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Changing classroom practices: the role of school-wide capacity for sustainable improvement

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Abstract
Purpose – Elementary schools have been confronted with large-scale educational reforms as strategies to improve the educational quality. While building school-wide capacity for improvement is considered critical for changing teachers’ classroom practices, there is still little empirical evidence for the link between enhanced school capacity for improvement and instructional change. In this study, the authors examined the impact of school improvement capacity on changes in teachers’ classroom practices over a period of time. Leadership practices, school organizational conditions, teacher motivation, and teacher learning were used to measure school-wide capacity for improvement. The paper aims to discuss these issues.

Design/methodology/approach – Mixed-model analysis of longitudinal data over a four years (2005-2008) period of time from 862 teachers of 32 Dutch elementary schools were used to test the impact of school improvement capacity on changing teachers’ instructional practices.

Findings – The results showed that organizational-level conditions and teacher-level conditions play an important, but different role in changing teachers’ classroom practices. Whereas teacher factors mainly affect changes in teachers’ classroom practices, organizational factors are of significant importance to enhance teacher motivation and teacher learning.

Research limitations/implications – More longitudinal research is needed to gain better insight into the opportunities and limits of building school-wide capacity to stimulate instructional change.

Practical implications – By encouraging teachers to question their own beliefs, facilitating opportunities for teachers to work together to solve problems, and through the promotion of shared decision making, school leaders can reinforce the personal and social identification of teachers with the organization. As a consequence, teachers will feel increasingly committed and are more willing to change their classroom practices. Additionally, school leaders can use the findings from this study and the related instrument as a tool for school self-evaluation.

Originality/value – This paper contributes to a deeper understanding of the nature of changes in conditions for school improvement and its influence on changes in teachers’ instructional practices over a period of time.

Keywords Leadership, Sustainability, Changed instruction, School organization, School-wide capacity, Teacher motivation and learning

Paper type Research paper

Introduction
During the past decade, elementary schools in and outside the USA have been confronted with on-going large-scale educational reforms and restructuring movements, including “high-stakes” accountability policies that enforce tightened “output” controls, as strategies to improve the quality of education. In the Netherlands,
where this study takes place, calls for greater educational accountability as integral part of much broader school reform initiatives became quite insistent in the 1990s. Different strategies for increasing accountability have been developed, ranging from fostering competition among schools, implementing site-based management together with the increase of power for school governors, to national assessment by the schools inspectorate of key areas of the curriculum and student achievement.

More recently, policy-makers and researchers have stressed the need for more responsive forms of accountability by using models that try to find a balance between self-evaluations and external evaluations of schools (Mulford, 2005). Within these more responsive forms of accountability, schools in the Netherlands are expected to be capable of developing their own system of quality assurance through self-evaluation (Blok et al., 2008; Geijsel et al., 2010). The self-evaluation results of the schools should be valid and reliable and provide information about indicators included in the framework of the Dutch Inspectorate, such as results of student achievement tests, parents’ satisfaction with the school, teachers’ job satisfaction. If these requirements are met, the intensity and frequency of school inspection is adapted to the quality of the school self-evaluation and schools thus have “earned” more freedom to maneuver beyond prescribed accountability program. Given this context, building school-wide capacity for improvement by promoting teachers’ individual and collective learning becomes vital for a school’s ability to cope with these accountability pressures (Hopkins, 2001; Parise and Spillane, 2010; Sleegers and Leithwood, 2010; Stoll, 2009; Toole and Louis, 2002).

While policy-makers, scholars and practitioners acknowledge the importance of building school-wide capacity for continuous improvement, empirical evidence on the suggested claim is still very thin (Hallinger and Heck, 2011; Thoonen et al., 2012). Findings from research into school improvement and educational change indicate that leadership practices, school organizational conditions, teacher motivational factors and teacher learning are crucial for improving teachers’ classroom practices and student outcomes (e.g. Coburn, 2003; Geijsel et al., 2009; Sleegers et al., 2002; Smylie, 1988; Thoonen et al., 2011a). Whether schools can build school-wide capacity for improvement over a period of time and its impact on teaching has not been studied systematically. In most of the large-scale studies that have been carried out, researchers used a cross-sectional design. As consequence, the findings of these studies have mainly offered a “one-time snapshot” of schools’ capacity to improve. Notwithstanding the value of cross-sectional studies, they are ill-equipped to describe how building capacity for sustainable improvement influences teaching practices over a period of time. In order to increase our understanding of the process of building school-wide capacity and the impact on teaching practices and student outcomes, more longitudinal research is needed.

This study builds on earlier work in which we examined the impact of leadership practices, organizational conditions and teacher motivation on teacher learning and classroom practice (Thoonen et al., 2011a, 2012). The goal of this study was to increase our understanding of the impact of leadership practices and school improvement capacity on changing teachers’ instructional practices[1]. Drawing on research on leadership practices, and school capacity building (e.g. Geijsel et al., 2001; Kwakman, 2003; Leithwood and Jantzi, 2006; Little, 1990; Silins, 1994), we measured transformational leadership (i.e. vision building, individualized consideration and support and intellectual stimulation), school organizational conditions (i.e. participative decision making and teacher collaboration), teacher motivation (i.e. teachers’ sense of
self-efficacy, and internalization of school goals into personal goals) and professional learning activities (i.e. keeping up to date, and experimenting and reflection). We examined the relationship between these variables and their impact on changed classroom practices by using data gathered over a four years (2005-2008) period of time from 862 teachers of 38 elementary schools.

In the next section, we will discuss the different perspectives scholars have used in their attempts to conceptualize school improvement and educational change and the methodological approaches used. We will then focus on the framework and related variables that guided our study, followed by a description of the method. After this description, we will present the results of our longitudinal study. In the last section, we will discuss the main findings and their implications.

Theoretical framework
In order to conceptualize school improvement and educational change, scholars have used a variety of theoretical perspectives (Sleegers and Leithwood, 2010). Studies into the implementation of externally initiated planned change efforts in education conducted in the 1970s have shown that many innovations in schools were abandoned and that the fundamental features of the reform programs were often modified through “mutual adaptation” (see for an overview Firestone and Corbett, 1988). Based on these results, scholars started to frame school improvement as a form of organizational change to better understand the complexity and dynamic nature of planned change efforts in education. As a consequence, the process of implementing changes in schools was modeled as overlapping developmental stages, including adaptation, implementation and institutionalization (Fullan, 1991; Miles, 1986). This line of inquiry has sought to describe and analyze processes that have an impact on successful change in schools, including studies that focus on personal change (Evans, 1996; Rogers, 2003), organizational change (O’Toole, 1995; Weick, 1976) and educational change (Hall and Hord, 2006; Sleegers et al., 2002). The major emphasis was on identifying strategies of change, focussing on the effective management of change processes.

Scholars have also tried to gain more insight into factors that foster school improvement by focussing on a list of effective school improvement enhancing factors based on the knowledge base on effective teaching (Creemers, 1994), teacher development (Fullan and Hargreaves, 1992) and effective schools (Hallinger and Murphy, 1986; Purkey and Smith, 1983; Reynolds et al., 2000; Scheerens and Bosker, 1997). From this line of research, a great deal of evidence and consistent themes have emerged about school and teaching effectiveness practices that suggest important areas for successful educational change and school improvement. As this research mainly focussed on the development of better information about “what works,” the issue of how to manage a change process by which schools may become more effective was less addressed (Louis et al., 1999).

Another lens for exploring school improvement emerged from the literature on school and organizational culture. The first efforts derived from this perspective go back to the 1980s and focussed on how change challenged the underlying norms, values and beliefs in schools and how school culture shapes educational reforms (Sarason, 1982; Wolcott, 1977). More recently, efforts have linked school improvement to the constructs of learning organizations and professional learning communities (Leithwood and Louis, 1998; Louis et al., 1996; Mitchell and Sackney, 2000). These studies often use a system theory on change that links structural, cultural and political
dimensions of school workplace environments to professional learning. Organizational conditions including leadership are considered as the main levers of a school's capacity to change and as a prerequisite for linking teachers' professional development to school development.

Since the No Child Left Behind legislation (US Congress, 2001), educational leaders and policy-makers in the USA have devoted much of their energy to creating so-called comprehensive school reform (CSR) models for improving the achievement of low-performing students that are “evidence-based.” This design-based approach focusses on school improvement processes that are guided by pre-existing blue print of educational practices encompassing virtually all aspects of school operations, including instruction, assessment, classroom management, professional development, parental involvement, school management and curriculum. In this approach, local schools work together with outside agencies to implement new designs that stimulate instructional change and that can be replicated reliably and effectively in many settings (Rowan et al., 2009).

Researchers have started to examine the success of the so-called CSR models by focussing on nature of problems being addressed by a social policy or program, the features and implementation strategy of the models and the social context in which the models are implemented (cf. Datnow et al., 2002; Borman et al., 2003; Rowan and Miller, 2007).

