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*critical considerations of methodology, outcomes and translational potential*

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REVIEW ARTICLE



## Chronic early life stress induced by limited bedding and nesting (LBN) material in rodents: critical considerations of methodology, outcomes and translational potential

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### ABSTRACT

The immediate and long-term effects of exposure to early life stress (ELS) have been documented in humans and animal models. Even relatively brief periods of stress during the first 10 days of life in rodents can impact later behavioral regulation and the vulnerability to develop adult pathologies, in particular an impairment of cognitive functions and neurogenesis, but also modified social, emotional, and conditioned fear responses. The development of preclinical models of ELS exposure allows the examination of mechanisms and testing of therapeutic approaches that are not possible in humans. Here, we describe limited bedding and nesting (LBN) procedures, with models that produce altered maternal behavior ranging from fragmentation of care to maltreatment of infants. The purpose of this paper is to discuss important issues related to the implementation of this chronic ELS procedure and to describe some of the most prominent endpoints and consequences, focusing on areas of convergence between laboratories. Effects on the hypothalamic-pituitary adrenal (HPA) axis, gut axis and metabolism are presented in addition to changes in cognitive and emotional functions. Interestingly, recent data have suggested a strong sex difference in some of the reported consequences of the LBN paradigm, with females being more resilient in general than males. As both the chronic and intermittent variants of the LBN procedure have profound consequences on the offspring with minimal external intervention from the investigator, this model is advantageous ecologically and has a large translational potential. In addition to the direct effect of ELS on neurodevelopmental outcomes, exposure to adverse early environments can also have intergenerational impacts on mental health and function in subsequent generation offspring. Thus, advancing our understanding of the effect of ELS on brain and behavioral development is of critical concern for the health and wellbeing of both the current population, and for generations to come.

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## Introduction

Cognitive and emotional disorders can arise from interactions between genes and the environment during sensitive developmental periods (Bale et al., 2010). The early postnatal brain in rodents and humans is not yet mature, and thus the perinatal period represents a sensitive stage of neural development, which organizes both beneficial and deleterious influences from the environment; among these is stress. Indeed, the influences of early-life stress (ELS) on brain development may be both robust and persistent: a relatively brief period of stress occurring during just the first week of life

often has life-long consequences for both brain structure and function, and can ultimately impact behavior and vulnerability to subsequent stress (Bale et al., 2010; Caspi et al., 2003; Chen & Baram, 2016; Everson-Rose, de Leon, Bienias, Wilson, & Evans, 2003; Gluckman & Hanson, 2008; Romeo, Patel, Pham, & So, 2016). Understanding the mechanisms for the enduring consequences of ELS on brain function has been an active area of neuroscience research, because this knowledge is critical for identifying plausible therapeutic strategies and preventive approaches.

There is strong human epidemiological and observational data regarding the critical association between early-life

adversity and stress and later negative cognitive and emotional outcome, though different types of such experiences during different times of development can produce distinct neurobehavioral outcomes (Everson-Rose et al., 2003; Kaplan et al., 2001; Lupien, McEwen, Gunnar, & Heim, 2009). Conditions of early-life adversity such as poverty, loss of a parent, maternal substance abuse or depression, are consistently associated with stress in the young offspring and an increased vulnerability to develop emotional and cognitive problems later in life. Whereas stress-related disorders, including depression, anxiety and post-traumatic stress disorders, seem particularly dependent on the effects of ELS (Caspi et al., 2003; Dalle Molle et al., 2012; Pratchett & Yehuda, 2011), memory and executive functions are also impaired following childhood adversity (Everson-Rose et al., 2003; Kaplan et al., 2001).

Some of the most revealing pieces of evidence for the importance of stressful early life experience on neurobehavioral development arose from studies of institutionally reared children that were randomly assigned to foster care or remained within the institution. These institutionalized children experienced paucity of care during early life, combined with malnutrition, physical and emotional abuse, neglect and greatly reduced experience with an attachment figure. While it is difficult to pinpoint the critical causal factors in their early life experience, these children exhibited later cognitive and emotional impairments, as well as increased vulnerability to psychiatric disorders (Bos et al., 2011; Kumsta, Rutter, Stevens, & Sonuga-Barke, 2010; Nelson, Bos, Gunnar, & Sonuga-Barke, 2011; Nelson et al., 2007; Zeanah et al., 2009). These were only partially reversed by fostering, and only when fostering was introduced early (Gunnar, 2010; Nelson et al., 2007; Tottenham et al., 2011). These findings demonstrate the gravity of the problem and its consequences, as well as the importance of understanding early life perturbations and stress during a critical developmental period.

In view of the major societal impact of ELS, and considering the number of children worldwide growing up under some form of chronic stress, there is a recognized need for research on interventions with translational and clinical potential. Many of the symptoms resulting from ELS may not emerge until later in life (Brunson et al., 2005; Kaplan et al., 2001; Raineke, Cortés, Belnoue, & Sullivan, 2012), making it difficult to identify affected or vulnerable individuals until well past the critical developmental window. Thus, any therapeutic interventions will need to be effective when applied *post hoc*, after the stress has occurred.

