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Search for decays of the Higgs boson into a pair of pseudoscalar particles decaying into $b\bar{b}\tau^+\tau^-$ using pp collisions at $\sqrt{s} = 13$ TeV with the ATLAS detector

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This paper presents a search for exotic decays of the Higgs boson into a pair of new pseudoscalar particles, $H \to aa$, where one pseudoscalar decays into a b-quark pair and the other decays into a τ-lepton pair, in the mass range $12 \le m_a \le 60$ GeV. The analysis uses pp collision data at $\sqrt{s} =$ 13 TeV collected with the ATLAS detector at the LHC, corresponding to an integrated luminosity of 140 fb^{-1}. No significant excess above the Standard Model (SM) prediction is observed. Assuming the SM Higgs boson production cross section, the search sets upper limits at 95% confidence level on the branching ratio of Higgs bosons decaying into $b\bar{b}\tau^+\tau^-$, $\mathcal{B}(H \to aa \to b\bar{b}\tau^+\tau^-)$, between 2.2% and 3.9% depending on the pseudoscalar mass.

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I. INTRODUCTION

Following the observation of the Higgs boson H with mass m_H near 125 GeV by the ATLAS and CMS collaborations [1,2], studies of its properties have been important programs of research. Global analyses of measurements of Higgs boson properties constrain the branching ratio of the Higgs boson into undetected beyond the Standard Model (BSM) particles to approximately $\mathcal{B}_u \lesssim 12\%$ [3,4]. Higgs boson decays are particularly sensitive to new physics due to the small total width ($\Gamma_H \approx 4$ MeV). Even very small couplings to new particles can give sizable branching ratios and can be compatible with available measurements [5].

Extensions of the Standard Model (SM) that include new light pseudoscalars, called a-bosons, can give rise to exotic Higgs boson decays $H \to aa$. Such new light particles appear in theories with an extended Higgs sector [6–10], dark matter models [11–15], models with a first-order electroweak phase transition [16,17], and theories of neutral naturalness [18,19]. Signatures of $H \to aa$ can also arise in models with hidden-sector particles that are singlets under the SM gauge transformations [5,20–23]. In scenarios where the a-boson mixes with the SM Higgs boson and inherits its Yukawa couplings to fermions, decays of the a-boson into heavy fermions such as b-quarks and τ-leptons are favored, and the process $H \to aa \to b\bar{b}\tau^+\tau^-$, shown in Fig. 1, is expected to have a sizeable branching ratio in the mass range $2m_b < m_a < m_H/2$ [5,24].

This paper presents a search for the exotic Higgs boson decay $H \to aa \to b\bar{b}\tau^+\tau^-$ and uses the full Run-2 dataset of pp collisions at $\sqrt{s} = 13$ TeV recorded with the ATLAS detector corresponding to an integrated luminosity 140 fb^{-1}. The analysis is performed over the mass range $12 < m_a < 60$ GeV and targets the following production modes of the Higgs boson: gluon-gluon fusion (ggF), vector boson fusion (VBF), and associated production with a vector boson (VH). The associated production of a top-antitop-quark pair ($t\bar{t}$) with a Higgs boson provides a negligible signal contribution due to (i) the low cross section and (ii) the additional b-quarks from the top-quark decays resulting in a reduced signal acceptance. Therefore, this production mode is not considered. Different analysis categories are defined depending on the τ-lepton decay modes, which can be into electrons (e), muons (μ), or hadrons (τ_{had}, also "hadronic taus"). Due to the relatively low mass of the Higgs boson and the four-body final state,

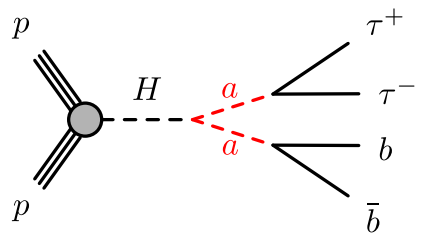

FIG. 1. Feynman diagram for the leading contribution to the $pp \to H \to aa \to b\bar{b}\tau^+\tau^-$ process.

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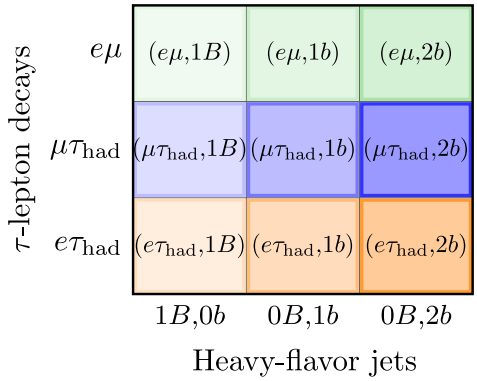


FIG. 2. The analysis categories used in the search corresponding to different decay modes of the τ-leptons and strategies for identifying heavy-flavor jets, including merged b-jet pairs (B) and single b-jets (b). Note that the categories are exclusive.

the τ-leptons and b-quarks tend to have low transverse momentum (p_{T}), typically below $p_{\mathrm{T}} \lesssim 50$ GeV. Therefore, the analysis relies on electron or muon triggers, which have lower p_{T} thresholds compared to triggers using hadronic activity, to select the events of interest and requires an electron or muon in the final state. The analysis defines different event categories depending on τ-lepton decay modes: $e\mu$, $e\tau_{\mathrm{had}}$, and $\mu\tau_{\mathrm{had}}$. The major backgrounds to the signal process are Drell–Yan production of τ-leptons produced in association with heavy-flavor jets, $t\bar{t}$, and nonprompt leptons plus τ_{had}.

For low m_a, the a-boson has a large Lorentz boost and its decay products can be collimated. Consequently, the $a \to b\bar{b}$ final state is reconstructed as a single jet that contains the hadronization products of the two b-quarks. While several techniques exist to resolve merged jets [25], most of them are only efficient in the case of high-mass and high-p_{T} jets. Due to the relatively low mass of the Higgs boson, the merged $a \to b\bar{b}$ jet will have low p_{T}. This analysis uses a novel, dedicated algorithm to identify low-mass, merged, "double b-quark" jets (B-jets) [26]. For high m_a, the b-quarks decays tend to be well separated and the reconstructed jets capture the hadronization of a single b-quark (b-jet). The analysis considers events with one B-jet, or one or two b-jets, resulting in nine analysis categories, as shown in Fig. 2.

Similar searches in the $b\bar{b}\tau^+\tau^-$ decay channel were performed by the CMS Collaboration [27,28]. The latest search has placed 95% CL upper limits on $\mathcal{B}(H \to aa \to b\bar{b}\tau^+\tau^-)$ in the range 1.7–7.7% for $12 \le m_a \le 60$ GeV using 138 fb^{-1} of Run 2 data at $\sqrt{s} = 13$ TeV. This analysis improves the sensitivity of previous results [28] in the low mass regime ($m_a < 20$ GeV) by targeting more final states and using a neural network discriminant to increase the separation of signal from background. This search is also complementary to other searches for $H \to aa$ decays performed by the ATLAS and CMS collaborations using both $\sqrt{s} = 8$ TeV and $\sqrt{s} = 13$ TeV data in several final states including $\mu^+\mu^-\mu^+\mu^-$ [29–31], $\mu^+\mu^-\tau^+\tau^-$ [32–35], $\tau^+\tau^-\tau^+\tau^-$ [34,36], $b\bar{b}\mu^+\mu^-$ [37–40], $b\bar{b}b\bar{b}$ [41–43], $\gamma\gamma\gamma\gamma$ [44–46], and $\gamma\gamma gg$ [47].

II. ATLAS DETECTOR

The ATLAS detector [48] at the LHC covers nearly the entire solid angle around the collision point.[1] It consists of an inner tracking detector surrounded by a thin superconducting solenoid, electromagnetic and hadronic calorimeters, and a muon spectrometer incorporating three large superconducting air-core toroidal magnets.

The inner-detector system (ID) is immersed in a 2 T axial magnetic field and provides charged-particle tracking in the range $|\eta| < 2.5$. The high-granularity silicon pixel detector covers the vertex region and typically provides four measurements per track, the first hit generally being in the insertable B-layer (IBL) installed before Run 2 [49,50]. It is followed by the SemiConductor Tracker (SCT), which usually provides eight measurements per track. These silicon detectors are complemented by the transition radiation tracker (TRT), which enables radially extended track reconstruction up to $|\eta| = 2.0$. The TRT also provides electron identification information based on the fraction of hits (typically 30 in total) above a higher energy-deposit threshold corresponding to transition radiation.

The calorimeter system covers the pseudorapidity range $|\eta| < 4.9$. Within the region $|\eta| < 3.2$, electromagnetic calorimetry is provided by barrel and end cap high-granularity lead/liquid-argon (LAr) calorimeters, with an additional thin LAr presampler covering $|\eta| < 1.8$ to correct for energy loss in material upstream of the calorimeters. Hadronic calorimetry is provided by the steel/scintillator-tile calorimeter, segmented into three barrel structures within $|\eta| < 1.7$, and two copper/LAr hadronic end cap calorimeters. The solid angle coverage is completed with forward copper/LAr and tungsten/LAr calorimeter modules optimized for electromagnetic and hadronic energy measurements respectively.

The muon spectrometer (MS) comprises separate trigger and high-precision tracking chambers measuring the deflection of muons in a magnetic field generated by the superconducting air-core toroidal magnets. The field integral of the toroids ranges between 2.0 and 6.0 T m across most of the detector. Three layers of precision chambers,

[1]ATLAS uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the z-axis along the beam pipe. The x-axis points from the IP to the centre of the LHC ring, and the y-axis points upward. Polar coordinates (r, ϕ) are used in the transverse plane, ϕ being the azimuthal angle around the z-axis. The pseudorapidity is defined in terms of the polar angle θ as $\eta = -\ln\tan(\theta/2)$ and is equal to the rapidity $y = \frac{1}{2}\ln(\frac{E+p_z c}{E-p_z c})$ in the relativistic limit. Angular distance is measured in units of $\Delta R \equiv \sqrt{(\Delta y)^2 + (\Delta\phi)^2}$.

each consisting of layers of monitored drift tubes, cover the region $|\eta| < 2.7$, complemented by cathode-strip chambers in the forward region, where the background is highest. The muon trigger system covers the range $|\eta| < 2.4$ with resistive-plate chambers in the barrel, and thin-gap chambers in the end cap regions.

