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Published in: Chemical Society Reviews

DOI: 10.1039/c4cs00440j

Link to publication

Citation for published version (APA):

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Uphill diffusion in multicomponent mixtures†

Rajamani Krishna

Molecular diffusion is an omnipresent phenomena that is important in a wide variety of contexts in chemical, physical, and biological processes. In the majority of cases, the diffusion process can be adequately described by Fick’s law that postulates a linear relationship between the flux of any species and its own concentration gradient. Most commonly, a component diffuses down the concentration gradient. The major objective of this review is to highlight a very wide variety of situations that cause the uphill transport of one constituent in the mixture. Uphill diffusion may occur in multicomponent mixtures in which the diffusion flux of any species is strongly coupled to that of its partner species. Such coupling effects often arise from strong thermodynamic non-idealities. For a quantitative description we need to use chemical potential gradients as driving forces. The transport of ionic species in aqueous solutions is coupled with its partner ions because of the electro-neutrality constraints; such constraints may accelerate or decelerate a specific ion. When uphill diffusion occurs, we observe transient overshoots during equilibration; the equilibration process follows serpentine trajectories in composition space. For mixtures of liquids, alloys, ceramics and glasses the serpentine trajectories could cause entry into meta-stable composition zones; such entry could result in phenomena such as spinodal decomposition, spontaneous emulsification, and the Ouzo effect. For distillation of multicomponent mixtures that form azeotropes, uphill diffusion may allow crossing of distillation boundaries that are normally forbidden. For mixture separations with microporous adsorbents, uphill diffusion can cause supra-equilibrium loadings to be achieved during transient uptake within crystals; this allows the possibility of over-riding adsorption equilibrium for achieving difficult separations.

1. Introduction

The quantitative description of diffusion of mixtures of molecules is important to chemists, physicists, biologists, and engineers in a variety of disciplines and applications. For a binary mixture of components 1 and 2, the flux of component 1 with respect to the molar average velocity of the mixture, \( J_1 \), is commonly related to its composition (mole fraction) gradient in the form

\[
J_1 = -c_1 D_{12} \frac{dx_1}{dz}
\]

The linear relation (1) was posited in 1855 by Adolf Fick, a physiologist working as an anatomy demonstrator in Zürich, in analogy to the corresponding laws of conduction of heat and electricity. The coefficient \( D_{12} \) in eqn (1) is the Fick diffusivity, and \( c_1 \) is the total molar concentration of the mixture. Since the mole fractions sum to unity, there is only one independent composition gradient. Unless \( D_{12} < 0 \), the flux \( J_1 \) is directed downhill; this implies that the flux \( J_1 \) has the same sign as \(-\frac{dx_1}{dz}\), as pictured in Fig. 1a. The simplest extension of
This tutorial review has the following set of objectives.

(1) To consider and discuss flux expressions, which adequately describe transient overshoots and serpentine composition trajectories in mixture diffusion. We shall show that the curious characteristics noted in Fig. 1–4 are fingerprints of uphill diffusion. This phenomenon is of relevance in a wide variety of processes.

(2) To stress the need for use of more fundamental chemical potential gradients as driving forces for modelling multi-component mixture diffusion.

(3) To underscore the possibility that serpentine equilibration trajectories may enter meta-stable composition regions of partially miscible liquid mixtures. This could lead to spontaneous emulsification and the formation of meta-stable dispersions.

(4) To suggest the potential of separating azeotropic mixtures by exploiting uphill transport in distillation, and partial condensation processes.

(5) For separations using microporous crystalline adsorbents, to demonstrate that transient overshots may be exploited to

eqn (1) to n-component mixtures is to postulate that each flux is proportional to its own composition gradient,

\[
J_i = -c_i D_i (dx_i/dz) \quad i = 1, 2, \ldots n
\]  

(2) where \( D_i \) is the “effective” Fick diffusivity of component \( i \).

To set the scene for this tutorial, let us examine four sets of data on mixture diffusion: (a) in the gas phase, (b) between two partially miscible liquid phases, (c) in a solid metal phase, and (d) within a microporous crystalline material. The experimental data of Duncan and Tooër on transient approach towards equilibrium of \( H_2(1)–N_2(2)–CO_2(3) \) gas mixtures of two different compositions in bulb A and bulb B, connected using a capillary, are shown in Fig. 1b–d. Let us first examine the equilibration of \( H_2 \) and \( CO_2 \). The composition – time trajectories are as expected; \( H_2 \) diffuses from bulb B to bulb A and the two composition sets approach each other as time progresses. \( CO_2 \) diffuses from bulb A to bulb B in the expected, normal fashion. The diffusion behavior of these two species, \( H_2 \) and \( CO_2 \), may be termed as Fickian, i.e. down their respective composition gradients.

The composition – time trajectory of \( N_2 \) displays several curious phenomena. Initially, the compositions of nitrogen in the two bulbs are almost identical and therefore at the start of the experiment, the composition gradient driving force for \( N_2 \) is practically zero. However, diffusion of \( N_2 \) does take place, decreasing the composition of bulb A; concomitantly the composition of bulb B increases. This is contrary to the Fickian expectations since we have \( dx/dz \approx 0 \); \( J_2 \neq 0 \); \( t \approx 0 \). The composition of nitrogen in bulb A continues to decrease during the time interval \( 0 < t < t_1 \), where \( t_1 \approx 6 \text{ h} \); diffusion of nitrogen is up-hill, i.e. \( J_2 (dx/dz) < 0 \); \( 0 < t < t_1 \). The up-hill diffusion of \( N_2 \) continues to take place until the time \( t = t_1 \) is reached, when the composition profiles in both bulbs tend to plateau. The plateau implies that the diffusion flux of \( N_2 \) is zero, despite the existence of a large driving force. At \( t = t_1 \) we have \( dx/dz \neq 0 \); \( J_2 = 0 \); \( t = t_1 \). Beyond the point \( t = t_1 \), the diffusion behavior of \( N_2 \) is “normal”, i.e. the composition of nitrogen in bulb B (with a higher composition) decreases while the composition of nitrogen in bulb A (with the lower composition) increases. The equilibration trajectory follows a serpentine path in composition space (cf. Fig. 1d).

In the immersion precipitation process for membrane preparation, a 10% solution of cellulose acetate in acetone is immersed in a bath of pure water.6 Fig. 2 depicts the composition trajectories at three different times, as the mixture reaches the equilibrium state (marked EQ). Outside the binodal envelope, we have homogeneous single phase mixtures. Within the spinodal envelope, the mixture splits into two phases. The region between the binodal and spinodal envelopes is meta-stable. The circuitous path followed at \( t = 50 \text{ s} \) has entered the meta-stable region between the binodal and spinodal envelopes; this foray impacts on the membrane structure and properties.6

Fig. 3a presents data on the inter-diffusion of \( Fe–Mg–Ca \) mixtures in garnet, a precious stone consisting of a deep red vitreous silicate mineral.7 Slabs with two different compositions are brought into contact at time \( t = 0 \), and the composition profiles on either side of the interface (\( z = 0 \)) are monitored at various time intervals. The composition profiles at \( t = 100 \text{ h} \) displays spatial overshoot and undershoot for Mg. In composition space, the equilibration trajectory is serpentine in shape (cf. Fig. 3b).

The transient uptake of \( n\text{-hexane(nC6)}–2\text{-methylpentane(2MP)} \) mixtures in microporous crystals of MFI zeolite, exposed to an equimolar gas phase mixture at constant total pressure (=2.6 Pa) is shown in Fig. 4.8 The transient equilibration of \( n\text{C6} \) displays a pronounced overshoot, achieving supra-equilibrium loadings during the initial stages.

The four data sets underscore the inadequacies of eqn (2). Using finite and constant values of \( D_i \) no overshoots or undershoots are permitted; the transient equilibration process must follow straight-line trajectories in composition space.

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achieve separations that are infeasible on the basis of adsorption equilibrium alone.

The ESI† provides detailed derivations of flux-driving force relationships, along with solutions to the model equations describing transient equilibration processes. All the necessary data inputs, diffusivity estimation procedures, and simulation methodologies are provided in the ESI.† This should enable the interested reader to reproduce all the calculations and results presented and discussed here. Here, we focus on explaining the underlying physico-chemical phenomena.

