Search for supersymmetry with jets, missing transverse momentum and at least one hadronically decaying \( \tau \) lepton in proton-proton collisions at \( \sqrt{s} = 7 \) TeV with the ATLAS detector


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ATLAS Collaboration

1. Introduction

Supersymmetry (SUSY) [1–9] is a well-motivated theoretical concept that introduces a symmetry between bosons and fermions. As a consequence, every Standard Model (SM) particle has a SUSY partner with the same mass and quantum numbers except for the spin which differs by half a unit. Since none of these partners has been observed SUSY must be a broken symmetry if realized in nature. If R-parity is conserved [10–14], SUSY particles can only be produced in pairs and would decay through cascades involving lighter SUSY particles. These decay cascades end in the production of the lightest supersymmetric particle (LSP), which is stable and escapes the detector unseen, giving rise to missing transverse momentum.

In certain SUSY models, large mixing between left and right sleptons, the partners of the left-handed and right-handed SM fermions, implies that the lightest sleptons belong to the third generation. This leads to a large production rate of \( \tau \) leptons from decays of \( \tilde{\tau} \) sleptons and gauginos, the partners of the SM gauge bosons, in SUSY cascade decays. For example, in the context of Gauge Mediated SUSY Breaking (GMSB) [26–31] the lighter of the two \( \tilde{\tau} \) sleptons is the next-to-lightest supersymmetric particle (NLSP) for a large part of the parameter space, and the very light gravitino, \( \tilde{G} \), is the LSP. Hence \( \tilde{\tau} \) sleptons decay to a \( \tau \) lepton and a gravitino. While this \( \tilde{\tau} \rightarrow \tau G \) process is the dominant source of \( \tau \) leptons from SUSY decays in certain regions of GMSB model parameter space, the analysis presented here is sensitive to any process producing \( \tau \) leptons in association with jets and missing transverse momentum.

This Letter presents a search for supersymmetry in final states with at least one hadronically decaying \( \tau \) lepton, missing transverse momentum and jets with the ATLAS detector at the LHC.

The results of the search are interpreted within the GMSB model. Previous experiments at LEP [32–34] have placed constraints on \( \tilde{\tau} \) and \( \tilde{\tau} \) masses and on more generic GMSB signatures. Among these is the limit from the OPAL experiment [32] were the most stringent, excluding \( \tilde{\tau} \) NLSPs with masses below 87.4 GeV. The D0 Collaboration performed a search for squark production in events with hadronically decaying \( \tau \) leptons, jets, and missing transverse momentum [35], and the CMS Collaboration performed searches for new physics in same-sign ditau events [36] and multi-lepton events [37] including \( \tau \) pairs, but the GMSB model was not specifically considered in any of these results. A search for supersymmetry in final states containing at least two hadronically decaying
2. ATLAS detector

The ATLAS detector [39] is a multipurpose particle physics apparatus with a forward–backward symmetric cylindrical geometry and nearly 4π coverage in solid angle. The inner tracking detector consists of a silicon pixel detector, a silicon microstrip detector, and a transition radiation tracker. The inner detector is surrounded by a thin superconducting solenoid providing a 2 T axial magnetic field and by high-granularity liquid-argon sampling calorimeters. An iron-scintillator tile calorimeter provides hadronic coverage in the central rapidity range. A muon spectrometer consisting of large superconducting toroids and a system of precision tracking chambers surrounds the calorimeters.

3. Data and simulated samples

The analysis is based on data collected by the ATLAS detector in proton–proton collisions at a center-of-mass energy of 7 TeV between March and August 2011. Application of beam, detector, and data-quality requirements resulted in an integrated luminosity of 2.05 ± 0.08 fb⁻¹ [40,41]. The data were collected using triggers based on one jet with transverse momentum p_T > 75 GeV, measured at the raw electromagnetic scale, and missing transverse momentum above 45 GeV.

