Search for supersymmetry in events with four or more leptons in $\sqrt{s} = 8$ TeV $pp$ collisions with the ATLAS detector


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I. INTRODUCTION

Supersymmetry (SUSY) [1–9] is a space-time symmetry that postulates the existence of new SUSY particles, or sparticles, with spin (S) differing by one half-unit with respect to their standard model (SM) partners. In supersymmetric extensions of the SM, each SM fermion (boson) is associated with a SUSY boson (fermion), having the same quantum numbers as its partner except for S. The scalar superpartners of the SM fermions are called sfermions (comprising the sleptons, ~l, the sneutrinos, ~ν, and the squarks, ~q), while the gluons have fermionic superpartners called gluinos (~g). The SUSY partners of the Higgs and electroweak (EW) gauge bosons, known as higgsinos, winos and the bino, mix to form the mass eigenstates known as charginos (~χ±, l = 1, 2) and neutralinos (~χ0 m, m = 1, …, 4).

In generic SUSY models with minimal particle content, the superpotential includes terms that violate conservation of lepton (L) and baryon (B) number [10,11]:

\[
\frac{1}{2} \lambda_{ijk} L_i L_j \bar{E}_k + \lambda'_{ijk} L_i Q_j \bar{D}_k + \frac{1}{2} \nu''_{ijk} U_i \bar{D}_j \bar{D}_k + \kappa_i L_i H_2,
\]

where \( L_i \) and \( Q_i \) indicate the lepton and quark SU(2)-doublet superfields, respectively, while \( \bar{E}_i, \bar{U}_i \) and \( \bar{D}_i \) are the corresponding singlet superfields. The indices \( i, j \) and \( k \) refer to quark and lepton generations. The Higgs SU(2)-doublet superfield \( H_2 \) is the Higgs field that couples to up-type quarks. The \( \lambda_{ijk}, \lambda'_{ijk} \) and \( \nu''_{ijk} \) parameters are new Yukawa couplings, while the \( \kappa_i \) parameters have dimensions of mass and vanish at the unification scale.

In the absence of a protective symmetry, \( L \)- and \( B \)-violating terms may allow for proton decay at a rate that is in conflict with the tight experimental constraints on the proton’s lifetime [12]. This difficulty can be avoided by imposing the conservation of \( R \)-parity [13–17], defined as \( P_R = (-1)^{3B-L+2S} \). However, experimental bounds on proton decay can also be evaded in \( R \)-parity-violating (RPV) scenarios, as long as the Lagrangian conserves either \( L \) or \( B \).

In \( R \)-parity-conserving (RPC) models, the lightest SUSY particle (LSP) is stable and leptons can originate from unstable weakly interacting sparticles decaying into the LSP. In RPV models, the LSP is unstable and decays to SM particles, including charged leptons and neutrinos when at least one of the \( \lambda_{ijk} \) parameters is nonzero. Therefore, both the RPC and RPV SUSY scenarios can result in signatures with large lepton multiplicities and substantial missing transverse momentum, which can be utilized to suppress SM background processes effectively. In this paper, it is assumed that the LSP is either the lightest neutralino (~χ01) or the neutral and weakly interacting superpartner of the graviton, the gravitino (~G).

A search for new physics is presented in final states with at least four isolated leptons, including electrons, muons and τ leptons (taus). Electrons and muons are collectively referred to as “light leptons,” which include those from lepton pair decays, while taus refer to hadronically...
decaying taus in the rest of this paper. Final states with two, three or at least four light leptons are considered, requiring at least two, one and zero taus, respectively. Events are further classified according to the presence or absence of a Z boson candidate. In final states with four light leptons the backgrounds with four prompt leptons (ZZ/ZZ\* and $t\bar{t} + Z$) dominate; these are estimated using Monte Carlo (MC) simulations. On the other hand, in final states with taus the main background arises from events where light-flavor jets are misidentified as taus, and these are estimated with a data-driven method.

The analysis uses 20.3 fb$^{-1}$ of proton-proton collision data recorded in 2012 with the ATLAS detector at the Large Hadron Collider (LHC) at a center-of-mass energy of $\sqrt{s} = 8$ TeV. Results are interpreted in terms of model-independent limits on the event yields from new physics processes leading to the given signature, as well as in a variety of specific SUSY scenarios. These scenarios include RPV and RPC simplified models, which describe the interactions of a minimal set of particles, as well as models with general gauge-mediated SUSY breaking (GGM) [18,19], which is a generalization of gauge-mediated SUSY breaking theories (GMSB) [20–25] where the parametrization does not depend on the details of the SUSY breaking mechanism.

This analysis updates and extends results presented previously by ATLAS [26]. Results from similar searches interpreted in RPV models have been reported by other experiments [27–33], while previous ATLAS searches requiring photons in the final state have constrained closely related GGM models with different neutralino compositions [34,35].

II. NEW PHYSICS SCENARIOS

Lepton-rich signatures are expected in a variety of new physics scenarios. The SUSY models used for the interpretation of results from this analysis are described briefly below.

A. RPV simplified models

In the RPV simplified models used in this analysis, a binolike $\tilde{\chi}_1^+$ is assumed to decay into two charged leptons and a neutrino via the $\lambda_{ijk}$ term in Eq. (1). The observed final-state signature is driven by this decay, but the cross section and, to a lesser extent, the signal acceptance depend on the sparticle production mechanism. Four event topologies are tested, resulting from different choices for the next-to-lightest SUSY particles (NLSPs): a chargino ($\tilde{\chi}_1^+$) NLSP; slepton NLSPs, referring to mass-degenerate $\tilde{\ell}$, $\tilde{\mu}$ and $\tilde{\tau}$ sleptons; sneutrino NLSPs, referring to mass-degenerate $\tilde{\nu}_e$, $\tilde{\nu}_\mu$ and $\tilde{\nu}_\tau$ sneutrinos; and a gluino NLSP, the latter being a benchmark for how the experimental reach may increase when strong production is introduced. In the slepton case, both the left-handed and right-handed sleptons (L-sleptons and R-sleptons, respectively) are considered, as the different production cross sections for the two cases substantially affect the analysis sensitivity. The assumed decays of each NLSP choice are described in Table I and illustrated in Fig. 1. All SUSY particles are generated on shell, and forced to decay at the primary vertex. The masses of the NLSP and LSP are varied; other sparticles are decoupled by assigning them a fixed mass of 4.5 TeV. Direct pair production of $\tilde{\chi}_1^0\tilde{\chi}_1^0$ is not considered, as the production cross section is found to be negligible in most cases.

The NLSP mass ranges explored are as follows: 500–1700 GeV for the gluino model, 200–1000 GeV for the

<p>| Table I. Sparticle decays in the SUSY RPV simplified models used in this analysis. The neutralino LSP is assumed to decay to two charged leptons and a neutrino. For the chargino model, the $W^\pm$ from the $\tilde{\chi}_1^0$ decay may be virtual. |
|---------------------------------|-----------------|</p>
<table>
<thead>
<tr>
<th>RPV model NLSP</th>
<th>Decay</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chargino</td>
<td>$\tilde{\chi}_1^+ \rightarrow W^{\pm(*)}\tilde{\chi}_1^0$</td>
</tr>
<tr>
<td>L-slepton</td>
<td>$\tilde{\ell}_L \rightarrow \ell\tilde{\chi}_1^0$</td>
</tr>
<tr>
<td>R-slepton</td>
<td>$\tilde{\ell}_R \rightarrow \ell\tilde{\chi}_1^0$</td>
</tr>
<tr>
<td>Sneutrino</td>
<td>$\tilde{\nu}_\ell \rightarrow \nu_e\tilde{\chi}_1^0$</td>
</tr>
<tr>
<td>Gluino</td>
<td>$\tilde{g} \rightarrow q\bar{q}\tilde{\chi}_1^0$</td>
</tr>
</tbody>
</table>

FIG. 1 (color online). Representative diagrams for the RPV simplified models considered in this analysis. (a) Chargino NLSP; (b) R(L)-slepton NLSP; (c) sneutrino NLSP; (d) gluino NLSP.
SEARCH FOR SUPERSYMMETRY IN EVENTS WITH FOUR ...

chargedino model and 75–600 GeV for the slepton and sneutrino models. In each case, the choice of lower bound is guided by the limits from the previous searches at the Large Electron Positron collider (LEP) and the Tevatron; the production cross sections at those values lie between 0.4 pb (chargedino and R-slepton models) and 4.5 pb (gluino model). The upper bound is high enough that the production cross section is 0.1 fb or smaller in all cases. For a fixed value of \(m_{\text{NLSP}}, m_{\text{LSP}}\) is allowed to vary between 10 and \(m_{\text{NLSP}} - 10\) GeV. These lower and upper limits are designed to allow enough phase space for prompt decays of the LSP to SM particles and of the NLSP to the LSP, respectively.

B. RPC simplified models

Simplified models with \(R\)-parity conservation assume the pair production of degenerate higgsinolike \(\tilde{\chi}_1^0\) and \(\tilde{\chi}_3^0\). These decay to a binolike \(\tilde{\chi}_1^0\) LSP via a cascade, resulting also in the production of charged leptons.

