a) to (c) in a way which is analogous to this standard way of deriving these corresponding ordinary sentences, the rules (S:IA1) and (T:IA1) would stand in need of rather fundamental revision. Conversely, if we assume that the formulation is basically correct, we need a quite different way than the standard one to account for (a)(ii), (b)(ii) and (c)(ii).

We think that there are convincing reasons why one should take the latter approach. It is only superficially that the sentential answer (a)(ii)-(c)(ii) resemble their ordinary counterparts. In fact, it can be argued that the interrogatives (a), (b) and (c) on their reading in which (a)(i)-(ii), (b)(i)-(ii) and (c)(i)-(ii) are proper responses, are quite different from the interrogatives we discuss in this paper. And this difference is reflected in the interpretation of the constituent and sentential answers. In G&S 1983 we extensively discussed such interrogative-answer pairs as (b) and (c). There we argued that in such pairs the interrogatives can not be analysed as asking for a specification of individuals simpliciter, but rather have to be interpreted as asking for a specification of functions from individuals to individuals, i.e. for Skolem-functions. For example, the interrogative in the pair (c) asks to specify a function $f$ such that for no individual $x$ it holds that $x$ loves $f(x)$, the individual the function associates with $x$.

We defended the view that this really is a separate reading of such interrogatives, distinct from the individual reading, on which (c) asks for a specification of one or more individuals whom no-one loves, and distinct too from the so-called pair-list reading, which in the case of (c) is not a possible reading at all.

On this view the terms himself, his mother and his alter ego, on which the answers in (a)-(c) are based, are not really terms, but specifications of such Skolem-functions. Thus, himself corresponds to a function $f$ such that for all $x$,
f(x) = x, and his mother to a function f such that for all x,
f(x) = the mother of x. (This means that these expressions
are of category e/e. As we shall see shortly, there are good
reasons to raise them to category T/T.)

What is important is that on this view these 'terms' do
not have an anaphoric nature in the strict sense of the word.
Their translation does not contain a free occurrence of a
variable that is to be bound by a quantifier occurring in
the translation of some other expression. They do get 'bound'
by a term in the abstract, as the examples illustrate, but
this is not binding in the ordinary sense. They are not bound
variables, and that distinguishes them from most anaphors.
This particular way of binding is discussed in some more de-
tail in G&S 1983.

Although these remarks basically give an explanation of
the way in which such interrogative-answer pairs as (a)-(c)
can be dealt with without having to change the rules (S:IA1)
and (T:IA1) in any fundamental way, something more is needed
to make it really work. One has to provide a syntactic and
semantic analysis of possessives and reflexives that allows
one to operate along the lines sketched above. This is, of
course, a subject on its own, and this is not the place to
deal with it, so let us just indicate the outlines of such
an analysis.

Possessives such as PRO's mother and the reflexive
PRO-self are considered to be expressions of category T/T.
Their translation would be something as indicated in (g)
and (h):

\[
\lambda P \lambda P[P(a)(\lambda a \exists x[V \forall x[[\text{mother}(a)(y) \wedge \text{of}(a)(y,z)] \rightarrow x=y] \wedge P(a)(x))])
\]

\[
\lambda P[P]
\]

They can combine with terms as in John's mother, every man's
mother, John himself (meaning the same as John), etc.

Using a form of category- and function-composition (see
Geach 1972, Zwarts 1983, Moortgat 1984, for various applica-
tions of such techniques), these T/T-expressions can be
combined with TV's for example, resulting in such IV's as
(i) and (j):

(i) love PRO's mother
(j) love PRO-self

The translation of such IV's is composed as follows:

\[
\lambda x[\exists x[V \forall y[[\text{mother}(a)(y) \wedge \text{of}(a)(y,z)] \rightarrow x=y] \wedge \text{love}(a)(z,x)]
\]

\[
\lambda x[\text{love}(a)(x,x)]
\]

Reduced translations of (i) and (j) obtained using (k) are
(1) and (m) respectively:
Combined with subject T's in the ordinary way, these expressions result in the proper translations for the resulting sentences.

To construct such interrogatives as in (a)-(c) we proceed in a similar way, using syntactic variables of category T/T. In the same way as (i) and (j) are derived, we form an IV from the TV love and such a syntactic variable of category T/T. This IV is combined in the usual way with a subject T (John, every man, no-one). The resulting S is used to form an abstract from, by abstracting over the variable of category T/T. Syntactically the same thing happens as when we abstract over individuals: the wh-term who(m) is introduced and, in this case, preposed. From these abstracts interrogatives are formed in the usual way. The abstracts are of the proper category to combine with the constituent answers in (a)-(c) to form proper sentential expressions, the sentential answers in (a)-(c).

Two remarks to finish with. First of all, notice that the rules (S:IA1) and (T:IA1) remain essentially the same. The only possible difference could be in the order of functional application, but that is not peculiar for these constructions. We observed the same phenomenon with 'de dicto'-readings of answers in note 16. Secondly, it should be noted that the syntax sketched above differs from the one proposed in G&S 1983. There doubly-indexed variables were used. In that paper we expressed our doubts concerning the elegance of the syntax, and we much prefer the rather graceful approach indicated here. The underlying motivations and ideas, and the semantic results obtained, however, do not differ.

40. It should be noted that we construe the notion of a text rather strictly here. There are of course texts which report an event of question-answering, or texts in which a rhetorical question is raised which is immediately followed by the answer. Such occurrences of interrogative-answer pairs too we consider to belong to the domain of what we called 'discourse grammar', and we believe them to be subject to the same conditions and constraints as ordinary interrogative-answer pairs. This will certainly hold for the first kind of textual occurrences, which are nothing but instances of direct speech.

41. There may be the slight difference, which we consider to be of a more or less pragmatic nature, that the (c)-sentences carry the (conventional) implicature that one might have expected more people to be walking, an expectation which is not expressed by the answers as such. Such aspects of meaning will not concern us here. Notice though that nothing in our analysis hinges on the semantic operation of exhaustivization co-inciding with the meaning of only.

42. Sentence (4)(c) can also be interpreted differently, viz. as expressing that of the set of boys all members walk, whereas of other sets, say the set of girls, or the set of all male individuals including adults, not all, but at most some
members walk. This is an instance of a general fact. A term of the form only + determiner + noun may have different interpretations depending on what exactly the scope of only, which is an expression that can be combined with expressions from all kinds of categories, is. Throughout this paper we will use only only as a term-modifier, i.e. the scope of only is always the entire term, and not just some part of it. All other readings will be ignored.

A second remark concerning (4)(c) is that some find terms of the form only every + noun unacceptable. Probably, the same people would prefer constituent answers such as the men, or all men, to an interrogative such as Who walk(s)? to the answer every man. The latter is also taken by some to be excluded from focus-position, topicalization, and the like. (Szabolcsi (1981) claims that the corresponding phrases in Hungarian cannot be subject to semantic focus.) One might think that the uneasiness felt with only every GN has something to do with pragmatic expectations (see note 41). Only is taken to indicate that there are less than expected, but how can one expect more than every? The answer is that one can. If one expects every boy and at least three girls to walk, the answer that it are only all the boys (but not one of the girls) indeed goes contrary to what is expected. The explanation, we think, has to be sought in another direction which has to do with the distinction between singular and plural. See note 47 for some speculations.

According to our intuitions the use of every GN as a constituent answer is beyond reproach. As for its being modified by only, the least we can say is that we've grown accustomed to it. But, as was remarked above in note 41, nothing hinges on exhaustivization being expressible by means of only or not.

43. It should be borne in mind that quantification, and hence exhaustivization, nearly always runs over a (very) limited part of the total domain. The existence of such contextual restrictions is important in judging the effects of quantification and the like. See also the discussion in G&S 1982, section 1.5 and 3.4.

44. See section 4, especially note 49.

45. As far as examples (12)-(16) are concerned, Scha (in Scha 1983) ends up with results which are equivalent to ours. But there is an important difference between our approach and the way in which Scha achieves these results. A proper name such as John (our example (12)) is considered to be ambiguous by Scha. Apart from its standard translation (given in (12)(a)), it is also given a special translation as a constituent answer, a translation which is equivalent with our (10)(c). So the result is the same. As a constituent answer John is interpreted exhaustively. But this is not the result of applying a semantic operation of exhaustivization to the standard interpretation of John, but it is obtained directly, by making proper names ambiguous. They
have their standard interpretation, and a special interpretation as constituent answers.

If only proper names were involved, this difference would not be that important. But, for a start, disjunctions and conjunctions of proper names can occur as constituent answers as well. For a disjunction, such as John or Mary (our example (15)), nothing spectacular is going on. Its interpretation can simply be taken to be the standard disjunction of Scha's constituent answer translations of John and Mary. For a conjunction of proper names occurring as a constituent answer, such as John and Mary (our example (13)), things are fundamentally different, however. In this case it will not do to take the standard conjunction of Scha's constituent answer translations of John and Mary. For the resulting translation would be (a):

(a) \( \lambda p \left[ \forall x (p(x) \leftrightarrow x = j) \land \forall x (p(x) \leftrightarrow x = m) \right] \)

And the set of sets denoted by (a) is the empty set. In fact, that things go wrong this way, was already indicated implicitly in the text, when we discussed the examples (9)-(11). It was indicated there that only \( \alpha \) or only \( \beta \) is equivalent to only \( \{\alpha \ or \ \beta\} \), but only \( \alpha \) and only \( \beta \) is not equivalent to only \( \{\alpha \ and \ \beta\} \). Of the latter two, the first is a contradictory term, and the second is the proper exhaustive interpretation of a conjunctive term \( \alpha \ and \ \beta \).

But since Scha lacks a general semantic operation of exhaustivization, he is forced to compose the constituent answer interpretation of John and Mary from the constituent answer interpretations of John and Mary respectively. This is possible, but at a price: the introduction of a special interpretation of and, i.e. of conjunction, when occurring in constituent answers. I.e. John and Mary as a constituent answer has to be derived from the constituent answers John and Mary by a special conjunction rule for constituent answers. If \( \alpha \) and \( \beta \) are constituent answers translating as \( \alpha' \) and \( \beta' \) respectively, then their conjunction \( \alpha \ and \ \beta \) translates as (b):

(b) \( \lambda p \left[ \exists x (\alpha' (x) \land \exists y (\beta' (y) \land p = \lambda x (x (x) \lor y (x))) \right] \)

In settheoretical terms, \( \alpha \) and \( \beta \) denoting sets of sets, this conjunction corresponds to taking the pairwise union of the elements (and not, as ordinary conjunction, to taking the intersection of the sets as such). If we apply (b) to John and Mary on Scha's special constituent interpretation, the resulting translation is indeed equivalent to our (13)(b), where exhaustivization is applied to the standard conjunction of John and Mary on their standard interpretation.

But not only does Scha need special translations for proper names as constituent answers and for conjunction of constituent answers, he also needs special translations for determiners, such as every and a(n). (The special interpretation of a(n) must have the effect of normal disjunction of 'exhaustified' elements, and that of every must have the effect of the special constituent answer conjunction of such elements.)
And this is not the end. Many other expressions and rules which are involved in the composition of complex term phrases will need a special 'constituent answer' counterpart of their ordinary interpretation.

We believe that these facts speak for themselves. Provided that our approach gives equally good results, it is to be preferred to Scha's for being simpler and theoretically more sound.

46. This means that $\text{exh}$ can be applied to anything that denotes a set of sets. Thus it has the same kind of variable character as such logical expressions as quantifiers, the $\lambda$-operator, etc. This will become clear also in sections 3.2 and 3.3 where $\text{exh}$ will be used to exhaustify all kinds of other objects than the sets of sets of individuals it semantically operates on here.

47. We must distinguish between two kinds of cases here. First of all, there are terms which, in order for exhaustivization to arrive at the proper outcome, should be treated as essentially plural terms. These are discussed in the next section. Example are at least one girl, at most John, John or Mary or both. These terms can be used to form constituent answers from, i.e. answers which can be interpreted as exhaustive specifications of the extension of some property. (For further discussion, see the next section.)

But besides these plural terms, there are others, terms which seem not to allow for an exhaustive interpretation at all. Examples of such terms are no man, not John. Constituent answers in which these terms surface, cannot be interpreted, intuitively, as exhaustive specifications. On the contrary, they are inherently non-exhaustive, 'negative' specifications. This intuition is reflected formally in the fact that exhaustivization applied to these terms gives bad results. It reduces their denotation to the singleton containing the empty set. Hence they should be excluded from the interrogative-answer rules.

In order to formulate this restriction one would like to have a semantic characterization of this class. Although intuitively the terms in question form a homogeneous class, a formal definition is hard to come by. That their exhaustivization is $\{\emptyset\}$ is not a defining characteristic, this holds for at most John for example too. A term such as the latter, however, loses this characteristic as soon as we treat it as a plural term, as we, arguably, should do. So, the class of terms to be excluded seems to consist of those monotone decreasing terms for which a plural treatment, a 'group' interpretation, is not possible. That is as close to a characterization as we can get at this stage. A more precise one requires a full extension of the apparatus of generalized quantifier theory (see Barwise & Cooper 1981, Zwarts 1981) to plural terms. Some work in this area has been done (see the remarks in van Benthem 1983), but much is yet unclear.

The notion of an essentially singular term might also be used to explain some intuitions regarding the acceptability of such terms as every boy as constituent answers (see note 42).
48. Problems arise once one starts treating collective (non-distributive) predicates, such as gather, conspire and the like. Consider the following examples:

(a) The boys gather
(b) John and Bill conspire to gain control over the vakgroep
(c) Peter and Fred carried the piano up the stairs

In (a) and (b) the property expressed by the predicate is ascribed to the boys and John and Bill respectively as a group, or as a whole, and not to each of them individually. In (c) this collective reading is the most plausible one, though perhaps not the only possible one.

49. It should be noted that the term 'group' as it is used here, is intended to be neutral. I.e. it is not to have any connotations regarding some form of spatio-temporal, or social homogeneity.

50. For some early discussions see Bennett (1975), Bartsch (1973) and Hausser (1974). Of recently formulated theories we mention Link (1983), and especially Scha (1981). In these works one can also find many more examples than the few given in note 48, which show the necessity of a semantic theory of plural.

51. We assume that walk is a distributive predicate, i.e. one that holds of a group iff it holds of its members. See also the discussion of the examples (26) and (28) below.

52. This paraphrase of the meaning of At least n girls, as an answer to the interrogative Who walk(s)? is correct only if the answer is interpreted exhaustively. Superficially, it looks as if the same phrase can also be used to give an explicitly non-exhaustive answer. But notice that in that case it carries a distinctively different intonation pattern. For an interesting theory about intonation as a linguistic phenomenon with semantic import, see Koene (1984). Then it means that n girls are ones that walk and that maybe others, girls or boys or what have you, walk as well. As an explicit non-exhaustiveness marker at least is a term-modifier (like only). If at least n girls is to be interpreted exhaustively, at least n is to be taken as a determiner, or quantifier. As a non-exhaustiveness marker at least can also be applied to a proper name for example, as in at least John. If we take this term as a constituent answer, it is explicitly non-exhaustive, and means that John walks and that, as far as the speaker knows, others may be walking as well. See also note 54 in which a similar difference between at most and at most n is discussed.

53. Johan van Benthem helped us to realize that this cannot be the whole story. The interpretation (34) of the plural term at most n girls is, at best, one of the meanings this phrase has. To see this, observe that according to (34), sentence (a) can also be true in a situation in which, besides some group of at most six girls, also a group of, say, seven
For collective predicates, or collectively interpreted predicates, this seems not to be implausible. If one observes, opening the door of room 26 and piercing through the heavy smoke, that a group of girls is gathering there, and that they are at most six; and one further observes, opening the door of room 27 in which the air is of crystalline purity, that there seven girls are having a meeting, it seems one can truthfully say that at most six girls gather and seven girls gather. To account for this, we need to assign to the phrase at most \( n \) girls (also) an interpretation which involves existential quantification over groups. Under this interpretation, which is meant to be captured by (34), at most \( n \) girls means 'some group of at most \( n \) girls'. (In fact, perhaps this more elaborate phrase is more natural to use in reporting such observations as described above.)

An interpretation like this one is also needed to account for the intuitive judgement that sentence (a) is false, or at least not true, in case no girls gather. To gather is a property of groups with at least two members. The empty group cannot be in the set of groups denoted by gather. Suppose only John and Bill gather, then gather denotes the set containing just the group consisting of John and Bill. But this set cannot be one of the elements of the set of sets of groups denoted by at most \( n \) girls, if it is interpreted as in (34). Each set of groups in the latter has to contain some group of girls with less than \( n+1 \) members, e.g. the empty group.

This seems to be sufficient reason to adopt an interpretation like (34) as one of the interpretations (by some called the 'referential' interpretation) such phrases have. It is needed for collective predicates, and collectively interpreted predicates, and also to obtain the proper exhaustive interpretation of such phrases when they occur as linguistic answers.

The interpretation (34) of at most \( n \) girls runs parallel to the interpretations (29) and (30) of at least \( n \) girls and \( n \) girls respectively. They, too, contain existential quantification over groups. The relevant interpretations (34), (29) and (30) of these three kinds of terms can be obtained by composing them as follows. Assuming numerals to be intersective adjectives, we can give them the Fregean interpretation (b):

\[
(b) \{G | |G| = n\}, \text{ where } G \text{ ranges over groups}
\]

At least and at most can then be understood as modifiers of such adjectives, being interpreted as (c) and (d) respectively, where \( N \) is the interpretation of a numeral:

\[
(c) \{G | \exists G' \in N: G' \subseteq G\}
\]

\[
(d) \{G | \exists G' \in N: G \subseteq G'\}
\]
The entire termphrases n girls, at least n girls, and at most n girls, are then formed as follows. From the relevant (modified) numeral a determiner is formed by combining it with a morphologically empty determiner, which is interpreted as existential quantification (over groups). This complex determiner, which, using lambdas, can be written down as in (e), is then combined with the plural noun girls, which is interpreted as denoting the set of all groups of girls, including the empty group:

\[(e) \lambda X \lambda Y \exists G [G \in M(N) \& G \subseteq X \& G \subseteq Y], \text{ where } M(N) \text{ is the modified numeral, and } X, Y \text{ range over sets of groups}\]

The resulting interpretations of the termphrases are those given in (30), (29) and (34).

Besides these interpretations, which are needed for collective predicates and collectively interpreted predicates, these termphrases also need another interpretation. This is most clear in the case of at most n girls. Consider sentence (f):

(f) At most six girls walk in the garden

Interpreting to walk in the garden as a really distributive predicate, it seems that (f) should come out false in case there actually happen to be seven girls who are walking in the garden. Analogously, given the distributive interpretation of the predicate, (f) should come out true in case no girls walk in the garden. So, it seems that for at most n girls we also need an interpretation like (g):

\[(g) \{x \mid \forall G : G \subseteq X \Rightarrow \forall G \text{girl} \leq n\}, \text{ where girl is the group of all girls}\]

This interpretation gives the same results as the standard singular interpretation of this term, which shows that, as far as distributive predicates are concerned, the term need not be interpreted as semantically plural.

But, as we have seen in the text, the singular interpretation, and hence also this plural interpretation (g), give wrong results when submitted to exhaustivization. (Both the singular interpretation and the 'distributive' plural interpretation (g) are monotone decreasing and have the empty set as their smallest element. The other, 'collective', plural interpretation (34), being in essence an existentially quantified term, is not monotone decreasing.)

What this points at, is that if the term at most n girls surfaces in an answer, this forces a collective interpretation, even if the predicate in question is distributive. I.e., Who walk(s)? is answered by such a phrase as if it asks for a specification of the group (or groups) of which the members walk. This is also suggested by the following observation (which we owe to Johan van Benthem). Suppose we do take the plural walk in Who walk? distributively. Then it denotes the set of all subgroups of the group of all walkers. The exhaustive interpretation of the plural three girls is a set of singletons
each consisting of some group of three girls. It does not contain any subgroups, however. Now suppose that the ones that walk are three girls. Then the distributive interpretation of walk is not contained in the exhaustive interpretation of three girls, which is wrong.

Again, this may be taken to show that even such outright distributive predicates as walk in the garden should be interpreted collectively in certain interrogative-answer pairs. If we interpret Who walk in the garden? as indicated above, viz. as asking for a specification of the group of all people that walk in the garden (allowing this specification to consist of a specification of groups that together form the group of all walkers), things work out alright.

Of course, there is also another way out. One could also extend the analysis as follows. For singular terms and arbitrary predicates, and for plural terms and collective, or collectively interpreted, predicates, the schema of applying the exhaustive term interpretation to the predicate suffices. For the case of plural terms and distributively interpreted predicates, one could add, after exhaustivization, an operation of 'decollectivization'. First, we exhaustivize the plural term, interpreted collectively, which results in a set of sets of groups. Decollectivizing consist of adding to each set of groups the group which is their union with all subgroups of that union. Applying this result to the distributive predicate also gives correct results.

Just like all other remarks made in the text and in other notes about the analysis of plurality, these, too, should be interpreted as speculations. The entire area of the semantics of plurality is one with so many pitfalls, mysteries, and exciting and depressing surprises, that it would be foolish to claim to have said anything definitive. The point we want to make here in connection with linguistic answers, more in particular their exhaustive interpretation, is just that some terms have to be given a 'collective' plural interpretation too. That much can be argued for also on independent grounds, and hence is, we take, uncontroversial. Our further aim has been to indicate, roughly, what this interpretation would have to look like, in order for exhaustivization to work properly.

54. In fact, this exhaustive interpretation of at most n girls is also a possible interpretation of superficially the same term in isolation, i.e. without applying the operation of exhaustivization to it. In that case the term has a different intonation pattern. The, we have to consider at most as a term modifier, modifying n girls, and should not consider the term to be constructed from the determiner, or quantifier, at most n and the noun girls. (Cf. what was said in note 52 about a similar ambiguity of at least n girls.) As a term modifier, at most can also be applied to proper names for example, to form a term such as at most John, meaning John or no-one at all. The meaning of at most as a term modifier is related to the semantic operation of exhaustivization (and hence to the meaning of only) in an interesting way. Whereas John exhaustively interpreted (i.e. interpreted as only John) corresponds to the set \{\{John\}\}, at most John corresponds to the set \{\emptyset,\{John\}\}. Roughly speaking, and
not paying attention to plurality yet, what the interpretation of at most does to the set of sets corresponding to a term to which it is applied, is, first, to exhaustify it, which results in a subset of the original set of sets, and, next, expanding this new set by adding all the subsets of the elements of this new set to it. Thus we can define:

\[(a) \text{at-most}(a) = \lambda X. \exists Y. [\text{exh}(a)(Y) \land \forall x. [x(x) \rightarrow Y(x)]]\]

Like exhaustivization and only, the term modifier at most requires that the terms to which it is applied are viewed as semantically plural (even when they are syntactically singular). At most John, for example, should not simply be interpreted as the set of sets of individuals \{\emptyset, \{John\}\}. Rather, it should be viewed as denoting the set of sets of groups \{\emptyset\},\{\{John\}\} (where \emptyset stands for the empty group). This can be argued for as follows. If we were to apply the semantic operation of exhaustivization (or the semantic interpretation of only) to the first, the result would be \{\emptyset\}. But if we were to apply it to the second, the result would be the same set \{\emptyset\},\{\{John\}\} again. The latter is clearly correct, and the former even more clearly not. The phrase only at most John might be a funny phrase to use, but this is because the addition of only to at most John really is redundant, and not because it would mean the same as no-one. (Because exhaustivization is part of the interpretation of at most, see (a), only is redundant as well in at most only John. Both only at most John and at most only John simply mean the same as at most John.)

Notice that there are many more term modifiers that behave in the same way as at most. Examples are everyone except and no-one except as they occur in terms such as everyone except John and no-one except John.

55. This note is a continuation of note 45 in which we discussed the analysis of exhaustiveness of constituent answers given in Scha (1983). There we concluded that our approach is to be preferred, provided it gives equally good results as Scha's. We had some reason to make this provision. The theory of Scha has no difficulty in accounting for the correct interpretation of the constituent answer John or Mary or both (John and Mary). Scha can construct this disjunctive answer from the constituent answer John, Mary and John and Mary. The latter are already interpreted exhaustively, via the lexical ambiguity of proper names and the special conjunction rule. Ordinary disjunction is then enough to obtain the correct result.

However, this is only one example of a constituent answer where Scha comes round without, and where we need, taking plurality into account. As a matter of fact, at least n girls and at most n girls need not pose a problem for Scha either. He can take recourse to his now familiar strategy and create a lexical ambiguity for these determiners too. The required exhaustive interpretation could just be added to the standard one. And it looks like that, with some ingenuity, any example can be dealt with provided one allows oneself to create lexically ambiguous terms and all kinds of ambiguous term phrase forming expressions and operations at will.

On our approach, however, no such multiplication of interpretations is needed (and could therefore be excluded, thus
strengthening the predictions the theory makes). We do need to assume that plurality is to be accounted for in the semantic interpretation of terms. But that can be argued for too on completely independent grounds, and therefore constitutes no ad-hoc move.

Another relevant observation is the following. We have noticed that the term modifier only is intimately connected with the semantic process of exhaustivization. Exhaustivization of constituent answers might perhaps be dealt with by doing it in Scha's way, but that most certainly will not do as an interpretation of only. The interpretation of only is to be given in such a way that it gives correct results when it is applied to simple and complex terms on their standard interpretation. Scha's account of exhaustiveness cannot be used to deal with the interpretation of only in an intelligible way. Ours can, as soon as plurality is taken into account. (So, the semantics of only gives yet another reason for taking plurality seriously.)

And one might add, finally, that only is not the only case in point. The term modifier at most poses precisely the same problems, as was argued in note 54.

56. In previous notes, we have already indicated that our approach to exhaustivization is basically the same as that of Szabolcsi (1981, 1984). From Szabolcsi (1984) we can extract the following alternative definition of \textit{exh}:

\[(a) \text{ exh} = \lambda P \lambda x [\lambda x [P(a)(x) \land P(a)(\lambda x P)] = \\
\lambda x [\forall P' [P(a)(\lambda x P) \rightarrow P'(a)(y)]]
\]

In fact, this definition is equivalent to definition (36). The difference is one of form, not one of content. But because of (a)'s form, we did not succeed in getting a clear picture of its content. (We suspect that Szabolcsi did not succeed in this either, since she does not give an informal characterization of the content of (a), and seems rather embarrassed by its complexity.) We tried to get such a picture by applying (a) to different examples. In doing so, we came to understand why the different clauses in the definition are needed, but still did not arrive at a general picture. It appears as if Szabolcsi started out with a much simpler definition, something like (b) (which happens to be equivalent to the translation of only we gave in G&G 1976):

\[(b) \text{ exh} = \lambda P \lambda x [\lambda x [P(a)(x)]] = \lambda x [\forall P' [P(a)(P) \rightarrow P'(a)(x)]]
\]

Definition (b) is simpler than (a), but it is not correct. It gives intelligible results only when applied to certain kinds of terms, such as proper names, conjunctions thereof, and universally quantified terms. For disjunctive terms and existentially quantified ones, e.g., the results are not correct. It seems as if Szabolcsi noticed these counterexamples to (b), and arrived at (a) by adding clauses that avoid them. As we noted, the result is effective, but not really beautiful.

In checking Szabolcsi's definition (a) by examples, we also met the problems with plurality discussed in section 3.1.3. We then decided to put aside Szabolcsi's definition
and to take a new start altogether. We took up the issue by starting from the semantic side, and first tried to get a clear picture of the semantic content of exhaustivization, only to give it form in a definition afterwards. The results are reported in the main text. We then had to find out that the problems with plurality remain, but this time we were in a better position to locate them and evaluate them. And that led us to the conclusion that plurality is involved in an essential way, and should be dealt with as such.

A last step, then, was to conclude that the new definition we had come up with, and Szabolcsi's, which we had first rejected, are equivalent.

57. This terminology may easily cause some confusion. Normally, if something is referred to as being n-place, what is meant is that it has n open places to be filled by n arguments. For an n-place term this is different. For all n, including 0, an n-place term takes only one argument, this argument being an n-place relation. One could say that being n-place for terms means that it has the capacity to fill in n-places (of its one argument) at once.

Notice that, according to (T) an ordinary term phrase, i.e. an expression of category T^1, is defined as S/AB^1, and not as S/IV, as is usual. But since IV = S/E = AB^1 (cf. definition (AB) in section 1), the proper category is assigned after all.

Notice also that, according to (T), a T^0 is of category S/S, the category to which also sentence adverbs belong. T^0's are discussed in detail in section 3.3.

58. In note 20 we said the we assumed the last wh-term that is introduced, to be preposed, and that we made this assumption for reasons of convenience. The formulation of the rule (T:T^n) is one of them. If we would choose the first wh-term to be preposed, the order of abstraction in an abstract is reversed. Then a 2-place sequence such as John, Bill would have to denote the set of 2-place relations in which Bill stands to John. We have chosen here for the order which sounds more natural, but, of course, there is no problem at all, if, for some reason, one wants the reversed order. So, no stand is taken here in the issue as to what the adequate syntactic analysis of multiple wh-complements in English actually is. Both options can be accommodated.

59. Here we continue our comments on Scha (1983). His analysis of constituent answers to single constituent interrogatives can be extended quite easily to multiple constituent interrogatives. His rule for forming n-place terms can be exactly the same as ours. But, evidently, it cannot be applied to terms on their standard interpretation, but has to work on terms on their special constituent answer interpretation. n-place constituent answers are formed from simple constituent answers. Our rule of disjunction and Scha's analogue can be the same, though, again, on Scha's approach a proper new n-place constituent answer results only if n-place constituent answers are taken as input. As was also the case with conjunction of
single constituent answers, Scha needs a different, special rule of conjunction for conjunctions of n-place constituent answers. It will be parallel to the special conjunction rule given in note 45 in exactly the same way as our rule for conjoining n-place sequences \((S:CT^n)/(T:CT^n)\) runs parallel to the ordinary rule for conjoining ordinary terms.

Further it can be noted that what was said in 45 and 55 about Scha's analysis of single constituent answers applies in much the same way to his analysis of multiple constituent answers.

60. In categorial, constituent answer based approaches to interrogatives, such as Hausser's (see Hausser 1977, 1983), there is also a tendency to view constituent answers to sentential interrogatives as (being based on) sentence adverbs. But there is a difference. Since categorial analyses of interrogatives remain at the level of abstracts, so to speak, their proponents are hesitant to take truth value expressions, i.e. our \(AB^0\)'s, as what corresponds to sentential interrogatives. Hausser, for example, treats them as a kind of constituent interrogatives. The constituent in such cases is a sentence adverb. Thus viewed, sentential interrogatives, like constituent interrogatives, are based on 'real' abstracts, in this case abstracts in which abstraction takes place over the kind of semantic object that sentence adverbs stand for, i.e. over functions from propositions to truth values. Thus, in Hausser's analysis, the sentential interrogative (a) is translated into something that in Ty2 looks like (b) (S is a variable of type \(f(S/S)\)):

(a) Does John walk?
(b) \(\lambda S[S(\lambda a \text{ walk}(a)(j)) \wedge (S = \lambda p \ \text{p}(a) \lor S = \lambda \neg \text{p}(a))]\)

So, the interrogative (a) corresponds to abstraction over what are called 'sentence modi', the possible values of the latter being restricted to the interpretations of yes and no respectively (see section 3.3.2).