More recently, scholars have conceptualized school improvement as the interaction between the change process and the setting and examine the relationship between schools' contexts, leadership and improvement strategies during change (Day et al., 2011; Hallinger and Heck, 2011). In this line of research, researchers try to describe and analyze different patterns or stages in the journey of school improvement and relate these patterns of growth of learning to contextual conditions. Special attention is paid to the link between leadership and organizational contexts that demonstrated low performing, as is the case with turn-around schools (Leithwood et al., 2010; Nicolaidou and Ainscow, 2005).

Based on their review of research about the relationship between structural and cultural aspects of the school organization, teacher learning and change, Sleegers and Leithwood (2010) identified two views that underlie the different perspectives and have dominated research on school improvement and educational change. The first view, which they term the “inside” view, focusses on the capacity of schools to transform themselves into supportive environments for teacher learning and change. In this view change is considered as part of a larger process of making sense of situations in which teachers work and live through individual and collective reflection on beliefs and practices. The second view, the “outside” view, concerns the implementation of external developed reform designs into schools. The “outside” view focusses on empirical-rational strategies for change which assume that teachers, as rational human beings, will implement changes in their classrooms which are demonstrated to improve student learning. In this view, teachers as mere recipients and consumers of new behavior, beliefs and programs of researchers, policy makers and educators outside the school.

Focussing on the capacity of schools to transform themselves into supportive environments for teacher learning and change, the inside view is represented by a wide range of studies about organizational learning, learning organization and professional learning communities (Bryk et al., 1999; Hopkins, 2001; Leithwood et al., 1999, 2001; Mitchell and Sackney, 2000; Sleegers et al., 2013; Toole and Louis, 2002; Vescio et al.,
Results from these studies indicate that teacher learning is crucial for improving instructional practices and that school organizational conditions such as participative decision making, teacher collaboration, an open and trustful climate and transformational leadership can foster teachers’ professional learning in schools. In addition, psychological factors such as personal teaching efficacy, teacher autonomy and perceived control and teachers’ sense making also affect teachers’ learning (Coburn, 2003; Kwakman, 2003; Richardson and Placier, 2001; Spillane et al., 2002; van Veen and Sleegers, 2006).

The outside view, concerned with the implementation of innovations or new practices developed by reformers and policy makers, is represented by studies into the effects of the school improvement by design approach, including CSR models and the transfer of these models to multiple settings (“scaling up”). Findings from these studies indicate that only some of the CSR models have modest effects on student achievements and that most of the effects do not sustain (Berends et al., 2002; Borman et al., 2003, 2005; Sterbinsky et al., 2006; US Department of Education, Office of Planning, Evaluation and Policy Development, Policy and Program Studies Service, 2010a, b). As a consequence, there is growing body of work that focusses on the conditions under which these models can be implemented successfully, the possibilities and boundaries of scaling up CSR models and the sustainability of these educational reforms (Borman et al., 2004; Camburn et al., 2003; Datnow et al., 2002; Desimone, 2002; Klingner et al., 2006; Mayer et al., 2013; Rowan and Miller, 2007; Rowan et al., 2009; Sterbinsky et al., 2006; Sun et al., 2013). Results of these studies show that most of the CSR reforms were actively shaped and reshaped (“co-constructed”) by teachers, school leaders and other local educators to accommodate the various goals, materials and demands of the program to the local context. Furthermore, findings indicate that a more programmed approach to instructional change with strong press for instructional standardization and high levels of monitoring, collective distribution of leadership, sufficient resources and time and district support for the reform are important for the successful implementation. Moreover, the findings also seem to suggest that schools which already have a high school-wide capacity for improvement and are able to transform their organization into a rich learning environment for teachers can integrate externally developed reforms into their current practice far more easily than schools with a low improvement capacity. So, it is far easier to seed new practices successfully in schools which have fertile “soils” (Slavin, 1998).

Although inside and outside views on change use different assumptions about the nature and direction of school improvement and educational change, results of research show that they inform each other. Findings indicate that successful educational reform needs to be context specific, integrated with school-wide capacity for improvement and stimulate teacher learning in schools (Sleegers and Leithwood, 2010). So, building school-wide capacity to improve teacher practice and enhance students’ learning seems to be a key challenge for practitioners to cope with the current and growing pressure to change, including the push for strong terms of accountability and systematic reforms, and beliefs about the effectiveness of “evidence-based” decision making.

**Methodological approaches**

In spite of the awareness that improvement, by definition, entails change in state of schools over time, most of the literature on school improvement and educational change hardly describe change and improvement in schools (Hallinger and Heck, 2011;
Thoonen et al., 2012). Case studies generated descriptions of what occurs during efforts to improve schools in other specific context, however, findings from cases studies cannot be generalized and research that relies on a knowledge base from case studies alone is of limited validity and utility. Large survey studies have broadened our understanding of effective conditions for school improvement. As these studies are mainly based on a cross-sectional design, they only offer a one-time snapshot of the state of the school’s performance and, therefore, are not equipped to illuminate the effect of school internal conditions on changes in teachers’ teaching practices over a period of time.

For developing substantive knowledge concerning the nature and dynamics of school improvement, we make a claim for longitudinal studies that provide pictures of schools over a period of time. Longitudinal data can increase our understanding of the nature of school improvement processes and outcomes in schools. Additionally, longitudinal data gain a better understanding of the patterns of change that occur across schools during the process of school improvement. Recently school improvement researchers began to conduct longitudinal studies on a scale that is capable of linking effective conditions for sustained improvement to teacher change (e.g. Heck and Hallinger, 2009; Mulford and Silins, 2003, 2009; Thoonen et al., 2012).

Few studies in the school improvement literature describe and try to understand the nature of changes in conditions for school improvement and its influence on changes in teachers’ teaching practices over a period of time. Empirical studies using longitudinal data (Smylie and Wenzel, 2003; Thoonen et al., 2012) have shown that organizational-level and teacher-level conditions for school improvement can vary over a couple of years. Moreover, there is variation between schools with respect to the development of these conditions. Even though these studies extend our insights into the development of conditions for school improvement and the impact for student growth in learning, there is still little systematic evidence for the claim that building school wide capacity to promote individual and collective learning affects teachers’ instructional practices (see, e.g. Vescio et al., 2008; Lomos et al., 2011).

Focus of the present study

Framework

In this study, we examined variation in teaching practices over time and assessed the impact of building school improvement capacity. Building capacity for sustained improvement is seen as a mechanism that fosters changes in classroom practices. School-wide capacity for improvement refers to a set of conditions at school and teacher level that enable teachers’ professional learning and support teaching and learning (Heck and Hallinger, 2009; Mulford and Silins, 2003; Sleegers et al., 2005; Stoll et al., 2006). Our operationalization of school’s capacity for sustained improvement is based on a general model of employee performance as developed in research on organizational and industrial policy (Rowan, 1996). The model assumes that variations in professional performance is a function of the capacities and motivations of workplace personnel, the characteristics of the organizational setting in which they work and the external social and political environment. Characteristics of the organizational setting in which the personnel works can be supportive for their capacities and motivation. Drawing on this model, Leithwood et al. (2002) have developed a framework that can help to guide research on large-scale reforms. Their framework suggests that variations in the success of large-scale reform can be explained in terms of their influence on educators’ motivations and capacities, as well
as their work settings which facilitate the types of changes in schools, and especially
the teaching practices needed for significant gains in whatever student outcomes are
aspired to by reformers. The framework of Leithwood et al. (2002) has been confirmed
by international evidence which suggests that educational reform’s progress depends
on teachers’ individual and collective capacity and its link with school wide capacity
for promoting pupils’ learning (Leithwood et al., 2004; Richardson and Placier, 2001;
Smylie and Hart, 1999).

We used this framework and previous research on teacher learning and motivation,
school’s capacity building and leadership practices, to develop a model consisting of
five general constructs (each with multiple aspects, covered by separate variables) and
the relationships among these general constructs (see Figure 1). According to this model,
it is assumed that transformational leadership, school organizational conditions, teacher
motivational factors and teacher engagement in professional learning will change
teachers’ instructional practices. Transformational leadership and school organizational
conditions will have indirect effects on instructional change through teacher motivation
and teachers’ engagement in professional learning activities.

Defining the concepts
As we aimed to increase our understanding of the impact of (aspects of) school
improvement capacity on changing teachers’ instructional practices, we measured
school-wide capacity by using concepts and related variables of which previous
research has showed to have an impact on instructional change. The following
variables (between parentheses) embedded in four general concepts were used:

1. transformational leadership (i.e. vision building, individualized consideration
   and support and intellectual stimulation);

2. school organizational conditions (i.e. participative decision making and teacher
   collaboration);

3. teacher motivational factors (i.e. teachers’ sense of self-efficacy, and
   internalization of school goals into personal goals); and

4. teacher engagement in professional learning activities (i.e. keeping up to date,
   and experimenting and reflection).

