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Mandarin shenme as a superweak NPI

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Abstract: In the past thirty years, Frans Zwarts has written several papers providing crucial insight in licensing contexts for Negative Polarity Items (NPIs), presenting a more nuanced picture than Ladusaw’s (1979) downward entailing (DE) requirement. Zwarts demonstrated (1981) that a number of Dutch NPIs appear only in a subset of DE-contexts, and proposed (1995) non-veridicality as a logico-semantic property that licenses so-called superweak NPIs. Such superweak NPIs, however, have hardly been attested. We show that Mandarin shenme (‘a (thing)’) is a prototypical superweak NPI. We explain its ungrammaticality in veridical contexts by arguing that shenme exhibits a lexical referential deficiency. Acquisitional data, furthermore, suggest that children initially analyze shenme as a WH-quantifier but acquire the referential deficiency underlying its NPI status after the age of four.

1. Introduction

Negative Polarity Items (NPIs) refer to lexical items that may only appear in some kind of negative contexts. See (1) for such a distribution of an NPI, i.e., English any.\(^1\) The NPI is marked in italics.

\[(1)\]
\[
a. \quad \text{It is *(not) the case that John saw any robins.} \\
  b. \quad \text{Nobody/*/Somebody saw any robins.} \\
  c. \quad \text{Few/*/Many people saw any robins.}
\]

Given this distribution, Ladusaw (1979) proposes that NPIs such as English any are restricted to Downward Entailing (DE) contexts only – contexts satisfying an entailment relation from set to subset:\(^2\) under the scope of sentential negation as in (1a), under the scope of negative indefinites as in (1b) and under the scope of semi-negative quantifiers

\(^1\) See also Ladusaw (1979) for the distribution of the NPI any.
\(^2\) A function F is Downward Entailing, iff for every arbitrary X and Y, it holds that $X \subseteq Y \Rightarrow F(Y) \subseteq F(X)$ (adapted from Zwarts 1993).
as in (1c).\(^3\) However, not all NPIs are licensed in exactly the same set of DE-contexts. As first noticed in Zwarts (1981), Dutch *mals* (‘soft’) and *ook maar* (‘at all’), for example, may only appear in certain kinds of DE-contexts (see (2) and (3)).\(^4\)

(2)  
\begin{enumerate}
\item a. Deze kritiek\ is\ niet *mals*.  
\textit{This critique\ is\ not\ mild}  
\textit{‘This critique is not mild.’}
\item b. *Geen kritiek\ is\ mals*.  
\textit{No\ critique\ is\ mild}  
\textit{Intended: ‘No critique is mild.’}
\item c. *Weinig\ kritieken\ zijn\ mals*.  
\textit{Few\ critiques\ are\ mild}  
\textit{Intended: ‘Few critiques are mild.’}
\end{enumerate}

(3)  
\begin{enumerate}
\item a. Het lukt niet om *ook maar* een vis te vangen.  
\textit{It\ works\ not\ to\ at\ all\ a\ fish\ to\ catch}  
\textit{‘It is not (even) possible to catch one fish at all.’}
\item b. Ik heb nooit *ook maar* een vis gevangen.  
\textit{I\ have\ never\ at\ all\ a\ fish\ caught}  
\textit{‘I have never caught a fish at all.’}
\item c. *Weinig mensen hebben* *ook maar* een vis gevangen.  
\textit{Few\ people\ have\ at\ all\ a\ fish\ caught}  
\textit{Caught}
\end{enumerate}

\(^3\) Other DE-contexts are conditional clauses, restrictive clauses of a universal quantifier and comparative clauses, etc.

\(^4\) For the distribution of the NPI *ook maar* (‘at all’), see Zwarts (1993) and Giannakidou (1997); for the distribution of the NPI *bijster* (‘very’), see Zwarts (1993) and Van der Wouden (1997).
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Intended: ‘Few people have caught a fish’.

On the other hand, the distribution of NPIs like English *any* and Dutch *enig* (*’any’*) even extends beyond DE-contexts, i.e., in polar questions and in complement clauses of non-factive verbs as shown in (4) and (5).

(4) a. Did you see *any* students?
   b. I guess you saw *any* students.