Säuerle, who discusses several approaches to sentential interrogatives in Säuerle (1979), characterizes Hausser's approach as an alternative interrogative approach to sentential interrogatives. Hausser's translation restricts the alternatives to the complete positive answer and the complete negative answer. This restriction is much too harsh, since, as we shall see in section 3.3.3., the interrogative (a) might just as well be answered by the constituent answer if Mary walks., which is also based on a sentence adverb (or perhaps more accurately, on an expression that is of the same category as sentence adverbs), but one that literally does not fit in Hausser's schema. This could be remedied by taking (c) instead of (b) as translation of (a):

(c) \(\lambda S[S(\lambda a \text{ walk}(a)(j))]\)

But we feel rather sympathetic towards translation (b) since it tries to capture the unmistakable fact that the propositions that John walks and that John does not walk, have a special status as answer to (a). They are the two standard
complete semantic answers. On our approach, however, this is accounted for more effectively by analyzing (a) as an expression of category $S$, expressing a question which is a bipartition, i.e. which has two possible semantic answers. At the same time, we account for the equally unmistakable fact that (a) has more constituent answers than just yes and no, by treating it as being based on a 'degenerate' abstract, an $AB^0$. That such an $AB^0$ is a truth value expression need not bother us, since on our approach the level of abstracts is only an intermediate stage in the derivation of the full-blooded, question expressing interrogative.

On Hausser's approach to (a), in which it is treated as a kind of alternative interrogative, it seems to be natural to view (d) as a simple variant of (a):

(d) Does John walk or not?

But, as Bäuerle observes, (a) and (d) are answered in a completely different fashion. The interrogative (d) cannot be answered by a simple yes or a simple no. It requires full sentences as answers.

A different, though related, phenomenon is observed by Bäuerle with respect to other types of alternative interrogatives, such as (e):

(e) Does John walk, or Mary?

Though it looks in several respects like a sentential interrogative, the characteristic answers of (e) are those of a single constituent interrogative:

(f) John.
Mary.
Both,
Neither one of them.

Bäuerle compares (e) with (g):

(g) Who walks, John or Mary?

The single constituent interrogative (g) allows for all four answers in (f) too, i.e. it allows for precisely the same answers as (e). Bäuerle praises Hausser's approach for analyzing (e) as (h):

(h) $\lambda P[P(\lambda a \text{ walk}(a)) \land [P = \lambda P \text{ P}(a)(j) \lor P = \lambda P \text{ P}(a)(m)]]$

But Bäuerle does not seem to notice that it is only the first two answers in (f) that are allowed for by (h).

We would consider (e) and (g) to be a special kind of single constituent interrogatives, variants of each other, which are both derived from an abstract translating as (i):

(i) $\lambda x[[x = j \lor x = m] \land \text{ walk}(a)(x)]$

Such interrogatives could be characterized as 'single constituent alternative interrogatives'. The sentential alternative interrogative (d) could be analyzed in a similar fashion. One might derive (d) from an abstract that translates as (j):

(j) $\lambda p[[p = \lambda a \text{ walk}(a)(j) \lor p = \lambda a \neg \text{ walk}(a)(j)] \land p(a)]$
If the abstract translating as (j) is transformed into an interrogative by our standard means, it will express precisely the same question as the simple yes/no-interrogative (a). The fact that it is derived from a different type of abstract accounts for the fact that it calls for different kinds of answers: full sentences, expressing propositions, rather than the simple constituent answers Yes and No.

In this way we can make a clearcut distinction between simple sentential interrogatives, such as (a), and alternative sentential interrogatives, such as (d), in terms of the syntactic form their answers may take, and at the same time account for the fact that they express the same question. (Interestingly enough, (j) is the translation of the final stage of analysis of both (a) and (d) in Karttunen's approach (see Karttunen (1975)). Like Hausser, Karttunen treats (a) and (d) as simple syntactic variants having the same derivation, and thus also fails to account for the difference in kind of answers they allow.)

Bauerle, who discusses these kind of phenomena in an interesting and illuminating way, proposes a kind of solution to these puzzles which differs from the one outlined above. The kind of approach he advocates might be characterized as an 'extreme categorial approach'. From such examples as we discussed above, Bauerle concludes that so-called yes/no-interrogatives are really a kind of constituent interrogatives. In his view, an interrogative such as (a) is a constituent interrogative, more precisely an alternative constituent interrogative that offers only one alternative. He seems to suggest that, in fact, (a) is much like (k):

(k) Who walks, John?

which he considers to be similar to (g), the difference being that (k) offers only one alternative, whereas (g) offers two. In our terms, Bauerle's proposal means that where (g) derives from an abstract that translates as (i), (k) derives from an AB1 that translates as (l):

(l) \( \lambda x [\text{walk}(a)(x) \land x = j] \)

We don't think this view can be considered to be overall correct. In our opinion, the interrogative (k) corresponds to something like (m):

(m) Who walks? Is John the one who walks?

We believe that our view that (a) and (k) are different interrogatives, express different questions, is supported by the following observations. It is true that both (a) and (k) can be answered positively simply by Yes, or by (n):

(n) Yes, John.

This would seem to support the supposed equivalence of (a) and (k). But things are different for negative answers. A simple No will not do as an answer to (k), although it is perfectly alright as an answer to (a). Rather, for a negative answer to (k) something like (o) seems to be required:

(o) No, Peter.
Or some answer like (p):

(p) No, nobody.

If (k) indeed corresponds to (m), as we conjectured, and not to (a), as Bäuerle would have it, this difference could be explained easily and naturally. If it is answered by a simple Yes, the second of the two questions posed by (m) is answered. And a positive answer to the second question, in this case provides the answer to the first one at the same time. In the negative case this is different. If the second question raised by (m) is answered negatively, the first question remains unanswered. That is why in that case a simple No, is not sufficient, and answers like (o) and (p) are called for. For these answers not only answer the second question negatively, they also contain an answer to the first one.

In some situations, the interrogative (a) is used in such a way that it calls for an answer such as (o) or (p) too (should the answer be negative). This happens if John in (a) carries emphatic stress, as indicated in (q):

(q) Does JOHN walk?

For (q) too, a simple Yes, will suffice, but a simple No, will not, at least not as a complete answer, or so it seems. In our opinion, (q) is best viewed as a simple yes/no-interrogative, and the emphatic stress is to be interpreted as an indication that at the background, so to speak, i.e. behind the question that is actually, or literally, posed, there is another question at stake, being the (constituent) question who is the one that walks. A negative answer to (q) answers the question it poses literally, completely, but it does not provide an answer to this background question, which, it seems, is ultimately the question one wants an answer to, if one uses (q). This is why a simple No, strikes us as insufficient, and why a further answer seems to be called for.

61. In Gazdar (1979) a purely pragmatic explanation is offered for the fact that natural language disjunctions, which are semantically inclusive, are interpreted exclusively. However in order to obtain this result Gazdar has to call to aid a much too strong version of the Maxim of Quantity. Gazdar deals with Quantity by means of two independent mechanisms. One gives rise to so-called 'scalar implicatures'. A scalar implicature of a disjunction $\phi \vee \psi$ is that the speaker knows that it is not the case that $\phi \land \psi$. And a second implicature that can be obtained, is that the speaker does not know whether $\phi$ and does not know whether $\psi$. Together, these two implicatures have the effect of turning an ordinary disjunction in an exclusive one. Though Gazdar obtains the two by means of two mechanisms, the effects they have are related. It holds that $\phi \land \psi$, $\phi$, and $\psi$ are all logically stronger than $\phi \vee \psi$. Other things being equal, Quantity implies that logically stronger sentences are to be preferred. The correct formulation of Quantity would have to state this in a general way. It is easy to see that such a formulation would give rise, in the case of $\phi \vee \psi$, to the implicatures $\neg K_s(\phi \land \psi)$,
\[ \neg \mathcal{K}_S(\phi), \text{ and } \neg \mathcal{K}_S(\psi). \] Since at the same time Quality requires \( \mathcal{K}_S(\phi \vee \psi), \) both \( \neg \mathcal{K}_S(\phi) \) and \( \neg \mathcal{K}_S(\psi) \) can be derived as well.

The funny thing is that Gazdar's two mechanisms, which are both related to Quantity, have different effects. Gazdar's scalar implicature reads \( \mathcal{K}_S(\phi \land \psi), \) rather than \( \neg \mathcal{K}_S(\phi \land \psi). \) He offers not motivation whatsoever for the curious fact that one part of his formulation of quantity implicatures has a much stronger effect than another. And in fact, it is easy to see that the strong scalar implicatures lead to absurd consequences. For example, it will be implicatures of the sentence Someone walks that \( \mathcal{K}_S(\phi \lor \psi), \) and so on for all the individuals in the domain of discourse. But that means that it will be a scalar implicature of Someone walks that the speaker knows that no-one does.

Unless one is prepared to accept this kind of absurdity, it seems that no formulation of the Maxim of Quantity is possible that will give rise to an exclusiveness implicature for disjunctions. On the contrary, a correct formulation of Quantity will give rise to the implicature that \( \neg \mathcal{K}_S(\phi \lor \psi), \) using \( \lor \) to stand for exclusive disjunction. And this for the simple reason that \( \phi \lor \psi \) is logically stronger than \( \phi \lor \psi. \) Our 'interrogative' approach to the matter, which hinges on exhaustiveness, and relates the exclusive interpretation to a particular kind of use of disjunction in a particular kind of context, offers a far better explanation for the phenomenon in question, than Gazdar's ad-hoc pragmatic approach.

62. This fact is pretty obvious, and can hardly escape attention, or so one would think. It is rather surprising to notice, therefore, that often the problem is not even mentioned. And if it is paid due attention to, as for example in Hoepelman (1981) and Bäuerle (1979), the problem is simply put aside by refusing to give yes and no the semantic interpretation they are entitled to. It may sound interesting to hear it be declared that "I agree with Bäuerle (1979, p.68-69) that "yes" and "no" are not to be taken as answers, but as "discourse elements that relate the answer to the question in some way or other"." (Hoepelman,1981,224), but this is mere rhetoric, and does not solve anything if it does not come along with a clearcut analysis of such 'discourse elements'. Intuitively, yes and no are answers, and it is quite clear what their meaning is. (For if they are not, what then exactly are they supposed to relate when they are offered as responses 'on their own'? To be sure, the semantic analysis of yes and no has its problems, but a solution of them cannot be had by simply throwing away what seems to be at least part of the truth, and replacing it by vacuous promises.

63. In various languages different lexical elements are available to do the job, such as the Dutch ja and jawel, the German ja and doch, the French oui and si, and the Old English yea and yes. See also Hoepelman (1981) and Bäuerle (1979) and the references cited in the latter.
In Hoepelman (1981) an extensive discussion of negative interrogatives and other puzzles can be found. Although his informal description of the difference between positive and negative interrogatives and their answers seems to be akin to ours, his way of dealing with the phenomenon is quite different. Whereas we say that a positive and a negative interrogative express the same question, Hoepelman considers them to express different questions, i.e. he treats them as being semantically different.

According to Hoepelman, interrogatives denote truth-values. Of these he has four, and he uses a four-valued logic based on them to analyze interrogatives. The system Hoepelman ends up with allows one to do some interesting calculations. Yet his approach does not appeal to us at all. It lacks an intuitive basis and it mixes up semantics and pragmatics in an intolerable way. Hoepelman considers an interrogative \( ?p \) to be 'true' iff the truth value of \( p \) is indeterminate. So, according to Hoepelman, \( ?p \) is to mean something like '\( p \) is the question', or 'it is the question whether \( p \)'. Obviously then, 'truth values' are not really truth values, but rather some kind of epistemic values. As we said, Hoepelman distinguishes four of these. The maximum value seems to mean something like having the information that \( p \) is the case, and the minimum value something like having the the information that \( p \) is not the case. Instead of one middle value, meaning something like \( p \) being indeterminate as far as the information goes, Hoepelman distinguishes two. In both cases the epistemic value is indeterminate. They are distinguished in that the middle value which is closest to the maximum value indicates that one expects the answer to be a positive one, whereas the other middle value, which is closes to the minimum, indicates that one has negative expectations.

The latter distinction is meant to explain the difference between positive and negative interrogatives. A positive interrogative is 'true' if one does not know the answer, but expects it to be positive. And the negative interrogative is 'true' if one does not know the answer, but expects it to be negative. In all other cases, interrogatives are 'false', i.e. they are assigned the minimum value.

One question that, of course, immediately comes to mind is whether four values is enough. It seems perfectly possible to have a question without having any expectations as to whether the answer to it will be positive or negative. But such a situation is not allowed for by Hoepelman's system. As we argued in the text, we believe that the straightforward interrogative, the positive, 'unmarked' case, corresponds to this situation. If one has positive or negative expectations, these need to be marked, in the interrogative, or otherwise.

It is clear that Hoepelman's system mixes up semantics and pragmatics. Truth and falsity of interrogatives is really nothing but correctness and incorrectness of (a certain form of) questioning. But correctness is purely a pragmatic notion and nothing seems to be gained by blurring the distinction between semantics and pragmatics.

In support of his view Hoepelman notes that many languages
have two different versions of 'yes' and 'no' (see also note 63). Since $2 + 2 = 4$, this matches nicely with the four values in his system. (But since $2 + 2 
eq 5$, it does not match nicely with the five situations one should distinguish, once one starts distinguishing the way Hoepelman does.) Both versions of 'yes' bring the questioner from the indeterminate state into the maximally positive one. One version is reserved for the case in which the questioner has positive expectations and the other for the case in which his expectations are negative (except in languages like Icelandic in which one can say "Yes, we have no bananas.") The two versions of 'no' are distinguished analogously.

We believe that the same phenomena can be captured in our approach quite as easily. We think it is an advantage that we do not have to take recourse to a formal system that mixes up purely semantic objects and semantic notions (truth, truth conditions, entailment) with purely pragmatic ones (information of language users, their expectations, correctness conditions). The semantic interpretation we assign to interrogatives is more standard, can be linked up with the standard semantics of indicatives without effort, and deals with notions like entailment between interrogatives, and other logical relations between interrogatives and indicatives in an adequate and intuitively satisfying way (see G&S 1984a). Linking this semantic theory with a pragmatic one meets with little problems, be it that such niceties as expectations of language users are not yet dealt with formally. But we think this line of thought is promising, and is to be preferred to Hoepelman's approach which, though formal, lacks an intuitive basis.

65. Pragmatic considerations come in once we view question-answering as a process of information exchange. Exchanging information is a game played by at least two persons. A full description of the game, its rules and its strategies should take into account not only the information of the questioner, but also that of the addressee. And equally important is the information they have about each other's information. The addressee will give an answer based on what he believes to know. In communicating this information he has to put it into words. In doing this, he has to anticipate on the interpretation the questioner may give to his words. He will try to formulate his answer in such a way that as far as his information about the information of the questioner goes, he stands the best chance to fill in the gap in the information of the questioner which is indicated by her question. Part of what is involved in this was discussed in sections 8 and 9 of G&S 1984a. See also the remarks in G&S 1984c, section 2.2.3.

66. The notions of answerhood defined here are not exactly the same as those introduced in G&S 1984a, and they are not always defined in precisely the same way. In the earlier paper the emphasis lies on pragmatic notions of answerhood. Here we start from semantic notions. For each pragmatic notion in
G&S 1984a, we here introduce its semantic counterpart. But nothing really new is introduced that way. Semantic notions of answerhood are just the limits of the corresponding pragmatic notions. The latter are defined with respect to an information set, a subset of the set of indices. In case the information set equals the set of indices, i.e., in case it contains no information at all, the pragmatic notions collapse into the semantic ones.

In this paper we will not repeat the explanations given in our earlier paper in any detail. Though we use slightly different notions and formulations here at some points, we trust the reader will have no difficulty in tracing back their counterparts and accompanying explanations and examples in G&S 1984a.

67. The only exception is the tautologous question, expressed by both the interrogative Is it true that it rains or does not rain? and Is it true that it rains and does not rain? Such interrogatives do not have two, but only one semantic answer. The linguistic answer Yes, to the first, and the answer No, to the second, both express the tautology. The partition corresponding to the tautologous question has only one element, the tautology. So, the partition the tautologous question makes on I is \{I\}.

68. This definition, and other to follow, have to be stated relative to a frame, or to a model. We will not bother about this, since in the present context it would be a mere formality to do so.

69. There is one exception to this rule. The complete answer to the tautologous question is not a partial one as well. Since a tautologous question has only one possible semantic answer, it cannot be answered partially. It takes at least two possible semantic answer if a proposition is to exclude one possible semantic answer and be compatible with at least one.

It can further be noticed that though in general not every partial answer is a complete one at the same time, this does hold for partial answers to yes/no-questions, since these have only two possible semantic answers. Though yes/no-questions are thus not open to really partial answers, they do allow for another kind of non-complete answers, referred to in G&S 1984a section 7 as 'indirect' answers.

70. This notion of the partial answer to a question given by a proposition which gives a partial answer to it, was lacking in G&S 1984a. It proves to be quite handy in a definition of notions of true answers, as Theo Janssen predicted.

71. This remarkable fact was given due attention in G&S 1984a section 5. See also section 4.2. below, especially the pair of examples (18) and (19).

72. Problematic cases are terms such as no man, at most n men, John or (John and Mary). On their standard treatment, which
does not take plurality into account, these terms come out as definite terms under definition (10). This is wrong, but does not mark a defect of the definition, but is due to the shortcomings of the standard way of treating terms. This is borne out by the fact that, once semantic plurality is taken into account, these terms indeed do come out as being indefinite. As we saw in section 3.1.3., a term such as John or (John and Mary) will then no longer correspond to a set of sets of individuals, having the set \{John, Mary\} as its unique smallest element (as the standard treatment has it), but rather will be treated as a set of sets of groups, having two smallest elements, the set \{[John]\} and the set \{[John, Mary]\}.

73. There is no need for a similar notion of semi-exhaustiveness. According to definition (8) of exhaustiveness, if a term is exhaustive, it remains so if it is extended with a non-restrictive relative clause.

74. It need not be a surprise that exhaustiveness is involved in all four notions of answerhood which are dealt with here. In this paper we only discuss mention-all questions and their answers, which are inherently exhaustive. We feel justified in restricting ourselves this way, since we believe exhaustive questions to be basic and mention-some questions largely to be a pragmatic phenomenon. (This latter view we defend with a little more doubt. See G&S 1984b for an extensive discussion of the matter.) It can be noticed, however, that if one drops the property of exhaustiveness in our statements (12), (17), (22) and (23), we do arrive at precisely the corresponding facts concerning connections between properties of terms and mention-some notions of answerhood. To give just one example, a rigid and definite term will give rise to a semantic mention-some answer to a question.

75. Thus in a Court Room examination the interrogator and the witness share a lot of information, information which is often sufficient to guarantee the communicative success of what are semantically indefinite answers. So, if the D.A. asks "And who agreed to buy the jewellery you were to steal?", an answer such as "Well, you know, the guy we talked about last time", or "The same man who always fences for me", will not do, even though the D.A. may know perfectly well who the individual that is meant, is, and thus indeed has his question answered. Instead, he will proceed to elicit a semantic identification, saying e.g. "You mean Mr. So-and-so?". This is perfectly understandable if we realize what is going on in this particular type of question-answering. The D.A. is not asking questions as a private person, with all
the information he has as a private person, but he is asking them on behalf of, as if he were, the entire community. (A criminal trial, in many countries, is a case of the State, or the Crown, or the People versus the accused.) So the answers are directed to the community, and not to the D.A. personally. This means that they should be satisfactory for the members of the community, and hence that they may assume only as much information as being available as every member of the community is assumed to have. Clearly, semantically rigid answers fulfill this requirement best. (Of course, what is semantically rigid, or what is assumed to be for ideological reasons, may differ from society to society, or from one social context to another, What is said here, should, therefore, be taken as a description of a general mechanism, not an actual situation.)

Quiz-situations, too, provide excellent examples of situations in which a semantically rigid answer is called for, even in case the respondent is able to come up with an answer that is complete and true, given the information available, but that is not semantically rigid. Thus, a true description will never be accepted as an answer to a 'Who won the such-and-such then-and-then'-question. Only a name will do. The explanation for this is not the same as for the Court Room case. Here, it seems that quiz-question do not ask for information at all (they do not really test the knowledge of the candidate). If one candidate is able to come up with the right name, although he evidently has absolutely no idea as to who the referent of the name is, and another candidate knows just about everything there is to know, except the one thing that is needed in that situation, the name, then still the answer the first candidate gives will be accepted as the 'right' answer, and the answer of the second will not (though sometimes the quiz-master will be sympathetic and count it as if it were a good answer). See also G&S 1982b for some other remarks.

76. The special role of standard answers in ordinary communicative situations, or rather the comparative notion of one answer being more standard than another, is discussed in some more detail in sections 8 and 9 of G&S 1984a, and in appendix 2 of the present paper.

77. An information set $J_{x,i} \subseteq I$, represents the information of a speech participant $x$ at an index $i$. In the text the subscripts $x$ and $i$ are suppressed. The indices $j \in J$ are the indices compatible with the information $x$ has at $i$. Each $j \in J$ could be the actual index as far as the information of $x$ goes. So, the more information $x$ has, the smaller $J$ will be. The requirement that $J$ be non-empty is the requirement that the information of $x$ be consistent. It is the only requirement that is being imposed on information sets here (since it is the only one we need for our present purposes). Many more could, and should be made to obtain a notion that is overall satisfactory. Also, it should be noted that we do not require that $i$ be an element of $J_{x,i}$. This would require the information to
be completely true. So, if we talk about information, we talk about belief, and not about knowledge. In G&S 1984 both belief sets (doxastic sets) and knowledge sets (epistemic sets) are taken into consideration.

We only consider information x has about/at the actual index, and we only consider factual information, i.e. information about the world as such, and not information about the information of other speech participants. For our present purposes incorporating such aspects would only complicate matters unnecessarily. Linguistic information, i.e. information about the meanings of expressions of the language is assumed to be fully incorporated in any information set. A speech participant may be in doubt about the facts, but not about the meanings. Within the present framework it is a consequence of this assumption that if an intention is a constant function, i.e. in case we are dealing with a rigid designator, a speech participant cannot fail to know the denotation of such an expression. This unfortunate property of the framework can be dealt with (see note 33, and some of the notes yet to follow), but we will not do so here, since it would only introduce unnecessary complications. For other relevant issues, see G&S 1981, and Landman (1984).

78. Clearly, I/Q and J/Q are related to each other. In particular, the partition Q makes on I is preserved in J:
\[ \forall X \in J/Q, Y \in I/Q : X \subseteq Y. \]
See also G&A 1984a, section 3.

79. This is not a straightforward paraphrase of definition (25), but it is completely in accordance with it. The paraphrase is stated in terms of adding a proposition to an information set, i.e. in terms of updating J with new information. This is, of course, a quite natural way of looking at what happens when a proposition is offered as an answer. In G&S 1984a the various pragmatic notions of answerhood are defined using this notion. For our present purposes it is more economic to define answerhood without introducing the notion of update.

Notice that we do not need to require that \( P \cap J \neq \emptyset \), since \( P \cap J \in J/Q \) guarantees this. Since \( J \neq \emptyset \), \( \emptyset \notin J/Q \).

80. There is one exception to this. According to the semantic definitions, a proposition can be or give an answer to the tautologous question. If we take J equal to I, the pragmatic definitions do not cover this exceptional case, since all these definitions have as precondition that Q be a question in J. For no set \( J \subseteq I \) will the tautologous question be a question in J.

81. This peculiar fact is given due attention in G&S 1984a, section 5. We will meet an example in section 4.4 below, example (38).
82. In appendix 2 we will also meet the more direct pragmatic analogue of the notion of the semantic answer to Q given by P. This notion is that of the pragmatic answer to Q given by P in J, defined as \[ U(P' \mid P' \in J/Q \& P' \cap P \neq \emptyset) \]. This notion will prove to be convenient in making a comparative evaluation of pragmatic answers.

83. In appendix 1 we will show that the notions of pragmatic rigidity and pragmatic definiteness can also be used to define the pragmatic distinction between the specific and the non-specific use of terms, as it was discussed in G&S 1981.

84. The fact that your father contains an indexical does not really matter in this example.

85. This example was discussed in section 6.3. of G&S 1982. At the time we thought that in order to be able to cope with answers such as the one in (38), one would need a refinement of one's semantics. We found such examples of answers to be problematic cases for a semantics of interrogatives based on the semantics of wh-complements we had developed in that paper. The mistake we made there, was to think that answerhood is an overall semantic notion. The example poses no problem at all as soon it is acknowledged that answerhood is first and foremost a pragmatic notion.

86. The notion of pragmatic rigidity of definite description is related to what is referred to as their referential (in distinction of their attributive) use. See appendix 1.

87. This is true only if there is just one elderly lady wearing glasses among the staff. But even in case there are more than one, the answer in (40) could be a complete answer. This would happen in case there is only one such lady in the shoe-department, even though there are others in other departments to which the description the customer uses applies as well. In this case the fact that a complete answer results, not only depends on the pragmatic interpretation of the term as such, but also on the pragmatic interpretation of the interrogative. It asks for an identification of a person who served the customer when he bought boots, so only persons who are working in the shoe-department are possible candidates. (Cf. with what is said in appendix 1 about the specific use of indefinite descriptions. There too both pragmatic properties of the term and the context of the sentence in which it occurs, are relevant.)

88. This fact is intimately related to what is said in appendix 1 about the specific use of terms.

89. A definite description might even give rise to a better answer than a proper name. This will happen in case the questioner does know who the referent of the description is, but not who
the referent of the proper name is. However, since we assume here that proper names are rigid designators, such a situation cannot occur in the framework we use. If a name is a rigid designator it belongs to the linguistic knowledge of all speech participants to know its referent.

Even within possible world semantics there are various ways to do things better, without giving up completely the rigid designator view of proper names, which, after all, seems quite firmly established. One way to do this, which uses rather orthodox means, is to add a non-universal accessibility relation to the model. One can then introduce a more restricted notion of being a rigid designator, e.g. defining a to be rigid iff for i and j that are related by this relation it holds that the denotation of a in i is the same as in j. Without further changes it then becomes possible not to know who the referent of a rigid designator is, even when one does know (does have the linguistic knowledge) that it is a rigid designator. (See also G&S 1982b for a more extensive discussion and a different kind of perspective on this issue.)

90. Contrary to what is suggested in Scha (1983, page 15, referring to G&S 1982), our theory of answerhood in no way depends on the availability of semantically rigid answers at all. If a language lacks rigid designators, or if they are lacking for particular domains of discourse (which is more than likely, see also G&S 1982b), this only means that it is more difficult, in some cases perhaps impossible, to formulate an answer linguistically, in words, that gives a semantic answer (but there are other means too, of course). This in no way denies that semantic answerhood exists as a semantic relation, i.e. as a relation between model theoretic entities. Our pragmatic theory explains why even for such a language, or for such domains of discourse, effective question-answering is possible. Semantic answers function as a kind of 'norm', so to speak, as an ideal one strives for in answering situations, but nothing dramatically happens if this ideal can't be reached. More in particular, it does not mean that effective and complete communication cannot be achieved. (See also sections 8 and 9 of G&S 1984a, and appendix 2 of this paper, for a further explanation of the normative role of standard semantic answers.)

91. Our formulation here, and elsewhere in this section, might suggest that we believe that there is a sharp dividing line between factual and linguistic information. This we certainly do not believe. Although we do not make this explicit in the text, we use the notion 'factual information' in a kind of technical sense, and the same holds for its counterpart 'linguistic information'. As technical notions, they only make sense relative to some model, or some class of models. By linguistic knowledge we mean all information that is build into the model, or is expressed in restrictions that are laid down in meaning postulates and the like. What is true throughout the model, or class of models, will be true
In any information set, given the way we construct them here. Such truths constitute the linguistic knowledge, in the technical sense, with respect to that (class of) model(s). All truths in an information set over and above these analytical truths, constitute factual information, again in the technical sense.

So, within a certain model, or class of models, there is a sharp division between linguistic truth and factual truth, but it should be borne in mind that there are only few a priori reasons which force a decision as to what kind of information one should build into the model and what not. In that sense, we believe, there is no sharp division between linguistic and factual information. (This line of thinking seems to agree with that of Johnson-Laird (1982) and Partee (1982).)

92. Partial pragmatic answers to yes/no-questions are not possible according to our definitions (see also note 69). In case the questioner has neither the information that Mary comes, nor the information that she does not come, and at the same time does not consider it impossible that my coming to the party depends on Mary's coming, the answer constitutes what we call an 'indirect' answer. Such an answer does not give a definite yes or a definite no, but it helps the questioner in this sense that it gives him a 'new' way of getting answers via the answer to another question. Given the answer If Mary comes, in the situation just sketched, he may get an answer to his original question through an answer to the question whether Mary is coming. For further discussion of indirect answers, see G&S 1984a, section 7.

93. This holds for the exhaustiveness of answers to constituent interrogatives more clearly than it does for the exhaustiveness of answers to sentential interrogatives. As for the latter, they do not, at least not in any intuitive sense of the word, ask for a specification of, a list of, items. Still, as we have seen in section 3.3.3 and section 4.5, exhaustiveness is all important in the latter case as well. This casts some doubt on the reliability of the intuition that exhaustiveness is a pragmatic phenomenon.

94. See also the discussion in note 61 about the impossibility of giving a purely pragmatic account of the fact the natural language disjunctions are sometimes interpreted as exclusive disjunctions.

95. In Grice (1975) the Maxim of Manner contains the submaxim "Avoid ambiguity". This should not, of course, be taken to say that one may only use sentences which are completely semantically unambiguous. For such sentences hardly exist, and those that do, are almost always very complicated and prolific structures. Rather, we think we must take this submaxim to require something less stringent, and the observation made in the text may help to explain why this requirement may
be less stringent than it looks at first sight. Also it may be of some help to account for existence-presuppositions of negative sentences containing definite descriptions.

96. The pragmatic distinction between specific and non-specific use, as it is discussed and defined in G&S 1981, is intimately related to the distinction between speaker's reference and semantic reference, as it is drawn by Kripke in Kripke (1979).

97. See Donnellan (1966), and the discussion in Kripke (1979).

98. In G&S 1984a a less general fact was stated, viz. (24) restricted to epistemic sets. As we see here, this restriction is not necessary. The only restriction that is made is that $P_1$ and $P_2$ are compatible in $J$. 
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436


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VI

COORDINATING INTERROGATIVES
1. Introduction

In the literature there has been some discussion of certain types of interrogative sentences which (seem to) allow for more than one complete and true semantic answer. This paper will be concerned mainly with the issue whether such interrogatives can be accommodated in keeping with the principles underlying the theory of interrogatives and answers we developed in earlier papers.¹

The main features of our approach such as are relevant to the contents of this paper, can be summarized as follows. An interrogative sentence denotes a proposition, and its denotation at a certain index is the proposition that an indicative sentence should express if it is to constitute a complete and true semantic answer to that interrogative at that index.

The denotation of an interrogative being a proposition, its sense (meaning) is a propositional concept, a relation between indices. The relation expressed by an interrogative is an equivalence relation, and is called a question.

Syntactically, interrogatives are derived from n-place abstracts, which express n-place relations. The corresponding semantic operation turns such a relation into a proposition, being the equivalence class of indices at which the extension of this relation is the same as at the actual index.

An n-place abstract is derived from an (n-1)-place one by introducing a wh-phrase. Semantically, this operation is restricted λ-abstraction.

All characteristic linguistic answers, both constituent (short) and sentential (long) ones, express propositions. Syntactically, they are derived from the abstract underlying the interrogative and a constituent. The corresponding semantic
operation consists in giving the constituent an exhaustive interpretation and then forming a proposition from it and the relation expressed by the abstract.

Semantic notions of answerhood are defined as relations between propositions, expressed by answers, and questions, expressed by interrogatives. Analogous pragmatic notions are obtained by relativizing to information sets.

In principle, wh-complements are given the same semantic interpretation as the corresponding interrogatives. Being proposition denoting expressions, they are taken to belong to the same major syntactic category as other types of complements.

Complement embedding verbs are distinguished in extensional ones, such as know, which operate on the proposition denoted by a complement, and intensional ones, such as wonder, which take the sense of a complement as argument.

To the theory of interrogatives and answers characterized by these features, we will refer as the core theory. The central concept in this theory is that of a question. As we saw above, a question is a function that assigns to every index a unique proposition, which is the complete and true semantic answer at that index. In view of this characteristic, one might wonder whether the core theory is able to deal with interrogatives which allow for more than one such answer.