Transformational leadership. Leadership is widely regarded as playing a significant role
in school effectiveness and school improvement (Leithwood et al., 2008). In their efforts
to assess the impact of leadership on building school wide capacity and promoting
student learning, scholars have used specific sets of leadership activities covered by
two dominant models, including instructional leadership, which is directly geared to the
educational activities of the teachers, and transformational leadership, which aims
primarily to enhance the commitment and competencies of teachers (Hallinger, 2003).
Research has shown that leadership inspired by the concept of transformational
leadership plays a significant role in building school wide capacity and educational
change (Leithwood et al., 1999). Numerous studies on transformational leadership in
educational settings have demonstrated positive relationships between transformational
leadership and various school and teacher organizational conditions (Leithwood and
Jantzi, 2005; Leithwood and Sun, 2009). For example, studies have found increased
participation in decision making and commitment to school improvement, as well as
increased teachers’ motivation to implement accountability policies. Transformational
Transformational leadership
- Vision
- Individual consideration
- Intellectual stimulation

Professional learning activities
Reading
Experimenting and reflecting

Teacher motivation
Teacher self-efficacy
Internalization of school goals

Teaching practices
Relatedness to students' world
Cooperative learning

School organizational conditions
Collaboration among teachers
Participative decision-making
leaders were found to be able to influence organizational members to move beyond self-interest in support of larger organizational goals. Moreover, transformational leadership has been associated with student outcomes, both directly and indirectly through these conditions (Leithwood and Jantzi, 2006; Leithwood and Sun, 2012). Although the importance of transformational leadership for reform-oriented school improvement is widely acknowledged, studies have not shown strong effects of transformational leadership on student achievement, especially when these effects are compared with the effects of instructional leadership on student outcomes (Robinson et al., 2008). As this study focuses on instructional change, we used the concept of transformational leadership to measure the impact specific leadership activities may have on teaching practices. Based on findings of earlier studies, we identified three dimensions of transformational leadership in schools: vision building through initiating and identifying a vision for the school’s future, providing individual support and providing intellectual stimulation (Geijsel et al., 1999; Leithwood and Jantzi, 2006; Leithwood et al., 1999; Nguni et al., 2006).

Organizational conditions. In debates about school reform, more “organic” form of management, involving the developing of staff collaboration and participative decision making, is assumed to increase the commitment of the teaching staff and their identification with the school, which in turn will lead to improved teaching (Rowan, 1990). Cooperative, friendly and collegial relationships; open communication; and free exchange of ideas may provide emotional and psychological support for teachers’ work and promote opportunities for critical reflection, experimentation and other types of learning (Geijsel et al., 2001; Kwakman, 2003; Rosenholtz, 1991; Smylie et al., 1996). Several studies have shown that teacher collaboration can have strong positive effects on teachers’ professional learning and can, if it is focussed on student learning, help to improve classroom practices (Bryk et al., 1999; Louis and Marks, 1998; Smylie, 1988; Rosenholtz, 1989; Stoll et al., 2006).

Teacher participation in decision making, as a condition that supports an organic form of school organization, can add to personal goals and teachers’ sense of self-efficacy and thus motivate teacher learning. Participation in decision making refers to joint decision making or shared influence in decision making by a superior and the employees. Participative decision making may increase teachers’ ownership of organizational goals and can reinforce the extent to which teachers have internalized school goals and values as their personal goals (Sleegers et al., 2005; Smylie, 1988; Smylie et al., 1996). As such, this type of decision making may provide standards teachers can use to evaluate their own practice and may clarify instructional goals. Positive effects of participative decision making on teacher motivation have been found in several empirical studies (e.g. Jongmans et al., 2004; Rowan et al., 1993; Smylie et al., 1996). Although research provides empirical support for the positive role organic forms of management, including staff collaboration and participative decision making, play in promoting instructional improvement in schools, research also suggest that positive effects appear to be contingent on conditions in and around schools and that support for direct effects of organic management on student outcomes are weak (Miller and Rowan, 2006).

Teacher motivation. According to Bandura’s social cognitive theory (1977), human learning and functioning are explained in terms of a triadic reciprocity: individual behavior, cognitions and environmental conditions operate as interacting determinants of one another. One important construct in this theory, perceived self-efficacy, mediates learning and behavior. Self-efficacy is a future-oriented belief
about the competence a person expects to display in a given situation. Self-efficacy works in two related ways. First, it strengthens teachers in pursuing interests and involvement in activities through setting themselves challenges (Bandura, 1986; Geijsel et al., 2009). Second, it takes away fear of failure and it strengthens persistence in pursuit of interests and goals (Runhaar, 2008). Research has shown that teacher efficacy positively influences teachers’ engagement in professional learning activities and subsequently enhances the quality of the instruction (Geijsel et al., 2009; Goddard et al., 2000; Smylie, 1988; Tschannen-Moran and Woolfolk Hoy, 2001; Wheatley, 2002).

Motivational processes are a function of one’s personal goals and of beliefs about one’s capacities and one’s context (Ford, 1992). Research on teacher commitment as a key aspect of a school-wide capacity for improvement has often suggested that a strong belief in and acceptance of the organization’s goals and values, is an element of teacher motivation (Geijsel et al., 2009; Leithwood et al., 1999). A strong belief in and acceptance of school goals and values can motivate teachers to action if school goals and values are internalized as personal goals and values. Research has shown that teachers’ internalization of school goals into personal goals influences their engagement in professional learning activities, both directly and via self-efficacy (Geijsel et al., 2003; Leithwood et al., 1999; Thoonen et al., 2011a).

Teachers’ engagement in professional learning activities. Inspired by adult learning theories and situated cognitive perspectives on learning, researchers have emphasized the notion of on-going and life-long professional learning embedded in schools as a natural and expected component of professional activities of teachers and a key component to improve the quality of instruction and school improvement (Clarke and Hollingsworth, 2002; Jarvis, 1987; Kwakman, 2003; Putnam and Borko, 2000; Sleegers et al., 2005; Smylie, 1995). From this perspective, the focus of teacher learning is on teachers’ engagement in a variety of professional learning activities within schools and on becoming a participant in a community of learners (Sfard, 1988; ten Dam and Blom, 2006). Scholars distinguished different professional learning activities that are crucial for enabling teachers to deal with the rapid changes with which they are faced, such as keeping up to date, innovation, experimentation and reflective practice (Geijsel et al., 2009; Kwakman, 2003; Lohman and Woolf, 2001; Smylie, 1995). Research has shown that active learning (observing, discussion, planning, feedback, reflection) and enhancing knowledge (study group, conference, etc.) affect teaching practice (Garet et al., 2001; Geijsel et al., 2001; Runhaar, 2008; Supovitz, 2002).

Teaching practices: instructional strategies. Based on constructivists approaches to teaching, scholars and researchers have stressed the need for creating learning environments that stimulate self-regulated and active learning, acknowledge differences between students and are connected to authentic and real-life contexts (Blok et al., 2006; de Kock et al., 2004; Shuell, 1996). Recently, Scheerens (2008) has conducted a meta-analysis into instructional and school effectiveness in which the effects of both “traditional” and constructivist approaches to teaching were analyzed. The results showed that constructivist-oriented instructional strategies had the biggest effect on student outcomes. In addition, Thoonen et al. (2011b) found that instructional strategies based on constructivist approaches to teaching can explain variation in student motivation, in particular the extent to which teachers related their instruction to students’ world, and students are stimulated to learning cooperatively.
Contemporary research on school improvement has identified above mentioned factors at the level of the teacher and the organization as effective conditions for changing teaching practices (e.g. Geijsel et al., 2009; Kwakman, 2003; Leithwood and Jantzi, 2006; Smylie and Hart, 1999; Thoonen et al., 2011a).

In this study we examined changes in teachers’ instructional practices and used a model of school internal conditions to explain these changes and to gain insight into the relationships among school internal conditions over a period of time. Based on our framework (see Figure 1) and the available evidence from previous research mentioned above, we expect that teacher-level conditions (teachers’ engagement in professional learning activities and teacher motivation) will have a more direct impact on changing teachers’ classroom practices than school-level conditions. In addition, we expect that organization-level conditions (transformational leadership dimensions, teacher collaboration and participative decision making) positively affect teachers’ motivation and the extent to which they are engaged in professional learning activities.