(5) a. Heb je *enig* probleem met NPIs?
   ‘Do you have any problem with NPIs?’
   have have you any problem with NPIs
   b. Ik geloof dat je *enig* probleem hebt met NPIs.
   ‘I believe that you any problem have with NPIs

As to explain NPIs’ distribution in different kinds of contexts, Zwarts (1993) proposes that NPIs come about in different strengths depending on the negativity of their licensing conditions. Superstrong NPIs (Dutch *mals*) may only appear in stronger negative contexts such as under the scope of *niet* (*’not’*), i.e., anti-morphic contexts; strong NPIs (Dutch *ook maar*) are restricted to strong negative contexts like under the scope of *niemand* (*’nobody’*) or *zonder* (*’without’*), i.e., anti-additive contexts; weak NPIs (Dutch *ooit* (*’ever’*) and English *any*) are merely licensed in weak negative contexts – DE-contexts. This suggests that NPIs such as Dutch *enig* are even weaker than *ooit* or *any*, since they may also appear in weaker negative contexts compared to DE-contexts. As to capture the distribution of such weaker NPIs, Zwarts (1995) introduces non-veridicality and claims that non-veridical contexts license NPIs such as *enig*. As non-veridical contexts are the weakest type of negative contexts, we refer to those NPIs of such weaker strength as superweak NPIs in this paper (see also Hoeksema 2012).

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5 For the distribution of the NPI *any* beyond DE-contexts, see Giannakidou (1998, 1999); for the distribution of the NPI *enig* (*’any’*), see Hoeksema (2010) and Giannakidou (2010).
6 A function $F$ is *anti-morphic*, iff for every arbitrary $X$ and $Y$, it holds that $F(X \cup Y) \leftrightarrow F(X) \cap F(Y)$ and $F(X \cap Y) \leftrightarrow F(X) \cup F(Y)$ (adapted from Zwarts 1993).
7 A function $F$ is *anti-additive*, iff for every arbitrary $X$ and $Y$, it holds that $F(X \cup Y) \leftrightarrow F(X) \cap F(Y)$ (adapted from Zwarts 1993).
8 See Hoeksema (1999) for the distribution of *ooit* (*’ever’*) in DE-contexts only.
Nonetheless, the literature hardly attests any superweak NPIs that are excluded from all veridical contexts. By examining the distribution of Mandarin indefinite *shenme* (‘a (thing)’) in spoken Mandarin, however, we show that *shenme* is a prototypical NPI of the superweak strength, allowed only in non-veridical contexts. Assuming that *shenme* is lexically deficient in referring, developed from Giannakidou (1998, 1999) and Lin (1996, 1998), we provide an explanation for *shenme*’s grammaticality in non-veridical contexts only. Moreover, by presenting acquisitional data collected in a corpus study in the CHILDES database (MacWhinney 2009), we show that Mandarin children acquire the superweak NPI by initially analysing it as an interrogative indefinite and then reanalyse it as a nonreferential existential quantifier after the age of four.

The paper is structured as follows. Section 2 introduces the definition of non-veridical contexts. Section 3 examines the restricted distribution of *shenme* to different nonveridical contexts in Mandarin Chinese, which leads to the conclusion that *shenme* is a superweak NPI. We establish an analysis of *shenme* in Section 4 that accounts for why this indefinite is only banned from veridical contexts, i.e., being a superweak NPI. Section 5 focuses on language acquisition. We discuss data collected in CHILDES and propose an explanation for how Mandarin children acquire the superweak NPI such that they obtain the knowledge of *shenme*’s referential deficiency in its lexical semantics. Section 6 concludes.

2. Non-veridical contexts

Zwarts (1993) defines (non)veridicality in terms of truth, see below.

(6) (Non)veridicality for propositional operators

A propositional operator $F$ is veridical, iff $Fp$ entails $p$: $Fp \models \neg \neg p$; otherwise $F$ is non-veridical.

Informally, a veridical context is a context in which the truth of a proposition can be entailed. Complement clauses of factive verbs, for instance, are veridical, since the truth of the proposition (7b) is entailed by (7a).

(7)  

a. I know you are busy.

b. You are busy.

---

On the other hand, a non-veridical context is a context in which the truth of a proposition cannot be entailed. Contexts that exhibit non-veridicality are polar questions, imperatives, complement clauses of non-factive verbs, imperfectives, etc. Polar questions are non-veridical because sentences like (8a) do not entail the truth of (8c). In the same vein, complement clauses of non-factive verbs are non-veridical as well: (8b) does not entail the truth of (8c), either.

(8)   a. Are you busy?  
      b. I guess you are busy.  
      c. You are busy

Non-veridical contexts form a weaker type of negative contexts than DE-contexts. As proven in Zwarts (1993), DE-contexts and non-veridical contexts stand in a subset relationship with each other. All DE-contexts are non-veridical but not the other way around.\(^\text{10}\) This can also be presented by means of a hierarchy (adapted from Zwarts 1995, see also Van der Wouden 1994, 1997 and Hoeksema 2012).

\[
\begin{center}
\begin{tikzpicture}
\node[shape=circle,draw,inner sep=1pt] (a) {Non-veridical contexts};
\node[shape=circle,draw,inner sep=1pt, below of=a] (b) {DE contexts};
\end{tikzpicture}
\end{center}
\]

Figure 1:  The subset relationship between DE and non-veridical contexts

3. Shenme as a superweak NPI

Traditional Chinese grammars categorised *shenme* as an interrogative pronoun with some non-interrogative functions (Li 1924, Lü 1982, Ding 1961 and Zhao 1979, among others). This is because besides its interrogative interpretation as shown in (9a),\(^\text{11}\) *shenme* may

\(^\text{10}\) Such a subset relationship also applies to anti-morphic contexts, anti-additive contexts and DE-contexts. All anti-morphic contexts are anti-additive but not the other way around, and all anti-additive contexts are DE but not vice versa.