Three decay chains for the \(\tilde{\chi}_2^0\) and \(\tilde{\chi}_1^0\) are considered (see also Table II and Fig. 2): a light-lepton-rich “R-slepton RPC” scenario, with intermediate right-handed smuons and sleptons; a tau-rich “stau RPC” scenario, with intermediate right-handed staus; and a lepton-rich “Z RPC” scenario, with intermediate Z bosons. The choice of right-handed sleptons in the decay chain ensures a high four-lepton yield, while suppressing the leptonic branching fraction of any associated chargedino, thus enhancing the rate of four-lepton events with respect to events with lower lepton multiplicities. In more realistic models, mixing occurs among the four neutralino states, leading to a small wino component. This component ensures equal branching ratios to selectrons and smuons, as assumed in the R-slepton model. The simplified model assumes the same neutralino branching fraction to both sleptons.

Masses between 100 and 700 GeV are considered for the \(\tilde{\chi}_2^0\) and \(\tilde{\chi}_3^0\), with production cross sections varying from approximately 1.7 pb to 0.2 fb over this range. In the R-slepton model, the LSP mass is also varied, from 0 up to \(m_{\tilde{\chi}_2^0} - 20\) GeV, while in the stau and Z models only a massless LSP is considered. Where relevant, the masses of intermediate sparticles (sleptons and staus) in the decay chains are assumed to be the average of the \(\tilde{\chi}_2^0,\tilde{\chi}_3^0\), and \(\tilde{\chi}_1^0\) masses; all other sparticles are decoupled.

<table>
<thead>
<tr>
<th>RPC model</th>
<th>Decay</th>
</tr>
</thead>
<tbody>
<tr>
<td>R-slepton</td>
<td>(\tilde{\chi}_2^0,\tilde{\chi}_3^0 \rightarrow \ell^+\tilde{\gamma}_R^\pm \rightarrow \ell^+\ell^-\tilde{\chi}_1^0)</td>
</tr>
<tr>
<td>Stau</td>
<td>(\tilde{\chi}_2^0,\tilde{\chi}_3^0 \rightarrow \tau^+\tilde{\tau}_R^\pm \rightarrow \tau^+\tau^-\tilde{\chi}_1^0)</td>
</tr>
<tr>
<td>Z</td>
<td>(\tilde{\chi}_2^0,\tilde{\chi}_3^0 \rightarrow Z^\pm\tilde{\chi}_1^0 \rightarrow \ell^+\ell^-\tilde{\chi}_1^0)</td>
</tr>
</tbody>
</table>

Two GGM scenarios are considered for this analysis, one with tan \(\beta = 1.5\) and the other with tan \(\beta = 30\). For both it is assumed that \(M_1 = M_2 = 1\) TeV and \(c_r_{\text{NLSP}} < 0.1\) mm, while \(\mu\) and \(m_{\tilde{\chi}_1^0}\) are varied between set values. As a result, both sets of models have higgsinolike \(\tilde{\chi}_1^0,\tilde{\chi}_2^0\), and \(\tilde{\gamma}_1^-\) co-NLSPs. In the tan \(\beta = 1.5\) models, the neutralino NLSPs decay nearly exclusively (branching ratio \(\sim 97\%\)) to a Z boson plus a gravitino \((\tilde{\chi}_1^0 \rightarrow Z\tilde{G})\), while in the tan \(\beta = 30\) models the NLSP can also decay to a Higgs boson plus a gravitino \((\tilde{\chi}_1^0 \rightarrow h\tilde{G})\), with an assumed Higgs boson mass of 125 GeV and Higgs boson branching ratios set to those of the SM. The branching ratio of NLSP decays to a Higgs boson ranges widely, from 0% for \(\mu = 100\) GeV to \(\sim 40\%\) for \(\mu = 500\) GeV. Gluino masses of up to 1.2 TeV are considered, and the requirement 200 GeV \(< \mu < m_{\tilde{\chi}_1^0} - 10\) GeV is also made, where the lower limit excludes models with nonprompt sparticle decays. Production of strongly interacting sparticle pairs dominates across the bulk of the GGM parameter space, but, as the gluino mass increases, production of weakly interacting sparticles becomes more important. Representative diagrams for the relevant processes are shown in Fig. 3. The total

PHYSICAL REVIEW D 90, 052001 (2014)

FIG. 2 (color online). Representative diagrams for the RPC simplified models considered in this analysis. (a) R-slepton RPC; (b) stau RPC; (c) Z RPC.

C. RPC GGM SUSY Models

In all GGM scenarios the gravitino \(\tilde{G}\) is the LSP and, unlike GMSB SUSY models, the colored sparticles are not required to be heavier than the electroweak sparticles, which allows for an enhanced discovery potential at the LHC [18,36]. The GGM parametrization uses the following principal variables: the bino mass \(M_1\), the wino mass \(M_2\), the gluino mass \(M_3\), the higgsino mass parameter \(\mu\), the ratio of the SUSY Higgs vacuum expectation values tan \(\beta\) and the proper decay length of the NLSP, \(c_r_{\text{NLSP}}\).

<table>
<thead>
<tr>
<th>TABLE II. Sparticle decays in the SUSY RPC simplified models used in this analysis. For Z boson decays, the gauge boson may be virtual.</th>
</tr>
</thead>
<tbody>
<tr>
<td>RPC model</td>
</tr>
<tr>
<td>-----------</td>
</tr>
<tr>
<td>R-slepton</td>
</tr>
<tr>
<td>Stau</td>
</tr>
<tr>
<td>Z</td>
</tr>
</tbody>
</table>
SUSY production cross section in both models varies from 1.2–1.9 pb for \( m_t = 600 \) GeV to 3.1 fb for the highest masses considered. However, for \( \mu = 200 \) GeV the cross section never falls below 0.6 pb, due to contributions from \( \tilde{\chi}^0_1, \tilde{\tau}^0_1 \) and \( \tilde{\chi}^0_2 \) production.

### III. THE ATLAS DETECTOR

The ATLAS detector [37] is a multipurpose particle physics detector with forward-backward symmetric cylindrical geometry [38]. The inner tracking detector (ID) consists of a silicon pixel detector, a silicon microstrip detector and a transition radiation tracker (TRT), and covers pseudorapidities of \( |\eta| < 2.5 \). The ID is surrounded by a thin superconducting solenoid providing a 2T axial magnetic field. A high-granularity lead/liquid-argon (LAr) sampling calorimeter measures the energy and the position of electromagnetic showers within \( |\eta| < 3.2 \). LAr sampling calorimeters are also used to measure hadronic showers in the end-cap (1.5 < \( |\eta| \) < 3.2) and forward (3.1 < \( |\eta| \) < 4.9) regions, while an iron/scintillator tile calorimeter measures hadronic showers in the central region (\( |\eta| < 1.7 \)). The muon spectrometer (MS) surrounds the calorimeters and consists of three large superconducting air-core toroid magnets, each with eight coils, a system of precision tracking chambers (\( |\eta| < 2.7 \)), and fast trigger chambers (\( |\eta| < 2.4 \)). A three-level trigger system [39] selects events to be recorded for offline analysis.

### IV. MONTE CARLO SIMULATIONS

MC simulations are used to aid in the description of SM backgrounds and to model the SUSY signals. Details of the MC generation are listed in Table III. When the parton shower is generated with HERWIG-6.520 [40], the underlying event is simulated by JIMMY-4.31 [41]. All samples are processed using the full ATLAS detector simulation [42] based on GEANT4 [43], except for the \( t\bar{t}WZ, tZ \) and \( W/ZH(\rightarrow \mu\mu) \) samples, which are instead simulated with a parametrization of the performance of the ATLAS electromagnetic and hadronic calorimeters and with GEANT4 for other detector components [44]. The effect of multiple proton-proton interactions in the same or nearby bunch crossings (pile-up) is taken into account in all MC simulations, and the distribution of the number of interactions per bunch crossing in the MC simulation is reweighted to that observed in the data. Specific notes on some of the generated processes follow.

The \( ZZ/Zt^* \) and \( WZ/Wt^* \) diboson processes are simulated using POWHEG [45–48], including off-shell photon contributions and internal conversion events where two leptons are produced from photon radiation in the final state. The \( gg \rightarrow ZZ/Zt^* \) process is simulated separately, but does not include the \( ZZ/Zt^* \rightarrow 4\tau \) process, which is estimated to be negligible in the signal regions used in this analysis. Triboson processes are also generated, including those with six electroweak vertices and a \( VV + 2\text{-jet} \) final state, where \( V \) is a W or Z boson, as indicated in Table III. Five mechanisms are considered for SM Higgs boson production (\( m_H = 125 \) GeV assumed) which can give rise to four or more leptons in the final state: gluon fusion (ggF); vector-boson fusion (VBF); associated production with a W (WH) or Z boson (ZH); and associated production with a \( t\bar{t} \) pair (tH). Top quark samples are generated assuming a top quark mass of 172.5 GeV.