Method

Sample

The study described in this paper is part of a longitudinal survey on school improvement in elementary education. Participants were teachers from 32 elementary schools (students at the age of four to 12 years), situated in the country as well as in and around two cities (> 150,000 citizens) in the Netherlands. The 32 schools differed by background characteristics (denomination, number of pupils and teachers, percentage pupils with low SES) and are comparable to other schools in the country and cities (see Appendix, Table A1). The implementation of more responsive forms of accountability in the Netherlands, as mentioned above, has challenged the school boards in this study to stimulate school leaders and teachers to strengthen and develop their school-wide capacity for continuous improvement as was expected by policy-makers. To create instructional change, the school boards used an adaptive approach to instructional change by not prescribing specific programs or designs but by giving school leaders and teachers enough discretion and autonomy to change classroom practices (Berman and McLaughlin, 1975; Rowan and Miller, 2007). In order to monitor school-wide capacity for continuous improvement, schools in the sample were recommended by their school boards to participate in the study.

In 2005 we started with teachers from 18 elementary schools, after which we succeeded to extend our sample to 32 schools. The additional 14 elementary schools entered the study after the first year of the study (2006). In the first year (2005) 283 teachers participated, in the second year (2006) 673 teachers, in the third year (2007) 479 teachers and finally in the fourth year (2008) 480 teachers participated in our longitudinal study. As in most longitudinal studies, this project suffered from dropout of teachers. Although the sample is supplemented with new teachers at every measurement, the number of teachers available for longitudinal analyses decreases with every successive measurement occasion in the project. Most teacher loss has to do with moving to another school, retirement and non-response at one or more measurement occasions; in some cases teachers became a member of the school management. After four measurement occasions, we have at our disposal longitudinal data on 867 teachers in 32 schools. An overview of the frequency of teachers’ participation in the survey for the different waves of data (one to four times), can be found in the Appendix (Table AII).
Measures

In this longitudinal study we used the revised version of the Dutch School Improvement Questionnaire for teachers (Thoonen et al., 2011a). The concepts used in this study were operationalized and measured based on existing scales and items, as well as newly formulated items. Teachers indicated the extent to which the item content applied to them on four-point scales (1 = does apply to me (almost) never, 2 = does apply to me sometimes, 3 = does apply to me often, 4 = does apply to me (almost) always). The items in the questionnaire referred to the above-mentioned concepts (see Appendix for an overview of the scales and related items).

With regard to transformational leadership three dimensions of transformational leadership, including vision building, providing individualized consideration and providing intellectual stimulation were measured (cf. Geijsel et al., 2001; Leithwood et al., 1993; Silins, 1994). Vision building referred to the extent to which the school leader initiates and identifies a vision for the school’s future. This scale consisted of five items ($\alpha = 0.88$). Individualized consideration was defined as the extent to which the school leader, acknowledging teachers’ efforts, provides individualized support for teachers and was measured using four items ($\alpha = 0.91$). The third scale, providing intellectual stimulation, consisted of eight items ($\alpha = 0.92$) and concerned the degree to which the school leader provides teachers with intellectual stimulation.

With respect to the organizational conditions, teachers’ participation in decision making and collaboration among teachers were measured. Participative decision making was defined as the extent to which teachers experience that they participate in processes and outcomes of the school’s decision making regarding issues of education, innovation and school improvement (cf. Geijsel et al., 2001; Jongmans et al., 2004). The scale consisted of eight items ($\alpha = 0.89$). Teacher collaboration referred to the extent to which teachers experience professional collaboration that extends the level of exchanging information and offers opportunities to learn from each other (cf. Geijsel, 2001; Little, 1990). The scale consisted of seven items ($\alpha = 0.82$).

Teacher motivation referred to teachers’ sense of self-efficacy and the internationalization of organizational goals into personal goals. Teachers’ sense of self-efficacy referred to the degree to which teachers experience a sense of self-efficacy with regard to their own professionalism (van Woerkom, 2003). This scale consists of five items ($\alpha = 0.81$). Personal goals was defined as the extent to which teachers have internalized the goals and vision of the school as their personal goals (five items; $\alpha = 0.82$).

Teachers’ engagement in professional learning activities was measured by focussing on two learning activities: keeping up to date and experimenting and reflection. Keeping up to date refers to the extent to which teachers keep up with developments in the field of education by reading professional literature and undertake other activities (cf. Geijsel et al., 2001; Kwakman, 2003). This scale consisted of five items ($\alpha = 0.79$). Experimenting and reflection concerned the extent to which teachers try out new things and/or undertake action explicitly meant to improve their practices and/or enable reflection on their practices (cf. Geijsel et al., 2001; Kwakman, 2003). This scale consisted of seven items ($\alpha = 0.79$).

Teachers’ instructional practices. Based on theories on motivation and learning and findings from our earlier research on the impact instructional strategies based on constructivist approaches of teaching have on student motivation (Thoonen et al.,
In 2011b, we distinguished two instructional strategies. The extent to which teachers relate the instruction to students' personal world (three items; $\alpha = 0.73$) and the degree to which the teacher promotes cooperation and interaction between students (four items; $\alpha = 0.76$).

Analyses

In the four years of data collection, 2005 through 2008, some teachers dropped out of the study (e.g. because they missed a measurement occasion or because they left their job), whereas other teachers entered the study some years after its start (e.g. because they changed schools or because they were teaching at one of the schools that entered the study in 2006). In order to take the different patterns of missingness into account and still make use of all available data, we applied multilevel regression analysis (procedure Mixed, SPSS version 15, SPSS Inc., 2006) to answer our research questions. In the multilevel analysis of the hierarchical longitudinal data we distinguished three levels: measurement occasions (level 1), nested with teachers (level 2) nested within schools (level 3).

In preliminary analyses we compared various multilevel models for the longitudinal covariance structure (compound symmetry and autoregression structures, with homogenous and heterogeneous variances), using information criteria and $\chi^2$ tests of differences in fit with the unstructured models. We found that the longitudinal covariance structure was generally best described as autoregressive with homogenous variances. We further checked whether it was necessary to account for possible differences in means, variances and covariances of the outcome measures between the 14 schools that entered the study and the 18 schools that participated from the beginning, but $\chi^2$ tests did not show any significant differences in fit between models with and models without additional parameters for new schools.

We first investigated change over time in the research variables by fitting multilevel models to teachers' scores on each of the four measurement occasions (descriptive data for the different constructs over time can be found in Appendix, Table AIII). These so-called “fixed occasion” models (Snijders and Bosker, 1999) consisted of a random intercept representing the mean scores at the first measurement and three fixed regression coefficients for each of the three subsequent measurement occasions, representing the deviations from the scores at the first measurement occasion. The random intercept is associated with between-school variance (level 3), between-teacher variance (level 2) and within-teacher variance (level 1; level 2 and level 1 variances are functions of the auto-correlation coefficient).

Second, to test our expectations, we successively examined the effects of organizational-level conditions (dimensions of transformational leadership, teacher collaboration and participative decision making) and teacher’s background variables on teacher-level conditions (teachers’ sense of self-efficacy, their internalization of school goals into personal goals and engagement in professional learning activities), as well as the effects of these explanatory variables on changes in classroom practices. By investigating the relations between these variables in this order, the analyses yield insight into the effects of organizational-level conditions on changed classroom practices, and to which extent these effects are mediated by teacher-level conditions.

Finally, in order to investigate whether the effects were consistent over a period of three years, we also tested the significance of interaction of the explanatory variables with time. We then removed the non-significant interaction effects one-by-one but we retained all main effects even not significant as we did not have specific hypotheses
concerning the main effects. By retaining the main effects we respected the everyday school context in which practically exists a mix of school internal conditions.

Results
In this section we successively report the results of the longitudinal mixed-models of teacher-level conditions predicted by organizational-level conditions and teacher’s background variables, and the results of the longitudinal mixed-models of teachers’ instructional strategies predicted by organizational-level and teacher-level conditions and teacher’s background variables. We first present changes of teacher-level conditions over time, and the main and interaction effects of organizational-level conditions. Second, we report the effects of time, organizational-level and teacher-level conditions on change in teacher’s teaching practices.

Standardized parameter estimates for longitudinal mixed-models of teacher motivation and teachers’ professional learning predicted by organizational-level conditions and teacher’s background variables are reported in Table I. The explained variances within and between teachers in the empty model were 44.3 percent and 55.7 percent for teachers’ sense of self-efficacy, 45.3 and 54.7 percent for personal goals, 31.5 and 68.5 percent for keeping up to date and 36.2 and 63.7 percent for experimenting and reflecting. Furthermore, the findings showed that organizational-level variables explained less variance in teachers’ sense of self-efficacy and teachers’ engagement in professional learning activities to keep themselves up to date (9.4 percent, respectively 17.1 percent) than variance was explained in the extent to which teachers internalize school organizational goals into personal goals and are engaged in professional learning activities to experiment and reflect (37.3 percent, respectively 25.2 percent).