In GMSB models, the breaking of SUSY is mediated through flavor-blind SM gauge interactions of messenger fields with mass scale M_{mess} which is small compared to the Planck mass. In addition to M_{mess}, the free parameters in GMSB models are the scale of the SUSY breaking, A, the number of messenger fields, N_S, the sign of the Higgsino mixing parameter, sign(μ), the scale factor for the gravitino mass, C_{grav}, and the ratio of the vacuum expectation values of the two Higgs doublets, tan β. In this analysis, GMSB models are studied in the A–tan β plane for fixed M_{mess} = 250 TeV, N_S = 3, sign(μ) = +1 and C_{grav} = 1. The chosen set of parameter values restricts the analysis to specific final states relevant for the search with τ leptons and to promptly decaying NLSPs. For N_S ≥ 2 and large tan β the lightest τ slepton, τ_1, is the NLSP.

Samples of simulated GMSB events are generated with the Herwig++ [42] generator for ten values of A in the range 10 < A < 85 TeV and ten values of tan β in the range 2 < tan β < 45, with the SUSY mass spectra generated using S黑暗EJET 7.80 [43]. The MRST2007 LO* [44] parton distribution functions (PDFs) are used. The production cross sections are calculated with PROSPINO [45–48] to next-to-leading order in the QCD coupling using the next-to-leading-order CTEQ6.6 [49] PDF set. The two samples with A = 30 (40) TeV and tan β = 20 (30), which have cross sections of 1.95 (0.41) pb, are used as representative points for the optimization of the event selection.

The dominant background processes in this search are production of W and Z bosons in association with jets (W + jets and Z + jets), top quark pair (t̅t̅) and single top quark production. The W + jets and Z + jets production processes are simulated with the ALPGEN [50] generator, using the CTEQ6L1 [51] PDF set, and are normalized to a cross section of 3.14 nb and 9.02 nb [52–54], respectively. The t̅t̅, single-top and diboson production processes are generated with MCGNLO [55] and the CTEQ6.6 [49] PDF set, and are normalized using a cross section of 0.165 nb, 0.085 nb [56–58] and 0.071 nb [59,60], respectively. Parton showers and hadronization are simulated with HERWIG and the underlying event is modeled with JIMMY [61]. The programs TAUOLA [62,63] and PHOTOS [64] are used to model the decays of τ leptons and the radiation of photons, respectively. The production of multijet events is simulated with PYTHIA [65], though the multijet background yield in this analysis is estimated using data. All simulated samples are processed through a full simulation of the ATLAS detector [66] based on GEANT4 [67]. To match the pile-up (overlap of several interactions in the same bunch crossing) observed in the data, the generated signal and background events are overlaid with minimum-bias events [68,69] and the resulting events are reweighted so that the distribution of the number of interactions per bunch crossing agrees with the data.

4. Object reconstruction

Jet candidates are reconstructed with the anti-k_T clustering algorithm [70] with radius parameter R = 0.4. The inputs to this algorithm are clusters of calorimeter cells seeded by cells with energy significantly above the measured noise. Jets are constructed by performing a four-vector sum over these clusters, treating each cluster as a four-vector with zero mass. Jets are corrected for calorimeter non-compensation, upstream material, and other effects using p_T and η-dependent correction factors obtained from Monte Carlo simulation and validated with extensive test-beam and collision-data studies [71]. Only jet candidates with p_T > 30 GeV, |η| < 2.8 and a distance ΔR > 0.2 with respect to the nearest identified electron are considered as real hadronic jets, where the distance is defined as ΔR = \sqrt{(Δη)^2 + (Δφ)^2}.

The electron and muon identification criteria are identical to those in Ref. [72]. Electrons and muons are only considered if they satisfy p_T > 20 GeV and ΔR > 0.4 with respect to the nearest identified jet.

The magnitude of the missing transverse momentum, E_{T}^{miss}, is computed from the vector sum of the transverse momenta of all identified electrons and muons, all jets, and remaining clusters of calorimeter cells with |η| < 4.5 [73].

Hadrondically decaying τ leptons are reconstructed from jet candidates with p_T > 10 GeV and are distinguished from quark- or gluon-initiated jets using a boosted decision tree (BDT) based on eleven discriminating shower-shape and tracking variables [74]. Electrons are further rejected using transition radiation and calorimetric information. An energy calibration factor for hadronically decaying τ leptons is applied as function of p_T and η. Candidates are required to satisfy p_T > 20 GeV and |η| < 2.5 and to have one or three associated reconstructed tracks (prongs) with total charge ±1. The τ candidates are required to satisfy a p_T-dependent BDT output criterion [74] chosen to give ~30% (~50%) signal efficiency for one-prong (three-prong) τ candidates as estimated in Z → (τ⁺τ⁻) + jets events. The BDT selection has a corresponding background acceptance of ~0.5% (~3%), estimated in dijet events, and the different selection criteria reflect different abundances of one- and three-prong jets in background samples.

