The parameters of constitutional conflict after Melloni

Besselink, L.F.M.

Published in: European Current Law

Citation for published version (APA):

General rights
It is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), other than for strictly personal, individual use, unless the work is under an open content license (like Creative Commons).

Disclaimer/Complaints regulations
If you believe that digital publication of certain material infringes any of your rights or (privacy) interests, please let the Library know, stating your reasons. In case of a legitimate complaint, the Library will make the material inaccessible and/or remove it from the website. Please Ask the Library: https://uba.uva.nl/en/contact, or a letter to: Library of the University of Amsterdam, Secretariat, Singel 425, 1012 WP Amsterdam, The Netherlands. You will be contacted as soon as possible.

UvA-DARE is a service provided by the library of the University of Amsterdam (http://dare.uva.nl)

Download date: 16 Jan 2020
CONTRIBUTING EDITORS
Maria Theresia Bia
Attorney at Law
Studio Legale Bia, Bari

Kristina Chirova, LL.B. (Bulgaria)
GLM (Bulgaria)
Legal Adviser

Katarina N. S. E. E. L. (Australia)

Editorial Team

Subject Headings

FOCUS
The Parameters of Constitutional Conflict after Melloni

LEGISLATION, CASES, ARTICLES
Digests of Legislation, Cases and Articles

REFERENCE SECTION
Legislation Recently Passed
European Union
National Law

Lists
Table of Treaty Provisions Referred to
List of Legislation Digested
List of Cases

Index

Issue 10 reports may be cited as: [2014] 10 Euro. C.L.
Abstract

Melloni makes clear that primacy of EU law is not about citizens' rights; even the core of their constitutional rights under national law has to be set aside in favour of the "primacy, unity and effectiveness" of EU law. Melloni extends the duty to set aside citizens' constitutional rights also to EU law that is not directly effective. The court finds it acceptable that a framework decision that "harmonizes" fundamental rights and falls short of the constitutional standards of a Member State must override constitutional rights if that EU act lives up to the minimum standards of the ECHR in abstract terms. This reopens a path to constitutional conflict in the area of fundamental rights protection that was expected to be closed since the entry into force of the Lisbon Treaty. The court's reasoning shows few signs of authentic "constitutional dialogue", by ignoring the fact that Melloni involved the core of a constitutional right affecting human dignity under Spanish constitutional law. Once again, Melloni also illustrates that constitutional conflict is not merely a matter between a Member State and the Court of Justice, but may exist also between Member State courts and executives, thus making the Court of Justice an arbiter of national constitutional conflict. The Spanish Constitutional Court, in its follow-up judgment, has refused to accept either the constitutional supremacy of EU law or, by implication, the Court of Justice's unreserved position on art.53 of the Charter, but managed to avoid actual and overt constitutional conflict by an overall lowering of its autonomous fundamental rights standard. Such practical backing off can hardly be expected to occur with constitutional courts with nationally stronger positions of legitimacy in more general constitutional terms. Melloni can be understood in the context of competing paradigms of rights, power and the relations between constitutional orders.

Introduction

European integration was the way to overcome the devastation that sovereign powers had brought Europe over centuries. The rationale to overcome the habits of sovereignty largely coincided with that of post-war constitutionalism in the European state orders. The constitutional concerns with power arrangements for the exercise of authority that dominated the "long 19th century" was to be offset by the paradigm of citizens' rights that came to dominate the post-war 20th century discourse, also that of EU law. The European Union is there primarily for its citizens and integration is realised through their rights. This is how the history of the Court of Justice's case law, from Van Gend & Loos and Costa v ENEL to the enunciation of European citizenship as destined to be "the fundamental status" of citizens (Grzelczyk and its progeny), is usually read. If this were a correct reading, the protection of citizens' fundamental rights would be of prime concern to the Court of Justice. We know, of course, that things are slightly more subtle, both historically and presently. And Melloni? confirms this.

1 This article was first produced by Thomson Reuters (Professional) UK Limited in European Law Review (2014) 39 E.L.Rev. 531.
Fundamental rights protection was originally a matter upon which national constitutional courts heard. The European Court of Justice to account: the Court of Justice law, on the other hand, provide fundamental rights protection that was either substantively, or as to the level of judicial scrutiny, equivalent to that provided by the national courts or by the European Court of Human Rights. In as far as the Union would not provide such protection, EU law must respect fundamental rights standards which can be valid and effective. The Bundesverfassungsgericht marked off this area of potential constitutional conflict, and Sweden codified it in its Constitution, while many Member States either implicitly or explicitly supported the view that EU law must also respect fundamental rights standards to which autonomous Member State acts are subject. Since the Court of Justice began protecting ECtHR in accordance with minimum Strasbourg standards, and now that the Charter of Fundamental Rights remains the primary law, it follows that this issue must be re-examined.

From the perspective of the protection of rights, this would appear to be a good thing. Power is offset by rights—unless, of course, the standard of EU fundamental rights falls short of the national standard. In that case, we are back at the historical starting position: EU law does not guarantee rights as they are protected in a Member State but, to the contrary, infringes fundamental rights—power exercised by Member State authorities is no longer offset by the rights that citizens enjoy in the national legal order. Theoretically, the conflict could be solved by taking the route of the substantive values of constitutionalism, that is, by allowing for higher national standards, as is normally the case under international human rights law, and as, in one reading of this provision, would follow from art.53 of the Charter. However, the Melloni judgment of the Court of Justice of February 26, 2014, rejects that reading and holds that art.53 cannot allow for higher levels of national protection if this interferes with the privacy, unity and effectiveness of EU law, thus reducing art.53's meaning to insignificance. Thus, also, the court reopens the field of constitutional conflict as concerns fundamental rights protection—unless, of course, constitutional courts are willing to reduce their standards of fundamental rights protection; in the latter case, leave power can be re-taken by the Court of Justice holds national authorities, including courts, to account as to the protection they provide for EU fundamental rights in national jurisdictions.1 Whenever Member State authorities act within the scope of EU law, they must observe EU fundamental rights—this is the unspoken message of Åkerberg Fransson.2

1 This did not occur until Bernard Cosciny & Commission (C-274/99 P) [2001] ECR 1-1631, [2001] 3 CMLR 58.
4 ECJ art.53: “Nothing in this Convention shall be construed as limiting or derogating from any of the human rights and fundamental freedoms which may be enjoyed under the laws of any High Contracting Party in so far as those rights and freedoms are derived from public international law, or any treaty, convention, or custom on the pretext that the present Convention does not recognise such rights or that it recognises them in a narrower sense.”
practice in one Member State is constitutionally barred in another—were reason to replace this provision with another set of more precise provisions of the amending Framework Decision 2009/299.

The amended Framework Decision specifies the conditions under which conviction in a trial in absentia cannot constitute a reason for non-surrender of the convicted person (art.4.a.(1) of the amended EAW Framework Decision). The surrender of the person cannot be refused—among other things—in the following circumstances:

- the person was unequivocally aware of the scheduled trial, of the date and place of it, and of the fact that a conviction may follow also in this person’s absence;
- the person was defended by legal counsel whom he or she had mandated, appointed either by the person concerned or by the State.