As the term 'core theory' indicates, it is our opinion that this theory can be extended in a natural and elegant way to cope with these interrogatives, without giving up any of its basic features. More in particular, the notion of a question will be seen to be the central important notion for the analysis of such interrogatives as well.

In section 2, we will discuss, rather extensively but informally, the various phenomena to be accounted for. We distinguish three kinds of readings, pair-list readings, choice readings, and mention-some interpretations. It is argued that the first two are two sides of one coin, and hence are to be accounted for uniformly. Mention-some interpretations are a different phenomenon, the status of which, semantic or pragmatic, remains a
matter of dispute. It is also argued that pair-list readings and choice readings of interrogatives are closely connected with conjunction and disjunction of interrogatives.

Section 3, therefore, starts out with discussing general rules of coordination, and of quantification and entailment. In terms of these, various propositional theories of interrogatives, among these the theory of Karttunen, the core theory, and the theory of Bennett and Belnap, are confronted with the data. The conclusion of this discussion is that neither of these theories accounts for all the facts observed in section 2, and that those of Karttunen and of Bennett and Belnap do not allow for standard rules of coordination, entailment and quantification.

The core theory does, and it is argued in section 4 that a simple extension of it will account for the phenomena under discussion in an elegant way. The extension that is needed, which involves lifting interrogatives to a higher level of analysis, is just another instance of a general strategy employed in Montague grammar for dealing with coordination.

Section 5 is devoted to a discussion of mention-some interrogatives. The pros and cons of a semantic and of a pragmatic approach are discussed, and the semantic treatment within the extended version of the core theory is worked out in detail.

The final section is devoted to a short outline of the principles underlying a more flexible approach to Montague grammar. The extended core theory which is developed in this paper within standard Montague grammar, fits in neatly with this more flexible approach as it is currently being discussed.
2. Some phenomena

2.1. Pair-list readings of interrogatives

Among the three kinds of phenomena we will discuss in this paper, so-called 'pair-list' readings of interrogatives are perhaps the ones which are best understood. A standard example of an interrogative which has such a reading is (1):

(1) Which student was recommended by each professor?

Interrogative (1) is generally acknowledged to be ambiguous. It can express (at least) two different questions, which moreover are of a different kind. On one reading (1) asks for an answer such as (2), on the other for an answer such as (3):

(2)(a) John.
   (b) John was recommended by each professor.
(3)(a) Professor Jones, Bill; professor Williams, Mary;
   and professor Peters, John.
   (b) Professor Jones recommended Bill, professor Williams
   recommended Mary, and professor Peters recommended
   John.

The difference between these two readings of (1) will need no further clarification. Intuitively, the source of the ambiguity is the relative scope of the wh-phrase which student and the term each professor. On the first reading, the one which calls for such answers as (2), the wh-phrase has wider scope, whereas on the second reading, on which answers of the type of (3) are elicited, it is the term each professor which
has widest scope.

It is important to observe that on its second reading, judged from the way in which it is answered, the interrogative (1) seems to behave like a two-constituent interrogative, even though it contains only one wh-phrase. Answers like (3) give a list of pairs of individuals. They specify the extension of a relation, rather than the extension of a property (as do answers such as (2)). So, it seems that the interrogative (1) on its second reading is equivalent to the explicitly two-constituent interrogative (4):

(4) Which professor recommended which student?

This ambiguity of interrogatives such as (1) is also exhibited by sentences in which the corresponding wh-complements occur embedded under verbs such as know or wonder. Consider (5) and (6):

(5) John knows which student was recommended by each professor

(6) John wonders which student was recommended by each professor

In fact, whereas the interrogative (1) is two ways ambiguous, sentences (5) and (6) have three distinct readings.

The first reading of (5) is the one on which John knows an answer like (2) to the question expressed by the corresponding, first reading of (1). In other words, on this reading, (5) says that John knows which student is such that he or she was recommended by each and every professor. I.e. John knows what the extension of the property of having been recommended by every professor is.

Similarly, (6) on its first reading means that John wants to know an answer like (2) to the question expressed by (1) on its first reading, implying that John doesn't know that answer yet. I.e. (6) on its first reading implies the negation of (5) on its first reading.
The second reading of (5) is the one on which it expresses that John knows an answer like (3) to (1) on its second, i.e. its pair-list reading. Or, equivalently, that John knows the answer to the two-constituent interrogative (4). And (6) on its second reading means that John wants to know an answer to the question expressed by (1) on its second, its pair-list reading. Again, (6) on its second reading implies the negation of (5) on its second reading.

Besides these two readings, which stem from the ambiguity of (1), (5) and (6) have a third reading. Let us start with (6) this time. On its third reading it says that for each professor it holds that John wants to know which student was recommended by him or her. On this reading, (6) implies (7), whereas on its second reading it implies (8):

(7) For no professor, John knows which student he or she recommended.

(8) Not for all professors, John knows which student he or she recommended.

The difference is again one of scope. In sentence (6) there are three scope bearing elements: the wh-phrase which student, the term each professor, and the intensional verb wonder. On the first two readings of (6), the wh-phrase and the term are both inside the scope of wonder. These two readings are analogous to the two readings of the corresponding interrogative (1). On the third reading, the term each professor has wide scope over both the wh-phrase and the verb wonder.

Let us now consider sentence (5). For this sentence, too, three different readings can be distinguished. However, in this case, the facts that can be observed are slightly different. On its third reading, (5) states that for every individual which in fact is a professor, John knows which student was recommended by that individual. As such, this is not sufficient to guarantee that John knows the answer to (1) on its pair-list reading, which is required for (5) to be true on its second reading. To know the answer to (1) on its pair-list reading
is the same as knowing the answer to (4). It is to know the extension of the recommend-relation restricted to professors and students respectively. So, (5) on this reading is equivalent to (9):

(9) John knows which professor recommended which student

As we have argued elsewhere, this involves a certain amount of de dicto knowledge: of the professors involved, (9), and hence (5) on its pair-list reading, requires that John is aware of them being professors. The third reading of (5) differs from the pair-list one exactly in this respect. In this case the restriction to professors is made from outside so to speak. On this reading, the term every professor has wide scope over know, and in this case (5) is true iff John knows of every individual that actually is a professor which student that individual recommended. Unlike in the previous reading, there is no implication concerning any de dicto knowledge regarding who the professors are.

So, both (5) and (6) have three different readings, definable in terms of the relative scope of the term every professor. There is a difference however, which has to do with lexical semantic aspects of meaning of the verbs know and wonder. It can be observed that if we replace the term every professor in (5) by a rigid term, such as John and Mary, or everyone (assuming the latter to range over all of one, fixed domain), the third reading and the second one coincide. In (6), however, the difference remains, we still have two different implications, viz. (10) and (11):

(10) For no-one, John knows which student he or she recommended
(11) Not of everyone, John knows which student he or she recommended

The difference between the second and the third reading of (5) depends essentially on the fact that knowledge of who
the professors are, is a contingent matter. For rigid terms this is different. Assuming the classical semantics of propositional attitudes, their extension is known to everyone.6

2.2. Choice-readings of interrogatives

Let us now turn to the second kind of phenomenon we want to discuss. The core theory described in section 1 seems to face a potential problem. It seems to commit what Belnap has called 'The Unique Answer Fallacy'.7 The theory appears to presuppose that any interrogative has a unique complete and true semantic answer at a given index. As is convincingly argued for by Bennett and Belnap, some interrogatives have a reading on which they do allow for more than one complete and true semantic answer.8 A simple example of such an interrogative is (12):

(12) Whom does John or Mary love?

The interrogative (12) is ambiguous. First of all, it has a reading on which it asks for a specification of the individuals loved by either John, or Mary, or both. The question which is expressed by (12) on this reading has a unique true and complete semantic answer. At an index at which the individual that John loves is Suzy, and the individuals that Mary loves are Suzy and Bill, this unique answer is expressed by (13):

(13)(a) Suzy and Bill.
   (b) Suzy and Bill (are the ones that) are loved by
       John or Mary.

On its second reading (12) asks either to specify the individuals loved by John, or to specify the individuals loved by Mary. On this reading (12) allows for (at least) two different complete and true semantic answers. In the situation just
described, each of the answers (14) and (15) will count as a complete and true semantic answer to (12) on this reading:

(14)(a) John, Suzy.
   (b) John loves Suzy.
(15)(a) Mary, Suzy and Bill.
   (b) Mary loves Suzy and Bill.

The expressions in (14) answer the question whom John loves, those in (15) the question whom Mary loves. It seems that on this reading (12) does not correspond to a single question, but rather poses more than one question at the same time, and leaves the addressee the choice which one he wants to answer. One might say that on this reading (12) can be re-phrased as the disjunction of interrogatives (16):

(16) Whom does John love? Or, whom does Mary love?

Such a disjunction is answered by answering (at least) one of its disjuncts. This reading of (12) we call its 'choice-reading'. On a choice-reading, an interrogative does not express a single question, but is associated with several different questions. Hence, it would be more appropriate to say of a theory that does not account for these facts that it commits 'the unique question fallacy', rather than The Unique Answer Fallacy, as Belnap does. Both terminologies express a view on the matter in which the existence of interrogatives with more than one complete and true semantic answer is taken into consideration. But, as will become more clear later on, the two views are by no means mere terminological variants.

Choice-readings of interrogatives are intimately related to pair-list readings, which were discussed in the previous section. Compare (12) with (17):

(17) Whom do John and Mary love?

Like (12), and like (1) in section 2.1, (17) is ambiguous.
First of all, it may be taken as asking for a specification of the individuals which John and Mary both love. In the situation described above, in which John loves Suzy, and Mary loves Suzy and Bill, the unique true and complete answer to (17) on this first reading is (18):

(18)(a) Suzy.
(b) Suzy is (the one who is) loved by John and Mary.

On its second reading, (17) asks both to specify the individuals that John loves, and to specify the individuals that Mary loves. So, in our sample situation, (17) on this reading has (19) as its unique true and complete semantic answer:

(19)(a) John, Suzy; and Mary, Suzy and Bill.
(b) John loves Suzy, and Mary loves Suzy and Bill.

One might say that (17) corresponds to the conjunction of interrogatives (20):

(20) Whom does John love? And, whom does Mary love?

Such a conjunction is to be answered, of course, by answering both conjuncts.

It will be clear that on the last reading, (17) is yet another example of an interrogative on a pair-list reading. As was the case with the standard example (1), the two readings of (17) are the result of the interaction of the scopes of a wh-phrase, in this case whom, and a term, in this case John and Mary. And, notice also that, as was the case with (1) on its pair-list reading, (17) on this reading is characteristically answered by specifying the extension of a relation, and not that of a property. The answers in (19) give a list of pairs, and doing so they specify the extension of the love-relation restricted for its first argument to John and Mary. So, interrogatives like (17), on the reading under discussion, are like multiple constituent interrogatives, although they
contain just one wh-phrase. The same fact was observed above with respect to example (1).

Let us now return to the phenomenon of choice-readings. Although this reading of interrogatives has the distinctive feature of associating more than one question with an interrogative, it shares the two important characteristics of pair-list readings just mentioned. First of all, for a choice-reading too, it holds, at first sight, that it is the result of giving the term in the interrogative wide scope over the wh-phrase that occurs in it. So, the choice-reading of (12) results if we give the term John or Mary wide scope over whom, just as the pair-list reading of (17) is the result of giving the term John and Mary wide scope. And secondly, on its choice-reading, (12) behaves like a multiple (two-)constituent interrogative, judged from the way in which it is answered on that reading, viz. by answers such as (14) and (15). These answers too specify the extension of the love-relation, restricted in its first argument either to John or to Mary, by giving a list of pairs. And this holds for pair-list readings too, as we saw above.

The same observations can be made with regard to choice-readings of interrogatives which contain an existentially quantified term, rather than a disjunctive one. Consider (21):

(21) What did two of John's friends give him for Christmas?

Of course, (21) has the reading on which it can be answered by such answers as (22):

(22) A watch.

The answer (22) to (21) on this reading expresses that a watch was given to John by two of his friends, together, or by each one of them. This reading corresponds to the first reading of the other examples we discussed, and it is the one in which the wh-phrase has widest scope. 9

The reading we are primarily interested in here, is the one
in which the term two of John's friends has widest scope. In that case we get the choice-reading, on which (21) asks to specify for two of John's friends what each of them gave him for Christmas. The hearer is left the choice for which two he wants to answer. So, answers like (23) are in order as answers to (21) on this reading:

(23) (a) Bill, a watch and a ball; Peter, a book and a pen.
(b) Bill gave him a watch and a ball, and Peter gave him a book and a pen.

And, if Fred is a friend of John's as well, answers similar to (23) but specifying the gifts of Bill and Fred, or those of Peter and Fred, count as complete answers too. Again, it seems rather clear that on its choice-reading (21) is like a two-constituent interrogative in that it asks for specifications of pairs of individuals.

From the discussion of these examples, and others can easily be found, it seems save to conclude that the phenomenon of pair-list readings and that of choice-readings have one and the same source: a term having wide scope over a wh-phrase. Depending on the nature of the term then, its having wide scope results either in a pair-list reading, on which the interrogative can be taken to express just one question and consequently has a unique complete and true semantic answer, or in a choice-reading, in which case the interrogative is associated with more than one question and hence has more than one complete and true semantic answer.

Although some terms give rise to pair-list readings, and others to choice-readings, not all terms give rise to either one of these two. Consider the following examples:

(24) Which student was recommended by no professor?
(25) What did at most one of John's friends give him for Christmas?

These interrogatives do not allow for either a pair-list or
a choice-reading, since the terms no professor and at most one of John's friends cannot be interpreted as having wide scope over the respective wh-phrases. The intuitive reason for this is quite clear. If one were to take them to have wide scope, a reading would result on which the interrogative could be answered by saying nothing at all, i.e. by answering no question. The semantic characteristic of terms for which this holds is that they are monotone decreasing terms. Extensionally, such terms always contain the empty set as one of their elements. In fact, it seems that only monotone increasing terms can be interpreted as having wide scope over a wh-phrase in an interrogative. Within this class of terms, those which always have a unique, not necessarily empty, smallest element induce a pair-list reading which ranges over the elements of this unique element. And the terms which give rise to choice-readings are those which always have more than one, non-empty smallest element, the choice ranging over these smallest elements.

In view of the structural resemblances between pair-list readings and choice-readings, it is to be expected that the phenomena observed in the previous section with respect to embeddings of the corresponding wh-complements under various kinds of verbs, carry over. Consider sentence (26), in which the complement corresponding to (12) is embedded under the verb wonder:

(26) Bill wonders whom John or Mary loves

As was the case with (5), discussed in the previous section, (26) is three-fold ambiguous. First of all, there is the reading on which (26) claims that Bill wants to know the answer to the question which individuals are loved by John, or by Mary, or by both. The second reading expresses that Bill wants either for John to know whom he loves, or for Mary to know whom she loves, (or for both). So, on this reading (26) says that Bill wants an answer to at least one of the two questions whom John loves, and whom Mary loves.
Besides these two readings, there is a third one, which says that either for John, Bill wants to know whom he loves, or for Mary, Bill wants to know whom she loves. Assuming that to wonder implies to not know, these last two readings can be seen to differ in that the second implies (27), and the third implies (28):

(27) Bill does not know whom John loves and Bill does not know whom Mary loves

(28) Bill does not know whom John loves or Bill does not know whom Mary loves

Again, the differences appear to be a matter of scope. The first reading is the one in which the disjunctive term is inside the scope of the wh-phrase. The second one is the result of the term having wide scope over the wh-phrase. And the third reading occurs if the term has wide scope over the sentence as a whole.

The second and the third reading of (26) are parallel to the de dicto and the de re reading of a sentence like (29):

(29) Bill seeks John or Mary

On its de dicto reading, (29) claims that Bill will stop searching both in case he has found John and in case he has found Mary. On its de re reading, (29) expresses doubt as to whom Bill actually seeks. It is either John, in which case finding Mary will not satisfy Bill, or it is Mary, and then finding John is of no help.\footnote{11} Assuming that seeking implies not yet having found, these two readings differ in that they imply (30) and (31) respectively:

(30) Bill has not yet found John and Bill has not yet found Mary

(31) Bill has not yet found John or Bill has not yet found Mary
The ambiguity of (29) disappears if we replace the intensional seek by the extensional find. And in fact, if we replace the intensional wonder by the extensional know in (26), the second and the third reading coincide as well, as (32) shows:

(32) Bill knows whom John or Mary loves

But this happens only in virtue of the fact that John or Mary is a rigid term. If we replace it by the non-rigid term two girls, the two readings do not coincide. For its second, its choice-reading, John then has to know de dicto of two girls whom each of them loves. And for its wide scope reading, John needs to know this de re of two individuals which are girls.

Let us sum up our findings of this and the previous section. Pair-list readings and choice-readings exist as distinct readings. On its choice reading an interrogative is associated with more than one question, and, for that reason, has more than one complete and true semantic answer. Pair-list readings and choice-readings are related phenomena. Both are a matter of scope, and induce an n+1-constituent interpretation of what superficially is an n-constituent interrogative. Both readings are preserved under complement embedding verbs, but may coincide with wide scope readings, depending on the meaning of the verb and the term. And finally we have seen that whether a pair-list reading or a choice reading results when we assign a term wide scope with respect to a wh-phrase, depends on the semantic properties of the term.

2.3. Mention-some interpretations of interrogatives

Choice readings of interrogatives are not the only case of interpretations of interrogatives on which they have more than one semantic answer. The other case is what is often called the 'mention-some' interpretation of interrogatives. Our stock example of this interpretation involves the interrogative (33):
(33) Where do they sell Italian newspapers in Amsterdam?

The mention-some interpretation of (33) is assigned to it for example when it is asked by an Italian tourist who wants to buy a paper because he is curious as to how things are going in his country. If he addresses someone on the streets of Amsterdam, and asks (33), he thereby invites the addressee to mention some place in Amsterdam where Italian newspapers are sold, preferably one that is not too far away, and not too difficult to find.

Though this is perhaps the interpretation of (33) that comes to mind first, it is by no means the only possible one. It is not too difficult to think of a context in which the intended interpretation of (33) is a mention-all interpretation. For example, one can imagine someone who is interested in setting up a distribution network for foreign newspapers in Amsterdam. First she has to explore the market. If in such a context (33) is used, the informant is invited to mention all places in Amsterdam where Italian newspapers are sold.

Other examples of interrogatives that naturally allow for a mention-some interpretation are (34) and (35):\(^{13}\)

(34) Who has got a light?
(35) Where can I find a pen?

On their mention-some interpretation (33), (34) and (35) allow for several different semantic answers, whereas on their mention-all reading they have a unique complete and true semantic answer.

We deliberately avoid to speak of the mention-some reading of interrogatives, but prefer to use the more vague terminology of the mention-some interpretation. If we say of an expression that it has different readings, we mean by that that it is associated with different semantic objects (such as propositions in the case of indicative sentences, and questions in the case of interrogative sentences). If we speak without qualification of different interpretations, we mean
to leave open the possibility that what is involved is not a semantic ambiguity, but rather a purely pragmatic multi-interpretablity.\textsuperscript{14}

For similar reasons we avoided saying above that on its mention-some interpretation an interrogative has more than one complete and true semantic answer. It has more than one semantic answer, that is certain, but whether these all can be counted as complete and true answers, rather than merely partial ones, we want to leave as an open question for the moment. If they are to be counted as such, then the mention-some interpretation is indeed a semantic reading. But as we shall see, we believe that there are good reasons to doubt whether this is the case.

Be this as it may, the fact that both mention-some interpretations and choice-readings of interrogatives allow for more than one answer, should not lead one to believe that the two phenomena are basically the same. Even if the mention-some interpretation is a distinct semantic reading, it most certainly is not the same as the choice-reading. Various arguments show this quite clearly.\textsuperscript{15}

First of all, it should be noted that (33) on its mention-some interpretation is answered in the same way as all one-constituent interrogatives are, viz. by such answers as in (36), which simply give the name of a place that has the property that Italian newspapers are sold there:

\begin{enumerate}
\item[(36)](a) At the Central Railway Station.
\item[(b)] At the Central Railway Station they sell Italian newspapers.
\end{enumerate}

In this respect, mention-some interpretations differ from choice-readings, which, as we saw above, are typically answered by the listing of a set of pairs, i.e. in the same way as multiple constituent interrogatives.

Secondly, though the examples (33)-(35) contain all existentially quantified terms, there are also interrogatives containing universally quantified terms and negative terms which
also have a mention-some interpretation. Examples are (37) and (38):

(37) Where do they have all books written by Nooteboom in stock?
(38) On which route to Rotterdam is there likely to be no police-controle?

Depending on the context, (37) may be given a mention-all interpretation, on which it asks for an exhaustive listing of all decent bookshops, or it may be given a mention-some interpretation, for example if I just want to buy all of Nooteboom's books at the same time, in one bookstore. Likewise, (38) in some context may have a mention-all interpretation. Or, and this is perhaps the interpretation that comes most readily to mind, it may be assigned a mention-some interpretation, for example if I want to go home 'safely' after a delirious party. It should be noted that neither (37), nor (38) has a choice-reading, such a reading being excluded by the very semantic properties of the terms all books written by Nooteboom and no police-control respectively. Giving the first term wide scope results at best, for this isn't a very likely reading of (37) at all, in a pair-list reading, but not in a choice-reading. And for no police-control, it holds that it cannot be taken to have wide scope at all.

Of course, if interrogatives can have distinct mention-some interpretations, then so can the corresponding wh-complements. In fact, this provides us with yet another argument for distinguishing mention-some interpretations from choice readings.

As we saw in the previous section, choice readings coincide with wide scope readings in case the embedding verb is know and the term is semantically rigid. This means that on its choice reading, (39) is equivalent with (40):

(39) John knows where Suzy or Mary is
(40) John knows where Suzy is or John knows where Mary is
If mention-some interpretations and choice readings were one and the same phenomenon, then (40) would have to be a correct paraphrase of the mention-some interpretation of (39) as well. But surely, this is not the case. If we take the complement in (39) on its mention-some interpretation, then the sentence means that John can indicate some place where either Suzy or Mary can be found, without this implying, however, that John knows which one of the two girls it is that can be found there. But the latter is implied by (40), which we have seen to be equivalent with (39) on its choice reading.

This and the other arguments given above, suffice to show that mention-some interpretations differ in important respects from choice-readings. Whereas the latter are the result of a term having wide scope over a wh-phrase, where the term is required to have certain specific semantic properties, the mention-some/mention-all dichotomy, whatever its nature may be, does not appear to be the result of a difference in relative scopes. Consider yet another example:

(41) John knows where a pen is

On its mention-all interpretation, (41) means that John knows of all and only the places where a pen is that there is a pen there. On its mention-some interpretation, (41) expresses that of some place where a pen is, John knows that there is a pen there. In both cases, the wh-phrase where appears to have wide scope with respect to the term a pen. It is the wh-complement as a whole, so to speak, that can get interpreted either universally or existentially.

From the paraphrases we just gave, it is also clear that (41) on its mention-all interpretation, implies (41) on its mention-some interpretation, but only under the assumption that there is at least one place (in the domain of discourse) where a pen can be found. If nowhere there is a pen to be found, and if John is aware of this deplorable fact, then (41) is true on its mention-all interpretation, but one would not call it true on its mention-some interpretation.
Connected with this fact is another one which concerns the nature of the answers that an interrogative on a mention-some interpretation allows. Consider (42):

(42) Where can I find a pen?

On its mention-some interpretation, (42) allows for different answers, but these must all be 'positive'. They all must identify a place where a pen is. Places where no pen can be found, do not count at all. All and only propositions which of a certain place where a pen is, say that there is a pen there, can count as answers. But for the mention-all interpretation places where no pen is, do count as well. The answer that nowhere a pen can be found, is a good answer to (42) on its mention-all interpretation.

For the moment that is all we want to say about mention-some interpretations of interrogatives. Going into further detail would mean going further into their actual analysis than is relevant at this stage. In particular, we will postpone the discussion as to whether they should be considered to constitute distinct semantic readings, or rather should be taken into account along pragmatic lines. At this point it suffices to have shown that mention-some interpretations are different from choice-readings, and that hence the latter can be dealt with separately.

2.4. Conclusion

From the characterization of the core theory of interrogatives given in section 1, it will be clear that it needs to be extended if it is to be able to cope with the phenomena discussed above. We will see that the extension of the theory that is needed, is a completely straightforward one, which uses general principles and strategies that are employed in other domains as well. Nothing essential in the core theory, in particular nothing essential about the semantic notion of a
question needs to be revised in any way. Essential to this notion of a question is that it has a unique complete and true semantic answer at an index. The key to the proper treatment of choice-readings and the like, is the distinction between an interrogative as a linguistic object, and a question as a semantic object. Loosely speaking, on a choice-reading, an interrogative expresses more than one question, each of these having its own complete and true semantic answer. And in virtue of that, an interrogative may have more than one complete and true semantic answer.
3. Interrogatives, coordination and quantification

3.1. General rules of coordination and quantification

In discussing the phenomena of pair-list readings and choice-readings in the previous section, we noticed that they are connected to coordination of interrogatives, to conjunction and disjunction respectively. We also observed that pair-list and choice-readings result if a term in an interrogative is taken to have wide scope over a wh-phrase. A standard way to account for such scope phenomena (though certainly not the only possible way), is to assume that the term that has wide scope is quantified-in. In the cases under discussion, this would mean that the term is quantified into an interrogative.

In evaluating existing proposals for the analysis of these phenomena, and in formulating our own proposal, it will prove helpful to make use of insights into the nature of coordination and quantification as general processes. For that reason we start out in this section with some general remarks about the nature of these semantic processes. Thereby we base ourselves on other work in this area, especially on that of Barbara Partee and Mats Rooth.

Coordination, more specifically conjunction and disjunction, is possible between expressions within many different categories. If two or more expressions of a category A are coordinated, the result is again an expression of category A. Though as a semantic operation, coordination applies to objects of many different types, all these have something in common. Basically, conjunction and disjunction apply to sentences, expressions denoting truth-values. If expressions can be coordinated at all, they belong to a type that is
related to the type of truth-values in a particular way. Such expressions denote objects of a 'conjoinable' type:

(CT) t is a conjoinable type;
\langle a, b \rangle is a conjoinable type iff b is a conjoinable type

All conjoinable types 'end' in t, so to speak. If we keep applying an expression of a functional conjoinable type to argument expressions of the appropriate types, we will eventually end up with an expression of type t.

The semantic result of the conjunction of two expressions of the same conjoinable type can be defined generally in terms of the application of one semantic operation \& summ to the objects they denote:

(CONJ) Let x and y be objects of a conjoinable type a. Then x \& y is recursively defined as follows:
   (i) if a = t, then x \& y = 1 iff x = y = 1; and x \& y = 0 otherwise
   (ii) if a = \langle b, c \rangle, then x \& y = \lambda z[x(z) \& y(z)]

Similarly, the semantic operation \ or associated with disjunction is defined as follows:

(DISJ) Let x and y be as above. Then x \ or y is defined as:
   (i) if a = t, then x \ or y = 0 iff x = y = 0; and x \ or y = 1 otherwise
   (ii) if a = \langle b, c \rangle, then x \ or y = \lambda z[x(z) \ or y(z)]

Not only conjunction and disjunction, but also (logical) entailment can be defined in this general fashion, in terms of the general relation \subseteq of (logical) inclusion:
(INCL) Let $x_1, \ldots, x_n, y$ be objects of a conjoinable type $a$. Then $x_1, \ldots, x_n \sqsupset y$ is defined as follows:

(i) if $a = t$, then $x_1, \ldots, x_n \sqsupset y$ iff it is not the case that $x_1 \cap \ldots \cap x_n = 1$ and $y = 0$

(ii) if $a = \langle b, c \rangle$, then $x_1, \ldots, x_n \sqsupset y$ iff

$$\forall z \left[ \left( x_1 \cap \ldots \cap x_n \right)(z) \sqsupset y(z) \right]$$

Entailment as a relation between expressions of a language can straightforwardly be defined as inclusion of their meanings, in a certain model, or in all models, respectively.\(^{19}\)

The fact that such general definitions of the semantic interpretation of coordination and the semantic relation of entailment are possible, gives rise, in a natural way, to the following criteria of adequacy for a semantic theory.

Any syntactic operation of coordination by conjunction should be interpreted as the semantic operation $\prod$.\(^{20}\)

Any syntactic operation of coordination by disjunction should be interpreted as the semantic operation $\sqcup$.

Entailment relations between expressions should be accounted for by the general definition of entailment in terms of inclusion of their meanings.

Let us now turn to the general form of quantification rules. In most cases a quantification rule is intended as a means to give a term wide scope over other elements in a construction. Disregarding 'negative' terms for the moment, which as input of a quantifying-in process are problematic anyway, we can say that this giving the term wide scope is the result of distributing a property, constructed from the phrase that we quantify into, over the elements in the coordination embodied in the term.\(^{21}\)

This leads us to the following description of what a proper quantification rule should look like. A quantification rule takes two arguments, a term and some other construction. A term is, extensionally speaking, a set of sets of elements in some domain, i.e. it is an expression of type $\langle \langle a, t \rangle, t \rangle$, $a$ being the type of the domain the term quantifies over. So, terms are always of a conjoinable type. The type of the
construction that the term is quantified into, has to be such that it can be turned into an expression that denotes a property of objects of type \( a \), i.e. an expression of type \(<s,<a,t>>\). If quantification is to have any real effect, this property denoting expression should be constructed by abstraction over a free variable of type \( a \). All this means that the expression that is quantified into, should be of a conjoinable type too, just as the term. The procedure is then as follows. From the expression that is quantified into, the required property denoting expression is obtained by first lowering its type to \( t \), by applying it to suitable variables of the appropriate types, if such be necessary. By abstraction over the presumed free variable of type \( a \), and by abstraction over the variable of type \( s \), the property denoting expression is obtained. Functional application of the term to this expression, distributes the property over the elements of the coordinated structure which is semantically inherent in the term. Quantification should always result, in the end, in an expression that is of the same type as the original expression that is quantified into. This is obtained by abstracting over the variables introduced in lowering the type.

So, taking intensionality into account, the following general schema of quantification rules emerges:

\[\text{(QUANT)}\]

Let \( \alpha \) be an expression of type \(<<s,<a,t>>,t>>\), and \( \beta \) an expression of a conjoinable type \( b \), containing a free variable \( x_a \). Quantification of \( \alpha \) into \( \beta \) for \( x_a \) has the following semantic effect: \( Q(\alpha,x_a,\beta) \); where \( Q(\gamma,y,\delta) \) is defined as follows:

(i) if \( \delta \) is of type \( t \), then \( Q(\gamma,y,\delta) = \gamma(\lambda a \lambda y \delta) \)

(ii) if \( \delta \) is of type \(<a,b>>\), then

\[Q(\gamma,y,\delta) = \lambda x_a [Q(\gamma,y,\delta(x_a))]\]

According to QUANT, of which for example the quantification rules defined in Montague's PTQ are straightforward instances, the only operations which are allowed, are those of functional application and abstraction. No other operations on the input
of the rule, either the term or the phrase that is quantified into, are to enter into it. This restriction, which in fact excludes a number of proposed quantification rules, is motivated by the purpose of quantification. Giving one element wide scope over some other should not involve changing the meaning of either of these elements in any way. Moreover, imposing such restrictions on quantification rules, one gains predictive power. For terms ranging over any domain, and for expressions of any conjoinable type, the schema QUANT predicts what quantification precisely is. So, from QUANT another adequacy criterion for semantic theories naturally arises: rules of quantification should be instances of the general schema QUANT.

3.2. Coordination and quantification in some propositional theories

In this section we give a brief overview of how various propositional theories of interrogatives and/or wh-complements relate to the phenomena discussed in section 2. A discussion of the various pro's and con's of these approaches may shed some more light on the nature of the data, and may point the way towards their proper analysis.