During a part of the data-taking period, an electronics failure in the liquid-argon calorimeter created a dead region in the second and third layer of the calorimeter, corresponding to approximately 1.4 × 0.2 rad in Δη × Δφ. A correction is made to the jet energy using energy depositions in cells neighboring the dead region; events having at least one jet, including the leading τ candidate, in this region for which the corrected energy is above 30 GeV are discarded, resulting in a loss of ~6% of the data sample.
5. Event selection

Events are required to have a reconstructed primary vertex with at least five associated tracks with $p_T > 500$ MeV. Events are rejected if they contain identified electrons or muons or if any jet or $\tau$ candidate is consistent with arising from detector noise or non-collision background [71]. Events are required to contain one or more identified $\tau$ candidates, at least two jets, one with $p_T > 30$ GeV and another with $p_T > 130$ GeV, and missing transverse momentum $E_T^{\text{miss}} > 130$ GeV. The latter two requirements ensure that the trigger efficiency is above 98% in both data and simulation.

The two jets leading in $p_T$ are required to be separated in azimuth from the direction of the missing transverse momentum by more than 0.3 rad. This requirement reduces multijet events, which typically have instrumental missing transverse momentum aligned with the leading jets. Multijet events are further suppressed by requiring $E_T^{\text{miss}}/m_{\text{eff}} > 0.25$, where the effective mass, $m_{\text{eff}}$, is defined as the scalar sum of $E_T^{\text{miss}}$, the $p_T$ of the two leading jets, and the $p_T$ of the leading $\tau$ candidate.

Events are required to have a transverse mass, $m_T$, above 110 GeV. The transverse mass is defined as

$$m_T = \sqrt{m_1^2 + 2p_T^1E_T^{\text{miss}}(1 - \cos \Delta \phi(p_T^1, E_T^{\text{miss}}))},$$

where $\Delta \phi(p_T^1, E_T^{\text{miss}})$ is the azimuthal angle between the $\tau$ and the direction of the missing transverse momentum. This requirement suppresses backgrounds due to $W +$ jets and top-quark production. The remaining SM backgrounds are further suppressed by requiring $m_{\text{eff}} > 600$ GeV. This is the final selection defining the signal region for the analysis. The $m_T$ and $m_{\text{eff}}$ requirements as well as the criteria used for the suppression of multijet events are chosen to maximize the signal significance computed with the Asimov approximation [75].

6. Background estimation

Background processes are divided into three classes which are estimated separately: events with true $\tau$ leptons from $t \to b\tau V$ decays (both top-quark-pair and single top quark production) and $W(\to \nu\tau) +$ jets events; events with misidentified (‘fake’) $\tau$ candidates in top, $W +$ jets, and $Z +$ jets events; and events with fake $\tau$ candidates in multijet events. The two fake-$\tau$ classes are treated separately to account for differences in $\tau$ misidentification probabilities due to different event topologies and jet composition.

Events with true $\tau$ leptons are estimated in a control region defined by replacing the requirement on the transverse mass in the final selection with the requirement $m_T < 70$ GeV. For events with a correctly reconstructed $\tau$ lepton and with $E_T^{\text{miss}}$ entirely due to a single neutrino, $m_T$ is kinematically bounded from above by the $W$ mass, within the detector resolution; by requiring $m_T < 70$ GeV, more than 90% of the events in the resulting control region are expected to contain true $\tau$ leptons from top-quark and $W$ decays. The composition of the event sample in this control region is given in Table 1. Within this control region, the background due to $Z$ decays is estimated from simulation and the remaining small background due to multijet events is estimated using a procedure similar to that used to estimate the multijet background in the signal region, described below.