The luminosity is measured mainly by the LUCID–2 [51] detector that records Cherenkov light produced in the quartz windows of photomultipliers located close to the beampipe. Events are selected by the first-level trigger system implemented in custom hardware, followed by selections made by algorithms implemented in software in the high-level trigger [52]. The first-level trigger accepts events from the 40 MHz bunch crossings at a rate below 100 kHz, which the high-level trigger further reduces in order to record complete events to disk at about 1 kHz. A software suite [53] is used in data simulation, in the reconstruction and analysis of real and simulated data, in detector operations, and in the trigger and data acquisition systems of the experiment.

III. DATA AND SIMULATED EVENT SAMPLES

This search uses pp collision data at $\sqrt{s} = 13$ TeV recorded by the ATLAS experiment from 2015 to 2018. Only events that satisfy data quality requirements that ensure the stable operation of the ATLAS detector [54] are considered. The resulting dataset corresponds to an integrated luminosity of 140.1 ± 1.2 fb^{-1} [55]. Data are selected using a combination of single-electron, and single-muon, and opposite-flavor dilepton ($e\mu$) triggers [56,57].

Several Monte Carlo (MC) event generators are used to simulate the signal and background processes. The MC samples are used to optimize the event selection, evaluate efficiencies and acceptances, and to estimate yields. The main SM backgrounds are Drell–Yan production with decays into τ-leptons $Z/\gamma^* \to \tau^+\tau^-$ produced in association with jets (Z + jets), top-quark production ($t\bar{t}$ or single top quarks) where at least one of the W bosons decay leptonically, and backgrounds where jets are misidentified as leptons. Other backgrounds from Higgs bosons decaying into τ-leptons $H \to \tau^+\tau^-$, $Z/\gamma^* \to \ell^+\ell^-(\ell = e, \mu)$, diboson production, and vector bosons produced in association with $t\bar{t}$ are also included.

Samples of Z + jets and W + jets events were produced using the SHERPA2.2.11 [58] generator with next-to-leading-order (NLO) precision matrix elements for up to two partons, and leading-order (LO) precision for up to five partons in the five-flavor scheme calculated with the COMIX [59] and OPENLOOPS [60–62] libraries. The events were matched with the SHERPA parton shower [63] using the MEPS@NLO prescription [64–67] with a dedicated set of tuned parameters. The NNPDF3.0NNLO set of parton distribution functions (PDF) [68] was used in the sample generation and the samples were normalized to a next-to-next-to-leading-order (NNLO) prediction [69].

Samples of diboson (VV) events were produced with the SHERPA2.2.1 generator for semileptonic final states or SHERPA2.2.2 [58] generator for fully leptonic final states. Fully leptonic final states and semileptonic final states, where one boson decays leptonically and the other hadronically, were generated using matrix elements at NLO accuracy in QCD for up to one additional parton and at LO accuracy for up to three additional parton emissions. Samples for the loop-induced processes $gg \to VV$ were generated using LO-accurate matrix elements for up to one additional parton emission for both the cases of fully leptonic and semileptonic final states. The matrix element calculations were matched and merged with the SHERPA parton shower based on Catani–Seymour dipole factorization [59,63] using the MEPS@NLO prescription. The virtual QCD corrections were provided by the OPENLOOPS library [60–62]. The NNPD3.0NNLO PDF set was used [68], along with a dedicated set of tuned parton-shower parameters.

The production of $t\bar{t}$ events was modeled using the POWHEG BOX v2 [70–73] generator at NLO precision in QCD with the NNPDF3.0NLO PDF set and the h_{damp}[2] parameter was set to 1.5 m_t [74], with the top-quark mass m_t set to 172.5 GeV. The parton shower, hadronization, and underlying event were modeled using PYTHIA8.230 [75] with the A14 set of tuned parameters [76] and using the NNPDF2.3LO PDF set [77]. The decays of bottom and charm hadrons were performed by EVTGEN1.6.0 [78].

Single-top-quark production events were modeled with dedicated samples covering s-channel, t-channel, or W-associated (tW) production. All three production modes were modeled using POWHEG BOX v2 [71–73,79–81] at NLO in QCD with the NNPDF3.0NLO PDF set. For the s-channel and tW production, the calculation is performed in the five-flavor scheme, while the t-channel production uses the four-flavor scheme. Parton shower and hadronization of these events were modeled with PYTHIA8.230 using the A14 tune and the NNPDF2.3LO PDF set.

The signal event samples include Higgs boson production via ggF, VBF, and VH. For the SM production of the $H \to \tau^+\tau^-$ background process, only the ggF and VBF production modes are considered. Top-quark-associated production modes of the SM $H \to \tau^+\tau^-$ background process are negligible.

The sample of Higgs boson production via ggF is generated at NLO accuracy in QCD using POWHEG BOX v2 [71,72,79,82,83]. The simulation achieves NNLO accuracy for $gg \to h$ observables by reweighting the Higgs boson rapidity spectrum in HJ-MINLO [84–86] to that of HNNLO [87]. The Higgs boson production via VBF and VH were simulated with NLO precision using POWHEG BOX v2

[2]The h_{damp} parameter regulates singularities in the emission of hard radiation in POWHEG. The value is chosen to provide good description of the $t\bar{t}$ system p_{T}.

[71,72,79,88]. The PDF4LHC15NNLO PDF set [89] was used for all three production modes, while the parton shower and hadronization were performed using PYTHIA 8.244 with the A14 set of tuned parameters.

Each of the simulated Higgs production samples are normalized using dedicated higher-order cross section calculations. The ggF samples are normalized to the next-to-next-to-next-to-leading-order (N^3LO) cross section in QCD plus electroweak corrections at next-to-leading logarithm (NLL) [90–100]. The VBF samples are normalized to an approximate-NNLO QCD cross section with NLO electroweak corrections [101–103]. Similarly, the VH samples are normalized to cross sections calculated at NNLO in QCD with NLO electroweak corrections. The cross section estimate includes the $gg \to ZH$ contribution, even though it is not simulated explicitly. For the signal samples, the decay $H \to aa \to b\bar{b}\tau^+\tau^-$ is performed using PYTHIA 8.244 and eight distinct mass points were simulated for the ggF, VBF, and the VH production modes in the range $m_a = 12$–60 GeV (12, 16, 20, 25, 30, 40, 50 and 60 GeV).

All simulated event samples were processed through a detailed simulation of the ATLAS detector based on GEANT4 [104] or a faster simulation where the full GEANT4 simulation of the calorimeter response is replaced by a detailed parametrization of the shower shapes [105]. The effects of multiple pp interactions in the same and neighboring bunch crossings (pileup) were modeled by overlaying the simulated hard-scattering event with inelastic pp (minimum-bias) events to reproduce the pileup distributions seen in the data. These inelastic events were generated with the soft QCD processes of PYTHIA 8.186 [106] using the NNPDF2.3LO PDF set and the A3 set of tuned parameters [107].

IV. OBJECT AND EVENT RECONSTRUCTION

Events are required to contain at least one reconstructed pp collision vertex candidate with at least two associated ID tracks with $p_T > 0.5$ GeV [108]. The primary vertex (PV) is selected as the vertex with the highest sum of the squared transverse momentum of the associated tracks.

Electrons are reconstructed from a seed cluster in the EM calorimeter matched to a track in the ID [109] and are required to have $p_T > 7$ GeV and $|\eta| < 2.47$. Electrons in the calorimeter barrel–end cap transition region ($1.37 < |\eta| < 1.52$) are excluded from the analysis. Electrons from τ-lepton decays can be displaced from the PV due to the long τ-lepton lifetime, so no requirement on the transverse impact parameter (d_0) is applied. A requirement on the longitudinal impact parameter $|z_0 \sin\theta| < 0.5$ mm is applied to match the electron track to the PV of the event. Electrons must satisfy the *Medium* working point of the likelihood identification criteria [109]. Electrons satisfying these requirements are referred to as baseline electrons. Signal electrons are defined by additionally applying a tight isolation requirement using both tracking and calorimetry information with p_T-dependent ΔR cone radius [109]. This isolation variable rejects electrons that likely originated from light- or heavy-flavor hadrons. The isolation criterion is corrected for the presence of a nearby muon by subtracting the p_T of the muon track within the isolation cone of the electron from the isolation sum. This is particularly important for low mass signal samples ($m_a \lesssim 20$ GeV), where the electrons and muons from two τ-lepton decays in $a \to \tau^+\tau^-$ are often found to be within the isolation cone of each other.

Several methods are used to reconstruct muons, depending on the availability of tracks in the ID and the MS [110]. In order to benefit from the extended MS coverage up to $|\eta| = 2.7$, an ID track is not required in the region $2.5 < |\eta| < 2.7$. Muons are selected with $p_T > 7$ GeV and $|\eta| < 2.7$. As in the case of electrons, the only impact parameter requirement applied is $|z_0 \sin\theta| < 0.5$ mm. Muons must satisfy the *Medium* identification criterion [110], comprising the baseline muon selection. Signal muons are defined with an additional loose requirement on the isolation in both the inner tracking detector and calorimeters also with a p_T-dependent cone radius [110]. As above, an electron within the isolation cone of a muon is excluded from the calculation.

Jets are reconstructed using the anti-k_t algorithm [111] implemented in the FASTJET package [112,113] with a radius parameter $R = 0.4$. A particle-flow approach [114] is used for the jet reconstruction. The jet energy scale is calibrated to the particle level using simulation and further corrected with in-situ methods [115]. The jet selection requires $p_T > 15$ GeV and $|\eta| < 2.5$. A multivariate jet vertex tagger (JVT) based on tracking information is used to identify a jet as originating from the PV and suppress jets from pileup interactions for jets with $p_T < 60$ GeV and $|\eta| < 2.4$ [116].