SUSY signal cross sections are calculated to next-to-leading order (NLO) in the strong coupling constant using PROSPINO2 [49]. The inclusion of the resummation of soft gluon emission at next-to-leading-logarithmic (NLL) accuracy (NLO + NLL) [49–53] is performed in the case of strong sparticle pair production. For neutralino, chargino, slepton and sneutrino production, the NLO cross sections used are in agreement with the NLO + NLL calculation within \( \sim 2\% \) [54–56]. The nominal cross section and its uncertainty are taken from an envelope of cross-section predictions using different parton density function (PDF) sets and factorization and renormalization scales, as described in Ref. [57]. For all models, additional MC samples are generated to test how the event acceptance varies with modified initial- and final-state radiation (ISR/FSR), and renormalization and factorization scales. MadGraph is used to generate these additional samples for the RPV and RPC simplified models, while PYTHIA-6.426 [58] is used for the GGM models.

### V. EVENT RECONSTRUCTION AND PRESELECTION

For all physics channels considered in this analysis, including those with one or more taus in the final state, events are required to pass at least one of a selection of single isolated or double electron/muon triggers. Double lepton triggers have asymmetric or symmetric transverse momentum and energy \( (p_T \text{ and } E_T) \) thresholds, depending on the lepton flavors involved. Thresholds on the \( p_T \text{ or } E_T \) of reconstructed leptons matching the triggering objects are chosen to ensure that the trigger efficiency is high and independent of the lepton \( p_T \text{ or } E_T \); these thresholds are

![Diagram](image-url)
listed in Table IV. Triggering is restricted to $|\eta| < 2.4$ and $|\eta| < 2.47$ for muons and electrons, respectively. The overall trigger efficiency for SUSY signal events varies between approximately 80% for events with two muons and two taus, and more than 99% for events with four light leptons.

TABLE IV. Offline $p_T$ and $E_T$ thresholds used in this analysis for different trigger channels. For dilepton triggers, the two numbers refer to the leading and subleading triggered lepton, respectively.

<table>
<thead>
<tr>
<th>Trigger channel</th>
<th>$p_T$ or $E_T$ threshold [GeV]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Single isolated $e/\mu$</td>
<td>25</td>
</tr>
<tr>
<td>Double $e$</td>
<td>14, 14</td>
</tr>
<tr>
<td></td>
<td>25, 10</td>
</tr>
<tr>
<td>Double $\mu$</td>
<td>14, 14</td>
</tr>
<tr>
<td></td>
<td>18, 10</td>
</tr>
<tr>
<td>$e + \mu$</td>
<td>14($e$), 10($\mu$)</td>
</tr>
<tr>
<td></td>
<td>18($\mu$), 10($e$)</td>
</tr>
</tbody>
</table>

After applying standard data-quality requirements, events are analyzed if the primary vertex has five or more tracks with $p_T > 400$ MeV associated with it. The vertex with the highest scalar sum of the squared transverse momenta of associated tracks is taken to be the primary vertex of the event.

Candidate electrons must satisfy the “medium” identification criteria, following Ref. [94] and modified for 2012 operating conditions, and have $|\eta| < 2.47$ and $E_T > 10$ GeV, where $E_T$ and $|\eta|$ are determined from the calibrated clustered energy deposits in the electromagnetic calorimeter and the matched ID track, respectively. Muon candidates are reconstructed by combining tracks in the ID and the MS [95], and have $|\eta| < 2.5$ and $p_T > 10$ GeV. The quality of the ID track associated with a muon is ensured by imposing requirements described in Ref. [96].Jets are reconstructed with the anti-$k_t$ algorithm [97] with a radius parameter of $R = 0.4$ using three-dimensional calorimeter energy clusters [98] as input. The clusters are
calibrated using “local cluster weighting” calibration, where the energy deposits arising from electromagnetic and hadronic showers are independently calibrated [99]. The final jet energy calibration corrects the calorimeter response to the true particle-level jet energy [99,100]. The correction factors are obtained from simulation and are refined and validated using data. An additional correction subtracts the expected contamination from pileup, calculated as a product of the jet area and the average energy density of the event [101]. Events containing jets failing to satisfy the quality criteria described in Ref. [99] are rejected to suppress events with large calorimeter noise or noncollision backgrounds. Jets are required to have $p_T > 20$ GeV and $|\eta| < 4.5$.

Jets are identified as containing a $b$-quark (“$b$-tagged”) using a multivariate technique based on quantities such as the impact parameters of the tracks associated with a reconstructed secondary vertex. For this analysis, the $b$-tagging algorithm [102] is configured to achieve an efficiency of 80% for correctly identifying $b$-quark jets in a simulated sample of $t\bar{t}$ events.

Tau candidates are reconstructed using calorimeter “seed” jets with $p_T > 10$ GeV and $|\eta| < 2.47$. The tau reconstruction algorithm uses the cluster shapes in the electromagnetic and hadronic calorimeters as well as tracks within a cone of size $\Delta R = \sqrt{(\Delta \phi)^2 + (\Delta \eta)^2} = 0.2$ of the seed jet. The tau energy scale is set using an $\eta$- and $p_T$-dependent calibration [103]. In this analysis, one- or three-prong tau decays are selected if they have unit charge, $p_T > 20$ GeV, and $|\eta| < 2.47$.

To remove overlaps and resolve ambiguities between particle objects, a procedure is applied based on geometrical proximity using the variable $\Delta R$. Objects are removed at each step in the procedure before moving on to the next. If two candidate electrons are identified within $\Delta R = 0.05$ of each other, the lower energy electron is discarded. If a candidate electron and a candidate jet are within $\Delta R = 0.2$ of each other, the jet is discarded. All leptons are required to be separated by more than $\Delta R = 0.4$ from the closest remaining jet. In the rare occurrence when a candidate electron overlaps with a candidate muon within $\Delta R = 0.01$, both particles are discarded since it usually means that they were reconstructed using the same track. Similarly, if two muons are separated by less than $\Delta R = 0.05$ then they are unlikely to be well reconstructed, and both are removed. Candidate taus are required to be separated by more than $\Delta R = 0.2$ from the closest electron or muon; otherwise the tau is discarded.

Candidate objects that are not removed by the above procedure are classified as “baseline.” “Signal” objects are baseline objects that also satisfy additional criteria described in the following.

Signal light leptons are required to originate from the primary vertex, with a closest approach in the transverse plane of less than five (three) standard deviations and a longitudinal distance $z_0$ satisfying $|z_0 \sin \theta| < 0.4$ (1.0) mm for electrons (muons) [38]. Signal electrons must also satisfy the “tight” criteria defined in Ref. [94], which includes requirements placed on the ratio of calorimetric energy to track momentum, and the number of high-threshold hits in the TRT. Signal light leptons are required to be isolated from hadronic activity in the event. Track isolation is calculated as the scalar sum of transverse momenta of tracks with $p_T > 400$ MeV (1 GeV) within a cone of radius $\Delta R = 0.3$ around each baseline electron (muon), excluding the track of the lepton itself. Calorimeter isolation is calculated, for electrons only, by summing the transverse energies of topological clusters within a radius of $\Delta R = 0.3$ around the electron, and it is corrected for the effects of pileup. In order to maintain sensitivity to some RPV scenarios with highly boosted particles, contributions to the lepton isolation from tracks or clusters of other electron and muon candidates that satisfy all signal criteria, except the isolation requirements, are removed. The track isolation must be less than 16% (12%) of the electron’s $E_T$ (muon’s $p_T$), and the calorimeter isolation for electrons must be less than 18% of the electron’s $E_T$.

Signal jets are baseline jets with $|\eta| < 2.5$. Additionally, in order to suppress jets from a different interaction in the same beam bunch crossing, a jet with $p_T < 50$ GeV is discarded if more than half of the $p_T$-weighted sum of its tracks does not come from the tracks which are associated with the primary vertex.

Signal taus must satisfy the “medium” identification criteria of a boosted decision tree [104] algorithm, based on various track and cluster variables for particle discrimination. Tau objects arising from misidentified electrons are discarded using a “loose” electron veto based on TRT and calorimeter information. A muon veto is also applied. If a signal tau and a jet are within $\Delta R = 0.2$ of each other, the tau is kept while the jet is discarded.

The missing transverse momentum vector, $p_T^{\text{miss}}$, and its magnitude, $E_T^{\text{miss}}$, are calculated from the transverse momenta of calibrated electrons, muons, photons and jets, as well as all the topological clusters with $|\eta| < 4.9$ not associated with such objects [98,105]. Hadronically decaying taus are calibrated as jets in the $E_T^{\text{miss}}$, which is found not to adversely affect sensitivity to SUSY events.

All particle selections are applied identically to data and to the MC events. To account for minor differences between data and MC simulation in the electron, muon and tau reconstruction and identification efficiencies, $p_T$- and $\eta$-dependent scale factors derived from data in dedicated regions are applied to signal leptons. Although $b$-tagging is not used to discriminate SUSY events from the SM background, it is used to compare the MC simulation of leptons arising from heavy-flavor jets to data. For this measurement, the $b$-tagging efficiency and mistag rates are themselves adjusted by scale factors derived from $t\bar{t}$ and light-jets data in dedicated regions [106–108].
VI. SIGNAL REGIONS

Nine signal regions (SRs) are defined in order to give good sensitivity to the SUSY signal models considered. The SRs require at least four leptons, and are classified depending on the number of light leptons required. The number of light leptons can be equal to two, three or at least four, with the corresponding number of taus in the same regions required to be at least two, one or zero, respectively. Events with five or more leptons are not vetoed, to retain potential signals with higher lepton multiplicities.

