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Statistics and Properties of Low-Frequency Vibrational Modes in Structural Glasses

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Low-frequency vibrational modes play a central role in determining various basic properties of glasses, yet their statistical and mechanical properties are not fully understood. Using extensive numerical simulations of several model glasses in three dimensions, we show that in systems of linear size $L$ sufficiently smaller than a crossover size $L_D$, the low-frequency tail of the density of states follows $D(\omega) \sim \omega^4$ up to the vicinity of the lowest Goldstone mode frequency. We find that the sample-to-sample statistics of the minimal vibrational frequency in systems of size $L < L_D$ is Weibullian, with scaling exponents in excellent agreement with the $\omega^4$ law. We further show that the lowest-frequency modes are spatially quasilocalized and that their localization and associated quartic anharmonicity are largely frequency independent. The effect of preparation protocols on the low-frequency modes is elucidated, and a number of glassy length scales are briefly discussed.

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Introduction.—Many basic mechanical, static, dynamic, and thermodynamic properties of disordered systems depend on the abundance of “soft excitations” emerging from their intrinsic disordered nature. For example, nonlinear localized two-level systems are believed to be responsible for the anomalous thermodynamic properties of glasses at very low temperatures [1,2]. Plastic flow in glassy materials occurs via the collective dynamics of shear transformation zones which originate from destabilizing quasilocalized soft modes [3–5]. Relaxation processes in deeply supercooled liquids were observed to be highly correlated in space with quasilocalized soft modes [6]. Energy and heat transport [7,8], macroscopic elasticity [9,10], and sound attenuation [11] in soft solids were all shown to depend on the density of low-lying soft modes. Thermal energy has been shown to focus spatially where localized soft modes reside [12]. A first principles understanding of the abundance of such excitations is, therefore, of key importance.

On large enough length scales, a glass behaves like a continuum elastic solid [13,14], for which the lowest-frequency excitations are Goldstone modes (plane waves) [15]. The density per unit volume of Goldstone modes is known to follow Debye’s theory, $D(\omega) \sim \omega^{-d+1}$, with $d$ being the spatial dimension and $\omega$ the mode frequency [16]. In generic glassy systems, the Goldstone modes overwhelm the density of states at low frequencies. This, in turn, poses serious difficulties in using conventional approaches to study the distribution of low-frequency glassy modes which emerge due to microscale disorder [17].

The jamming scenario in soft athermal glasses [9,18] or thermal hard-sphere glasses [19] provides a useful theoretical framework for understanding the density of low-frequency excitations in a subclass of disordered solids in which the effective number of interactions between the constituent degrees of freedom approaches $Nd$ from above, with $N$ the number of particles. In particular, effective medium [11,20,21] and infinite-dimension replica [22–24] calculations predict $D(\omega) \sim \omega^4$ independently of spatial dimension. Recent numerical simulations showed that this relation holds close to the jamming point but breaks down away from it [25].

What happens away from the jamming point in generic glassy systems? Several theories predicted the density of non-Goldstone low-frequency modes for generic glasses, i.e., away from the jamming point, to rise from zero as $D(\omega) \sim \omega^4$ [26–29]. In a recent numerical investigation of the Heisenberg spin glass model in 3D, it was found that upon introducing a field which suppresses Goldstone modes, the density of states followed the $\omega^4$ law at low frequencies [30]. However, to the best of our knowledge, no such evidence has ever been presented for generic structural glasses.

In this Letter, we employ extensive numerical simulations to investigate the low-frequency vibrational modes of computer-generated structural glasses in three dimensions (3D). We show that when carefully tuning the system size $L$ to be sufficiently smaller than a crossover size $L_D$, Goldstone modes are pushed to high frequencies, revealing a density of glassy modes that follows $D(\omega) \sim \omega^4$. This result, which to the best of our knowledge is the first of its kind, is demonstrated for several popular model glasses.

Further support for this key result is presented by studying the sample-to-sample statistics of minimal vibrational frequencies (MVFs) shown to be Weibullian, with scaling exponents perfectly consistent with the $\omega^4$ law. We also study the localization and anharmonic properties of the lowest-frequency modes, showing that the softest non-Goldstone modes are quasilocalized, and their associated anharmonicity and degree of localization are largely.
uncorrelated with their frequencies. We further examine the effect of preparation protocol on our findings, providing evidence that the \( \omega^4 \) law persists in glasses that are slowly cooled through the computer glass transition. Finally, we identify several length scales that play important roles in determining the statistics of MVFs and briefly discuss their dependence on the glasses’ preparation protocol.