The strategy to identify jets containing b-hadrons depends on the kinematics of the signal. For low signal masses ($m_a \lesssim 20$ GeV), pairs of b-hadrons from $a \to b\bar{b}$ decays tend to be merged in the detector and are identified as a single reconstructed jet. DEXTER [26] is an end-to-end algorithm that classifies jets into three categories: merged b-jets, single b-jets, and other jet flavors. Track-jets associated to jets are reconstructed by reclustering jets with $p_T > 20$ GeV and $|\eta| < 2.0$, together with all ID tracks using an anti-k_t algorithm with radius parameter $R = 0.8$. When the track-jet contains a single $R = 0.4$ jet, the jet is called isolated. An exclusive-k_t clustering of the track-jet tracks into precisely two sub-jets (ex-$k_t^{(2)}$) [117] is used to reconstruct the flight axes of the two b-jets within a track-jet. Both ex-$k_t^{(2)}$ track-subjets are required to have $p_T > 5$ GeV, where the transverse momentum of the subjet is estimated by summing the four-momentum of the associated tracks. The DEXTER algorithm uses the tracks

from the $R = 0.8$ track-jets to reconstruct secondary vertices, which provide a distinctive signature of merged b-jets when more than one is reconstructed inside the same jet or when they merge in a single secondary vertex with very high mass. Displaced tracks, secondary vertices, and the properties of the two ex-$k_t^{(2)}$ jets are used in a deep set neural network (NN) to classify the flavor of the jet. The NN exploits the presence of highly displaced tracks along the two flight axes as well as the reconstruction of multiple secondary vertices with large mass to resolve the two b-jets.

In the case of jets which are not merged, the jet flavor is identified with the DLlr algorithm [118] combining track impact parameter values with information from secondary vertices reconstructed within the jet. A working point corresponding to 60% efficiency for identifying B-jets is used for DEXTER, and a working point corresponding to 85% efficiency for identifying b-jets is used for DLlr.

The b-jet identification efficiency of the DLlr algorithm is measured in collider data by using $t\bar{t}$, $Z+$ jets, $W+$ jets, and multijet events [119–121]. A dedicated measurement of the DLlr algorithm identification efficiency for jets with $15 < p_{\mathrm{T}} < 20$ GeV was performed for this search. The B-jet identification efficiency of the DEXTER algorithm is also measured in collider data by using $t\bar{t}$ and $Z + g(\to b\bar{b})$ events [26]. These measurements are used to correct the identification efficiency of heavy-flavored jets in simulation. The calibration procedure corrects the identification efficiency in simulation as a function of the jet p_{T} and η to match the one observed in data. Discrepancies between the identification efficiency in simulation and in data stem from both mismodeling of the detector response and of the underlying physics processes.

The reconstruction of τ_{had} candidates is seeded using jets reconstructed using the anti-k_t algorithm with distance parameter $R = 0.4$ whose inputs are topoclusters, three-dimensional clusters of calorimeter cells [122]. Reconstructed τ_{had} candidates have $p_{\mathrm{T}} > 20$ GeV and $|\eta| < 2.5$, excluding the region $1.37 < |\eta| < 1.52$. The identification of τ_{had} uses a recurrent neural network (RNN) algorithm, which uses as inputs tracks and calorimeter clusters associated to τ_{had} candidates, as well as high-level discriminating variables [123]. Baseline τ_{had} candidates are required to pass the *very loose* working point, while signal τ_{had} candidates are further required to satisfy the *medium* working point [123]. A dedicated multivariate electron veto is applied to τ_{had} candidates to reject electrons misidentified as τ_{had}. The *medium* working point is used for the boosted-decision-tree-based electron veto [124].

A dedicated τ-jet-vertex algorithm (TJVA) is used to associate τ_{had} candidates to a PV [125]. The algorithm does not apply impact parameter requirements and finds the vertex with the largest fraction of the p_{T} from the tracks associated with the τ_{had} within a distance of $R = 0.2$ around the candidate. While TJVA does not apply impact parameter requirements, tracks must be sufficiently close to the τ_{had} vertex, so two selections are applied on the impact parameters of tracks: $|d_0^{\mathrm{TJVA}}| < 1.0$ mm and $|z_0^{\mathrm{TJVA}} \sin\theta| < 1.5$ mm [126].

An overlap removal procedure is applied to prevent double counting of objects. This procedure is applied to the objects described previously that satisfy the baseline criteria, with the exception of muons where candidates satisfying the *very loose* identification criterion are used for the overlap removal and the *medium* identification criteria is used after overlap removal for the baseline selection criteria. This reduces backgrounds from $Z/\gamma^* \to \mu^+\mu^-$ events where a *very loose* muon can be misidentified as a τ_{had} candidate.

The overlap removal procedure is executed as follows. The closest jet within a radius of $\Delta R = 0.2$ of a selected electron is removed. If the nearest jet is within $\Delta R = 0.4$ of an electron, the electron is excluded from the analysis. Muons are excluded from the analysis if they are separated from the nearest jet by $\Delta R < 0.4$, since this reduces the background from heavy-flavor decays inside jets. However, if the jet has fewer than three associated tracks, the muon is selected and the jet is excluded from the analysis instead. This avoids an inefficiency for high-energy muons undergoing significant energy loss in the calorimeter. Electrons are excluded from the analysis if they share their track with a muon.

From the remaining jets, isolated jets that satisfy the 60% DEXTER working point are classified as B-jets. Isolated jets that do not satisfy the 60% DEXTER working point and satisfy the 85% DLlr working point are classified as selected b-jets. Isolated jets that do not satisfy the 60% DEXTER working point and do not satisfy the 85% DLlr working point are not used in the analysis. Non-isolated jets that satisfy the 85% DLlr working point are also classified as b-jets, while those that fail are excluded from the analysis. Muons in the annular region $0.4 < \Delta R < 0.8$ region around a B-jet which have a relative calorimeter-based isolation larger than 0.1 are excluded. Electrons satisfying the same criteria are also removed from the analysis. Finally, any B-jet with either an electron or a muon in the annular $0.4 < \Delta R < 0.8$ region and relative calorimeter-based isolation less than 0.1 are excluded from the analysis.

Muons that are excluded in the overlap removal procedure, but that are within $\Delta R < 0.3$ of a b-jet or within $\Delta R < 0.3$ of either of the ex-$k_t^{(2)}$ track-subjets of a B-jet are classified as soft muons. The leading soft muon associated to a b-jet or to a ex-$k_t^{(2)}$ track-subjet is added to the jet four momentum to account for semileptonic decays of b-hadrons in the jet energy.

The missing transverse momentum $\vec{p}_{\mathrm{T}}^{\,\mathrm{miss}}$, with magnitude $E_{\mathrm{T}}^{\mathrm{miss}}$, is calculated using the magnitude of the vector sum of the p_{T} of all reconstructed objects and any additional tracks not associated to any reconstructed objects in the event [127]. The missing transverse energy ($E_{\mathrm{T}}^{\mathrm{miss}}$)

measures the imbalance of the transverse momentum in the detector. In this search $E_{\mathrm{T}}^{\mathrm{miss}}$ is expected to arise from the neutrinos from τ-lepton decays.

V. EVENT SELECTION

Events are placed in exclusive categories defined by the final state objects from the $\tau^+\tau^-$ and $b\bar{b}$ systems, as shown in Fig. 2. The trigger strategy used to select events depends on the leptons in the final state. For the $e\mu$ categories, three orthogonal trigger regions are defined. If the event has at least one electron with $p_{\mathrm{T}} > 27$ GeV, the single electron trigger is used and is required to match the selected electron. If the event has no such electron, but has a muon with $p_{\mathrm{T}} > 27$ GeV, the single muon trigger is equivalently used and is required to match the selected muon. If there are no electrons nor muons satisfying the previous criteria, then opposite-flavor $e\mu$ triggers are used, also requiring trigger matching for both leptons. For the $e\tau_{\mathrm{had}}$ and $\mu\tau_{\mathrm{had}}$ categories, the single electron or muon trigger of the corresponding flavor with $p_{\mathrm{T}} > 27$ GeV is used and matched to the electron or muon respectively.

Events in the $e\mu$ categories are required to have exactly one electron and one muon with opposite-sign (OS) charge that satisfy the signal selection criteria. In addition, events should have at most one baseline and no signal τ_{had} candidate. In the $e\tau_{\mathrm{had}}$ and $\mu\tau_{\mathrm{had}}$ categories, events are required to have exactly one electron and one muon, respectively, and exactly one signal τ_{had} with OS charge. For the $e\mu$ categories, the electron and muon are required to be separated by $\Delta R(e,\mu) > 0.1$ and for the $e\tau_{\mathrm{had}}$ and $\mu\tau_{\mathrm{had}}$ categories, this requirement is $\Delta R(e/\mu, \tau_{\mathrm{had}}) > 0.2$, to reduce backgrounds from low-mass hadronic decays. The analysis categories are also defined by requiring one B-jet, or one or two b-jets.

Two additional criteria are applied in each category to define regions enhanced in backgrounds. These criteria are based on the kinematics of the visible τ-lepton decay products, i.e., excluding the neutrinos. The visible mass of the τ-lepton decay products $m^{\mathrm{vis}}(\tau\tau)$ is lower for signal than for the $Z \to \tau^+\tau^-$ background, due to the lower mass of the a-boson. A requirement is thus applied on the visible mass for all categories: $m^{\mathrm{vis}}(\tau\tau) = m^{\mathrm{vis}}(e\mu) < 45$ GeV for the $e\mu$ categories and $m^{\mathrm{vis}}(\tau\tau) = m^{\mathrm{vis}}(e\tau_{\mathrm{had}})$ or $m^{\mathrm{vis}}(\mu\tau_{\mathrm{had}}) < 60$ GeV for the $e\tau_{\mathrm{had}}$ and $\mu\tau_{\mathrm{had}}$ categories. The difference in the requirements is due to the smaller number of neutrinos in the categories with a hadronic τ-lepton compared to the $e\mu$ case. A low mass requirement on the visible mass of $m^{\mathrm{vis}}(\tau\tau) > 4$ GeV is also applied to reduce backgrounds from hadronic decays. The sample obtained by inverting the higher requirement on the visible mass is used to correct the modeling of the $Z+$jets background (see Sec. VI), and is called the "Z region." Figure 3(a) demonstrates the ability of the background prediction to model the data in the most sensitive $\mu\tau_{\mathrm{had}}$ category. The background model performs similarly well in the $e\tau_{\mathrm{had}}$ and $e\mu$ categories.