The SRs are further subdivided between those vetoing against the presence of a Z boson (“noZ” regions) and those requiring the presence of one (“Z” regions). The noZ regions target signals from RPV and RPC simplified models, while the Z regions target the GGM and Z RPC models. The noZ regions are further divided into “noZa” regions, designed to target the RPC $\gamma \gamma Z \gamma$ decays via an $E_T^{\text{miss}}$ selection, and “noZb” regions, optimized for RPV decays and implementing a combination of selections on $E_T^{\text{miss}}$ and $m_{\text{eff}}$, the latter defined as the scalar sum of the $E_T^{\text{miss}}$, the $p_T$ of signal leptons and the $p_T$ of signal jets with $p_T > 40$ GeV. The definitions of the different SRs are given in Table V and discussed in more detail below.

In four-lepton events with at least two light leptons, the dominant SM backgrounds are rich in Z bosons, such as those from $ZZ/Z\gamma^*$ and $Z/\gamma^*$ + jets processes. These can be suppressed by means of a “Z-veto,” which rejects events where light-lepton combinations yield invariant mass values in the 81.2–101.2 GeV interval. For events with only two light leptons, the invariant mass combination is unambiguously constructed from the only possible choice, when it exists, of two same-flavor opposite-sign light leptons in the event (called an SFOS pair). When more than two light leptons are present, all possible SFOS pairs are considered. To suppress radiative Z boson decays, combinations of an SFOS pair with an additional light lepton (SFOS + $\ell$) and with a second SFOS pair (SFOS + SFOS) are also taken into account.

For events that pass the Z-veto, two classes of signal regions are defined: SR$_{\text{noZa}}$ and SR$_{\text{noZb}}$, where $x = 0, 1, 2$ is the minimum number of taus required. In SR$_{\text{noZa}}$ regions, a relatively soft requirement on $E_T^{\text{miss}}$ (>50–75 GeV) provides effective rejection of SM backgrounds to $\gamma \gamma Z \gamma$ signals, while in SR$_{\text{noZb}}$ regions, in order to improve sensitivity to signal, events are accepted if they satisfy either a moderate requirement on $E_T^{\text{miss}}$ (>75–100 GeV) or have a relatively large $m_{\text{eff}}$ (>400–600 GeV).

Three signal regions (SR$_xZ$, where $x = 0, 1, 2$ is the minimum number of taus required) are defined aimed at the GGM and Z RPC scenarios, all requiring the presence of an SFOS light-lepton pair with invariant mass in the 81.2–101.2 GeV mass interval. No attempt is made to recover radiative Z boson decays in these regions. In the Z regions, an $E_T^{\text{miss}}$ selection is applied (>75–100 GeV) to remove SM background contributions from $Z + X$ events.

VII. DETERMINATION OF THE STANDARD MODEL BACKGROUND

Several SM processes can mimic a four-lepton signal. Backgrounds can be classified into “irreducible” processes (with at least four prompt leptons) and “reducible” processes (with fewer than four prompt leptons). “Nonprompt leptons” include leptons originating from semileptonic decays in heavy-flavor jets or photon conversions as well as misidentified light-flavor jets. Background events with fewer than two prompt leptons are found to be negligible using MC simulation and are not considered. The irreducible component of the background ($ZZ/Z\gamma^*$, $ZWW$, $ZZZ$, $t\bar{t}Z$, $t\bar{t}+Z/WW$ and Higgs boson decays) is estimated from simulation, while the relevant reducible background ($WWW$, $WZ/W\gamma^*$, $t\bar{t}+W$; $Z/\gamma^*$ + jets, $t\bar{t}$, $W$, $WW$) is estimated from data using the “weighting method.”

In the weighting method, the number of reducible background events in a given region is estimated from data using MC-based probabilities for a nonprompt lepton
to pass or fail the signal lepton selection. Leptons are first classified as “loose” or “tight”, based on isolation criteria and reconstruction quality. Loose leptons are baseline leptons that fail any of the other requirements imposed on signal leptons. Tight leptons coincide with signal leptons as defined previously. The ratio \( F = f / \bar{f} \) for nonprompt leptons defines the “fake ratio,” where \( f \) (\( \bar{f} \)) is the probability that a nonprompt lepton is misidentified as a tight (loose) lepton.

For each SR, two control regions (CRs) are used for the extraction of the data-driven background predictions. The CR definitions only differ from that of their associated SR in the quality of the required leptons: CR1 requires exactly three tight leptons and at least one loose lepton; while CR2 requires exactly two tight leptons and at least two loose leptons.

The number \( N_{\text{SR}}^{\text{CR}} \) of background events with one or two nonprompt leptons from reducible sources in each SR can then be determined from the number of events \( N_{\text{CR1}}^{\text{CR1}} \) and \( N_{\text{CR2}}^{\text{CR2}} \) in regions CR1 and CR2, respectively:

\[
N_{\text{SR}}^{\text{CR}} = N_{\text{data}}^{\text{CR1}} - N_{\text{irr}}^{\text{CR1}} \times F - N_{\text{data}}^{\text{CR2}} - N_{\text{irr}}^{\text{CR2}} \times F_1 \times F_2.
\]

where \( F \) is the uniquely defined fake ratio in CR1, while \( F_1 \) and \( F_2 \) are the two fake ratios that can be constructed using the two loose leptons in CR2. The number of irreducible background events in CR1 and CR2, \( N_{\text{CR1}}^{\text{CR1}} \) and \( N_{\text{CR2}}^{\text{CR2}} \), are subtracted from the corresponding number of events seen in data, \( N_{\text{data}}^{\text{CR1}} \) and \( N_{\text{data}}^{\text{CR2}} \), and the resulting quantities are subtracted from one another so that events with two nonprompt leptons are not double-counted.

Fake ratios are calculated from MC simulation, separately for light-flavor jets, heavy-flavor jets (including charm) and photon conversions (electrons and taus only). For taus, light jets are separated further into quark- and gluon-jet categories. These categories are referred to as “fake types.” The fake ratios additionally depend on the lepton kinematics and the hard process producing the nonprompt lepton. The hard processes considered are the following: \( t\bar{t}; Z/\gamma^* \) production in association with jets; \( WZ/W\gamma^* \) production; \( t\bar{t} + Z \) production where one top quark decays hadronically; and \( ZZ/Z\gamma^* \) production where one lepton is either out of the acceptance or not reconstructed. For all lepton flavors, the dependence of the fake ratio on the lepton \( p_T \) is taken into account. In addition, electron fake rates are parametrized in \( |\eta| \), while tau fake rates include the dependence on \( |\eta| \) and the number of associated tracks (one or three).

To account correctly for the relative abundances of fake types and production processes, a weighted average \( F_{\text{SR}} \) of fake ratios is computed in each SR, as

\[
F_{\text{SR}} = \sum_{i,j} (R_{\text{SR}}^{ij} \times s_i \times F^{ij}).
\]

The factor \( R_{\text{SR}}^{ij} \) is a “process fraction” that depends on the process and fake type, which in each SR gives the fraction of nonprompt leptons of fake type \( i \) originating from process category \( j \), while \( F_{\text{SR}}^{ij} \) is the corresponding fake ratio, and the scale factor \( s_i \) is a correction that depends on the fake type, as explained below.

The process fractions are obtained from four-lepton MC events, appropriately taking into account the four-lepton yields and how the \( E_{\text{miss}} \) and \( m_{\text{eff}} \) selection efficiency depends on the process and fake type in the SR where the process fraction is calculated. Systematic uncertainties arising from the modeling of process fractions are estimated by varying the nonprompt lepton abundances for each fake type and process by a factor of two.

Scale factors are applied to the fake ratios to account for possible differences between data and simulation. These are assumed to be independent of the physical process, and are determined from data in dedicated regions enriched in objects of a given fake type.

For nonprompt light leptons from heavy-flavor jets, the scale factor is measured in a \( bb \)-dominated control sample, which selects events with only one \( b \)-tagged jet containing a muon, and an additional baseline light lepton. The scale factors are found to be \( 0.69 \pm 0.05 \) and \( 0.84 \pm 0.11 \) for electrons and muons, respectively, where both the statistical and systematic uncertainties are included. The systematic uncertainty, for these and other measured scale factors, arises from uncertainties in the subtraction of the background from the selected region and variation of the selection criteria used to define the region. For taus, the heavy-flavor scale factor cannot be reliably measured using data. Instead, it is assumed to vary within the same range as for other measured scale factors, and a value of \( 1.0 \pm 0.2 \) is used.