\(^\text{11}\) The term *interrogative sentences* in this paper does not cover polar questions but refers only to those interrogative sentences that are introduced by an interrogative pronoun in a traditional sense, i.e., *shenme*. 
also appear in some non-interrogative sentences functioning as a pronoun of XuZhi ('vague reference') in (9b), or that of RenZhi ('free choice reference') in (9c) or that of BudingZhi ('unspecific reference') in (9d).

(9) a. Ni zuotian mai le shenme (ne)?
you yesterday buy PRF shenme Q-marker
‘What did you buy yesterday?’

b. Ta haoxiang shi zai xie shenme
s/he probably COP at write shenme
‘S/he is probably writing something.’

c. Shenme shuiguo wo dou ai chi
shenme fruit I all love eat
‘I love to eat all fruit.’

d. wo lai mai xie shu he bi shenme de
I come buy some books and pens shenme PAR
‘I come here to buy some books, pens and other things like that.’

The facts in (9b) to (9d) led some scholars to conclude that shenme can appear as a polarity item in some non-interrogative contexts (Huang 1982, Cheng 1994, 1995). This is supported by the following examples, where a non-interrogative reading of shenme is unavailable in simple affirmative clauses as in (10a), in perfectives as given in (10b) or in complement clauses of a factive verb, see (10c) (Lin 1996, 1998, Li 1992 and Xie 2007).13

12 In Mandarin Chinese, a Q-marker may be either overt or covert in interrogative sentences (e.g. Ni 2005).
13 Cheng (1994, 1995) also observes that shenme is ungrammatical in the subject position of Mandarin X-NEG-X questions, a specific type of polar questions in Mandarin Chinese (see further footnote 12). This is shown by the examples below.

(i) *Shenme huai mei huai (ne)?
shenme broken NEG broken Q-marker
Intended: ‘Is there anything broken or not?’

(ii) *Ta xiang cha qingchu shenme huai mei huai.
s/he want check clearly shenme broken NEG broken
Intended: ‘S/he wants to check carefully if there is anything broken or not.’
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(10) a. Ta zuotian chi le shenme pingguo
s/he yesterday eat PRF shenme apple
*‘S/he ate an apple yesterday.’
‘What kind of apples did s/he eat yesterday?’

b. Ta cengjing tou guo shenme shoushi
s/he once steal PRF shenme jewelry
*‘S/he has once stolen some jewellery.’
‘What kind of jewellery has s/he ever stolen?’

c. Laoshi zhidao ta shuo le shenme hua
teacher know s/he say PRF shenme word
*‘The teacher knows that he said something.’
‘What does the teacher know that s/he said?’
‘The teacher knows what s/he said.’

Adopting the polarity perspective of Huang (1982) and Cheng (1994, 1995), we show in this section that shenme – irrespective of its (non)interrogative interpretation – is an NPI of the superweak strength, restricted to non-veridical contexts only. Compared to the previous approaches, our treatment of shenme as a superweak NPI affords a unified understanding of both its interrogative and non-interrogative functions. Moreover, it introduces the Mandarin quantifier into the landscape of NPIs proposed in Zwarts (1993), providing evidence for non-veridicality as a licensing property for prototypical superweak NPIs (Zwarts 1995). We start with an overview of linguistic contexts that can license shenme by reviewing the literature.

<table>
<thead>
<tr>
<th>Linguistic context</th>
<th>Reference</th>
</tr>
</thead>
</table>

The reason for which shenme is not allowed in the subject position of Mandarin X-NEG-X questions is syntactic in nature, and therefore differs from why shenme may not appear in (10a) to (10c). It here concerns the scope of the Mandarin X-NEG-X operator. The reader is referred to Cheng (1989) and Huang (1982, 1990) for the syntactic structure of X-NEG-X questions in Mandarin Chinese and to Li (1992) for an explanation for shenme’s ungrammaticality in (i) and (ii).
---|---
Interrogative contexts | Li (1924), Lü (1982, 1985)
Complement clauses of non-factive verbs | Lin (1998)
Under the scope of inference-marker le | Lin (1998)

Table 1: Linguistic contexts sanctioning shenme

In table 1 we listed a total of 12 linguistic contexts in which shenme is allowed to appear according to the literature. We now categorise these 12 contexts depending on their degree of negativity. Negative contexts introduced by a sentential negative marker are anti-morphic. Restrictive clauses of a universal quantifier, conditional clauses and BEFORE-

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14 Polar questions here include X-NEG-X questions that are typical in Mandarin Chinese. An X-NEG-X question contains, as its name predicts, an X-NEG-X construction, which is absent in a generic polar question. In such an X-NEG-X construction, X refers to a lexical element of any morphological category, such as a noun, a verb or an adjective and NEG refers to a negative marker, i.e., bu or mei. Some examples of Mandarin X-NEG-X examples are given below.