2. Diffusion in ternary gas mixtures

For a quantitative description of the Duncan–Toor experimental data in Fig. 1, we need to adopt the Maxwell–Stefan (M–S) diffusion formulation, developed independently by James Clerk Maxwell and Josef Stefan about 150 years ago. In their approach, the composition gradients are expressed as linear functions of the fluxes

\[
\frac{dx_1}{dz} = \frac{x_2 J_1 - x_1 J_2}{c_1 D_{12}} + \frac{x_3 J_1 - x_1 J_3}{c_1 D_{13}},
\]

\[
\frac{dx_2}{dz} = \frac{x_1 J_2 - x_2 J_1}{c_1 D_{12}} + \frac{x_3 J_2 - x_2 J_3}{c_1 D_{23}}.
\]

Only two of the fluxes \(J_i\) are independent because the diffusion fluxes sum to zero, \(J_1 + J_2 + J_3 = 0\). It is noteworthy that Stefan was aware of Maxwell’s work, and commented Das Studium der Maxwell’schen Abhandlung ist nicht leicht! The M–S equations represent the balance between the driving force of any individual component with the friction experienced with each partner species; the M–S pair diffusivity \(D_{ij}\) can be interpreted as an inverse drag coefficient.2,9

![Fig. 1](image-url)
The M–S diffusivities of the binary pairs $D_{12}$, $D_{13}$, and $D_{23}$ are independent of the gas composition, and this is a persuasive advantage over the alternative Onsager formulation of Irreversible Thermodynamics. Furthermore, any pair $D_{ij}$ remains unchanged in a ternary mixture regardless of the choice of the “third” component. The pair diffusivity $D_{ij}$ can be estimated with good accuracy from the kinetic theory of gases.

We can turn eqn (3) inside-out and obtain explicit expressions for the fluxes as linear functions of the driving forces. For subsequent discussions, it is convenient to use a 2-dimensional matrix notation and write

$$
\begin{pmatrix}
J_1 \\
J_2
\end{pmatrix}
= -c \begin{pmatrix}
D_{11} & D_{12} \\
D_{21} & D_{22}
\end{pmatrix}
\begin{pmatrix}
dx_1 \\
dx_2
\end{pmatrix}
$$

Eqn (4) is a proper generalization of Fick’s law for ternary mixtures. Eqn (3) allows the four elements of the matrix $[D]$ to be determined explicitly as functions of the compositions $x_i$, and the M–S pair diffusivities $D_{ij}$.

$$
\begin{bmatrix}
D_{11} & D_{12} \\
D_{21} & D_{22}
\end{bmatrix}
= \frac{D_{13}(x_1D_{23} + (1 - x_1)D_{12})}{x_1D_{13}(D_{23} - D_{12})}
\begin{bmatrix}
D_{23}(x_3D_{13} + (1 - x_3)D_{12}) \\
x_1D_{23} + x_2D_{13} + x_3D_{12}
\end{bmatrix}
$$

Eqn (5) reveals that the off-diagonal elements $D_{12}$ and $D_{23}$ are proportional to differences in the constituent pair diffusivities $D_{ij}$, whereas the diagonal elements $D_{11}$ and $D_{22}$ are weighted averages of $D_{ij}$. In the special case in which all the constituent pairs have identical diffusivities, equal to say $D$, we get

$$
\begin{bmatrix}
D_{11} & D_{12} \\
D_{21} & D_{22}
\end{bmatrix}
= \begin{bmatrix}
D & 0 \\
0 & D
\end{bmatrix}
$$

and each species will diffuse independent of its partners.

For the $\text{H}_2(1)$–$\text{N}_2(2)$–$\text{CO}_2(3)$ gas mixture, the M–S diffusivities are $D_{12} = 8.33$; $D_{13} = 6.8$; $D_{23} = 1.68$ (units $10^{-5}$ m$^2$ s$^{-1}$). At the final, equilibrated composition in the Duncan–Toor experiments, the elements of $[D]$ can be calculated from eqn (5):

$$
[D] = \begin{bmatrix}
7.68 & -0.11 \\
-3.83 & 2.16
\end{bmatrix} \times 10^{-5}
$$

Particularly noteworthy is the large magnitude of $D_{21}$ in relation to that of the corresponding
diagonal element $D_{22}$. The rationalization of the curious behavior of $N_2$ observed in Fig. 1 stems from the contribution of $-D_{21}dx_1/dz$ to the flux of $N_2$, $J_2$; this contribution is additional to the “normal” contribution $-D_{22}dx_2/dz$.

Let us estimate the flux of nitrogen. The composition gradients $dx_j/dz$ can be calculated from the differences between the compositions in the two bulbs, $dx_j/dz = (x_{j,B} - x_{j,A})/\delta$ where $\delta$ is the length of the capillary tube. So, the flux of nitrogen, (component 2) is $J_2 = -c_2(-3.83 \times \Delta x_1 + 2.16 \times \Delta x_2)/\delta \times 10^{-5}$. Fig. 5 presents a plot of the normalized flux of nitrogen as a function of $\Delta x_2$. Initially, $\Delta x_2 = 0$ but the nitrogen flux remains non-zero and equals $-c_2(-3.83 \times \Delta x_1)/\delta \times 10^{-5}$. The driving force for $H_2$, $\Delta x_1 = 0.5$, induces a large positive flux for nitrogen, directed from bulb A to B, causing the nitrogen composition in bulb A to decrease. Between the times $t = 0$ and $t = t_1 = 6$ h, the direction of nitrogen transport is against its intrinsic gradient; this is reverse or uphill diffusion. Put simply, $N_2$ is “dragged” uphill by $H_2$. Indeed the M–S eqn (3) can also be interpreted as a balance between the driving force (left members) and the friction between pairs of species (right member); the factors $1/D_{ij}$ may be regarded as “drag coefficients”.2,9

At the point $t = t_1 = 6$ h, the compositions of $N_2$ in both bulbs reach a plateau, and so we have $J_2 = -c_2(-3.83 \times \Delta x_1 + 2.16 \times \Delta x_2)/\delta \times 10^{-5} = 0$, despite the existence of a significant driving force $\Delta x_2$. Nitrogen experiences a diffusion “barrier”. Beyond the point $t > 6$ h, the diffusion behavior of nitrogen is “normal”.

The continuous solid lines in Fig. 1c and d are the simulations of the equilibration trajectories obtained by solving eqn (4).
in conjunction with the equations of continuity of mass; details are available in the ESI.†

The observed occurrence of uphill diffusion is not a violation of the second law of thermodynamics. The second law requires that the total rate of entropy production by all diffusing species should be positive definite, i.e. 

\[ s = R \sum_{i=1}^{g} J_i \frac{1}{x_i} \frac{d x_i}{d z} \geq 0. \]

Any component \( k \) in the mixture may consume entropy by diffusing uphill, i.e. 

\[ -f_k/\left( d x_k/dz \right) < 0, \]

provided the partner components in the mixture produce entropy at such a rate that the overall rate of entropy production \( \sigma \) remains positive definite. In the Duncan–Toor experiments, \( \text{H}_2 \) and \( \text{CO}_2 \) pump \( \text{N}_2 \) uphill during the period \( 0 < t < 6 \text{ h} \).

Arnold and Toor report experimental data on the transient equilibration of \( \text{CH}_4(1)–\text{Ar}(2)–\text{H}_2(3) \) gas mixtures of two different compositions in the top and bottom compartments of a Loschmidt tube; see Fig. 6a. The driving forces for the three components are: \( \Delta x_1 = -0.515; \Delta x_2 = 0.024; \Delta x_3 = 0.491 \). We note that the driving force for \( \text{Ar} \) is significantly lower than that of its two partners. The equilibration of \( \text{CH}_4 \) and \( \text{H}_2 \) occurs “normally”, and monotonously. The equilibration of \( \text{Ar} \), however, shows an overshoot (in bottom compartment) and an undershoot (in top compartment). In the ternary composition space, the equilibration follows a serpentine trajectory (cf. Fig. 6b).

The use of the flux relations (4) with the diffusivity values 

\[ [D] = \begin{pmatrix} 4.44 & 1.83 \\ -3.64 & 6.3 \end{pmatrix} \times 10^{-5}, \]

calculated at the equilibrated compositions using eqn (5), provides a near-quantitative description of the observed trajectories. In these experiments, \( \text{Ar} \) is dragged uphill by its partner \( \text{H}_2 \).

Within lung airways, normally at least four gases are involved: \( \text{O}_2, \text{CO}_2, \text{N}_2 \) and \( \text{H}_2\text{O} \); the M–S eqn (3) is commonly used to model pulmonary gas transport. The transport of the fresh breathed-in air towards the acini of human beings with chronic obstructive bronchopneumopathy, such as asthma, is rendered difficult due to bronchoconstriction and other factors. Such patients need some respiratory support to allow the oxygen to be transported through the proximal bronchial tree and then to be diffused into the distal one. One such support system consists of the inhalation of a mixture of heliox (20% \( \text{O}_2 \); 80% \( \text{He} \)), that facilitates the transport of oxygen. Oxygen exhibits an overshoot during transient uptake of heliox by the patient (cf. Fig. 7). The overshoot arises because \( \text{O}_2 \) gets dragged uphill during the early transience; experimental data verify this \( \text{O}_2 \) overshoot. Uphill transport is an essential part of the heliox therapy.