The scale factor for nonprompt taus originating from light-flavor jets is measured separately for one- and three-prong tau decays as a function of \( p_T \) and \( \eta \), in a \( W + \text{jets} \)-dominated control sample, where events with one muon with \( p_T > 25 \text{ GeV} \) and one baseline tau are selected, and events with \( b \)-tagged jets are vetoed to suppress heavy-flavor contributions. The scale factors are close to unity (0.89–1.06, with uncertainties between 0.03 and 0.06) in the lowest \( p_T \) bin (20–30 GeV), and decrease to between 0.5 and 0.6 at high \( p_T \) (\( O(100 \text{ GeV}) \)).

For electron candidates originating from photon conversions, the scale factor is determined in a sample of photons from final-state radiation of \( Z \) boson decays to muon pairs. The scale factor is found to be \( 1.11 \pm 0.07 \), where both the statistical and systematic uncertainties are included. For taus, a scale factor from photon conversions of \( 1.0 \pm 0.2 \) is applied, as in the case of the heavy-flavor correction.

For the processes considered, the most common fake types are misidentified light-flavor jets in the case of taus, while for light leptons the fake types are typically
SEARCH FOR SUPERSYMMETRY IN EVENTS WITH FOUR

dominated by nonprompt leptons in heavy-flavor jets. The
fake ratios have in general a significant dependence on the
lepton $p_T$. The $p_T$-averaged fake ratios are in the range
0.01–0.18 (0.09–0.24) for electrons (muons) and 0.02–0.15
(0.004–0.04) for one-prong (three-prong) tau decays.

VIII. BACKGROUND MODEL VALIDATION

Before data is inspected in the SRs, the adequacy of the
reducible background model is tested by verifying agree-
ment between data and SM background expectations. Six
validation regions (VRs) are introduced for this purpose,
defined by the selections listed in Table VI. They use
the same selection criteria as for the corresponding SRs,
except that either one or both of $E_T^{\text{miss}}$ and $m_{\text{eff}}$ must lie
below some predefined value, to ensure that SRs and VRs
do not overlap and that signal contamination in the VRs is
minimal. In VRs applying a $Z$-veto, it is required that
$E_T^{\text{miss}} < 50$ GeV and $m_{\text{eff}} < 400$ GeV, while in VRs with a
$Z$ boson requirement only $E_T^{\text{miss}} < 50$ GeV is applied. The
reducible background, which is significant in the one- and
two-tau signal regions, has a similar composition in the
SRs and the corresponding VRs. On the other hand, the
irreducible background can be substantially different
between SRs and VRs, due to processes with genuine
$E_T^{\text{miss}}$ (especially $t\bar{t} + Z$), which are significant in the SRs
but negligible in the VRs. Therefore the VRs are primarily
used to validate the model for the reducible background
estimation, as well as to test the $ZZ/Z\gamma^*$ MC simulation.
It was verified that contamination in the VRs from the
considered SUSY models is not significant.

The background model adopted in the VRs is the same as
in the SRs, with the irreducible background obtained from
MC simulation and the reducible background estimated
using the weighting method. The irreducible background in
the VRs is dominated by $ZZ/Z\gamma^*$, $Z/\gamma^*+\text{jets}$ and $WZ/W\gamma^*$
processes, depending on tau multiplicity. Observed and
expected event yields in each VR are shown in Table VII,
together with the corresponding CL$_b$ value [109]. Perfect
agreement between expected and observed yields corre-
sponds to a CL$_b$ value of 0.5, while values approaching 0 or
1 indicate poor agreement. Good agreement between data
and SM background predictions is observed in all regions,
within statistical and systematic uncertainties (which are
discussed in Sec. IX).

The $E_T^{\text{miss}}$ distributions in VR0Z and VR2Z are shown in
Figs. 4(a) and 4(c), while the $m_{\text{eff}}$ distributions in the same
regions are shown in 4(b) and 4(d). VR0Z is dominated by
irreducible backgrounds, in particular $ZZ/Z\gamma^*$ events, with
smaller contributions from Higgs boson and triboson
processes, while VR2Z receives significant contributions
from reducible backgrounds, as well as from $ZZ/Z\gamma^*$
events. In both cases, the shapes of the $E_T^{\text{miss}}$ and $m_{\text{eff}}$
distributions are well described by the background esti-
mate. Distributions are not shown for other VRs, where
event yields are low.

The $t\bar{t} + Z$ process is a significant component of the
estimated background in the zero-tau signal regions, but
it is small in all validation regions. The MC simulation of
this process was tested in Ref. [110] and found to predict
the rate of the process well. Therefore, the MC prediction
is used in this analysis, without further correction.

IX. SYSTEMATIC UNCERTAINTIES

Several sources of systematic uncertainty are considered
for the SM background estimates and signal yields. In the
zero-tau signal regions, the background is dominated by the
irreducible component, and systematic uncertainties are
dominated by theoretical uncertainties and by uncertainties
stemming from the limited event counts in relevant MC
samples. Moving to higher tau multiplicities, systematic
uncertainties on the reducible backgrounds (mainly arising
from nonprompt taus) become dominant. Correlations of
systematic uncertainties between processes and signal/
control regions are taken into account when calculating
the final uncertainties. The primary systematic sources,
described below, are summarized in Table VIII.

Experimental systematic uncertainties on the jet energy
scale (JES) and resolution are determined using in situ
techniques [99,100]. The JES uncertainty includes uncer-
tainties from the quark-gluon composition of the jets, the
heavy-flavor fraction and pileup. Uncertainties on the
lepton identification efficiencies, energy scales and reso-
lutions are determined using $Z \rightarrow \ell\ell$ events in data, where
$\ell = e, \mu$ or $\tau$ [94,95,103,111]. Uncertainties on object

<table>
<thead>
<tr>
<th>$N(\ell)$</th>
<th>$N(\tau)$</th>
<th>$Z$-veto</th>
<th>$E_T^{\text{miss}}$ [GeV]</th>
<th>$m_{\text{eff}}$ [GeV]</th>
</tr>
</thead>
<tbody>
<tr>
<td>VR0noZ</td>
<td>$\geq 4$</td>
<td>$\geq 0$</td>
<td>SFOS, SFOS + $\ell$, SFOS + SFOS</td>
<td>$&lt; 50$</td>
</tr>
<tr>
<td>VR1noZ</td>
<td>$= 3$</td>
<td>$\geq 1$</td>
<td>SFOS, SFOS + $\ell$</td>
<td>$&lt; 50$</td>
</tr>
<tr>
<td>VR2noZ</td>
<td>$= 2$</td>
<td>$\geq 2$</td>
<td>SFOS</td>
<td>$&lt; 50$</td>
</tr>
<tr>
<td>VR0Z</td>
<td>$\geq 4$</td>
<td>$\geq 0$</td>
<td>SFOS</td>
<td>$&lt; 50$</td>
</tr>
<tr>
<td>VR1Z</td>
<td>$= 3$</td>
<td>$\geq 1$</td>
<td>SFOS</td>
<td>$&lt; 50$</td>
</tr>
<tr>
<td>VR2Z</td>
<td>$= 2$</td>
<td>$\geq 2$</td>
<td>SFOS</td>
<td>$&lt; 50$</td>
</tr>
</tbody>
</table>
TABLE VII. Observed and expected event yields in the six validation regions. Both the statistical and systematic uncertainties are included, also taking into account correlations between irreducible and reducible backgrounds. The CL$_{b}$ value is also quoted for each region.

<table>
<thead>
<tr>
<th>Region</th>
<th>ZZ/Zγ'</th>
<th>tWZ</th>
<th>t̄ + Z</th>
<th>VVV</th>
<th>Higgs</th>
<th>Reducible</th>
<th>Σ SM</th>
<th>Data</th>
<th>CL$_{b}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>VR0noZ</td>
<td>3.6 ± 0.7</td>
<td>0.017 ± 0.010</td>
<td>0.034 $^{+0.036}_{-0.033}$</td>
<td>0.090 $^{+0.032}_{-0.033}$</td>
<td>0.18 ± 0.13</td>
<td>0.5$^{+0.4}_{-0.5}$</td>
<td>4.4 ± 0.9</td>
<td>3</td>
<td>0.29</td>
</tr>
<tr>
<td>VR1noZ</td>
<td>1.43 ± 0.27</td>
<td>0.010 ± 0.006</td>
<td>0.033 ± 0.022</td>
<td>0.071 ± 0.029</td>
<td>0.28 ± 0.19</td>
<td>7.1$^{+1.8}_{-1.7}$</td>
<td>8.9$^{+1.8}_{-1.7}$</td>
<td>7</td>
<td>0.31</td>
</tr>
<tr>
<td>VR2noZ</td>
<td>1.53$^{+0.18}_{-0.17}$</td>
<td>0.007 ± 0.004</td>
<td>0.029$^{+0.013}_{-0.025}$</td>
<td>0.051 ± 0.020</td>
<td>0.29 ± 0.13</td>
<td>33.2$^{+1.3}_{-1.7}$</td>
<td>35.1$^{+1.3}_{-1.4}$</td>
<td>32</td>
<td>0.37</td>
</tr>
<tr>
<td>VR0Z</td>
<td>184 ± 19</td>
<td>0.13 ± 0.07</td>
<td>1.2 ± 0.6</td>
<td>2.13 ± 0.33</td>
<td>4.7 ± 3.4</td>
<td>0.5$^{+0.31}_{-0.3}$</td>
<td>193$^{+21.9}_{-21.9}$</td>
<td>216</td>
<td>0.81</td>
</tr>
<tr>
<td>VR1Z</td>
<td>8.8 ± 0.9</td>
<td>0.039 ± 0.021</td>
<td>0.28 ± 0.11</td>
<td>0.19 ± 0.08</td>
<td>0.63 ± 0.16</td>
<td>21 ± 4</td>
<td>31 ± 4</td>
<td>32</td>
<td>0.55</td>
</tr>
<tr>
<td>VR2Z</td>
<td>8.2$^{+1.0}_{-1.0}$</td>
<td>0.0027 ± 0.0021</td>
<td>0.09$^{+0.12}_{-0.09}$</td>
<td>0.069 ± 0.013</td>
<td>0.61 ± 0.14</td>
<td>90$^{+8}_{-22}$</td>
<td>99$^{+8}_{-22}$</td>
<td>101</td>
<td>0.54</td>
</tr>
</tbody>
</table>