Notably, human studies are correlational, not enabling direct causal inferences. In addition, teasing out mechanisms is difficult in humans, with limited access to tissue and to rapid testing of mechanistic hypotheses via interventions. Thus, there has been a recognized need for animal models of ELS. Animal models facilitate mechanistic questions, distinguishing between the roles of genetic and environmental factors and controlling for parameters of interest. They also enable direct access to specific brain regions and the use of neuroanatomical, biochemical and genetic, pharmacogenetic and optogenetic approaches to identify cells, circuits, mediators and

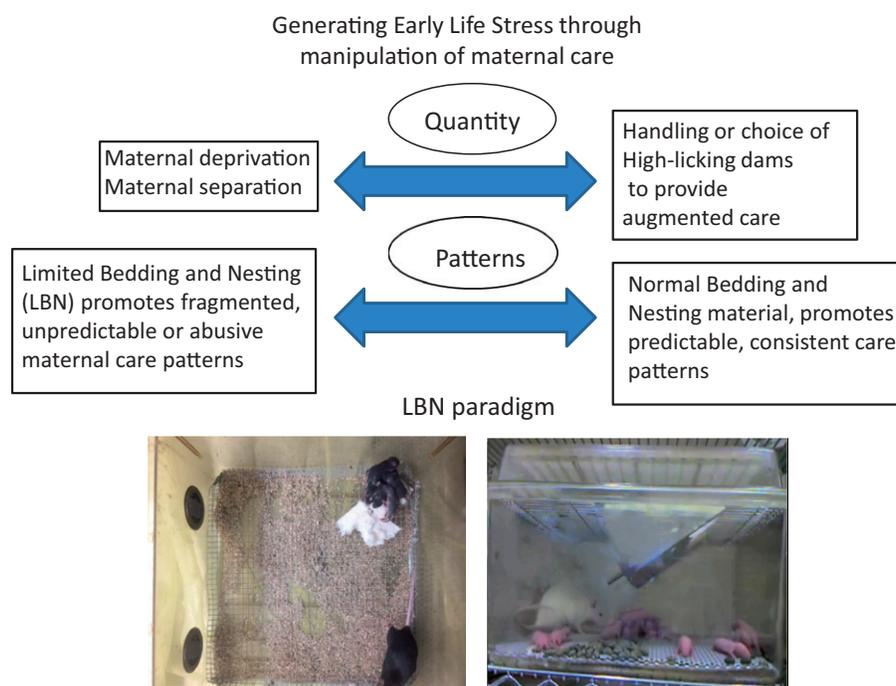
signaling cascades that might contribute to the profound consequences of ELS on outcome.

Note that in this review, we employ the term “early-life stress” to denote early postnatal life, including the day of birth through the time of weaning from the mother (in most rodent species, weaning occurs around postnatal day (PND) 21). The effects of stress during other critical periods can also impact brain development and function, but will not be discussed here: e.g. prenatal (Maccari & Morley-Fletcher, 2007) and adolescence (McCormick & Green, 2013; Tzanoulinou & Sandi, 2017). Whereas it is difficult to compare developmental ages of human and rodent brain as a whole, exhaustive analyses suggest that this might be possible for individual regions and circuits (Clancy et al., 2007). For example, hippocampal development is similar in the full-term human neonate and a 5–7-day-old rodent (Avishai-Eliner, Brunson, Sandman, & Baram, 2002) with the first few PNDs in rodents perhaps corresponding to late gestation in humans. However, the amygdala of a 6–9-month-old human infant might be more similar to the 10-day old rat (Avishai-Eliner et al., 2002; Graham et al., 2016; Sullivan, Landers, Yeaman, & Wilson, 2000). Thus, research in rodents can have translational value provided behavioral and neural development are specifically defined.

### **Historical perspective and development of animal models of postnatal ELS**

Over the past seven decades, several primate and rodent models have been established to manipulate early-life experiences in order to gain a better understanding of the mechanisms by which they impact neurobehavioral development. While the importance of the early life caregiver was acknowledged for centuries, the scientific exploration for understanding links between early life experiences and later-life outcome began in earnest in the 1950s (Bowlby, 1952). Cross talk between different disciplines helped uncover links between disturbed maternal care and/or maternal separation (MS) and disturbed emotional and cognitive functioning (Bowlby, 1952; Harlow & Harlow, 1965).

These observations were initially primarily explored by restricting maternal care, using a paradigm referred to as the MS or the maternal deprivation model. Since this approach can be implemented in diverse species requiring maternal care (avian, rodent, nonhuman primate), the maternal deprivation paradigm has remained popular. Data derived with this model have been invaluable in exponentially increasing our understanding of the links between ELS and later life outcome. However, there has been little standardization of this procedure with respect to time and age of separation, whether pups are kept warm or whether offspring can hear or smell the mother. Thus, the consequence is that this procedure has produced divergent and somewhat contradictory results in terms of neurobehavioral outcomes. Maternal separation models have provided a vast amount of data on the effects of reducing (or at least altering) maternal input on pup development, however, one disadvantage of this model is that repeated or prolonged absence of the mother can induce opposite effects, and that also maternal behavior of the dam is significantly altered after return of her pups. The



**Figure 1.** Examples of preclinical animal models used to induce early life stress through manipulation of maternal care. Some models focus more on generating changes in the quantity of maternal care and sensory stimulation provided to the pups while others might affect more the pattern of maternal care, in particular the limited bedding and nesting (LBN) paradigm discussed here. Examples of LBN conditions are depicted for mouse and rat mothers with their litters kept on a wire mesh with limited nesting material. Left: the view from above for the mouse cage shows the litter being in one corner of the cage (top right) while the mother is off the nest. Right: the rat cage shows the mother being off her pups, and all pups scattered on the wire mesh above the bedding.

magnitude of this compensatory behavioral change is difficult to control experimentally (Huot, Gonzalez, Ladd, Thrivikraman, & Plotsky, 2004). In addition, data from human studies of chronic childhood stress, including war, famine, and neglect/abuse suggest that the mother is typically present, but that her behavior is abnormal. Thus, alternative models which limit experimenter intervention within the cage, yet still alter maternal behavior and sensory stimulation towards the pups may provide additional insights into the processes by which early-life experience, including stress, can influence life-long resilience or vulnerability to neuropsychiatric disorders (Figure 1).