If we condense a 2-propanol(1)–water(2) vapor mixture of azeotropic composition, the composition of the condensed liquid will be identical to that in the vapor phase and no separation can be achieved, because there is no driving force for diffusion of either species. If the condensation of the vapor mixture is conducted in the presence of a third component such as nitrogen, that is inert (i.e. does not condense), the situation changes because we now have to reckon with diffusion in a ternary vapor mixture 2-propanol(1)–water(2)–nitrogen(3); see Fig. 8. For 85% inert in the vapor mixture, the Fick diffusivity matrix is 

\[ [D] = \begin{pmatrix} 1.06 & -0.065 \\ 0.032 & 2.34 \end{pmatrix} \times 10^{-5}. \]
The condensation of the vapor mixture will result in a liquid composition that is different from the azeotropic composition; this is due to the higher mobility of water molecules in the vapor phase. The contribution of the cross-term, $D_{21} \Delta x_1$, will serve to enhance the flux of water vapor (component 2). The net result is that the condensate will be higher in water content than in the azeotropic composition. There is experimental evidence to confirm that diffusion coupling can be harnessed for separating azeotrope mixtures.

3. Phase stability and diffusion in non-ideal liquid mixtures

The proper description of diffusion trajectories in partially miscible liquid mixtures is important for the design and development of liquid–liquid extraction and emulsification processes. As illustration, Fig. 9 shows the phase equilibrium diagram for water–glycerol–acetone mixtures. Let us bring a glycerol–water mixture of composition $A$ in contact with an acetone–water mixture of composition $B$. The resulting mixture has the composition $M$, which falls within the spinodal envelope. This mixture is unstable and will separate into two liquid phases of compositions $C$ and $D$, which are at the ends of the tie-line; $C$ and $D$ are in thermodynamic equilibrium and lie on the binodal curve. The binodal and spinodal curves converge at the plait point. The separation process of liquid extraction is based on the fact that the equilibrated compositions $C$ and $D$ are different from the corresponding initial compositions $A$ and $B$. The use of eqn (2), with the assumption that $D_1 = D_2 = D_3 = D$, anticipates that the $A$–$C$ and $B$–$D$ equilibration trajectories are both linear. The $A$–$C$ and $B$–$D$ equilibration trajectories, determined experimentally in a stirred two-compartment Lewis stirred cell, are observed to follow curvilinear paths. The use of eqn (2) cannot accurately model the observed equilibration trajectories.

Whether the equilibration process follows a straight-line path or a serpentine path is of crucial importance if we wish to form metastable dispersions. For example, if the equilibration of mixtures $E$ and $F$ were to follow a straight-line path, the entire equilibration process remains in the homogeneous single-phase region. However, if a serpentine path is followed, a foray into the meta-stable region occurs; such a foray may result in spontaneous emulsification and the “Ouzo” effect, as explained hereunder.

The aniseed-based alcoholic beverage variously called Ouzo, Anis del Mono, Pastis, Sambuca, and Rakı consists of a three component mixture of ethanol ($\approx 45$ vol%), water ($\approx 55$ vol%) and an essential oil called trans-anethol ($\approx 0.1$%). It is consumed with the addition of five volumes of water to one volume of Ouzo. Diffusion of water into the ethanol/trans-anethol phase results in super-saturation of the oil phase, which spontaneously nucleates into tiny droplets. The 1 nm sized droplets scatter light, causing the drink to appear milky white. Vitale and Katz have coined the generic term “Ouzo effect” to describe such a process of creating meta-stable liquid–liquid dispersions. Since no input of mechanical energy is involved, this offers an energy-efficient method of producing nanospheres and nanoparticles.

With above background and motivation, let us see how the diffusion process should be modelled to the required level.
of accuracy. Unlike the case of ideal gas mixtures, discussed in
the foregoing section, non-ideal solution thermodynamics has a
strong influence on mixture diffusion in liquids. The thermo-
dynamic influences are particularly strong in the vicinity of
phase transition regions. The description of diffusion requires
the use of chemical potential gradients as driving forces. For
n-component liquid mixtures, the M–S eqn (3) can be modified
by replacing the mole fraction gradient by the “generalized”

driving force
\[
x_i \frac{d \mu_i}{RT} \frac{d \gamma_i}{d \xi} = \sum_{j=1}^{n} x_j d_j - x_i d_i, \quad i = 1, 2, \ldots n
\]

Eqn (6) is consistent with the Onsager theory of Irreversible
Thermodynamics, the Onsager Reciprocal Relations demand
the symmetry \( D_{ij} = D_{ji} \). It is not convenient to work with
\((x_i/RT)(d \mu_i/d \xi)\), so we need to relate these to \(d x_i/d \xi\), that
can be determined from measurements. We introduce the compo-
ent activities, \( a_i = \gamma_i x_i \) where \( \gamma_i \) is the activity coefficient in
solution, and write
\[
x_i \frac{d \mu_i}{RT} = x_i \frac{d \ln a_i}{d \xi} = \frac{1}{\gamma_i} \frac{d (\gamma_i x_i)}{d \xi}, \quad i = 1, 2, \ldots n
\]

A comparison of relation (1) with eqn (7) shows that the Fick
diffusivity equals the M–S diffusivity \( D_{ij} \), times the thermodynamic
factor \( \Gamma \), also referred to as the “Darken correction factor”

\[
D_{ij} = D_{ij} \Gamma
\]

Darken\(^{20}\) was among the first to recognize the need to
use activity gradients as proper driving forces when setting up
the phenomenological relationships to describe diffusion in
metal alloys.

In order to appreciate the strong influence of \( \Gamma \) on the Fick
diffusivity, let us consider diffusion in methanol(1)–n-hexane(2)
mixtures which has an upper critical solution temperature
(UCST) of 308 K, and a critical composition \( x_1 = 0.5 \). The phase
equilibrium diagram is shown in Fig. 10a. Fig. 10b presents
calculations of \( \Gamma \) as a function of the mole fraction of methanol,
\( x_1 \), for five different temperatures. At any temperature, \( T \), there
is an order of magnitude decrease of \( \Gamma \) as \( x_1 \) approaches the critical
composition from either end of the composition range. The
severe reduction in the magnitude of \( \Gamma \) gets reflected in a
corresponding decrease in the magnitude of the Fick diffusivity
\( D_{ij} \); see experimental data\(^{21}\) in Fig. 10c. If we “factor out” the
influence of \( \Gamma \), we find that the M–S diffusivity \( D_{ij} \) is “well-
behaved” and varies only by a factor of two; see Fig. 10d. A plot of
the logarithm of \( D_{ij} \) versus the \( x_i \) follows a straight-line depen-
dence to a reasonable approximation; this suggests the inter-
polation formula \( D_{ij} = (D_{ij}^{0-1})^{-1} \frac{(D_{ij}^{0-1})^{13}}{(D_{ij}^{0-1})^{13}} \) for estimating
the \( D_{ij} \) from the infinite dilution values at either ends of the
composition range: \( D_{ij}^{0-1} \) and \( D_{ij}^{0-1} \).

In the experiments reported by Vitagliano et al.,\(^{22}\) the Fick
diffusivities, \( D_{ij} \), for triethylamine(1)–water(2) mixtures were
measured at 293.15 K, slightly above the value of UCST = 291.5 K,
at varying compositions approaching the spinodal curve from
either side; see Fig. 10e. Their data clearly demonstrate that the
diffusivities vanish at spinodal composition.

Diffusion near meta-stable and supersaturation regions is of
crucial importance in crystal growth. The diffusivity of urea and
glycine in water plummets to vanishingly low values as the
spinodal compositions are reached;\(^{23,24}\) see Fig. 10f. The strong
concentration dependence of \( D_{ij} \) is dictated by the thermodynamic
factor \( \Gamma \). It is common practice to use chemical potential differ-
ces between the supersaturated solution (the transferring state)
and the crystal (the transferred state), \( [\mu_i - \mu_{eq}] = \ln(a_i/a_{eq}) \), as the
driving force to model crystal growth kinetics.\(^{25}\)

Within the spinodal envelope, we have phase instability,
characterized by \( \Gamma < 0 \); this implies \( D_{ij} < 0 \) and signals uphill
diffusion. In mixtures of metallic alloys and polymeric solu-
tions, uphill diffusion leads to spinodal decomposition. For
visualization of the spinodal decomposition phenomena, web
links to animations are provided in the ESI.†

For ternary mixtures, eqn (6) can be shoe-horned into the
Fickian form (4), yielding an explicit expression for \([D]\)

\[
\begin{bmatrix}
D_{11} & D_{12} \\
D_{21} & D_{22}
\end{bmatrix}
= \begin{bmatrix}
D_{13}(x_1 D_{23} + (1 - x_1) D_{12}) & x_1 D_{23}(D_{13} - D_{12}) \\
x_2 D_{13}(D_{23} - D_{12}) & x_2 D_{23}(D_{13} - D_{12}) - x_2 x_1 D_{12}
\end{bmatrix}
\times \begin{bmatrix}
\Gamma_{11} & \Gamma_{12} \\
\Gamma_{21} & \Gamma_{22}
\end{bmatrix}.
\]

The M–S diffusivities of the constituent binary pairs \( D_{12}, D_{13}, \) and
\( D_{14} \) can be estimated from the infinite dilution values, six in
total, using the same interpolation formula as illustrated in
Fig. 10d;\(^{26}\) details are provided in the ESI.† When these
estimations are combined with the calculations of \( \Gamma \) from
phase equilibrium thermodynamics, eqn (9) affords an estimate of
\([D]\). We will see in the ensuing discussions that coupling
effects in ternary liquid diffusion emanate to a large extent from
contributions of the off-diagonal elements of \([\Gamma]\).