Moments are propagated to the $E_{T}^{miss}$ measurement, and additional uncertainties on $E_{T}^{miss}$ arising from energy deposits not associated with any reconstructed objects are also included. The uncertainty on the luminosity is 2.8% [112]. A 5% uncertainty is applied to MC samples to cover differences in efficiency observed between the trigger in data and the MC trigger simulation.

The relative uncertainty on the irreducible background is approximately 30–50% in the noZ signal regions, decreasing to 15–25% in the $Z$ regions. It is dominated by theoretical uncertainties in the cross sections and by uncertainties in the MC modeling of the irreducible processes. Theoretical uncertainties in the SM cross sections include PDF uncertainties, estimated using variations of appropriate PDF sets, and uncertainties in the QCD modeling, estimated by varying the factorization and renormalization scales individually by factors of one half and two. Uncertainties on the kinematic acceptance of

FIG. 4 (color online). The (a), (c) $E_{T}^{miss}$ and (b), (d) $m_{et}$ distributions for data and the estimated SM backgrounds, in validation regions VR0Z and VR2Z. Both the statistical and systematic uncertainties are included in the shaded uncertainty band. Underneath each plot, the ratio of the observed data to the SM prediction is shown, for comparison with the background uncertainty.
Table VIII. Principal experimental and theoretical systematic uncertainties for the irreducible and reducible background estimation. For experimental uncertainties, the largest value in any SR is quoted. For theoretical uncertainties, $\sigma_{\text{MC}}$ indicates an uncertainty on the production cross section, while $\Delta\sigma_{\text{eff}}$ indicates an uncertainty on the product of acceptance and efficiency. The uncertainty on the reducible background is indicated as a function of the number of taus required in the final state.

<table>
<thead>
<tr>
<th>Experimental</th>
<th>Theoretical</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jet energy scale</td>
<td>$\sigma: t\bar{t} + Z/WW$ [75,76] 30%</td>
</tr>
<tr>
<td>Jet energy resolution</td>
<td>$\Delta\sigma: t\bar{t} + Z$ 30–40%</td>
</tr>
<tr>
<td>$\varepsilon$ efficiency</td>
<td>$\sigma: ZZ/Z\gamma^*$ 5%</td>
</tr>
<tr>
<td>$\tau$ efficiency</td>
<td>$\sigma: VV/tWZ$ 50%</td>
</tr>
<tr>
<td>$E_T^{\text{miss}}$ energy scale</td>
<td>$\sigma:\Delta\varepsilon: VH/VBF$ [72] 20%</td>
</tr>
<tr>
<td>$E_T^{\text{miss}}$ resolution</td>
<td>$\sigma:\Delta\varepsilon: ggF/\bar{t}H$ [72] 100%</td>
</tr>
<tr>
<td>Luminosity</td>
<td>2.8%</td>
</tr>
<tr>
<td>Trigger</td>
<td>$5% \geq \sigma t\bar{t}$ SRs $\sim 100%$</td>
</tr>
<tr>
<td>MC sample size</td>
<td>$\leq 30% \geq \sigma 1\tau/2\tau$ SRs 30–50%</td>
</tr>
</tbody>
</table>

$E_T^{\text{miss}}$ and $m_{\text{eff}}$ selections arising from the choice of MC generator are estimated by comparisons between POWHEG and aMC@NLO for $ZZ/Z\gamma^*$ processes, and between ALPGEN and MadGraph for $t\bar{t} + Z$. Uncertainties on the acceptance are not considered for the $VV$ and $tWZ$ processes, which represent a small contribution to the SM yields. Uncertainties arising from the choice of generator are approximately 5–20% for $ZZ/Z\gamma^*$ processes, and 30–40% for $t\bar{t} + Z$ in SRs with no taus required, where this background is important.

Uncertainties on the background estimate due to limited statistics of the MC-simulated samples range from a few percent up to 20–30%.

Relative uncertainties on the reducible backgrounds, as extracted from the weighting method, are of the order of 100% in all zero-tau signal regions, and in the range of approximately 30–45% (35–50%) in regions with at least one (at least two) taus in the final state. They are dominated by the systematic uncertainties on the weighting method and statistical uncertainties in the data control regions. The systematic uncertainties include results of a closure test where the weighting method was applied to MC-simulated events and compared with the MC reducible background estimation, as well as uncertainties on the fake ratios.

Systematic uncertainties on the SUSY signal yields from experimental sources typically lie in the 5–20% range. They are usually dominated by the uncertainty on the electron identification and reconstruction efficiency, the electron energy scale, the JES, and the $E_T^{\text{miss}}$ energy scale and resolution. They include the uncertainties on the signal acceptance, which are typically of the order of a few percent and usually smaller than 10%. The effect of ISR/FSR uncertainties on the signal acceptance is estimated by comparing samples generated with different amounts of ISR/FSR. Theoretical uncertainties on cross sections are typically of the order of 10% but can reach values of approximately 30–40% for gluino production. Uncertainties due to limited statistics of the MC-simulated samples are usually less than 20–30%.

**X. RESULTS**

The number of events observed in each signal region is reported in Table IX, together with background predictions. Upper limits at 95% confidence level (CL) on the number of events originating from beyond-the-SM (BSM) phenomena for each signal region are derived using the CL$_S$ prescription [109] and neglecting any possible signal contamination in the control regions. These limits are calculated in a profile likelihood fit [113], where the number of events observed in the signal region is added as an input to the fit, and an additional parameter for the strength of any BSM signal, constrained to be non-negative, is derived from the fit. All systematic uncertainties and their correlations are

Table IX. The number of data events observed in each signal region, together with background predictions in the same regions. Quoted uncertainties include both the statistical and systematic uncertainties, taking into account correlations. Where a negative uncertainty reaches down to zero predicted events, it is truncated.