When compared to the background, leptons from signal processes also have lower transverse mass calculated with the τ-lepton visible transverse momentum $p_{\mathrm{T}}^{\mathrm{vis}}(\tau)$ and E_T^{miss}. In the case of leptonic decays of the τ-lepton, the visible p_{T} is defined as the transverse momentum of the electron or muon. The transverse mass is defined as: $m_{\mathrm{T}}(\tau) = \sqrt{2p_{\mathrm{T}}^{\mathrm{vis}}(\tau)E_{\mathrm{T}}^{\mathrm{miss}}(1-\cos(\Delta\phi))}$, where $\Delta\phi$ is the difference in the azimuthal angle between $p_T^{\mathrm{vis}}(\tau)$ and E_T^{miss}.

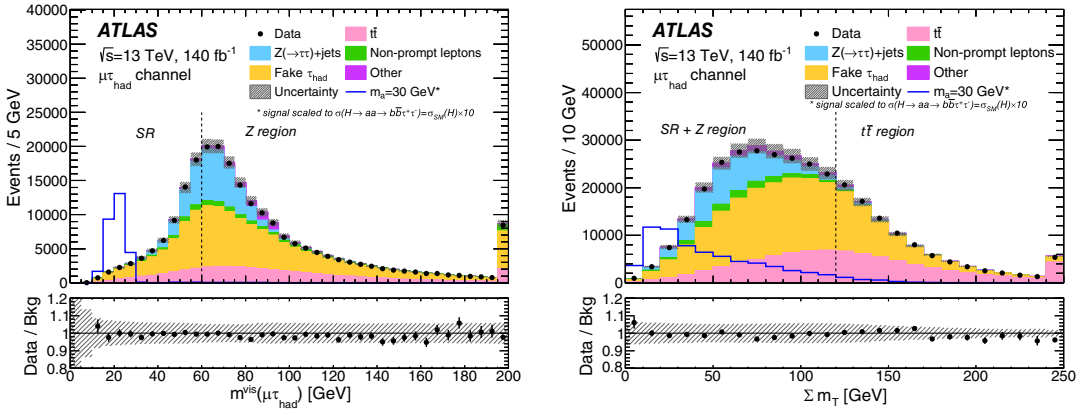


FIG. 3. (a) Visible mass $m^{\mathrm{vis}}(\mu\tau_{\mathrm{had}})$ and (b) sum of the transverse mass Σm_T distributions for signal and the expected background. Events with high $m^{\mathrm{vis}}(\mu\tau_{\mathrm{had}})$ and high Σm_T are included in the $t\bar{t}$ region. In order to compare the shapes, the expected signal distribution is shown assuming ten times the production cross section of the Higgs boson and a 100% branching ratio to $b\bar{b}\tau^+\tau^-$. The yields for the backgrounds correspond to the values obtained after applying the corrections to the background modeling described in Sec. VI. The cuts separating the signal region (SR) from the Z and $t\bar{t}$ regions are indicated by the vertical dashed line. The hashed area represents the total uncertainty of the background. Overflow events are included in the last bins.

TABLE I. Event selection for the analysis categories and the background regions. The background regions only list the requirements that are different from the signal region. The definition of signal leptons can be found in Sec. IV.

Region	$e\mu$	$e\tau_{\text{had}}$ or $\mu\tau_{\text{had}}$
Signal region	1 OS signal $e\mu$ pair 0 signal τ_{had} $\Delta R(e,\mu) > 0.1$ $4 < m^{\text{vis}}(\tau\tau) < 45$ GeV	1 OS signal $e\tau_{\text{had}}$ or $\mu\tau_{\text{had}}$ pair 1 signal τ_{had} $\Delta R(\ell,\tau) > 0.2$ $4 < m^{\text{vis}}(\tau\tau) < 60$ GeV
	$\Sigma m_T < 120$ GeV 1 B-jet or 1 or 2 b-jets	
Z region	$m^{\text{vis}}(\tau\tau) > 45$ GeV	$m^{\text{vis}}(\tau\tau) > 60$ GeV
$t\bar{t}$ region	$\Sigma m_T > 120$ GeV, no $m^{\text{vis}}(\tau\tau)$ requirement	
SS region	1 SS signal $e\mu$ pair	1 SS signal $e\tau_{\text{had}}$ or $\mu\tau_{\text{had}}$ pair

A requirement is applied on the sum of the transverse mass calculated for the two τ-leptons $\Sigma m_{\text{T}} < 120$ GeV, where $\Sigma m_{\text{T}} = m_{\text{T}}(\tau^{\text{lead}}) + m_{\text{T}}(\tau^{\text{sublead}})$. Figure 3(b) shows the distribution of Σm_{T} in the $\mu\tau_{\text{had}}$ categories. The sample obtained by inverting the requirement on Σm_{T} and is used to correct the modeling of the $t\bar{t}$ background (see Section VI), and is called the "$t\bar{t}$ region." There is small signal contamination into the Z and $t\bar{t}$ regions, only $\approx$1 signal event is expected assuming $\mathcal{B}(H \to aa \to b\bar{b}\tau^+\tau^-) = 10\%$.

Finally, a same-sign (SS) region, enhanced in backgrounds containing jets that are misidentified as electrons, muons or hadronic taus, is defined by applying the same selection criteria as the signal region but requiring the e, μ. and τ_{had} to have same-sign charge. This region is used to estimate backgrounds with nonprompt leptons (see Sec. VI). Table I summarizes the event selection used in the analysis.

VI. BACKGROUND ESTIMATION

Several SM processes can produce final states that satisfy the object and event selections described previously. The contributions from these processes are estimated with simulation or data-driven methods. Background processes with all leptons originating from the decay of vector bosons are defined as prompt and described by simulation. The dominant sources of prompt backgrounds are top-quark production, from $t\bar{t}$ and single-top events, and $Z+$jets where the Z boson decays into pairs of τ-leptons. Other backgrounds estimated from simulation include $h \to \tau^+\tau^-$, diboson production, $t\bar{t}$ in association with a vector boson, and $Z/\gamma^* \to \ell^+\ell^-$ where one lepton is misidentified as a τ_{had} in the $e\tau_{\text{had}}$ and $\mu\tau_{\text{had}}$ categories.

The modeling of two of the main prompt backgrounds, $Z+$jets and $t\bar{t}$, in simulation is corrected to match the data in regions enhanced in these backgrounds (see Sec. V). For the $t\bar{t}$ background, the simulation is reweighted to match the number of b-jets and the number of jets in the $t\bar{t}$ region. The $t\bar{t}$ region is devoid of $Z+$jets events and performing the $t\bar{t}$ correction first minimizes the need for further iterations. In the $e\mu$ categories, an additional correction is applied as a function of the variable H_T, defined as the scalar sum of the p_{T} of all jets and leptons in the event. The reweighting procedure improves the description of the p_{T} of the $t\bar{t}$ system and the description of the number of additional jets produced in association with the $t\bar{t}$ system. The same strategy was applied in other ATLAS searches with large $t\bar{t}$ background [128,129]. After the $t\bar{t}$ reweighting, the $Z+$jets background is corrected with two normalization factors. The $Z \to \tau^+\tau^-$ and $Z \to e^+e^-$ backgrounds are scaled so that the predicted yields from simulation in two $m_{\ell\ell}$ bins match the observed number of events in the Z region.

Background processes with at least one lepton originating from the nonprompt decay of a hadron, from photon conversions, or from the misidentification of other particles are defined as nonprompt backgrounds. A tight-to-loose data-driven method [130] is used to estimate this background contribution. As described in Sec. IV, each lepton has two criteria baseline and signal. Leptons that satisfy the baseline selection but not the signal one are called loose (L), while those satisfying the signal selection are called tight (T). The estimates of the nonprompt backgrounds are obtained by assigning a weight $w_L = f\varepsilon/(\varepsilon - f)$ to each loose lepton and a weight $w_T = \varepsilon(1-f)/(\varepsilon - f)$ to each tight lepton [130]. Here, f and ε are, respectively, the rate with which nonprompt and prompt leptons that satisfy the baseline selection also satisfy the signal criteria. The sign of the overall event weight is adjusted depending on the number of loose leptons to avoid double-counting of background events.

The nonprompt rates for electrons and muons are measured with events in the SS region that satisfy $\Delta R(e,\mu) > 1.4$. Events with one baseline muon (electron) and one signal electron (muon) are used to estimate the muon (electron) nonprompt rate f_μ (f_e). The electron and

muon nonprompt rates are parametrized as a function of the lepton p_{T}, η, whether or not the lepton is matched to a trigger object, and the number of b-jets in the event. The prompt rates for electrons (muons) ϵ_e (ϵ_μ) are estimated as a function of the same variables from simulations of $Z \to \tau^+\tau^-$ events.

The condition $\Delta R(e,\mu) > 1.4$ ensures that the leptons do not interfere in their identification efficiency. When the weights are applied in the $e\mu$ signal region, a geometrical correction is necessary to account for the overlap of isolation cones with $\Delta R(e,\mu) < 0.6$. The correction is applied to events with two loose leptons and is parametrized as

$$(-w_L^e w_L^\mu)^{\mathrm{corr}} = (1 - f(\Delta R))(-w_L^e w_L^\mu) + f(\Delta R) \times \frac{1}{2}(w_L^e w_T^\mu + w_T^e w_L^\mu),$$

$$f(\Delta R) = c \times \left(\frac{2}{\pi} \arccos\left(\frac{\Delta R}{2r}\right) - \frac{\Delta R}{\pi r^2}\sqrt{r^2 - \frac{(\Delta R)^2}{4}} \right),$$

where $f(\Delta R)$ is the fraction of the isolation cone that overlaps, c is an arbitrary constant fit from the data in the SS region, and $r = 0.3$ is the radius of the isolation cone.