As the results in Table I show, teachers’ sense of self-efficacy and teachers’ engagement in professional learning activities to experiment and reflect significantly increased over a period of time. After two and three years (T3 and T4), teachers experienced more sense of self-efficacy with regard to their own professionalism and were more engaged in experimenting and reflecting activities in comparison with the beginning of our study. The average scores of personal goals and teachers’ engagement in professional learning activities to keep themselves up to date did not show a significant longitudinal development.

Main effects of explanatory variables were found on each of the teacher motivation and professional learning variables. With respect to teachers’ sense of self-efficacy, we see main effects of the intellectual stimulation dimension of transformational leadership (0.13), teacher collaboration (0.09) and participative decision making (0.11). The more teachers are stimulated intellectually, experience a collaborative culture and participate in decision-making process, the higher teachers’ sense of self-efficacy regarding their own professionalism. Facilitating the interpretation for different scenarios, Figure 2 shows the mean scores for each of the four measurement occasions for a person who scored one standard deviation higher than the average on the explanatory variable intellectual stimulation.

Figure 2 shows that the sense of self-efficacy of a teacher who scored one standard deviation higher than the average score of the intellectual stimulation dimension of transformational leadership, significantly increased over a period of three years from −0.05 at the first measurement occasion (T1) to 0.18 at the fourth measurement occasion (T4). The scores of teachers’ sense of self-efficacy of a teacher who scored
## Table I.

Standardized parameter estimates for longitudinal mixed-models of teacher motivation and teachers’ professional learning predicted by organizational-level conditions and teachers’ background variables

<table>
<thead>
<tr>
<th>Fixed effects</th>
<th>Personal goals</th>
<th>Professional learning: keeping up to date</th>
<th>Professional learning: experimenting and reflection</th>
</tr>
</thead>
<tbody>
<tr>
<td>Intercept</td>
<td>−0.18 (0.06)</td>
<td>−0.03 (0.06)</td>
<td>−0.11 (0.06)</td>
</tr>
<tr>
<td>After 1 year</td>
<td>0.10 (0.06)</td>
<td>0.08 (0.06)</td>
<td>−0.01 (0.06)</td>
</tr>
<tr>
<td>After 2 years</td>
<td>0.19 (0.06)</td>
<td>0.08 (0.06)</td>
<td>−0.02 (0.06)</td>
</tr>
<tr>
<td>After 3 years</td>
<td>0.22* (0.06)</td>
<td>0.10 (0.06)</td>
<td>−0.05 (0.06)</td>
</tr>
</tbody>
</table>

**Notes:**
- N = 862
- Gender: 0 = men, 1 = women
- *p < 0.05
one standard deviation lower than the average score of intellectual stimulation also increased from $-0.31$ at T1 to $-0.08$ at T4. Because there are no interaction effects, the dashed and dotted lines are strictly parallel to the solid line.

With respect to teachers’ internalization of school organizational goals into personal goals, the vision building dimension of transformational leadership and participative decision making did have the greatest effects ($0.26$, respectively $0.30$). Disregarding the interaction effects, the more school leaders initiate and identify a vision and teachers participate in decision-making process, the more teachers have internalized the goals of the school as their personal goals.

Teachers’ engagement in professional learning activities was strongly affected by the intellectual stimulation dimension of transformational leadership and collaboration among teachers. The more school leaders stimulate teachers to professionalize themselves and the more teachers collaborate, the more teachers are engaged in professional learning activities to keep themselves up to date and to experiment and reflect. Furthermore, the results showed that the individualized consideration and support dimension of transformational leadership had a negative small direct effect on experimenting and reflecting ($-0.12$). More support and consideration seems to inhibit teachers’ engagement in professional learning activities. Additionally, teachers’ background in terms of experience in school seemed to negatively affect teachers’ engagement in professional learning activities. Finally, it appeared that vision building

**Figure 2.** Standardized estimates of deviations from overall mean over time: teachers’ sense of self-efficacy

**Notes:** The solid line represents the standardized estimates of deviations from the overall mean of teachers’ sense of self-efficacy for a theoretical female person that scored 0 on each endogenous variable. The scores of a person who scored one standard deviation higher respectively lower than the average on intellectual stimulation are plotted as a dashed respectively dotted line. Concerning the dashed line, at T1 this person scored $-0.05$ (see also Table I): the intercept of sense of self-efficacy at T1 ($-0.18$) plus the effect of intellectual stimulation at T1 ($0.13$). At T2 this person scored 0.05: the intercept of sense of self-efficacy at T1 ($-0.18$), plus the main effect of time at M2 ($0.10$), plus the effect of intellectual stimulation at T1 ($0.13$).
and individualized consideration also had non-significant (main) effects on (different aspects of) teacher motivation and teachers’ engagement in professional learning activities and that the found direction does not always support the direction of these effect as expected in our study.

All together, considering the main significant effects of explanatory variables on teacher motivation and professional learning, it appeared that the intellectual stimulation dimension of transformational leadership and teacher collaboration are the most important predictors for teachers’ motivation and engagement in professional learning activities. Moreover, our results suggested that vision building and participative decision making seem to be important for teachers’ internalization of school organizational goals into personal goals.

As mentioned above, we also investigated whether the effects were consistent over a period of three years by testing the significance of interaction of the explanatory variables with time (see Table I). The results show that, next to main effects, we only found interaction effects of vision building and participative decision making with time.

To illustrate these interaction effects we have taken vision building as an example. In Figure 3 interaction effects have been plotted for a teacher who scored one standard deviation higher on vision building than the average score (dashed line) as well as a teachers who scored one standard deviation lower than the average score (dotted line). According to the dashed line, the figure shows that the internalization of schools goals into personal goals of a teacher who scored one standard deviation higher than the average score of the vision building dimension of transformational leadership

![Figure 3](image_url)

**Notes:** The solid line represents the standardized estimates of deviations from the overall mean of internalization of school goals into personal goals for a theoretical female person that scored 0 on each endogenous variable. The scores of a person who scored one standard deviation higher respectively lower than the average on vision building are plotted as a dashed respectively dotted line. Concerning the dashed line, at T1 this person scored 0.26 (see also Table I): the intercept of internalization of school goals into personal goals at T1 (0.00) plus the effect of vision building at T1 (0.26). At T2 this person scored 0.30: the intercept of personal goals at T1 (0.00), plus the main effect of time at M2 (0.03), plus the sum of the main and interaction effect of vision building (0.27)
significantly increased over a period of two years from 0.26 at the first measurement occasion (T1) to 0.36 at the third measurement occasion (T3). After three years (T4), the extent to which these teachers have internalized school goals was equal to the first year (0.26). Moreover, a teacher who scored one standard deviation lower on vision building had internalized less school goals into personal goals after three years (−0.54 at T3) than he or she had at the first measurement occasion (−0.26 at T1); notwithstanding the increase at the second (−24 at T2) and fourth measurement occasion (−0.30 at T4).

Table II presents the standardized parameter estimates for longitudinal mixed-models of teachers’ instructional strategies predicted by organizational-level and teacher-level conditions and teacher’s background variables. The explained variances within and between teachers in the empty model were 54.6 and 45.4 percent for relatedness to students’ world and 51.3 and 48.7 percent for cooperative learning. The findings showed that both relatedness to students’ world and cooperative learning showed significant negative effects of time. The relatedness to students’ world negatively developed after the first measurement occasion (from 0.53 at T1 to −0.08 at T2; 0.53−0.61) followed by a steady neutral development (−0.08 and 0.02 after two respectively three years). Cooperative learning was less stimulated by teachers at the second, third and fourth measurement occasion than they did at the first measurement occasion.