Models and methods.—We employed three different computer glass-forming models in 3D: (i) a binary system of soft spheres interacting via a one-sided harmonic potential (HARM) under fixed pressure; (ii) the canonical Kob-Andersen binary Lennard-Jones (KABLJ) system [31]; (iii) a binary system of pointlike particles interacting via inverse power-law purely repulsive pairwise potentials (3DIPL). A complete and detailed description of the models and the numerical methods used in this work is provided in Ref. [32]. Unless stated otherwise, data are shown for the 3DIPL system. The ensemble of solids at zero temperature was created by a short equilibration run of each system in the liquid phase, followed by a rapid quench to zero temperature. For most system sizes, the ensembles consist of a few thousand solids; for system sizes on the order of millions of particles, we created a few tens or hundreds of solids.

Results.—We begin with discussing the effects of system size on the sample-to-sample statistics of MVFs in structural glasses. Let us assume that in the absence of Goldstone modes the low-frequency glassy modes are quasilocalized and only weakly correlated. If their frequencies are distributed according to \( D(\omega) \sim \omega^\theta \) \( (\theta > d-1) \), then a conventional scaling argument implies that the sample-to-sample mean MVF \( \langle \omega_{\text{min}} \rangle \) satisfies

\[
\int_0^{\omega_{\text{min}}} D(\omega) d\omega \sim N^{-1} \Rightarrow \langle \omega_{\text{min}} \rangle \sim L^{-(d/1+\theta)}. \tag{1}
\]

The distribution \( P(\omega_{\text{min}};L) \) of MVFs for different system sizes in 3D, i.e., \( d = 3 \), is expected to follow [37]

\[
P(\omega_{\text{min}};L) = W(\omega_{\text{min}} L^{3/5})^L, \tag{2}
\]

where \( W(\omega) = ((\theta + 1)/\gamma_0) \omega^\theta e^{-(\gamma_0/\omega)^{\theta+1}} \) is the Weibull distribution and \( \gamma_0 \) a scale to be discussed below. The important point is that since the lowest Goldstone frequency scales as \( L^{-1} \), a crossover length \( L_D \) is expected to separate the glassy \( L^{-3/(1+\theta)} \) scaling and the Goldstone \( L^{-1} \) scaling of MVF.

The predictions of Eqs. (1) and (2) were tested by a large ensemble of glassy samples of various sizes for the three aforementioned glass-forming models. After quenching each sample, the lowest nonzero eigenvalue of the dynamical matrix \( M_{ij} \equiv \langle \partial^2 U/\partial \vec{x}_i \partial \vec{x}_j \rangle \), with \( U \) denoting the potential energy and \( \vec{x}_i \) the coordinate vector of the \( i \)th particle, was calculated. The MVF \( \omega_{\text{min}} \) of each sample is given by the square root of the lowest nonzero eigenvalue of \( \mathcal{M} \) (particle masses are set to unity).

In Fig. 1(a), the sample-to-sample means \( \langle \omega_{\text{min}} \rangle \) rescaled by \( 2\pi \sqrt{\mu/\rho}/a_0 \) are plotted vs the system size \( L \). Here, \( a_0 \) is a microscopic length scale that characterizes the pairwise potential, \( \mu \) is the athermal shear modulus [38], and \( \rho \equiv N/V \) is the density with \( V = L^d \). We find that for all models considered and systems of size \( L \lesssim L_D \approx 60 \) (in our microscopic units) \( \langle \omega_{\text{min}} \rangle \sim L^{-3/5} \). Equation (1) then suggests that \( \theta = 4 \).