In the homogeneous single phase region, the requirement of
phase stability implies \( |\Gamma| \geq 0; |D| > 0 \). Consequently, the
eigenvalues of \([D]\) must each be positive definite.\(^{4}\) The limits of
phase stability defines the spinodal curve, along which we must
satisfy \(|\Gamma| = 0; |D| = 0 \). Within the spinodal envelope, the
conditions of phase instability prevail, and \(|\Gamma| < 0; |D| < 0 \);
this implies demixing and splitting into two liquid phases.
Diffusivity measurements in ternary mixtures yield the four elements of $[D]$. The interpretation of the diffusivity data requires us to “factor out” the thermodynamic influences portrayed in $[\Gamma]$. Experimental data on $[D]$ for glycerol–acetone–water mixtures at various compositions in the acetone-rich and water-rich regions are available. For example, at $x_1 = 0.1$, $x_2 = 0.432$, $x_3 = 0.468$, we find $[D] = \begin{bmatrix} 0.490 & 0.227 \\ 0.458 & 0.399 \end{bmatrix} \times 10^{-9} \text{ m}^2 \text{ s}^{-1}$. The large magnitudes of the off-diagonal elements signal strong diffusional coupling. The matrix of thermodynamic factors at this composition is calculated from phase equilibrium data.
The large off-diagonal elements of $[\Gamma]$ contribute to the off-diagonal elements of $[D]$, which in turn have the potential to induce uphill transport.

Fig. 11a plots the determinant $|D|$ as a function of the mole fraction of glycerol, $x_1$. Increasing the glycerol composition, decreases $|D|$; as the plait point is approached, $|D|$ tends to vanish. Fig. 11b shows the variation of the ratios $D_{12}/D_{11}$, and $D_{21}/D_{22}$ as a function of $|D|$. We note that as $|D|$ is lowered, both $D_{12}/D_{11}$, and $D_{21}/D_{22}$ tends to increase. In other words, coupling effects become stronger as the spinodal curve is approached from the homogeneous single-phase region. In order to demonstrate that diffusional coupling effects are primarily caused by thermodynamic coupling effects, Fig. 11c compares the ratios $D_{12}/D_{11}$ and $\Gamma_{12}/\Gamma_{11}$, as a function of $|D|$. The observed inter-relationship between the two independent data sets is anticipated by eqn (9).

We conclude that diffusional coupling effects in liquid mixtures are deeply rooted in non-ideal solution thermodynamics.

Similar conclusions are derived by the examination of the diffusivity data for water–chloroform–acetic-acid mixtures, measured at different compositions in the homogeneous single-phase region; see Fig. 12. At the composition richest in acetic acid,

$$[D] = \begin{bmatrix} 0.97 & 0.13 \\ 0.073 & 1.259 \end{bmatrix} \times 10^{-9}$$

binodal curve $[D] = \begin{bmatrix} 0.309 & 0.368 \\ 0.344 & 0.939 \end{bmatrix} \times 10^{-9}$. A cursory data examination indicates that the determinant $|D|$ decreases in magnitude as the binodal curve is approached; concomitantly, the off-diagonal elements become larger in magnitude. To quantify this observation, Fig. 13a presents a plot of $|D|$ as a function of $(1-x_1)$. We note that as the mixture becomes poorer in acetic acid, $|D|$ decreases progressively. At the plait point (composition: $x_1 = 0.375, x_2 = 0.261$ and $x_3 = 0.364$), we have

$$[D] = \begin{bmatrix} 0.92 & 0.40 \\ 0.37 & 0.161 \end{bmatrix} \times 10^{-9}$$

$|D| = 0$. The variation of $[\Gamma]$ with $(1 - x_1)$ shows analogous characteristics (cf. Fig. 13b) indicating the intertwining of diffusivity and non-ideal solution thermodynamics. The dependence of the ratios $D_{12}/D_{11}$ and $D_{21}/D_{22}$ on the magnitude of $|D|$ is shown in Fig. 13c; coupling effects become stronger as the region of meta-stability is approached.

With the above data and insights, let us determine equilibration trajectories for inter-diffusion of glycerol–acetone–water mixtures of two different compositions, that equilibrate to $x_1 = 0.1, x_2 = 0.432, x_3 = 0.468$. For determination of the equilibration trajectory, we use the experimental value $[D] = \begin{bmatrix} 0.490 & 0.227 \\ 0.458 & 0.399 \end{bmatrix} \times 10^{-9}$ at the final equilibrated composition. In two-dimensional (2D) mole fraction space, a serpentine trajectory is obtained; see Fig. 14a. The observed overshoots and undershoots imply uphill diffusion for glycerol.

What is the contribution of $[\Gamma]$ to the overshoots observed for glycerol? To address this question we calculated the trajectories assuming that the first right member of eqn (9) is a scalar

$$[\Gamma] = \begin{bmatrix} 1.442 & 0.533 \\ 0.958 & 0.409 \end{bmatrix}.$$
diffusivity with the value $1 \times 10^{-9}$ m$^2$ s$^{-1}$, and take $[I] = 1.442$ $0.533$ $0.958$ $0.409$ calculated from phase equilibrium data for $x_1 = 0.1$, $x_2 = 0.432$, and $x_3 = 0.468$. In this scenario, the resulting trajectory is shown by the dashed line in Fig. 14a. A similar serpentine trajectory results, leading us to conclude that the uphill diffusion characteristics largely stem from the off-diagonal elements of $[G]$.

Does glycerol transfer against its activity driving force? To answer this question, we determined the component activities, $a_i = g_i x_i$, at each position on the equilibration trajectory. When the trajectory is plotted in 2D activity space, we observe a monotonous equilibration process (cf. Fig. 14b). This is an important result, indicating that glycerol diffusion is not uphill of its activity driving force. To further strengthen this conclusion, Fig. 14c compares the glycerol profiles as a function of the distance from the interface. The activity profile of glycerol does not exhibit any overshoots or undershoots (Fig. 14c).

The findings of Fig. 14 can be summarized as follows. Overtakes, and undershoots in composition space are largely due to thermodynamic coupling effects. If the diffusion process is viewed in activity space, the diffusion characteristics are “normal”; the process of diffusion is downhill of its activity gradient.

Can a serpentine trajectory foray into meta-stable regions? We investigate the equilibration process for the system water(1)–chloroform(2)–acetic-acid(3) in which the two mixtures are allowed to equilibrate to the equilibrium composition: $x_{1,\text{eq}} = 0.34$, $x_{2,\text{eq}} = 0.24$ and $x_{3,\text{eq}} = 0.42$; the diffusivity data at this composition are indicated in Fig. 13a. In Fig. 15a we see that the acetic-acid undershoot has penetrated the binodal envelope into the meta-stable zone; this suggests the possibility of emulsification. Use of eqn (2) will result in straight-line equilibration trajectory (shown as dashed line); in this case, no emulsification is feasible. If the objective is to produce meta-stable dispersions, a precise prediction of the equilibration trajectory is of vital importance.

Fig. 15b presents the equilibration trajectories in water(1)–2-propanol(2)–cyclohexane(3) for the equilibrium composition $x_1 = 0.36$, $x_2 = 0.4$, and $x_3 = 0.24$, which lies just above the plait point at the critical temperature $T_c = 303.67$. The equilibration trajectories are calculated at four different temperatures, 303.75 K, 304.15 K, 304.65 K, and 396.65 K. At all four temperatures, the equilibration trajectories penetrate into the binodal curve. The closer the temperature is to the critical temperature, $T_c = 303.67$ K, the deeper is the penetration. As a consequence, we should expect that phase splitting should ensue in all the four cases; straight-line equilibration trajectories would not anticipate phase splitting in any of the four temperature scenarios.

non-ideal liquid mixtures are never linear. This conclusion would be erroneous in the event that the equilibrated composition lies on the spinodal curve. As proof, let us investigate inter-diffusion in glycerol–acetone–water mixtures of different compositions that equilibrate to a composition that

![Fig. 13](a, b) Plots of the determinants $|D|$ and $|J|$ as a function of $(1-x_3)$ for water–chloroform–acetic-acid mixtures. (c) The ratios $D_{12}/D_{11}$ and $D_{21}/D_{22}$ are plotted as a function of $|D|$.  