Among the semantic analyses of interrogatives and wh-complements, one can distinguish two main types of approaches: propositional theories and categorial theories. Of the latter the best-known is probably Hausser's. Categorial theories treat n-constituent interrogatives, interrogatives containing n wh-phrases, as expressing n-place relations. Their main advantage is that, under such an analysis, interrogatives can quite easily be linked with constituent ('short') answers. Their main disadvantage is that they end up with a great many different kinds of interrogatives. Yes/no-interrogatives, single constituent interrogatives, two-constituent interrogatives, interrogatives containing wh-phrases of different categories, each one of these belongs to its own syntactic
category, and hence, is assigned its own type of semantic object. This has some obvious drawbacks.

In section 3.1 we have seen that coordination is defined between expressions that belong to the same category. This means that, strictly speaking, a categorial approach cannot account for coordination of interrogatives in general. This deficiency can be remedied only either by introducing ad-hoc, non-standard coordination rules for interrogatives in different categories, or by applying some semantic operation to interrogatives which makes them expression of one and the same semantic type after all. The first escape route leads to a theory that does not meet the adequacy criteria, and the second one to a theory that is no longer a categorial theory.

Assuming interrogatives to be expressions of many different categories also forces one to introduce a non-standard notion of entailment between interrogatives. Obviously, the general definition of entailment cannot be used in a categorial theory, since it is defined only between expressions of the same (conjoinable) type.

Also, a categorial approach to interrogatives predicts, if we assume the equivalence thesis, which in its strong form requires that interrogatives and wh-complements be semantically equivalent, that wh-complements, and hence wh-complement embedding verbs, belong to many different syntactic categories as well.

Especially in view of the phenomena we discussed in section 2, these facts give ample reason to abandon the categorial approach. The theory of interrogatives that it leads to, does not meet general adequacy criteria, and cannot be expected to deal successfully with the phenomena that we are discussing here. An adequate theory has to be one that assigns interrogatives to one syntactic category and one semantic type. Propositional theories fulfill this requirement, and it is to a discussion of some of them that we now turn.
3.2.1. Karttunen

The best-known propositional approach is Karttunen's, which builds on the theory of Hamblin, which is the oldest propositional theory in the Montague framework. In Hamblin's analysis, the sense of an interrogative is a set of propositions. Roughly speaking, the elements of such a set are the propositions expressed by possible semantic answers. As we have argued elsewhere, Karttunen's most fundamental improvement on Hamblin's theory is that he enriches it with the standard distinction between sense and denotation. Karttunen considers the denotation of an interrogative to be a set of propositions, and hence, its sense to be a function from indices to propositions. Roughly speaking again, if we take the union of all such sets for all indices, we arrive at Hamblin's set of possible answers. The members of the set of propositions denoted by an interrogative at an index, jointly, i.e. in conjunction, are the proposition expressed by the complete and true semantic answer at that index. This characterizes Karttunen's theory as one which, as it stands, is restricted in its application to interrogatives which express a unique question, i.e. have a unique true and complete answer at an index.

The main advantage and disadvantage of Karttunen's propositional approach are complementary to those of Hausser's categorial approach. Karttunen's theory is badly attuned to constituent answers, but it does assign the same category to all kinds of interrogatives, and hence to all kinds of wh-complements. So, at least in principle, entailment relations between and coordinations of all kinds of interrogatives can be standardly accounted for. Also, a standard quantification rule can be formulated in this framework, since <<s,t>,t>, the type of sets of propositions, is a conjoinable type.

But, of course, whether a standard rule gives empirically adequate results depends on on what kind of semantic objects a certain theory has it operate. For example, if a theory assigns the proper semantic object to interrogatives, then
the standard coordination rules must give empirically correct results. This means that using the standard rules, an investigation of the results will inform us directly as to the theory's adequacy.

Karttunen's theory is a unique question/unique answer theory, as we observed above. This in itself is a sufficient reason to expect it to fail to account properly for disjunction of interrogatives, and for choice-readings of interrogatives. On the other hand, there is no a priori reason to think that it cannot cope with conjunction of interrogatives, and pair-list readings. A conjunction of two interrogatives which each have a unique answer, can be answered uniquely too, viz. by the conjunction of the answers to the conjuncts. And a similar story can be told for pair-list readings. However, it is easy to see that, despite this, Karttunen's theory also fails to give a proper account of, for a start, conjunction of interrogatives.

On Karttunen's analysis an interrogative denotes a semantic object of type \(<<s,t>,t>,t>\), a set of propositions. The general conjunction schema CONJ, defined in section 3.1, predicts that the semantic part of the rule which forms conjunctions in Karttunen's framework would have to be of the following form:

(1) Let \(\phi'\) and \(\psi'\) be the translations of two interrogatives \(\phi\) and \(\psi\). Then the conjunction of \(\phi\) and \(\psi\) translates as \(\lambda p[\phi'(p) \land \psi'(p)]\).

Interrogatives denoting sets of propositions, conjunction comes down to intersection of these sets. However, since in Karttunen's approach the propositions in the set denoted by an interrogative jointly form the true and complete answer to that interrogative, this is evidently not the right result. Consider what happens if we conjoin (2) and (3), as in (4):

(2) Whom does John love?
(3) Whom does Mary love?
(4) Whom does John love? And, whom does Mary love?
The translations of (2) and (3) are (5) and (6):

(5) \( \lambda p[p(a) \land \exists x[p = \lambda a[love(a)(j,x)]]] \)
(6) \( \lambda p[p(a) \land \exists x[p = \lambda a[love(a)(m,x)]]] \)

Application of the conjunction rule (1) gives (7) as the translation of (4):

(7) \( \lambda p[p(a) \land \exists x[p = \lambda a[love(a)(j,x)]] \land \exists x[p = \lambda a[love(a)(m,x)]]] \)

If John and Mary are different individuals, (7) denotes the empty set. Thus, on Karttunen's analysis (4) would be an interrogative which does not have an answer.

In order to obtain correct results within Karttunen's framework, one would have to introduce an ad-hoc conjunction rule for interrogatives which has the semantic effect of disjunction:

(8) Let \( \phi \) and \( \psi \) be as above. The conjunction of \( \phi \) and \( \psi \) translates as \( \lambda p[\phi(p) \lor \psi(p)] \)

But with this conjunction rule the theory no longer meets one of the general adequacy criteria which we discussed above.

If coordination of expressions in a certain category goes wrong, i.e. cannot be handled in the standard way, but calls for an ad-hoc definition, this is a sure sign that the expressions in question are not assigned their proper semantic type. And if that is the case, entailments between such expressions are bound to go wrong somewhere too.

In fact, the examples (2)-(4) already may serve to illustrate this. Intuitively (4) implies (2) (and (3)): asking (4) is also asking (2). The question expressed by (4) contains the question expressed by (2). Or to put it differently but equivalently, any answer to (4) will be an answer to (2) as well. The general, standard definition of entailment in terms of meaning inclusion predicts the following definition of entailment between interrogatives in Karttunen's framework:
(9) Let $\phi$ and $\psi$ be two interrogatives translating as $\phi'$ and $\psi'$ respectively. Then $\phi$ entails $\psi$ iff $\forall \forall p[\phi'(p) \Rightarrow \psi'(p)]$

Using the non-standard definition of conjunction (8) to give (4) its proper meaning, it is easy to see that (2) implies (4), rather than conversely, as should be the case. So, an ad-hoc rule of entailment between interrogatives is called for as well in which the inclusion-relation is reversed, so to speak.

But it should be noted that, although such a rule would be correct as far as the entailment relations between a conjunction of interrogatives and its conjuncts are concerned, it still would give improper results with regard to other entailments. A simple example is the entailment of (11) by (10):

(10) Who walks?
(11) Does John walk?

In Karttunen's framework (10) and (11) translate as (12) and (13), respectively:

(12) $\lambda p[p(a) \land \exists x[p = \lambda a[\text{walk}(a)(x)]]]$
(13) $\lambda p[p(a) \land [p = \lambda a[\text{walk}(a)(j)] \lor p = \lambda a[\neg \text{walk}(a)(j)]]]$

At an index at which John walks, (13) is a subset of (12), but at an index at which he doesn't, this is not the case. So, even using an ad-hoc definition of entailment, instead of (9), will not allow one to account for the entailment relation between (10) and (11). And the standard definition (9) does not account for it either, of course.

If coordination goes wrong, it is to be expected that the standard rule of quantification will not give the required results either, since after all quantification involves coordination (at least in the interesting cases). According to the schema QUANT a rule that quantifies terms into interrogatives has the following form, if interrogatives are
analyzed as denoting sets of propositions:

(14) Let $a'$ be the translation of a term $a$, and $\phi'$ the translation of an interrogative $\phi$, containing a free occurrence of a variable $x_n$. The semantic effect of quantifying-in $a$ into $\phi$ for $x_n$ is the following:

$$\lambda p[\alpha'[\lambda a x_n[\phi'(p)]]]$$

This rule is employed by Karttunen in deriving multiple constituent interrogatives, but not in deriving pair-list readings or choice-readings. Karttunen's theory being a unique question/unique answer one, we can foresee that (14) will not give adequate results, viz. choice-readings, if it is applied to such terms as typically give rise to choice-readings. If (14) works at all, it works for pair-list terms, i.e. monotone increasing terms with a unique smallest element, only.

Let us see what happens if we use (14) to quantify in a simple example of such a term, (15), into the interrogative (16):

(15) John and Mary
(16) Whom does he love?

As is to be expected, the result is the same as that of applying the standard conjunction rule to the interrogatives (2) and (3), viz. (7). And as we already argued, this result is not correct.

The remedy that suggests itself is again to define a non-standard quantification rule that treats the conjunction in the term as if it were a disjunction. The semantic effect of such a rule is described by (17) ($\alpha'$ and $\phi'$ are as in (14)):

$$\lambda p[\alpha'[\lambda a x_n[\exists \phi'(p)]]]$$

This rule has in fact been proposed by Karttunen and Peters. Adding such a rule to one's grammar solves the problem of
quantifying in terms which result in pair-list readings, but in a totally ad-hoc and non-standard way. The resulting theory no longer meets an important adequacy criterion: And, moreover, it does not deal with the phenomenon of choice-readings at all, let alone in a satisfactory way. Pair-list readings and choice-readings are, as we have seen above, structurally related phenomena. And an ad-hoc solution to one half is no proper solution at all.

One last remark concerning Karttunen's propositional approach concerns complement embedding verbs, such as know. Karttunen assigns all wh-complements to one and the same syntactic category, but he still needs to introduce two different translations for the verb know (and others), since this verb takes both wh-complements and that-complements as arguments, and these are of different categories in Karttunen's framework. Whereas the former denote sets of propositions, the latter do not (they are not even treated as proper constituents). Of course, both in (18) and in (19), it is the same relation of knowing that is at stake:

\begin{align*}
(18) \text{John knows whether it is raining} \\
(19) \text{John knows that it is raining}
\end{align*}

And therefore Karttunen needs a special meaning postulate, of an unusual kind, to account for this. Roughly speaking this meaning postulate says that to know a set of propositions (the relation of knowing exemplified in (18)) is to know all its elements (the relation of knowing exemplified in (19)):

\begin{align*}
(20) \forall i \forall q \forall x [\text{know}(i)(x,q) = \forall p[q(p) \rightarrow \text{know}^+(i)(x,p)]] \\
(q \text{ is a variable of type } \langle s,t \rangle, t)
\end{align*}

Not only may one doubt whether this strategy can be made to work in all cases, it is also clear that for example coordination of wh-complements and that-complements cannot be accounted for in this way.28

Taken together, all these observations convincingly show
that in Karttunen's theory interrogatives are not assigned their proper type of semantic object. They are treated uniformly, and, as we argued above in discussing the categorial approach, this is necessary. But the fact that coordination, entailment and quantification involving interrogatives have to be dealt with by means of ad-hoc rules, which operate only on interrogatives and which are not in accordance with the general schemata of coordination, entailment and quantification, leads to the inevitable conclusion that interrogatives have to be regarded as belonging to a different semantic type than Karttunen would have them belong to.

3.2.2. Towards the core theory

In this section we describe a possible propositional theory which lies in between Karttunen's theory and the core theory as it was characterized in section 1. We will refer to it as 'the intermediary theory'. The intermediary theory avoids the problems we discussed in the previous section. However, it inherits some problematic characteristics of Karttunen's original theory we did not discuss yet, and which will later be seen to be relevant for the analysis of the phenomena which we discuss in this paper.

In view of the fact that in Karttunen's analysis an interrogative denotes a set of propositions which jointly constitute the true and complete semantic answer to it, it is a natural step beyond Karttunen to actually join these propositions and to let an interrogative denote the single proposition that results. In this way it becomes more transparent that Karttunen's theory is a unique question/unique answer theory. This is the basic idea underlying the core theory, and it is a characteristic of the intermediary theory as well.

So, in the intermediary theory an interrogative denotes a proposition and expresses a propositional concept, with the special properties which make it into an equivalence relation on the set of indices. For a yes/no-interrogative
such a propositional concept has two possible values: the proposition expressed by the positive answer, and the complementary proposition expressed by the negative answer. The true one among these two propositions is the one which is denoted by a yes/no-interrogative at a certain index. The semantic part of the rule that forms a yes/no-interrogative from an indicative sentence $\phi$ in the intermediary theory is the same as in the core theory:

\[(21) \lambda i[\phi' = (\lambda a\phi')(i)]\]

The intermediary theory is like Karttunen's in that constituent interrogatives are formed by quantifying-in a wh-phrase into a yes/no-interrogative containing a free variable. Multiple constituent interrogatives are formed by repeated application of this quantifying-in process. However, whereas in Karttunen's theory a wh-phrase is treated as an existentially quantified term, the intermediary theory treats it as a universally quantified term. So, the translation of who is the same as that of everyone, and that of which CN is the same as that of every CN.

The quantification rule which is used, is the one which is predicted by the general schema QUANT for quantifying in a term \(a\) into an expression \(\phi\) of type \(<s,t>\), being the type of expressions interrogatives now translate into, where \(\phi'\) contains a free occurrence of a variable \(x_n\). The semantic part of the rule can be described as follows:

\[(22) \lambda i[a'(\lambda a\lambda x_n[\phi'(i)])]\]

As a matter of fact, this same standard rule of quantification can also be used to quantify terms which give rise to pair-list readings into interrogatives. In this way, the two-constituent interrogative (23), and the superficially one-constituent interrogative (24) on its pair-list reading, are treated on a par, and receive the same translation (25):
(23) Whom does which man love?
(24) Whom does each man love?
(25) \( \lambda i \forall x [\text{man}(a)(x) \rightarrow \forall y [\text{love}(a)(x,y) = \text{love}(i)(x,y)] ] \)

Not only quantification, but also conjunction of interrogatives can now be dealt with in a standard way. Interrogatives being expressions of type \(<s,t>\), the predicted semantic rule of conjunction of two interrogatives \( \phi \) and \( \psi \) is (26):

(26) \( \lambda i[\phi'(i) \land \psi'(i)] \)

So, since the interrogatives (27) and (29) now translate as (28) and (30) respectively, their conjunction (31) translates as (32):

(27) Whom does John love?
(28) \( \lambda i \forall x [\text{love}(a)(j,x) = \text{love}(i)(j,x)] \)
(29) Whom does Mary love?
(30) \( \lambda i \forall x [\text{love}(a)(m,x) = \text{love}(i)(m,x)] \)
(31) Whom does John love? And, whom does Mary love?
(32) \( \lambda i[\forall x [\text{love}(a)(j,x) = \text{love}(i)(j,x)] \land \forall x [\text{love}(a)(m,x) = \text{love}(i)(m,x)] ] \)

As can be expected beforehand, (32) is also the translation of (35) on its pair-list reading, which is the result of quantifying in the term John and Mary in (33), which translates as (34):

(33) Whom does he love?
(34) \( \lambda i \forall y [\text{love}(a)(x_0,y) = \text{love}(i)(x_0,y)] \)
(35) Whom do John and Mary love?

The standard definition of entailment between two interrogatives \( \phi \) and \( \psi \) which the general schema predicts for the intermediary theory is (36):

(36) \( \phi \) entails \( \psi \) iff \( \forall a \forall i[\phi'(i) \Rightarrow \psi'(i)] \)
This definition correctly predicts that (31), and (35) on its pair-list reading, entail (27) and (29). At the same time it also correctly predicts that (37) entails (38):

(37) Who walks?
(38) Does John walk?

All this is quite satisfactory, and it strongly supports the basic view underlying the intermediary theory and the core theory that interrogatives denote propositions and express propositional concepts of a particular kind.

Further support comes from the fact that the intermediary theory gives rise to an elegant theory of wh-complements. We can simply assume all complements to be proposition-denoting expressions. Complement-embedding verbs, such as know and wonder, are translated uniformly as expressions of type $\langle\langle s,s,t\rangle,\langle e,t\rangle\rangle$, i.e. as expressions denoting relations between individuals and propositional concepts. By means of a standard meaning postulate, extensional verbs, such as know, can be reduced to relations between individuals and propositions.

For sentence (39) we then get three different translations. On its reading on which every man has narrowest scope, it translates as (40). On its pair-list reading, on which every man has wider scope than whom, but lies inside the scope of wonder, its translation is (41). And (42) is the result if the term every man is quantified into the sentence as a whole in the standard fashion, thus receiving wide scope both over whom and over wonder.

(39) John wonders whom every man loves
(40) \text{wonder}(a)(j,\lambda a\lambda i\forall y[\forall x[\text{man}(a)(x) \rightarrow \text{love}(a)(x,y)]] = \\
\forall x[\text{man}(i)(x) \rightarrow \text{love}(i)(x,y)] ])
(41) \text{wonder}(a)(j,\lambda a\lambda i\forall x[\text{man}(a)(x) \rightarrow \forall y[\text{love}(a)(x,y) = \\
\text{love}(i)(x,y) ]])
(42) \forall x[\text{man}(a)(x) \rightarrow \text{wonder}(a)(j,\lambda a\lambda i\forall y[\text{love}(a)(x,y) = \\
\text{love}(i)(x,y) ])]
However, given the meaning postulate for extensional verbs such as know, indicated above, and assuming that to know two propositions is to know their conjunction as well, we get only two different translations for sentence (43). The reading on which every man has narrow scope translates as (44). And both the pair-list reading and the wide scope reading translate as (45):

\[
(43) \text{John knows whom every man loves}
\]

\[
(44) \text{know}(a) (j, \lambda i \forall y[\forall x[\text{man}(a) (x) \rightarrow \text{love}(a) (x,y)] = \forall x[\text{man}(i) (x) \rightarrow \text{love}(i) (x,y)]])
\]

\[
(45) \forall x[\text{man}(a) (x) \rightarrow \text{know}(a) (j, \lambda i \forall y[\text{love}(a) (x,y) = \text{love}(i) (x,y)])]
\]

This is not fully in accordance with our findings in section 2.1. There we noticed that with the verb to know, the wide scope and the pair-list reading coincide just in case the term in question is semantically rigid. And the term every man in (43) is not. This means that the way in which pair-list readings are obtained in the intermediary theory, they are interpreted de re, and not, as is required, de dicto.

In fact, as we saw above in discussing examples (22) and (23), pair-list readings are equivalent with explicitly two-constituent interrogatives. The fact that the two come out equivalent is a virtue of the theory. But at the same time it indicates that constituent interrogatives, too, are assigned de re readings, and not de dicto ones. We will return to this feature of the intermediary theory shortly.

First, we notice that assuming to wonder to imply to not know, the three readings of (39) imply the negation of (44), the negation of (45) and (46) respectively:

\[
(46) \forall x[\text{man}(a) (x) \rightarrow \neg \text{know}(a) (j, \lambda i \forall y[\text{love}(a) (x,y) = \text{love}(i) (x,y)])]
\]

In other words, (39) on its first reading implies the negation of (43) on its first reading, which can be paraphrased as (47):
(39) on its pair-list reading implies the negation of (43) on its pair-list reading, which can be paraphrased as (48); and the implication (46) of (39) on its wide scope reading can be paraphrased as (49):

(47) John does not know who is such that every man loves him or her
(48) Not for all men, John knows whom they love
(49) For no man, John knows whom he loves

These results seem to be in accordance with the observations made in section 2.1. It should be noticed, though, that in case of the pair-list reading (41) of (39) too, we still get 'de re' readings to some extent. Though the term every man does not get wide scope over the intensional verb wonder as a whole, and therefore is not interpreted fully de re, we can see from the implication in terms of not knowing, that if we decompose to wonder in to want to know, the term does get wide scope with respect to the component to know. And in this sense, the term is not interpreted fully de dicto either. But it is a full de dicto reading that appears to be required for (39) on its pair-list reading.

All this shows that the intermediary theory is theoretically satisfactory in that it meets the adequacy criteria pertaining to conjunction, quantification and entailment. And further, that it is empirically partially successful in that it accounts for a number of the facts we observed to hold for pair-list readings, but not for all of them. Finally, the intermediary theory being a unique question/unique answer theory, the phenomenon of choice-readings, and relatedly that of disjunction of interrogatives, remain out of its reach.

As we mentioned in passing, pair-list readings and constituent interrogatives are derived in an analogous way: by quantifying-in a term into an interrogative. From this it is to be expected, that the problems arising with pair-list readings, arise with equal force for all constituent interrogatives (and vice versa).
The analysis of constituent interrogatives provided by the intermediary theory, has three major deficiences, which for the larger part, it shares with Karttunen's analysis. All three are essentially due to the fact that constituent interrogatives are derived by means of a quantifying-in process.

First of all, as we already saw in discussing pair-list readings, constituent interrogatives are assigned a de re interpretation. A simple example illustrating this feature is (50):

(50) Which men walk?
\[ \lambda iVx[\text{man}(a)(x) \rightarrow [\text{walk}(a)(x) = \text{walk}(i)(x)]] \]

Of each of the individuals that actually are men, the proposition denoted by (50) says whether or not that individual walks. Its de re nature lies in the fact that of these individuals, it does not express that they are men. The proposition that would, is a quite different one.

As a consequence, if we embed (50) under a verb like know, the result would be that to know which men walk, no knowledge is really required as to which individuals are men. This means that under this analysis, there is no guarantee whatsoever that if one knows which men walk, one would come up with the correct answer when asked the question which men walk.

The same point can be illustrated in another way. Under its de re analysis, (50) is predicted to be entailed by (51):

(51) Who walks?
\[ \lambda iVx[\text{walk}(a)(x) = \text{walk}(i)(x)] \]

We believe this to be wrong. The interrogative in (51) as such does not entail (50), it does so only in combination with (52):

(52) Who is a man?

A complete answer to (51) will not always be a complete answer to (50) as well. If we are told of each individual whether or
not that individual walks, i.e. if we are given a complete answer to (51), this will only give us an answer to (50) as well, if the question who the men are is completely settled. It is only when we take a de dicto view on (50), that it is accounted for that it is entailed by (51) only given the additional 'premis' (52).

A second failure of the intermediary theory might be called its 'over-exhaustiveness'. It makes (50) come out equivalent with (53):

\[(53) \text{ Which men do not walk?} \]

\[\forall x (\text{man}(a)(x) \rightarrow [\neg \text{walk}(a)(x) = \neg \text{walk}(i)(x)])\]

Under certain rather strict assumptions, this may be correct, but it is not so in general. If the set of men is a fixed set, it is reasonable to take it that a complete answer to (50) gives a complete answer to (53) as well, and vice versa. But if it is a contingent matter who the men are, which it presumably is, then (50) and (53) should not come out equivalent.

What causes this over-exhaustiveness of the analysis offered by the intermediary theory, is that (50) is analyzed as asking to say of every man whether or not he walks. The proposition denoted by (50) not only says of every individual that actually is a man and walks that he walks, but also says of every man that does not walk that he does not. For a proper analysis it is required that it characterize a complete answer to (50) as a proposition stating that ... and ... are the men that walk, which would only imply a similar characterization of the men that do not walk if it is completely settled who the men are.

The third and last deficiency of the intermediary theory that we want to draw attention to, is that it is quite unclear how it is to account for the interpretation of characteristic linguistic answers, more in particular for constituent ('short') answers. If (50) is answered by (54), the answer expresses that Bill and Peter are the men that walk:
(54) Bill and Peter.

In order to be able to account for this fact, we need to combine the interpretation of the term Bill and Peter surfacing in (54) with the interpretation of the interrogative at some level of its analysis. The only plausible candidate in the intermediary theory is the interpretation of the open sentence (55), which in this theory lies at the bottom of the derivation of (50):

(55) He$_0$ walks

From (55) we arrive at (50) by first turning it into the open yes/no-interrogative (56), by means of the rule of which the semantic part was stated in (21) above:

(56) Does he$_0$ walk?

Next, by means of the standard rule of quantification, the wh-phrase which men is introduced, which receives the same interpretation as the ordinary term every man. This results in (50).

If we combine the term Bill and Peter in (54) with the open sentence (55), the semantic result will be the proposition expressing that Bill and Peter are (the) individuals that walk. But it is certainly impossible that the result would be the proposition that they are the men that walk. And the latter is what the constituent answer (54) means as an answer to (50). Instead of (55), expressing the property of walking, we need an expression corresponding to the property of being a man that walks, to get the proper interpretation of the answer (54). Such an expression should play a role in the analysis of the interrogative (50).

Clearly, these three deficiencies of the intermediary theory are due to a central feature it has in common with Karttunen's theory. The source of the problems is that constituent interrogatives are derived by quantifying wh-phrases into yes/no inter-
rogatives. If they are derived that way, it is inevitable that they are assigned de re readings, that they become over-exhaustive, and form no basis to interpret characteristic linguistic answers correctly. It is precisely at this central point that the core-theory improves upon the intermediary theory, thereby retaining the theoretical advantages that the intermediary theory has over Karttunen's analysis.

3.2.3. The core theory

The core theory is a kind of fusion of a categorial approach and a propositional approach. It employs a categorial view, so to speak, at the first stage in the derivation of interrogatives. It derives n-constituent interrogatives from n-place abstracts which express n-place relations. (Yes/no-interrogatives can be viewed as zero-constituent interrogatives.)

So, the basis of the core theory is a rule which forms n+1-place abstracts from n-place abstracts. The corresponding semantic operation is that of restricted \( \lambda \)-abstraction. A wh-phrase which CN corresponds to a restricted \( \lambda \)-abstractor \( \lambda x[CN'] \). The semantic part of this rule reads as follows (where \( \delta' \) is the translation of some CN, and \( \beta' \) that of some n-place abstract):

\[
(AB) \quad \lambda x[\delta'] \beta'
\]

The abstract which underlies an interrogative such as (57) is (58), which can be reduced to (59):

(57) Which men walk?
(58) \( \lambda x[\text{man}(a)] [\text{walk}(a)(x)] \)
(59) \( \lambda x[\text{man}(a)(x) \land \text{walk}(a)(x)] \)

This abstract is the obvious candidate to be combined with the interpretation of the term which surfaces in the constitu-
ent answer (60) to form the proposition that (60) expresses in the context of (57), viz. that Bill and Peter are the men that walk:

(60) Bill and Peter.

The general procedure for interpreting linguistic answers to n-constituent interrogatives is to combine the interpretation of an n-place term, denoting a set of n-place relations, with the interpretation of the n-place abstract underlying the n-constituent interrogative. The semantic part of this rule reads as follows:

\[(IA) \text{exh}(\lambda a)(\lambda b')\]

Here, \(\text{exh}\) stands for the semantic operation of exhaustivization. By means of this operation, the rule takes care of the fact that, in the context of (57), (60) means that Bill and Peter are the men that walk, rather than that Bill and Peter are (some) men that walk.

The core theory is a propositional theory. As was the case in the intermediary theory, all interrogatives are interpreted as denoting propositions and as expressing propositional concepts (of a particular kind). This is achieved by a rule which turns an n-place abstract into an interrogative. The corresponding semantic operation is that of transforming an n-place relation into a proposition:

\[(I) \lambda \, [\beta' = (\lambda a)(\lambda b')]\]

This semantic operation is a straightforward generalization of the operation defined in (21) in the previous section, which formed yes/no-interrogatives from sentences in the intermediary theory.

These three rules characterize the core theory. Further, conjunction of interrogatives, quantification of terms into interrogatives, and entailment can be defined in the standard
way. Since the semantic objects that interrogatives denote in the core theory are of the same semantic type as those they denoted in the intermediary theory, the relevant definitions are those stated in the previous section, viz. (22), (26) and (36). Likewise, the way in which the core theory handles sentences containing wh-complements is completely analogous to the way in which they were handled in the intermediary theory.

But the core theory not only retains the good sides of the intermediary theory, it also improves on its weak sides. The three deficiencies of the intermediary theory which we noted at the end of the previous section, are overcome in the core theory. The problem of how to deal with linguistic answers we have already discussed above. The remaining two defects are repaired, too.

In the core theory, constituent interrogatives, and hence the corresponding complements too, get interpreted de dicto instead of de re, as the example (61) illustrates:

(61) Which men walk?
\[\lambda i[\lambda x[\text{man}(a)(x) \land \text{walk}(a)(x)] = \lambda x[\text{man}(i)(x) \land \text{walk}(i)(x)]\]

As a result, (61) is no longer entailed by (62):

(62) Who walk?
\[\lambda i[\lambda x[\text{walk}(a)(x)] = \lambda x[\text{walk}(i)(x)]\]

In order for (62) to entail (61), the property of being a man would have to be a rigid property, i.e. the question who the men are would have to be settled. In other words, the entailment relation we do have is (63):

(63) Who walk?
Who is a man?
Which men walk?

If both first two questions are answered, then the last one is.
But an answer to the first question only, does not guarantee that the last one is answered as well.

The de dicto nature of (61) also makes sure that for (64) to be true, John not only has to know of the individuals that are men and walk, that they walk, but also that they are men.

(64) John knows which men walk

Further, over-exhaustiveness is avoided as well. The proposition denoted by (61) is true precisely at those indices where the positive extension of the property of being a man that walks is the same as at the actual index. It need not be the case that at such indices, the negative extension is also the same as at the actual index. As a consequence, (61) is not necessarily equivalent with (65):

(65) Which men do not walk?
\[ \lambda i[\lambda x[\text{man}(a)(x) \land \neg \text{walk}(a)(x)] = \lambda x[\text{man}(i)(x) \land \neg \text{walk}(i)(x)] \]

This also means that (64) does not necessarily imply (66), nor vice versa:

(66) John knows which men do not walk

The who-interrogative (62) is necessarily equivalent with its 'negative counterpart', but only under the assumption that our model has one fixed domain. In a model with varying domains, the two are no longer equivalent.

As for pair-list readings, we could use the rule quantifying terms into interrogatives to derive them. But, of course, such an analysis would run into the same problems that the intermediary theory had to face. In particular, we would end up with de re interpretations for pair-list readings only, and we would not get the required de dicto interpretation.

Even apart from that, there is another important feature of pair-list readings that would not be accounted for in that way. As we observed in section 2.1, an interrogative like (67)
behaves like a two-constituent interrogative on its pair-list reading, judged from the way in which it is characteristically answered, viz. by answers such as (68):

(67) Whom do John and Mary love?
(68) John, Suzy; and Mary, Suzy and Bill.

If it did use the quantification rule to arrive at pair-list readings, the core theory could not account for this phenomenon (a fate it would share with Karttunen's and the intermediary theory). If (67) is to be characterized as a two-constituent interrogative, it has to be derived from a two-place abstract. Only given such an underlying structure, it is clear how to obtain the proposition expressed by a characteristic answer to an interrogative on a pair-list reading.

If we use a quantification rule, the abstract underlying (67), for example, is the one-place abstract (69):

(69) whom he₀ loves

This abstract is then turned into the open interrogative (70):

(70) Whom does he₀ love?

By quantifying the term John and Mary into (70), the result would be (67). However, the two-place abstract needed to account for answers such as (68), is nowhere to be found in this kind of derivation of (67).