In Figs. 1(b) and 1(c), we plot the sample-to-sample distributions of MVFs \( P(\omega_{\text{min}}) \) measured for the 3DIPL system. Figure 1(b) shows the raw distributions, while in Fig. 1(c) the same distributions are shown in terms of the rescaled variable \( \omega_{\text{min}} L^{3/5} \), following Eq. (2) with \( \theta = 4 \). The rescaling assuming Weibullian statistics leads to an essentially perfect collapse of the distributions. The continuous magenta line represents the Weibull distribution \( W(y) \propto y^2 e^{-(y/y_0)^2} \), with \( y_0 \approx 4 \). The quality of this collapse constitutes additional strong evidence for the robustness of the \( D(\omega) \sim \omega^4 \) law.
These results suggest that in systems with $L \ll L_D \approx 60$, a low-frequency tail of the form $D(\omega) \sim \omega^4$ should be directly observable. Guided by these results, the low-frequency tails of $D(\omega)$ were calculated and plotted in Fig. 2 for all the aforementioned glass-forming models and various system sizes. The left columns display the raw distributions as a function of the frequencies rescaled by the lowest Goldstone mode frequency $\omega / (2\pi \sqrt{\mu / \rho})$. The vertical dashed lines represent the lowest Goldstone mode frequency expectation. Distributions were shifted vertically for visibility.

**Localization and anharmonicity.**—Once the $\omega^4$ scaling is established, we study next the localization properties and anharmonicity of the lowest frequency modes. We first consider the participation ratio $e \equiv \left[ N \sum_i (\hat{\Psi}_i \cdot \hat{\Psi}_i) \right]^{-1}$ of the lowest-frequency modes $\hat{\Psi}_i$, which is an indicator of the degree of their spatial localization. Figure 3(a) shows a scatter plot of the products $Ne$ vs the rescaled MVF. There appears to be no clear correlation between the localization degree of the softest modes and their frequencies for $\omega_{\text{min}} \lesssim 2\pi \sqrt{\mu / \rho} / L$. The inset shows the median of $e$ vs system size $N$, revealing a clear $e \sim N^{-1}$ scaling for $N \lesssim 64000 \sim \rho L_D^3$. This indicates that the lowest-frequency modes are quasi-localized, supporting similar conclusions by Schober and coworkers [39–41].

In Fig. 3(c), a scatter plot of the quartic anharmonicity $\chi = \left[ \partial^4 U / (\partial \delta x_i \partial \delta x_j \partial \delta x_k \partial \delta x_l) \right]_{\hat{\Psi}} \hat{\Psi}_i \hat{\Psi}_j \hat{\Psi}_k \hat{\Psi}_l$ associated with the lowest-frequency modes vs the rescaled MVF is presented. We observe that the anharmonicity of the softest modes is also not correlated with their frequencies, as long as the latter are smaller than the lowest Goldstone mode frequency. In addition, the anharmonicity is $N$ independent for systems with $L < L_D$ (see inset).

To further explore the localization properties, we show in Fig. 4(a) that the spatial profile [32] of the lowest-frequency mode (amongst our entire ensemble of minimal frequency modes in systems with $N = 10^6$) decays as $r^{-2}$ for $r \gtrsim \xi_g \approx 10$. This same decay profile was found for destabilizing modes at the onset of plastic instabilities in externally deformed athermal glasses [5,42]. In Fig. 4(b), we show the ensemble lowest mode itself, demonstrating a core size consistent with $\xi_g \approx 10$, as estimated from the decay profile. We identify $\xi_g$ as the localization length of quasilocalized soft modes.

**Preparation protocols and length scales.**—Recent experiments suggest that glasses created by careful vapor deposition techniques [43] are free of low-frequency glassy modes, as indicated by the crystallinelike temperature dependence of their specific heat [44] and by their suppressed $\beta$ relaxation [45]. It is, therefore, important to test whether the observed $\omega^4$ law and the Weibullian statistics of MVFs are affected by the preparation protocol.

To this aim, in addition to the rapidly quenched glasses discussed up to now, we also prepared an ensemble of glassy samples that were slowly quenched through the computer glass transition [32]. In Fig. 5(a), we plot ($\omega_{\text{min}}$) rescaled by $2\pi \sqrt{\mu / \rho} / a_0$ vs $L$ for the rapidly and slowly quenched ensembles. It is observed that the slower
implies that the preparation protocol dependence of collapse onto a single curve for the two ensembles, which indicating the robustness of the \( \omega \) (Ref. [32]). Note that the rescaled ensemble of minimal frequency modes with definition) of the lowest-frequency mode amongst our entire \( L \) is a “glassy modes” characteristic frequency scale, which must appear in a parent distribution \( P_\mu(\omega) \sim \omega^{-1} \omega_0^{-1} \) associated with Weibullian statistics, and \( \xi \) is a “site length” that implies that \( \omega_{\min} \) of each sample is the softest mode amongst \( (L/\xi)^d \) candidates. \( \omega_0 \) is generally preparation protocol dependent and is expected to stiffen with decreasing cooling rates. While this behavior rationalizes the observed trend in \( \omega_{\min} \), we cannot rule out the possibility that \( \xi \) is also protocol dependent, which implies that the stiffening of \( \omega_{\min} \) may not be wholly explained by the stiffening of \( \omega_0 \) (see related discussion in Ref. [46]). At this point, however, we are unable to disentangle the preparation protocol dependencies of \( \omega_0 \) and \( \xi \).