In the case of Mr Melloni, these grounds were relevant: on that basis, his surrender must take place with no right to retrial, a situation that was unconstitutional under Spanish law.

The questions referred to the European Court of Justice

The first of the Tribunal Constitutional’s three questions was whether Framework Decision 2002/584 on the European Arrest Warrant, which does not explicitly allow for the surrender conditional on retrial, precludes such a condition in order to guarantee the fundamental rights of defence of the person surrendered. Secondly, if the EAW Framework Decision does preclude such a condition, it was asked whether this is compatible with the right to a fair trial and the rights of defence under arts 47 and 48 of the Charter.

The third and final question posed would be relevant if the Framework Decision were to be judged compatible with the Charter, and it concerned art.53 of the Charter. This provision reads as follows:

“Level of protection

Nothing in this Charter shall be interpreted as restricting or adversely affecting human rights and fundamental freedoms as recognised, in their respective fields of application, by Union law and international law and by international agreements to which the Union or all the Member States are party, including the European Convention for the Protection of Human Rights and Fundamental Freedoms, and by the Member States’ constitutions.”

The Tribunal Constitutional asked whether this provision allows a Member State, “to make the surrender of a person convicted in absentia conditional upon the conviction being open to review in the requesting State, thus affecting (the right to a fair trial and the rights of defence) a greater level of protection than that deriving from European Union law, in order to avoid an interpretation which restricts or adversely affects a fundamental right recognised by the Constitution of the first-mentioned Member State.”

Admissibility

The court first addressed an issue of admissibility arising for reasons of intertemporal law. The Spanish High Court had ordered the surrender of Melloni on September 12, 2008, while the implementation deadline of the new art.4(a) of the EAW Framework Decision was March 28, 2011, with the possibility of a unilateral extension to January 1, 2014 of which Italy had availed itself; hence, the applicable EU provision was that of the old EAW Framework Decision, which allows for the kind of condition that Spanish authorities would have to impose under Spanish constitutional law.

The Court of Justice rejects this view, holding that the fact that Italy had decided to defer the implementation deadline until January 1, 2014 does not make the present request for a preliminary ruling inadmissible

“It is apparent from the order for reference that, in order to interpret the fundamental rights recognised under the Spanish Constitution in accordance with the international treaties ratified by the Kingdom of Spain, the national court wishes to take into consideration the relevant provisions of EU law to determine the substantive content of the right to a fair trial guaranteed by Article 7(2) of that constitution.”

Evidently, if a constitutional court in interpreting the autonomous meaning of a national constitutional provision wishes to take EU law into account, the Court of Justice will provide it with the requested information as to the meaning of the relevant EU law, also if this is merely for the purpose of determining the autonomous meaning of national constitutional law. This is in line with earlier admissibility case law, but this time explicitly framed with regard to deciding national constitutional questions autonomously. The particular framing of the court’s judgment in relation to the Spanish Constitutional Court’s task is picked up subsequently by the latter court in its follow-up judgment, as I argue below.

Are there uncodified possibilities of protecting fundamental rights?

The first question submitted was whether art.4.a.(1) of the Framework Decision allows the executing judicial authorities to make the execution of a European arrest warrant conditional upon the conviction rendered in absentia being open to review in the issuing Member State, in order to guarantee the rights of defence of the person surrendered.

Essentially, this question concerns the exhaustiveness of the list of cases in which the national court must exercise the arrest warrant in the absence of the right of retrial in the requesting state (art.4.a.(1) under (a) and (b)). From the judgment of the Spanish Constitutional Court by which this question was referred—the first reference in its history—it appears that the referring court did not wish to challenge the exhaustiveness of the list of cases of actual non-surrender as such, but only the exceptional situation of conditioning the surrender under circumstances in which the application would lead to an infringement of fundamental rights protected under primary EU law, as the Framework Decision itself provides that it:

“shall not have the effect of modifying the obligation to respect fundamental rights and fundamental legal principles as enshrined in Article 6 of the Treaty, including the right of defence of persons subject to criminal proceedings, and any obligations incumbent upon judicial authorities in this respect shall remain unaffected” (Framework Decision 2009/299 art.1(2).)


13 Melloni (C-399/11) [2013] 2 MLR 43 at [32].
So, in reality, the question posed was whether the necessity of protecting fundamental rights could be considered as a general condition for the application of art.4(a)(1) of the Framework Decision. This is not an odd question. After all, the Framework Decision itself claims that it does not prevent the application of fundamental rights, which are, as the Spanish Constitutional Court emphasises, primary rules to which secondary law (the Framework Decision) is subject, if an application of secondary EU law is subject to its being in conformity with fundamental rights. Thus viewed, pieces of legislation of exclusive harmonisation are also always subject to an unwritten exception if they would lead under a particular set of circumstances to an infringement of fundamental rights. In other words, the Spanish Constitutional Court posed a question like that addressed in NO: does mutual recognition allow for fundamental rights exceptions not explicitly provided for in secondary legislation? This is a controversial question in EU law since, as is commonly held, it potentially undermines the notion of mutual recognition and restricts the intuition of the framers of the Maastricht Treaty.

The Court of Justice sidesteps the issue by rephrasing the question, leaving out entirely the referring court’s explicit reference to the fundamental rights conditionality of secondary law and its application, saying that: “The Tribunal Constitutional asks, in essence, whether Article 4a(1) of Framework Decision 2002/584 must be interpreted as precluding the execution judicial authorities, in the circumstances specified in that provision, from making the execution of a European arrest warrant issued for the purposes of executing a sentence conditional upon the conviction rendered in absentia being open to review in the issuing Member State.”

The court’s answer relies heavily on Baha, in which the court did not want to know about fundamental rights conditionality.23 In Melloni, it reiterates that the EU law objective is that of replacing a multilateral system of extradition with a simplified and more effective system based on a high degree of confidence that should exist between Member States. The Framework Decision consequently only allows refusal of the execution of a warrant in cases of mandatory non-execution explicitly provided for. The executing judicial authority may make the execution of a European arrest warrant subject solely to the conditions set out in the Framework Decision. Relying itself on the wording of the optional ground for non-execution of a European Arrest Warrant provided in art.4(a)(1), the court concludes that the provision precludes “making the surrender of a person convicted in absentia conditional upon the conviction being open to review in the issuing Member State.”