For constituent interrogatives, the core theory avoids the problems the intermediary theory meets (which in its turn was seen to avoid important theoretical inadequacies of Karttunen's analysis). It does so precisely by giving up the idea that they are to be derived by means of a quantificational process. Since pair-list readings have so much in common with multiple constituent interrogatives, it makes no sense to keep dealing with them in the way that the intermediary theory does. What all facts observed point at, is that a proper analysis of pair-
list readings requires that they are obtained in a way that is essentially similar to the one in which constituent interrogatives are derived in the core theory. And, indeed, our final proposal for the analysis of pair-list readings, presented in section 4.3.1, follows this lead.

But first, we will turn to another issue. The core theory is as much a unique question/unique answer theory as that of Karttunen and the intermediary theory are. This means that, although pair-list readings can in principle be dealt with, the related phenomenon of choice-readings lies outside its scope. The core theory is in need of revision, or rather it needs to be extended, to cope with them. This is the main topic of section 4. But before we turn to that, one more propositional theory is discussed, one that is explicitly designed to deal with choice-readings: that of Bennett and Belnap.

3.2.4. Bennett and Belnap

Karttunen's theory, the intermediary theory, and the core theory are all restricted in their application to interrogatives that express a single question, and that consequently have a unique complete and true semantic answer at an index. For that reason, these theories can in principle deal with conjunction of interrogatives and with pair-list readings, but not with disjunctions and choice-readings.

One of the basic characteristics of the theory of Bennett and Belnap, the one we are interested in primarily here, is that it purports to allow for interrogatives which have more than one complete and true semantic answer at an index. Bennett and Belnap's analysis can be regarded as a response to Karttunen's, one which tries to improve upon it at precisely this point. So, like the intermediary theory and the core theory, it can be looked upon as a revision of Karttunen's theory, but one that is motivated differently, and hence goes in a different direction.
The type of semantic object that is denoted by an interrogative in the theory of Bennett and Belnap is the same as in Karttunen's: an interrogative denotes a set of propositions. But there is an important difference. Whereas in Karttunen's analysis the elements of such a set jointly constitute the complete and true semantic answer, on Bennett and Belnap's approach each element as such is a complete and true semantic answer. Hence, all interrogatives that the previous theory could deal with, will denote a singleton set in this analysis. Only in case we are dealing with an interrogative which in fact allows for more than one complete and true semantic answer, will its denotation be a set of propositions with more than one element.

Without going into the details of the theory proposed by Bennett and Belnap, which is extremely complex and involves changes in the grammar as a whole at several points, it can be made clear that, whatever the details of the analysis, it is bound to fail to meet the adequacy criteria pertaining to conjunction, quantification and entailment we formulated in section 3.1.

Since the basic contents of the theory of Bennett and Belnap are primarily motivated by the existence of choice-readings, which we have seen to be intimately connected with disjunction of interrogatives, we start out by discussing disjunction. Since interrogatives are considered to be expressions of type <<s,t>,t>, the general schema of disjunction predicts the following semantic rule for the disjunction of two interrogatives \( \phi \) and \( \psi \) translating as \( \phi' \) and \( \psi' \) respectively:

\[
(71) \lambda p[\phi'(p) \lor \psi'(p)]
\]

So, disjunction comes down to taking the union of the sets of propositions denoted by the disjuncts. It will be clear that given the way in which the elements of the set of propositions are looked upon, this gives correct results for disjunctions of interrogatives. For example, (72) and (73)
will each denote a set containing a single proposition, the proposition specifying the individuals John loves and the one specifying those that Mary loves, respectively. According to (71), their disjunction (74) will contain each of these two propositions. And indeed, each of them is a complete and true semantic answer to (74):

(72) Whom does John love?
(73) Whom does Mary love?
(74) Whom does John love? Or, whom does Mary love?

Given the standard definition of entailment, it is also correctly predicted that a disjunction of interrogatives is entailed by its disjuncts.

However, although the theory of Bennett and Belnap meets the adequacy criterion of disjunction, it fails to meet that of conjunction. As in Karttunen's analysis, the predicted rule of conjunction is (75):

(75) $\lambda p[\phi'(p) \land \psi'(p)]$

Conjunction of interrogatives amounts to taking the intersection of the sets of propositions denoted by the conjuncts. It is easy to see that (75) predicts that the conjunction (76) denotes the empty set:

(76) Whom does John love? And, whom does Mary love?

As was the case in Karttunen's theory, we need to introduce an ad hoc rule for conjunction, in this case (77):

(77) $\lambda p \exists p' \exists p''[\phi'(p') \land \psi'(p'')] \land p = \lambda i[p'(i) \land p''(i)]$

So, conjunction would amount to taking the pairwise intersection of the elements in the sets denoted by the conjuncts.

As we saw discussing Karttunen's theory, as soon as a standard rule of coordination fails to be applicable, some
problems will arise with entailments as well. The definition of entailment for the theory of Bennett and Belnap is the same as the one for that of Karttunen. It fails to account for the fact that a conjunction of interrogatives entails its conjuncts. Also, it does not account for the same basic entailment relations between unique answer interrogatives that Karttunen’s theory did not account for.

Of course, one might introduce an ad hoc entailment relation, just for interrogatives, such as (78):

\[(78) \phi \text{ entails } \psi \iff \forall i \forall p[\phi'(p) \Rightarrow \exists p'[\psi'(p')] \land \\
\forall j[p(j) \Rightarrow p'(j)]]\]

But it seems more reasonable to interpret the failure to incorporate the standard definition of entailment for some category of expressions, as an indication that these expressions are not assigned their proper type of semantic object.

The remarks that can be made about quantification in the framework of Bennett and Belnap, follow straightforwardly from the discussion about disjunction and conjunction. The standard quantification rule for terms and interrogatives is the same as in Karttunen's framework. All can be expected to go reasonably well for terms which give rise to choice-readings. But for terms which give rise to pair-list readings the results will be empirically wrong. So, in the Bennett and Belnap framework too, a non-standard quantification rule is needed.

Because of the complexities it involves, it will not be very illuminating the give the rule actually stated by Bennett and Belnap. Apart from not fitting into the general schema QUANT, it has other peculiar features as well. The input of the rule does not consist of a term and an interrogative, but a determiner, a noun phrase and an interrogative. This means that many terms fall outside its scope. For example, it does not apply to proper names, nor to coordinated terms in which proper names occur, such as John or some girl, nor to complex terms containing more than one determiner and noun.
phrase, such as some girl and two boys, etc. Finally, it should be noticed that the complexities their rule calls for, affect the entire framework, yet seem to be needed only for this special purpose.

One last remark concerning the Bennett and Belnap approach concerns wh-complements. Verbs embedding wh-complements are treated as denoting relations between individuals and intensions of sets of propositions. Like Karttunen, Bennett and Belnap need a special and unusual meaning postulate to relate the wh-complement embedding know to its counterpart which operates on that-complements. In their framework this postulate reads as follows:

\[
\forall x \forall i \forall q [\text{know}(i)(x,q) = \exists p [q(i)(p) \land \text{know}^+(i)(x,p)]]
\]

(q is a variable of type \(s,<<s,t>,t>>\)

Having to introduce two different verbs know (and tell, and so on), only relatable through a meaning postulate such as (11), is not very attractive. Not only does this violate our intuition that in both constructions the same verb, with the same meaning, occurs, it is also doubtfull whether all verbs which take both kinds of complements can be handled in the same way. In this respect the intermediary theory and the core theory fare much better.

It seems to us that all this is reason enough to leave the theory of Bennett and Belnap. Our findings with respect to coordination, quantification and entailment show that it gives satisfactory results (to a certain extent) only for the phenomena that motivated it: disjunction of interrogatives and choice-readings. But for all interrogatives that fall within the domain of application of unique question/unique answer theories, it looses control. In all the simple cases, the standard rules fail to give correct results.
4. Pair-list readings and choice readings in an extension of the core-theory

4.1. Introduction

From the discussion in sections 2 and 3, the following picture emerges.

A natural, and intuitively appealing, view on the meaning of an interrogative is that it is something that determines for each index a unique complete and true semantic answer. This view underlies the theories of Karttunen and Hamblin, and also the core theory. In the latter it is implemented by letting an interrogative express a certain kind of propositional concept, a question, and denote a proposition. This leads to a correct and standard treatment of interrogatives which have a unique complete and true semantic answer.

Faced with interrogatives that have more than one such answer, Bennett and Belnap adopted a different view on the meaning of interrogatives. According to them it is something that determines, at each index, a set of complete and true semantic answers. As we saw above, this view cannot be correct. Although it leads to an adequate account of disjunction of interrogatives, and to a reasonably adequate one of choice-readings, it also forces a completely ad hoc, non-standard account of all interrogatives that do have a unique answer.

So it seems we must seek a solution in another direction. In our opinion we should not change our view on what the meaning of a 'simple' interrogative, one which has a unique answer, is, nor should we change the nature of the semantic object of a question. Rather, we should take a broader view on the relationship between interrogatives, as linguistic objects, in general and these semantic objects. Not all inter-
rogatives express a unique question, some express more than one. In this way the standard account of all interrogatives that do have a unique answer that the core theory provides, can be maintained.

Another conclusion that can be drawn is that, although pair-list readings and choice-readings are a scope phenomenon, they cannot be dealt with the way we usually handle scope phenomena, viz. by means of a quantification rule (or whatever may be the analogue of such rules in some grammar). Two characteristics of these readings show this quite clearly. First of all, the way in which they are answered shows that in that respect they behave like multiple constituent interrogatives. But quantifying-in leaves the category unchanged. Quantification of a term into a single constituent interrogative will result in a single constituent interrogative, and not in a multiple one. Secondly, it is clear that on pair-list and choice readings the noun phrase in the term is to be interpreted 'de dicto'. Again, no quantification process will be able to account for this. The entire term including the noun phrase in it, will be given wide scope, and hence de re readings will always be the result.

But if the process that delivers pair-list and choice readings, is not a quantificational one, what is it then? From our discussion of the theory of Karttunen and the core theory, we can get a clue. As we saw above, Karttunen derives multiple constituent interrogatives too by means of quantification. The results are de re readings. Also, no stage in the derivation is a suitable input for a theory of characteristic linguistic answers to such interrogatives. The core theory improves upon this. By deriving multiple interrogatives from abstracts by means of restricted λ-abstraction, they are assigned de dicto readings. And the abstracts form a suitable level of analysis to base a theory of answers on.

This suggests strongly that pair-list and choice readings of what superficially seem to be single constituent interrogatives, are very intimately related to multiple constituent interrogatives, and are to be derived in an analogous way.
This is, indeed, the approach that we shall work out in this section. Starting out from the core theory, we will extend it in two ways. We will introduce a level of analysis at which interrogatives can be associated with more than one question. That will give us the means to account for interrogatives which have more than one complete and true semantic answer. And we will generalize the procedure that derives multiple constituent interrogatives in such a way that it can also be used to give a correct account of pair-list and choice readings.

4.2. The lifted core theory

4.2.1. Disjunction in the core theory

As we saw in section 3.2.3, the core theory gives correct results for conjunctions of interrogatives. But if we apply the standard rule of disjunction to interrogatives, we get incorrect results.

The general schema DISJ gives the following rule for the semantic interpretation of a disjunction of two interrogatives \( \phi \) and \( \psi \):

\[
\lambda i[\phi'(i) \lor \psi'(i)]
\]

According to (1), the disjunction (2) translates as (3):

\[
\text{(2) Whom does John love? Or, whom does Mary love?}
\]
\[
\text{(3) } \lambda i[\lambda x[\text{love}(a)(j,x)] = \lambda x[\text{love}(i)(j,x)] \lor \\
\lambda x[\text{love}(a)(m,x)] = \lambda x[\text{love}(i)(m,x)]]
\]

If we take a closer look at (3), we see that it does not express a question at all. Consider its sense, denoted by (4):

\[
\text{(4) } \lambda a \lambda i[\lambda x[\text{love}(a)(j,x)] = \lambda x[\text{love}(i)(j,x)] \lor \\
\lambda x[\text{love}(a)(m,x)] = \lambda x[\text{love}(i)(m,x)]]
\]
(4) denotes a propositional concept, i.e. a relation between indices, but not one that is a question, i.e. an equivalence relation on I. The relation that is denoted by (4) is reflexive and symmetric, but it is not transitive. Suppose John, but not Mary, loves the same individuals at k and l. And suppose Mary, but not John, loves at l the same individuals she loves at j. Then k and l, and l and j, but not k and j stand in the relation denoted by (4). Hence, (4) does not denote a question, and consequently (3) does not express one. But that means that it is predicted that the disjunction (2) does not express a question. No doubt an incorrect result.

The discrepancy between conjunction and disjunction of interrogatives in the core theory, should not come as a surprise. From the very nature of coordination it follows that, if two expressions which denote a proposition are coordinated, the resulting expression will again denote a single proposition. That for conjunction all goes well, might even be regarded as a kind of coincidence. For conjunctions of interrogatives too, it makes sense to say that they express more than one question. The difference with disjunctions is that to answer a conjunction, both conjuncts have to be answered, whereas to answer a disjunction means to answer (at least) one disjunct. All goes well with conjunction in the core theory because it is always possible to conjoin the answers to the conjuncts in one single proposition, which answers both conjuncts. For disjunction this makes no sense. In that case, applying the same strategy we end up with the disjunction of the answers to the disjuncts. But that proposition will, in a great many cases, not answer either one of the disjuncts.

4.2.2. **Lifting interrogatives**

Finding a correct analysis of coordination is a problem, not only with regard to interrogatives. We run into the same problem with other kinds of expressions. It is, in other words,
a general problem. But one for which also a general solution strategy exists. It is to lift expressions to their corresponding 'term' level, and to define coordination at this higher level. An illustrative instance of this general strategy is the way proper names are analyzed in Montague's PTQ. For many purposes it suffices to view proper names as individual denoting expressions. But to account for coordination of proper names and to assign proper names and other term phrases to a uniform category, it is necessary to lift proper names from individual denoting expressions to expressions denoting sets of properties of individuals (or individual concepts).

If we apply this general procedure to interrogatives as they are analyzed in the core theory, they are lifted from proposition denoting expressions to expressions denoting sets of properties of questions. The resulting analysis we will call 'the lifted core theory'. The following rule tells us for any interrogative $\phi$ which translates as $\phi'$ in the core theory what its translation in the lifted core theory is:

$$(\text{LIFT-Int}) \lambda Q[(a)(\lambda a\phi')]$$

where $Q$ is a variable of type $<s,<s<s,t>,t>$

Not only conjunction, but also disjunction can now be defined adequately by means of a standard rule. The general schemata CONJ and DISJ predict the following rules for coordination of sets of properties of questions:

$$(\text{CONJ-I}) \text{ Let } \phi' \text{ and } \psi' \text{ be the translations of lifted interrogatives } \phi \text{ and } \psi \text{ respectively. Their conjunction translates as follows:}

\lambda Q[\phi'(Q) \land \psi'(Q)]$$

$$(\text{DISJ-I}) \text{ Let } \phi' \text{ and } \psi' \text{ be as above. The disjunction of } \phi \text{ and } \psi \text{ translates as follows:}

\lambda Q[\phi'(Q) \lor \psi'(Q)]$$
In order to illustrate these definitions we consider again our by now familiar examples:

(5) Whom does John love?
(6) Whom does Mary love?
(7) Whom does John love? And, whom does Mary love?
(8) Whom does John love? Or, whom does Mary love?

Given LIFT-int, we get the following translations for (5) and (6):

(9) $\lambda Q(a)(\lambda a\lambda i[\lambda x[\text{love}(a)(j,x)] = \lambda x[\text{love}(i)(j,x)]])$
(10) $\lambda Q(a)(\lambda a\lambda i[\lambda x[\text{love}(a)(m,x)] = \lambda x[\text{love}(i)(m,x)]])$

According to CONJ-I, (7) then translates as (11):

(11) $\lambda Q(a)(\lambda a\lambda i[\lambda x[\text{love}(a)(j,x)] = \lambda x[\text{love}(i)(j,x)]]) \land$
     $\lambda Q(a)(\lambda a\lambda i[\lambda x[\text{love}(a)(m,x)] = \lambda x[\text{love}(i)(m,x)]])$

The general schema INCL predicts the following definition of entailment between interrogatives at this lifted level:

(12) $\phi$ entails $\psi$ iff $\forall a\forall Q[\phi'(Q) \Rightarrow \psi'(Q)]$

Given their translations as lifted interrogatives (9) and (10), (5) and (6) each are, as before, entailed by their conjunction (7). So, the correct results which we obtained in the core theory, carry over at this level of analysis.

For (8) we now obtain the following result given DISJ-I:

(13) $\lambda Q(a)(\lambda a\lambda i[\lambda x[\text{love}(a)(j,x)] = \lambda x[\text{love}(i)(j,x)]]) \lor$
     $\lambda Q(a)(\lambda a\lambda i[\lambda x[\text{love}(a)(m,x)] = \lambda x[\text{love}(i)(m,x)]])$

If we compare this with the result of lifting the low-level disjunction (given in (3) in the previous section), we see in this case we do get something different:
(14) \[ \lambda Q(a)(\lambda a \lambda i[\lambda x[\text{love}(a)(j,x)] = \lambda x[\text{love}(i)(j,x)] \lor \\
\lambda x[\text{love}(a)(m,x)] = \lambda x[\text{love}(i)(m,x)]]\]

Whereas each element of (13) is a property of a question, this does not hold for (14), since, as we saw above, low-level disjunction does not result in a question.

That in (13) we have found an adequate translation of the disjunction of interrogatives (8), is illustrated by the fact that, given the standard definition of entailment, (13) is entailed by each of (9) and (10), which accounts for the fact that intuitively (8) is entailed by each of (5) and (6).

From these observations we think one can draw the conclusion that lifting interrogatives to expressions which denote sets of properties of questions provides us with the proper level of analysis to define coordination in a uniform and standard way.

Let us conclude this section with a few additional remarks. First of all, lifting interrogatives in fact isn't anything new. In G&S 1982, where we were concerned with wh-complements, we argued that they should be analyzed on that level, too. And the reasons we had for that also involved coordination. Of course, lifting interrogatives means lifting verbs that embed them as well. In the lifted core theory such verbs will be analyzed as denoting relations between individuals and intensions of sets of properties of questions. The required 'lowering' effects can be obtained by means of meaning postulates of the usual kind. Combining it with an obvious notation convention, the postulate for such verbs as know, which express relations between individuals and propositions, reads as follows:

(15) \[ \forall i \forall x \forall Q[\text{know}(i)(x,Q) = Q(i)(\lambda a \lambda q[\text{know}(a)(x,q[a)])]\]

For those \( Q \) which denote sets of properties of unique questions, wonder, without meaning postulate, reduces as follows:

(16) \[ \forall i \forall x \forall Q[\text{wonder}(i)(x,Q) = Q(i)(\lambda a \lambda q[\text{wonder}(a)(x,q)])]\]
Here, \( \phi \) is a variable of type \(<s,<<s,<<s,t>>,t>>,t>>, \) and \( \psi \) is a variable of type \(<s,<<s,t>>\). The lifted analogues of all the interrogatives the core theory deals with, characterize one and the same question at every index. Hence, all sentences in which they occur, can be reduced, using (15) and (16), to their simpler equivalents.

Lifting thus allows us to retain the results the core theory gave us, while at the same time allowing us to deal with interrogatives expressing more than one question. Disjunctions of interrogatives are an example, as we have seen. Choice readings are another. At this stage it can already be observed that lifting interrogatives will enable us to deal with that aspect of choice-readings. A standard quantification rule, though defective in other respects, would allow us to treat an interrogative on such a reading as being associated with different questions. The relevant rule would be the following:

\[
(\text{QUANT-I}) \quad \lambda \phi[\alpha'(\lambda x_n'[\phi'(\psi)])]
\]

It is easily checked that if we quantify in John or Mary into (17) to obtain (18), its translation will be (13); i.e. the same as that of the disjunction (8):

(17) Whom does he love?
(18) Whom does John or Mary love?

Hence, on its choice reading (18) is indeed associated with two different questions, those expressed by (5) and (6). The quantification rule also accounts for the scope properties of both choice and pair-list readings of interrogatives and complements. Using QUANT-I to derive pair-list readings we get, as was the case with conjunction, and for the same reason, results that are similar to those we got in the core theory (and in the intermediary theory). Lifting ensures that we get analogous results with choice readings.

But, as we have shown in previous sections, there is good
reason to believe that these readings are not cases of quantifying-in at all, but of another derivation process, that which derives multiple constituent interrogatives. Before turning to the details of that analysis, however, we will discuss, very briefly, two other issues concerning the relation between the core theory and its lifted version. One has to do with entailment and answerhood, the other with the analysis of linguistic answers.

4.2.3. Answerhood and entailment

By lifting interrogatives to expressions denoting sets of properties of questions, we gain a correct account of coordination, but we loose the intimate and immediate relation that exists between interrogatives as proposition denoting expressions and answerhood. In the core theory, the proposition denoted by an interrogative is the proposition that is its complete and true semantic answer. When lifted, an interrogative no longer denotes a proposition. So where has answerhood gone?

It is still there, of course. The property of being completely and truly answered by a proposition is certainly one of the properties in the set a lifted interrogative denotes. To see that it does, let us first define the notion of a proposition p giving a complete and true semantic answer to a question q at an index a:

\[(\text{ANS}) \text{ans}(a)(p,q) \iff \forall i [p(i) \Rightarrow q(a)(i)]\]

In other words, p gives a complete and true semantic answer to q at a iff it entails the proposition that is the extension of q at a. 39

Answerhood as a relation between propositions and questions can be lifted, in a standard way, to a relation between propositions and intensions of sets of properties of questions:
Now let q be the question an interrogative expresses in the core theory, and let Q be the intension of the set of properties it expresses if we lift it using LIFT-Int. Then the following holds:

\[(19) \text{ans}(i)(p,q) \iff \text{ANS}(i)(p,2), \text{ for all } i \text{ and } p\]

So, for any interrogative it holds that if it is answered at some index by some proposition, then the property of being answered at that index by that proposition is among the properties its lifted counterpart denotes at that index. This means that going from the core theory to its lifted version, we loose none of whatever results concerning answerhood we had.

It is also interesting to look at what happens with answerhood for those interrogatives which fall outside the domain of the core theory. For the disjunction of interrogatives (8), given its translation (13) (see section 4.2.2), application of LIFT-ANS gives the following result:

\[(8) \text{Whom does John love? Or, whom does Mary love?}\]
\[(13) \lambda Q[Q(a)(\lambda x[\text{love}(a)(j,x)]) = \lambda x[\text{love}(i)(j,x)])] \vee Q(a)(\lambda x[\text{love}(a)(m,x)] = \lambda x[\text{love}(i)(m,x)])\]
\[(20) \text{ANS}(a)(p,\lambda a((13))) \iff \text{ans}(a)(p,\lambda a(\lambda x[\text{love}(a)(j,x)] = \lambda x[\text{love}(i)(j,x)]) \text{ or ans}(a)(p,\lambda a(\lambda x[\text{love}(a)(m,x)] = \lambda x[\text{love}(i)(m,x)]))}\]

According to (20), p gives a complete and true answer to (8) iff it gives such an answer to the question whom John loves, or gives such an answer to the question whom Mary loves (or does both). And this is precisely the correct result.

So, as far as answerhood is concerned, the lifted core theory is at least as satisfactory as the core theory was. Let us now look at entailment.

First of all, it can be noticed that whereas there is a close
connection between answerhood and entailment in the core theory, this tie is loosened in the lifted version. In the core theory, the standard entailment relation gives rise to the following fact:

\[(21) \phi \text{ entails } \psi \iff \forall i \forall p \left[ \text{ans}(i)(p, \lambda a') \Rightarrow \text{ans}(i)(p, \lambda a') \right] \]

One interrogative entails another iff every answer to the first is also an answer to the second.

In the lifted core theory, however, we have only the weaker \((22)\):

\[(22) \text{If } \phi \text{ entails } \psi, \text{ then } \forall i \forall p \left[ \text{ANS}(i)(p, \lambda a') \Rightarrow \text{ANS}(i)(p, \lambda a') \right] \]

That the reverse does not hold, is quite obvious. When lifted, one interrogative entails another iff the set of properties denoted by the first is always a subset of the set of properties denoted by the second. Clearly, this need not hold for two interrogatives even when it holds that some properties in the first, such as being answered at a certain index by a certain proposition, are always also properties in the second.

That the reverse of \((22)\) does not hold, indicates, in view of \((21)\), that not all entailment relations that the core theory accounts for, are accounted for in the lifted theory as well. An example of an entailment that we 'loose' is that of \((24)\) by \((23)\):

\[(23) \text{Who walks?} \]
\[(24) \text{Does John walk?} \]

The conclusion that should be drawn from this is that we should not simply throwaway the core theory, and replace it by the lifted theory. Rather, we should supplement the one by the other. In that case, all entailment relations can be
accounted for, at their appropriate levels. This calls for another way of organizing grammars, so as to allow analyses which take place at different levels. The general principles of such a grammar, which lead to analyses which are both effective and parsimonous, will be discussed in section 6.

4.2.4. Linguistic answers

One of the attractive features of the core theory is that it contains an analysis of characteristic linguistic answers to interrogatives. Such answers are derived by combining the interpretation of an n-place term with the interpretation of the n-place abstract underlying the interrogative they answer.

The major improvement of the lifted theory is that it can handle interrogatives which express more than one question. Beforehand it will be clear that if the analysis of linguistic answers is to carry over, such interrogatives not only need to be associated with more than one question, but also with more than one answer.

The way to go about doing that, is just another instance of our standard lifting routine. We lift abstracts, expressing n-place relations, to expressions denoting sets of properties of such. The following lifting rule tells us for any n-place abstract \( \beta \) which translates as \( \beta' \) in the core theory, what its translation is in the lifted core theory:

\[
\text{(LIFT-Abstr)} \quad \lambda R^n[a](\lambda a \beta')
\]

Coordination of lifted abstracts can be defined in the standard way. In fact, if we do so, we don't need to state such rules for lifted interrogatives anymore. They become superfluous, once we lift the rule which turns an n-place abstract into an interrogative as follows: Let \( \beta' \) be the translation of a lifted n-place abstract. Then the translation of the corresponding lifted interrogative is the following:
Take two abstracts. If we turn them into interrogatives, lift these, and then conjoin them, we get the same result as when we first lift the two abstracts, then conjoin them, and after that turn the result into a lifted interrogative.

In a similar way, the analysis of linguistic answers that the core theory offers with the (IA)-rule, can be preserved at the lifted level. The only difference is that functional application is reversed. At the low level an exhaustified n-place term is applied to the intension of an n-place abstract. At the lifted level we apply the lifted abstract to the intension of the exhaustified term:

\[(LIFT-\text{IA}) \beta' (\text{exh}(\lambda a' \lambda x))\]

Consider the following simple example. The translation of the abstract (25) in the lifted theory is (26):

(25) whom John loves
(26) \(\lambda R^1[R^1(a)(\lambda a \lambda x[\text{love}(a)(j,x)])]\)

If we apply \(LIFT-\text{IA}\) to (26) and the translation of the normal, i.e. one-place, term Suzy, the result is (27):

(27) \(\forall x[\text{love}(a)(j,x) \leftrightarrow x = s]\)

And that is indeed the meaning assigned in the core theory to the constituent answer (29) in the context of the interrogative (28):

(28) Whom does John love?
(29) Suzy.

These considerations show that the core theory and its lifted version are suitably related: the latter is a 'conservative extension' of the former.
4.3. Pair-list readings and choice readings

In this section we turn to the second task we set ourselves, the generalization of the rule which derives multiple constituent interrogatives. Various arguments show that there are strong resemblances between such interrogatives and pair-list and choice readings. This suggests that they are the outcome of one general derivational process.

In the case of choice-readings, it will be necessary to combine this extension of the core theory with the one we outlined in the previous section. Since on a choice-reading an interrogative is associated with more than one question, its derivation on that reading has to be stated on the level of the lifted core theory. For pair-list readings, however, this is not necessary. On such a reading an interrogative can be taken to express just one single question.

In view of this it is possible, and convenient, to define the generalization we are after first on the low level of the core theory, thus obtaining an account of pair-list readings, and after that, to 'lift' it to deal with choice-readings as well.

4.3.1. Pair-list readings

Let us first briefly recall the essentials of how multiple constituent interrogatives are derived in the core theory. Consider the two-constituent interrogative (30):

(30) Whom does which man love?

It is derived from the two-place abstract (31), which translates as (32):

(31) whom which man loves
(32) \( \lambda x \lambda y [\text{man}(a)(x) \land \text{love}(a)(x,y)] \)
In its turn, (31) is the result of a rule which operates on a CN and a one-place abstract, in this case on the CN man and (33), which translates as (34):

(33) whom he loves  
(34) \( \lambda y[\text{love}(a)(x_0,y)] \)

The semantic operation that this rule involves is that of restricted \( \lambda \)-abstraction. An \( n+1 \)-abstract is formed from an \( n \)-place one and a predicate by abstraction over a free variable in the abstract, restricting the abstraction to those objects which satisfy the predicate. In this case, we get (35) from (34) and the translation of man:

(35) \( \lambda x_0[\text{man}(a)][\text{love}(a)(x_0,y)] \)

And (35) is equivalent to (32).

The two-place abstract underlying (30) can be used in the derivation of characteristic linguistic answers. Also, if we turn it into an interrogative we get de dicto readings, as the translation of (30) illustrates:

(36) \( \lambda i[\lambda x\lambda y[\text{man}(a)(x) \land \text{love}(a)(x,y)] = \lambda x\lambda y[\text{man}(i)(x) \land \text{love}(i)(x,y)] ] \)

A complete answer to (30) would specify the extension of the relation of loving, restricted in its first argument to individuals who are men.

Let us now turn to pair-list readings. Consider (37):

(37) Whom do John and Mary love?

On its pair-list reading, (37) too, is answered by specifying the extension of the love-relation, in this case its first argument being restricted to John and Mary. This means that (38) would be a suitable abstract to derive (37) from:
(38) \( \lambda x \lambda y[[x = j v x = m] \land \text{love}(a)(x,y)] \)

If we turn (38) into an interrogative we get the correct pair-list reading of (37):

(39) \( \lambda i[\lambda x \lambda y[[x = j v x = m] \land \text{love}(a)(x,y)] = \lambda x \lambda y[[x = j v x = m] \land \text{love}(i)(x,y)] \) 

Consider a second example, the pair-list reading of (40):

(40) Whom does every man love?

A complete answer to (40) on this reading has to specify the extension of the love-relation restricted in its first argument to the men. But that means that, at least as far as their answers are concerned, the pair-list reading of (40) and the two-constituent interrogative (30) are the same. Hence, the same abstract (32) that underlies (30) would be a suitable underlying structure for (42) as well.

From these observation we may conclude that in fact pair-list readings of interrogatives are straightforward cases of restricted \( \lambda \)-abstraction too. In the case of multiple constituent interrogatives, the abstraction is restricted to the extension of the property expressed by the CN in the wh-phrase. In the case of pair-list readings, it is restricted to the extension of a property that is uniquely determined by the term. For (37) it is the property of being John or Mary, for (40) it is the property of being a man. It is easy to see what in general the required property determined by the term is. Terms which give rise to pair-list readings are monotone increasing terms which have a unique, not necessarily empty smallest element, extensionally speaking. This smallest element we call the set on which such a term 'lives',\(^{41}\) For John and Mary it is the set consisting of John and Mary, and for every man it is the set of men. The property we are after is the property which gives us at each index the set on which the term lives. We call it the property on which it lives.
For pair-list terms it can simply be defined as follows:

\[(\text{LIVE}) \quad \text{live}(a) = \lambda a \lambda x \forall P[a(P) \to P(a)(x)]\]

In terms of live we can now state the required generalized version of the rule which forms \(n+1\)-place abstracts form \(n\)-place ones. If \(a\) is a term, translating as \(a'\), and \(B\) is an \(n\)-place abstract, translating as \(B'\), then the \(n+1\)-place abstract formed from them translates as follows:

\[(\text{AB-T}) \quad \lambda x_n[\text{live}(a')(a)]B'\]

Let us work out one example to illustrate this rule, the derivation of (40). If we apply AB-T to the one-place abstract (34) and the translation of every man we get (41):

\[(41) \quad \lambda x_o[\text{live}(\lambda P \forall x[\text{man}(a)(x) \to P(a)(x)])(a)] \lambda y[\text{love}(a)(x_0, y)]\]

Application of the definition LIVE and some reduction gives the following expression that denotes the set to which the abstraction is restricted:

\[(42) \quad (\lambda a \lambda x \forall P[\forall x[\text{man}(a)(x) \to P(a)(x)] \to P(a)(x)])(a)\]

This is equivalent to (43):

\[(43) \quad (\lambda a \lambda x[\text{man}(a)(x)])(a)\]

And this, reducing somewhat more, gives us (44) as the equivalent of (41):

\[(44) \quad \lambda x_o[\text{man}(a)] \lambda y[\text{love}(a)((x_0, y))]\]

In its turn, (44) is equivalent to (32), the abstract from which, we concluded above, (40) should be derived.

