Holographic de Sitter Geometry from Entanglement in Conformal Field Theory

de Boer, J.; Heller, M. P.; Myers, R. C.; Neiman, Y.

DOI
10.1103/PhysRevLett.116.061602

Publication date
2016

Document Version
Final published version

Published in
Physical Review Letters

Citation for published version (APA):
Holographic de Sitter Geometry from Entanglement in Conformal Field Theory

Jan de Boer,1,* Michal P. Heller,2† Robert C. Myers,2‡ and Yasha Neiman2,§

1Institute for Theoretical Physics, University of Amsterdam, 1090 GL Amsterdam, Netherlands
2Perimeter Institute for Theoretical Physics, Waterloo, Ontario N2L 2Y5, Canada

(Received 25 September 2015; published 10 February 2016)

We demonstrate that, for general conformal field theories (CFTs), the entanglement for small perturbations of the vacuum is organized in a novel holographic way. For spherical entangling regions in a constant time slice, perturbations in the entanglement entropy are solutions of a Klein-Gordon equation in an auxiliary de Sitter (dS) spacetime. The role of the emergent timelike direction in dS spacetime is played by the size of the entangling sphere. For CFTs with extra conserved charges, e.g., higher-spin charges, we show that each charge gives rise to a separate dynamical scalar field in dS spacetime.

DOI: 10.1103/PhysRevLett.116.061602

Introduction and summary.—Understanding the structure of quantum entanglement has emerged as a central question in elucidating novel emergent phenomena in complex quantum systems. This issue arises in a wide variety of research areas, ranging from condensed matter physics to quantum gravity. In the former, entanglement entropy distinguishes exotic phases of matter, such as quantum Hall fluids or spin liquids, while in quantum gravity, entanglement plays a key role in the emergence of quantum spacetime and also of the gravitational equations of motion [1–5]. A great deal of recent progress in this area has come from the AdS/CFT (conformal field theory) correspondence. In this framework, entanglement entropies in the boundary CFT are encoded holographically in terms of the Bekenstein-Hawking entropy of extremal surfaces in the dual bulk spacetime [6,7].

In this Letter, we show that the entanglement structure of any CFT has a novel holographic description in terms of an auxiliary de Sitter (dS) geometry. As is typical, the scale in the CFT emerges as the extra holographic direction, but in the present construction the scale plays the role of time in the dS geometry. This structure is reminiscent of the effective causal structure inherent to the multiscale entanglement renormalization ansatz [8,9] and the interpretation of these tensor networks within AdS3/CFT2 correspondence [10]. Quite remarkably, we find that the emergent dS geometry is the arena for a local dynamics, in which one of the degrees of freedom is identified with the perturbations of the entanglement entropy (EE). We emphasize, though, that our construction is not related to the standard AdS/CFT correspondence and applies for any CFT in any number of dimensions, without any requirement of a large central charge or strong coupling.

We begin with a d-dimensional CFT in its vacuum state in flat spacetime. Now consider evaluating the EE for a (d − 1)-dimensional ball B of radius R centered at ~x on a fixed time slice. As we review below, for weakly excited states, the change in the EE is fixed by the expectation value of the energy density:

\[ \delta S(B) = 2\pi \int_B d^{d-1}x' \frac{R^2 - |\vec{x} - \vec{x}'|^2}{2R} \langle T_{\mu\nu}(\vec{x}') \rangle. \]  

While this result is now fairly well known, it went completely unnoticed that the integration kernel in the above expression is a boundary-to-bulk propagator in d-dimensional dS geometry where the radius R plays the role of the timelike coordinate:

\[ ds^2 = \frac{L^2}{R^2} (-dR^2 + d\vec{x}^2). \]  

Hence, \( \delta S(\vec{x}, R) \) obeys the Klein-Gordon equation,

\[ (\nabla_a \nabla^a - m^2) \delta S = 0, \]  

in the auxiliary dS geometry, where the mass is given by

\[ m^2 L^2 = -d. \]  

This result is the focal point of the Letter. Further, as we demonstrate below, for CFTs with extra global (e.g., higher-spin) charges, there exists one additional dynamical field in dS spacetime for each charge.

