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### The quench action approach to out-of-equilibrium quantum integrable models

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# D

## Spin content of the Néel state

In this section we compute the expectation value of the number of infinite rapidities in the Néel state. We use that for zero-magnetization states the average number of rapidities at infinity is related to the average total spin, where spin refers to the global  $SU(2)$  symmetry.

### D.1 Global spin operators

It is well known that the spin-1/2 XXX Hamiltonian ( $\Delta = 1$ ) exhibits a global  $SU(2)$  symmetry. Let us consider the global  $SU(2)$  operators (here and in the following we choose  $N$  even, such that zero magnetization states are always possible)

$$S^\alpha = \sum_{j=1}^N s_j^\alpha, \quad \text{for } \alpha = x, y, z, +, - . \quad (\text{D.1})$$

The operators  $s_j^\alpha = \sigma_j^\alpha/2$  represent the local spin degrees of freedom and act locally as  $SU(2)$  operators. They have the usual commutation relations

$$[s_j^\alpha, s_k^\beta] = i\delta_{jk}\epsilon_{\alpha\beta\gamma}s_k^\gamma \quad \text{for } \alpha, \beta, \gamma \in \{x, y, z\} \quad (\text{D.2})$$

where  $\epsilon_{\alpha\beta\gamma}$  is the total anti-symmetric epsilon tensor. Using the definitions  $s_j^\pm = s_j^x \pm i s_j^y$  these commutation relations transform into  $[s_j^z, s_k^\pm] = \pm\delta_{jk}s_k^\pm$  and  $[s_j^+, s_k^-] = 2\delta_{jk}s_k^z$ . Similar relations hold for the global operators,

$$[S^z, S^\pm] = \pm S^\pm \quad \text{and} \quad [S^+, S^-] = 2S^z . \quad (\text{D.3})$$

The total spin operator

$$S^2 \equiv \vec{S}^2 = \sum_{\alpha=x,y,z} S^\alpha S^\alpha = \frac{1}{2}(S^+S^- + S^-S^+) + (S^z)^2 = S^+S^- - S^z + (S^z)^2 \quad (\text{D.4})$$

## D. Spin content of the Néel state

is a central element of  $SU(2)$ , *i.e.*,  $[S^2, S^\alpha] = 0$  for all  $\alpha = x, y, z, +, -$ .

The Hilbert space of the XXX chain is given by an  $N$ -fold tensor product of local spin-1/2  $SU(2)$  representation spaces. Due to the global  $SU(2)$  symmetry, we can choose simultaneous eigenstates of  $S^z$  and  $S^2$  with eigenvalues  $s^z$  and  $s(s+1)$ , respectively, as an orthonormal basis of the Hilbert space. The eigenstates are denoted by  $|s, s^z, a\rangle$ , where the integer values  $s$ ,  $s^z$ , and  $a$  are restricted by  $0 \leq s \leq N/2$ ,  $-s \leq s^z \leq s$ , and  $1 \leq a \leq A_N(s)$ . Here,  $A_N(s)$  is the number of  $(2s+1)$ -multiplets in the  $N$ -fold tensor product of  $SU(2)$  spin-1/2 representations,

$$A_N(s) = \binom{N}{\frac{N}{2} - s} - \binom{N}{\frac{N}{2} - s - 1}. \quad (\text{D.5})$$

The Bethe states, which are constructed as eigenstates of the operator  $S^z$ , form multiplets of the global  $SU(2)$  symmetry. A highest-weight state  $|s, s, a\rangle$  is a Bethe state with  $N/2 - s$  finite rapidities and zero rapidities at infinity. Other states of the multiplet, with  $s^z < s$ , are constructed by repeatedly applying ( $s - s^z$  times) the total spin-lowering operator  $S^-$  to the highest-weight state. This operator can be interpreted as the creation of a magnon with zero momentum, corresponding to a rapidity at infinity, see Eq. (2.55). Infinite rapidities decouple from the Bethe equations and the newly obtained state remains an eigenstate of the Hamiltonian. A generic state  $|s, s^z, a\rangle$  can therefore be seen as a Bethe state with  $N/2 - s$  finite rapidities, supplemented by  $s - s^z$  infinite rapidities.

Let us define the operator  $\hat{N}_\infty$ , counting the number of infinite rapidities, *i.e.*,  $\hat{N}_\infty |s, s^z, a\rangle = (s - s^z) |s, s^z, a\rangle$ . Note that  $\hat{N}_\infty$  is a conserved quantity. We are interested in the expectation value of the number of infinite rapidities on the Néel state. For a generic zero-magnetization state  $|\psi\rangle$  we easily find

$$\langle \psi | \hat{N}_\infty | \psi \rangle = \sum_{s=0}^{N/2} s \sum_{a=1}^{A_N(s)} |\langle \psi | s, 0, a \rangle|^2 = \sum_{s=0}^{N/2} s C_s, \quad (\text{D.6})$$

where  $C_s$  can be interpreted as a measure of how much overlap the state  $|\psi\rangle$  has with the total spin- $s$  sector.