(i) Ni jintian wan mei wan (ne)?
you today late NEG late Q-marker
‘Were you late today, or not?’
(ii) Ta erzi shi bu shi hen congming (ne)?
His/her son COP NEG COP very clever Q-marker
‘Is his/her son very clever or not?’
(iii) Nimen zuowan shui mei shui (ne)?
you last night sleep NEG sleep Q-marker
‘Did you sleep last night or not?’

15 Examples of shenme occurring in each of the linguistic context listed in Table 1 are given in Appendix 1.
clauses are typical DE contexts. DONKEY-sentences in Mandarin Chinese are DE as well because the entailment relationship from (12a) to (12b) holds.

(12) a. Ni xiangyao shenme shuiguo, wo jiu gei you want shenme fruit I then for
ni mai shenme shuiguo.
you buy shenme fruit
‘What ever fruit you would like to have, I will buy it for you.’

b. Ni xiangyao shenme pingguo, wo jiu gei you want shenme apple I then for
ni mai shenme pingguo.
you buy shenme apple
You buy shenme apple
‘What ever apple you would like to have, I will buy it for you.’

Interrogative sentences, imperfectives, imperatives and modal contexts introduced by epistemic modal adverbs are prototypical non-veridical contexts. Section 2 already illustrates that polar questions and complement clauses of non-factive verbs exhibit non-veridicality. According to Lin (1998), sentences marked by the inference marker le in Mandarin Chinese are non-veridical as well, since as an indicator for circumstantial inference, le expresses epistemic modality and “may allow a speaker to infer that something must have happened only on the basis of his/her observation of the environment without witnessing the event or changing state” (Lin 1998: 223). To summarize, all the 12 linguistic contexts listed in Table 1 exhibit at least non-veridicality.

In addition to these linguistic contexts, however, we also observe that shenme can appear in the following kinds of negative contexts. They are negative contexts introduced by an inherently negative verb or a negative quantifier as shown in (13a) and (13b) respectively, and those introduced by a negative universal quantifier as given in (13c). These negative contexts are all DE; the contexts illustrated in (13a) and (13b) are even anti-additive as well.
As introduced already in Section 2, anti-morphic contexts, anti-additive but not anti-morphic linguistic contexts and DE contexts are all non-veridical. This means that all the attested linguistic contexts in which *shenme* can appear are non-veridical. But is the distribution of *shenme* also restricted to non-veridical contexts? As illustrated in (10), it is infelicitous to use *shenme* in simple affirmative clauses, in perfectives or in complement clauses of a factive verb. The fact that these contexts are all veridical confirms *shenme*’s restricted distribution to non-veridical environments only. We therefore conclude that *shenme* is a superweak NPI that requires at least non-veridical contexts as felicitous licensing conditions.

As to provide empirical evidence for *shenme*’s status as a superweak NPI, we executed a corpus investigation by employing a subcorpus of the *PKU-CCL YuLiaoKu* (the PKU-CCL Corpora), in particular *KouYu* (‘spoken Mandarin’). The corpus results are summarized in the table below, which presents a quantitative overview of how the indefinite is distributed in spoken Mandarin.

---

(13) a. ta fouren shuo guo *shenme* fanshehui de hua

*he* deny say PRF *shenme* antisocial MOD word

‘He denied having said any antisocial word.’

b. meiren shuo guo *shenme* fanshehui de hua

*nobody* say PRF *shenme* antisocial MOD word

‘Nobody said any antisocial word.’

c. bushimeigeren dou shuo le *shenme*

*not everybody* all say PRF *shenme*

‘Not everybody said anything/something.’

---

16 This sentence can also be assigned an interrogative interpretation if uttered with a rising intonation and/or in the presence of a Q-marker *ne*: ‘What is that antisocial word that he denied to have said?’.

17 This sentence can also be assigned an interrogative interpretation if uttered with a rising intonation and/or in the presence of a Q-marker *ne*: ‘What is that that not everybody has mentioned?’.