The court seizes further confirmation of this “literal interpretation” in the specific objective of the new art.4(a), which is to restrict the opportunities for refusing to execute an arrest warrant and to harmonise the grounds for non-recognition of judgments, allowing “the executing authority to execute the decision despite the absence of the person at the trial, while fully respecting the person’s right of defence”. Surrender can hence not be made subject to a refusal in the issuing Member State, if the person was aware of the trial while absent or has given a mandate to the legal counsel that defended him or her during that trial.24 Although the court’s rephrasing of the question polished it away, the issue of fundamental rights could not be totally avoided either. The court remains away, however, the possibility of secondary law being applied in conformity with fundamental rights beyond the text of the Framework Decision, by holding—with reference to the Opinion of the Advocate General—that the EU legislature’s provisions for:

1174 European Current Law Issue 10 2013

"an exhaustive list of exceptions of the circumstances in which the execution of a European arrest warrant issued in order to enforce a decision rendered in absentia must be regarded as not infringing the rights of the defence, is incompatible with any extension of the possibility for the executing judicial authority to make that execution conditional on the conviction being open to review in order to guarantee the rights of defence of the person concerned."

The Advocate General in the relevant sections of his Opinion had established the intention of the legislature as apparent from the text of the Framework Decision. In other words, if the EU legislature provides an exhaustive list of cases in which it deems a fundamental right to be duly observed, this is the end of the matter and there can be no further appeal to fundamental rights whatsoever.

Finally, the court arrives at the area of the Spanish Constitutional Court’s question, i.e. the obligation to respect fundamental rights as enshrined in art.5 ECHR to which an application of the European Arrest Warrant Framework Decision is subject, and hence might, in appropriate cases affecting the core of a fundamental right, lead to a fundamental rights conditionality of surrender, also in cases covered by art.4(a)(1) of the Framework Decision. The court rejects this view, holding that, "it should be noted that that argument, in reality, raises the question of the compatibility of Article 4a of Framework Decision 2002/584 with the fundamental rights protected in the legal order of the European Union."

The court’s conclusion on the first question is that art.4(a)(1) precludes the executing judicial authorities, in the circumstances specified in that provision, from making the execution of a European arrest warrant issued for the purposes of executing a sentence conditional upon the conviction rendered in absentia being open to review in the issuing Member State.25 Is the Framework Decision contrary to the ECHR or the Charter?

The second question of the Spanish Constitutional Court concerned the compatibility of art.4a(1) under (a) and (b) with the right to a fair trial and the rights of defence under the Charter. The Court of Justice reiterates, with reference to its judgment in the Trade agency case,26 that although the right of the accused to appear in person at his or her trial is an essential component of the right to a fair trial, that right is not absolute. It continues: 

"The accused may waive that right of his own free will, either expressly or tacitly, provided that the waiver is established in an unequivocal manner, is attended by minimum safeguards commensurate to its importance and does not run counter to any important public interest. In particular, violation of the right to a fair trial has not been established, even where the accused did not appear in person, if he was informed of the date and place of the trial or was defended by a legal counsellor to whom he had given a mandate to do so."27

1175 European Current Law Issue 10 2014

22 "The Advocate General has further clarified in his Opinion that "it should be noted, in reality, that the question of the compatibility of Article 4a of Framework Decision 2002/584 with the fundamental rights protected in the legal order of the Union", which is that the conclusion is that art.4(a)(1) precludes the executing judicial authorities, in the circumstances specified in that provision, from making the execution of a European arrest warrant issued for the purposes of executing a sentence conditional upon the conviction rendered in absentia being open to review in the issuing Member State, which the Advocate General in his Opinion had established the intention of the legislature as apparent from the text of the Framework Decision. In other words, if the EU legislature provides an exhaustive list of cases in which it deems a fundamental right to be duly observed, this is the end of the matter and there can be no further appeal to fundamental rights whatsoever.

Finally, the court arrives at the area of the Spanish Constitutional Court’s question, i.e. the obligation to respect fundamental rights as enshrined in art.5 ECHR to which an application of the European Arrest Warrant Framework Decision is subject, and hence might, in appropriate cases affecting the core of a fundamental right, lead to a fundamental rights conditionality of surrender, also in cases covered by art.4(a)(1) of the Framework Decision. The court rejects this view, holding that, "it should be noted that that argument, in reality, raises the question of the compatibility of Article 4a of Framework Decision 2002/584 with the fundamental rights protected in the legal order of the European Union."

The court’s conclusion on the first question is that art.4(a)(1) precludes the executing judicial authorities, in the circumstances specified in that provision, from making the execution of a European arrest warrant issued for the purposes of executing a sentence conditional upon the conviction rendered in absentia being open to review in the issuing Member State. Is the Framework Decision contrary to the ECHR or the Charter?

The second question of the Spanish Constitutional Court concerned the compatibility of art.4a(1) under (a) and (b) with the right to a fair trial and the rights of defence under the Charter. The Court of Justice reiterates, with reference to its judgment in the Trade agency case, that although the right of the accused to appear in person at his or her trial is an essential component of the right to a fair trial, that right is not absolute. It continues:

"The accused may waive that right of his own free will, either expressly or tacitly, provided that the waiver is established in an unequivocal manner, is attended by minimum safeguards commensurate to its importance and does not run counter to any important public interest. In particular, violation of the right to a fair trial has not been established, even where the accused did not appear in person, if he was informed of the date and place of the trial or was defended by a legal counsellor to whom he had given a mandate to do so."
This is, the court states, in keeping with ECtHR case law, and the court confirms the stated objective of the pending Framework Decision "to enhance the procedural rights of persons subject to criminal proceedings whilst improving mutual recognition of judicial decisions between Member States". On this basis, the court concludes:

"Article 4(a)(4) and (b) of Framework Decision 2002/584 lays down the circumstances in which the person concerned must be deemed to have waived, voluntarily and unambiguously, his right to be present at his trial, with the result that the execution of a European arrest warrant issued for the purposes of executing a sentence of a person convicted in absentia cannot be made subject to the condition that that person may claim the benefit of a retrial at which he is present in the issuing Member State."23

The court then immediately concludes from this that art.4(a)(1) "does not disregard" the right to an effective remedy and the rights of defence under arts 47 and 48(2) of the Charter and is therefore compatible with these provisions.24

Apparently, the court derives the element of a "voluntary and unambiguous" waiver by the convicted person from the case law of the ECtHR, since it is not in the text of art.4(a)(1) of the Framework Decision (which speaks in various places only about "unequivocally" waiving one's right to be present, which does not necessarily imply "voluntarily" waiving that right).

Does Article 53 of the Charter allow Spain's higher level of protection to apply?