With Eq. (3), the asymptotic boundary data (i.e., the behavior at \( R = 0 \)) are the expectation value of the energy density \( \langle T_{\mu\nu} \rangle \), which sets \( \delta S \) at very small scales. Then the EE perturbations at larger scales are determined by the local Lorentzian propagation into the dS geometry. Hence, the EE for small excitations of the vacuum state in any CFT is organized with respect to scale in a novel Lorentzian holographic manner. As we discuss below, the choice of the asymptotic boundary data implicit in Eq. (1) is precisely that needed to remove the unstable modes associated with the mass term Eq. (4) being tachyonic.
We would like to point out that in the AdS/CFT framework, the same wave equation [Eq. (3)] implicitly appears in Refs. [11,12], but their derivation relied on the use of holographic EE and the Einstein equations in the bulk. However, we reiterate that our construction is not connected to the AdS/CFT correspondence. As we discuss below, the present holographic propagation of $\delta S$ relies solely on the so-called “first law of entanglement”.

Finally, for pure states, the EE of any ball matches that of its complement. This condition imposes an antipodal symmetry on the solutions of Eq. (3). Combining this property with Eq. (1), we find novel constraints on the profile of the energy density; see Eqs. (13) and (15).

First law as Lorentzian propagation.—To evaluate EE in a quantum field theory, we divide a constant time slice into two parts, a region $V$ and its complement $\bar{V}$. Upon tracing out the degrees of freedom in $\bar{V}$, we are left with the reduced density matrix $\rho_V$ describing the remaining degrees of freedom in the region $V$. The EE is then evaluated with the standard expression for the von Neumann entropy:

$$S(V) = -\text{Tr}(\rho_V \log \rho_V).$$

Since the reduced density matrix is both Hermitian and positive semidefinite, it can be expressed as

$$\rho_V = e^{-H_V}/\text{tr}e^{-H_V},$$

where the Hermitian operator $H_V$ is known as the modular Hamiltonian [13]. Now for a small perturbation of the reduced density matrix $\rho_V + \delta \rho$, one can show that the change in the entanglement entropy [Eq. (5)] is given by [14]

$$\delta S = \delta \langle H \rangle,$$

where $\delta \langle H \rangle$ denotes the change in the expectation value of the modular Hamiltonian associated with the original density matrix $\rho_V$. Equation (7) is commonly called the first law of entanglement, as it is a quantum analog of the first law of thermodynamics.

The cases in which the entanglement Hamiltonian is local are rare. One special case is for a CFT in its vacuum state in $d$-dimensional Minkowski spacetime $\mathbb{R}^{1,d-1}$ and where the region of interest is a spherical ball $B$. In this case, the entanglement Hamiltonian takes the simple form

$$H_B = 2\pi \int_B d^{d-1}x \frac{R^2 - |\vec{x} - \vec{x}'|^2}{2R} T_{tt}^{\text{eq}}(\vec{x}'),$$

where the integral is taken over the ball centered at position $\vec{x}$ and with radius $R$, and $T_{tt}^{\text{eq}}$ is the energy density operator. Now, combining this expression with the first law [Eq. (7)], we find that for spherical regions the change in the entanglement entropy from the vacuum to weakly excited states is given by Eq. (1). Implicitly, we are using the fact that the expectation value of $T_{tt}^{\text{eq}}$ vanishes in the vacuum.

As noted above, the integration kernel in Eq. (1) is a boundary-to-bulk propagator in $d$-dimensional dS space with the metric Eq. (2). Now, one easily verifies that the perturbation $\delta S$ obeys the wave equation (3) on this auxiliary dS spacetime. In general, this equation has two independent asymptotic solutions which to leading order take the form

$$\delta S^{R=0} = F(\vec{x})/R + f(\vec{x}) R^d + \ldots.$$

Hence, our wave equation admits boundary data with conformal weights $\Delta = -1$ and $d$, corresponding to $F(\vec{x})$ and $f(\vec{x})$, respectively. We can then identify Eq. (1) as the solution with

$$F(\vec{x}) = 0 \text{ and } f(\vec{x}) = \frac{\pi^{(d+1)/2}}{\Gamma(d/2)} \langle T_{tt}^{\text{eq}}(\vec{x}) \rangle.$$

Therefore, the expectation value of the energy density sets $\delta S$ at very small scales (i.e., $R \to 0$). Then the EE perturbations at larger scales are determined by the Lorentzian propagation into the dS geometry, according to Eq. (3). Thus, the EE for excited states around the vacuum is organized with respect to scale in a novel Lorentzian holographic manner. Again, this result applies for any CFT in any number of dimensions $d$ and relies solely on the applicability of the first law.