In parallel to the use of sensory deprivation models, research programs also developed to explore how sensory experiences guide neurobehavioral development. This was done through different rearing paradigms, for instance by varying the amount of sensory stimulation provided to artificially reared pups (Belay et al., 2011) or by exposing young animals to experimentally-controlled discrete sensory experiences. Most notable was the work of Myron Hofer, which highlighted the critical importance of the patterning and intensity of maternal stimulation of pups in providing homeostatic regulation of infant behavior and guiding neurobehavioral development (Hofer, 1984, 1996a, 1996b; Shair, Brunelli, Masmela, Boone, & Hofer, 2003). Hence the concept of “hidden regulators” emerged, which states that different stimuli and their patterns control the homeostatic balance in specific systems. Examples of this regulation include the effects of maternal tactile stimulation of pups maintaining high levels of pups’ growth hormone and neural proteins, maternal odor keeping stress hormones low, and warmth controlling

behavioral motor activity levels (Chatterjee et al., 2007; Eghbal-Ahmadi, Avishai-Eliner, Hatalski, & Baram, 1999; Hofer, 1973, 1984; Hostinar, Sullivan, & Gunnar, 2014). The value of a naturalistic approach is that it can explore normal variations in maternal care within an undisturbed situation, i.e. high/low licking mothers or communal nesting (Anacker, O’Donnell, & Meaney, 2014; Blanchard, Summers, & Blanchard, 2013). In addition, it extracts significant aspects of maternal care that are critical for the overall environmental regulation of the epigenome, proteome and behavior (Bagot et al., 2012). More recently, the crucial role of *temporal patterns* of maternal sensory input, and especially their fragmentation and unpredictability, has been demonstrated (Molet, Heins, et al., 2016). This fragmented care can be associated with aberrant pup sensory experiences that can go beyond programming individual differences and initiate pathways to pathology. An example of this can also be found in the human literature where unpredictable and fragmented handling and sensory stimulation provided to preterm infants during the course of intensive care has been associated with numerous adverse outcomes such as hypoxemia (Long, Philip, & Lucey, 1980) and acute heart rate increases (Zahr & Balian, 1995). Thus, minimal handling is a recommended practice for preterm infants (Álvarez et al., 2017; Symington & Pinelli, 2006; VandenBerg, 2007).

Over the past two decades, a new procedure has emerged that focuses on disrupting maternal care beyond normal experience, primarily induced by limiting the dam’s access to sufficient bedding and nesting material. The impoverished cage environment prevents her from constructing a satisfactory nest, which increases basal corticosterone levels in the

dam herself on postpartum day (PPD) 9 (Ivy, Brunson, Sandman, & Baram, 2008). These stressful conditions for the dam alter the pattern and quality of maternal care she displays, resulting in fragmented and sometimes erratic nurturing behaviors (Figure 2). The altered patterns of maternal care, perhaps their unpredictability and fragmentation, induces stress in the pups evidenced by increased plasma glucocorticoid concentrations and by the presence of hypertrophied adrenal glands at the end of this one week stress period, on PND 9 in Sprague Dawley rats and in several wild-type and transgenic mice (Rice, Sandman, Lenjavi, & Baram, 2008; X. D. Wang et al., 2011). The consequences of this form of LS are profound, including a progressive loss of cognitive function and robust derangements of emotional functions later in life in both mouse and rat studies (though variations of the procedure during different times of development can certainly produce distinct neurobehavioral outcomes).

The limited bedding and nesting (LBN) paradigm was initially developed in rats (Brunson et al., 2005; Gilles, Schultz, & Baram, 1996; Ivy et al., 2008), yet has also been successfully adopted by several other groups to provoke chronic stress in mice (Naninck et al., 2015; Rice et al., 2008; X. D. Wang et al., 2011). Whereas the original LBN paradigm involved continuous rearing of pups in this impoverished environment from PND 2 to 9 (with differences in the amount of bedding materials between mice and rats), a variation has been developed over the past decade which involves placement of dam and pups in a less severe impoverished bedding environment from PND 8 to 12 (Moriceau, Shionoya, Jakubs, & Sullivan, 2009; Rainekei et al., 2012, 2015) or intermittently from PND 1 to 7 (Blaze, Scheuing, & Roth, 2013; Roth, Lubin, Funk, & Sweatt, 2009; Roth & Sullivan, 2005). This variation of the LBN model is referred to here as the “Scarcity Model”.