FIG. 4. (a) Spatial decay profile (see Ref. [32] for exact definition) of the lowest-frequency mode amongst our entire ensemble of minimal frequency modes with \( N = 10^6 \). (b) The ensemble lowest-frequency mode (only components larger than a tenth of the mode’s maximal component are shown). On the \( x \)-\( y \), \( x \)-\( z \), and \( y \)-\( z \) planes, the respective projections of the mode are shown, allowing for a visual estimation of its spatial scale.

quenched glasses still exhibit \( \langle \omega_{\min} \rangle \sim L^{-3/5} \) scaling, indicating the robustness of the \( \omega^4 \) law to different preparation protocols (see further discussion in Ref. [32]). Note that the rescaled \( \omega_{\min}(L) \) does not collapse onto a single curve for the two ensembles, which implies that the preparation protocol dependence of \( \omega_{\min} \) and \( \mu \) (in fact, \( \sqrt{\mu} \)) is different. We address this point next.

In Figs. 5(b) and 5(c), the sample-to-sample distributions of \( \omega_{\min} \) and \( \mu \) are plotted, respectively. Both distributions exhibit stiffening as the cooling rate decreases, though \( \omega_{\min} \) stiffens significantly more strongly (the mean shifts to a higher frequency by roughly 25%) than \( \mu \) (the mean shifts by roughly 10%), which is consistent with the noncollapse observed in Fig. 5(a). Finally, in Fig. 5(d), the sample-to-sample distribution of the participation ratio \( e \) is plotted, indicating that the glassy soft modes become more localized when the samples are cooled more slowly.

This implies that the localization length \( \xi \) decreases with decreasing cooling rate.

How should one interpret these preparation protocol dependencies and their relation to glassy length scales? To address this question, we rewrite Eq. (1) in dimensional form as \( \langle \omega_{\min} \rangle \sim \omega_0 (L/\xi)^{-d/5} \). Here, \( \omega_0 \) is a “glassy modes” characteristic frequency scale, which must appear in a parent distribution \( P_\mu(\omega) \sim \omega^{-1} (\omega/\omega_0)^4 \) associated with Weibullian statistics, and \( \xi \) is a “site length” that implies that \( \omega_{\min} \) of each sample is the softest mode amongst \( (L/\xi)^d \) candidates. \( \omega_0 \) is generally preparation protocol dependent and is expected to stiffen with decreasing cooling rates. While this behavior rationalizes the observed trend in \( \omega_{\min} \), we cannot rule out the possibility that \( \xi \) is also protocol dependent, which implies that the stiffening of \( \omega_{\min} \) may not be wholly explained by the stiffening of \( \omega_0 \) (see related discussion in Ref. [46]). At this point, however, we are unable to disentangle the preparation protocol dependencies of \( \omega_0 \) and \( \xi \).

FIG. 5. (a) The mean rescaled MVFs depend on system size as \( L^{-3/5} \), both for rapidly and slowly quenched samples, suggesting the robustness of the \( \omega^4 \) law to different preparation protocols. (b)–(d) Distributions of (b) MVFs \( \omega_{\min} \), (c) athermal shear modulus \( \mu \), and (d) participation ratio \( e \) measured in our slowly and rapidly quenched solids as indicated by the legend. See text for discussion.
However, we find that the Weibullian statistics of MVF breaks down in 2D, as do the quasilocalization of lowest-frequency modes and $N$ invariance of their associated anharmonicity. These issues will be addressed in a separate, broader report.

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E. L. designed and performed the research, E. L., G. D., and E. B. discussed the results, and E. L. and E. B. wrote the Letter.

[32] See the Supplemental Material http://link.aps.org/supplemental/10.1103/PhysRevLett.117.035501, which includes Refs. [33–36], for details about numerical methods, and for further discussion about quench rate effects.