![Fig. 14](a) Equilibration trajectories in 2D composition space for glycerol–acetone–water mixtures. The equilibrated composition is $x_1 = 0.1$, $x_2 = 0.432$, and $x_3 = 0.468$. (b) Equilibration trajectories in activity space. (c) Profiles for composition and activity of glycerol as a function of the dimensionless distance from the interface.
corresponds to the plait point \( (x_1 = 0.1477, x_2 = 0.4163, x_3 = 0.4360) \). The diffusivity value at this composition is \( |D| = \begin{bmatrix} 0.42 \\ 0.35 \\ 0.24 \end{bmatrix} \times 10^{-9} \), and \(|D| = 0\) because this is the stability limit. Consequently, one of the eigenvalues of \([D]\) must vanish and the equilibration of all components must relax along the eigenvector corresponding to the non-zero eigenvalue \(D_{eig,1} = 0.67 \times 10^{-9}\). In the 2D composition space, the trajectory is linear, at a tangent to the binodal curve; see Fig. 16a. The straight-line trajectory is confirmed in experiments. \(^{16}\)

Fig. 16b shows a similar straight-line trajectory for equilibration in water–chloroform–acetic-acid mixtures for conditions such that the equilibrium composition corresponds to the plait point for this system. The results in Fig. 16 appear paradoxical because coupling effects are strongest when \(|D| \approx 0\), as has been established in Fig. 11 and 13.

Looking back, the phenomenon of uphill diffusion that was observed for ideal gas mixtures appears quite extraordinary, because no thermodynamic influences are in play for mixtures of ideal gases.
4. Uphill diffusion in mixtures of metals, alloys, and glasses

The proper prediction of equilibration trajectories in mixtures of metals, glasses, steels, alloys, and composites is important in a wide variety of processes such as bonding, cladding, controlled heat treatments, and surface modification.1,7,20,30 One of the very first experimental evidence of uphill diffusion is available in the classic experiments reported by Darken.20 The following three quotes from his 1949 paper also serve as learning points for this tutorial review.

“`The driving force in an isothermal diffusion process may be regarded as the gradient of the chemical potential`”,

“`for a system with more than two components it is no longer necessarily true that a given element tends to diffuse toward a region of lower concentration even within a single phase region`”, and

“`departure from the behavior of an ideal solution may be so great that the concentration gradient and the chemical potential gradient, or activity gradient, may be of different sign, thus giving rise to uphill diffusion`”.

In one of the Darken experiments, two austenite bars of different compositions, (0.48% C, 3.8% Si) and (0.45% C, 0.05% Si), are welded together. The carbon in the high-Si bar has a significantly higher chemical potential or activity than the bar with the lower Si content. After Carbon was allowed to diffuse for 13 days at 1323 K, most of the C diffused into the low-Si bar; see Fig. 17a. The overshoot and undershoot in the %C are adequately modelled for the ternary C–Si–Fe mixture with the values of the Fick diffusivity matrix $[D] = \begin{bmatrix} 480 & 34 \\ 0 & 2.3 \end{bmatrix} \times 10^{-13}$. The high C content near the surface of the austenite bar on the right, imparts the required “hardness”. The process of hardening of steel by “carburizing” is reliant on uphill transport of carbon from the high-Si bar to the low-Si bar, despite the fact that the initial compositions of carbon are practically the same in the two adjoining bars.

Consider the equilibration process when glass slabs with two different compositions of K$_2$O(1)–SrO(2)–SiO$_2$(3) are brought into contact at time $t = 0$. The wt% of each component is measured on either side interface, measured at $t = 4.55$ h after the start of the experiment are shown in Fig. 17b.30 The overshoot and undershoot in the SrO concentrations are adequately modelled taking $[D] = \begin{bmatrix} 1 & -0.267 \\ -1.22 & 0.33 \end{bmatrix} \times 10^{-13}$. The uphill transport of SrO is primarily attributable to the large value of $D_{21}$, that is opposite in sign to $D_{22}$. Uphill transport in mixtures of glasses can be harnessed to enhance the physical properties.

For inter-diffusion of Fe(1)–Mg(2)–Ca(3) mixtures in garnet,7 the trajectories indicated by the continuous solid lines in Fig. 3 are calculated using $[D] = \begin{bmatrix} 5.86 & -1.02 \\ -5.5 & 1.18 \end{bmatrix} \times 10^{-19}$. The primary reason for uphill transport of Mg is the extremely large value of $D_{21}$, opposite in sign to $D_{22}$.

In the three foregoing examples, the large values of off-diagonal elements of $[D]$ are primarily attributable to the off-diagonal elements of matrix $[G]$. In other words, the equilibration trajectories are usually monotonous when viewed in activity space.

Uphill diffusion and serpentine equilibration trajectories are routinely encountered in the processing of ceramics, cements, alloys, steels, and composites.1,7,20,30

5. Crossing “forbidden” boundaries in azeotropic distillation

Distillation is the most widely used separation process in the chemical process industries. Design procedures for distillation...
are commonly based on the equilibrium stage model, developed by Sorel more than a hundred years ago. Departures from thermodynamic equilibrium between the vapor and liquid phases on a distillation tray (see schematic in Fig. 18) are commonly accounted for by introducing the Murphree component efficiencies $E_{i, MV} = \left( \frac{y_{iL}/y_{iE}}{y_{iE}/y_{iL}} \right)$ where $y_{iL}$ and $y_{iE}$ are, respectively, the vapor phase mole fractions, entering and leaving a tray, and $y_{i}^*$ is the vapor composition in thermodynamic equilibrium with the liquid leaving the tray. For a tray in thermodynamic equilibrium, the component efficiencies are 100% for each component. Diffusional transfer resistances on either side of the vapor/liquid interface reduce the component efficiencies to values below 100%. For binary distillation, the Murphree component efficiencies are bounded, i.e. $0 \leq E_{1, MV} = E_{2, MV} \leq 1$. For ternary mixtures, coupled diffusion effects in either vapor or liquid phases cause the component efficiencies to be distinctly different from one another, $E_{1, MV} \neq E_{2, MV} \neq E_{3, MV}$. Phenomena such as osmotic diffusion, diffusion barrier, and uphill diffusion result in Murphree component efficiencies that are unbounded ($E_{i, MV} \to \pm \infty$), zero ($E_{i, MV} = 0$), or negative ($E_{i, MV} < 0$); this has been demonstrated in several experimental studies.

Consider distillation of water(1)–ethanol(2)–acetone(3) mixtures. The pure component boiling points are: water = 373.2 K; ethanol = 351.6 K; acetone = 329.7 K; water–ethanol azeotrope = 351.4 K (composition of azeotrope = 12% water, 88% ethanol). Ethanol is the component with the intermediate boiling point. Consequently, the transfer of ethanol is from the liquid to the vapor phase near the top of the distillation column, approaching the condenser. However, ethanol transfers from the vapor to the liquid phase near the bottom of the column approaching the reboiler. This implies that the driving force for transfer of ethanol, $(y_{2}^* - y_{2E})$, must necessarily change sign from the bottom to the top of the column. At some intermediate position, we may also have the situation corresponding to $(y_{2}^* - y_{2E}) = 0$.

For one set of experiments, $^{32,33}$ the values of $(y_{2}^* - y_{2E})$ are plotted in Fig. 19a. We note that the ethanol driving force is negative for stages 2–9, $(y_{2}^* - y_{2E}) \approx 0$ for stage 10, and...
$(y_2^* - y_{2E}) > 0$ for stage 11. The values of the $E_{2,MV}$ for ethanol is negative on stage 10; on stage 11, $E_{2,MV} > 1$; see Fig. 19b. This implies uphill diffusion on stages 10 and 11; the transfer of ethanol(2) is dictated by the driving forces of the partner species $(y_1^* - y_{1E})$, and $(y_3^* - y_{3E})$, that are both finite.$^{32,33}$ Unequal component efficiencies $E_{1,MV} \neq E_{2,MV} \neq E_{3,MV}$ influence the composition trajectories followed by the vapor and liquid phases along the distillation column. Generally speaking, residue curve maps provide a good indication of the composition trajectories along the distillation column in

**Fig. 20** (a) Residue curve map for the water(1)–ethanol(2)–acetone(3) system, showing a straight-line distillation boundary and a binary minimum-boiling azeotrope between water and ethanol. (b, c) Experimental data$^{35}$ on column composition trajectories for the water–ethanol–acetone system in a distillation column operating at total reflux implying $x_i = y_i$. Also shown in (b, c) are the simulation results of the trajectories calculated using EQ and NEQ models.
which all the component efficiencies are equal to one another $E_{1,MV} = E_{2,MV} = E_{3,MV}$. In other words, the residue curve maps chart out the trajectories that must be followed if diffusional limitations are insignificant. Fig. 20a shows the residue curve map for water–ethanol–acetone distillation. The thick solid black line is the distillation boundary; this boundary cannot normally be crossed during distillation.