18 As already mentioned all these contexts may be assigned an interrogative interpretation if uttered with a rising intonation and/or in presence of a Q-marker *ne*; however, when an interrogative interpretation is achieved, these contexts are no longer veridical but non-veridical. Thus, the restricted distribution to non-veridical contexts only, proposed for the Mandarin indefinite is not violated.
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<table>
<thead>
<tr>
<th>Non-veridical</th>
<th>Count (percentage)</th>
<th>Veridical</th>
<th>Count (percentage)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anti-morphic</td>
<td>86 (9.21%)</td>
<td>perfectives</td>
<td>1 (0.11%)</td>
</tr>
<tr>
<td>Anti-additive but not anti-morphic</td>
<td>1 (0.11%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DE but not anti-additive</td>
<td>130 (13.92%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Non-veridical but not DE</td>
<td>716 (76.66%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TOTAL</td>
<td>933 (99.89%)</td>
<td>TOTAL</td>
<td>1 (0.11%)</td>
</tr>
</tbody>
</table>

Table 2: Distribution of shenme in spoken Mandarin\(^1\)

As can be seen from the results above, shenme appears in non-veridical contexts in spoken Mandarin at more than 99% of the times. This shows that Mandarin speakers do indeed analyse the indefinite as a superweak NPI, banned from veridical contexts only.

4. Explaining shenme as a superweak NPI

From a distributional perspective, we showed in Section 3 that shenme is an NPI that is licensed by all non-veridical contexts. This section provides an explanation for why shenme is a superweak NPI, surviving in non-veridical contexts only (c.f. Zwarts 1993).

We here adopt Giannakidou (2002): NPIs that are subject to non-veridical licensing may become NPIs because they are referentially deficient. Before we show how this analysis explains shenme’s restricted distribution to non-veridical contexts only, we briefly demonstrate referentiality and semantic contexts that require obligatory referring and those that do not.

Referentiality can be informally understood as the ability to refer. Most NPs, for instance, exhibit this ability and can therefore be employed to refer. In examples given in (13), indefinite NPs (marked in italics) a book, a car and a tree refer to an entity in the world that meets the description of these indefinite NPs given by the context, i.e., on a round table that the speaker is looking for, with four cylinders that John is searching for, and in [---]

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\(^1\) More than 2000 utterances containing shenme were attested in the subcorpus KouYu; we randomly selected and analyzed 1000 of these for practical reasons. Out of these 1000 utterances, 66 contained wei shenme (‘for a reason’), which partially overlaps with the target morphologically, but is syntactically and semantically different. The total number of utterances included in Table 2 is therefore 934.
the Vondelpark that the parents of the speaking are looking for, respectively.

(13)  a. I am looking for a book on a round table.
   b. John is searching for a car with four cylinders.
   c. My parents are looking for a tree in the Vondelpark.

In none of the examples above does the indefinite NP refer obligatory, as it might be the case that no entity existed such that it was a book or a car or a tree, meeting the description given by the context. However, referring is obligatory when the same NPs appear in the following sentences.

(14)  a. I read a book yesterday.
   b. John bought a car last year.
   c. My parents planted a tree in 2010.

Utterances (14a) to (14b) necessarily presuppose the existence of at least one entity that meets its contextual description, i.e., read by the speaker yesterday, bought by John last year and planted by the parents of the speaker in 2010. Therefore, the indefinite NPs’ a book, a car and a tree must obligatory refer. The examples given in (14) are all veridical expressions. In other kinds of veridical contexts, such as in perfectives (see (15a)), complement clauses of a factive verb (see (15b)), NPs must also refer.

(15)  a. I have read a book since the last time I visited my parents.
   b. I know that John bought a car last year.

On the contrary, the obligation to refer disappears when NPs appear in the following contexts: under the scope of negation in (16a) and (16b), in conditional clauses in (16c), in complement clauses of a non-factive verb in (16d) and scoped over by a modal adverb in (16e).

(16)  a. I did not read a book yesterday.
   c. If John bought a car last year then he does not have to do it this year.
   d. I guess that John bought a car last year.
e. Perhaps my parents planted a tree in 2010.

The contexts illustrated above are all examples of non-veridical contexts. As introduced in Section 2, non-veridical contexts are contexts that cannot entail the truth of an embedded proposition. This is why NPs uttered in such conditions do not necessarily presuppose the existence of a certain entity that meets the description provided by the context, as such explaining why non-veridical contexts do not require obligatory referring.

Given the generalization that veridical contexts involve obligatory reference whereas non-veridical contexts do not, the conclusion is that only indefinites that are able to refer may survive in contexts that presuppose existential import. Consequently, indefinites and/or quantifiers that are not able to refer cannot survive in contexts that presuppose existential import and may therefore appear in non-veridical contexts (Giannakidou 2002). On the basis of the distribution of the Mandarin indefinite restricted to non-veridical contexts only, we analyse shenme as an existential quantifier that lacks referentiality in its lexical semantics (see Li 1992 and Lin 1998 for a similar but not identical approach). Hence, it is shenme’s referential deficiency that restricts this indefinite to non-veridical contexts only that do not force it to refer only, explaining why shenme is a superweak NPI.