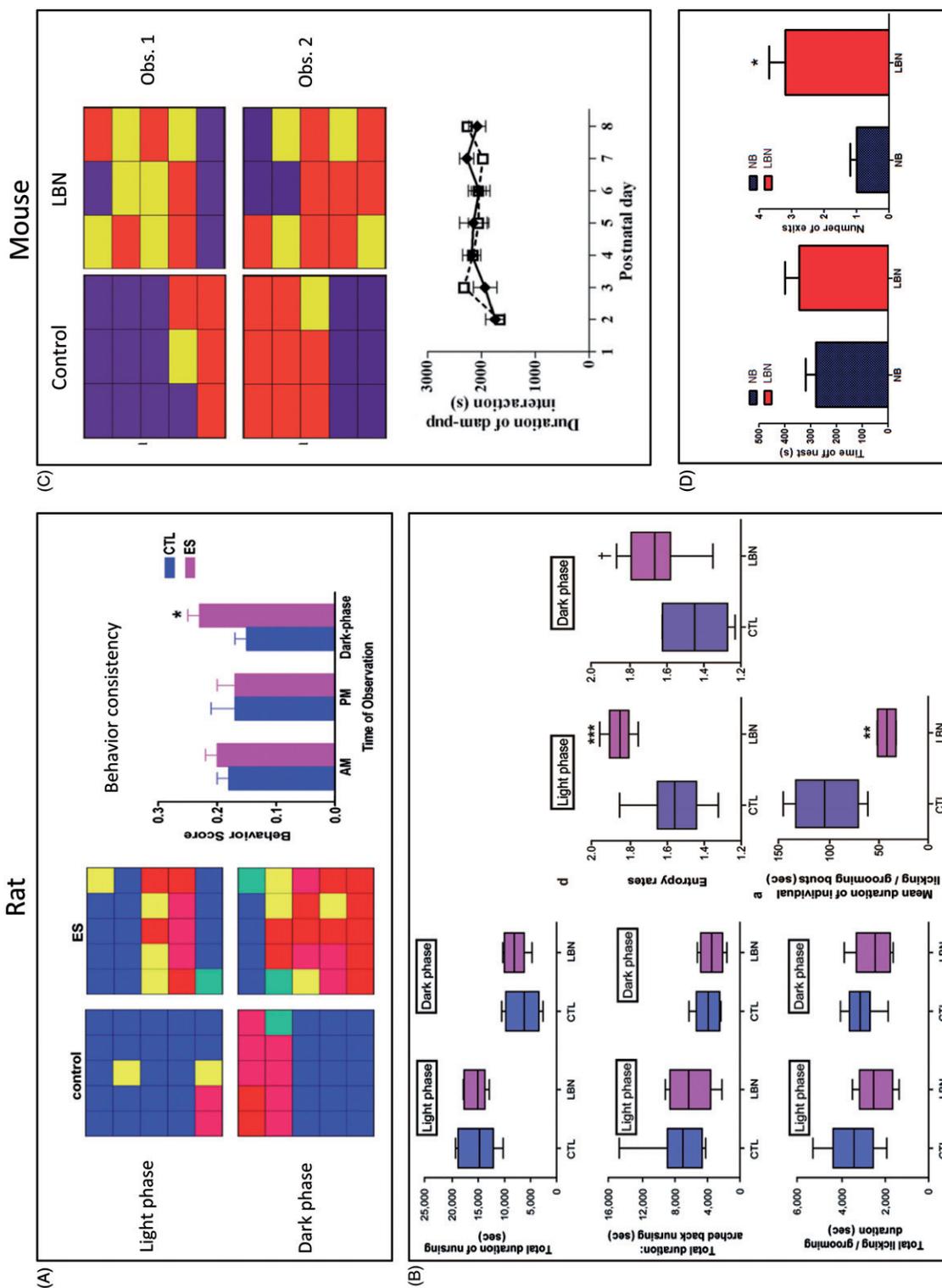
It should be noted that, while this manuscript focuses on pup outcome, a few studies are now emerging on the long lasting effect of the LBN procedure on the mother. For example, the Walker lab found that multiparous mothers having been exposed to LBN during their first lactation period exhibited increased attention together with a significant increase in spine density in the infralimbic prefrontal cortex neurons in a subsequent, second lactation period (Opala, Liu, Long, & Walker, 2016). These results suggest that raising pups in a LBN environment might have long lasting effects on cognitive and attentional abilities of the mother in subsequent reproductive episodes.

### Experimental procedures of limited bedding and nesting paradigms

The main objective of the LBN experimental paradigm is to manipulate characteristics of maternal care while limiting external experimenter interventions. Both continuous and intermittent procedures have been developed to experimentally produce fragmented, unpredictable (chaotic) or adverse maternal care, in an effort to mimic the quality of care that often characterizes depressed, severely stressed, or drug abusing human mothers. One of the more powerful advantages of the LBN paradigm is its flexibility: the disruption of