<table>
<thead>
<tr>
<th>Fixed effects</th>
<th>Teaching practices: relatedness to students’ world</th>
<th>Teaching practices: cooperative learning</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Measurement interval, no. of teachers</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intercept (T1)</td>
<td>0.53 (0.06)</td>
<td>0.35 (0.07)</td>
</tr>
<tr>
<td>After 1 year</td>
<td>−0.61* (0.06)</td>
<td>−0.40* (0.07)</td>
</tr>
<tr>
<td>After 2 years</td>
<td>−0.61* (0.06)</td>
<td>−0.41* (0.08)</td>
</tr>
<tr>
<td>After 3 years</td>
<td>−0.51* (0.06)</td>
<td>−0.45* (0.08)</td>
</tr>
<tr>
<td><strong>Teacher background</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gender</td>
<td>−0.23* (0.07)</td>
<td>0.06 (0.07)</td>
</tr>
<tr>
<td>Experience in elementary education at T1</td>
<td>0.04 (0.04)</td>
<td>0.07* (0.04)</td>
</tr>
<tr>
<td>Experience in school at T1</td>
<td>−0.00 (0.03)</td>
<td>0.01 (0.03)</td>
</tr>
<tr>
<td><strong>Transformational leadership</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vision building</td>
<td>0.05 (0.03)</td>
<td>−0.01 (0.03)</td>
</tr>
<tr>
<td>Individualized consideration</td>
<td>−0.00 (0.03)</td>
<td>−0.07* (0.03)</td>
</tr>
<tr>
<td>Intellectual stimulation</td>
<td>−0.06 (0.03)</td>
<td>0.04 (0.03)</td>
</tr>
<tr>
<td><strong>School organization</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teacher collaboration</td>
<td>0.05* (0.02)</td>
<td>0.06* (0.02)</td>
</tr>
<tr>
<td>Participative decision-making</td>
<td>0.06* (0.03)</td>
<td>0.06* (0.03)</td>
</tr>
<tr>
<td><strong>Teacher motivation</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Teachers’ sense of self-efficacy</td>
<td>0.13* (0.02)</td>
<td>0.09* (0.02)</td>
</tr>
<tr>
<td>Personal goals</td>
<td>0.09* (0.02)</td>
<td>0.10* (0.02)</td>
</tr>
<tr>
<td><strong>Professional learning activities</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Keeping up to date</td>
<td>0.09* (0.02)</td>
<td>0.13* (0.02)</td>
</tr>
<tr>
<td>Experimenting and reflection</td>
<td>0.22* (0.03)</td>
<td>0.23* (0.03)</td>
</tr>
<tr>
<td><strong>Teacher collaboration</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total number of observations in analysis</td>
<td>25.2</td>
<td>29.1</td>
</tr>
</tbody>
</table>

**Notes:** n = 862. Gender: 0 = men, 1 = women. *p < 0.05
Further, regarding the relatedness to students’ world, several main effects were found of which teachers’ sense of self-efficacy (0.13) and experimenting and reflection (0.22) had the most impact. Thus, the higher teachers’ sense of self-efficacy and the more teachers are engaged in experimenting and reflecting, the more teachers relate their instruction to students’ world. Moreover, female teachers related their instruction less to students’ world than male teachers did (−0.23). With respect to cooperative learning, of the main effects found, keeping up to date (0.13) and experimenting and reflection (0.23) had the most impact. The more teachers were engaged in these professional learning activities, the more they promote cooperation and interaction between students.

Altogether, teachers’ classroom practices seemed mainly affected by teacher-level conditions (the engagement in professional learning activities and teacher motivation). Teachers who have a high sense of self-efficacy and are engaged in professional learning activities to keep themselves up to date and to experiment and reflect, show more teaching practices in which the instruction is related to students’ personal world and cooperation and interaction among students is stimulated. Organization-level conditions seemed to have a facilitating role regarding the relationship between teachers’ classroom practices and teacher-level conditions.

Conclusions and discussion
In this study, we examined changes in teachers’ instructional strategies over a period of three years and used a model of school internal conditions to explain these changes. Based on constructivist approaches to teaching and findings from one of our earlier studies, we distinguished two instructional strategies: relatedness to students’ world and cooperative learning. Based on findings of empirical studies on school internal conditions that promote individual and collective learning and changes in teachers’ classroom practices, we distinguished organizational-level conditions and teacher-level conditions and assessed the relations among these conditions and the instructional strategies teachers use. We applied multilevel regression analysis on hierarchical longitudinal data consisted of teachers’ scores on four measurement with a sample from 862 teachers of 32 Dutch elementary schools. In this section, we discuss our most important findings. We first discuss our findings with respect to changes in the instructional strategies teachers use, and the effects of organizational-level conditions and teacher-level conditions on these changes. Next to these findings, we discuss the most important findings concerning the role of organizational conditions for the improvement of teacher conditions.

First, our data showed that teachers’ classroom practices changed over time. On average, the extent to which teachers use instructional strategies based on constructivist approaches changed over a period of three years, however, after three years teachers paid less attention to relatedness to students’ world and cooperative learning than they did at the beginning. This development especially took place after the first year of the study. From the second year of the study, teachers succeed in sustaining their teaching practices. In interpreting this finding, we would like to emphasize that the goal of this study was to increase our understanding of the role enhancing capacity building (at the school and teacher level) may play in explaining changes in teachers’ instructional practices. An important point, however, is that in doing so, we are not evaluating whether teachers changed their instructional practices toward “better” teaching practices or some desired ends (in terms of student outcomes) or to what extent teachers have implemented a preferred instructional regime (i.e. instructional strategies based on constructivist approaches). For this
reason, we make no claims about the effects organizational-level and teacher-level conditions on student learning or the successful implementation of external developed designs or programs.

At first instance, a possible explanation for this finding may be the entrance of new schools (in our sample) after the first year of the study, though, we checked whether it was necessary to account for possible differences in means, variances and co-variances of the outcome measures between the 14 schools that entered the study and the 18 schools that participated from the beginning. \( \chi^2 \) tests did not show any significant differences in fit between models with and models without additional parameters for new schools.

The changes in instructional practices may also be explained by the different frames of reference respondents may have when answering the questionnaire (Oort et al., 2009). The observed differences between respondents’ test scores at different measurement occasions may reflect something other than true differences. The measurement of changed instructional strategies can bring about the additional problem that teachers may also change their frame of reference, rendering scores from different measurement occasions incomparable. A changed frame of reference may cause “response shift.” With response shift, observed changes in respondents’ test scores may reflect something other than true changes in the attribute that we want to measure. Golembiewski et al. (1976) applied “response shift” in the field of organizational change and introduced the terms \( \alpha \), \( \beta \) and \( \gamma \) change to refer to actual change, change in internal standards and reconceptualization. The reported changes in teachers’ instructional strategies may represent \( \beta \) or \( \gamma \) change instead of actual change. Over a period of time, teachers may have changed their internal standards (\( \beta \) change) or redefined their targets (\( \gamma \) change) toward constructivist-oriented approaches to teaching. Due to \( \beta \) and \( \gamma \) change teachers may become more critical about their teaching practices.

Another plausible explanation could be the changing political and social context in the Netherlands during the study, including the earlier mentioned accountability pressures and the social discussion concerning the more traditional teaching practices at schools that aroused at the beginning of this era (Blok et al., 2006; Oostdam et al., 2007). With regard to the latter issue, antagonists of constructivist teaching approaches emphasized views of learning as an active, social and independent process of knowledge-construction instead of the transmission of knowledge by teachers. These modern views of learning were labeled with the term “new learning.” New learning initiatives criticized current teaching practices that were mainly based on traditional views of learning. Notwithstanding the different initiatives that tried to implement a combination of traditional and constructivist approaches to teaching in order to stimulate active, social and independent learning, the media often paid attention to extreme initiatives that strongly emphasize the autonomy of students to decide how to spend their time at school (cf. Sudbury Valley Schools). As the media labeled these extreme illustrations of “new” learning negatively in terms of “playgrounds” were students learn nothing, teachers, school leaders, parents and other stakeholders adopted a critical attitude toward so-called new learning initiatives in general. This critical attitude might have hindered schools and teachers to change their classroom practices into more constructivists’ approaches to teaching.

The findings also show that teachers’ factors mainly affect changes in teachers’ classroom practices. Of the teacher-level conditions measured in our study, teachers’ engagement in professional learning activities appeared to have the strongest effects. The engagement of teachers in professional learning activities, and in particular
experimentation and reflection, seems to stimulate teachers to change their teaching. This finding supports the notion of teacher change as a complex process that involves learning (Clarke and Hollingsworth, 2002). Teachers can be considered as active learners shaping their professional growth through reflective participation in everyday practice.

Next to teachers’ engagement in professional learning activities, teacher motivation appeared to play a considerable role in changing teachers’ instructional practices. This result corresponds with findings from earlier studies into the role of teachers’ sense of self-efficacy for educational change (Bandura, 1997; Dembo and Gibson, 1985).

We also tested the role of organizational-level conditions including transformational leadership practices, teacher collaboration and participative decision making for the improvement of teacher-level conditions. Our findings support the assumption that organizational-level conditions have an impact on teacher motivation and teachers’ engagement in professional learning activities. Most of the variance in teacher motivation is explained by participative decision making. The participation of teachers in decision-making processes increases their sense of self-efficacy and, in particular, the extent to which they internalize school goals into personal goals. The latter effect seemed to change over time: the extent to which teachers internalize school goals as a result of their participation in decision-making processes varies over a period of time. Teachers appear to desire greater influence in those areas that are related teaching and student learning. Empowerment should therefore focus on decisions that affect school policies that are broader than single classrooms but still clearly related to the improvement of learning environments to increase teachers’ ownership of organizational goals (Geijsel et al., 2001). It might be the case that, during the four years of our study, the decision-making process did not always focus on decisions that are strongly related to teaching and student learning but also on decisions that affect the administrative domain of school’s policy-making, such as timetabling, division of tasks, relations with external organizations, teacher appraisal, etc. This may explain the found effects.