For hadronic taus, the prompt rate is taken as $\varepsilon_\tau = 1$ in the weights w_L and w_T, and MC simulation is used to remove the contribution from prompt loose taus. The nonprompt rate is measured separately with three different processes: $Z(\to \mu^+\mu^-) + \tau_{\mathrm{had}}$, jet $+ \tau_{\mathrm{had}}$ where the τ_{had} candidate has high JVT score, and jet $+ \tau_{\mathrm{had}}$ with low JVT score. In each region, the nonprompt rate is parameterized as a function of the τ_{had} p_{T}, η, decay mode, and the number of b-jets in the event. The τ_{had} nonprompt rate used to estimate the nonprompt background is written as a linear combination of the three estimates

$$f_\tau = [f_{Z\to\mu^+\mu^-}(1 - r_{\mathrm{QCD}}) + f_{\mathrm{high\,JVT}} r_{\mathrm{QCD}}](1 - r_{\mathrm{LJVT}}) + f_{\mathrm{low\,JVT}} r_{\mathrm{LJVT}},$$

where the coefficient r_{QCD} is the fraction of nonprompt background events with two nonprompt leptons in each $e\tau_{\mathrm{had}}$ and $\mu\tau_{\mathrm{had}}$ categories and the coefficient r_{LJVT} is determined by a maximum-likelihood fit to the baseline τ_{had} seed jet width distribution. The coefficients r_{QCD} and r_{LJVT} are determined separately for each category and region of the analysis, as well as for each τ-lepton decay mode.

VII. ANALYSIS STRATEGY

In each analysis category, a NN is trained using the kinematic variables of the reconstructed heavy-flavor jets and τ-leptons. The NN is parametrized as a function of the a-boson mass in order to obtain an optimal discriminant for each different simulated mass [131]. During training, the background is assigned a random value as the value of m_a, while for signal the simulated mass is used. The signal events have different correlations between physical observables and the generated mass than the background, which the network exploits. Once the network is trained, the NN output score is calculated with the true mass replaced by the mass hypothesis under consideration for all events, be they signal, background, or data.

Due to the neutrinos in the decays of the τ-leptons, the four-momentum of the two τ-leptons cannot be directly reconstructed. An algorithm called missing-mass calculator (MMC) [132] uses a Markov-chain MC to perform a maximum likelihood estimate of the neutrinos four-momenta, which is then used to reconstruct the input variables of the parameterized NN (pNN).

Beyond the true a-boson mass $m^{\mathrm{true}}(\tau\tau)$, the pNNs for all categories use the visible mass $m^{\mathrm{vis}}(\tau\tau)$, the MMC-based mass of the neutrino pair $m^{\mathrm{MMC}}(\nu\nu)$ in each $\tau \to e\nu_\tau\bar{\nu}_e$ or $\tau \to \mu\nu_\tau\bar{\nu}_\mu$ decay, the missing transverse energy $E_{\mathrm{T}}^{\mathrm{miss}}$, the two transverse masses calculated with the visible p_{T} of the final-state τ-leptons $m_{\mathrm{T}}(\tau)$, the leading heavy-flavor jet $p_{\mathrm{T}}(b^{\mathrm{lead}})$, and the visible transverse momentum $p_{\mathrm{T}}^{\mathrm{vis}}(\tau\tau b^{\mathrm{lead}})$. The variable D_ζ [133], defined as

$$D_\zeta = [\vec{p}_T^{\,\mathrm{miss}} - 0.85\vec{p}_T^{\,\mathrm{vis}}(\tau\tau)] \cdot \vec{\zeta},$$

where $\vec{\zeta}$ is a unit vector in the direction of the bisector between the two τ-leptons, is also used as input to the network in all categories. D_ζ provides an estimate of the misalignment between the missing transverse momentum and the visible $\tau\tau$ subsystem.

In categories with two b-jets or one B-jet, additional input variables are used: the subleading heavy-flavor jet $p_{\mathrm{T}}(b^{\mathrm{sublead}})$, the transverse momentum $p_{\mathrm{T}}(bb)$ and mass $m(bb)$ of the bb subsystem, as well as the visible $m^{\mathrm{vis}}(bb\tau\tau)$ and MMC-based $m^{\mathrm{MMC}}(bb\tau\tau)$ mass estimates of the Higgs boson. In categories with a B-jet, the four-momentum of the two ex-$k_t^{(2)}$ track-subjets are used as proxies for individual heavy-flavor jets. Table II summarizes the variables used as input for the NN. Distributions of the pNN input variables for the ($\mu\tau_{\mathrm{had}}$, 2b) category are shown in Fig. 4. Distributions of the pNN(m_a) output variable for a value of $m_a = 30$ GeV in the ($\mu\tau_{\mathrm{had}}$, 2b) category and for $m_a = 12$ GeV in the ($e\mu$, 1B) category are shown in Fig. 5.

Each pNN is a fully connected network with three hidden layers with 15 neurons each. The activation function is a leaky ReLU activation function with slope of 0.01 when the input is negative. The Objax [134] framework is used with the Adam optimization algorithm [135] and a binary cross entropy loss function. The signal sample used in the NN training contains all $H \to aa \to b\bar{b}\tau^+\tau^-$ simulated samples, which are normalized so that each of them has the expected number of observed events. The background sample used in the NN training is composed of composed of top-quark, $Z(\to \tau\tau)$ + jets and nonprompt events.

TABLE II. Neural-network input variables with a summary of the final-state property it describes.

Feature	Description
$m^{\text{true}}(\tau\tau)$	During training: generated a-boson mass for signal MC. Background events are assigned a random value of the eight signal masses. During testing: the mass hypothesis under consideration.
$m^{\text{vis}}(\tau\tau)$	Visible mass of the $\tau\tau$ system.
$p_{\text{T}}(\tau\tau)$	p_{T} of the $\tau\tau$ system.
$m^{\text{MMC}}(\nu\nu)$	MMC-based mass of the two neutrinos in $\tau \to e\nu_\tau\bar{\nu}_e$ or $\tau \to e\nu_\tau\bar{\nu}_\mu$ decays.
$E_{\text{T}}^{\text{miss}}$	Missing transverse energy.
$m_{\text{T}}(\tau)$	Transverse mass calculated with the visible p_{T} of the final-state τ-leptons.
$p_{\text{T}}(b^{\text{lead}})$	Transverse momentum of the leading final-state b-jet.
$p_{\text{T}}^{\text{vis}}(\tau\tau b^{\text{lead}})$	Visible p_{T} of the $\tau\tau b^{\text{lead}}$ system.
D_ζ	Misalignment between the $\vec{E}_T^{\text{miss}}$ vector and the $\tau\tau$ system.
	Categories with a B-jet or $2b$-jets
$p_{\text{T}}(b^{\text{sublead}})$	Transverse momentum of the subleading final-state b-jet.
$p_{\text{T}}(bb)$	Transverse momentum of the bb system.
$m(bb)$	Mass of the bb system.
$m^{\text{vis}}(bb\tau\tau)$	Visible mass of the Higgs boson system.
$m^{\text{MMC}}(bb\tau\tau)$	MMC-based mass of the Higgs boson system.

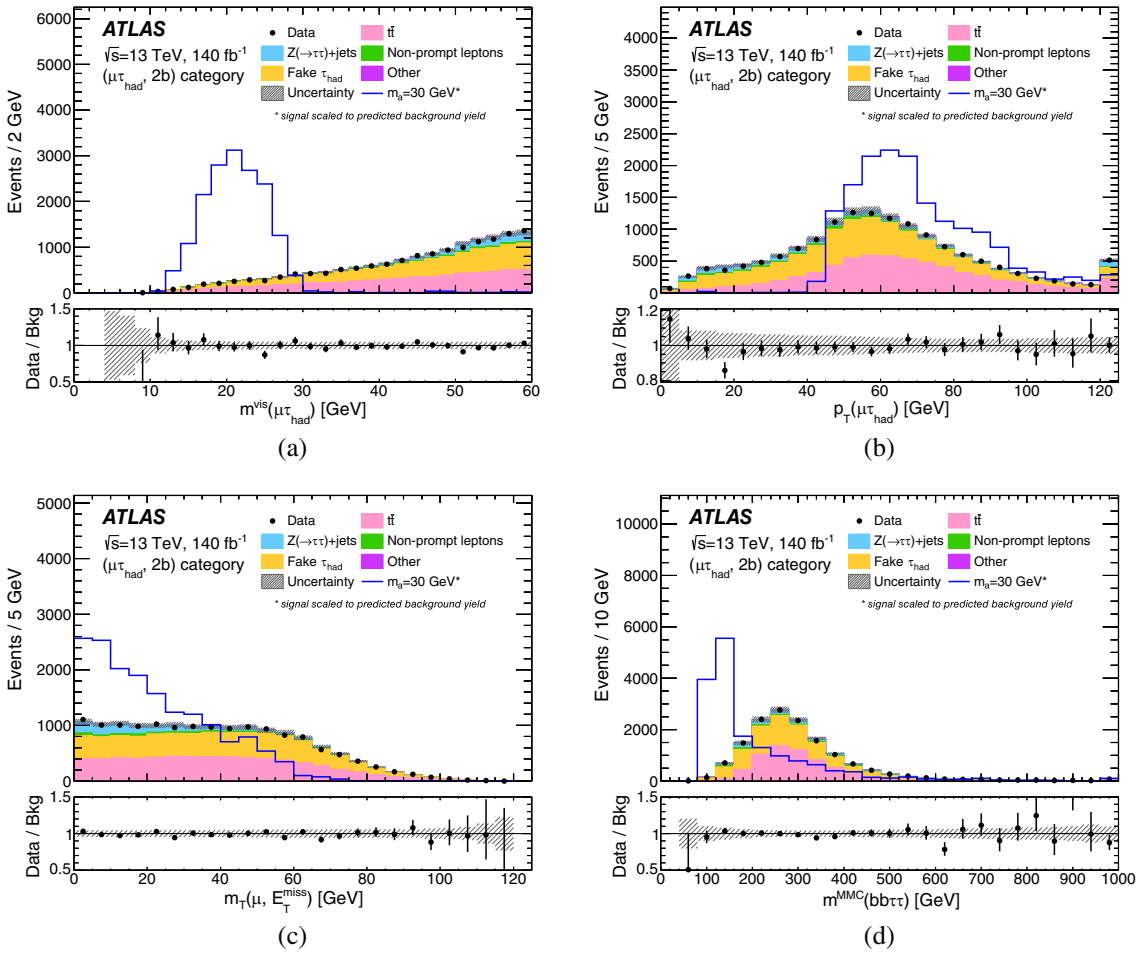


FIG. 4. The pNN input variables (a) visible mass $m^{\text{vis}}(\mu\tau_{\text{had}})$, (b) visible transverse momentum $p_{\text{T}}^{\text{vis}}(\mu\tau_{\text{had}})$, (c) transverse mass $m_{\text{T}}(\mu, E_{\text{T}}^{\text{miss}})$, and (d) MMC mass $m^{\text{MMC}}(bb\tau\tau)$ are shown in the SR with no cut on the pNN discriminant. The signal shape is normalized to the same integral as the total background prediction. The hashed area represents the total uncertainty of the background. Overflow events are included in the last bins.