maternal behavior and the severity of the stress can be manipulated (by varying amounts of nesting material in the cage for instance) to assess correlations between maternal care and outcome. In addition, the age range at which pups experience LBN can be varied to assess sensitive periods. Importantly, the LBN can be used either continuously within the home cage or used for brief, daily epochs to assess duration effects. However, this flexibility can also produce procedural variability between laboratories leading to differential outcomes. Due to differences in animal facilities, the early life history of the females entering the mating procedure and strain differences in rodents, these manipulations can potentially produce very different changes in maternal behavior. For instance, total licking/grooming and nursing time might be equivalent between normal and LBN mothers in the Baram model (Ivy et al., 2008; Molet, Heins, et al., 2016), but mothers in the Scarcity Model show reduced time with the pups and more licking and grooming of pups (Moriceau et al., 2009; Rainekei, Moriceau, & Sullivan, 2010; Rainekei et al., 2012, 2015). Similarly, fragmentation of nursing episodes has been found to be significant by some investigators, but other studies report only non-significant trends towards increased fragmentation. Thus, it is critical that maternal behavior and pup responses to maternal behavior be monitored and the specific LBN procedure used validated before use as well as later. Behavioral observations during the manipulations should be done for at least 30 min a day, but preferably more frequently during the light/dark phase, to ensure that each lab’s standard care of pregnant and lactating mothers produces solid and consistent maternal care which is sufficiently altered by the limited bedding manipulation. Ideally, maternal behavior in each cohort of animals should be assessed both during the light and dark phases of the cycle and during multiple epochs through home cage video recording in order to provide a fine analysis of maternal care and historical observations. Both licking/grooming bout and nursing bout duration should be monitored; the occurrence of milk ejection reflexes could also be important variables to consider in the effect of LBN on body weight gain of the pups as observations have shown that LBN pups tend to have a lower body weight. This also depends on experimental conditions, although changes in nest temperature due to the wire mesh and changes in pup energy expenditure can be important determinants of reduction in body weight in rat pups exposed to LBN in some laboratories (McLaughlin, Verlezza, Gray, Hill, & Walker, 2016) but not others (Molet, Maras, Avishai-Eliner, & Baram, 2014). A less severe intermittent LBN procedure without rearing on a mesh (Scarcity Model) does not produce changes in weight gain (Rainekei et al., 2010, 2012; Rincón-Cortés & Sullivan, 2016; Roth & Sullivan, 2005).

As with any complex early life intervention, multiple aspects of the pup’s environment that are also altered might contribute to the resulting phenotype in addition to changes in maternal care giving behavior. For instance, changes in the amount and pattern of suckling (food intake), temperature regulation and modification in the olfactory cues from the precarious nest might also program several aspects of physiological and behavioral regulation in the long term.



**Figure 2.** Several measures of the quantity and quality of maternal care in rats (left) or mice (right). Left panels: (A) Representative examples of maternal caring activities from one control and one early stress (ES) rat dam, during the light-phase and dark-phase observations, performed on PND 5. Each individual color depicts the predominant behavior during the epoch/square. Blue: nursing; red: away from pups (off pups or out of the nest); yellow: licking and grooming pups; pink: dam eating and drinking, away from pups; green: dam licking and grooming self, away from pups. This graphic representation illustrates the fact that in control dams, each behavior typically lasted for several consecutive 3 min epochs, whereas LBN dams tended to switch behaviors frequently and unpredictably. The behavior of LBN dams ( $n = 7$  per group) was less consistent, with frequent switching from one type of activity to another, during the dark, high activity portion of the diurnal cycle. This erratic behavior is reflected by a higher behavior score, which denotes increased numbers of initiating a new behavior during consecutive observation epochs. Adapted from Ivy et al. (2008) with permission. (B) Several measures of the quantity and quality of maternal care do not distinguish dams in routine cage environment (CTL) from those in limited bedding/nesting (LBN) cages. Total duration of nursing and arched-back nursing, considered a measure of optimal quality of maternal care, was similar between groups. Duration of time spent licking/grooming pups was comparable in CTL and LBN dams (all  $p > .05$ , Student's  $t$ -tests). When separate analyses were performed for nurturing behaviors during the light phase or the dark phase, nursing, arched-back nursing and licking/grooming times did not differ between groups (all  $p > .05$ , Bonferroni's *post hoc* test). Values are provided in seconds, and are sum of observations over two 50-min periods per day for eight days ( $n = 6$  per group). Data presented as box and whisker plot show the 10th and 90th percentiles. Horizontal bars represent mean values. Black asterisks denote statistical significance using a linear model, \*\*\* $p < .001$ , \*\* $p < .01$ , \* $p < .05$ . CTL: control. Adapted from: Molet, Heins, et al. (2016) with permission. (C) Representative activity grids of control and LBN mouse dams, during two matched observation periods. Each grid depicts one dam's activity during 15 1-min epochs, and individual panels are color coded to represent the dam's location/activity during that epoch. Blue: dam in nest in contact with pups for the total epoch; red: outside nest area; yellow: a mixed epoch. The consistency of control dam behavior is contrasted with the fragmented pattern in the LBN dam. Duration of dam-pup interaction (measured as time spent by each dam in contact with pups within the nest area) is similar for LBN ( $n = 6$ ) and control ( $n = 12$ ) dams between PND 2 and 8. Adapted from: Rice et al. (2008) with permission. (D) Parameters of maternal care in mice averaged over observations from PND 2 to PND 9 in control, normal bedding (NB) and LBN conditions ( $n = 7$  dams/condition). No pups were observed out of the nest in the control condition. Values are means  $\pm$  SEM. \* $p < .05$  compared to NB. Adapted from: Arp et al. (2016). See online version for colors.