<table>
<thead>
<tr>
<th></th>
<th>ZZ/$Z\gamma^*$</th>
<th>$tWZ$</th>
<th>$t\bar{t} + Z$</th>
<th>VVV</th>
<th>Higgs</th>
<th>Reducible</th>
<th>$\Sigma$ SM</th>
<th>Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>SR0noZa</td>
<td>$0.29 \pm 0.08$</td>
<td>$0.067 \pm 0.033$</td>
<td>$0.8 \pm 0.4$</td>
<td>$0.19 \pm 0.09$</td>
<td>$0.27 \pm 0.23$</td>
<td>$0.006^{+0.164}_{-0.006}$</td>
<td>$1.6 \pm 0.5$</td>
<td>3</td>
</tr>
<tr>
<td>SR1noZa</td>
<td>$0.52 \pm 0.07$</td>
<td>$0.054 \pm 0.028$</td>
<td>$0.21 \pm 0.08$</td>
<td>$0.14 \pm 0.07$</td>
<td>$0.40 \pm 0.33$</td>
<td>$3.3^{+1.3}_{-1.1}$</td>
<td>$4.6^{+1.2}_{-1.3}$</td>
<td>4</td>
</tr>
<tr>
<td>SR2noZa</td>
<td>$0.15 \pm 0.04$</td>
<td>$0.023 \pm 0.012$</td>
<td>$0.13 \pm 0.10$</td>
<td>$0.051 \pm 0.024$</td>
<td>$0.20 \pm 0.16$</td>
<td>$3.4 \pm 1.2$</td>
<td>$4.0^{+1.2}_{-1.3}$</td>
<td>7</td>
</tr>
<tr>
<td>SR0noZb</td>
<td>$0.19 \pm 0.05$</td>
<td>$0.049 \pm 0.024$</td>
<td>$0.68 \pm 0.34$</td>
<td>$0.18 \pm 0.07$</td>
<td>$0.22 \pm 0.20$</td>
<td>$0.06^{+0.15}_{-0.06}$</td>
<td>$1.4 \pm 0.4$</td>
<td>1</td>
</tr>
<tr>
<td>SR1noZb</td>
<td>$0.219^{+0.036}_{-0.035}$</td>
<td>$0.050 \pm 0.026$</td>
<td>$0.17 \pm 0.07$</td>
<td>$0.09 \pm 0.04$</td>
<td>$0.30 \pm 0.26$</td>
<td>$3.1^{+1.0}_{-0.9}$</td>
<td>$2.9^{+1.0}_{-0.9}$</td>
<td>1</td>
</tr>
<tr>
<td>SR2noZb</td>
<td>$0.112^{+0.025}_{-0.024}$</td>
<td>$0.016 \pm 0.009$</td>
<td>$0.27^{+0.28}_{-0.27}$</td>
<td>$0.040 \pm 0.018$</td>
<td>$0.13 \pm 0.12$</td>
<td>$2.5^{+0.9}_{-1.0}$</td>
<td>$3.0 \pm 1.0$</td>
<td>6</td>
</tr>
<tr>
<td>SR0Z</td>
<td>$1.09^{+0.26}_{-0.21}$</td>
<td>$0.25 \pm 0.13$</td>
<td>$2.6 \pm 1.2$</td>
<td>$1.0 \pm 0.5$</td>
<td>$0.60^{+0.22}_{-0.21}$</td>
<td>$0.00^{+0.09}_{-0.00}$</td>
<td>$5.6 \pm 1.4$</td>
<td>7</td>
</tr>
<tr>
<td>SR1Z</td>
<td>$0.59^{+0.11}_{-0.10}$</td>
<td>$0.042 \pm 0.022$</td>
<td>$0.41 \pm 0.19$</td>
<td>$0.22 \pm 0.11$</td>
<td>$0.14 \pm 0.05$</td>
<td>$1.0 \pm 0.5$</td>
<td>$2.5 \pm 0.6$</td>
<td>3</td>
</tr>
<tr>
<td>SR2Z</td>
<td>$0.70^{+0.12}_{-0.11}$</td>
<td>$0.0018 \pm 0.0015$</td>
<td>$0.035 \pm 0.024$</td>
<td>$0.039 \pm 0.014$</td>
<td>$0.14^{+0.04}_{-0.05}$</td>
<td>$0.9 \pm 0.5$</td>
<td>$1.8 \pm 0.5$</td>
<td>1</td>
</tr>
</tbody>
</table>
TABLE X. Observed and expected 95% CL upper limits on the number of signal events ($N_{\text{obs}}^{\text{SM}}$ and $N_{\text{exp}}^{\text{SM}}$, respectively), and observed and expected 95% CL upper limits on the visible cross section ($\sigma_{\text{vis}}^{\text{obs}}$ and $\sigma_{\text{vis}}^{\text{exp}}$, respectively) for each of the signal regions. The probability ($p_0$) that a background-only experiment is more signal-like than the observation (truncated at 0.5) and, when $p_0 < 0.5$, the significance of the difference between the observed data and the expectation expressed as a number of standard deviations ($N_e$) are also given. The asymptotic calculation [marked "(asy.") of the results for $\sigma_{\text{vis}}$ is included for comparison with the results using pseudoeperiments. The number of observed data events and expected background events in each region is also repeated from Table IX for completeness.

<table>
<thead>
<tr>
<th>$\Sigma$</th>
<th>SM</th>
<th>Data</th>
<th>$N_{\text{obs}}^{\text{SM}}$</th>
<th>$N_{\text{exp}}^{\text{SM}}$</th>
<th>$\sigma_{\text{vis}}^{\text{obs}}$ [fb] (asy.)</th>
<th>$\sigma_{\text{vis}}^{\text{exp}}$ [fb] (asy.)</th>
<th>$p_0$</th>
<th>$N_e$</th>
</tr>
</thead>
<tbody>
<tr>
<td>SR0noZa</td>
<td>1.6 ± 0.5</td>
<td>3</td>
<td>5.9</td>
<td>4.4_{-1.0}^{+1.6}</td>
<td>0.29 (0.29)</td>
<td>0.22_{-0.08}^{+0.06} (0.21_{-0.07}^{+0.12})</td>
<td>0.15</td>
<td>1.02</td>
</tr>
<tr>
<td>SR1noZa</td>
<td>4.6_{-1.2}^{+1.3}</td>
<td>4</td>
<td>5.7</td>
<td>5.9_{-1.5}^{+2.5}</td>
<td>0.28 (0.27)</td>
<td>0.29_{-0.12}^{+0.07} (0.30_{-0.09}^{+0.15})</td>
<td>0.50</td>
<td>⋯</td>
</tr>
<tr>
<td>SR2noZa</td>
<td>4.0_{-1.3}^{+1.2}</td>
<td>7</td>
<td>9.2</td>
<td>6.1_{-1.4}^{+2.5}</td>
<td>0.45 (0.45)</td>
<td>0.30_{-0.07}^{+0.12} (0.31_{-0.09}^{+0.15})</td>
<td>0.13</td>
<td>1.14</td>
</tr>
<tr>
<td>SR0noZb</td>
<td>1.4 ± 0.4</td>
<td>1</td>
<td>3.7</td>
<td>3.9_{-1.4}^{+1.9}</td>
<td>0.18 (0.17)</td>
<td>0.19_{-0.08}^{+0.07} (0.19_{-0.07}^{+0.11})</td>
<td>0.50</td>
<td>⋯</td>
</tr>
<tr>
<td>SR1noZb</td>
<td>2.9_{-0.9}^{+1.0}</td>
<td>1</td>
<td>3.5</td>
<td>4.7_{-1.2}^{+1.9}</td>
<td>0.17 (0.17)</td>
<td>0.23_{-0.06}^{+0.09} (0.24_{-0.08}^{+0.13})</td>
<td>0.50</td>
<td>⋯</td>
</tr>
<tr>
<td>SR2noZb</td>
<td>3.0 ± 1.0</td>
<td>6</td>
<td>8.7</td>
<td>5.6_{-1.3}^{+2.3}</td>
<td>0.43 (0.43)</td>
<td>0.28_{-0.09}^{+0.11} (0.28_{-0.09}^{+0.14})</td>
<td>0.10</td>
<td>1.30</td>
</tr>
<tr>
<td>SR0Z</td>
<td>5.6 ± 1.4</td>
<td>7</td>
<td>8.1</td>
<td>6.7_{-1.6}^{+2.7}</td>
<td>0.40 (0.40)</td>
<td>0.33_{-0.08}^{+0.13} (0.34_{-0.10}^{+0.16})</td>
<td>0.29</td>
<td>0.55</td>
</tr>
<tr>
<td>SR1Z</td>
<td>2.5 ± 0.6</td>
<td>3</td>
<td>5.3</td>
<td>4.7_{-1.1}^{+1.9}</td>
<td>0.26 (0.26)</td>
<td>0.23_{-0.08}^{+0.09} (0.23_{-0.08}^{+0.13})</td>
<td>0.34</td>
<td>0.40</td>
</tr>
<tr>
<td>SR2Z</td>
<td>1.8 ± 0.5</td>
<td>1</td>
<td>3.5</td>
<td>4.1_{-0.8}^{+1.7}</td>
<td>0.17 (0.17)</td>
<td>0.20_{-0.04}^{+0.08} (0.21_{-0.07}^{+0.12})</td>
<td>0.50</td>
<td>⋯</td>
</tr>
</tbody>
</table>

The $\Sigma$ SM, taken into account via nuisance parameters in the fit. By normalizing the limits by the integrated luminosity of the data sample, they can be interpreted as upper limits on the visible BSM cross section, $\sigma_{\text{vis}}$, defined as the product of acceptance, reconstruction efficiency and production cross section. The results of both the asymptotic calculations [113] and pseudoeperiments for $\sigma_{\text{vis}}$ are given in Table X. In addition, the probability ($p_0$) that a background-only experiment is more signal-like than the observation is quoted for each region, as well as the significance of upward fluctuations. Where the observed number of data events is lower than the background prediction, $p_0$ is truncated at 0.5 and no significance is quoted. No significant deviation is found from SM expectations in any of the signal regions, within statistical and systematic uncertainties. The model-independent limits on $\sigma_{\text{vis}}$ all lie below 0.5 fb.

The $E_{\text{miss}}^\text{miss}$ and $m_{\text{eff}}$ distributions in all signal regions are shown in Figs. 5–7. For each signal region, a SUSY signal model is superimposed on the SM background prediction, for illustration. RPC simplified models are chosen to illustrate SR0noZa and SR2noZa (R-slepton and stau models, respectively), for which these regions are designed. Similarly, the GGM model with $\tan \beta = 30$ illustrates the sensitivity of SR0Z to SUSY. A variety of RPV simplified models with different experimental signatures are used to illustrate the sensitivity of the remaining signal regions. Good agreement is again seen between SM background expectations and data, within uncertainties.