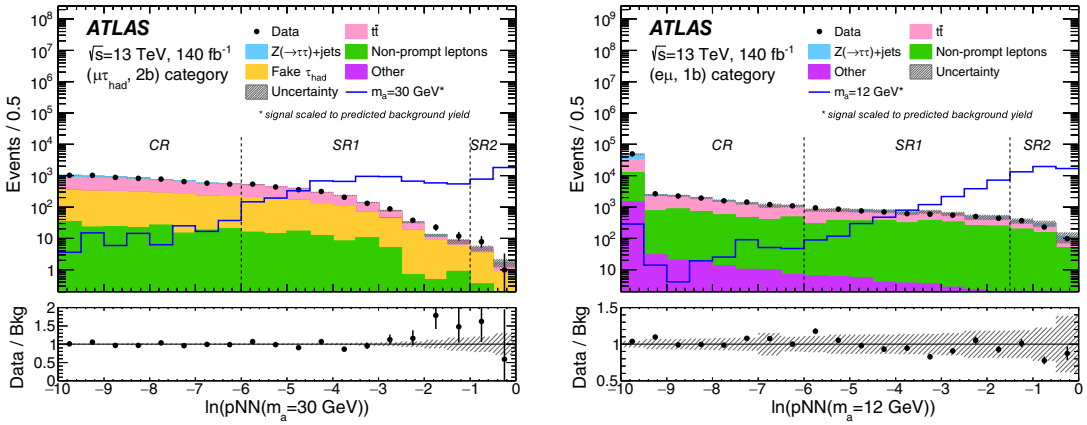


FIG. 5. Distribution of ln(pNN) output score in the (a) ($\mu\tau_{\text{had}}$, $2b$) category with $m_a = 30$ GeV and (b) ($e\mu$, $1b$) category with $m_a = 12$ GeV. The signal shape is normalized to the integral of the total background model. The hashed area represents the total uncertainty of the background. Underflow and overflow events are included in the first and last bins, respectively.

For each a-boson hypothesis m_a, a statistical analysis is performed simultaneously in all nine categories of the analysis. To reduce the correlation among different m_a hypotheses, only events which satisfy $0.95m_a - 6\ \text{GeV} \leq m^{\text{MMC}}(\tau\tau) \leq 1.15m_a + 1\ \text{GeV}$ in the $e\tau_{\text{had}}$ and $\mu\tau_{\text{had}}$ categories, or $0.75m_a - 6\ \text{GeV} \leq m^{\text{MMC}}(\tau\tau) \leq 1.25m_a + 1\ \text{GeV}$ in the $e\mu$ categories are used. The pNN score calculated with this subset of events and $m^{\text{true}}(\tau\tau) = m_a$ is split into three bins with different signal-to-background ratios (S/B). These bins are called CR, SR1, and SR2, in order of increasing S/B. Figure 6 shows the ranges for each mass hypothesis tested in this paper while Fig. 7 shows the three regions used to search for a signal with $m_a = 30$ GeV.

A test statistic is built from a profile likelihood ratio calculated using the `pyhf` software [136]. The likelihood is given by the product of Poisson probability distributions for the three bins in each of the nine categories and the Gaussian distributions, which implement constraint terms for each source of systematic uncertainty as a function of nuisance parameters (NP).

$$L(\mu,\vec{\alpha}) = \prod_{c}^{N_{\text{cat}}}\prod_{j=1}^{3}\frac{(\mu s_{c,j}(\vec{\alpha}) + b_{c,j}(\vec{\alpha}))^{n_{c,j}}}{n_{c,j}!}e^{-(\mu s_{c,j}(\vec{\alpha})+b_{c,j}(\vec{\alpha}))} \times \prod_{k=1}^{N_{\text{syst}}}\frac{1}{\sqrt{2\pi}}e^{-\frac{\alpha_k^2}{2}},$$

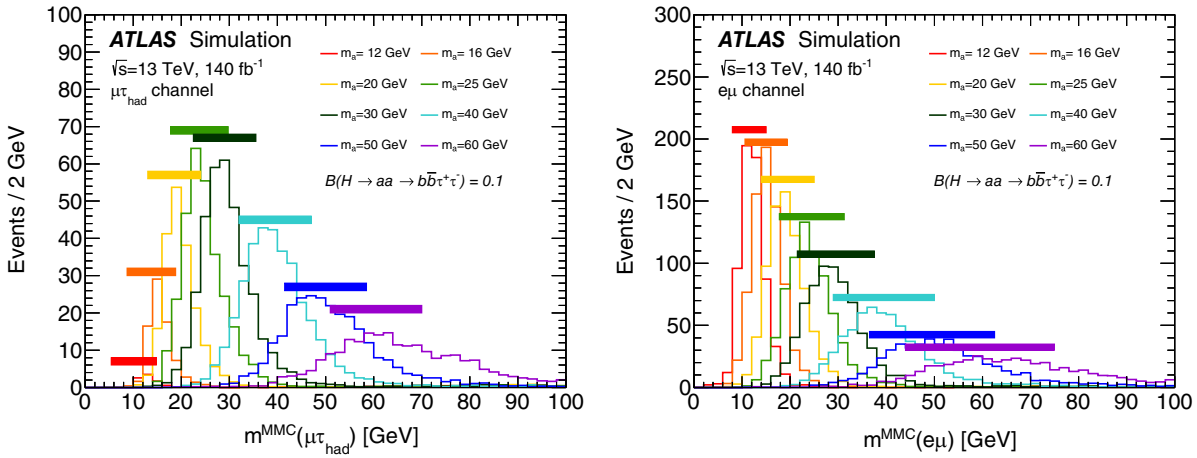


FIG. 6. Mass distribution of the $\tau\tau$ system for each of the generated MC signal mass points for the (a) $\mu\tau_{\text{h}}$ and (b) $e\mu$ categories. The rectangle above each histogram shows the domain used to test each mass hypothesis. Note that nearby mass hypotheses have overlapping regions. All distributions are normalized assuming $\mathcal{B}(H \to aa \to b\bar{b}\tau^+\tau^-) = 10\%$.

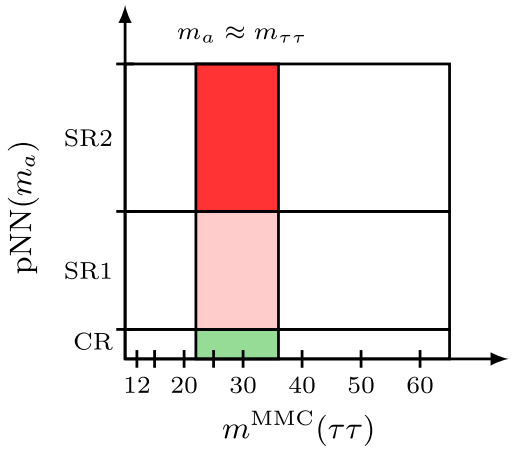


FIG. 7. As an illustration, the binning used in the two-dimensional plane of pNN score and mass for the $\mu\tau_{\mathrm{had}}$ categories and the $m_a = 30$ GeV mass hypothesis is shown.

where μ is the signal strength, $\vec{\alpha}$ is the vector of nuisance parameters, $s_{c,j}$ and $b_{c,j}$ are the expected number of signal and background events in the jth bin of the category c, and $n_{c,j}$ is the observed number of events. Since the signal templates are normalized to the SM Higgs inclusive cross section, the signal strength is equal to $(\sigma(H)/\sigma_{\mathrm{SM}}(H))\mathcal{B}(H \to aa \to b\bar{b}\tau^+\tau^-)$. Note that the values $s_{c,j}$ and $b_{c,j}$ are themselves functions of the set of NPs. Each systematic uncertainty is fully correlated across all bins and categories.

Categories with very low signal acceptance are suppressed from the likelihood product. For the $m_a = 12$ GeV hypothesis, only the $e\mu$ categories are used. At this mass hypothesis, the cut $\Delta R > 0.2$ between the τ-leptons and lepton removes nearly all signal acceptance. For $m_a > 30$ GeV, the categories with B-jets are similarly not used. Removing these categories does not impact the observed limit due to the lack of signal acceptance. The one-sided alternative likelihood ratio test statistic for upper limits $\tilde{q}_\mu$ [137] was used.

VIII. SYSTEMATIC UNCERTAINTIES

Systematic uncertainties include experimental uncertainties in the reconstructed objects, uncertainties in the data-driven model for the nonprompt-lepton background, and modeling uncertainties in the simulated background and signal samples.

Experimental uncertainties include momentum scale and resolution uncertainties for all reconstructed objects: muons, electrons, hadronic τ-leptons, jets, and missing transverse energy. These uncertainties are estimated by using calibrations performed for each individual object and by comparing them among different simulation models. These uncertainties are considered in the $E_{\mathrm{T}}^{\mathrm{miss}}$ reconstruction, and additional uncertainties for soft tracks are estimated from data [127].

Uncertainties in the reconstruction, identification and trigger efficiency of electrons [56,109] and muons [57,110] are determined from tag-and-probe efficiency measurements using $Z \to \ell^+\ell^-$ and $J/\psi \to \ell^+\ell^-$ events. Similarly, uncertainties in the reconstruction and identification efficiency of τ_{had} are determined from tag-and-probe efficiency measurements using $Z \to \tau_\mu\tau_{\mathrm{had}}$ events (where τ_μ denotes a $\tau \to \mu\nu_\mu\nu_\tau$ decay) and by varying the physical models used for the simulation of hadronic interactions in the detector [123,126]. An additional uncertainty is estimated for the electron-veto efficiency based on a tag-and-probe measurement using $Z \to ee$ events [124].

Uncertainties in the jet vertex tagger efficiency are estimated from efficiency measurements using $Z(\to \mu^+\mu^-)$ events with a single jet recoiling against the dimuon pair [116]. A dedicated efficiency measurement for jets with $15 \le p_{\mathrm{T}} \le 20$ GeV was performed for this search.