Next to participation in decision making, the findings also suggest that transformational leadership practices stimulate teachers’ motivation. Stimulating teachers to professionalize themselves positively affect teachers’ sense of self-efficacy. As earlier studies have shown (e.g. Geijsel et al., 2003; Leithwood et al., 1999; Nguni et al., 2006), our results also confirm the significant role of vision building for the internalization of school goals into personal goals. By initiating and identifying a vision, school leaders reinforce the personal and social identification of followers with the organization and thus increase collective cohesion. As a consequence, teachers may feel more committed and are more willing to internalize organizational goals and values as their personal goals. Vision thus plays an important role in stimulating the commitment of teachers to organizational goals. A closer examination of our findings show that this relationship changed over time: initiating and identifying a vision appeared to have differential effects on the internalization of school goals over a period of time. Differences between “strong” and “weak” schools in the extent to which teachers internalize school goals can increase over a period of time. This seems to suggest that school leaders need to build a sustainable vision for lasting personal and social identification.

Most of the variance in teachers’ engagement in professional learning activities was explained by the intellectual stimulation dimension of transformational leadership. Stimulating teachers to professionalize themselves positively affect teachers’ engagement in activities to keep them up to date and to experiment and reflect.
The provision of financing, time and space, thus, enhances the extent to which teachers collect new knowledge and keep up to date and experiment with new insights and developments.

Although these findings support the claim that school leaders make modest contributions to staff capacities and performance (e.g. Bruggencate et al., 2012; Leithwood et al., 2008; Leithwood and Jantzi, 2006), the results also showed that individualized consideration and support may harm the engagement of teachers in experimenting and reflection activities. Teacher's feelings, opinions and needs can be rather different from or even hinder the direction of change and organizational goals. Showing concern and respect of school leaders for teacher's emotions may be interpreted by teachers as a tacit agreement of current classroom practice and, therefore, may discourage teachers to engage in experimenting and reflection activities.

Next to leadership, teacher collaboration seemed to be also an important facilitator for teachers' engagement in experimenting and reflection activities. By offering teachers the opportunities to work together to solve problems and to provide feedback and information, collaboration stimulates the extent to which teachers experiment in their classroom with new materials, try out new things and reflect on their current teaching. This finding confirms results of earlier studies (e.g. Bryk et al., 1999; Geijsel, 2001; Louis and Marks, 1998; Rosenholtz, 1989; Smylie, 1988; Stoll et al., 2006).

Based on our findings, it can be concluded that organizational-level conditions and teacher level conditions play an important, but different role in changing teachers' classroom practices. Whereas teacher factors mainly affect changes in teachers' classroom practices, organizational factors are of significant importance to enhance teacher motivation and teacher learning. By encouraging teachers to question their own beliefs, assumptions and values, and facilitating opportunities for teachers to work together to solve problems, school leaders can enhance teachers' engagement in professional learning activities. Furthermore, through the initiation and identification of a vision, and the promotion of shared decision making, school leaders can reinforce the personal and social identification of teachers with the organization. As a consequence, teachers will feel increasingly committed and are more willing to internalize organizational goals and values as their personal goals.

School leaders can use the findings from this study and the related instrument as a tool for school self-evaluation. By gathering and utilizing data about leadership practices, school organizational conditions, teacher motivation and teacher learning, school leaders can gain insight into school improvement capacity and become data literate (Geijsel et al., 2010). How school leaders make sense of this information strongly shapes their responses to accountability pressures, the way they frame daily problems and articulate possible solutions and strategies aimed at enhancing the quality of their school (Sleegers et al., 2009). This may help them to select, for example, a more programmed approach to instructional change with strong press for instructional standardization and high levels of monitoring instead of the adaptive approach to instructional change as used by the school boards of the schools that participated in this study. For findings from studies focusing on the conditions under which school improvement by design approach can be implemented successfully do suggest that such a more programmed approach to instructional change may promote instructional change and enhance student learning (Rowan and Miller, 2007; Rowan et al., 2009).

Although schools appear to be capable to sustain their level of school improvement capacity, our results also show that schools do not succeed to raise their capacity for
improvement to higher levels. Hence, on an average, the difference between schools with high and low improvement capacity remained the same throughout the period of our study. More longitudinal research is needed to gain better insight into the opportunities and limits of building school-wide capacity to stimulate instructional change.

Limitations and future directions
While we believe that this study makes a unique contribution to increase our understanding of the role of school-wide capacity for improvement can play in changing teaching practices, we also acknowledge its limitations. In this section we discuss these limitations and suggest directions for future research.

A first limitation of our study is that we used perceptions of teachers to measure teaching practices. Whereas teacher reports are sometimes considered to be biased by self-serving strategies or teaching ideals, student ratings are occasionally criticized as being undifferentiated and easily influenced by personal preferences. An examination by Kunter and Baumert (2006) of the construct and criteria validity of student and teacher ratings as indicators of teacher instruction showed that student and teacher ratings are best suited to tapping different aspects of the learning environment. Both perspectives seem to provide valuable insights into classroom management issues and can perhaps be used interchangeably. In future research, next to teachers’ perceptions, researchers should also pay attention to students’ perceptions of teachers’ classroom practices.

Although a large number of teachers participated in our survey, our sample of schools was limited (n = 32). As a consequence, we had to fit multilevel models to teachers’ scores on each of the measurement occasions to examine the development of school-wide capacity and its impact on classroom practices. Future research with a larger number of schools, allowing for multi-level structural equation modeling, could contribute to the testing of more complex models. Moreover, a larger sample including a wide variety of schools provides the opportunity to identify latent “change trajectories” (i.e. stable, improving, declining) of individual schools that describe variation of school-wide capacity for improvement among schools over a period of time. Analyzing “change trajectories” of schools may also help to reveal how differences in building school-wide capacity are related to differences in the performance of schools as measured by student outcomes. Information about student outcomes should also be collected to test how school-wide capacity for improvement can affect growth in student outcomes over time, and whether effects persist over time.

Our research was conducted within the context of elementary education. We expect that building school-wide capacity and the impact on teachers’ classroom practices and student outcomes may be different in other, more complex contexts. To test this assumption, comparable studies in other contexts such as schools for secondary education, vocational education and training sector, should be conducted. Validating our findings in various contexts can provide more information about the generalizability of our findings.

The few longitudinal studies on processes of building school improvement capacity available suggest that leadership develops over a period of time (Heck and Hallinger, 2009; Smylie and Wenzel, 2003; Thoonen et al., 2012). Although our results confirm the positive effects of leadership practices on the development of teacher factors over time as identified in recent studies (Day et al., 2011; Louis et al., 2010; Sammons et al., 2011). The findings also show that teachers’ motivation and engagement in professional
learning activities hardly or even not increased. And as we mentioned before, the instructional strategies teachers use even decreased. As leadership inspired by the concept of transformational leadership can have an important facilitating role in fostering conditions for school improvement, we focussed on specific set of leadership activities. In order to increase our understanding of the complex paths through which school leaders have an impact on school effectiveness and school improvement, different scholars consider using a more integrated model, in which transformational leadership and instructional leadership coexist, as a further “challenge” to future research on school leadership (Hallinger, 2003; Marks and Printy, 2003; Robinson et al., 2008). We agree with the argument that researchers should focus more on the impact a broader set of leadership activities, including transformational and instructional ones, have on teaching and learning.

In addition, leadership in our study was considered a function of individual knowledge and expertise. More and more scholars use a distributed perspective for thinking about and studying school leadership. From a distributed perspective, leadership practice takes shape in the interaction of school leaders, followers and aspects of their situation, shifting the focus from leaders to leadership practice (Spillane, 2006; Spillane et al., 2004). We need more empirical studies to distributed leadership that interrogate the relationship between distributed leadership and capacity building for school-wide improvement. Distributing leadership does not automatically result in more school improvement capacity; not any form of distributed leadership is inherently good. Much depends on the way in which leadership is distributed, how it is distributed and for what purpose (Harris et al., 2007). Moreover, it depends on the growth state of the organization, its readiness to change, its culture and its developmental needs (Harris, 2008). It is clear that various patterns or configurations of distributed leadership practice can influence organizational change and development (Leithwood et al., 2007). The existing empirical studies we have are still not extensive, fine grained or detailed enough to offer deep insights into the relationship between distributed leadership and school organizational conditions, teacher motivation and teacher learning. We need to know much more about the nature and extent of the influence of distributed leadership on building capacity for improvement and, in the end, on improved classroom practices aimed at increasing student achievement.