XI. INTERPRETATIONS IN NEW PHYSICS SCENARIOS

The results of this analysis are interpreted in RPV simplified models, for various assumed $\lambda_{ijk}$ parameters, as well as in the RPC simplified models and in RPC GGM models, all presented in Sec. II. As more than one signal region may be sensitive to any particular scenario, a statistical combination of different signal regions is performed to extract the limits. Section VI defines three pairs of overlapping signal regions in which a $Z$-veto is applied (SR0noZa/b, SR1noZa/b and SR2noZa/b). For each mass point in every model considered, the signal region providing the best expected sensitivity for that model is chosen from each pair. The three selected $Z$-veto signal regions are combined with each other and with the remaining three signal regions (SR0Z, SR1Z and SR2Z), taking into account possible correlations of systematic uncertainties between signal regions. Asymptotic formulas for the test statistic distribution [113] are used when setting model-dependent limits, and signal contamination in the control regions is accounted for.

A. RPV simplified models

The observed and expected 95% CL exclusion limit contours for the RPV chargino NLSP and gluino NLSP simplified models discussed in Sec. II are shown in Fig. 8. The colored band around the median expected limit shows the $\pm 1\sigma$ variations on the limit, including all uncertainties except the theoretical uncertainty on the signal cross section. Different choices of $\lambda_{ijk}$ parameters correspond to differently colored bands, as per labels in the legend. The dotted lines indicate changes in the corresponding observed limit due to $\pm 1\sigma$ variations of the signal cross section by the theoretical uncertainty. The conservative $-1\sigma$ variation is used to quote limits. Similar conventions are adopted for all exclusion contours and corresponding limits. Figure 9 shows the observed and expected 95% CL limit contours for the RPV L-slepton NLSP, R-slepton NLSP and sneutrino NLSP simplified models.
In all cases, the observed limit is determined primarily by the production cross section of the signal process, with stronger constraints on models where $\lambda_{121}$ or $\lambda_{122}$ dominate, and less stringent limits for tau-rich decays via $\lambda_{133}$ or $\lambda_{233}$. Limits on models with different combinations of $\lambda_{ijk}$ parameters can generically be expected to lie between these extremes. The limits are in many cases nearly insensitive to the $\tilde{\chi}_1^0$ mass, except where the $\tilde{\chi}_1^0$ is significantly less massive than the NLSP. When this is the case [for example, $m_{\tilde{\chi}_1^0} \lesssim 50$ GeV in Fig. 9(a)], the $\tilde{\chi}_1^0$ produced in the NLSP decay has substantial momentum in the laboratory frame of reference, and its decay products either tend to travel close to the $\tilde{\chi}_1^0$ direction, becoming collimated, or one of
the leptons becomes soft. These effects reduce the analysis acceptance and efficiency, especially if the LSP decays to one or more hadronically decaying taus. Where the NLSP → LSP cascade may also produce leptons (specifically, the chargino and slepton models), the observed limit may also become weaker as $m_{\chi^0_1}$ approaches the NLSP mass, and the cascade product momenta fall below threshold.

When the mass of the $\chi^+_1$ LSP is at least as large as 20% of the NLSP mass, and assuming tau-rich LSP decays, lower limits can be placed on sparticle masses, excluding gluinos with masses less than 950 GeV.

![Graphs showing $E_{miss}$ and $m_{eff}$ distributions for data and the estimated SM backgrounds, in signal regions SR0noZb, SR1noZb, and SR2noZb. The irreducible background is estimated from MC simulation while the reducible background is estimated from data using the weighting method. Both the statistical and systematic uncertainties are included in the shaded bands. Each panel shows a relevant SUSY signal model with numbers in parentheses indicating ($m_{NLSP}$, $m_{LSP}$) in GeV.](image)

**FIG. 6** (color online). The $E_{miss}$ and $m_{eff}$ distributions for data and the estimated SM backgrounds, in signal regions (a)–(b) SR0noZb, (c)–(d) SR1noZb, and (e)–(f) SR2noZb. The irreducible background is estimated from MC simulation while the reducible background is estimated from data using the weighting method. Both the statistical and systematic uncertainties are included in the shaded bands. In each panel the distribution for a relevant SUSY signal model is also shown, where the numbers in parentheses indicate ($m_{NLSP}$, $m_{LSP}$) in GeV.
winolike charginos with masses less than 450 GeV; and L(R)-sleptons with masses less than 300 (240) GeV. If instead the LSP decays only to electrons and muons, the equivalent limits are approximately 1350 GeV for gluinos, 750 GeV for charginos, 490 (410) GeV for L(R)-sleptons, and a lower limit of 400 GeV can also be placed on sneutrino masses. These results significantly improve upon previous searches at the LHC, where gluino masses of up to 1 TeV [28] and chargino masses of up to 540 GeV [26] were excluded.

FIG. 7 (color online). The $E_T^{miss}$ and $m_{eff}$ distributions for data and the estimated SM backgrounds, in signal regions (a)–(b) SR0Z, (c)–(d) SR1Z and (e)–(f) SR2Z. The irreducible background is estimated from MC simulation while the reducible background is estimated from data using the weighting method. Both the statistical and systematic uncertainties are included in the shaded bands. In each panel the distribution for a relevant SUSY signal model is also shown, where the numbers in parentheses indicate ($\mu$, $m_{\tilde{\chi}^0}$) for (a)–(b), or ($m_{NLSP}$, $m_{LSP}$) for (c)–(f), where all masses are in GeV.
B. RPC simplified models

The observed and expected 95% CL limit contours for the R-slepton RPC simplified models considered in this paper are shown in Fig. 10(a), while Figs. 10(b) and 10(c) present the observed and expected 95% CL limits on the production cross section for the stau and ZRPC simplified models, respectively, assuming zero mass for $\tilde{\chi}_1^0$.

The strongest constraints for RPC models are obtained in the R-slepton model. In this case, $\tilde{\chi}_{2,3}^0$ with masses of up to 620 GeV are excluded if the LSP is massless. As the LSP mass increases, the leptons from the cascade become less energetic, decreasing the analysis acceptance.

FIG. 8 (color online). The observed (solid) and expected (dashed) 95% CL exclusion limit contours for the RPV (a) chargino NLSP and (b) gluino NLSP simplified models, assuming a promptly decaying LSP. The exclusion limits include all uncertainties except the theoretical cross-section uncertainty for the signal, the effect of which is indicated by the dotted lines either side of the observed exclusion limit contours. The shaded bands around each expected exclusion limit curve show the $\pm 1\sigma$ results. No events above the diagonal dashed line were generated.

FIG. 9 (color online). The 95% CL exclusion limit contours for the RPV (a) L-slepton NLSP, (b) R-slepton NLSP and (c) sneutrino NLSP simplified models, assuming a promptly decaying LSP. For further details see Fig. 8.

G. Aad et al. PHYSICAL REVIEW D 90, 052001 (2014)
C. RPC GGM Models

The observed and expected 95% CL limit contours for the two GGM models considered in this paper are shown in Fig. 11. Only regions with a Z boson requirement are statistically combined to extract these limits.

Independently of the value of $\mu$, gluinos with $m_{\tilde{g}} < 700$ GeV are excluded for $\tan\beta = 1.5$. For very large gluino masses, the direct production of $\tilde{\chi}_1^0$, $\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ becomes dominant, and values of $\mu$ between 200 and about 230 GeV are excluded for any gluino mass. For the larger value of $\tan\beta = 30$, the limits are weaker: gluinos with masses less than about 640 GeV are excluded at 95% CL.
XII. SUMMARY

A search has been performed for SUSY signals in final states with four or more leptons using the ATLAS detector, based on a data sample corresponding to 20.3 fb\(^{-1}\) of proton-proton collisions delivered by the LHC at \(\sqrt{s} = 8\) TeV in 2012. The analysis targets lepton-rich RPV and RPC SUSY signals, including those from GGM SUSY, which can be either enriched in or depleted of Z-boson production. No significant deviation is observed from SM expectations, within statistical and systematic uncertainties. The null result is interpreted by providing 95% CL upper limits on the visible cross section of new processes within each signal region, which lie between 0.17 and 0.45 fb, depending on the final state.

Limits are also placed on sparticle masses in specific SUSY models. In RPV models where the LSP decays only to electrons and muons, the 95% CL lower mass limits are the following: 1350 GeV for the gluino, 750 GeV for winolike charginos and 490 (410) GeV for L(R)-sleptons. Slightly less stringent limits are placed on the same parameters for RPV models with tau-rich decays. In both cases the mass of the LSP is assumed to be at least as large as 20% of the NLSP mass. A limit of 400 GeV can be placed on sneutrino masses for RPV models with electron and muon decays of the LSP.

The strongest constraints for RPC models are obtained in the R-slepton model, where \(\tilde{\chi}^0_{2,3}\) with masses of up to 620 GeV are excluded if the LSP is massless.

For the GGM model with \(\tan \beta = 1.5\), values of \(\mu\) between 200 and about 230 GeV are excluded for any gluino mass, and gluinos with \(m_{\tilde{g}} < 700\) GeV are excluded independently of the value of \(\mu\). For \(\tan \beta = 30\), gluinos with masses less than about 640 GeV are excluded at 95% CL.

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G. Aad et al.


SEARCH FOR SUPERSYMMETRY IN EVENTS WITH FOUR ...

G. AAD et al.  

PHYSICAL REVIEW D 90, 052001 (2014)  

052001-28


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PHYSICAL REVIEW D 90, 052001 (2014)

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