Within the $m_T < 70$ GeV control region, top-quark and $W +$ jets yields are estimated individually with a maximum-likelihood fit to the output distribution of a BDT built from four variables: the number of $b$-quark jets, the total jet multiplicity, the transverse momentum of the second-leading jet, and the transverse thrust $T$ of the event, defined as $T = \max_i \{\sum_i \hat{p}_T,i / \sum_i \hat{p}_T,i\}$, where $i$ runs over the missing transverse momentum and all jets, excluding the tau candidates, with transverse momentum vectors $\hat{p}_T,i$, and the transverse thrust axis is given by the unit vector $\hat{n}$ for which the maximum is attained. Top-quark events have more reconstructed $b$-quark jets, a higher jet multiplicity, higher jet momenta, and tend to be more spherical than $W +$ jets events. Events containing $b$ quarks are identified with about 60% efficiency, evaluated with top-quark events, using secondary vertex reconstruction and three-dimensional impact parameters of tracks associated with the jet [76]. The output distribution of this BDT is shown in Fig. 1 along with the results of the fit. The results of the fit are scale factors for $W +$ jets and top quark backgrounds which reflect differences in cross sections and reconstruction efficiencies between data and simulation. The measured scale factors are 1.22 ± 0.13 for top events and 0.71 ± 0.03 for $W +$ jets events. These scale factors are applied to simulated event samples in the signal region to derive the final expected true-$\tau$ yields from background processes.

For the estimation of backgrounds due to fake $\tau$ candidates in top-quark, $W +$ jets, and $Z +$ jets events, a second control sample is defined by selecting events that fulfill the event selection but with modified criteria on $m_T$ and $m_{\text{eff}}$: $m_T > 70$ GeV and either $m_T < 110$ GeV or $m_{\text{eff}} < 600$ GeV. Since the $m_T$ distribution falls rapidly above the $W$ mass for true-$\tau$ events, the intermediate $m_T$ region selected here is relatively enhanced in fake-$\tau$ events, and the overall composition of this region is expected to be very similar to that of the signal region. Multijet events are expected to make up less than 3% of this sample and are estimated from

<table>
<thead>
<tr>
<th>Top</th>
<th>W + jets</th>
<th>Z + jets</th>
<th>Multijet</th>
<th>Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>186.4 ± 8.4</td>
<td>919 ± 40</td>
<td>62.2 ± 6.7</td>
<td>1.8 ± 1.8</td>
<td>951</td>
</tr>
</tbody>
</table>

Fig. 1. Output distribution of the BDT used to discriminate $W +$ jets from top-quark events in the low-$m_T$ control region, defined as $m_T < 70$ GeV. Background distributions are taken from simulation. The yield for $W +$ jets and top backgrounds are taken from a maximum-likelihood fit to this distribution. The solid (red) line with shaded (yellow) error band corresponds to the total SM prediction, while the points are data. (For interpretation of the references to color in this figure, the reader is referred to the web version of this Letter.)
simulation. The composition of the fake-τ-enhanced sample in this control region is shown in Table 2. Within this control region, true-τ backgrounds are subtracted using estimates derived from the true-τ-dominated control region. The numbers of events remaining after the true-τ subtraction are used to determine a scale factor, 0.50 ± 0.08, which is then applied to simulated samples of fake-τ events in the signal region to obtain a final background estimate. While this scale factor differs significantly from unity, it is consistent with other ATLAS studies of the performance of τ fake rates in simulation.

Backgrounds due to multijet events are estimated in a third control region in which either \( E_t^{\text{miss}}/m_{\text{eff}} < 0.25 \) or one of the two leading jets is aligned in azimuth with the missing transverse momentum direction. Within this sample, the probability for jets (which contain very few true τ leptons) to satisfy the τ selection criteria is estimated by applying the selection to randomly chosen jet candidates. This probability is then applied to a complementary sample of multijet events, where the azimuthal separation and \( E_t^{\text{miss}}/m_{\text{eff}} \) as well as all other event selection requirements, match those of the signal region, but where the τ candidate is again randomly chosen from among the jet candidates. This provides an estimate of the multijet background yield in the signal region. It is found that the multijet background makes up only a few percent of the total SM background in the signal region.

Possible contamination from SUSY signals has been considered in all three background-estimation control regions and is found to have a negligible effect on the results presented below.