5. Acquiring shenme as a superweak NPI

Our analysis that shenme is a superweak NPI due to its referential deficiency explains why Mandarin speakers only use this indefinite in non-veridical contexts; but it also raises a learnability problem. Shenme’s absence in veridical contexts such as those shown in (10) does not necessarily indicate its referential deficiency in the target grammar. It then appears that children would not be able to acquire that shenme can only appear in non-veridical contexts. We would thus expect children to overuse shenme in veridical contexts. However, without being confronted with any negative evidence, i.e., information about what is impossible and ungrammatical in a target grammar (Pinker 1995, among others),20 it is impossible for children to unlearn the overgeneralized use of the NPI. As to understand how Mandarin children can acquire shenme’s non-referentiality based on positive evidence only, i.e., information about what is possible and grammatical in a target

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language (e.g., Pinker 1995), we follow Van der Wal (1996) in hypothesizing a conservative widening learning strategy in children’s acquisition of the Mandarin NPI.

According to the conservative widening learning hypothesis, the acquisitional process of the NPI is analysed as having different developmental stages. In the first stage, children are assumed to establish the strictest possible analysis of the NPI based on limited input data available at the beginning of acquisition. While confronted with more input data falsifying the strict initial analysis children are assumed to weaken down the strict analysis and establish a reanalysis of the NPI. Such a weakening-down process according to language input continues until a reanalysis is achieved that explains all input data.

In order to provide empirical evidence for this learning strategy, we executed a corpus study in the CHILDES database (MacWhinney 2009) to investigate Mandarin children’s acquisition of the superweak NPI. A total of 734 CHAT files of subcorpora Beijing 2 (Tardif 1993, 1996), Zhou 1 and Zhou 2 (Zhou 2004) were analysed, covering spontaneous speech data of more than 40 monolingual Mandarin children aged between 1 and 5 years old. The procedure of our corpus research is as follows. First we divided all children into 4 different groups depending on their age at the time of recording: Group 1 (1 to 2 years old), Group 2 (2 to 3 years old), Group 3 (3 to 4 years old) and Group 4 (4 to 5 years old). After that we collected all utterances containing the target NPI shenme per age group. All the utterances of shenme were then categorized depending on their semantic property. Raw results are presented in the table below.

<table>
<thead>
<tr>
<th>Semantic contexts</th>
<th>Group 1</th>
<th>Group 2</th>
<th>Group 3</th>
<th>Group 4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anti-morphic</td>
<td>0</td>
<td>1</td>
<td>5</td>
<td>15</td>
</tr>
<tr>
<td>DE but not anti-additive</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>3</td>
</tr>
<tr>
<td>Interrogative sentences</td>
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<td>53</td>
<td>170</td>
<td>335</td>
</tr>
<tr>
<td>Other non-veridical but not DE</td>
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<td>0</td>
<td>0</td>
<td>30</td>
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<td>0</td>
<td>1</td>
<td>0</td>
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<td>TOTAL</td>
<td>0</td>
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<td>177</td>
<td>386</td>
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</table>

Table 3: Distribution of shenme in child Mandarin

Looking at how the target NPI was distributed, we only found a significant difference between children older than the age of 4 and those below 4 years old ($p=.000$, df=6). This result is presented in the graph below. Moreover, we found that the contributor to this
significant effect is the emergence of non-veridical contexts that are neither interrogative nor DE, forming a new type of licensing contexts for the target NPI. This means that whereas Mandarin children below the age of 4 are only able to use the target NPI in interrogative sentences (more than 99% of the times), their older counterparts are also capable of employing other kinds of non-veridical contexts that are not DE to license *shenme* (at approximately 8% of the times).

Graph 1: Distribution of *shenme* in early and late child Mandarin

We take the developmental pattern illustrated above to represent an analysing and a reanalysing process of Mandarin children in the acquisition of the NPI. Since we do not assume any inborn linguistic knowledge of *shenme* being lexically non-referential, we started by looking at language input in order to understand Mandarin children's initial step to acquire the target NPI. In child-directed Mandarin in the investigated subcorpora of CHILDES, we found that *shenme* appears in an interrogative sentence at a frequency of more than 97%. Given *shenme*’s overwhelming occurrences under the scope of an interrogative operator in the input, we hypothesize that Mandarin children start out with a narrow assumption of the target NPI being a WH-quantifier. However, this initial analysis by Mandarin children can be falsified by input evidence showing *shenme* in a non-interrogative sentence. In child-directed Mandarin, we observed that at approximately 3% of the times the NPI is used in a non-veridical context that is not interrogative. *Shenme*’s appearance in such non-veridical contexts is sufficiently infrequent. Nevertheless, it still poses a problem for children’s strong analysis of *shenme* as a WH-quantifier, due to its inability to explain why *shenme* is also allowed to appear in non-interrogative non-veridical contexts. In order to explain this, children need to establish a less narrow reanalysis that is compatible with all input data. Given the fact that only non-referential existential quantifiers are subject to a restricted distribution of non-veridical contexts
only, including both interrogative and non-interrogative sentences (cf. Giannakidou 2002), we hypothesize that shenme is reanalysed as exactly that; a non-referential existential quantifier that cannot give rise to any existential import (after Lin 1998), similar to WH-quantifiers, but is allowed to appear in non-interrogative non-veridical contexts as well. Based on the significant difference observed between the Mandarin children below the age of 4 and their older counterparts (Graph 1), we further hypothesize that the reanalysis of shenme – being referentially deficient – is established shortly after the age of 4.