To find this “spin content” of a generic state, define the function  $f_N$  as the Fourier transform of the coefficient  $C_s$ ,

$$f_N(x) = \sum_{s=0}^{N/2} C_s e^{2s(s+1)x/N}. \quad (\text{D.7})$$

The inverse transformation exists and yields

$$\frac{2}{i\pi N} \int_0^{i\pi N/2} dx f_N(x) e^{-2t(t+1)x/N} = \dots$$

$$\dots = \sum_{s=0}^{N/2} C_s \left( \frac{2}{i\pi N} \int_0^{i\pi N/2} dx e^{2[s(s+1)-t(t+1)]x/N} \right) = C_t, \quad (\text{D.8})$$

where we used that  $[s(s+1) - t(t+1)] = 0$  if and only if  $s = t$  for nonnegative integers  $s$  and  $t$ . The coefficient  $C_s$  is thus determined by the function  $f_N$ , which can be expressed by its Taylor series around  $x = 0$ ,

$$\begin{aligned} f_N(x) &= \sum_{n=0}^{\infty} \frac{1}{n!} f_N^{(n)}(0) x^n = \sum_{n=0}^{\infty} \frac{1}{n!} \sum_{s=0}^{N/2} C_s s^n (s+1)^n \left( \frac{2x}{N} \right)^n \\ &= \sum_{n=0}^{\infty} \frac{1}{n!} \left( \frac{2x}{N} \right)^n \langle \psi | (S^+ S^-)^n | \psi \rangle. \end{aligned} \quad (\text{D.9})$$

For the last equality, we used Eq. (D.4), the zero-magnetization property and the following expression for the expectation value of the total-spin operator

$$\langle \psi | (S^2)^n | \psi \rangle = \sum_{s=0}^{N/2} s^n (s+1)^n \sum_{a=1}^{A_N(s)} |\langle \psi | s, 0, a \rangle|^2 = \sum_{s=0}^{N/2} s^n (s+1)^n C_s. \quad (\text{D.10})$$

It is convenient to bring the operators  $S^+$  and  $S^-$  of the product  $(S^+ S^-)^n$  in an appropriate order,

$$\langle \psi | (S^+ S^-)^n | \psi \rangle = \sum_{m=0}^n c_m^{(n)} \langle \psi | (S^+)^m (S^-)^m | \psi \rangle. \quad (\text{D.11})$$

As shown in D.3, the coefficients  $c_m^{(n)}$  are Legendre-Stirling numbers and given by

$$c_0^{(0)} = 1, \quad c_m^{(n)} = \sum_{r=1}^m \frac{(-1)^{r+m} (2r+1) r^n (r+1)^n}{(m+r+1)! (m-r)!} \quad (\text{D.12})$$

for  $n \geq 1$ . Furthermore, the expectation values of the operator  $(S^+ S^-)^m$  on an arbitrary zero-magnetization state cannot be evaluated in general. However, let us focus on a special class of states that can be expressed in the local spin basis as a single product of local spin lowering operators acting on the fully-polarized state (*e.g.* the Néel state),

$$|\psi\rangle = |\{n_j\}_{j=1}^{N/2}\rangle = \prod_{j=1}^{N/2} s_{n_j}^- |\uparrow\rangle^{\otimes N}. \quad (\text{D.13})$$

The integers  $\{n_j\}_{j=1}^{N/2}$  with  $1 \leq n_1 < \dots < n_{N/2} \leq N$  label the positions of the downspins. One easily finds

$$\langle \psi | (S^+)^m (S^-)^m | \psi \rangle = \langle \{n_j\}_{j=1}^{N/2} | (S^+)^m (S^-)^m | \{n_j\}_{j=1}^{N/2} \rangle = (m!)^2 \binom{N/2}{m}.$$

(D.14)

Plugging Eqs (D.12) and (D.14) into Eq. (D.9), we eventually obtain

$$\begin{aligned}
 f_N(x) &= c_0^{(0)} + \sum_{n=1}^{\infty} \sum_{m=1}^n \frac{(m!)^2}{n!} \binom{N/2}{m} \sum_{r=1}^m \frac{(-1)^{r+m} (2r+1) r^n (r+1)^n}{(m+r+1)! (m-r)!} \left(\frac{2x}{N}\right)^n \\
 &= 1 + \sum_{m=1}^{N/2} \sum_{r=1}^m (m!)^2 \binom{N/2}{m} \frac{(-1)^{r+m} (2r+1)}{(m+r+1)! (m-r)!} \sum_{n=1}^{\infty} \frac{1}{n!} \left(\frac{2r(r+1)x}{N}\right)^n \\
 &= 1 + \sum_{m=1}^{N/2} \sum_{r=1}^m \binom{N/2}{m} \frac{(-1)^{r+m} (m!)^2 (2r+1)}{(m+r+1)! (m-r)!} \left(e^{2r(r+1)x/N} - 1\right). \quad (\text{D.15})
 \end{aligned}$$