Uncertainties in the DLlr identification efficiency are estimated from a combination of several measurements sensitive to the true jet flavor. The efficiency is measured in several bins of jet p_{T}, η, and separately for true b-, c-, and light-jets [119–121]. A dedicated efficiency measurement for jets with $15 \le p_{\mathrm{T}} \le 20$ GeV was performed for this search. The measurement uses $t\bar{t}$ and $Z+$jets events to measure the efficiency of the DLlr algorithm in different η bins for b- and non-b-jets (i.e., c- and light-jets, which are considered together in this dedicated measurement). The uncertainties in the flavor-tagging efficiency of at low jet p_{T} are considered uncorrelated with the ones for jet $p_{\mathrm{T}} > 20$ GeV, but the correlations between different jet η bins and between the different true jet flavors are accounted for.

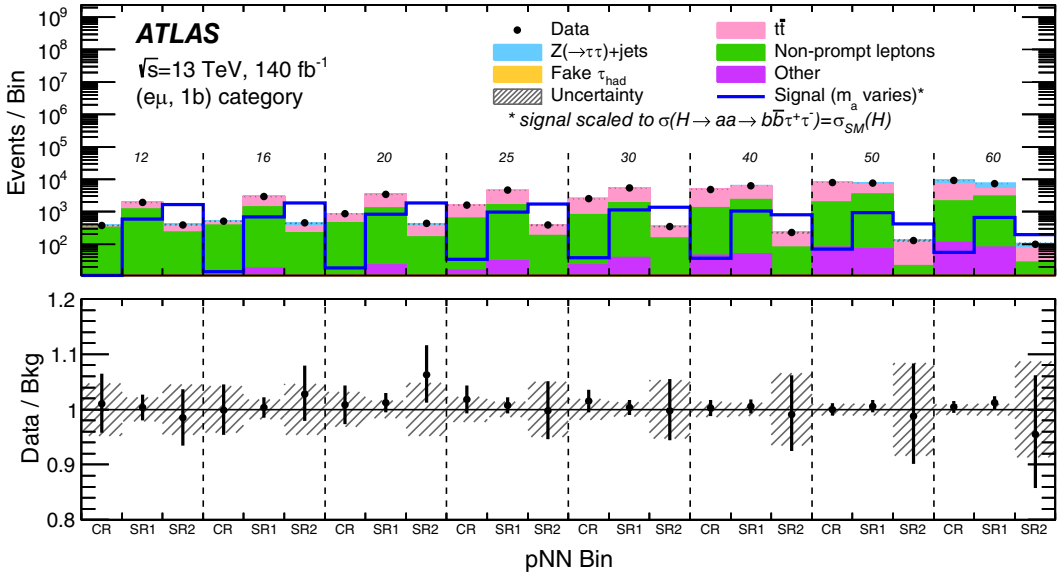
Uncertainties in the DEXTER identification efficiency are estimated from the efficiency measurement using $t\bar{t}$ and $Z(\to \ell\ell) + g(\to bb)$ events [26]. The efficiency and associated uncertainties are determined in bins of jet p_{T}, η, and separately for b- and B-jets. The number of light-jets satisfying the DEXTER identification selection is negligible.

Modeling uncertainties for $t\bar{t}$ and $Z+$jets background processes and for the Higgs boson signal process are estimated by varying the hard-process renormalization and factorization scales, and by using the NNPDF replicas to estimate PDF uncertainties. For the $t\bar{t}$ background process, additional modeling uncertainties are estimated by comparing the prediction from POWHEG and MADGRAPH5_MC@NLO [138], by varying the renormalization scale used to simulate the initial- and final-state radiation, and by comparing the prediction using PYTHIA and HERWIG [139] as parton showers. For the $Z+$jets background process, additional modeling uncertainties in the fraction of heavy-flavored associated jets are estimated by varying the resummation (QSF) and merging (CKKM) scales in SHERPA [58,63]. For simplicity, the variations are estimated without detector simulation, but in a fiducial region identical to the one used in the search. In addition to

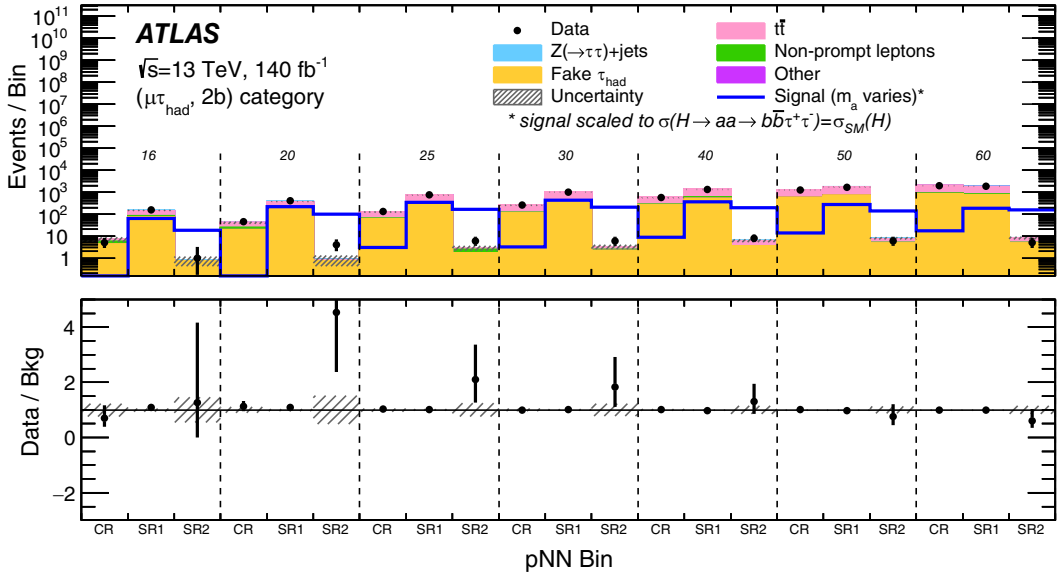
generator-based estimates, uncertainties associated with the reweighting procedure in the $t\bar{t}$ and Z regions are propagated to the final result.

Several uncorrelated sources of systematic uncertainties are considered for background events with nonprompt leptons. Statistical uncertainties coming from the limited number of events in the regions used to measure the prompt and nonprompt lepton efficiencies are generally negligible. Statistical uncertainties coming from the limited number of data events with at least one loose lepton are propagated to the final result. In addition to uncertainties of a statistical source, the following uncertainties are considered for background events with nonprompt leptons. Each uncertainty is estimated separately for electrons, muons, and hadronic taus.

(i) Prompt-lepton efficiency: the uncertainty is estimated by comparing the efficiency determined from simulated $Z+$ jets events with the one estimated from simulated $t\bar{t}$ events.



(a)



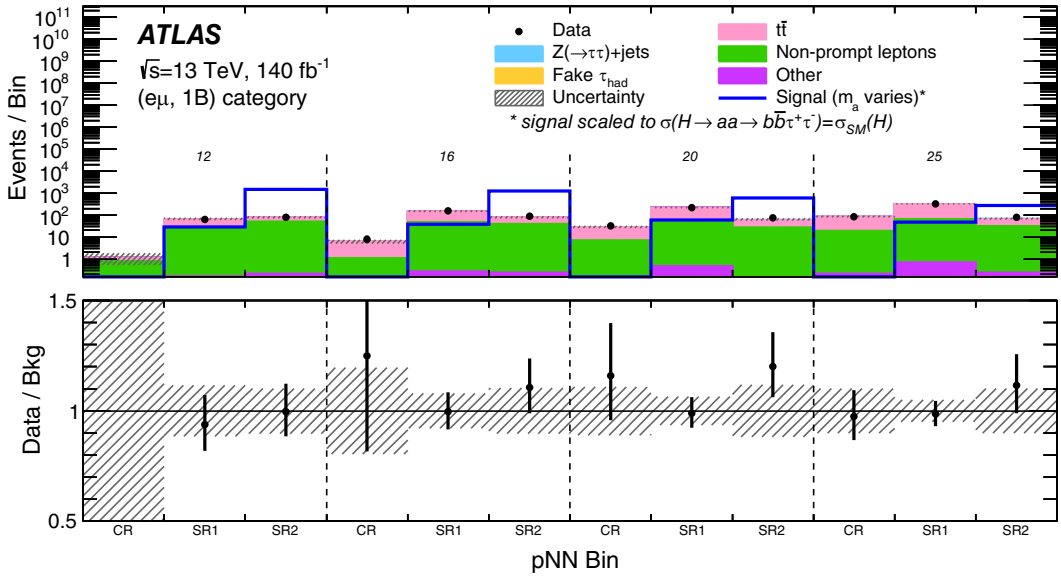
(b)

FIG. 8. The pNN spectrum in the three bins are shown separately for each mass hypothesis in the (a) ($e\mu$, $1b$) and (b) ($\mu\tau_{\mathrm{had}}$, $2b$) category. The signal shape (normalized to $\mathcal{B}(H \to aa \to b\bar{b}\tau^{+}\tau^{-}) = 1$) for each corresponding mass hypothesis is overlaid on top of the SM prediction. The bins divided by vertical dashed lines are used for testing different m_a hypotheses indicated as a text in the figure. Bins used for different m_a hypotheses are not statistically independent. Note that the 12 GeV mass point is not shown for the ($\mu\tau_{\mathrm{had}}$, $2b$) category because the signal acceptance is very low. The hashed area represents the total uncertainty of the background.