The analysis and the reanalysis sketched above explain the developmental pattern attested in this corpus research. The fact that shenme only appears in interrogative sentences in early child Mandarin is understood by children’s strict assumption of this indefinite as a WH-quantifier, at ages younger than 4: the analysis. The broader distribution of shenme in a variety of non-veridical contexts including interrogative sentences in late child Mandarin is accounted for by the weaker reanalysis of shenme being referentially deficient after the age of 4: the reanalysis. Moreover, the analysing and the reanalysing processes provide evidence for the conservative widening learning strategy in Mandarin children’s acquisition of the superweak NPI. First, in both the analysing and the reanalysing process, Mandarin children make use of positive evidence only. Second, the acquisitional pathway of shenme exhibits a clear widening development, as the NPI is distributed in a broader set of contexts in late child Mandarin than earlier stages. Finally, Mandarin children – regardless of their age – do not overuse shenme in veridical contexts since we did not attest any overgeneralization errors of the NPI. We therefore conclude that Mandarin children acquire shenme as a non-referential superweak NPI via the conservative widening learning strategy.

6. Summary

In his 1995-paper, Zwarts proposed that non-veridicality is the logico-semantic property that licenses the weakest type of NPIs. Although the existing body of literature has hardly reported any polarity items that are systematically licensed in all non-veridical contexts, the current paper presents a prototypical superweak NPI that indeed exhibits such a distribution, providing crucial empirical evidence for Zwarts' proposal of almost twenty years ago.

We start by introducing NPIs and non-veridical environments. By examining the distribution of the Mandarin indefinite shenme in the Chinese literature, we conclude that shenme is a superweak NPI, allowed to appear in non-veridical contexts only. Our data
collected in the PKU-CCL Corpora confirm this as well, since virtually all contexts containing the target NPI were non-veridical. We then present an explanation for why *shenme* has become an NPI of the weakest type, systematically banned from veridical contexts only. Following Giannakidou (2002), *shenme* is analysed as a lexically deficient indefinite that cannot refer on its own and therefore may only appear in non-veridical contexts that do not presuppose any existential import. The acquisition of the Mandarin NPI is examined by means of an intensive search in the CHILDES database. The child data show that the acquisition of *shenme* exhibits an analysing and a reanalysing process. After an initial narrow assumption of *shenme* being a WH-quantifier, which is a specific type of non-referential quantifier, Mandarin children reanalyse the target NPI more generally as an existential quantifier that lacks referentiality.

Our treatment of the Mandarin indefinite that states that it lacks referentiality explains why *shenme* is a superweak NPI restricted to non-veridical contexts only. However, it raises several further questions as well. The first question concerns a series of quantifiers in Mandarin Chinese that are analysed as WH-terms according to traditional Chinese grammar: *shei* (‘a person’), *weishenme* (‘for a reason’), *nali* (‘a place’), etc. Similar to *shenme*, these so-called WH-terms can also appear in non-interrogative but still non-veridical contexts (Huang 1982, Lin 2011). If the distribution of all these quantifiers is indeed also restricted to non-veridical contexts, we can generalize our NPI-analysis of *shenme* to these quantifiers by adopting Zwarts’ notion of non-veridicality. Moreover, the acquisition of this series of superweak NPIs in Mandarin Chinese will also be driven by the notion of non-veridicality – assuming a similar distributional pattern as that of *shenme* in the language input.

Secondly, our proposal motivates a typological investigation of NPIs of the weakest type. Mandarin Chinese is a WH-in-situ language; interrogative sentences are therefore not syntactically marked by WH-movement as in Dutch or English, for instance. Ni (2005) and Zhou (2010), among others, list several criteria to distinguish an interrogative sentence from its non-interrogative counterparts in Mandarin Chinese. Apart from two prosodic requirements, 21 an important grammatical property of interrogative sentences in Mandarin Chinese is the presence of an overt Q-marker in the sentence-final position. However, as Ni (2005) points out, this Q-marker may also be covertly present. This leads to two possible resolutions of a sentence containing *shenme* but without an overt Q-marker. Speakers either assign this sentence as interrogative by assuming a covertly

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21 The two prosodic requirements for interrogative sentences in Mandarin Chinese are a rising intonation at sentence level and a main sentential stress on a WH-quantifier in a traditional sense.
present Q-marker; or they assign this sentence as non-interrogative by their analysis of *shenme* as a superweak NPI. Since Chinese is presumably not unique in this sense we may expect other WH-in-situ languages that do not require an overtly present Q-marker to exhibit superweak NPIs for the same reason of non-referentiality, similar to *shenme*; but we leave this for further exploration.