For one set of starting conditions, the experimentally determined composition trajectories (indicated by symbols in Fig. 20b), follows a circuitous path, deviating from the residue curve map. Also shown are the trajectories calculated by the equilibrium (EQ) stage model ($E_{1,MV} = E_{2,MV} = E_{3,MV} = 1$) and the non-equilibrium (NEQ) stage model (properly accounting for the diffusional coupling effects in both vapor and liquid phases). The NEQ model correctly traces the experimentally observed circuitous path. The EQ model predicts a trajectory strictly adhering to the trajectory dictated by the residue curve. The circuitous path followed in the experiments is indicative of uphill transport somewhere along the distillation column.

The experimental composition trajectories in Fig. 20c for a different set of starting conditions indicate that the “forbidden” boundary is crossed. The NEQ model is able to retrace this boundary-crossing trajectory, and correctly predicts that the column gets progressively richer in water as we proceed down the column to the reboiler. The EQ model anticipates that the column gets enriched in ethanol, staying on the left side of the boundary. Uphill transport has the effect of changing the direction of composition variation in the distillation column.

For heterogeneous azeotropic distillation of water–ethanol–cyclohexane, and water–acetone–toluene (cf. Fig. 21), the experimental data also show boundary crossing effects that can be rationalized by accounting for coupled diffusion effects.

The deliberate exploitation of uphill transport to achieve “impossible” distillation separations provides an intriguing and fertile area of research activity.

6. Ionic diffusion and the influence of electrostatic potential gradients

Coupled diffusion effects, including uphill diffusion, are important in ion exchange and electrodialysis separations. The origin of such coupling effects are different to those discussed earlier, and emanate from electrostatic effects. For transport of ionic species in electrolyte solutions, we need to consider the electrostatic potential gradient as an additional driving force for transport. The flux relations for transport of ionic species $i$ are obtained by adding $-x_i z_i (F/RT)(d\Phi/dz)$ to the left member of eqn (6), where $z_i$ is the charge on species $i$, and $F$ is the Faraday constant. The $n$th species is usually water (W), that is commonly present in significantly large quantities, and can often be considered stagnant, $N_W = c_W u_W = 0$. For dilute aqueous solutions of electrolytes, the ion–ion interactions can often be neglected, and thermodynamic non-ideality effects are often, but not always, negligible; so $(x_i/RT)(d\mu_i/dz) \approx (dx_i/dz)$. With these modifications and assumptions, eqn (6) reduces to the
Nernst–Planck equation $N_i = -D_{iw} c_i \frac{dc_i}{dz} - z_i D_{iw} \frac{F d\Phi}{RT} \frac{dz}{dz}$ for the ionic flux with respect to water; here $D_{iw}$ is the ion-water diffusivity. If there is no flow of current, we have the electroneutrality constraint $\sum_{i=1}^{n-1} z_i F N_i = 0$. The maintenance of electroneutrality induces a diffusion potential $\frac{d\Phi}{dz} = -\left[ \left( \sum_{i=1}^{n-1} z_i D_{iw} c_i \frac{dc_i}{dz} \right) \bigg( F RT \sum_{i=1}^{n-1} c_i z_i^2 D_{iw} \right) \right]$. The effective diffusivity of ionic species $i$ is $D_{i_{eff}} \equiv \left( \frac{-N_i}{\frac{dc_i}{dz}} \right) = D_{iw} + \left( \frac{c_i z_i D_{iw} \frac{F d\Phi}{RT} \frac{dz}{dz}}{\left( \frac{dc_i}{dz} \right) \bigg} \right)$. The induced diffusion potential has the effect of influencing the motion of each of the ionic species. The second term on the right serves either to accelerate or decelerate each species, depending on the charges, $z_i$, and individual ionic mobilities $D_{i_{mot}}$.

With this theoretical background, let us examine data of Vinograd and McBain for ionic diffusivities determined in a two-compartment diffusion cell. The top compartment contains an aqueous solution of HCl and BaCl$_2$; the bottom compartment contains pure water; see Fig. 22a. Diffusion takes place through the pores of a sintered glass disk that separates the two compartments. On complete ionization, the mixture consists of the ionic species H$^+$, Cl$^-$, Ba$^{2+}$ and un-ionized H$_2$O. By monitoring the concentrations of the three ionic species as a function of time, the effective ionic diffusivities $D_i$ for H$^+$, Cl$^-$ and Ba$^{2+}$ are determined. The ionic diffusivities are plotted in Fig. 22a as a function of the square root of the ratio of the initial ionic concentrations of H$^+$ and Ba$^{2+}$ in the top compartment $\sqrt{c_{H^+}/c_{Ba^{2+}}}$. With increasing values of $\sqrt{c_{H^+}/c_{Ba^{2+}}}$, it is observed that both $D_{H^+}$ and $D_{Ba^{2+}}$ decrease while $D_{Cl^-}$ increases.

During the start of the diffusion process, the highly mobile H$^+$ diffuses ahead of its companion ions, creating an excess of positive charge in the bottom compartment. This induces a potential $d\Phi/dz$, which acts in such a way as to maintain electro-neutrality. As a consequence, Cl$^-$ experiences an extra “pull”, enhancing its effective diffusivity value. The contribution of $d\Phi/dz$ also serves to retard the motion of the cations H$^+$ and Ba$^{2+}$; in other words, the cations experience a “push” in a direction opposite to that dictated by their composition gradient driving forces. For $\sqrt{c_{H^+}/c_{Ba^{2+}}} = 2$, the electrostatic “push” on Ba$^{2+}$ results in a vanishing value for $D_{Ba^{2+}}$. The continuous solid lines in Fig. 22a are the calculations of the effective diffusivities using the Nernst–Planck equation. For $\sqrt{c_{H^+}/c_{Ba^{2+}}} > 2$, negative values of $D_{Ba^{2+}}$ are obtained, signifying that uphill diffusion of Ba$^{2+}$ is feasible.

The sign of the engendered diffusion potential $d\Phi/dz$ is dictated by the concentration gradients of the individual ions and is therefore dependent on the signs (i.e. directions) of the concentration gradients. This directional influence of the diffusion potential is illustrated clearly by the experiments for external mass transfer limited ion exchange; see Fig. 22b. Exchanging Na$^+$ within the ion exchange bead with H$^+$ from the bulk chloride solution proceeds at a significantly higher rate than for the reverse exchange process.$^2$

Fig. 23 presents experimental data for transient transport of H$^+$, Na$^+$, and Cs$^+$ ions across a Nafion cation exchange membrane, separating acid and salt compartments.$^{37}$ The HSO$_4$ and SO$_4^{2-}$ ions cannot cross the membrane. The H$^+$ ions transfer from the acid to the salt compartment. Both Na$^+$ and Cs$^+$ ions transfer from the salt to the acid compartment.
Due to the significantly higher mobility of the H⁺ ions, an excess positive charge is created in the salt compartment. This induces a potential $d\phi/dz$ that tends to accelerate the motion of the Na⁺ and Cs⁺ ions out of the salt compartment into the acid compartment. Since the concentration driving force of Cs⁺ ions is very small, the initial transience is dominated by the contribution of $d\phi/dz$; this results in the observed overshoot in the equilibration of Cs⁺.

Uphill diffusion can be exploited to recover trace quantities of valuable components from aqueous solutions.

### 7. Reverse osmosis

The best known example of uphill diffusion is in the reverse osmosis (RO) process for desalination, in which fresh water is recovered from saline water by use of a polyamide film membrane that rejects salt, and allows only water to permeate; see Fig. 24.