By means of the rule AB-T we can derive pair-list readings
adequately. That they are answered as multiple constituent interrogatives are, is accounted for by deriving them from two-place abstracts, from which these characteristic linguistic answers can be derived. And the de dicto aspect of their interpretation also comes out as it should.

Obviously, the old rule which derives multiple constituent interrogatives can be regarded as an instance of the general rule AB-T. All we need to do is to give a wh-phrase which CN the same translation as the term every CN.

The entire core theory, including pair-list readings, then consists basically of only three rules.

First, there is the rule AB-T which turns n-place abstracts and terms into n+1-place abstracts.

Second, we have the rule I which turns n-place abstracts into interrogatives.

And third, there is the rule IA which turns n-place abstracts and n-place terms into characteristic linguistic answers.

But there remains one phenomenon to be taken care of, that of choice-readings.

4.3.2. Choice-readings

As we noticed above, a proper treatment of choice readings will involve a combination of two extensions of the core theory. First, we need to proceed to the level of lifted abstracts and interrogatives, since a choice reading involves more than one question. And, secondly, we need the generalization of the abstract formation rule AB-T, since choice readings, like pair-list readings, closely resemble multiple constituent interrogatives. Together these two extensions are to result in a rule which turns n-place lifted abstracts and terms into n+1-place lifted abstracts. To these, the lifted I-rule and the lifted IA-rule, defined in section 4.2.4 will apply.

In order to get an idea of what we are after, consider the lifted version of the AB-T rule:
Here, $R^n$ is a variable over intensions of n-place abstracts, i.e. over n-place relations. And $R^{n+1}$ is a variable over properties of n+1-place relations. In this form, the rule will always result in an expression which denotes a set of properties of a unique n+1-place relation, which is formed from the set of properties of a unique n-place relation and the property on which the term lives. Such a lifted abstract results in a lifted interrogative which characterizes a unique question.

So, in order to deal with choice readings, the rule needs to be generalized so as to result in lifted abstracts which denote sets of properties of more than one relation. For these can be turned into lifted interrogatives which characterize more than one question. Starting from a lifted abstract which denotes the set of properties of a single n-place relation, the rule should turn it into one which denotes a set of properties of several n+1-place relations by combining the single n-place relation with several properties determined by the term.

What we need, then, is a procedure, which we will call choice which operates on a term and extracts from the set of properties it denotes the property or properties that are relevant. Given such a procedure, the general rule can then be stated as follows:

\[
(LIFT-AB-T) \lambda R^{n+1}[\beta' (\lambda \alpha R^n \exists \text{choice}(\alpha')(P) \land \\
R^{n+1}(a)(\lambda \alpha \lambda x_k[P(a) \land R^n(a)])])
\]

What remains to be done is to define the operation choice. It turns sets of properties into sets of properties. For pair-list terms, we already know what the result should be: the singleton set containing the property on which such a term lives. In order to find out which properties are relevant in case we are dealing with terms which give rise to choice readings, let us consider again our stock example (45):
(45) Whom does John or Mary love?

On its choice reading, (45) has to be obtained from a lifted two-place abstract. In its turn, this abstract has to be constructed from the term John or Mary and the lifted one-place abstract (46), which translates as (47), and characterizes the single property which is expressed by (48):

\[ (46) \text{whom he}_0 \text{ loves} \]
\[ (47) \lambda R'[R'(a)(\lambda a\lambda y[\text{love}(a)(x_0,y)])] \]
\[ (48) \lambda y[\text{love}(a)(x_0,y)] \]

The interrogative (45) is associated with two different questions. E.g. in a situation in which John loves Suzy, and Mary loves Suzy and Bill, there are two different complete and true semantic answers to (45). These are expressed by (49) and (50):

\[ (49) \text{John, Suzy.} \]
\[ (50) \text{Mary, Suzy and Bill.} \]

And we will take it that the conjunction (51) of (49) and (50), which answers both questions associated with (45), counts as a complete answer as well:

\[ (51) \text{John, Suzy; and Mary, Suzy and Bill.} \]

In the context of (45), (49) answers the question whom John loves, (50) answers the question whom Mary loves, and (51) answers both.

In order to account for this, the two-place abstract from which (45) is to be derived, should translate into an expression which is equivalent with (52):

\[ (52) \lambda R'^2[R'^2(a)(\lambda a\lambda x\lambda y[x = j \land \text{love}(a)(x,y)]) \lor \]
\[ R'^2(a)(\lambda a\lambda x\lambda y[x = m \land \text{love}(a)(x,y)]) \]
The two relations which (52) characterizes, can be written as the restricted \( \lambda \)-abstracts (53) and (54):

\[
(53) \quad \lambda x_0[\lambda x[x = j] \lambda y[\text{love}(a)(x_0, y)]
\]

\[
(54) \quad \lambda x_0[\lambda x[x = m] \lambda y[\text{love}(a)(x_0, y)]
\]

Each of (53) and (54) can be obtained from the single property (48) characterized by the one place abstract (46) and two other properties, using restricted \( \lambda \)-abstraction. In (53), \( \lambda \)-abstraction is restricted to the property of being John, and in (54) it is restricted to the property of being Mary. These two properties are among the properties denoted by the term John or Mary. And if choice is defined in such a way that for this term it results in the set consisting of these two properties, the rule LIFT-AB-T, applied to (46) and John or Mary will result in the required (52).

Let us consider one other example, the choice reading of (55):

(55) Whom do two girls love?

On its choice reading, (55) allows for many different complete and true semantic answers. One may choose any two girls and specify for each one of them whom the individuals are that she loves. An example of a characteristic linguistic answer is (56):

(56) Mary, Bill and Suzy; and Hilary, Peter.

In the context of (55), (56) expresses the proposition that Mary is a girl and that the ones she loves are Bill and Suzy, and that Hilary is a girl, and that the one she loves is Peter. So, one of the relations that should be characterized by the lifted two-place abstract from which (55) is to be derived, is (57):

(57) \( \lambda x \lambda y[\text{girl}(a)(x) \wedge [x = m \vee x = h] \wedge \text{love}(a)(x, y)] \)
The two-place abstract underlying (55) has to be derived from the term *two girls* and the one-place abstract (46), which characterizes the single property (48). The two-place relation (57) can be derived from (48) and the property of being a girl and being Mary or Hilary, by means of restricted \(\lambda\)-abstraction. The restricted \(\lambda\)-abstract is (58), which is equivalent to (57):

\[
(58) \quad \lambda x_0[\lambda x[\text{girl} (a) (x) \land (x = m \lor x = h)] \lambda y[\text{love} (a) (x_0, y)]
\]

So, if Mary and Hilary are girls, the property of being a girl and being Mary or Hilary, should be among the properties that the operation choice selects from those which the term *two girls* denotes. Generally, for any two girls \(a_1\) and \(a_2\), the property of being a girl and being \(a_1\) or \(a_2\), should be among the properties selected by choice.

Each property in the set that choice gives for *two girls* is a combination of two properties: the property of being one of two actual girls, and the property of being a girl. Both of these are essential for determining the questions expressed by the choice reading of an interrogative that is constructed from this term, and also for determining the meaning of characteristic linguistic answers to such interrogatives. The first property is essential since such an interrogative as (55) asks to specify for two individuals which actually are girls, whom each of them loves. And the second one is essential since any such specification also asserts that the individuals in question are girls. We referred to this fact earlier by saying that choice readings, like pair-list readings, are to be interpreted de dicto. To repeat one argument pertaining to embedded interrogatives, (59) on the intended reading means that John knows of two individuals which are girls that that are girls and whom each of them loves:

\[
(59) \quad \text{John knows whom two girls love}
\]

In general, we need the following properties to determine
the choice properties of a term $a$. First of all, we need the properties of being an element of a minimal element of $a$. And secondly, we need the property on which $a$ lives. The set of choice properties of $a$ then consists of the conjunction of each of the former properties with the latter.

Consider our example **two girls** again. Its minimal elements are all sets consisting of two girls. For each such set, being an element of that set is one of the properties we need. The property on which **two girls** lives, is that of being a girl. The conjunction of each of the former properties with the property of being a girl gives the required choice properties of **two girls**.

The procedure described above also gives the required results for our other example, **John or Mary**. The minimal elements are the singleton sets {John} and {Mary}. The property on which the term **John or Mary** lives is the property of being John or Mary. The conjunctions of the latter with each of the properties determined by the former, i.e. the property of being John and the property of being Mary, gives the required choice properties. Clearly, the property on which **John or Mary** lives plays no role, due to the fact that names are treated as rigid designators. Another, related consequence of that analysis of names in the possible worlds framework is that for names no de dicto/de re distinction is made.

It is easy to see that for those terms which give rise to pair-list readings, at a certain index, **choice** gives a set containing a unique property, of which the extension at that index is the same as that of the property on which the term lives. Since in the rule LIFT-AB-T, abstraction is restricted to the extension of the property or properties that **choice** gives, the results that the general rule gives for pair-list terms are the same as those that the limited rule LIFT-AB-T* gives.

So, in order to give a definition of **choice**, we need a general definition of **live**. We already have the familiar operation **exh**, the operation of exhaustivization, which gives the minimal elements in the denotation of a term.
For both pair-list terms and choice terms it holds that they contain at least one not necessarily empty minimal element. So, for both kinds of terms, the set on which it lives is the union of the minimal elements. Hence the property on which such terms live can be defined in terms of \( \text{exh} \) as follows:

\begin{align*}
\text{(LIVE)} \quad \text{live}(a) &= \lambda x \lambda x \exists P [\text{exh}(a)(x) \land P(a)(x)]
\end{align*}

Then, we define the choice properties of \( a \) as the conjunctions of the properties of being an element of a minimal element with the live property:

\begin{align*}
\text{(CHOICE)} \quad \text{choice}(a) &= \lambda x \exists x [\text{exh}(a)(x) \land \text{live}(a)(x)]
\end{align*}

Given this definition of \text{choice}, the rule LIFT-AB-T is now completely implemented.

By way of illustration, we discuss once more our example (55). The two-place (lifted) abstract underlying (55) is derived from the one-place (lifted) abstract (46), which translates as (47), and the term two girls, which translates as (60):

\begin{align*}
\text{(60)} \quad \lambda P \exists x \exists y & [x \neq y \land \text{girl}(a)(x) \land \text{girl}(a)(y) \land P(a)(x) \land P(a)(y)]
\end{align*}

Application of LIFT-AB-T to (47) and (60) gives (61):

\begin{align*}
\text{(61)} \quad \lambda R^2[&(47)(\lambda a \lambda x \exists P [\text{choice}(60)(P) \land R^2(a)(\lambda a \lambda x \exists P(a)(\gamma(x,y))])] ]
\end{align*}

This can be reduced to (62):

\begin{align*}
\text{(62)} \quad \lambda R^2 \exists P [\text{choice}(60)(P) \land R^2(a)(\lambda a \lambda x \exists P(a)(\gamma(\text{love}(a)(x,y)))]
\end{align*}

Suppose there are three girls, Mary, Hilary, and Jane. In that
case, the minimal elements in the set of sets denoted by (60) are those in (63):

\[(63) \text{exh}(60) = \{\{m,h\}, \{m,j\}, \{h,j\}\}\]

The property on which (60) lives is (64):

\[(64) \text{live}(60) = \lambda a \lambda x[\text{girl}(a)(x)]\]

Hence, in the situation at hand, the choice properties of (60) are the following:

\[(65) \text{choice}(60) = \{\lambda a \lambda x[\text{girl}(a)(x) \land [x = m \lor x = h]], \lambda a \lambda x[\text{girl}(a)(x) \land [x = m \lor x = j]], \lambda a \lambda x[\text{girl}(a)(x) \land [x = h \lor x = j]]\}\]

So, in this situation, (62) is equivalent to (66):

\[(66) \lambda R^2[a] (\lambda a \lambda x \lambda y[\text{girl}(a)(x) \land [x = m \lor x = h] \land \text{love}(a)(x,y)]) \lor R^2[a] (\lambda a \lambda x \lambda y[\text{girl}(a)(x) \land [x = m \lor x = j] \land \text{love}(a)(x,y)]) \lor R^2[a] (\lambda a \lambda x \lambda y[\text{girl}(a)(x) \land [x = h \lor x = j] \land \text{love}(a)(x,y)])\]

From the lifted two-place abstract (62), the lifted interrogative (67) is formed by means of the rule LIFT-I:

\[(67) \lambda Q[\lambda (62) (\lambda a \lambda R^2[Q(a) (\lambda a \lambda R^2[a] = R^2[a]))]]\]

This can be reduced to (68):

\[(68) \lambda Q \exists P[\text{choice}(60)(P) \land Q(a) (\lambda a \lambda x_0[P(a) \land y[\text{love}(a)(x_0,y)] = (\lambda x_0[P(i) \land y[\text{love}(i)(x_0,y)])]]\]

So, in the situation indicated above, in which (62) is equivalent to (66), (68) denotes the following set of properties of questions:
\[ (69) \]

\[
\lambda Q(a)(\lambda a\lambda i[\lambda x\lambda y[\text{girl}(a)(x) \land [x = m \lor x = h] \land \text{love}(a)(x,y) = \\
\lambda x\lambda y[\text{girl}(i)(x) \land [x = m \lor x = h] \land \text{love}(i)(x,y)]) \lor \\
Q(a)(\lambda a\lambda i[\lambda x\lambda y[\text{girl}(a)(x) \land [x = m \lor x = j] \land \text{love}(a)(x,y) = \\
\lambda x\lambda y[\text{girl}(i)(x) \land [x = m \lor x = j] \land \text{love}(i)(x,y)]) \lor \\
Q(a)(\lambda a\lambda i[\lambda x\lambda y[\text{girl}(a)(x) \land [x = h \lor x = j] \land \text{love}(a)(x,y) = \\
\lambda x\lambda y[\text{girl}(i)(x) \land [x = h \lor x = j] \land \text{love}(i)(x,y)])]
\]

So, in our sample situation, the interrogative (55) is associated with three different questions. Notice that (55) is interpreted de dicto, and that it is interpreted as a two-constituent interrogative.

These features are both essential for giving a correct account of the meaning of characteristic linguistic answers, such as the constituent answer (70):

(70) Hilary, Peter; and Jane, Suzy.

In the context of (55), (70) expresses the proposition that Hilary is a girl, and the one she loves is Peter, and that Jane is a girl, and that the one she loves is Suzy. The two-place term from which (70) is derived translates as (71):

(71) \( \lambda R_2^2[R_2^2(a)(h,p) \land R_2^2(a)(j,s)] \)

The proposition which (70) expresses in the context of (55) is obtained by applying the rule LIFT-IA to (62) and (71):

(72) \( (63)(\lambda a[\text{exh}(\lambda a[(71)])]) \)

The exhaustivization of the two-place term (71) can be written out as (73):

(73) \( \lambda R_2^2 \forall x \forall y[R_2^2(a)(x,y) \leftrightarrow [[x = h \land y = p] \lor [z = j \land y = s]]] \)

Consequently, ((72) can be reduced to (74):

(74)
Finally, (74) can be seen to be equivalent to (75):

\[(75) \forall x \forall y [(\text{girl}(a) (x) \land [x = h \lor x = j] \land \text{love}(a) (x, y)) \leftrightarrow ([x = h \land y = p] \lor [x = j \land y = s])]\]

And (75) expresses the proposition which we informally described above.

One final remark. Above, we stated that the interrogative (45) on its choice-reading, can also be answered by (51), which in fact answers both questions associated with (45):

(45) Whom does John or Mary love?
(51) John, Suzy; and Mary, Suzy and Bill.

In order to account for this, (51) has to be viewed as a conjunction of two different answers to two different questions rather than as a conjunctive answer to one question. The latter interpretation it has as an answer to (76) on its pair-list reading, which is associated with just one question:

(76) Whom do John and Mary love?

Depending on which interrogative (51) answers, the two-place term surfacing in it has to be derived in different ways from the two two-place terms surfacing in (49) and (50):

(49) John, Suzy.
(50) Mary, Suzy and Bill.

The latter translate as (77) and (78):

\[(77) \lambda R^2[R^2(a)(j,s)]\]
\[(78) \lambda R^2[R^2(a)(m,s) \land R^2(m,b)]\]
For (51) as an answer to (76), we can simply take the conjunction of (77) and (78), thus arriving at (79):

\[(79) \lambda R^2[R^2(a)(j,s) \land R^2(a)(m,s) \land R^2(a)(m,b)]\]

But as an answer to (45), which is associated with two different questions, we have to make sure that each conjunct functions as a separate answer. I.e. we have to make sure that the abstract underlying (54) distributes over the conjuncts in (51). This is a familiar coordination problem, which has a familiar solution: we have to define conjunction at a lifted level. This we do as follows, we lift two-place terms to expressions denoting sets of properties of low-level two-place term denotations. For (77) and (78), we then get (80) and (81):

\[(80) \lambda R^2[R^2(a)(\lambda aR^2[R^2(a)(j,s)])]\]
\[(81) \lambda R^2[R^2(a)(\lambda aR^2[R^2(a)(m,s) \land R^2(a)(m,b)])]\]

If we now apply the standard operation of conjunction to (80) and (81), we arrive at (82):

\[(82) \lambda R^2[R^2(a)(\lambda aR^2[R^2(a)(j,s)]) \land R^2(a)(\lambda aR^2[R^2(a)(m,s) \land R^2(a)(m,b)])]\]

Further, we need a version of the original IA-rule, which is now lifted in both its arguments, and of which the relevant semantic operation is given in (83):

\[(83) \alpha(\lambda a\lambda R^m[\beta(\lambda a[\text{exh}(\lambda aR^m)])])\]
where \(a\) is the translation of a lifted n-place term, and \(\beta\) of a lifted n-place abstract.

The reduced translation of the lifted two-place abstract underlying (45) was:

\[(52) \lambda R^2[R^2(a)(\lambda a\lambda x\lambda y[x = j \land \text{love}(a)(x,y)]) \lor R^2(a)(\lambda a\lambda x\lambda y[x = m \land \text{love}(a)(x,y)])]\]
The reader can verify that if we apply (83) to (82) and (52), the abstract distributes over the two conjuncts. The proposition that results is that expressed by (84):

\[(84) \forall x[\text{love}(a)(j,x) \leftrightarrow x = s] \land
\forall x[\text{love}(a)(m,x) \leftrightarrow (x = s \lor x = b)]\]

From the discussion in this section, we draw the following conclusion. Treating interrogatives at a lifted level allows us to deal with choice readings in a satisfactory way. In fact, we need only one rule, LIFT-AB-T, to derive both ordinary constituent interrogatives, pair-list readings, and choice readings. This derivation is adequate insofar as that it assigns all interrogatives a de dicto interpretation. Moreover, it accounts for the fact that pair-list readings and choice readings are like ordinary constituent interrogatives in the way in which they are characteristically answered. And, finally, a suitably lifted version of the IA-rule assigns these answers their correct interpretation.

So, it seems that in order to deal with all these phenomena, we need nothing but the central notion of a question, as it occurs in the core theory, and standard techniques for dealing with problems of coordination, which are used elsewhere in the grammar as well.

4.3.3. Pair-list readings and choice-readings of complements

We argued in section 2.1 that the sentences (85) and (86) both have three different readings:

\[(85) \text{John knows whom every man loves} \]
\[(86) \text{John wonders whom every man loves}\]

The first reading results if the term every man in the embedded interrogative has narrow scope. The second reading is obtained by embedding the interrogative on its pair-list reading. In the
latter case, (85) and (86) are equivalent with (87) and (88) respectively:

(87) John knows whom which man loves
(88) John wonders whom which man loves

We get a third reading if the term every man is quantified into the sentence as a whole, and hence has widest scope.

We saw in section 3.2.2 that in the intermediary theory, the second and third reading of (86) coincide, and we argued that that is an incorrect result. It will need little argumentation that within the present analysis, these two readings remain distinct, both for (85) and for (86), precisely because we took care of the de dicto nature of pair-list readings. In their reduced form, the third and second reading of (85) are represented by (89) and (90):

\[(89) \text{know}_* (a) (j, \lambda i [\lambda x \lambda y \text{man} (a) (x) \land \text{love} (a) (x, y)] = \lambda x \lambda y \text{man} (i) (x) \land \text{love} (i) (x, y)) \]

\[(90) \forall x \text{man} (a) (x) \rightarrow \text{know}_* (a) (j, \lambda i [\lambda y \text{love} (a) (x, y)] = \lambda y \text{love} (i) (x, y)) \]

We also saw in section 2.1 and 3.2.2 that the pair-list and wide scope reading do coincide in case we have a rigid term, like Mary and Bill or everyone, instead of the non-rigid every man. For the latter we would arrive at (91) and (92):

\[(91) \text{know}_* (a) (j, \lambda i [\lambda x \lambda y \text{love} (a) (x, y)] = \lambda x \lambda y \text{love} (i) (x, y))] \]

\[(92) \forall x \text{know}_* (a) (j, \lambda i [\lambda y \text{love} (a) (x, y)] = \lambda y \text{love} (i) (x, y))] \]

If we assume our domain to remain constant over different indices, (91) and (92) are indeed equivalent, given the standard semantics of know in a possible worlds framework.

Given the intuitive meaning of wonder, this does not hold for this verb. An important part of its meaning can be paraphrased as want to know. And want has a negative implication: want to
know implies know not (as want to have implies have not). This negative element prevents the exportation of coordinated elements within its scope. So, the reason that (91) and (92) are equivalent, but that we do not have an equivalence of the analogous readings of (93):

(93) John wonders whom everyone loves

lies in the specific semantic content of the verbs know and wonder. It is, in other words, a matter of lexical semantics.

For sentences such as (94) and (95), in which an interrogative is embedded that has a choice reading, similar results are obtained:

(94) John knows whom two girls love
(95) John wonders whom two girls love

Both sentences have three different readings. The first is the one in which the term has narrowest scope, the second is the one on which the wh-complement has its choice-reading, and finally, there is the reading in which the term is quantified into the sentence as a whole.

In this case, too, it holds that if we replace the non-rigid term two girls, by the rigid one Mary or Suzy, the last two readings of the sentence with know coincide, and both become equivalent to (96):

(96) John knows whom Suzy loves, or John knows whom Mary loves

And for the same reason that with wonder pair-list readings and wide scope readings remain distinct with a rigid term as Mary and Bill, the same holds for a rigid term as Mary or Suzy and choice readings and wide scope readings.

This illustrates that the phenomena concerning pair-list readings and choice readings of complements, discussed in section 2.1 and 2.2, are captured by the analysis developed here.
4.4. Conclusion

The analysis of pair-list readings and choice readings outlined in the previous sections enables us to account for the various phenomena which we observed in section 2. Moreover, it deals with coordination of interrogatives in a completely standard way. And it brings out the parallels that exist between conjunction and pair-list readings and disjunction and choice readings.

As we remarked at the end of section 4.3.1, the entire core theory consists of only three rules: the AB-T-rule which forms abstracts from abstracts and terms; the I-rule which turns abstracts into interrogatives; and the IA-rule which constructs characteristic linguistic answers from abstracts and terms.

For disjunction and choice readings we argued that we need to analyze interrogatives as denoting sets of properties of questions. The last two rules can be lifted to this level of analysis in a completely straightforward way, as we have seen in section 4.2.4. For the abstract formation rule to account for choice readings, we saw in section 4.3.2 that it had to be not only lifted, but also to be generalized.

So, in order to account for the interrogatives the core theory was intended to deal with, three rules suffice. And in order to incorporate disjunctions and choice readings, three rules suffice as well. Moreover, these two sets of rules are related systematically.

We saw in section 4.2.3 that certain facts concerning entailment relations between core theory interrogatives make it impossible to simply abandon the core theory in favour of its lifted analogue. We should retain both. This calls for a more flexible organization of the grammar, a demand that is underscored by the following observation. Consider sentence (97):

(97) John wonders whom Peter loves or whom Mary loves
This sentence has a reading on which it is equivalent with (98):

(98) John wonders whom Peter loves or John wonders whom Mary loves

For a proper analysis of this reading of (97) the complement in question has to be derived on a yet higher level. Analogous cases can be found with other constructions, not involving interrogatives.

In section 6, we will discuss the basic principles of an approach that allows one to deal with these phenomena in a flexible way. Before turning to that topic, however, we discuss in the next section the third of the three phenomena observed in section 2, that of mention–some interpretations of interrogatives.
5. A semantic treatment of mention-some interpretations

5.1. Introduction

A third phenomenon besides pair-list and choice readings discussed in section 2, is that of mention-some interpretations. Though the latter have in common with choice readings that they allow for more than one semantic answer, we observed mention-some interpretations to differ from choice readings in important respects.

On its mention-some interpretation, the interrogative (1) elicits answers like (2):

(1) Where is a pen?
(2) On my desk.

On its (unlikely) choice reading, (1) would have to behave like a two-constituent interrogative. But judged from the nature of the answer (2), it simply behaves in accordance with what it looks like: a single constituent interrogative. The 'term' surfacing in (2) requires a one-place abstract to combine with to form the proposition it expresses as an answer to (1), viz. that there is a pen on my desk.

Whereas on its choice reading, the term a pen in (1) has wide scope over the wh-phrase where, there is no reason to assume this to be the case for (1) on its mention-some interpretation. The fact that (1) allows for several different completely satisfactory answers is not due to the semantic nature of the existentially quantified term a pen. As was observed in section 2.3, interrogatives which do not contain a term which gives rise to choice readings if it is given wide scope allow for mention-some interpretations equally well.
The choice we are left in answering (1) on its mention-some interpretation is not the choice of a particular pen, but rather the choice of a particular place. If it is existential quantification which underlies choice, then it is not the existential quantification in a pen which triggers the choice involved in the mention-some interpretation of (1). We rather would have to assume that in this case the wh-phrase where involves existential quantification. This is also indicated by the fact that if we take the wh-complement in (3) on its mention-some interpretation, (3) is to be paraphrased as (4) or (5), but not as (6):

(3) John knows where a pen is
(4) John knows a place where a pen is
(5) John knows of a place where a pen is, that there is a pen there
(6) John knows of a pen where that pen is

Sentence (6) is a correct paraphrase of (3) if the complement is taken on its choice reading, but not if it is taken on its mention-some interpretation.

These are ample reasons to reject the identification of mention-some interpretations and choice readings, as it has actually been proposed by Belnap. However, these observations do not tell us yet how to deal with the phenomenon. In section 2.3 we indicated that we have some doubt as to whether it is a semantic or a pragmatic phenomenon. In previous papers, we invariably defended the latter option, but we never offered an explicit pragmatic treatment.

In the next section, we will indicate that a pragmatic approach, though intuitively appealing, meets certain difficulties. In section 5.3, we will present a semantic analysis which fits in naturally with the analysis of choice-readings presented above. However, remaining faithful to our earlier position, we conclude in section 5.4 that there remain some problems of which it is hard to see how a semantic approach could solve them.
5.2. Problems for a pragmatic approach

The phrase 'a pragmatic approach' in the title of this section should be interpreted specifically. The pragmatic approach we have in mind runs along the following lines.

The semantic interpretation of an interrogative is the question it expresses on its mention-all interpretation. Its denotation is the proposition expressed by a true and complete semantic answer. Mention-some and mention-all interpretations are not associated with two different semantic interpretations of interrogatives, but with two different notions of answerhood. The mention-all interpretation is linked to the notion of a proposition giving a complete answer to a question. The mention-some interpretation is connected with the notion of a proposition giving a partial answer to a question. What kind of answer is called for depends on the context in which the interrogative is used.

Unlike interrogatives, linguistic answers are ambiguous between a mention-some and a mention-all reading. On its mention-some reading, the term surfacing in a constituent answer is as such combined with the abstract underlying an interrogative. On its mention-all interpretation, the term is combined with the abstract after the term has first been exhaustified.

Whether this intuitively appealing approach is successful or not depends on whether the notion of a partial answer gives a correct characterization of the propositions which intuitively count as completely satisfactory answers to an interrogative on its mention-some interpretation.

In order to be able to decide whether or not this is the case, we need a definition of a notion of partial answerhood. Besides the notion of a proposition giving a complete, true answer to a question at a certain index, the notion of a proposition giving a partial, true answer at an index can be defined as follows:
According to P-ANS, a proposition gives a partial, true answer to a question if it is compatible with the actual true and complete answer, and not with all possible complete answers. It should exclude at least one possible answer.

The success of this approach now depends on whether the following holds:

\[(\text{MS}) \quad p \text{ is a completely satisfactory and true mention-some answer to } q \text{ at an index } a \text{ iff } p_{-\text{ans}}(a)(p,q)\]

Unfortunately, this is not the case. Consider again the interrogative (1) and the answer (2):

(1) Where is a pen?
(2) On my desk.

As a mention-some answer to (1), (2) expresses the proposition that there is a pen on my desk. If this happens to be the case, then (2) expresses a completely satisfactory and true mention-some answer to the question expressed by (1). And certainly, it will then constitute a partial, true answer as well. It is compatible with (in fact, even implied by) the complete and true answer which exhaustively specifies all places in the domain of discourse where a pen can be found, since my desk is one of them. And it will exclude other possible answers, viz. those which do not have my desk among the total list of places they specify.

However, there will be many other answers that do meet the criterion of being partial, true answers as well, but which intuitively do not count as completely satisfactory mention some answers. Most prominent among them are negative answers such as (7):
(7) Not in the drawer.

If there is indeed no pen in the drawer, it can easily be seen that then (7) constitutes a partial and true answer to the question expressed by (1) as well. But such negative answers are not completely satisfactory mention-some answers. So, MS holds in one direction, but not in the other. Correct mention-some answers are partial answers, but not all partial answers are mention-some answers as well.

Of course, one might try to find an alternative definition of partial answerhood to do the job. But there are reasons to believe that it will be hard to find one. A constituent interrogative such as (1) is equivalent to the conjunction of all yes/no questions which for a particular place P ask whether there is a pen at P. Each such yes/no question is, so to speak, an ultimate part of the question expressed by (1). Any partial answer has the effect of answering at least one of these ultimate questions. The point is that both a positive and a negative answer to such an ultimate question counts as a partial answer to the question as a whole.

However, only positive specifications of one or more places where a pen is, i.e. only positive answers to one or more of these ultimate questions, count as completely satisfactory mention-some answers. This ultimately means that even a perfectly true complete answer may fail to be a satisfactory mention-some answer. This happens in case (8) is the true answer to (1):

(8) Nowhere.

The answer (8) is a possible complete answer to (1), but it is not a possible mention-some answer. If (8) is the true answer to the question expressed by (1), then it has no true mention-some answers.

What this argumentation shows, is that the outlined pragmatic approach faces a problem. Appealing though a pragmatic analysis to the phenomenon may be, for the moment we see no
way to arrive at an explicit pragmatic analysis which avoids this problem. For that reason, it seems worthwhile to see whether within our framework a semantic account of the phenomenon of mention-some interpretations of interrogatives is possible.