A final limitation of our study is that we did not include system-level variables such as the support schools receive from districts, parents and local stakeholders. Research has shown that, in addition to school leaders and teachers, superintendents or school boards can also play an important role in the improvement of schools improving schools. There is some evidence for the assumption that capacity building by superintendents with a focus on results fosters the improvement of student achievement (Leithwood, 2010; Togneri and Anderson, 2003). Among others, evidence was provided for district-wide, job-embedded professional development of school leaders and teachers, the use of evidence for planning, organizational learning and accountability, district-wide focus on student achievement, and approaches to curriculum and instruction. So far, little attention has been devoted to the paths and mechanisms through which capacity building by superintendents can have an impact on student achievement. To identify effective strategies through which superintendents can support capacity building at the school level, future research could test the contribution of school districts that represent schools with a full range of performance (high, medium and low) and school improvement capacity. Findings of these studies
can help us to better understand school improvement as the interaction between the change process and the setting.

Notes
1. Although we acknowledge that there are significant differences between the terms “change,” “improvement” and “implementation,” we agree with Louis et al. (1999) that the language of scholars in the domain of school effectiveness and school improvement is imprecise. We will therefore use the terms interchangeably and focus on a deeper understanding how building school-wide capacity for improvement can have an impact on changing teachers’ instructional practices.

2. The reported $z$ coefficients of our scales are calculated for the first wave of data collection (2005).

References


Sarason, S.B. (1982), *The Culture of the School and the Problem of Change*, Allyn and Bacon, Boston, MA.


Wolcott, H.F. (1977), *Teachers vs. Technocrats*, Center for Educational Policy and Management, University of Oregon, Eugene, OR.

### Appendix

<table>
<thead>
<tr>
<th></th>
<th>M</th>
<th>SD</th>
<th>n</th>
<th>t</th>
<th>(df)</th>
<th>p</th>
</tr>
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<tbody>
<tr>
<td>Number of students</td>
<td>275.2</td>
<td>120.28</td>
<td>32</td>
<td>-0.65</td>
<td>(31)</td>
<td>0.53</td>
</tr>
<tr>
<td>Number of teachers</td>
<td>22.73</td>
<td>8.68</td>
<td>32</td>
<td>-0.083</td>
<td>(31)</td>
<td>0.41</td>
</tr>
<tr>
<td>Proportion students with low SES</td>
<td>0.19</td>
<td>0.18</td>
<td>32</td>
<td>0.80</td>
<td>(31)</td>
<td>0.43</td>
</tr>
</tbody>
</table>

**Note:** Results of the one-sample t-tests

<table>
<thead>
<tr>
<th>Frequency of participation in survey</th>
<th>Number of teachers</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 time</td>
<td>339</td>
</tr>
<tr>
<td>2 times</td>
<td>151</td>
</tr>
<tr>
<td>3 times</td>
<td>234</td>
</tr>
<tr>
<td>4 times</td>
<td>143</td>
</tr>
</tbody>
</table>

**Table AI.** Comparison of sample and population background characteristics

**Table AII.** Frequency of teachers’ participation in survey
Scales and related items

**Transformational leadership**

- **Initiating and identifying a vision**
  - The school leader of our school…
    1. Makes use of all possible opportunities to communicate the school’s vision to the team, the pupils, parents and others
    2. Refers explicitly to the school’s objectives during the decision-making process
    3. Explains to the team the relationship between the school’s vision and initiatives taken by the school board, consortiums of schools or the national government
    4. Clearly defines current problems from the perspective of a vision of the future of the school
    5. Outlines during meetings how the vision of the future of the school affects school life at the present time

Scaling = (1) disagree, (2) disagree more than agree, (3) agree more than disagree, (4) agree.

Cronbach’s $\alpha = 0.88$

**Individualized consideration and support**

- The school leader of our school…
  1. Takes the beliefs of individual teachers seriously
  2. Shows appreciation when a teacher takes the initiative to improve teaching in the school or to engage in other forms of professional development
  3. Helps teachers to put their emotions into words
  4. Looks out for the problems teachers experience during the implementation of reforms

Scaling = (1) disagree, (2) disagree more than agree, (3) agree more than disagree, (4) agree.

Cronbach’s $\alpha = 0.91$

**Providing for intellectual stimulation**

- The school leader of our school…
  1. Helps teachers to express and explain their personal views on education
  2. Encourages teachers to try new things in line with their own interests
  3. Helps teachers to reflect on new experiences that they have gained on the job

### Table AIII.

Means and standard deviations over the four measurement points of data collection.
4. Encourages teachers to always think about how to improve our school
5. Encourages teachers to seek and discuss new information and ideas that are relevant to the
direction in which the school is developing
6. Engages individual teachers in ongoing discussion about their personal professional goals
7. Encourages teachers to experiment with new teaching methods
8. Creates sufficient opportunities for teachers to work on their professional development

Scaling = (1) disagree, (2) disagree more than agree, (3) agree more than disagree, (4) agree.
Cronbach's $\alpha = 0.92$

School organizational conditions

Participative decision-making
1. Teachers can influence the plans being made at our schools for the implementations of
innovations
2. During the implementation of innovations, the plan of attack may be adjusted when needed
3. Teachers at our school are involved in decisions about using new teaching methods
4. Teachers at our school take decisions about coordinating the curriculum over the different
school years together
5. At our school, we take decisions about new educational objectives for the school together
6. At our school, teachers have a say in the purchase of new teaching materials and resources
7. At our school, changes to classroom teaching are a matter for shared decision-making
8. At our schools, there is space for teachers to adjust joint decisions to their own classroom
practices

Scaling = (1) disagree, (2) disagree more than agree, (3) agree more than disagree, (4) agree.
Cronbach's $\alpha = 0.89$

Collaboration among teachers

1. My colleagues discuss new teaching methods with me
2. My colleagues give me positive feedback about my teaching
3. The conversations I have with colleagues about my work are superficial
4. My colleagues give me support when I try out new teaching methods
5. My colleagues tell me what problems they have come across and how they solve them
6. My colleagues are only interested in their own lessons
7. My colleagues pass on to me things they have learned from further training

Scaling = (1) disagree, (2) disagree more than agree, (3) agree more than disagree, (4) agree.
Cronbach's $\alpha = 0.82$

Teacher motivation

Internalization of school goals into personal goals
1. My personal goals are in line with school's vision
2. I make an effort to put the school's vision of education into practice
3. I know exactly what is meant with the vision of our school
4. I do my best to understand what implications the school's vision has for the way I teach
5. I know what the next steps are that I should take in order to be able to put the school's
vision into practice

Scaling = (1) disagree, (2) disagree more than agree, (3) agree more than disagree, (4) agree.
Cronbach's $\alpha = 0.82$

Teachers' sense of self-efficacy
1. I feel that I am becoming a better professional
2. I feel that I am able to work effectively
3. I am satisfied with the quality of my work
4. I feel that I am being successful in my work
5. I have sufficient self-confidence to defend my own points of view about the work

Scaling = (1) does apply to me (almost) never, (2) does apply to me sometimes, (3) does apply to me often, (4) does apply to me (almost) always.

Cronbach’s $\alpha = 0.81$

Professional learning activities

*Keeping up-to-date*
1. I keep myself informed on developments within the field
2. I take part in further training and in-service training even if it is not compulsory
3. I read professional literature
4. I collect additional information about educational reforms
5. I keep myself informed on new didactic material

Scaling = (1) does apply to me (almost) never, (2) does apply to me sometimes, (3) does apply to me often, (4) does apply to me (almost) always.

Cronbach’s $\alpha = 0.79$

*Experimenting and reflection*
1. I make my own teaching materials
2. I use students’ reactions to improve my classroom teaching
3. I discuss problems in my classroom teaching with others in order to learn from them
4. I try out new knowledge and skills in my lessons
5. In order to get feedback on my teaching, I ask colleagues to attend my lessons
6. I try out new didactic methods in my lessons
7. I share new ideas that I gain in my classroom practice with my colleagues

Scaling = (1) does apply to me (almost) never, (2) does apply to me sometimes, (3) does apply to me often, (4) does apply to me (almost) always.

Cronbach’s $\alpha = 0.79$

Teachers’ instructional practices

*Relatedness to students’ personal world*
1. I adapt the content of my lessons as much as possible to the students’ perceptions of their environment
2. I try to choose topics that relate to the students’ interests
3. I choose examples that appeal to students

Scaling = (1) does apply to me (almost) never, (2) does apply to me sometimes, (3) does apply to me often, (4) does apply to me (almost) always.

Cronbach’s $\alpha = 0.73$

*Cooperation and interaction*
1. In the event of group assignments, I assign students to come up with a joint result
2. I pay a lot of attention to developing adequate group assignments
3. In my class, students present the results of assignments to fellow students
4. In the event of group work, I discuss the way in which students cooperated in their groups at the end of class

Scaling = (1) does apply to me (almost) never, (2) does apply to me sometimes, (3) does apply to me often, (4) does apply to me (almost) always.

Cronbach’s $\alpha = 0.76$
About the authors

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