In reverse osmosis, the driving force for transport across the polyamide membrane layer must additionally account for the contribution of the pressure gradients. The water flux across the membrane is described by adding the contribution of the pressure gradient to the left member of eqn (6):

$$
\frac{\Delta x_w}{\delta} - \frac{1}{RT} \frac{\Delta p}{\delta} = \frac{N_w}{c_t D_{wM}},
$$

where $V_w$ is the partial molar volume and $D_{wM}$ is the diffusivity of water through the membrane; we ignore diffusional interactions between water and salt. For a membrane of thickness $\delta$, we obtain

$$
\frac{\Delta x_w}{\delta} = \frac{1}{RT} \frac{\Delta p}{\delta} = \frac{N_w}{c_t D_{wM}},
$$

for dilute salt solutions for which thermodynamic non-idealities are negligible. In desalination operations, the upstream compartment will typically have 2 mol% salt and the downstream compartment will be practically salt-free. Therefore, the driving force for water transport $\Delta x_w = 1.0 - 0.98 = 0.02$. If no additional pressure is applied to the upstream compartment, the pressure difference at equilibrium, corresponding to $N_w = 0$, is called the osmotic pressure, $\Delta p = \Pi = \frac{RT \Delta x_w}{V_w}$. Typically, $T = 298 \, K$, and $V_w = 1.8 \times 10^{-5} \, m^3 \, mol^{-1}$; the osmotic pressure is 2.75 MPa. In order to drive water from the left to right across the membrane, we need to apply $\Delta p$ values exceeding 2.75 MPa. Water is pumped uphill by application of a $\Delta p$ across the membrane.
In electro-osmosis, water is dragged uphill by electrostatic potential gradients.$^2$

8. Diffusion in microporous crystalline materials

Ordered crystalline microporous materials such as zeolites (crystalline aluminosilicates) and metal–organic frameworks (MOFs) have applications in processes such as CO$_2$ capture, H$_2$ production, natural gas purification, hydrocarbon separations, and air separations.$^{3,38,39}$ The technologies used in industrial separations are either pressure swing adsorption (PSA) units$^3$ or membrane permeation devices.$^{2,40,41}$ The operations of fixed bed adsorption units are intrinsically transient in nature, i.e. the gas (or fluid) phase concentrations vary both with time, and distance along the adsorber.

The separation of N$_2$–CH$_4$ mixtures is important in the context of natural gas upgrading. For transportation purposes, the pipeline specifications usually demand that the amount of N$_2$ in natural gas is less than about 3%, because the presence of N$_2$ reduces the heating value. For purification of natural gas streams, it is desirable to use adsorbents in PSA units that are selective to N$_2$. For most known adsorbents, the adsorption selectivity favors CH$_4$. One practical solution to this separation problem is to rely on diffusion selectivities by use of microporous LTA-4A zeolites, which consists of cages of about 11 Å size, which are connected by 4 Å window apertures. Nitrogen is a “pencil-like” molecule (4.4 Å / 3.3 Å; see Fig. 25a) that can hop lengthwise across the narrow windows; the “spherical” CH$_4$ (3.7 Å) is much more severely constrained and has a diffusivity that is 22 times lower than that of N$_2$. Video animations, obtained from MD simulations, showing the hopping of N$_2$ and CH$_4$ molecules across the 4 Å windows are available as ESI.$^\dagger$

The earliest study demonstrating the possibility of exploiting diffusion selectivities for separating N$_2$–CH$_4$ mixtures was done by Habgood,$^{42}$ who presented experimental data on transient mixture uptake within LTA-4A (cf. Fig. 25b). During the initial

![Fig. 25](a) Molecular dimensions of N$_2$ and CH$_4$. (b) Transient uptake of N$_2$–CH$_4$ mixture by LTA-4A$^{42}$ The solid lines are simulations using (12); the dashed lines are simulations with $G_{ij} = d_{ij}$. (c) Elements of the matrix of thermodynamic factors $G_{ij}$ as a function of the total mixture loading. (d) Calculations of $G_{11}q_1 + G_{12}q_2$ and $G_{22}q_1 + G_{22}q_2$ as a function of $t^{1/2}$. 

stages of the transient uptake, the pores of LTA-4A are predominantly richer in the more mobile N₂, but this is displaced by the more strongly-adsorbed-but-tardier CH₄ molecules at longer times. This results in an overshoot in the N₂ loading within the crystals during the early stages of transience. Note that the maximum loading of N₂ is about a factor 2.5 times that of the crystals during the early stages of transience. Note that the loadings are attained for N₂ during a short time interval; we infer the occurrence of uphill diffusion. Based on his experimental results, Habgood filed a patent claiming that natural gas could be upgraded by selectively adsorbing the faster diffusing nitrogen in a diffusion-selective PSA process employing LTA-4A zeolite.

Let us model the intra-crystalline diffusion of N₂ and CH₄ within the pores of LTA-4A. The M–S eqn (6) for bulk fluid mixtures is a good starting point for setting up the flux expressions, but needs to be adapted and extended. Each of the constituent species hops across the windows one-at-a-time; the inter-cage hopping of each species occurs independent of its partner. Therefore, the term portraying i–j pair interactions can be ignored, as a reasonable approximation. Let D₁ and D₂ reflect the diffusivities for inter-cage hopping; these diffusivities reflect the interactions of guest molecules with the framework walls; we tacitly assume the framework wall to be a pseudo (n + 1)th component in the mixture. Within micropores, we are concerned with the motion of adsorbed species; we therefore prefer to use molar loadings of adsorbates, qᵢ, as concentration measures. The fluxes, Nᵢ defined with respect to the fixed framework wall, are written in the following form

\[
-qᵢ \frac{dμᵢ}{RT dz} = \frac{Nᵢ}{Dᵢ} \quad \text{and} \quad -qᵢ \frac{dμ₂}{RT dz} = \frac{N₂}{D₂}
\]

where ρ is the framework density. The chemical potential gradients dμᵢ/dz can be related to the gradients of the molar loadings, qᵢ, by defining thermodynamic correction factors Γᵢₗ

\[
\frac{qᵢ}{μᵢ} = \frac{qᵢ}{μᵢ} \frac{d ln pᵢ}{dz} = \sum_j Γᵢₗ \frac{d qⱼ}{dz}; \quad Γᵢₗ = \frac{qᵢ}{μᵢ} \frac{d ln pᵢ}{d qⱼ}; \quad i,j = 1,...,n
\]

Combining eqn (10) and (11) we obtain for binary mixtures

\[
\begin{pmatrix}
N₁ \\
N₂
\end{pmatrix} = -ρ \begin{pmatrix}
D₁ & 0 \\
0 & D₂
\end{pmatrix} \begin{pmatrix}
Γ₁₁ & Γ₁₂ \\
Γ₂₁ & Γ₂₂
\end{pmatrix} \begin{pmatrix}
\frac{d q₁}{dz} \\
\frac{d q₂}{dz}
\end{pmatrix}
\]

Coupled diffusion effects have their origins in mixture adsorption thermodynamics. The elements Γᵢₗ can be determined from models such as the mixed-gas Langmuir model or the Ideal Adsorbed Solution Theory (IAST) for describing mixture adsorption equilibrium; these models allow calculation of the component molar loadings q₁ and q₂, on the basis of partial pressures p₁ and p₂ in the bulk gas phase surrounding the microporous crystals.

The calculations of the elements of the matrix of thermodynamic factors Γᵢₗ for the N₂–CH₄ mixture in LTA-4A crystals demonstrate the significance of the off-diagonal elements at higher loadings; see Fig. 25c. We note that for total mixture loadings, q₁ = q₁ + q₂ less than 0.5 mol kg⁻¹, the off-diagonal elements of the matrix

\[
\begin{pmatrix}
Γ₁₁ & Γ₁₂ \\
Γ₁₂ & Γ₂₂
\end{pmatrix}
\]

are less than about 0.1. However, for q₁ > 1.5 mol kg⁻¹, the off-diagonal elements approach values close to that of the diagonal elements. In other words, thermodynamic coupling effects become increasingly important as the loadings increase.

The continuous solid lines in Fig. 25b are solutions to the continuity equations using the flux eqn (12); this model successfully captures the overshoot in the uptake of the more mobile N₂. The transient development of component loadings
along the radius of the LTA-4A crystal can be visualized in a video animation uploaded as ESI;† this animation highlights the occurrence of both temporal and spatial overshoots of N\(_2\) loading within the crystal. The dashed lines in Fig. 25b are the simulations in which thermodynamic factors are ignored and we assume that \(G_{ij} = d_{ij}\). Neglect of thermodynamic coupling results in uptake profiles that do not exhibit any overshoot in \(N_2\) uptake. We conclude that off-diagonal contributions of \(G_{ij}\) cause the \(N_2\) overshoot.

Fig. 25d presents calculations of the thermodynamically “corrected” loadings \(q_{1m}RT = \Gamma_{11}q_1 + \Gamma_{12}q_2\), and \(q_{2m}RT = \Gamma_{21}q_1 + \Gamma_{22}q_2\) as a function of time. It is observed that the equilibration of \(N_2\), measured in terms of the corrected loadings, does not exhibit any overshoot and approaches equilibrium in a monotonic manner. We conclude, therefore, that overshoots have their origin in thermodynamic influences.