7. Systematic uncertainties

Dominant systematic uncertainties on the estimated background yields are due to uncertainties in the jet energy scale (3−8%) [71], jet energy resolution (6−13%) [71], τ energy scale (2−10%) [74], statistical uncertainties in the data control regions (5−15%), and Monte Carlo uncertainties related to the extrapolation from the control regions to the signal region (10−20%). This last term includes statistical uncertainties in the simulation, variations in the in the assumed W + jets/top/Z + jets mixture in the fake-τ control region, and Monte Carlo generator uncertainties (estimated by varying the shower matching, factorization and renormalization scales, \( \alpha_s \), and the amount of initial-state and final-state radiation) [77]. Additional uncertainties on W + jets and top-quark backgrounds are estimated by varying the assumed b-quark identification efficiency within measured uncertainties (4−11%) [76]. Uncertainties on the multijet background yield are estimated by studying correlations between \( m_{\text{eff}} \) and the azimuthal separation between the leading two jets and the missing transverse momentum. Additional systematic uncertainties, including those on the pile-up description in the simulation, are considered and found to be negligible.

In addition to the sources described above, systematic uncertainties on the SUSY signal cross section are estimated by varying the factorization and renormalization scales in PROSPINO up and down by a factor of two, by considering variations in \( \alpha_s \), and by varying the proton PDFs within their uncertainties. These theoretical uncertainties total typically 8−12% across the relevant region of parameter space. Uncertainties are calculated separately for individual SUSY production processes.

8. Results

Fig. 2 shows the distributions of \( E_t^{\text{miss}} \), \( p_T \), and \( m_{\text{eff}} \) for data with all selection requirements except for that on \( m_{\text{eff}} \), along with the corresponding estimated backgrounds. Backgrounds are taken from simulation and normalized with control regions in data. The solid (red) line with shaded (yellow) error band corresponds to the total SM prediction, while the points are data. The error bands indicate the size of the total (statistical and systematic) uncertainty. The notation GMSB(40, 30) stands for the GMSB model with \( A = 40 \) TeV and \( \tan\beta = 30 \) and analogously for GMSB(30, 20). (For interpretation of the references to color in this figure, the reader is referred to the web version of this Letter.)

<table>
<thead>
<tr>
<th>True τ</th>
<th>Fake τ</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Top</td>
<td>53.3 ± 7.5</td>
<td>37.8 ± 5.8</td>
</tr>
<tr>
<td>W + jets</td>
<td>80.5 ± 6.9</td>
<td>33.3 ± 4.1</td>
</tr>
<tr>
<td>Z + jets</td>
<td>5.1 ± 1.6</td>
<td>41.5 ± 10.8</td>
</tr>
<tr>
<td>Multijet</td>
<td>0 ± 0</td>
<td>2.9 ± 1.0</td>
</tr>
<tr>
<td>Total</td>
<td>139 ± 10</td>
<td>116 ± 13</td>
</tr>
</tbody>
</table>

Table 2 Numbers of observed and expected events in the fake-τ-enhanced control region. The numbers of expected W + jets and top-quark events have been corrected by the correction factors measured in the true-τ-dominated region. The fake-τ correction factor obtained from data is 0.50 ± 0.08.
Table 3
Expected SM background event yields and number of events observed in data after the final requirement on \( m_{\ell\ell} \). All systematic uncertainties are included here, and the uncertainty on \( \Delta m_{\text{Sig}} \), the sum of all SM backgrounds, takes correlations between the individual background uncertainties into account. The true-symmetric particles can be excluded at 95% C.L. up to 132 ± 4.2 events.

<table>
<thead>
<tr>
<th>Top</th>
<th>W + jets</th>
<th>Z + jets</th>
<th>MultiJet</th>
<th>( \Sigma_{\text{SM}} )</th>
<th>Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>5.6 ± 1.4</td>
<td>4.7 ± 1.5</td>
<td>2.4 ± 0.7</td>
<td>0.5 ± 0.6</td>
<td>13.2 ± 4.2</td>
<td>11</td>
</tr>
</tbody>
</table>

9. Conclusions

In conclusion, this Letter presents a search for supersymmetry in final states containing jets, missing transverse momentum, and at least one \( \tau \) lepton with the ATLAS experiment in \( \sqrt{s} = 7 \) TeV proton–proton collisions at the LHC. This is the first search in these final states at the LHC that includes events with one \( \tau \) lepton. No excess of events is seen beyond the expected Standard Model backgrounds in 2.05 fb\(^{-1}\) of data. Limits are placed on the visible cross section and in the context of GMSB models. The limits obtained extend the results from previous experiments.

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