There is one more argument in favour of a semantic solution that we want to draw attention to. Not only interrogatives, but also the corresponding wh-complements have both a mention-some and a mention-all interpretation. This means that a sentence like (9) can both be interpreted as expressing (10), and as expressing (11), and similarly that (12) has an interpretation that can be paraphrased as (13), and one that can be paraphrased as (14):

(9) John knows where a pen is
(10) For all places where a pen is, John knows that there is a pen at that place
(11) For some places where a pen is, John knows that there is a pen at that place
(12) John wonders where a pen is
(13) John wants for all places where a pen is, to know whether there is a pen at that place
(14) John wants for some place where a pen is, to know whether there is a pen there

Since (10) and (11), and (13) and (14) have different truth conditions, it seems that we have to conclude that on their mention-some and mention-all interpretation, (9) and (12) have different truth conditions as well.

But then, under the assumption that semantics is to give a full account of truth conditions of sentences, and under the further assumption that the mention-some/mention-all distinction is a pragmatic one, we could not escape the conclusion that pragmatics would interfere with semantics.

This runs counter to rather basic methodological assumptions about the division of labour between semantics and pragmatics. For this reason, too, it makes sense to investigate the possibility of a semantic analysis of mention-some interpretations.
5.3. A semantic approach

What mention-some interpretations of interrogatives, or mention-some readings as we have decided for the moment, have in common with choice readings, is that in many cases they allow for a choice between several different true and complete semantic answers. Like on a choice reading, an interrogative on a mention-some reading is characteristic-ally associated with more than one question. This means that they are to be treated as lifted interrogatives, i.e. as expressions denoting sets of properties of questions.

Interrogatives are derived from abstracts. To be able to give a correct account for their linguistic answers, interrogatives on choice readings are derived from lifted abstracts. There is no need to do so for mention-some readings. If we apply the interpretation of the term John surfacing in the answer (16), to the interpretation of the abstract (17), we arrive at the proposition expressed by (18), which is a perfect semantic answer to (15) on its mention-some reading:

(15) Who has a pen?
(16) John.
(17) \( \lambda x[3y[p(a)(y) \land \text{has}(a)(x,y)] \]
(18) \( \exists y[p(a)(y) \land \text{has}(a)(j,y)] \]

For mention-some readings, lifting is essential only at interrogative level. For the sake of uniformity, we can of course start from a lifted abstract, but then it has to be nothing else but (19):

(19) \( \lambda R'[R'(a)(\lambda x[3y[p(a)(y) \land \text{has}(a)(x,y)]])] \]

In other words, one and the same (lifted) abstract underlies (1) both on its 'ordinary' mention-all and on its mention-some reading.
This means that we need a second rule of interrogative formation besides (I), which takes care of mention-some readings, and which is to transform a (lifted) abstract into a lifted interrogative. What we are to do is find the semantic operation that is involved in this rule. We have already established what the input of that operation should be. Let us now ask ourselves what its output should be like.

Since mention-some readings leave a choice as to which question to answer, they basically involve disjunction. So, the output should amount to something of the form:

\[
\lambda Q(a)(Q(a)(q_1) \lor \ldots \lor Q(a)(q_n))
\]

It are the questions \(q_1, \ldots, q_n\) from which one may choose one to answer if a true answer is to result.

From the discussion in the previous sections, we know which questions these are. E.g. a true answer to (15) on its mention-some reading has to specify a particular person who has a pen. For each and only each individual who actually has a pen, i.e. for each individual in the set denoted by the abstract (17), the true answer to the question whether that individual has a pen counts as a true mention-some answer. So, in this case \(q_1, \ldots, q_n\) are to be the questions whether \(x\) has a pen, for each individual \(x\) which in fact has a pen. The true answers to these yes/no questions cannot fail to be positive ones. For an individual \(x\) who does not have a pen, the question whether or not he has one is not among \(q_1, \ldots, q_n\).

This brings us to the following definition of the semantic operation which corresponds to forming a mention-some interrogative from an abstract \(\beta\):

\[
(I-MS) \lambda Q[\exists x[y'(x) \land Q(a)(\lambda a\lambda i[y'(x) = (\lambda a\lambda i)\(x\))](i)))]
\]

When we apply this rule to the abstract (17), the resulting translation of the mention-some reading of (15) is (21):
(17) Who has a pen?
(21) \[\lambda Q(\exists x \exists y[\text{pen}(a)(y) \land \text{has}(a)(x,y)] \land
Q(a)(\exists i \exists y[\text{pen}(a)(y) \land \text{has}(a)(x,y)] =
\exists y[\text{pen}(i)(y) \land \text{has}(i)(x,y)] \}]\]

The intension of (21), i.e. the meaning of (17) on its mention-
some reading, is a function from indices to sets of properties
of questions. If \(x_1, \ldots, x_n\) are the individuals that have a pen
at an index \(i\), then (21) denotes the set of properties of
questions \(Q\) such that the question whether \(x_1\) has a pen has
the property \(Q\) or \(\ldots\) or the question whether \(x_n\) has the
property \(Q\). So, at an index, (17) is materially equivalent
with the disjunction of those yes/no interrogatives 'Does \(x\)
have a pen?' which have the true answer 'Yes'.

Notice, that at an index at which nobody has a pen, (21)
denotes the empty set. This accounts for the fact that in such
a situation, (17) does not have a true mention-some answer.

Let us now take a quick look at the results we obtain for
sentences in which the wh-complement corresponding to (17) on
its mention-some reading is embedded under verbs such as
wonder and know. Sentence (22), in which the complement
corresponding to (17) on its mention-some reading is embedded
under the intensional verb wonder translates as (23):

(22) John wonders who has a pen
(23) wonder(a)(j,\lambda \lambda Q[\exists x \exists y[\text{pen}(a)(y) \land \text{has}(a)(x,y)] \land
Q(a)(\lambda \lambda i \exists y[\text{pen}(a)(y) \land \text{has}(a)(x,y)] =
\exists y[\text{pen}(i)(y) \land \text{has}(i)(x,y)] \}]\]

In virtue of the meaning postulate defined for extensional
verbs such as know, the reduced translation of (24) on its
mention-some reading is (25):

(24) John knows who has a pen
(25) \[\exists x \exists y[\text{pen}(a)(y) \land \text{has}(a)(x,y)] \land
\text{know}\_s(a)(j,\lambda i \exists y[\text{pen}(a)(y) \land \text{has}(a)(x,y)] =
\exists y[\text{pen}(i)(y) \land \text{has}(i)(x,y)] \}]\]
From this translation, it is transparent that (24) on its mention-some reading can be paraphrased as (26):

(26) Of someone who has a pen, John knows whether he has a pen

The translation (25) can be further reduced to (27), which accounts for the fact that (24) on its mention-some reading can equally well be paraphrased as (28):

(27) \( \exists x \forall y [ \text{pen}(a)(y) \wedge \text{has}(a)(x,y)] \wedge \text{know}_*(a)(j, \lambda i [\exists y [\text{pen}(a)(y) \wedge \text{has}(a)(x,y)]]]) \)

(28) Of someone who has a pen, John knows that he has a pen

If we assume wonder to be decomposable in want to know, then the translation (23) of sentence (22) on its mention-some reading reduces to (29), accounting for the fact that (22) on this reading can be paraphrased as (30). And (29) can be further reduced to (31), accounting for the fact that on this reading (22) can also be paraphrased as (32):

(29) want(a)(j, \lambda i [\exists x [\exists y [\text{pen}(a)(y) \wedge \text{has}(a)(x,y)]] \wedge \text{know}_*(a)(j, \lambda i [\exists y [\text{pen}(a)(y) \wedge \text{has}(a)(x,y)]]])])

(30) John wants to know of someone who has a pen whether he has a pen

(31) want(a)(j, \lambda i [\exists x [\exists y [\text{pen}(a)(y) \wedge \text{has}(a)(x,y)]] \wedge \text{know}_*(a)(j, \lambda i [\exists y [\text{pen}(a)(y) \wedge \text{has}(a)(x,y)]]])])

(32) John wants to know of someone who has a pen that he has a pen

Notice that if it happens to be the case that nobody has a pen, then (24) on its mention-some interpretation will be false, this even in case John knows that nobody has a pen, i.e. in case (24) is true on its mention-all reading. In virtue of the intensional nature of the verb wonder, it may very well be
true that John wonders who has a pen even in case nobody has one.

Assuming to wonder to imply to not know, (22) on its mention-some reading implies that of nobody who has a pen, John knows that he has a pen, whereas on its mention-all reading it has the weaker implication that not of everybody who has a pen John knows already that he has a pen.

There are some further interesting relations to be observed between mention-some, mention-all and choice-readings. Consider (24) again:

(24) John knows who has a pen

The choice reading of (24) implies its mention-some reading. This is correct, to know of a particular pen who has that pen, implies to know a person who has a pen. The mention-all reading implies the mention-some reading of (24) only if we assume that someone has a pen.

Similar facts can be noticed with respect to the answerhood properties of the three readings of the interrogative (17):

(17) Who has a pen?

Any proposition which gives a true and complete answer to (17) on its choice reading, will give a true and complete answer to (17) on its mention-some reading as well. And except for the proposition that nobody has a pen, any proposition that gives a complete and true answer to (17) on its mention-all reading, gives a true and complete answer to (17) on its mention-some reading as well. And, finally, any proposition which gives a true and complete answer to (17) on its mention-some reading, will give a partial and true answer to (17) on its mention-all reading.

We end this section by discussing one more example that has some peculiarities of its own. It is one of Belnap's favorite examples, the interrogative (33):
(33) Where do two unicorns live?

The interrogative (33) allows for several different interpretations. Suppose we want to make a picture showing two unicorns. In such a context, (33) asks to mention some place where two unicorns live together. This interpretation results if we take (33) on its mention-some reading, derived from the abstract translating as (34):

\[(34) \lambda x[\exists y \exists z (y \neq z \land \text{unicorn}(a)(y) \land \text{live-at}(a)(y,x) \land \text{unicorn}(a)(z) \land \text{live-at}(a)(z,x))]

Of course, there is also the corresponding mention-all interpretation of (33), also to be derived from the abstract (34). On that reading, (33) asks to mention all places where two unicorns live together. This interpretation is at stake in a context where we still want to make that picture showing two unicorns, but this time we want to make it at the nicest spot.

But, (33) allows for yet another interpretation. Suppose we want to catch two unicorns for dinner. Then we are interested in finding two places, not necessarily different ones, where two different unicorns live. One might dub this reading of (33) its mention-two reading. It differs from the mention-some reading in that it does not ask for a single place where two unicorns live together. To be able to catch two unicorns they need not be at one and the same place, though this may be handy.

At first sight, one might believe that the mention-two reading of (33) amounts to its choice reading. In fact, this is the way in which Belnap seems to view the matter. But this is not correct. On its choice reading, (33) asks us to identify two different unicorns, and to tell for each of them where it lives. On its choice reading, (33) would elicit an answer like (35):

\[(35) \text{Bel lives in the wood, and Nap lives near the lake}\]
But this tells us much more than we really need to know in order to be able to make the necessary preparations for our dinner. It may even spoil our appetite to know the names of our poor victims. For our purposes, we would already be quite satisfied with an answer like (36):

(36) In the wood, and near the lake.

And notice that (36) is a typical answer to a one-constituent interrogative, where as on its choice reading, as the answer (35) reveals, (33) is to be analyzed as a two-constituent interrogative.

So, we have to conclude that, pace Belnap, it will not do to identify the so-called mention-two interpretation of (33) with its choice reading. But then the question remains how we are to deal with this interpretation. The answer is simple: by paying due attention to the fact that two unicorns is a plural term. And the expression surfacing in (36), is a plural expression as well. As an answer to (33) on its mention-two reading, (36) expresses that \{in the wood, near the lake\} is a set of places such that there is a set consisting of (at least) two unicorns such that each member of the set of unicorns is at some member in the set of places (and at each member of the set of places there is some member of the set of unicorns).

As an answer to (33), (36) is just another instance of, what Remko Scha has called, the phenomenon of cumulative quantification. One of Scha's examples is sentence (37):

(37) 600 Dutch firms use 5000 American computers

On its most likely reading, (37) can be paraphrased roughly as (38):

(38) The total number of Dutch firms that use an American computer is 600, and the total number of American computers used by a Dutch firm is 5000
Scha provides a compositional semantic analysis for the phenomenon of cumulative quantification (and some interesting related phenomena besides).

We do not intend to go into technical details at this point, but only want to sketch informally that once it is recognized that (33) has a reading which involves cumulative quantification, its mention-two interpretation has no further problems to offer. It is simply the mention-some interpretation of (33) on its reading involving cumulative quantification.

To get things to work, we take into account that two unicorns and in the wood and near the lake are plural expressions. This means that the former is to be taken as denoting a set of properties of sets (or groups) of individuals, rather than as a set of properties of individuals. And the latter is to be related in a similar way to a set (or group) of places, rather than to two individual places.

Similarly, the abstract underlying (33) on this reading should not, as the abstract (34) did, express a property of individual places, but rather a property of sets (or groups) of places. On its mention-two interpretation, the wh-phrase where in (33) is to be taken as semantically plural, whereas on its mention-one-place-where-two-unicorns-live interpretation, the wh-phrase is semantically singular.

The abstract underlying (33) on its mention-two interpretation would then be something like (39):

\[
(39) \lambda X[\exists Y[\text{unicorns}(a) (Y) \land |Y| = 2 \land \\
    \forall x[Y(x) \rightarrow \exists y[X(y) \land \text{live-at}(a) (x,y)]] \land \\
    \forall y[X(y) \rightarrow \exists x[Y(x) \land \text{live-at}(a) (x,y)]] ]]
\]

It is our claim that such a result can be obtained by using the techniques developed by Scha. This being so, it is clear that if we apply our mention-some interrogative rule (I-MS) to (39), the result will be an interrogative which asks to specify two (not necessarily two different) places such that at these places taken together, there live two different unicorns.
In other words, the mention-two interpretation really amounts to the mention-some interpretation of the cumulative quantification reading of (33), essentially hinging upon the plurality of the term *two unicorns* occurring in it. (For obvious semantic reasons, there is in this case no corresponding mention-all reading. Any answer to the much simpler question where (mention-all) a unicorn lives would supply basically the same information as an answer to the mention-all cumulative quantification reading.)

This ends our discussion of this semantic approach to the phenomenon of mention-some interpretations. Its basic features can be summed up as follows. It fits in nicely within our general framework for the semantic analysis of interrogatives and wh-complements. More in particular, our basic notion of a question as an equivalence relation between indices was seen to apply to mention-some interrogatives equally well as it applies to mention-all interrogatives. This notwithstanding the fact that the notion of a question is intimately tied to that of exhaustiveness.

The general duality of mention-some and mention-all interpretations of interrogatives is accounted for by distinguishing two basic ways of deriving interrogatives from abstracts. To this general duality in the interpretation of interrogatives corresponds a general duality in the interpretation of answers. Two rules of deriving linguistic answers to interrogatives are to be distinguished. Both take a term and the abstract underlying an interrogative as input. The mention-all answer rule first exhaustifies the interpretation of the term, and then applies it to the interpretation of the abstract to form a proposition. The mention-some answer rule forms a proposition from the term and the abstract without applying exhaustivization.

Sofar, this story about a semantic approach to mention-some interpretations has the looks of a success-story. As promised, in the next section we will provide several arguments which throw some doubt upon this semantic approach really being the happy end.
5.4. Problems for a semantic approach

Despite the nice features of the semantic approach presented in the previous section, the feeling remains, at least with us, that the intuitive pragmatic explanation of how mention-some interpretations come about, is more appealing. In what follows, we will try to provide some arguments why we feel this way, and we will point at some problems of which it remains to be seen whether they can be solved on the basis of the semantic approach.

As we saw in section 5.1, it will not suffice to view mention-some interpretations as mere weakenings of the semantic mention-all readings in terms of the notion of partial answerhood. A mention-some answer is more than just a partial answer, it is a particular kind of partial answer, a positive one. But it seems that this is something that can be explained pragmatically in a natural way as well. Consider the example (40):

(40) Where do they sell Italian newspapers?

In a typical mention-some situation, such as the one in which (40) is asked by an Italian tourist, what triggers the mention-some interpretation is our knowledge that your average Italian tourist's concern is for a newspaper. Getting a newspaper is the background concern for the question. To get a newspaper, you need to know a place where they are sold (and that is open for business, etc.). Clearly, to know one such place will generally suffice. So, being aware of this background concern behind the question, it is reasonable to infer that our Italian tourist will be satisfied if we mention some place where Italian newspapers are sold. And notice that by this particular piece of reasoning the particular positive nature of the answer that is required, is predicted as well.
So, it seems that if our pragmatic reasoning takes into account that questions are asked against the background of certain well-defined concerns (such as things people want to have, or to know, and so on), an intuitive and plausible account of mention-some interpretations on the basis of the semantic mention-all reading can be given. Reaching this conclusion is not the same as providing an explicit theory that works, but it might point into the direction in which we have to look for such a theory.

To this it can be added that even if we stick to the semantic status of mention-some interpretations, a pragmatic theory along these lines would be needed anyway. The semantic account predicts an ambiguity between mention-some and mention-all readings. But in actual language use, ambiguities must be resolved. For this we need the same kind of reasoning as the one outlined above. And if we need this same line of pragmatic reasoning anyway in a full theory of language use, why then not use it as leading us to a certain pragmatic interpretation, rather than to posit a semantic ambiguity and to use it to resolve it?

This view is further supported by some observations. First of all, it may be noticed that mention-some interpretations of wh-complements are possible only when they are embedded under verbs which have a human subject, and which are tied to typical human concerns. Examples are sentences such as (41), (42) and (43):

(41) Maria wonders where they sell Italian newspapers
(42) Mario asks where they sell Italian newspapers
(43) Mary knows where they sell Italian newspapers

But when embedded under verbs which are not related to human concerns and which do not have a human subject, it is impossible to give a mention-some interpretation to wh-complements. Witness (44) - (47):

(44) What the average grade is depends on what grade each student has got
(45) Where you can get gas depends on what day it is
(46) Does it matter where a pen is?
(47) Who will come is partly determined by who is invited

Clearly, in all these cases, mention some interpretations make no sense at all, only mention-all interpretations of the embedded wh-complements are possible. If the mention-some interpretation would be a distinct semantic reading, it would seem to be predicted that sentences (44) - (47) are ambiguous between a mention-some and a mention-all reading. But they are not.

On a semantic approach this seems hard to account for. One either would have to find distinctive semantic features of the verbs involved which explain why mention-some readings are blocked, or it should be possible to argue that these sentences do have mention-some readings, but that the semantic interpretation of these verbs is such that they coincide with mention-all readings.48 We would not want to claim that such strategies will not work. But, as long as they are not explicitly made to work, the semantic approach faces a problem.

On a pragmatic approach, which arrives at mention-some interpretations by a form of reasoning which takes background human concerns into consideration, there is no problem at all. Such pragmatic reasonings only start off if human concerns are involved. And the relevant ones leading to mention-some interpretations are simply not there in case of sentences such as (44) - (47).

Even more problematic for the semantic approach are such sequences of sentences as in (48):

(48) Where can I get gas around here?
   That depends on what time it is.

One can easily imagine a situation in which the interrogative in (48) gets a mention-some interpretation. In such a situation the sequence (48) makes good sense. But the indicative in (48) clearly involves a mention-all interpretation of the complement
the anaphor that refers to. But its reference is the interrogative in the sequence which we assumed to have a mention-some reading. It seems hard to explain how an anaphoric expression has another reading of the expression it refers back to, than that expression has itself.49

Again this is no problem for the pragmatic approach, which assumes that the only semantic reading of both the interrogative and the wh-complement is the mention-all reading.

A last argument concerns the fact that in some languages, such as the one we know best, mention-some interpretations of interrogatives as such tend not to occur. Rather, there is a strong tendency to phrase mention-some requests differently from mention-all ones, i.e. by means of phrases which do not have the form of an interrogative or wh-complement. For example, in Dutch, one would rather not use (49), but (50) instead. And similarly, (52) would be preferred over (51):

(49) Jan weet wie een vuurtje heeft
    John knows who has a light

(50) Jan weet iemand die een vuurtje heeft
    John knows someone who has a light

(51) Wat is een voorbeeld van een priemgetal?
    What is an example of a prime number?

(52) Geef een voorbeeld van een priemgetal
    Give an example of a prime number

None of the arguments put forward here in itself really show that a semantic analysis of mention-some interpretations is basically wrong-directed. But they do indicate that it faces some problems. In our opinion, this is reason enough not to lose sight of the more intuitive, though admittedly not worked out, pragmatic view.

Be this as it may, the semantic analysis sketched in the previous section certainly has its merits, and shows that the existence of mention-some interpretations is not in conflict with the main features of our semantic theory of interrogatives and wh-complements.
6. A flexible approach to Montague grammar

In the previous sections, we have extended the core theory in such a way that conjunctions and disjunctions, pair-list and choice readings, and mention-some interpretations, are brought within its domain of application. We have seen that conjunctions and pair-list readings can already be dealt with adequately within the core theory itself. It is only to be able to account for the other three kinds of (readings of) interrogatives, that we need to lift interrogatives, and in case of choice readings the underlying abstracts as well, to a level at which they denote sets of properties of questions, and not simply questions. The thus resulting theory we referred to as the lifted core theory.

A question that arises is what we are to do with these two theories. Are we to replace the core theory by its lifted version, or are we to retain them both?

In section 4.2.3 we have already indicated that we have to choose the latter option. Many entailment relations between core interrogatives, i.e. those that fall within the domain of the core theory, are covered by the standard definition of entailment only if we take them to express questions. In lifting them, many entailment relations that hold on the lower level are lost.

Let us first point out that the option of retaining both theories is really open to us. It is, since nothing was really found to be wrong with the core theory as such. Within its domain of application, the results are completely in order. As long as we carefully list and restrict the rules we admit in the coretheory nothing can go wrong.

The only thing is that its domain of application is limited. In some cases, lifting is really necessary. But why lift the theory as a whole? why not call for the rules and procedures
of the lifted theory just in case the need arises?

There is an obvious objection to this strategy. If we go about this way, we loose a central feature of 'standard' Montague grammar. This being the feature that each syntactic category by means of a general definition is associated with a single semantic type. If our grammar derives both core and lifted interrogatives it seems to loose this characteristic. Of course, there is an obvious way to avoid this and to stay within the standard theory, viz. by declaring that core interrogatives and lifted interrogatives belong to different syntactic categories. But little is gained this way. There seem to be no syntactic arguments at all to support such a proliferation of categories. And it spreads. Not only interrogatives, but also wh-complements and complement-embedding verbs would be infected. Almost any rule involved would have several versions, a core version and a lifted version.

All this is very true, and it would be decisive if not for one thing. The lifted versions of lifted categories and rules, and lifted translations and interpretations, are all predictable from the core ones. It is not really necessary to state them all separately. The core ones suffice, when supplemented with general lifting rules. Each rule and each category assignment plus translation of basic expressions is stated only once, viz. at the lowest level its semantic analysis allows for. General lifting rules tell us in each case what the corresponding lifted rules, categories, types and translations are.

The strategy outlined above has for the first time been proposed explicitly by Barbara Partee and Mats Rooth. It is a quite attractive alternative for the strategy followed by Montague in PTQ and other papers. Montague's strategy can be characterized as to 'generalize to the worst case'. E.g. in PTQ all intransitive verbs are assigned type $\langle s, e, t \rangle$, for the simple reason that some such verbs are essentially to be interpreted as expressing properties of individual concepts, even though the majority of transitive verbs simply express properties of individuals, a fact which is accounted for by a meaning-postulate, which in the end reduces these verbs to expressions
of type \( <e,t> \).

Quite similarly, PTQ treats proper names not as expressions denoting an individual, not as expressions denoting sets of sets of individuals, not even as expressions denoting sets of properties of individuals, but as expressions denoting sets of properties of individual concepts. This in order to bring them in line with quantified terms. The latter can not be treated as individual denoting expressions. For the larger part they could be treated as denoting sets of sets of individuals in a great many contexts, but as objects of intensional transitive verbs such as seek they can be argued to have to denote sets of sets of properties of individual concepts. Since to Montague this seemed to be the worst case, and since he wanted all terms to be of one and the same semantic type in all contexts, proper names are treated the way they are.

To give a last example, and many others could be added, because seek can be argued to denote a relation between individuals and intensions of sets of properties of individual concepts, all transitive verbs are treated as having such complex denotations. Many of them can simply be interpreted as denoting sets of pairs of individuals, a fact which is again accounted for by means of a meaning postulate.

Partee & Rooth defend a strategy which is the opposite of generalizing to the worst case. It is to minimize complexity whenever this is possible. Lexical items should be introduced at the lowest level that their semantic interpretation allows. Lifting to higher levels of interpretation should occur only when this is empirically motivated. Likewise, rules should be stated at the lowest level at which they give empirically correct results.

The most important reason behind this alternative methodological strategy is not economy, but empirical adequacy. A basic assumption behind Montague's approach is that there really is a 'worst case' one can generalize to. As Partee & Rooth point out, and as was already alluded to in section 4.6, there are reasons to doubt this assumption. E.g. the PTQ type-assignments are not high enough for all cases. They do not
allow one to account for that reading of (1) on which it is equivalent to (2), where the disjuncts of the latter are read de dicto:

(1) John seeks a unicorn or a centaur  
(2) John seeks a unicorn or John seeks a centaur

And a similar problem was noted in section 4. for sentences in which a disjunctive wh-complement is embedded under an intensional verb, an example which shows that the type assignments in our lifted core theory also are in need of further lifting in some cases.

For this and other reasons, it seems advisable to leave the strategy to generalize to the worst case, and to replace it by a flexible approach. Though several people have provided arguments and analyses that comply with this strategy, no framework has established itself yet. Therefore, we will just indicate in what follows what we think are some fundamental principles of this approach, without going into technical details.

A first principle, and a main difference with 'standard' Montague grammar is that a syntactic category is not assigned a single semantic type, but rather a set of types. This set consists of a basic type, and of predictable types. The idea is that expressions of a certain syntactic category may be interpreted as being of any of the types associated with that category.

A second characteristic is that the predictable types are defined on the basis of the basic type by means of general procedures.

Thirdly, every expression translates into some logical expression of, i.e. is interpreted as a semantic object of, one of the types associated with its category. This is its basic translation, and the type of that translation, one might call its minimal type. Of course, which type is the minimal type of some expression depends on its characteristic semantic features.
A fourth characteristic is that beside a basic translation of its minimal type, every expression also has predictable translations of all types predictable from its minimal type. These predictable translations are obtained from its basic translation by general procedures, which run parallel to the general procedures that define predictable types.

A last important feature is that the translation rule that corresponds to a syntactic rule is basically defined over logical expressions of the basic types that correspond to the categories of the expressions that form the input of the rule. For every predictable type of (one of) its input expressions, there is a predictable 'form of the' translation rule. These are to be defined by using the same kind of procedures that define predictable types and predictable translations.

Let us illustrate these principles by giving some simple examples. Suppose that S and NP are our basic categories, from which we form functional categories A/B, such as IV = S/NP, TV = IV/NP, and so on. The basic type corresponding to category S is t, and that of NP is e. The basic type of A/B = <basic type of B, basic type of A>. So, the basic type of IV is <e, t>, that of TV is <e, <e, t>>.

Of course, not all NP's can be regarded as individual denoting expressions, nor are all TV's relations between individuals. At least for some quantified NP's, it holds that they need to be analyzed as denoting sets of sets of individuals. So, <<e, t>, t> should be another type associated with the category NP, one that is predictable from type e. So, one of the general procedures we need is one that shifts any type a into the type <<a, t>, t>. And if we want to take into consideration NP's that refer to individual concepts <s, e> should also be a predictable type of category NP, which means that we also need a type shifting rule which shifts a to <s, a>. But if NP's are lifted, then so must be IV's and TV's in their argument places. So, we also need a procedure that shifts <a, b> to <<a, t>, t>, b>. And similarly, there has to be a procedure which takes us from <a, b> to <<s, a>, b>.

Some NP's have a translation of a minimal type that
equals the basic type, viz. proper names. For others, the minimal type is a non-basic predictable type. Likewise, some TV’s, such as the extensional find, have basic translations of the corresponding basic type, whereas others, such as the intensional seek, are of a minimal type that is essentially higher. Notice that in this flexible approach no extensionalizing meaning postulates are needed.

Although the basic translation of a proper name is of type e, we sometimes, e.g. in the case of coordination, need to have a translation of type \( \langle e, t \rangle, t \rangle \) as well. With the procedure that shifts a into \( \langle a, t \rangle, t \rangle \), we have a procedure that tells us that if \( a \) is the translation of type \( a \), \( \lambda X_{a,t} (X(a)) \) is the corresponding translation of type \( \langle a, t \rangle, t \rangle \). So, if \( \text{John} \) translates as \( j \), it translates as \( \lambda X[X(j)] \) as well.

Interrogatives can be handled in this flexible approach elegantly too. Interrogatives are sentential expressions. Syntactically, there seems to be no reason not to assign them to category S, the same category that indicative sentences belong to. But semantically, there is a difference. Whereas indicative sentence have as their minimal translation type type \( t \), the basic type of S, the minimal type of interrogative sentences is higher. \( t \) is \( \langle s, t \rangle \), one of the predictable types associated with S.

All core interrogatives, conjunctions thereof, and interrogatives with pair-list readings, can be analyzed at this minimal level. It is only for disjunctions of interrogatives, for choice readings, and for mention-some readings, that we need to proceed to a higher level. The lifting procedures which we used in analyzing these interrogatives, in fact take us to a predictable higher type associated with the category S. This move is motivated by the semantic characteristics of these constructions. In this respect there is no difference between taking this step and e.g. taking the step from e to \( \langle e, t \rangle, t \rangle \) in case of quantified NP’s.

Complement embedding verbs can be regarded as expressions of category IV/S. Extensional expressions of this category, such as know, have as their minimal type \( \langle s, t \rangle, \langle e, t \rangle \rangle \). When
applied to a complement on a choice reading, for example, they have to be regarded as being expressions of a higher predicted type. Their translation as expressions of this higher type is predicted by the general rules as well. E.g. know then translates as $\lambda Q \lambda x(Q(a)(\lambda a \lambda p(\text{know}(a)(x,p))))$, in which $Q$ is a variable of type $<s,<<s,<<s,<s,t>>,t>>,t>>$. As this translation illustrates, constructing this higher type translation from the minimal type one, makes the meaning postulate which takes care of the reductibility superfluous.

The minimal translation of intensional complement embedding verbs, such as wonder, is of type $<<s,<<s,<<s,<s,t>>,t>>,t>>, <e,t>>$. I.e. it is of the higher type translation of know. In certain cases, of course, a lower type result can be obtained by means of the logic that is used. E.g. if the first argument of wonder is the intension of a set of properties of a unique question, the semantics guarantees the existence of an equivalent relation which takes that question as its first argument.

In section 4.4, we noticed that sentence (3) also has a reading on which it is equivalent to (4):

(3) John wonders whom Peter loves or whom Mary loves

(4) John wonders whom Peter loves or John wonders whom Mary loves

Clearly, the techniques sketched above, allow one to deal with this. The types of complements and of wonder can be lifted to predictable types, and get a predictable translation that will make (3) come out with the same meaning as (4). This solution is basically the same as the one that accounts for the wide scope 'or' case involving (1) and (2) discussed above.

All this remains admittedly sketchy, and the exact content of the principles and rules involved requires further investigation, but we feel that these remarks show that an analysis of interrogatives, including pair-list, choice and mention-some readings and coordination of interrogatives such as we have given in the previous sections, can fruitfully be embedded in a flexible approach to Montague grammar.
Notes

* We would like to thank Renate Bartsch, Theo Janssen and Fred Landman for their comments on an earlier version.