We also reiterate that the above choice of boundary data [Eq. (10)] precisely removes “non-normalizable” or unstable modes associated with the tachyonic mass term Eq. (4).

At this point, let us note that the wave equation (3) is a covariant expression and so it can be rewritten in terms of any coordinate system on the dS geometry. As usual, changing coordinates in the bulk amounts to choosing a new conformal frame in the boundary CFT. Hence, our holographic construction readily extends to the CFT in any conformally flat background. The cylindrical background $\mathbb{R} \times S^{d-1}$ is of particular interest below. In this case, a constant time slice corresponds to a round $(d-1)$ sphere and the corresponding wave equation then appears in global coordinates on the dS space, e.g., $ds^2 = L^2 [-dt^2 + \cosh(t)^2 d\Omega_{d-1}]$. Explicit examples of propagation in this cylindrical conformal frame and in the flat frame are given in the Supplemental Material [15], which includes Refs. [16,17]. There we also give an alternative derivation of the wave equation (3) based on group-theoretic arguments.

Auxiliary de Sitter geometry.—The relation between balls on a constant time slice of a $d$-dimensional CFT and the dS geometry is easily inferred as follows: Implicitly, we have identified our time slice with the future [18] asymptotic boundary $\mathcal{I}^+$ of dS spacetime. Now, for any bulk point $x \in \mathbb{D}$, the intersection of the inside of the future light cone of $x$ with $\mathcal{I}^+$ is then a ball-shaped region; see Fig. 1. This establishes a one-to-one map between points in dS spacetime and balls on the time slice.

In much of our discussion, the time slice has the topology of $\mathbb{R}^{d-1}$, as assumed in the modular
Hamiltonian Eq. (8). Then this map, and the dS metric in
Eq. (2), only covers half of the dS geometry, i.e., the
expanding Poincaré patch. The missing half can be iden-
tified with the complementary exterior regions \( \tilde{B} \) on this flat
slice. This is more easily seen by going to a conformal frame where our time slice has \( \mathbb{S}^{d-1} \) topology. As described
above, this corresponds to choosing global coordinates in
the bulk dS space. Each spherical entangling surface then
defines two ball-shaped regions covering complementary
domains on the \( \mathbb{S}^{d-1} \). These two balls are identified with
antipodal points in the dS spacetime geometry; see Fig. 2.

Furthermore, the Lorentzian structure of the dS geometry
can be given a geometric interpretation directly in terms of
balls on the CFT time slice. Causal relationships between
points \( x \in dS \) become topological relationships between the
corresponding balls \( B_x \), as illustrated in Fig. 1. In
particular, we have: (1) \( y_1 \) is in the timelike future of \( x \leftrightarrow B_{y_1} \) is contained within \( B_x \); (2) \( y_2 \) is in the null future of
\( x \leftrightarrow B_{y_2} \) lies within \( B_x \) but \( \partial B_{y_2} \) is tangent to \( \partial B_x \) at one
point; and (3) \( x \) and \( y_3 \) (or \( y_4 \)) are spacelike separated \( \leftrightarrow \) the
domain of \( B_{y_3} \) (or \( B_{y_4} \)) extends beyond \( B_x \) and vice versa.
The latter can be refined in a number of ways by taking into
account the relationship with the antipodal point \( \tilde{x} \), e.g.,
(3a) \( x \) and \( y_4 \) are spacelike separated and \( y_4 \) is in the
timelike future of \( \tilde{x} \leftrightarrow B_{y_4} \) is completely outside of \( B_x \). With
d = 2, this structure reduces to the relations discussed in
Ref. [10] for one-dimensional intervals.