Whereas the referring Constitutional Court presented three interpretations of art.53 of the Charter,25 the Court of Justice cuts the judicial dialogue short and dismisses out of hand any interpretation which would allow a Member State to apply the standard of protection of fundamental rights guaranteed by its constitution when that standard is higher than that deriving from the Charter and, where necessary, to give it priority over the application of provisions of EU law. According to the court, any such reading aims to subject surrender "to conditions intended to avoid an interpretation which restricts or adversely affects fundamental rights recognised by its constitution, even though the application of such conditions is not allowed under Article 4(a)(1) of Framework Decision 2002/584".26 This the court cannot accept:

"That interpretation of Article 53 of the Charter would undermine the principle of the primacy of EU law inasmuch as it would allow a Member State to disapply EU legal rules which are fully in compliance with the Charter where they infringe the fundamental rights guaranteed by that State's constitution. It is settled case-law that, by virtue of the principle of primacy of EU law, which is an essential feature of the EU legal order (see Opinion 1/92 [1993] ECR I-6879, paragraph 21, and Opinion 1/89 [2011] ECR I-1137, paragraph 65), rules of national law, even of a constitutional order, cannot be allowed to undermine the effectiveness of EU law on the territory of that State (see, to that effect, inter alia, Case C-11/70 Internationale Handelsgesellschaft [1970] ECR 1125, paragraph 3, and Case C-409/06 Winzer [2010] ECR I-8015, paragraph 61). It is true that Article 53 of the Charter confirms that, where an EU legal act calls for national implementing measures, national authorities and courts remain free to apply national standards of protection of fundamental rights, provided that the level of protection provided for by the Charter, as interpreted by the Court, and the primacy, unity and effectiveness of EU law are not thereby compromised."27

In support of this position, the court points out that a Member State is not allowed to refuse to execute a European arrest warrant when the person concerned is in one of the situations contemplated in art.4(a)(1) of the Framework Decision.28 This provision was intended to solve the difficulties in the area of recognition of verdicts rendered in absentia, and effects,

"a harmonisation of the conditions of execution of a European arrest warrant in the event of a conviction rendered in absentia, which reflects the consensus reached by all the Member States regarding the scope to be given under EU law to the procedural rights enjoyed by persons convicted in absentia who are the subject of a European arrest warrant."29

The court then refers to the considerations of unity and effectiveness:

"Consequently, allowing a Member State to avail itself of Article 53 of the Charter to make the surrender of a person convicted in absentia conditional upon the conviction being open to review in the issuing Member State, a possibility not provided for under Framework Decision 2009/299, in order to avoid an adverse effect on the right to a fair trial and the rights of the defence guaranteed by the constitution of the executing Member State, by casting doubt on the uniformity of the standard of protection of fundamental rights as defined in that framework decision, would undermine the principles of mutual trust and recognition which that decision purports to uphold and would, therefore, compromise the efficacy of that framework decision."30

The case at the Tribunal Constitutional

On February 13, 2014, the Tribunal Constitutional handed down its follow-up judgment in Melloni, which was published on March 11.31 In the third paragraph of the grounds of its judgment, the Tribunal notes that the answers of the Court of Justice are "very useful" (de gran utilidad), but nonetheless need to be supplemented with the doctrine laid down in the Tribunal's judgment in the Constitutional Treaty case of 2004.32 It reaffirms that the transfer of powers under the Spanish Constitution is subject to substantive limits, namely "respect of the sovereignty of the State, of our fundamental constitutional structures and of the system of fundamental principles and values consecrated in our Constitution, in which fundamental rights acquire their proper substance".33 It then rephrases, with reference to the 2004 judgment, that applying the primacy of EU law is based on the presupposition of respect for fundamental national constitutional structures, which includes fundamental rights.34 It recalls that it is not the Constitution but the
Treaties that are the framework of validity for Union legislation, "although the Constitution requires that the legislation accepted as the result of the transfer be compatible with its basic values and principles", Moreover, of particular importance in the context of art.53 of the Charter, it repeats that, notwithstanding all this, the Tribunal has held that,

"in the unlikely case in which, in the ulterior development of European Union law, this law would prove to be irreconcilable with the Spanish Constitution, while the hypothetical infringement of European law (of primary European law) is not remedied by the ordinary channels provided [by that law], ultimately, the controversy of the sovereignty of the Spanish people and the supremacy of the Constitution which it has given itself could lead this Court to approach the problems which in such a case would arise, through the corresponding constitutional procedures, problems which under current circumstances are considered inadmissible." 25

Next, the Tribunal recapitulates its doctrine on "indirect" infringements of the right to a fair trial under art.24 of the Spanish Constitution, as developed in its case law, but emphasises the importance of international human rights treaties, with which the constitutional value system coincides. The constitutional standard by which the order to surrender Mr. Melloni needs to be judged includes, therefore, the human rights treaties to which Spain is a party, among which are the ECHR and Charter of Fundamental Rights as interpreted by the competent organs established by the relevant treaties, and these interpretations in turn are,

"essential elements to interpret the absolute content of the right recognized in Article 24(2) of the Spanish Constitution, the disregard of which would constitute an indirect infringement of the fundamental right by the Spanish authorities". 26

After examining the case law of the ECHR (including more case law than the Court of Justice mentions in its judgment) and that of Court of Justice, the Tribunal concludes that these interpretations "coincide to a large extent" and can therefore provide it with the interpretative criteria to decide the case.

"Hence, we must now affirm, reversing the doctrine laid down in STC 91/2000, that a conviction in absentia does not involve an infringement of the absolute contents of the fundamental right to a fair trial, even if there is no remedy for the absent defendant, when this absence has been voluntarily and unambiguously decided by a defendant who was duly summoned, and has been effectively defended by an appointed Lawyer (Article 24.2 of the Spanish Constitution)." 27

On this basis, the Tribunal rejects the appeal, considering that the Audiencia Nacional had established on the basis of an examination of the documents that legal counsel appointed by Melloni had not stopped representing him, while voluntarily waiving his right to be present at the hearings at all instances; hence, it had decided to surrender Melloni without infringing the requirements derived from the absolute content of this fundamental right under the Spanish Constitution. This judgment of the Tribunal was accompanied by three concurring opinions, to which reference is made only briefly in the comments below.

Piller. With regard to executive acts, one might expect stronger judicial protection and closer judicial scrutiny than in the case of the products of legislatures with the direct democratic legitimacy of parliaments. In fact, the gradual extension of judicial protection in the course of the 20th century across Europe has generally been considered to be the natural consequence of the increased dominance of the executive, but what applies to national legal order across Europe may not apply to the European Union. Melloni, at least, does not seem to be inspired by the concerns of critically counterbalancing legislation that is made by an executive assembly only. Quite to the contrary: in Melloni, even executive-made EU law that is non-directly effective is, as I argue presently, granted primacy that had so far only been given to directly effective EU law.

**Primacy**

Primacy of non-directly effective EU law—setting aside primary EU law?

From Sko Gelb & Løss onwards, the doctrine of direct effect has been a judicial invention. There is only one exception to this: the text of the EU Treaty from Maastricht until Lisbon originally provided that framework decisions “shall not entail direct effect” (art.54(3) EU Treaty (2006)). However, after Lisbon, this qualification still applies to the IAW Framework Decision (including the amending Framework Decision 2009/299) under the primary law of Protocol 36 to the Lisbon Treaty.46 Holding that non-directly effective EU law entails the duty to set aside national law is something of a revolution in EU law, and may amount to setting aside primary law. This deserves closer scrutiny.