2. The phenomenon of pair-list readings has been discussed previously in Bennett (1977, 1979), Karttunen & Peters (1980), Belnap (1982), Scha (1983), and in G&S (1982, 1983a). The approach of Karttunen & Peters is discussed in detail in section 3.2.1, that of Bennett & Belnap in section 3.2.4, and our earlier approach in sections 3.2.2, and 3.2.3. One of the shortcomings of the latter has already been noticed by Scha. His own proposal to deal with the phenomenon presupposes a performative analysis of interrogatives, and is left out of consideration here. Performative analyses in general have been criticised by many authors. As for this particular case, it could be objected that it is hard to see how a performative approach to pair-list readings could be carried over so as to apply to the same phenomenon with wh-complements.

3. Besides these two there is a third reading, called the 'functional reading', on which (1) is answered as in (a):

(a) His best student.

Functional readings are discussed in G&S 1983a. That they constitute a separate reading of interrogatives, and that answers like (a) are not mere abbreviations of typical pair-list answers, such as (3)(a) and (3)(b), can be argued for in several ways, for example by pointing out that such interrogatives as (b), which do not allow for pair-list answers, do have functional ones, such as (c):

(b) Which student did no professor recommend?
(c) His worst student.

In what follows, functional readings will be left out of consideration altogether.

4. The observation made in the previous note concerning the existence of distinct functional readings of interrogatives applies to wh-complements, and to sentences containing them, as well. Throughout what follows they will be ignored.
5. The de dicto nature of ordinary constituent interrogatives (or rather of the corresponding wh-complements) is argued for in some detail in section 1.6 of G&S 1982. Basically the same kind of argumentation is used here with respect to pair-list readings. The way we accounted for these readings in G&S 1982 did not account for their de dicto nature, but resulted in de re readings. See also the discussion in sections 3.2.2 and 3.2.3.

6. For a definition of the notion of a rigid term, see G&S 1984b, section 4.2. The collapsing of the pair-list reading and the wide scope reading in case the verb is know and the term rigid, is to a certain extent a matter of the framework, that of standard possible worlds semantics, that is used here. Given a semantics of propositional attitudes that does not imply logical omniscience, the two readings remain distinct even in case of rigid terms. The entire issue is hence germane to the analysis of interrogatives proper, and will therefore not be taken up in what follows.


8. When we talk about (non)-uniqueness of answers, we mean in this context complete and true semantic answers. Virtually all interrogatives have more than one partial (true) semantic answer. And from a pragmatic point of view, i.e. taking into account the information of the questioner, almost any interrogative will allow for many different complete pragmatic answers. For definitions and discussions of these various notions of answerhood, see G&S 1984a, and G&S 1984b, section 4.

9. Notice that if the wh-phrase in (21) has widest scope this may give rise to two different readings, one in which the plural term two of John’s friends is read collectively, and one in which it is read distributively. Furthermore, it may be noticed that the wh-phrase what in many cases tends to be interpreted as ranging over types (kinds) of objects, rather than over concrete objects (tokens of such types).

10. Some of Belnap's favorite examples are (a)-(d) (see for example Belnap 1982):

   (a) Where is a place where I can get gas on a Sunday?
   (b) Who are some of your friends?
   (c) What is the age of one of your children?
   (d) What is in the basket?

On its most likely reading, the identification of any place where gas is sold on a Sunday, will count as a complete answer to (a). In the next section, and more extensively in section 5, we will argue that this reading of (a), which we call its 'mention-some'-reading, differs in important respects from a choice-reading.

The interrogatives (b) and, more clearly, (c) are examples of interrogatives which naturally give rise to choice readings.
But only, of course, if they are acceptable English sentences to begin with. In fact, we have our doubts about the acceptability of (b) and (c). Like most of Belnap's examples we find them rather marginal. (These doubts are stronger, and perhaps better founded, if we consider their Dutch counterparts.) Belnap himself seems to have some doubts as well, since in Belnap (1982) he states that even in case such examples as (a)-(d) would not exist in English, or in any other natural language, he would prefer a semantic analysis of interrogatives that could deal with them in principle, over one that couldn't. This for the simple reason that one’s semantics should be universal enough to be able to deal with them if need arises. We feel sympathetic towards such tolerance.

But our primary interest for dealing with choice-readings of interrogatives in this paper is not any intrinsic importance of the phenomenon as a potential or actual phenomenon of natural language. Rather, what we want to show in this paper is that though our notion of a question, the semantic object associated with interrogatives and complements, is intimately tied to that of a unique complete and true semantic answer, this does not diminish in any way its usefulness in dealing with interrogatives that allow for more than one such answer. And if choice-readings do not constitute an example of such, disjunctions of interrogatives do anyway.

One further remark about (b) and (c). We tend to believe that someone who is really interested in the kind of thing that (b) or (d) seem to ask for, would prefer to phrase his request for this information in a different way, for example by using (e) or (f), rather than (b), and (g), rather than (c):

(e) Mention some of your friends!
(f) Give me the names of some of your friends!
(g) Tell me the age of one of your children!

As far as (d) is concerned, according to Belnap both (h) and (i) count as full, complete answers:

(h) Some apples.
(i) Three apples.

We are not sure whether we agree. But if Belnap is right, we believe he is because there is an ambiguity at stake. As we already alluded to in note 9, it seems that what might either ask for a specification of kinds, in this case the kinds of objects in the basket, or of objects as such. Clearly, (h) would be an answer to (d) on the first interpretation, and (i) would fit the second one. However, three apples being indefinite and non-rigid, (i) could not count as a complete semantic answer. (See G&S 1984b, section 4, for a general discussion of the relationship between semantic properties of terms and notions of semantic and pragmatic answerhood.) However, given the fact that in most circumstances we do not have, nor need, identity criteria and rigid names for individual apples, it may still be that, pragmatically speaking, (i) is the
best answer we can, and want to, give, given our purposes and linguistic means. In short, we doubt that both kinds of answers are really answers to the same question. And we further doubt that both would count as semantically complete. And only if both these conditions would be fulfulled, (d) would count as an example that is relevant in the present context.

11. Sentence (29) has only two readings, but there are sentences which allow for one more. Consider (a) and (b):

(a) Bill seeks John or Mary, or Peter or Suzy
(b) John seeks a unicorn or a centaur

On their intended third reading, (a) and (b) can be paraphrased as (c) and (d) respectively, both disjuncts being read de dicto:

(c) Bill seeks John or Mary, or Bill seeks Peter or Suzy
(d) John seeks a unicorn, or John seeks a centaur

These readings of (a) and (c) cannot be obtained in the PTQ-fragment (as was observed in Partee & Rooth 1982b). In section 4.6 the same kind of phenomenon is observed with respect to sentences containing a disjunctive wh-complement embedded under an intensional verb. In section 6 we will sketch a more flexible approach to Montague grammar, advocated by Partee & Rooth and others, in which these and similar problems can be solved in an elegant way.

12. As examples of readings of interrogatives on which they allow for more than one complete and true semantic answer, mention-some interpretations are cited far more often than choice-readings. To our knowledge, only Belnap seems to have observed the latter. But, as we will argue, he fails to distinguish properly between mention-some interpretations and choice-readings.

The distinction between mention-some interpretations and mention-all interpretations plays an important role in the theory of Hintikka (see e.g. Hintikka 1976, 1978). In his analysis, wh-phrases are ambiguous between an existential quantifier reading and a universal quantifier reading. For a general outline, and an evaluation, see G&S 1984c, section 4.4. See further section 5.

13. To some extent, the remarks in note 10 concerning the marginal nature of choice readings, and the observation made there that in many cases one would use different linguistic means to express what such a reading is supposed to express, apply to mention-some interpretations as well. Some examples that support the latter claim are given in section 5.4.

14. Earlier we defended the position that mention-some interpretations are a pragmatic phenomenon (see for example the discussion in G&S 1982, section 6.3). This position is also
defended by others (Karttunen is an example, see Karttunen 1977, note 4). The distinction between semantic ambiguity and pragmatic multi-interpretabiltity is admittedly vague, and it is certainly not clearly defined outside a theoretical context. As a purely methodologically motivated principle, we draw the line between semantics and pragmatics between truth conditions (or semantic answerhood conditions when we are dealing with interrogatives) and other non-truthconditional aspects of meaning. This presupposes that these other aspects of meaning do not interfere with truth conditions. We use this principle as a guide-line, not so much because we are convinced that it embodies some ultimate truth, but rather because it leads to clearly organized and well-delineated analyses. As with all such methodological principles, it is one that one should be prepared to give up as soon as a descriptively and explanatory superior theory turns up that does without it.

15. The position that the two phenomena are one and the same is implicitly held by Belnap. We will go into this in some detail in section 5.3.

16. Over the years, several alternatives have been proposed for PTQ's quantification rules. For the larger part, these alternatives are syntactically motivated. To the extent to which these proposals present alternatives for the syntax and have the same semantic effects as the quantification rules which they are to replace, our discussion is intended to apply to them too. For it concerns the semantic part of the mechanism of quantification only, and does not depend on the particulars of some specific syntactic implementation.

17. See Partee & Rooth (1982a, 1982b) and the references cited there.

18. The definitions (CT), (CONJ) and (DISJ) are taken from Partee & Rooth (1982a).

19. According to (INCL) then we have entailment between objects of all kinds of types. It is easy to see that the definition accounts for such entailments as hold between John walks and John walks or talks, and between John and a man, and between to walk and to move, to give a few examples.

20. An apparent exception to this rule seems to be the 'conjunction' that takes John and Mary, for example, into the expression John and Mary denoting the group, or the collective individual, consisting of John and Mary. For a discussion of the status of such cases see Partee & Rooth (1982a).

21. Roughly speaking, one may think of the coordination in a term as the disjunction of the conjunction of all the elements in all the minimal elements in the term.
22. A generalization of (QUANT) can be obtained by replacing the type \( t \) by an arbitrary type \( c \), and conjoinable by \( c \)-conjoinable (the latter notion in its turn is a simple generalization of (CT)). Also one might want to have an extensional version of (QUANT), and perhaps one of which both that extensional version, and the intensional one defined in the text are special instances.

As an illustration of how (QUANT) works, consider what happens if we quantify the term every man into the term he\(_0\)'s mother. The first translates as \( \lambda \text{P} x [\text{man}(a)(x) \to \text{P}(a)(x)] \) and the second as \( \lambda \text{P} x [\exists y [\text{mother-of}(a)(y,x_0) \leftrightarrow x = y] \land \text{P}(a)(x)] \). Abbreviate them as \( a \) and \( \beta \) respectively. Quantification for \( x_0 \) then gives:

\[
\begin{align*}
Q(a,x_0,\beta) &= \lambda x_0,\langle e,t \rangle [Q(a,x_0,\beta(x))] \\
&= \lambda x [Q(a,x_0,\forall x [\exists y [\text{mother-of}(a)(y,x_0) \leftrightarrow x = y] \land \text{P}(a)(x)])] \\
&= \lambda x [Q(a,\lambda \alpha x_0 [\beta(x)])] \\
&= \lambda x [\forall x [\exists y [\text{mother-of}(a)(y,x_0) \leftrightarrow x = y] \land \text{P}(a)(x)]]
\end{align*}
\]

A schema similar to (QUANT) can be found in Partee & Rooth (1982a).

23. See e.g. Hausser (1977), (1983). Categorial theories in general are discussed in G&S 1984c, section 4.2. Some remarks and criticism concerning details may be found scattered through the notes in G&S 1984b.


25. See G&S 1984c, note 38.

26. It is perhaps illuminating to pursue this matter a little further. Karttunen derives constituent interrogatives such as (10) by quantifying-in a wh-term. (The essence of this rule is stated in (14) below.) Wh-terms are interpreted as existentially quantified terms, i.e. \( \text{who} \) translates as (a):

\[
(a) \lambda P \exists x [P(a)(x)]
\]

Wh-terms are quantified into structures containing a free variable, but these are not, as one might have expected, open interrogatives, but a different kind of expression, called 'proto-questions'. I.e. (10) is not derived from (b) translating as (c), but from (d) translating as (e):

\[
\begin{align*}
(b) \text{Does PRO walk?} \\
(c) \lambda p [p(p(a) \land (p = \lambda a [\neg \text{walk}(a)(x_1)])] \\
(d) \text{PRO walks} \\
(e) \lambda p [p(a) \land p = \lambda a [\text{walk}(a)(x_1)]]
\end{align*}
\]

The result of quantifying into (b) would have been (f), what Karttunen gets by quantifying into (d) is (g):

\[
\begin{align*}
(f) \lambda p [p(p(a) \land \exists x [p = \lambda a [\neg \text{walk}(a)(x)])] \\
(g) \lambda p [p(a) \land \exists x [p = \lambda a [\text{walk}(a)(x)]]]
\end{align*}
\]
The difference between (f) and (g) is one of exhaustiveness: (g) contains for every individual that walks the proposition that he/she walks; but (f) contains besides those also for every individual that does not walk the proposition that he/she does not walk. So, whereas (g) only exhausts the positive extension of the predicate to walk, (f) also exhausts its negative extension.

Two arguments to favour (f) over Karttunen's (g) can be noticed right away. First, given (f) as translation of (10), it entails (11) under the standard definition of entailment (9). Second, in case no-one walks (g) denotes the empty set, predicting that (10) in such a case has no true answer. But of course it has: the proposition that no-one walks, which is indeed what the propositions denoted by (f) in this case jointly express.

Why then didn't Karttunen opt for (f)? The reason he has is that he wants to avoid a consequence of this construction of constituent interrogatives, viz. that (h) and (i) come out equivalent:

(h) Who walks?
(i) Who doesn't walk?

To see whether this is reasonable, notice first of all that (h) and (i) should be equivalent in a model with a fixed domain. Consider (j) and (k):

(j) John knows who walks
(k) John knows who doesn't walk

Epistemically, the fixed domain assumption boils down to John knowing all the individuals in the domain. But in that case, the equivalence of (j) and (k) is not only unobjectionable, it is imperative. In Karttunen analysis, however, this cannot be accounted for. Of course, in models with varying domains (h) and (i) should not be equivalent. Or, epistemically speaking again, if John does not know all the individuals, but is mistaken about what actually constitutes the domain, then (j) and (k) should not come out the same. So, on the one hand there is some reason to reject the analysis that leads to (f), but on the other hand there are also reasons to reject the approach Karttunen advocates.

The core theory, which assigns propositions as denotations to interrogatives, rather than sets of such, constitutes a different approach that does avoid these problems. It accounts for entailments such as between (10) and (11), and between (10) and (1) and (m), which incidentally an analysis which leads to (f) does not deal with:

(l) Does John or Mary walk?
(m) Does anyone walk?

And it handles the relationship between (h) and (i) properly: they come out equivalent in all models that have a fixed domain, and different in models with varying domains.

28. See also the remarks in G&S 1982, section 1.8.


30. This formulation is not completely accurate, Karttunen does not quantify into yes/no-interrogatives, but into 'proto-questions'. The difference, and the consequences are discussed in note 26.

31. As we saw in note 26, this was for Karttunen the reason to use 'proto-questions', instead of yes/no-interrogatives. But, as we also saw there, this solution creates other problems, and hence cannot be considered to be satisfactory.

32. One should not be misled, of course, by the fact that most competent speakers of English will know that Bill is a name that refers to a male. Even if such information would belong to the semantic content, the observation is irrelevant. It would at most show that the example is not a happy one, but not that the problem it is used to illustrate does not exist.

33. See G&S 1982 section 3.7 for a definition of the syntactic process and its semantic interpretation. The following fact is important, and will be used implicitly in what follows: if $\beta$ is an n-place predicate taking arguments of type $a_1, \ldots, a_n$, and $x_1, \ldots, x_n$ are variables of these types, then $\lambda x[\alpha \beta]_n$ equivalent to $\lambda x_1 \ldots \lambda x_n [\alpha(x) \land \beta(x_1, \ldots, x_n)]$.

34. See G&S 1981b, especially sections 2-3, for an extensive discussion of the why and how of exhaustiveness.

35. See the papers by Bennett and Belnap cited in note 2. The formal theory developed by them is rather complex and deviates in important respects from what one is familiar with in Montague grammar. The main features, we trust, can be stated and discussed without going into actual details. But the reader is implored to turn to Bennett and Belnap's papers to check our remarks.

36. In order to deal with choice-readings by means of a quantifying-in process, they need a notion of an 'open proposition'. This they implement in their framework by introducing such open propositions as functions from sequences (of objects) to closed propositions into the object language into which they translate. This move changes and complicates the entire framework, also in places where it has no demonstrable use. This is of course less objectionable if the results obtained are correct, but, as is argued in the text, this is not the case.

37. See note 28.
38. It is arguable that we do not need all the intensionality that is inherent in such an object. Instead of the intension of a set of properties of questions, we could do with the intension of a set of sets of questions. The former object we get if we follow the general strategy for dealing with coordination as it is exemplified in standard Montague grammar. Recently, attempts have been made to develop a more flexible approach, not just for reasons of elegance and parsimony, but also for reasons of empirical adequacy. In such an approach a 'minimally intensional' object can be defined to serve as second argument of complement-embedding verbs. Some sketchy remarks about this flexible approach are made in section 6.

39. For a definition of other notions of answerhood, such as being a complete answer, being and giving a partial answer, and so on, see G&S 1984a, and 1984b, section 4.

40. In section 4.3.2, it will be argued that in some cases the IA-rule needs to be lifted in both its arguments. See (83) in that section.

41. This is in line with the terminology used in the theory of generalized quantifiers.

42. This holds, of course, only if we analyze two girls as a singular quantifier, i.e. as the denoting the set of properties such that two girls have them. There is also a plural interpretation, on which this term denotes the set of properties such that a group (collection) consisting of two girls has them. In that case, the minimal elements are singletons, each consisting of a group of girls with two members. And the property on which the term lives then is that of being a group of girls with two members.

This plural interpretation of terms is, first of all, needed for a proper analysis of interrogatives containing predicates which have a collective interpretation, such as (a) and (b):

(a) Where did two girls meet?
(b) what did two girls carry up the stairs?

Secondly, it is necessary to take plurality into account in order to get a proper analysis of the interrogative discussed in the text, reading two girls as at least two girls.

It should be noted that the property on which two girls lives, is not exactly that of being a girl. If a model contains indices at which there are less than two girls, the property in question is that property that is coextensive with that of being a girl at all indices at which there are at least two girls, and that has the empty set as its extension otherwise. This predicts correctly that at indices of the latter kind, the relevant interrogatives on their choice reading, do not have any true answers. In order to avoid unnecessary complications, we will ignore this nicety in what follows.
43. See G&S 1984b, section 3.1.2, for a definition of the semantic operation of exhaustivization. In section 3.1.3 of that paper it is argued that for exhaustivization to work properly in all cases, some terms have to be analyzed essentially as plural terms. The observations and remarks made there, carry over here, of course. But since in the present context nothing new can be said about these matters, they will be ignored in what follows.

44. See the last remark in note 42.

45. The syntax and semantics of multiple terms is discussed in detail in G&S 1984b, section 3.2.1.

46. See Belnap 1982. All references to Belnap's examples and views that follow are based on this paper.

47. See Scha 1981.

48. This seems to be possible, since it seems that there is a close correspondence between the intension of the mention-some reading of an interrogative and the intension of its mention-all reading. Such verbs as depend express relations between functions, and hence should be taken to be intensional in both arguments. I.e. depend operates on the intensions of two interrogatives. See G&S 1983b. But then, if our conjecture is correct that the correspondence allows us to go from one to the other, which reading we take, seems not to matter.

49. If it cannot be explained, it seems that only the second of the two options mentioned above is a viable one.

50. See Partee & Rooth (1982a,1982b). Others have discussed type-shifting rules as well, see e.g. van Benthem (1984), and the references given there.

51. Explicit definitions of these four type-shifting rules can be found in Partee & Rooth 1982a. They hypothesize that they form a 'complete' set. It should be noted, however, that not all PTQ-types are obtainable by means of these four procedures. A first, perhaps unimportant case, which is also noted by Partee & Rooth, concerns the PTQ-type of TV's: $$\langle s, \langle s, \langle s, e \rangle, t \rangle, t \rangle, \langle s, e, t \rangle$$. This is not a predictable type, i.e. it cannot be construed by the procedures of Partee & Rooth from the basic TV-type $$\langle e, e, t \rangle$$. What we do get, by applying argument-lifting and argument-intensionalizing, is $$\langle s, \langle e, t \rangle, t \rangle, \langle e, t \rangle$$. In order to get the PTQ-type we would need procedures of another kind. First of all, in order to get the intension of a set of properties, instead of that of a set of sets, we need to be able to intensionalize, not an argument, but an argument of an argument. Generalizing, intensionalizing seems to be a procedure that can be applied at arbitrary depth in arguments. In order to get individual concepts, we need even more. In the argument the concept can
gotten by starting with argument intensionalizing. But in
the value of the PTQ-type this will not work. Partee & Rooth
argue that getting the properties is not essential. Referring
to Dowty, Wall & Peters (1981), they claim that the intension
of a set of sets will do. This seems to be correct. As for
the individual concepts, they are ignored by Partee & Rooth,
presumably because they think they are not needed. This seems
not to be correct. Arguments that individual concepts are
usefull semantic objects also in natural language are given
in Janssen (1984a,1984b). So, there are reasons to want to
get individual concepts in the value of a predictable TV-type.

The need for type-shifting procedures that operate on
values of functions can be illustrated by two other examples.
First, consider expressions of type \(<e,e>\), such as the father
of, himself (in some of its uses), etc. A predictable type
should be that of a function that takes a high-level term
into a high-level term (whatever one takes this high-level
term type to be precisely). In order to get that, we need
a procedure that lifts, not arguments of functions, but
values. The definitions of such 'value'-procedures which are
analogues of the 'argument'-procedures and which moreover
are able to operate on arbitrary depth, are not very
difficult to give. But a second example illustrates that we
need something more complicated than that. Consider three-
place verbs. Their basic type is \(<e,<e,<e,t>>\). One of the
predictable types should be that in which the second argument
is lifted to term-level. And this is a case again of where
we have to operate in the argument of a value.

These considerations indicate, we feel, that the four
procedures defined by Partee & Rooth do not form a complete
set, in the sense that they will allow us to deal with
all the types we need basing ourselves on as a set of types
associated with syntactic categories which is as basic as
possible. Further investigation of these matters is clearly
needed.

52. This result presupposes the generalized type-shifting proce-
dures indicated in note 51.

53. This means that the meaning postulate for wonder and similar
intensional verbs, which was defined in G&S 1982, section 5.2,
is superfluous, c.q. wrong. It is superfluous for all inter-
rogatives that the core theory deals with. In those cases
the reduction to a relation to questions need not be imposed,
but follows straightforwardly from the semantics itself. In
the case of disjunctions of interrogatives, and choice-
readings, it produces wrong results, since, given this meaning
postulate, we would be able to distribute wonder over the
disjuncts.
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565

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SAMENVATTING IN HET NEDERLANDS

Studies over de semantiek van vragen en de pragmatiek van antwoorden

Dit proefschrift is een bundeling van zes studies over verschillende onderwerpen binnen de theorie van vragen en antwoorden. Het theoretisch kader wordt gevormd door de 'logische', of 'formele', semantiek, een model van taalbeschrijving waarin syntactische structuren semantisch worden geïnterpreteerd met gebruikmaking van daartoe in de logica en wiskunde ontwikkelde methoden en technieken.

In de eerste studie wordt beargumenteerd waarom de bestudering van vraagzinnen en van de vraag-antwoord relatie van speciaal belang is binnen dit logisch-semantisch kader. De voornaamste reden die daarvoor wordt aangevoerd heeft een defensief karakter. De logica wordt vaak verondersteld zozeer te zijn toegesneden op bewerend of descriptief taalgebruik, dat andere vormen van taalgebruik principieel buiten haar bereik zouden liggen. Door een logisch-semantische analyse van vraagzinnen, een belangrijke niet-descriptieve taalvorm, te geven die beschrijvende en verklarende waarde heeft, kan een bijdrage worden geleverd aan de weerlegging van deze onjuiste veronderstelling.

Aan de hand van een aantal algemene principes die aan het gebruikte theoretisch kader ten grondslag liggen, zoals het principe van compositionaliteit, wordt gemotiveerd waarom juist bepaalde empirische verschijnselen op het gebied van vragen en antwoorden van speciaal belang worden geacht. Vervolgens worden drie soorten theorieën vergeleken, en getoetst op hun empirische en theoretische adekwateid. Geconstateerd wordt dat elk van de drie zich primair richt op een bepaald gedeelte van het empirisch domein, en dat unificatie is geboden.

De tweede studie betreft de semantische analyse van vraagzinscomplementen. In latere studies wordt deze overgedragen op vraagzinnen als zodanig. Beide worden opgevat als uitdrukkingen die een propositie denoteren. De propositie die een vraagzin op een bepaalde index (mogelijke wereld) denoteert, is de propositie die een bewerende zin zou moeten uitdrukken om op die index een volledig en waar antwoord te zijn op de door de vraagzin uitgedrukte vraag.

De betekenis van een vraagzin is dan een propositioneel
concept, een functie van indexen naar proposities, die voor elke index de propositie levert die daar een volledig en waar antwoord is. Op die manier karakteriseert de semantische inhoud van een vragzin een heel bepaalde notie van antwoord, die van een volledig semantisch antwoord. Men kan zeggen dat, zoals de betekenis van een bewerende zin bestaat in de waarheidscondities ervan, de betekenis van een vragzin bestaat in haar antwoordcondities.

Zoals gezegd is de door de semantische analyse vastgelegde notie van antwoord een heel bepaalde, een standaard notie van antwoord. In de praktijk van het taalgebruik zijn vragen in verschillende situaties op vele verschillende manieren te antwoorden. Niet elke vorm van antwoord is echter in elke situatie even adequaat. In hoeverre dat het geval is, hangt zoowel af van de semantische inhoud van een gegeven antwoord, als van de informatie die de vraagsteller in een gegeven situatie reeds ter beschikking staat.

Met name in de vierde studie worden een aantal verschillende noties van antwoord gedefinieerd, die vastleggen onder welke omstandigheden welke propositie een geheel of gedeeltelijk adequaat antwoord op een vraag is. Daarbij wordt de nadruk gelegd op de pragmatische functie van vragen en antwoorden als een vorm van taalgebruik die expliciet is gericht op het vullen van leemten in iemands informatie.

De resulterende abstracte semantische en pragmatische analyse van de vraag-antwoord relatie, wordt in de vijfde studie gerelateerd aan de talige middelen waarmee vragen en antwoorden tot uitdrukking worden gebracht. Daarbij worden ook 'korte' antwoorden, in de vorm van een constituent, en 'lange' antwoorden, in de vorm van een volledige zin, gedis- crimineerd. Beargumenteerd wordt dat beide soorten talige antwoorden in gelijke mate voor hun juiste interpretatie afhankelijk zijn van de context zoals die door de vraagzin wordt geboden.

Een belangrijk argument daarvoor geeft de observatie dat beide soorten antwoorden 'exhaustief' worden geïnterpreteerd, zodat een zin als antwoord op een vraag een andere betekenis kan hebben dan de gebruikelijke. Een belangrijk deel van de vijfde studie is gewijd aan het geven van een logische inhoud aan deze voor de analyse van antwoorden zo belangrijke notie van exhaustiviteit.

Structurele ambiguïteiten vormen een van de voornaamste verschijnselen waarvoor een semantische theorie rekening moet geven. Zo hebben bepaalde vragzinnen naast hun 'gewone' interpretatie nog andere lezingen. Voorbeelden daarvan zijn 'paar-lijst' lezingen, 'keuze-vraag' lezingen, 'noem-één' interpretaties, en 'functionele' lezingen. Dat de laatste onderscheiden lezingen vormen wordt beargumenteerd in de derde studie.

De andere drie genoemde vormen van ambiguïteit worden in de zesde en laatst studie het uitvoerigst besproken. Paar-lijst- en keuze-vraag lezingen worden in verband gebracht met coördinatie, respectievelijk conjunctie en disjunctie, van vragzinnen. Een belangrijke eigenschap van keuze-vraag lezingen, die ze gemeen hebben met noem-één interpretaties, is
dat vraagzinnen met een dergelijke lezing met meerdere verschillende vragen zijn geassocieerd. Degene die een antwoord wordt gevraagd wordt daarbij de keuze gelaten welke van die vragen hij of zij wenst te beantwoorden.

Een juiste behandeling van dit verschijnsel vereist een uitbreiding van de analyse zoals die in de eerdere studies wordt gegeven. Getoond wordt dat de vereiste uitbreiding conservatief van aard is. De oorspronkelijke analyse blijft correct voor alle 'simpele' gevallen. En de middelen waarvan bij de uitbreiding gebruikt wordt gemaakt behelslen een standaardmethode, die ook op vele andere verschijnselen van coördinatie van toepassing is.

De studies bevatten naast een informele uiteenzetting van de probleemstelling en de voorgestelde oplossing, steeds tevens een formele analyse, zoals dat in de logische semantiek gebruikelijk is.
1. Noam Chomsky, *Rules and Representations*, 165:

"If these conclusions are correct, one might speculate that the familiar quantifier-variable notation would in some sense be more natural for humans than a variable-free notation for logic; it would be more readily understood, for example, in studying quantification theory and would be a more natural choice in the development of the theory. The reason would be that, in effect, the familiar notation is 'read off of' the logical form that is the mental representation for natural language. The speculation seems to me not at all implausible."

Deze claim zou nog aan kracht winnen als ze vergezeld ging van een verklaring van het feit dat het tot het einde van de 19e eeuw duurde voordat kwantoren en variabelen in de logica werden geïntroduceerd, en dan nog in een notatie (die van Frege's *Begriffsschrift*) die allesbehalve de 'familiar notation' (die van Peano) is waarop Chomsky hier schijn te doelen.

2. De taal verhoudt zich tot het overdragen van informatie zoals de longen zich verhouden tot het ademhalen.
   (Contra: J. Koster, 'De ontsemiotisering van het wereldbeeld', *Gramma*, 1983)

3. Overigens duidt een veelvuldig gebruik van analogieën in een wetenschappelijke tekst op een hoog overredings- en een laag overtuigingsgehalte.

4. Het inzicht dat veel van de vragen in Wittgenstein's *Philosophische Untersuchungen* rethorische vragen zijn, bevordert het begrip van deze tekst aanzienlijk.

5. Een realistische theorie over geloof is onmogelijk.

6. Er zijn geen filosofische vragen, er zijn hoogstens filosofische antwoorden.
STELLINGEN

van Jeroen Groenendijk bij het proefschrift
Studies on the semantics of questions and the pragmatics of answers

1. Als de taalwetenschap de semantiek aan de logica laat, dan laat zij een historische kans onbenut om een linguïstisch interessante semantische theorie van de grond te krijgen.

2. Wittgenstein, Tractatus, 3.12:
"Der Satz ist das Satzzeichen in seiner projektiven Beziehung zur Welt."

Gegeven dat deze uitspraak een wezenlijk kenmerk van de taal tot uitdrukking brengt, zal men in de eerste plaats slechts dan met een grammaticamodel tevreden zijn indien het een theorie over deze relatie omvat, en zal men in de tweede plaats filosofische theorieën over deze relatie niet verontschuldigen.

3. Wittgenstein, Philosophische Untersuchungen, par. 22:
"Wir könnten sehr gut auch jede Behauptung in der Form einer Frage mit nachgesetzter Bejahung schreiben; etwa: "Regnet es? Ja!". Würde das zeigen, dass in jeder Behauptung einer Frage steckt?"
Ja!

4. Een conversationele implicatuur a la Grice kan worden gedefinieerd als een logisch gevolg van de aanname dat de spreker zich houdt aan de Griceaanse conversationele maximes.

5. Het projectieprobleem voor presupposities kan worden opgelost door een vier-waardig sterk Kleene systeem als semantische basis te nemen, en te combineren met een Griceaanse theorie van conversationele implicaturen, die in sommige gevallen werkt als een pragmatisch filter, en in andere gevallen als een pragmatisch vangnet.

6. De taal is een der middelen waarmee de eindige menselijke geest greep krijgt op het oneindige.