We used that  $c_m^{(n)} = 0$  if  $m = 0$  or  $m > n$ , as can be seen from Eq. (D.12). Using now the inverse Fourier transform (D.8) we can read off the coefficients  $C_s$ . They are given by

$$C_s = \sum_{m=s}^{N/2} \binom{N/2}{m} \frac{(-1)^{s+m} (m!)^2 (2s+1)}{(m+s+1)! (m-s)!} = \frac{(2s+1) (N/2)!^2}{(N/2-s)! (N/2+s+1)!} = \frac{A_N(s)}{\binom{N}{N/2}}. \quad (\text{D.16})$$

The fact that  $C_s$  is directly proportional to  $A_N(s)$ , the number of all zero-magnetization states in a fixed  $s$ -sector, is remarkable. It means that the average overlap squared is the same ( $= (N/2)!^2/N!$ ) for each sector. Therefore, one cannot argue that overlaps with higher  $s$ , *i.e.*, with more rapidities at infinity,  $N_{\infty} = s$ , decrease with increasing  $s$ . Only the number of zero-magnetization states  $A_N(s)$  per  $s$ -sector decreases with increasing  $s$  for sufficiently large  $s$ .

## D.2 Limit of large number of lattice sites

The formula for  $C_s$ , which is a measure of how much spin  $s$  is contained in a zero-magnetization state of the form (D.13) and which is directly proportional to the number  $A_N(s)$  of  $(2s+1)$ -multiplets for a given  $N$ , can be further analyzed in the limit of large lattice site  $N$ .

In the limit  $N \rightarrow \infty$  we use Stirling's formula to manipulate Eq. (D.16). After a straightforward calculation one obtains the scaling of the coefficient  $C_s$  with large  $N$ ,

$$C_s \sim \frac{2(2s+1)}{N} e^{-2s(s+1)/N}. \quad (\text{D.17})$$

This function has a maximum at  $s_0 = (\sqrt{N} - 1)/2 \sim \sqrt{N}/2$  or, to be more precise, at the integer which lies as close as possible to this generally irrational

number. Furthermore, the expectation value of the number of infinite rapidities can be computed analytically,

$$\langle \psi | \hat{N}_\infty | \psi \rangle = \sum_{s=0}^{N/2} s C_s = \frac{1}{2} \left( \frac{2^N (N/2)!^2}{N!} - 1 \right). \quad (\text{D.18})$$

Using Stirling's formula one finds that

$$\lim_{N \rightarrow \infty} \frac{\langle \psi | \hat{N}_\infty | \psi \rangle}{\sqrt{N}} = \sqrt{\frac{\pi}{8}}. \quad (\text{D.19})$$

In the thermodynamic limit, the number of infinite rapidities of the steady state is negligible compared to the total number of rapidities,  $n_\infty = \lim_{N \rightarrow \infty} N_\infty / N = 0$ . This serves as additional evidence for the correctness of the application of the quench action approach to the Néel-to-XXX quench.

### D.3 Legendre-Stirling numbers of the second kind

The coefficients  $c_m^{(n)}$  appear in the reordering of operators  $S^\pm$  in the product  $(S^+ S^-)^n$  to get terms like  $(S^+)^m (S^-)^m$ , see Eq. (D.11). Since we consider this inside expectation values  $\langle \cdot \rangle$  of zero-magnetization states and since for these states

$$\begin{aligned} & \langle S^+ S^- (S^+)^m (S^-)^m \rangle \\ &= \langle (S^+)^{m+1} (S^-)^{m+1} \rangle + (2 + 4 + \dots + 2m) \langle (S^+)^m (S^-)^m \rangle \end{aligned} \quad (\text{D.20})$$

$$= \langle (S^+)^{m+1} (S^-)^{m+1} \rangle + m(m+1) \langle (S^+)^m (S^-)^m \rangle, \quad (\text{D.21})$$

we obtain the relations ( $c_m^{(n)} := 0$  for  $m > n$  or  $m < 0$ )

$$c_0^{(0)} = 1, \quad c_m^{(n+1)} = m(m+1)c_m^{(n)} + c_{m-1}^{(n)} \quad \text{for } 0 \leq m \leq n+1, \quad n \geq 0. \quad (\text{D.22a})$$

These recursion relations define the triangle of Legendre-Stirling numbers of second kind, which have an explicit representation for  $n \geq 1$ ,

$$c_m^{(n)} = \sum_{r=1}^m \frac{(-1)^{r+m} (2r+1) r^n (r+1)^n}{(m+r+1)! (m-r)!}. \quad (\text{D.23})$$