There has been some controversy in the literature over how direct effect and primacy relate to each other. Apart from quasi-metaphysical views of EU law as inherently superior to national law, there is a narrower and a broader notion of primacy. The narrower notion, in line with Cistas v ENEL and the language of Sommerscheidt, is that primacy of EU law is essentially the duty to set aside national law in cases of conflict with EU law. The broader notion considers primacy not only as the duty to apply national law but also to the duty to apply national law consistently with EU law.47 The narrower notion of primacy would seem to be conditional on the relevant EU law being directly effective, whereas the broader notion might also apply to EU law that is not directly effective. From the perspective of the broader notion, saying that primacy applies also to non-directly effective EU law is no big deal as long as there is no duty to set it aside and out it from the national legal order. Also in this view, a real novelty, if not a revolution, would reside in Melloni. Was it to entail that national law is to be set aside in favour of non-directly effective EU law? Is it therefore important to establish whether that is really the case.

On its surface, Melloni does not seem to impose such a duty. The court does not refer to Sommerscheidt and it does not use its language of “setting aside” conflicting national law. Moreover, there is a curious treatment of “primacy, unity and effectiveness” when it comes to applying this trinitarian formula to the case at hand. In fact, it seems that the court applies only two of the three essential characters of EU law: unity and effectiveness. At [63], the court explains that a reading of art.53 of the Charter that would allow the Spanish higher level of protection would “cast doubts on the uniformity and promote the efficacy of” the Framework Decision. It does not say that it would not impose the primacy of EU law. And this might confirm the strict formulation by various authors that art.53 of the Charter is not a clause about primacy in the first instance. In what follows, I briefly go into this view, which Melloni subtitles, before arguing that primacy is indeed

46 Among many others, by Lord Woolf, "Judicial Review—the Tension Between the Executive and the Judiciary" (1998) 134 LQR 279.
47 Protocol [A.36] on transitional provisions art.17: “the legal effects of the acts of the institutions, bodies, offices and agencies of the Union shall be binding on the Member States and the institutions, bodies, offices and agencies of the Union from the date of the Treaty of Lisbon”.
49 Also known as “indirect effect”, both a regards directives or other non-directly effective EU law, as e.g. in Grimaud Homeowners against Papineau (C-185/03) [2005] 1 C.L.R.526.[1] [2005] 1 C.L.R. 526, see e.g. R. Levenson and T. Curtin, "If Birds and Bridges, the Role of Primacy in Avoiding Bures of EU Law" (2006) 31 E.R. Rev. 207.

---

FOCUS

at state and that, moreover, Melloni must be understood as also entailing the obligation to set aside national constitutional standards.

**Article 53 of the Charter in a potential restriction of primacy**

Various authors have hold that the drafters of art.53 of the Charter did not have the intention to qualify primacy; nor does the text need to be read in that way.48 For example, Bruno de Witte says, in this context, that if:

> “the Charter’s authors had wanted to change such a prominent feature of Community law, which the Court of Justice has constantly affirmed over the years, they would have formulated it in clear terms; but even if they had wished to do so, the authors of the Charter did not have the legal authority to modify primary EU law.”49

Both of these arguments are not, in themselves, persuasive.

The Court of Justice may have reaffirmed and reinforced the absolute primacy of EU law over the years, but it is equally clear that this has never been accepted in the same terms in most Member States. Our cannot assume more readily that the Member State representatives either at the Convention on the Future of Europe or at the time of the Lisbon Treaty intended to enter into an obligation that infringes their national constitutional obligations, rather than that they would have wanted to qualify the absolute doctrine of primacy. Given the formally binding nature of the original Charter text, the members of the First Convention, notwithstanding the terms of their mandate, could have written into it whatever they liked. Moreover, by the time of the Lisbon Treaty, the parties did not want to lay down a doctrine of absolute primacy in a legally binding text,50 and it is hard to deny that they had the power to change that as they liked, had they wanted to do so. The history of the making of art.53 clearly shows concern for its potential effect on primacy, which some of the drafters found a good thing, others not.51 After the adoption of the Charter, the Commission found it necessary to issue a statement that the Charter would not require constitutional amendments, apparently considering art.53 as a provision that prevented collisions between national constitutions and the Charter, but without specifying how.52 In short, the state of affairs as to the interpretation of art.53 was entirely open and left ambiguous, perhaps consciously. From the EU perspective, one was perhaps able to say primacy was not given up; from the national constitutional perspective, the prevailing national standard of protection was not given up either.

Another argument used for the view that primacy is not what art.53 is about, was that the text does not allow for a restriction of primacy from the national point of view as it only says that “nothing in this Charter can restrict national constitutional rights protection, thus allowing for such a restriction

---

on the basis of either EU law, both primary and secondary, as interpreted by the court. This may well have some disadvantages that it overlooks the existence of art.53 and overrules the essence of the Charter; at least since the Charter acquired primary status, law must be correct secondary law by virtue of being superior to it. Secondary law, notwithstanding its primary vis-a-vis national law, must surely be subject to the superior primary law of the Charter. In other words, owing to its superior rank under EU law, the Charter can necessarily qualify secondary law’s primacy, just as it can qualify its applicability and validity.

The fact is, of course, that Melloni sweeps aside any doubts that art.53 of the Charter might raise about primacy, whether they were intended or not. As in Winner Wetten, the court switches into a different mode when it thinks that primacy might be interfered with. Although in [63] of Melloni, the court seems only to have an eye to "unity" ("uniformity") and "effectiveness" ("efficacy"), it frames the totality of the argument in terms of primacy. Its answer to the question on art.53 immediately presents the conclusion that the interpretation suggested by the Spanish Constitutional Court "would undermine the principle of the primacy of EU law inasmuch as it would allow a Member State to disobey EU legal rules which are fully in compliance with the Charter where they infringe the fundamental rights guaranteed by that State’s constitution"; it next states that it is "by virtue of the principle of primacy of EU law" that rules of national law, even of a constitutional order, cannot be allowed to undermine the effectiveness of (non-directive) EU law.

Moreover, Melloni not only holds to an absolute concept of primacy, it indeed entails the duty to set aside national constitutional law. Although the court does not refer to Simmenohl, it does refer to Winner Wetten, which, if anything, only reinforces the Sinnencoll language (arguably quite unnecessarily). Instead of more irenic approaches such as those of Omega12 and Siga Wittgenstein,13 the ruling in Winner Wetten introduces the polemic term of "casting effect", which the court found to be entailed by both primacy and the duty of Union loyalty of Member States (in Winner Wetten, more specifically, the German Federal Constitutional Court). Winner Wetten may well be read as an act of open antagonism,14 and Melloni may well be considered its continuation in a more overtly constitutional context. Winner Wetten concerned the prospective overruling of Länder legislation on the basis of the general principle of legal certainty. Melloni concerns the core of a constitutional fundamental right in a particular Member State. In Winner Wetten, primacy is explicitly the primacy of directly effective secondary EU law, which has an "casting effect" regarding national law, even if that "casting" creates a legal void and legal uncertainty. Melloni is about non-directive effective EU law. Thus, the reference to Winner Wetten [and International Handelsgeellschaft] implies that this type of EU law also has the effect of setting aside national law, in the sense that the Spanish norm concerning the rights of the defence of a person convicted in absentia must be disappplied, even if the court did not need to say this in so many words in Melloni.