**Table 1.** Setting up the limited bedding/nesting (LBN) paradigm in rats and mice (Baram lab).

Day	Procedure
Time-pregnancy	Order time-pregnant females from your vendor or arrange to breed females in-house. To limit the effects of previous experience on the dam's maternal behavior and response to stress, always use virgin naïve females. Minimize disturbances and other stress sources throughout pregnancy. Check for births at least twice daily on the days surrounding expected parturition; at least two dams will give birth within the same 10–12 hour period to mix the pups across litters on the day of manipulation.
Postnatal day 2	<i>Prepare limited bedding and nesting cages:</i> Start with clean, empty standard housing cages. Position a fine-gauge, plastic-coated mesh platform to sit approximately 2.5 cm above the cage floor. Folding edges of mesh along the length approximately 3 cm so that platform sits above the bottom of the cage, permitting droppings to fall below the platform without trapping the pups. (Plastic-coated aluminum mesh dimensions: 0.4 × 0.9 cm. McNichols Co., Tampa, FL catalog no. 4700313244). Cover cage floor with a small amount of standard bedding (0.1 cubic feet). This should not reach the top of the plastic mesh. Provide control cages with 6000 ml of corn or wood-chip bedding. Provide a limited amount of nesting material. For rats, add one-half of a single paper towel to cage. For mice, add one-half of a single NESTLET square (Ancare, Bellmore, NY). <i>Limited bedding/nesting manipulation:</i> To minimize genetic factors, pups from several dams are mixed and matched and assigned to ELS or control dams at random. For each litter, quickly and gently remove <i>all</i> pups from the home cage; identify the sex of each pup (using anogenital distance) and place males and females into separate, euthermic holding cages. Repeat for each litter, keeping separate holding cages for male and female pups. Once all litters are removed and sorted, randomly assign dams to the control or limited bedding/nesting conditions. Standard cage: place dam into fresh, clean standard cage (with normal amounts of bedding and nesting material). Randomly transfer pups from the male and female holding cages to control cage with the dam. Limited bedding/nesting cages: place dam into cage with mesh platform and limited bedding and nesting material. Randomly transfer pups from the male and female holding cages to the experimental cage with the dam. Note: Litter size: because it influences both pup weight and hence maturation of the brain, as well as maternal behavior, we typically have 4–6 pups per mouse litter and 10–12 per rat litter. Sex ratio should be approximately 1:1. Counter-balance the order in which you replace pups with the dams between control and limited LBN conditions to limit differences in the total duration pups are separated.
Postnatal day 2 to postnatal day 9	Leave control and limited bedding/nesting cages undisturbed (and unchanged) until postnatal day 9. Observe maternal behavior during that period at least twice daily, as described in Ivy et al. (2008). Alternatively, video behavior. Consider looking at continuous behaviors and patterns of care over 60–90 min
Postnatal day 10	In the morning, change all cages (control and limited bedding/nesting) to fresh, standard cages with normal bedding and nesting material.
Postnatal day 21	Wean animals from dam. House same-sex litter-mates in the same cage.

From: Molet et al. (2014) (with permission).

### **Limited bedding and nesting using a mesh platform (Baram lab paradigm, used in many laboratories worldwide)**

As devised originally in the Baram laboratory, the onset of the LBN procedure is on PND 2 in order to reduce mortality and cannibalism. Primiparous mothers older than 75 days are used with minimal variance. Rat or mouse pups from several litters are mixed among dams and those assigned to the LBN groups are transferred to cages with LBN material (Gilles et al., 1996; Molet et al., 2014) (Table 1). Specifically, the cages are fitted with a plastic coated aluminum mesh platform to sit approximately 2.5 cm above the cage floor. Bedding, which is placed under the mesh floor, is reduced to only cover the cage floor sparsely, and one-half of a single paper towel is provided for nesting material. The impoverished cage environment prevents the dam from constructing a satisfactory nest which might be dispersed. This leads to significant alterations in the pattern of care that manifest as fragmented and erratic nurturing behaviors, i.e. shortened bouts of each nurturing behavior and frequent shifts between different behaviors and unpredictable sequence of behaviors

(Figure 2, right panels) (Ivy et al., 2008; Molet, Heins, et al., 2016; Rice et al., 2008). Detailed analysis of maternal behavior revealed little or no change in the overall duration of maternal care or of specific aspects of care (licking and grooming, nursing, Figure 2 left panels) (Ivy et al., 2008; Molet et al., 2014). However, in both mice and rats, maternal care is fragmented and unpredictable: each nurturing behavior is shorter in duration and often interrupted, and the sequence of nurturing behavior is unpredictable (Baram et al., 2012; Rice et al., 2008). Interestingly, a hallmark of maternal behavior in any neglect/abuse situation is its unpredictable and fragmented quality (Gaudin, Polansky, Kilpatrick, & Shilton, 1996; Whipple & Webster-Stratton, 1991). It is suggested that the disrupted maternal care is a main source of chronic ELS in the pups, but changes in thermoregulation and or feeding patterns might also significantly affect the pups. When dams and pups are returned to normal bedding/nesting cages after PND 9, maternal behavior returns to normal within hours, and stress hormone levels in the pups are reduced (Ivy et al., 2008). A detailed description of this paradigm has been published recently for Sprague-Dawley, Long-Evans and Wistar strains of rats (Molet et al., 2014).