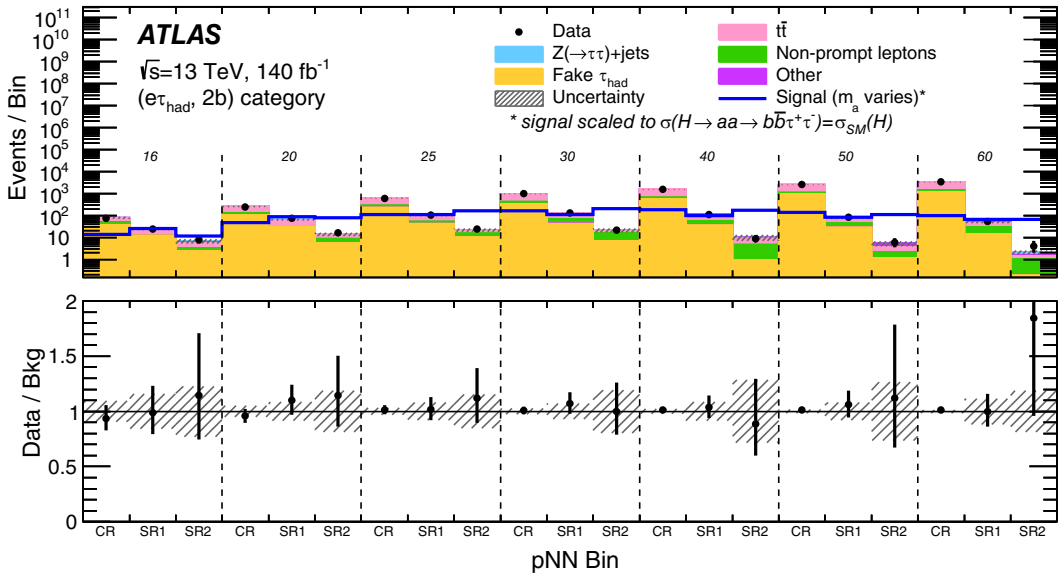
(ii) Nonprompt-lepton composition: for electrons and muons, this uncertainty is estimated by comparing the nonprompt-lepton efficiency measured in the different analysis categories with the efficiency measured in a region with zero b- and B-jets. For hadronic taus, this uncertainty is obtained by using the same region without heavy-flavored jets to estimate variations on r_{QCD} and r_{LJVT}.

(iii) Prompt-lepton subtraction: the uncertainty is estimated by varying the cross section of processes with prompt leptons by 30% in the regions used to estimate nonprompt efficiencies.

The uncertainty in the combined 2015-2018 integrated luminosity is 0.83% [55], obtained using the LUCID-2 detector for the main luminosity measurement, and complemented by measurements using the ID and the



(a)



(b)

FIG. 9. The pNN spectrum in the three bins are shown separately for each mass hypothesis in the (a) ($e\mu$, $1B$) and (b) ($e\tau_{\mathrm{had}}$, $2b$) categories. The signal shape (normalized to $\mathcal{B}(H \to aa \to bb\tau\tau) = 1$) for each corresponding mass hypothesis is overlaid on top of the SM prediction. The bins divided by vertical dashed lines are used for testing different m_a hypotheses indicated as a text in the figure. Bins used for different m_a hypotheses are not statistically independent. The hashed area represents the total uncertainty of the background.

calorimeters. The uncertainty in the pileup model is determined by varying the estimate of the inelastic pp cross section between the value estimated by using only the LUCID-2 detector and the value estimated using only the ID.

IX. RESULTS

Different a-boson mass hypotheses are tested $m_a = (12, 16, 20, 25, 30, 40, 50, 60)$ GeV. Figures 8 and 9 show the pNN distribution for each hypothesis in the three pNN bins used this search for the ($e\mu$, 1b) and ($e\tau_{\text{had}}$) categories, respectively. While the $m^{\text{MMC}}(\tau\tau)$ window reduces the correlation between different hypotheses, the events in the histogram for different masses are not completely independent. No significant excess over the background expectation is observed for any m_a hypothesis. The largest deviation is a local p-value of 0.055 at $m_a = 20$ GeV.

Upper limits on $(\sigma(H)/\sigma_{\text{SM}}(H))\mathcal{B}(H \to aa \to b\bar{b}\tau^+\tau^-)$ are determined at 95% confidence level (CL) using the CL_s technique [137] and the $\tilde{q}_\mu$ test statistic described in Sec. VII. The SM Higgs boson production cross section used is $\sigma_{\text{SM}}(H) = 55.7$ pb [140]. Table III shows the impact of dominant sources of systematic uncertainties in the expected upper limit for different m_a hypotheses. The impact is estimated by the difference between the expected upper limit when only a group of uncertainties are considered and the case where no systematic uncertainty is considered. B-jet identification efficiency uncertainty is an important source of systematic uncertainty at low value of m_a due to the difficulty in measuring the identification efficiency of merged B-jets with low mass and low p_{T}. Figure 10(a) compares the observed upper limits with the expected limits assuming the median value of $\tilde{q}_\mu$ under the background-only hypothesis. Figure 10(b) compares the expected limits for categories with heavy-flavor objects (B- or b-jets). The category using a B-jet is especially sensitive in the low-mass regime, where the $b\bar{b}$ system is collimated into a single object. Regarding the τ-lepton-decay mode, the $\mu\tau_{\text{had}}$ channel dominates the sensitivity, except for the low-mass regime where $e\mu$ dominates due to the isolation criterion, which is corrected for the presence of nearby leptons.

This is the first search in ATLAS for exotic decays of the Higgs boson in the final state $H \to aa \to b\bar{b}\tau^+\tau^-$. The observed limit is up to a factor of two better at low masses when compared to previous results [28] due to the use of a

TABLE III. Impact of different group of systematic uncertainties on the upper limit on $(\sigma(H)/\sigma_{\text{SM}}(H))\times \mathcal{B}(H \to aa \to b\bar{b}\tau^+\tau^-)$. The different systematic uncertainties group are considered individually in each line of the table. The larger the difference relative to the expected limit without systematic uncertainties (stat-only), the more important the uncertainty group is for the final result. The entries with "–" change by less than 1% relative to the stat-only limit.

	Expected limit on $(\sigma(H)/\sigma_{\text{SM}}(H))\mathcal{B}(H \to aa \to b\bar{b}\tau^+\tau^-)$		
Uncertainty source	$m_a = 12$ GeV	$m_a = 25$ GeV	$m_a = 60$ GeV
Stat-only limit	1.34	1.79	3.00
Observed limit	2.89	2.02	3.37
MC statistics	1.42	1.81	3.04
Experimental	2.72	1.94	3.21
Detector response	2.43	1.84	3.03
Luminosity and pileup	1.37	...	...
b-tagging	...	1.81	...
B-tagging	2.35	...	...
Jet and $E_{\text{T}}^{\text{miss}}$	...	1.83	...
Electrons	1.36	...	...
Muons	1.35	...	...
Taus	...	...	...
Data-driven normalization	1.58	1.94	3.19
Non-prompt leptons	1.58	1.85	3.16
Non-prompt taus	...	1.86	3.10
MC reweighting	...	...	...
Theoretical modeling	1.38	1.89	3.04
Signal	...	...	...
Background	1.37	1.87	3.03

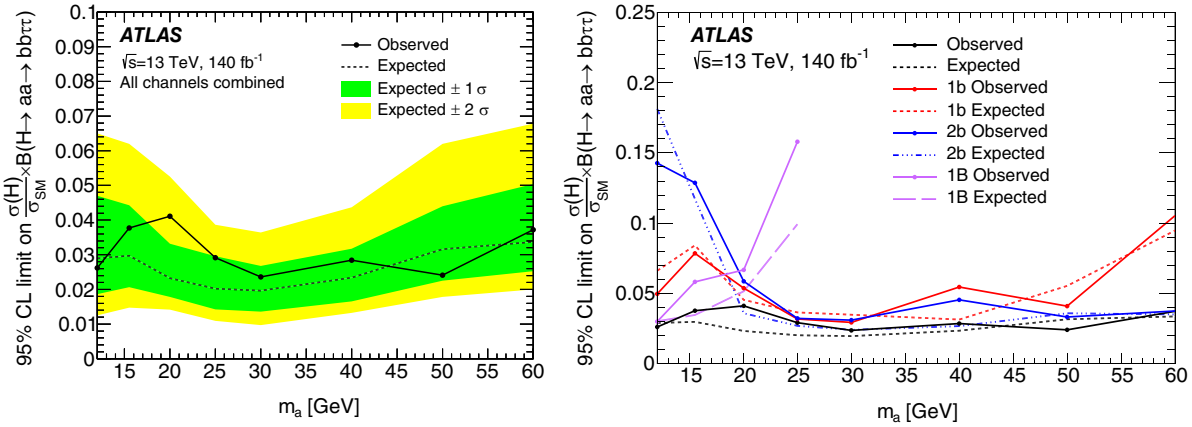


FIG. 10. The observed (solid) 95% C.L. upper limits on $(\sigma(H)/\sigma_{\mathrm{SM}}(H))\mathcal{B}(H \to aa \to b\bar{b}\tau^+\tau^-)$ as a function of m_a and the expected (dashed) limits under the background-only hypothesis when (a) combining all categories and (b) considering different categories based on the heavy-flavor objects separately. In the combined plot (a) the inner green and outer yellow shaded bands show the $\pm 1\sigma$ and $\pm 2\sigma$ uncertainties of the expected limits. The mass hypothesis m_a is probed between 12 and 60 GeV for the values shown with markers. A linear interpolation validated with MC simulation between adjacent mass points is used.

novel identification algorithm for low-mass merged B-jets. In several BSM models, final state with b-quarks have large branching ratio and the result provided here can place strict limits on the existence of exotic decays of the Higgs boson.

X. CONCLUSION

This paper presents the first search by the ATLAS experiment for exotic decays of the Higgs boson into a pair of pseudoscalar particles, $H \to aa$, where the a-boson decays into two b-quarks and two τ-leptons. The analysis is performed using the 140 fb^{-1} of proton–proton collision data at a center-of-mass energy of 13 TeV recorded by the ATLAS detector at the LHC between 2015 and 2018. The search makes use of heavy-flavor-tagging techniques to target collimated $a \to b\bar{b}$ decays and a mass-parameterized neural network to enhance the sensitivity. The analysis categorizes events depending on the τ-lepton decay modes: $e\mu$, $e\tau_{\mathrm{had}}$, and $\mu\tau_{\mathrm{had}}$, as well as the strategy for identifying heavy-flavor jets: a merged b-jet pair, B-jet, and one or two single b-jets. No significant excess above the SM background expectation is observed, and upper limits at 95% confidence level are set on $\mathcal{B}(H \to aa \to b\bar{b}\tau^+\tau^-)$ of 2.2%–3.9% for pseudoscalar mass values in the range 12 GeV $\leq m_a \leq$ 60 GeV, assuming the SM Higgs boson production cross section. These results contribute to the broad program of searches for $H \to aa$ decays in ATLAS and can be used to set constraints on a variety of BSM scenarios featuring such exotic Higgs decays.

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