Fields of application and "primacy, unity and effectiveness" At first glance similar to art.53 ECHR and art.2 of the International Covenant on Civil and Political Rights, which stipulate that protection afforded by those international instruments cannot do away with the protection of fundamental rights provided at national level, art.53 of the Charter is dissimilar as regards the addition of the expression "in their respective fields of application":

\[12\] Winner Wetten GmbH & Co. Verwertungsdrucke mbH (C-409/06) [2010] ECR-I-8015;
\[13\] Meloni [C-399/11] [2013] 2 CMLR 43 at [56];
\[14\] Meloni [C-399/11] [2013] 2 CMLR 43 at [56];
\[15\] Meloni [C-399/11] [2013] 2 CMLR 43 at [56].
manifestly contrary to public policy in the Member State in which recognition is sought.43 The Court of Justice has held that this is so if the proceedings or the judgment is manifestly contrary to public policy in the legal order of the Member State in which enforcement is sought or of a right recognised as being fundamental within that legal order.44 This clearly sets a limit to the mutual recognition of judgments in civil matters.66 Similarly, the text of the Framework Decision Regulation itself. It may seem paradoxical but, in the private law context, the "public policy" exception is stronger than the appeal to the core of a constitutional right in public law. True, the Spanish Constitutional Court’s case law on criminal law verdicts in absentia to apply to judgments in default in private law, this would be without any problem whatsoever. Private law "public policy" is paradoxically a stronger ground for exceptions to mutual recognition than public law "public policy."

The "primacy, unity and effectiveness" exception to fundamental rights applies only when a piece of EU law is at stake that applies or should apply uniformly throughout the European Union. This leads us to reflect on the threshold expression "primacy, unity and effectiveness", which is canonical in Melloni and has rarely been used before,45 and has the potential to become a magic formula.66 The novelty of the formula here resides in the element of "unity" in combination with primacy and effectiveness—a renovation of the more classic expressions "uniformity" or "uniform effect."

Semantically, the difference might be significant, since "the unity of EU law" is not immediately at stake if there is no "uniformity."

The best example of this may be in the field of public interest exceptions to the free movement provisions, as found in Orrego, where the court held that uniformity in the protection of fundamental rights that restrict free movement is not required.46 This is not, however, cause for optimism about the constitutional diversity which the court allows in the Union, by speaking of "unity" rather than "uniformity"; one swallow does not make a summer.

First, the court itself, within two paragraphs, switches back from the "unity of EU law at [60] to the "uniformity" of EU law at [63]—which is the language of 1970 (Internationale Handelsgeellschaft). Secondly, in contrast to that of the economic free movement rights, the context of second-legal legislation is different. The relevant provisions of the EAW Framework Decision are interpreted in Melloni require "uniformity", rather than "unity"; as we saw, the possibility of allowing fundamental rights exceptions in the application of the relevant provisions is rejected. The court’s explanation is that the Framework Decision aims to establish uniformity, and that the court takes at face value: no cases covered by the letter of art.4(1) of the Framework Decision could ever be an infringement of a fundamental right. This unctural acceptance of

43 Regulation 44/2001 on jurisdiction and the recognition and enforcement of judgments in civil and commercial matters [2001] O.J. L12/1, art.24 (under (1): "A judgment shall not be recognised: 1. if such recognition is manifestly contrary to public policy in the Member State in which recognition is sought.");
44 Trade Agency (C-169/10) September 6, 2012 at [51].
45 A digital full text search in English in the publicly accessible case law of the ECJ (as per "primary unity and effectiveness") leads to the result that it was not used in this particular combination prior to Melloni, and that it has seven times been used in ESE. (C-209/13 March 2014, at [22]; the expression "unity and effectiveness" (of Community law or Union law) was used in Commission v. (the Austrian law (C-81/05) [2007] E.C.R. I-3359; (1988) 3 C.M.L.R. at [11]; "Mannix Building Society (C-335/96) [1997] E.C.R. I-3443 (10) the Member States concern (see above) 22 March 1995) and, in art. 5 (a): "the unity of the Union law" was used in Año Noel (C-321/00) 2004/6 C.M.L.R. at [19] and in the direct and specific context of Commission v. (the Greek law (C-57/01) [2004] September 19, 2004 at [5]).
46 On the phenomenon in the Smécker case (see n. 5); and "Case—Law—Law—Extra Jurisdiction such as an Illustration of how the Court of Justice of the European Union Constructs its Legal Argument" (2013) 9 European Constitutional Law Review 205.
47 This is analogous to the distinction made by A.G. Kokott in her Opinion in Struck (C-557/12) [2014] 15 September 2014 at [76], holding that the "unity and consistency of EU law" is a general and broad review under art.276(1) and (3) TFEU; "It must be found that the law of the Member State in issue is not sufficiently a law of the Community for there to exist a conflict between the legal order of the Community Union law."
49 Melloni (C-399/11) [2013] 2 C.M.L.R. at [20]; fundamental rights should be observed. Melloni provided guarantees to which the Court gave two should "unjust if subject to the unqualified legal decision"
50 Constitutional identity and constitutional dialogue
51 The EU Treaty imposes the duty to respect the national identities of Member States inherent in their constitutional and political structures (art.4(1) TFEU). Legally, this expresses the plurality of political orders and of their underlying core values: unity in diversity. The notion of respect for constitutional identity regards as essential constitutional values that are common to Member States, but also those which are particular to one or several Member States only. It is not only the constitutional traditions common to the Member States that are protected, but also plurality of values. The very fact of this pluralism confirms that core values and their meanings are contestable. To give an example: whether the form of a state is a constitutional monarchy or a republic may be a question touching on a Member State's identity. Whether the republican form of the state entails a prohibition of noble names and titles is not necessarily identical in all republics, nor generally accepted within a particular republic. And yet, under EU law, this issue is rightly considered to pertain to the constitutional identity of the state.
52 The Melloni case highlights an element of institutional differentiation that is immediately related to the inherent contestability of substantive values that inheres in plurality of values. The Spanish Constitutional Court’s interpretation of the rights of defence with regard to trials in absentia, as involving human dignity in cases of so-called indirect infringements, was a judicial interpretation that was not shared by the Spanish Government when it adopted the relevant provision of the Framework Decision in the Council, nor was it shared by all other Spanish courts. In decision-making on the EAW, the Spanish Government pursued a different interest from that which was served by the Constitutional Court; it is the difference between waging the fight against terror and crime versus the protection of individual rights in that fight. The salience of this is that when the Spanish Government voted in favour of the 2809 Framework Decision, it voted in violation of the Spanish Constitution an understanding in standing case law of the Spanish Constitutional Court. One may say that it attempted to amend Spanish constitutional law via the Brussels route.
53 The Court of Justice sanctioned that approach in Melloni. In terms of rights protection, one can criticize this as including too much to the crime fighters’ perspective—though Stefanos Melloni was neither a terrorist nor involved in organised crime—and too little to the rights of citizens, an inclination that is evident in much of the Court of Justice’s EAW case law. From the institutional perspective, it becomes evident that the Court of Justice arbitrated, willy-nilly, on a constitutional disagreement between the Spanish Government and the Spanish Constitutional Court in favour of the former.
54 This touches on another point that was discussed in the Opinion of the Advocate General in Melloni. It concerned a matter that the court left aside in its judgment, the question whether the rights of defence under the Spanish Constitution are part of the constitutional identity. The
FOCUS