A third topic for further exploration is related to the current methodology. We executed a corpus study. But because corpus research restricts our observation to children’s production only, which does not necessarily indicate what children can or will produce, another approach of interest for further research is to confirm the widening learning pathway attested here in an experimental setting. By manipulating *shenme*’s appearance in different types of non-veridical contexts, e.g., interrogative sentences, under the scope of negation, modal contexts, we executed a sentence repetition task with monolingual children aged between approximately 3 and 5 years old (authors in prep.). Preliminary results appear to confirm our corpus findings that the acquisition of this Mandarin NPI exhibits an initial interrogative assumption. A detailed description of our data and a discussion of our experimental results are part of our further research.

7. References


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8. Appendix 2: Shenme appearing in different linguistic contexts given in Table 1

(1) under the scope of a negative marker

`Ta mei you shenme hua xiang he ni shuo`

*s/he NEG have shenme word want with you say*

‘S/he does not want to say anything to you.’

(2) in a restrictive clause of a universal quantifier

`Ta shenme hua dou xiang he ni shuo`

*s/he have shenme word all want with you say*
Mandarin SHENME as a superweak NPI

s/he _shenme_ word all want with you say

‘S/he wants to tell you everything.’

(3) in a conditional clause
Ruguo ta you _shenme_ hua xiang he ni shuo,
if s/he have _shenme_ word want with you say
ta jiu hui gei ni dadianhua
s/he then will to you call

‘If s/he has something to tell you, s/he will then call you.’

(4) in a BEFORE-clause
Zai ta xiangdao _shenme_ fangfa zhiqian, yinggai
at s/he think of _shenme_ solution before should
he ni haohao shangliang yixia
with you well discuss while

‘Before s/he thinks of any solution, s/he should have a good talk with you.’

(5) in a DONKEY-sentence
Ta shuo _shenmei_ wo jiu zuo _shenme_.
s/he say _shenme_ I then do _shenme_

‘Whatever s/he says, I will do.’

(6) in a matrix interrogative sentence
Ta he ni shuo guo _shenme_ (ne)?
s/he with you say PRF _shenme_ Q-marker

‘What did s/he tell you?’

(7) in an embedded interrogative sentence
Wo xiang zhidao ta he ni shuo guo shenme
I want know s/he with you say PRF shenme
‘I want to know what s/he told you’

(8) imperfectives: futural aspect
Wo mingtian qu shichang gei wo ma mai
I tomorrow go market for I mother buy
dian shenme chi de
CL shenme eat PAR

‘I will go to the market tomorrow to buy something to eat for my mother.’

(9) imperfectives: habitual aspect
Ta zongshi baoyuan shenme buhao shenme budui
s/he always complain shenmei bad shenme wrong

‘S/he always complains that something is wrong.’

(10) imperfectives: progressive aspect
Ta xianzai zhengzai kan shenme dianshiju ne
s/he now PRG watch shenme television program PAR

‘S/he is now watching a television program.’

(11) in an imperative
Kuai qu mai dian shenme zhixue de yao!
quickly go buy CL shenme haemostatic PAR medicine

‘Quickly go buy some haemostatic medicine!’

(12) in a modal context
Ta haoxiang zai kan shenme dianshi jiemu
s/he probably at watch shenme television program

‘S/he is probably watching some television program.’

(13) in a matrix polar question

Ni hai xiang mai shenme yao ma?
you still want buy shenme medicine Q-marker

‘Do you still want to buy some/any medicine?’

(14) in an embedded polar question

Wo tebie xiang zhidao ta shifou gei wo mai le
I very want know s/he whether for I buy PRF
shenme liwu
shenme present

‘I really want to know whether s/he has bought me a present.’

(15) in a complement clause of a non-factive verb

Wo cai ta yijing gei ni mai le shenme
I guess s/he already for you buy PRF shenme
liwu
present

‘I guess that s/he has already bought you a present.’

(16) under the scope of inference le

Shenme lingjian er huai le
shenme spare part broken INF

‘Some part appears to be broken.’
9. Appendix 2: Abbreviations

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Meaning</th>
</tr>
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<tbody>
<tr>
<td>CL</td>
<td>classifier</td>
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<td>COP</td>
<td>copula</td>
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<td>downward entailing</td>
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