For separation of \(O_2–N_2\) mixtures using a carbon molecular sieve (CMS), the adsorption selectivity is in favor of \(N_2\) due to its larger permanent quadrupole compared to that of \(O_2\). However, the diffusivity of \(N_2\) is significantly lower than that of \(O_2\) because of its larger cross-sectional dimension of 3.3 Å, compared to 3.1 Å for \(O_2\). The experimental data for transient uptake of the \(O_2–N_2\) mixture in a CMS shows an overshoot for the more mobile \(O_2\) (cf. Fig. 26). The \(O_2\) overshoot is properly captured by eqn (12) with the ratio of diffusivities \(D_{O_2}/D_{N_2} = 37\). A video animation showing the transient development of component loadings of \(N_2\) and \(O_2\) along the radius of a CMS particle is available as ESI.† This animation demonstrates temporal and spatial overshoots. Carbon molecular sieves can be utilized in a diffusion-selective process for producing purified \(N_2\) gas by selectively adsorbing \(O_2\) during early stages of the transient process.

The essential features of the transient uptake of \(nC6–2MP\) in MFI zeolites introduced in Fig. 4, can be adequately captured by flux eqn (12) taking \(D_{nC6}/D_{2MP} = 100\).\(^8\) The lower diffusivity of the mono-branched isomer 2MP is due to the severe configurational constraints within 5.5 Å sized channels of MFI. The \(nC6\) overshoot is caused by the off-diagonal elements of \(\Gamma\). Video animations showing the transient development of the component loadings along the radius of an MFI crystal are available as ESI;† this animation demonstrates the occurrence of both spatial and temporal overshoots for \(nC6\). If we take \(\Gamma_{ij} = \delta_{ij}\), the \(nC6\) overshoot disappears.\(^8\) The overshoot of \(nC6\) signifies uphill diffusion within the crystals during early stages of transience. This phenomenon is advantageous in the PSA process for the separation of hexane isomers, deployed in a process for octane enhancement of gasoline.\(^{38}\)
There is one additional feature of \( nC6 \)-2MP mixture uptake that distinguishes it from the \( N_2 \)-CH\(_4\)-LTA-4A and \( O_2 \)-N\(_2\)-CMS uptakes. For \( nC6 \)-2MP-MFI both diffusion and adsorption favor the linear isomer; the two phenomena act jointly to enhance separations. 38

Mixture diffusion inside micropores has a unique characteristic that makes it different from diffusion in fluid mixtures. For diffusion in bulk fluid mixtures, the two halves of a serpentine trajectory are symmetrical, with an overshoot in one region and a corresponding undershoot in the adjoining region. By contrast, for mixture uptake in microporous materials, there is asymmetry between adsorption and desorption cycles. During the adsorption cycle, the off-diagonal elements are of increasing importance because the total loading increases with time (cf. Fig. 25c), whereas in the desorption cycle, influences of coupling decrease because the total loadings decrease with time. For benzene-p-xylene mixtures, Niessen and Karge found that the adsorption cycle in MFI zeolites proceeds nine times faster than the desorption cycle. The asymmetrical influences of \( \Gamma \) also cause the adsorption and desorption cycles to follow distinctly different equilibration composition trajectories, as is evident in the results shown in Fig. 27 for the three systems (a) \( N_2 \)-CH\(_4\)-LTA-4A, (b) \( O_2 \)-N\(_2\)-CMS, and (c) \( nC6 \)-2MP-MFI. In all three cases, the desorption cycle does not display any undershoots. The assumption \( \Gamma_{ij} = \delta_{ij} \) results in symmetry in the adsorption and desorption trajectories (dashed lines in Fig. 27c). Video animations demonstrating the asymmetry in the transient development of component loadings of \( N_2 \) and CH\(_4\) within the LTA-4A crystal during both the adsorption and desorption phases are provided as ESI.†

Thin layers of microporous materials, of about 50 \( \mu m \) thickness, are used in membrane separation devices. 40,41 Fig. 28a shows the transient permeation of the CH\(_4\)/n-C\(_4\)H\(_{10}\) mixture across an MFI zeolite membrane. 47 The permeation fluxes are influenced by a combination of adsorption strengths and mobilities. The smaller molecule CH\(_4\) has a mobility of about 100 times higher than that of the bulkier \( n \)-butane. However, CH\(_4\) has a considerably lower adsorption strength. During transient permeation, the flux of the more mobile CH\(_4\) exhibits a pronounced overshoot during the early stages; this overshoot is adequately captured using the flux eqn (12). It is possible to recover CH\(_4\) during early stages of the transience. At the stationary-state, the exit gas is predominantly \( n \)-butane.

For permeation of xylene isomers across an MFI membrane, the most mobile p-xylene displays an overshoot during early stages of the transience (cf. Fig. 28b); 48 this overshoot is dictated by the characteristics of \( \Gamma_{ij} \). 38

9. Conclusions
The important messages emerging from this tutorial review are listed below.

1. Coupling effects in mixture diffusion are often significant, and may cause the uphill transport of a species against its concentration gradient. For modelling purposes, the most convenient and practical approach is provided by the Maxwell-Stefan eqn (6). This equation needs to be either extended, or modified, for applications in ionic systems, reverse osmosis, and microporous solids. In most cases, the coupling effects have their origins in non-ideal phase equilibrium thermodynamics.

2. Transient overshoots and serpentine equilibration trajectories in composition space are fingerprints of uphill diffusion.

3. Uphill diffusion may be exploited to separate azeotropes by partial condensation in the presence of an inert non-condensable gas. Coupled diffusion effects often result in the crossing of distillation boundaries for azeotropic distillation.
that are normally considered “forbidden” when using simpler diffusion models.

(4) Diffusion in the vicinity of the phase transition region for mixtures of liquids, metals, alloys, glasses and cements is strongly influenced by the constraints imposed by thermodynamic phase stability. The influence of diffusion coupling becomes increasingly significant as the phase transition region is approached. Serpentine diffusion trajectories may enter meta-stable zones, leading to spontaneous emulsification and the Ouzo effect.

(5) For diffusion in electrolyte solutions, the electrostatic potential gradient, engendered by electroneutrality constants, acts as a leash serving to either accelerate or decelerate the potential gradient, engendered by electroneutrality constants, diffusion trajectories may enter meta-stable zones, leading to diffusion models.

(6) For transient uptake of mixtures within a microporous material, overshoots in the loading of the more mobile partner species may occur. These are traceable to the influence of the influence of mixture adsorption thermodynamics. Transient overshoot phenomena may be exploited in diffusion-selective separations. Furthermore, adsorption and desorption processes are not symmetric, they may follow different trajectories.

**Notations**

- $a_i$: Activity of species $i$, dimensionless
- $c_i$: Molar concentration of species $i$, mol m$^{-3}$
- $c_i^*$: Total molar concentration of mixture, mol m$^{-3}$
- $D_i$: Maxwell–Stefan diffusivity for guest–framework interaction in pores, m$^2$ s$^{-1}$
- $D_{ij}$: M–S diffusivity for the $i$–$j$ pair, m$^2$ s$^{-1}$
- $D_{i1}$: M–S diffusivity for the binary pair 1–2, m$^2$ s$^{-1}$
- $D_{i2}$: Diffusivity for the binary pair 1–2, m$^2$ s$^{-1}$
- $[D]$: Diffusivity matrix, m$^2$ s$^{-1}$
- $[D]$: Determinant of the diffusivity matrix, m$^4$ s$^{-2}$
- $F$: Faraday constant, 9.65 × 10$^4$ C mol$^{-1}$
- $J_i$: Molar diffusion flux of species $i$, mol m$^{-2}$ s$^{-1}$
- $n$: Number of species in the mixture, dimensionless
- $N_i$: Molar flux of species $i$ with respect to the pore wall, mol m$^{-2}$ s$^{-1}$
- $p_i$: Partial pressure of species $i$ in the mixture, Pa
- $p_s$: Total system pressure, Pa
- $q_i$: Component molar loading of species $i$, kg m$^{-3}$
- $q_i^*$: Total molar loading in the mixture, mol kg$^{-1}$
- $R$: Gas constant, 8.314 J mol$^{-1}$ K$^{-1}$
- $t$: Time, s
- $T$: Absolute temperature, K
- $x_i$: Mole fraction, dimensionless
- $y_i$: Mole fraction of component $i$ in the bulk vapor phase, dimensionless
- $\tilde{V}_i$: Partial molar volume of species $i$, m$^3$ mol$^{-1}$
- $z$: Direction coordinate, m
- $z_i$: Charge on species $i$, dimensionless

**Greek letters**

- $\delta$: Length of diffusion path or thickness of the membrane, m
- $\gamma_i$: Activity coefficient, dimensionless
- $[F]$: Matrix of thermodynamic factors, dimensionless
- $\mu_i$: Molar chemical potential, J mol$^{-1}$
- $\rho$: Osmotic pressure, Pa
- $\Phi$: Electrostatic potential, V

**References**