Advocate General comes to the conclusion that they are not (at [137]-[145] of the Opinion). To that effect, he states:

"Apart from the fact that the determination of what constitutes the 'essence' of the right to defend oneself remains contested in the Tribunal Constitutional, the Kingdom of Spain can be said to have taken, in the hearing, relying inter alia on the exceptions in Spanish law to the holding of a retrial following a judgment rendered in absentia, that the participation of the defendant at his trial is not covered by the concept of the national identity of the Kingdom of Spain." 16

This is a rather uncouning approach on both counts.

The fact that the core of a right is contested clearly does not imply that there is no such core, as explained above. A superficial glance at the relevant Spanish constitutional case law places it beyond doubt that the relevant constitutional right at stake did not concern the finer points of the right or its outer margins, but its very core only. In the terminology of the Spanish Constitutional Court, its violation affects "human dignity"—a legal concept that was reason for the Court of Justice in Møgensen to accept the differentiated effect of the free movement of services in different Member States. The importance of the core right was not picked up by the Court of Justice: a symptom of Melloni as a dialogue among the deaf?

Holding subsequently that it was "the Kingdom of Spain itself" that had stated that rights of the defence in a trial in absentia do not belong to the national identity of Spain is rather naive. It was the government speaking, not the Member State—the same government that had violated its Constitution (as it was then interpreted) when agreeing to the Framework Decision. The situation was similar to that faced by the Court of Justice in Land trouvé, 15 where the Czech Government had a fundamental—and outspoken—constitutional conflict with the Czech Constitutional Court. 17 One would expect the Court of Justice to be alert to such problems. Perhaps the court avoided getting into the quagmire of arbitrating between national constitutional institutions by avoiding the issue of constitutional identity altogether—but it had got itself into it already by total deference to the Council's (i.e., the Member State government's) intentions with the 2009 Framework Decision. Indeed, the Council in its (in case exclusive) legislative role set an important task to respect national constitutional identities. This could possibly legitimate deference by the court towards the legislature. However, when the Council cannot do so because one of its members chooses to act in conflict with a constitutional obligation, resulting in a failure for the European Union to respect its obligation under art.4(2) TFEU, such deference is problematic:

A different way to look at the court's silence on the issue of constitutional identity is that this may still be an option out of future constitutional conflict in the context of art.53 of the Charter. 18 This would lead to a quite different understanding of primary: although EU primary is the "normal" case of a conflict between a national and an EU fundamental rights standard, this would suffer an exceptional case national standards pertaining to constitutional identity of a Member State; and this escape route of constitutional identity is left open in Melloni. 19

Thus, the Charter only applies to the uncontroversial case of Member State discretion, but the higher national standard can only apply when national constitutional identity is at stake. In this last case, it is not art.53 of the

17 Nate Land trovare could give time-consuming interpretations ([C-399/9]) [2011] C-MLR 5579).
19 The question of the meaning of art.53 of the Charter remains trivial.

FOCUS

Charter but art.4(2) TFEU that is the basis of this prevalence of the national standard. The meaning of art.53 of the Charter remains trivial. Another objection is that national constitutional courts do clearly not take the approach of distinguishing between fundamental rights that belong to the constitutional identity of the Member State and fundamental rights that do not belong to that identity. In principle, fundamental rights are by definition fundamental and by their nature pertain to that identity. Indeed, national constitutional courts are able to distinguish, but that distinction turns on the crucial criterion of equivalence. This is famously codified in art.23 of the German Basic Law and also articulated by the Italian Constitutional Court in Fruglì, the language of which is echoed in the Spanish Constitutional Court in Melloni. And, clearly, there is no equivalence whatsoever between the Spanish constitutional case law as it stood before Melloni and the European Arrest Warrant as interpreted by the Court of Justice.

The judicial dialogue

The Court of Justice's Melloni judgment has been received critically in the literature, in particular as regards the quality of the court's reasoning, which has been characterised by one German scholar with epithets such as "a too global analysis", "astonishing", "again an inadequate approach of the problem", "ungrounded", "half-hearted" 10 On the quality of the judicial dialogue in the part of the Court of Justice, a Spanish scholar cautiously remarked that "the institutional empathy shown by the court is equivalent to that of a potato" 20 He remarked on "the striking absence" of a balanced assessment of the arguments put forward by the referring court, which is "a prerequisite of legal empathy": if there is any clear manifestation of deafness or the Asperger syndrome of the Court of Justice, it is this. 21 However, the reproach of a refusal to engage in a serious dialogue was made to the Spanish Constitutional Court too, notably in the concurring opinion of Judge Encarnación Rosa Trías in the follow-up judgment, in which she complains that the majority failed to engage in "an effective and not merely apparent judicial dialogue". 22

The series of judicial utterances in Melloni ended with the Spanish Constitutional Court generally lowering the standard of protection previously provided by art.24 of the Spanish Constitution, both in cases of surrender within the European Union and in cases of extradition to any other non-EU state. 23 The Constitutional Court found a basis for doing so in an important provision of the Spanish Constitution, which provides that:

"The norms concerning fundamental freedoms and liberties recognized by the Constitution shall be interpreted in conformity with the Universal Declaration of

11 Bajo el número 3 de la Administración de la Fuerza de Defensa (Foro 272) April 11, 1980 Corte Constitucional.
12 Gare, "Mussikumikretischer EU Grundrechtsstreit bei der Staatsanwaltschaft" ([2013] NEW 1279, 1279. "Das war nichts! Analyse ..., eines der unterschiedlichsten Probleme der internationalen Leitung..."
13 P. Moura Rodrigues, "Cime de Umrla Mulher: Acusada A La Sentencia Del Tribunal De Justicia (Brum 346)., 26 de Febrero de 2013, Stefano Melloni, C-399/11") (2013) Revista General de Derecho Europeo 30, 30: "La Corte Constitucional, mediante el Tj, similar objetivamente a la de trubadur." Maria Rodríguez, "Cime de Umrla Mulher: Acusada A La Sentencia Del Tribunal De Justicia (Brum 346)., 26 de Febrero de 2013, Stefano Melloni, C-399/11") (2013) Revista General de Derecho Europeo 30, 30: "A mi modo de ver, esta empatía [jurídica requiere u se compone de cuatro elementos: 1) infiltración aposita de las financiaciones aportadas a los organismos apoyados, 2) reconocimiento de la autoridad retenida, 3) el reconocimiento de la dependencia de la persona del [instituto], 3) fundamentalización de la disposición propia y no institucional, ni en sus posibilidades ni en la consecuencia, de los elementos en préstamo; 4) identificación inmediata de la preferencia auténtica de los primeras, a la alguna [sentencia] de la secuencia o del sistema de Asperger del Tj e Ítica."
This provision—article 10(2) of the Constitution—also takes on board EU law whenever that is relevant in the Spanish legal order. As the Court of Justice acknowledged in its consideration of the admissibility issue, it is precisely in the autonomous interpretation by the Spanish Constitutional Court of the meaning of the Spanish Constitution’s provisions relevant to the Mellon case that the Court of Justice’s interpretation of the Charter and the RAW Framework Decision comes in: this is to say, within the autonomous interpretation by the Constitutional Court of national constitutional law.