In the Baram laboratory, cages are kept in rooms with strong laminar flow, minimizing the accumulation of ammonia. Lacking this, in some laboratories, the animal ethics committee requires that the bedding placed under the mesh is changed at least once during the stress period in order to lower exposure of the animals to urine-derived ammonia. The degree of bedding restriction and use of a wire mesh floor (though plastic-coated) has also been a point of concern for some laboratories, which have modified protocols to use a plastic grid providing greater comfort to the dam.

There has been reasonable consensus between laboratories regarding the implementation of this manipulation with a few instances in which the paradigm has been modified. For instance, in some laboratories, the plastic mesh has been replaced by a wire mesh for strength and ease of disinfection. This might contribute to the reduction in pup weight during ELS because of a significant temperature difference between plastic and metal mesh. For implementation of this model in mice (described in Rice et al., 2008), access to a fraction of a normal nestlet (little nest) is typically provided. In the Baram laboratory, the degree of limitation has been varied in the mouse model, and significant effects on somatic measures can be observed as a consequence of the degree of limitation of nesting materials. Complete or significant loss (less than 1/3) of a nestlet, significantly increases the risk of mortality, and leads to profound changes in weight gain of the young. Moderating the restriction to 1/2 or 2/3 of a nestlet, diminishes the effects of the stress on somatic outcomes (Rice et al., 2008). The majority of laboratories currently use 1/2 of a standard nestlet (e.g. 2.5 × 5 cm cotton nestlet) per mouse dam. In the rat, there have been some instances when the mother has been hoarding food pellets and feces in order to compensate for the deficient nesting material.

A small number of laboratories using mice have also made modifications to the timing of maternal bedding restriction. Bath et al. chose to move the bedding and nesting restriction paradigm during the PND 4–11 period. This was in part to map onto other neurodevelopmental studies of early life pharmacological exposure, but also to decrease the risk for pup cannibalism by performing stress manipulation later (Bath, Manzano-Nieves, & Goodwill, 2016). With the change in timing, Bath et al. observed similar outcomes on the weight gain and corticosterone measures, to what has been described by Baram and other laboratories.

### ***Scarcity Model: LBN in the absence of mesh (used in the Sullivan, Weinberg, and Roth laboratories)***

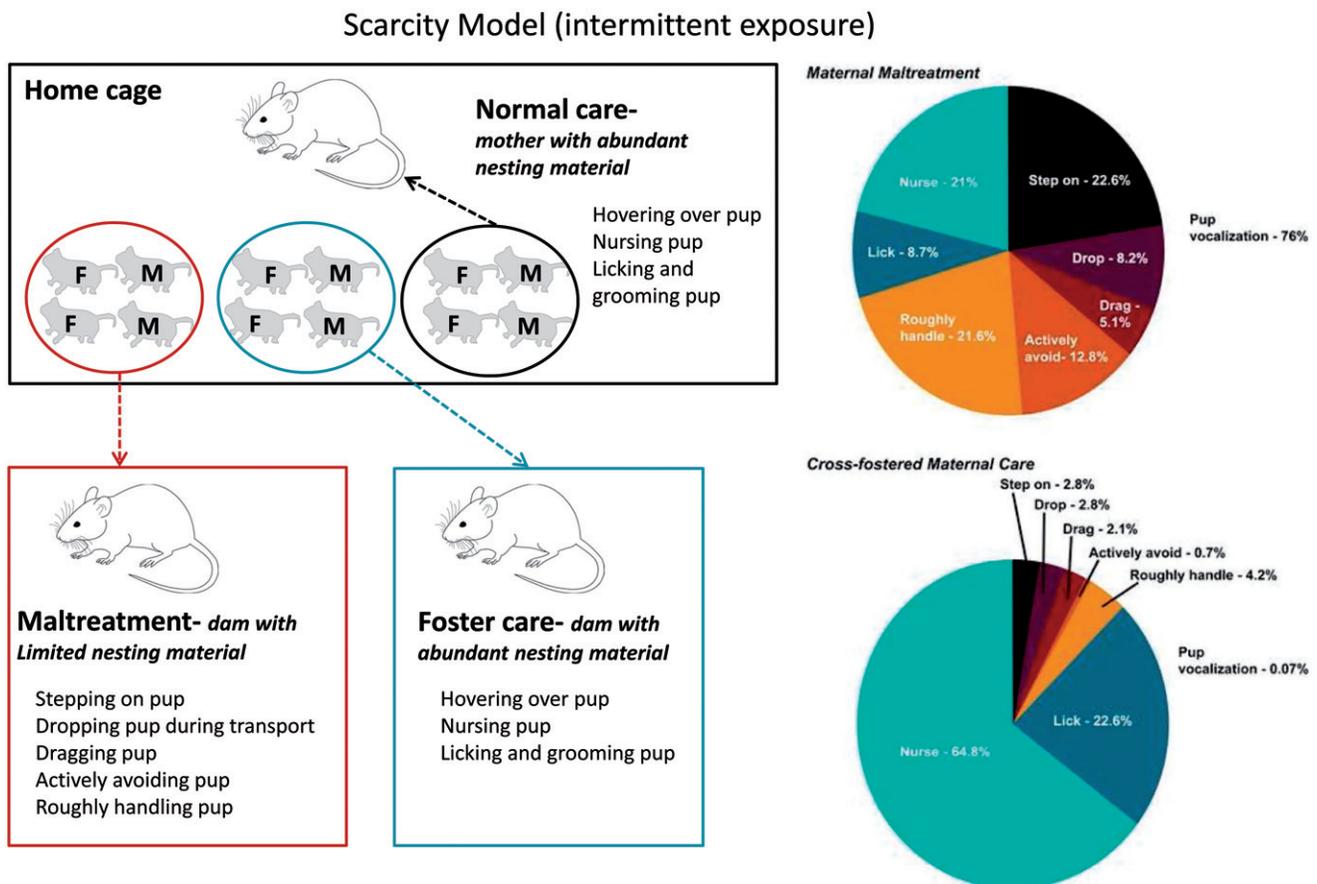
A paradigm similar to the LBN procedure described above, called the Scarcity Model, was developed by Roth and Sullivan as a means to produce a mother that handled pups roughly to explore infant attachment learning. Most species learn to attach to their caregiver regardless of nurturing or maltreatment during caregiving (Rainekei et al., 2010; Roth & Sullivan, 2005). This procedure has been used for continuous exposure over different time intervals to explore the enduring impact of critical periods of maltreatment on neurobehavioral development, i.e. PND 2–9, 1–7, 3–8, 8–12, or 10–14, with the greatest impact on neurobehavioral development if begun