What remains is to give meaning to the scale factor of the dS metric in terms of the CFT time slice. This can be
fixed by considering two concentric balls in \( \mathbb{R}^{d-1} \), with
radii \( R \) and \( R + dR \). We then define the “timelike distance”
between these balls as \( LdR/R \), where the constant \( L \)
becomes the dS radius. This is the only definition that respects conformal symmetry on the time slice.

Finally, we note that the fact that we can associate
Lorentzian order between balls on a constant time slice in Minkowski spacetime does not imply the existence of local dynamics respecting this structure. Hence, the appearance of the wave equation (3) is rather remarkable.

**Antipodal symmetry.**—If we consider excitations that are globally pure states, they must satisfy the constraint that the EE inside each ball must equal that in its complement. Hence,

\[
\delta S(B) = \delta S(\tilde{B}),
\]  

(11)

In the holographic dS picture, this corresponds to antipodally even configurations \( \delta S(x) \); i.e., \( \delta S(x) = \delta S(\tilde{x}) \).

Considering general solutions of the wave equation (3),
this antipodal symmetry imposes a relation between the two
classes of boundary data in Eq. (9) of the form

\[
\frac{2\pi^{(d-1)/2}}{\Gamma\left(\frac{d+1}{2}\right)} F(\tilde{x}) = \int d^{d-1}x' |\tilde{x} - x'|^2 f(\tilde{x}),
\]  

(12)

where the integral is over the future boundary \( \mathcal{I}^+ \) of dS
spacetime. Now, recall that our entropy configurations (1)
are not general solutions, but ones with vanishing \( \Delta = -1 \)
data. Hence, combining Eq. (10) with the antipodal symmetry
constraint Eq. (12), we arrive at a constraint on the energy
density profile: \( \int d^{d-1}x' |\tilde{x} - x'|^2 \langle T_{tt}(\tilde{x}) \rangle = 0 \). For
Eq. (11) to hold for all balls, this constraint must be satisfied
for all \( \tilde{x} \). This is equivalent to the vanishing of the following
moments of \( \langle T_{tt}(\tilde{x}) \rangle \):

\[
\int d^{d-1}x \langle T_{tt}(\tilde{x}) \rangle = 0,
\quad \int d^{d-1}x\tilde{x} \langle T_{tt}(\tilde{x}) \rangle = 0,
\quad \text{and} \quad \int d^{d-1}x\tilde{x}^2 \langle T_{tt}(\tilde{x}) \rangle = 0.
\]  

(13)
Note that these constraints can be identified as the vanishing of the expectation value of the total energy, the boost generators, and the temporal generator of special conformal transformations, respectively. Focusing on the vanishing of the total energy, we must recall that we are working at leading order in a small perturbation above the vacuum. This means that the energy difference will appear at higher orders in the expansion. At this point, we note that while $S(B) = S(\bar{B})$ for all $B$ would certainly indicate that the underlying state is globally pure, some mixed states will still satisfy the leading order constraint Eq. (11) in our perturbative construction.

We note that the constraints Eq. (13) can also be derived directly in the CFT, by comparing Eq. (1) to the analogous expression for the entropy in the ball’s complement:

$$\delta S(\bar{B}) = 2\pi \int_{\bar{B}} d^{d-1}x' \frac{1}{2R} \langle T_{tt}(\bar{x}') \rangle. \quad (14)$$

Let us mention as well that the constraints Eq. (13) can also be derived from the results of Ref. [19]. Finally, we include the analogue of Eq. (13) for the conformal frame where the time slice is spherical:

$$\int d^{d-1}n \langle T_{tt}(n) \rangle = 0, \quad \int d^{d-1}nn' \langle T_{tt}(n) \rangle = 0. \quad (15)$$

Here, points on $S^{d-1}$ are parametrized by $d$-dimensional unit spacelike vectors $n^i$, with volume form $d^{d-1}n$.

### Extension to higher-spin charges

---

**FIG. 3.** The domain of dependence $D$ of a ball $B$ enclosed by a given spherical entangling surface. Blue lines are tangent to the conformal Killing vector field $K^\mu$, which gives rise to conserved charges [Eq. (16)].