This setting can also explain why the Constitutional Court found it legitimate to “supplement” its interpretation of the Constitution with its remarks about the prevalence of the Spanish Constitution in cases where EU law would conflict with it. For all intents and purposes, this means that if EU law conflicts with the fundamental rights standard of the Spanish Constitution, and this conflict cannot be solved elsewhere, the latter will prevail. In sum, the interpretation of art.51 of the Charter by the Court of Justice in Mellon will not, if it really came to it, be followed by the Constitutional Court. That this is not a final and irrevocable interpretation of the Constitutional Court’s final judgment in Mellon is witnessed by the concurring opinion of Justice Encarnation Reina Trías, which criticises the majority precisely for making this interpretation at least possible if not the only one intended. For her, the dialogue would have been successful if the Constitutional Court had simply accepted and followed the line of reasoning of the Court of Justice, even though that would seem to be the one-way traffic of “obedience” rather than a plurilateral “dialogue.”

The degree of principal judicial disobedience compensates for the judicial obedience and compliance in lowering the protection provided by what allegedly was the core of a constitutional right. A more “dialectic” element in the Constitutional Court’s judgment inheres in its statement that “in the unlikely case” of a hypothetical infringement by EU law of the Spanish Constitution which “is not remedied by the ordinary channels provided [by EU law],” the Constitutional Court would have to respect the principle of popular sovereignty and its expression in the Spanish Constitution. This is another way of saying: “Court of Justice, be sensitive and responsive to the implosion of values of national constitutions!” Evidently, the Constitutional Court found that the Court of Justice’s judgment in Mellon reinforced the making of such a remark.

The particular dynamics of the “dialogue” in Mellon may, on the part of the Court of Justice, perhaps be understood in the absence of clear Straubing case law concerning mutual recognition in the context of trial in absentia that would have given support to the point of the earlier Spanish constitutional case law. Under such circumstances, the court was ready for a conflict with an embedded constitutional court like the Spanish, which is pretty much in competition with ordinary Spanish courts. That the Court of Justice is not likely to do the same with more powerful constitutional courts, like the Bundesverfassungsgericht, is shown by its case law on data protection, a legal field that the German Federal Constitutional Court has declared to concern its constitutional identity at an early stage in its data retention judgment.

Conclusion

The preamble to the EU Charter of Fundamental Rights states that the Union “places the individual at the heart of its activities.” That is not apparent from the Court of Justice’s judgment in Mellon. The court was evidently less concerned with protecting the fundamental rights of citizens granted by primary law than with safeguarding the intentions of the legislators, notably governments, when they made secondary legislation.

Its sheer concern for the primacy of secondary law, even though it was not directly effective, led it to a mode of judging which is more like that of making a statement than that of careful consideration of various arguments. As the court’s later judgment in Szyperski makes clear in an obiter dictum, citing Mellon as authority, the objective of fundamental rights protection is not the concern for the rights of the individual but, “the need to avoid a situation in which the level of protection of fundamental rights varies according to the national law involved in such a way as to undermine the unity, primacy and effectiveness of EU law.”

So the Preamble to the Charter was wrong: it is not rights themselves that are important, primacy is the real issue. Fifty years after Costa v ENEL, the court settles for absolute primacy as a greater concern than substantive rights. It is not the citizen and his rights that move the court; it is the primacy of EU law over national law, even non-directive effective EU secondary law over national constitutional law.

On the more specific legal context of art.53 of the Charter, we in the meantime have to wonder what Mellon has brought. Although it has been suggested that the main purpose of this provision was that “existing regimes should not be applied and interpreted ‘downwards’ by invoking the language of the Charter,” this is precisely what the interpretation of art.53 of the Charter in Mellon effected: the interpretation “downwards” of the rights of the defendant under the Spanish Constitution by the Spanish Constitutional Court, which considered itself forced to do so under the influence of the Court of Justice’s interpretation of the rights of defence under the Charter. For the moment, this has avoided overt constitutional conflict, but new material for an outbreak of such conflict is provided by the Court of Justice in Mellon itself.

Might there still be a way out? I agree with some commentators that the issue of constitutional identity provides one way out, although there are clear objections as to the manner in which this was (or rather: was not) handled in Mellon. Instead of the language of some 40 or 50 years ago, when EU law still needed to establish itself in the Member State legal orders, the court would at any rate have to revert to the different, more mature, subtle, balanced and nuanced ways of Omega and Som-Switzenbout—however, the chances of its doing so seem somehow reduced after Mellon.

In terms of the general constitutional situation in Europe, we may understand this state of affairs in terms of shifts within constitutional paradigms. The paradigm of rights prevailing in post-war Western European states, and in Middle and Eastern European Member States since the fall of the Berlin Wall, is in the context of European integration shaped by the paradigm that dominated the “long 19th century” of European constitutionalism, that of power allocation: the assertion of primacy is the main concern of the Court of Justice not only in the age of the early establishment of EU law (the 1960s and 1970s) but even in the 21st century. This may explain the strongly doctrinaire reflex in Mellon that fits into a line of more or less recent cases like Wimmer Witten, of the polemic tone contrasts with the more ironic, accommodating and conciliatory approach of Omega and Som-Switzenbout. It may also be an indication that the constitutional paradigm of the 21st century will unavoidably be that of neither rights nor powers in the exclusive terms it had in the 19th century, but that of relations between constitutional orders.

48 Szyperski (C-206/13) March 6, 2014 at [31]-[32].
49 Be Waste, “Article 53 in the EU Charter of Fundamental Rights (2014).” 2014. Similarly, Opinions of the Court of Justice (C-559/13) 2015 ECLI:EU:C:2015:543 at [189]. “The Charter thus cannot have the effect of requiring Member States to leave the level of protection of fundamental rights guaranteed by their national constitutions in cases where it falls outside the scope of European Union law. Article 53 of the Charter also expresses the idea that the adoption of the Charter should not serve as a pretext for a Member State to reduce the protection of fundamental rights in the field of ‘national law.’”