---

Thus, the charges [Eq. (16)] can all be interpreted as scalar fields in dS spacetime, obeying a Lorentzian wave equation with mass as in Eq. (17). For an ordinary charge with $s = 1$, the bulk field in dS is massless, while charges with $s \geq 2$ correspond to a discrete series of tachyonic masses. Of course, these mass values are precisely those that allow boundary data with conformal weights $d + s - 2$, in agreement with the weights of the densities $T_{tt...}$. The antipodal symmetry constraints [Eq. (13)] generalize most cleanly when recast in their $S^{d-1}$ form [Eq. (15)]. Then the analogous constraint for general spin $s$ is the vanishing of the first $s$ moments of $T_{tt...}(n^i)$. For even (odd) $s$, these constraints lead to antipodally even (odd) wave solutions in dS spacetime, so that the charge $Q^{(s)}$ in every ball equals plus (minus) the analogous charge in its complement. For example, in the case of $s = 1$, this reduces to the vanishing of the total charge on the time slice.

**Outlook.**—We demonstrated that the conformal group of a $d$-dimensional CFT induces a Lorentzian geometry on the space of balls on a constant time slice, which corresponds to $dS_d$. Remarkably, the entanglement of small excitations...
of the CFT vacuum is governed by a local wave equation (3) on this auxiliary geometry. The expectation value $\langle T_{00} \rangle$ is the asymptotic boundary data in the dS space, fixing $\delta S$ at small scales. The EE perturbations at larger scales are then determined by the propagation into the dS geometry, according to Eq. (3). Hence, the EE in any CFT is organized with respect to scale in a novel Lorentzian holographic manner. We also gave the generalization for CFTs with extra global (e.g., higher-spin) charges, with one dynamical field in dS spacetime for each charge.

Our holographic propagation of $\delta S$ relies solely on the first law of entanglement for spherical regions. The first law must apply not just for a particular sphere but for all spheres (and their complements) on a given time slice. For pure states, the EE of any ball matches that of its complement, and this introduces an antipodal symmetry on the solutions in the dS space. Combining this property [Eq. (11)] with the first law, we found novel constraints on the profile of the energy density, i.e., Eqs. (13) and (15).

Looking forward, it remains to be seen if our holographic construction can be extended to provide a full description of the CFT in terms of a local theory of interacting fields, including the metric, propagating in the dS spacetime. Such a theory would then provide a novel example of the dS/CFT correspondence (see, e.g., Refs. [24–26]) in which the boundary CFT is unitary.

The present construction is closely related to the proposed description of EE in two-dimensional CFTs in terms of integral geometry [10]. Hence, integral geometry may provide an interesting perspective to further extend our holographic construction. It may also be that our new construction will provide useful insight into extending the proposal of Ref. [10] to higher dimensions.

Of course, a full holographic description would require understanding the time dependence of quantities in the CFT. The natural starting point here would be to consider spherical regions not simply on a fixed time slice but throughout the $d$-dimensional spacetime of the CFT. The group-theoretic construction presented in the Supplemental Material [15] suggests that $\delta S$ now obeys a wave equation on the coset $SO(2, d)/[SO(1, d - 1) \times SO(1, 1)]$, which interestingly has multiple time directions. We are currently studying this framework in further detail.

We would like to thank H. Casini, B. Czech, V. Hubeny, M. Johnson, L. Lamprou, A. Lewkowycz, J. Maldacena, S. McCandlish, J. Sully, and especially P. Caputa, J. Jottar, M. Rangamani, and S. Ross for valuable comments and correspondence. We are also grateful to G. Vidal for multiple discussions and collaboration on related subjects. Research at Perimeter Institute is supported by the Government of Canada through Industry Canada and by the Province of Ontario through the Ministry of Research and Innovation. R. C. M. and Y. N. also acknowledge support from NSERC Discovery grants. R. C. M. acknowledges funding from the Canadian Institute for Advanced Research. J. d. B. is supported in part by the research program of the Foundation for Fundamental Research on Matter (FOM), which is part of the Netherlands Organization for Scientific Research (NWO).