during the sensitive period for attachment, which ends on PND 10. This paradigm also uses reduced bedding but does not incorporate the wire mesh floor. Since there is limited bedding to absorb excrement, this bedding needs to be cleaned about every second or third day, depending on the age of the pups during the manipulation. Control litters have a similar cage cleaning schedule. In this design, only multiparous mothers are used and mothers are rebred three to four times, although the assignment of LBN mother remains consistent. The use of multiparous females for LBN experiments is preferred for increased consistency of maternal care compared to primiparous mothers, although primiparous mothers produce similar results (Rincón-Cortés & Sullivan, 2016).

In the Sullivan laboratory, the Long–Evans rat mother and her pups (typically six males and six females) are housed with limited (100 ml, 1–2 cm layer) nesting/bedding material compared to control mothers with abundant (5–7 cm layer) nesting/bedding material, which allows the mother to build a nest (the amount of bedding required to build a nest will differ based on the type of bedding used by the animal facility). The limited bedding environment decreases mothers' ability to construct a nest, which results in frequent attempted nest building, spending more time away from the nest and pups, rough handling, and stepping on pups. Consequently, pups spend less time being nursed and have increased distress vocalizations. Importantly, this intermittent aversive rearing paradigm does not alter body weight but increases basal corticosterone levels (e.g. at PND 9 and 13) (Moriceau et al., 2009; Rainekei et al., 2010, 2012, 2015). Conversely, for the control group, providing abundant nest/bedding materials permits the mother to build a nest and to spend most of her time (~75%) inside the nest caring for pups.

In this paradigm, rough handling of the pups by the dam (i.e. stepping or jumping on pups, mother aggressively grooms pups, transports pups by gripping a limb) represents a strong element of maternal behavior (Moriceau et al., 2009; Rainekei et al., 2010, 2012) that is less often reported in the LBN conditions described above.

The Scarcity Model paradigm has also been adapted as an intermittent variant using a brief daily procedure (30 min) of transferring the mother and her litter outside of the home cage for seven days (PND 1–7), as in the Roth laboratory (Blaze, Asok, & Roth, 2015; Blaze et al., 2013; Doherty, Forster, & Roth, 2016; Roth et al., 2009; Roth, Matt, Chen, & Blaze, 2014). This daily manipulation allows for a powerful within-litter experimental design (depicted in Figure 3) that uniquely controls the amount of time pups are exposed to an LBN impoverished environment with aberrant maternal care, and eliminates the potential confounding effects of nutritional consequences or weight-gain differences between groups as pups are housed under standard rearing conditions (thus receiving normal maternal care) for the majority of the day (Blaze et al., 2013, 2015; Doherty et al., 2016; Roth et al., 2009, 2014). In this intermittent version of the Scarcity Model, Long–Evans rat pups (two males, two females) are removed from the home cage and exposed to a dam that has been placed in an unfamiliar environment with limited bedding resources (which is referred to as the maltreatment condition).



**Figure 3.** Left panel: schematic of the intermittent variation of the LBN paradigm. Utilizing a within-litter design, rat or mouse pups are repeatedly exposed for 30 minutes daily to either dams with insufficient woodchip bedding (maltreatment condition) or dams with copious amounts of woodchip bedding (foster care condition). Additional littermates are left inside the home cage (enriched with nesting material), providing normal care controls. M: male; F: female. Right panel: qualitative assessment of the percent occurrence of pup-directed behaviors in the maltreatment condition in rats (Scarcity Model) indicates that pups experienced predominantly abusive behaviors, which resulted in considerable audible pup vocalization. In sharp contrast, pups experienced significant amounts of normal maternal care behaviors in the cross-fostered maternal care condition. Pie charts represent an average of behaviors across all dams in each condition ( $n = 15\text{--}20$  dams/condition). From: T. L. Roth et al. (2009) with permission.

The combination of an unfamiliar dam not allowed to habituate to the experimental chamber prior to receiving pups, and impoverished environment is sufficient to induce caretakers to display an increased repertoire of abusive and potentially harmful behaviors such as stepping on, dropping, dragging, actively avoiding, or rough handling of the pups. From the same litter and at the same time, two males and two females are removed from the home cage and exposed to a dam that is in a familiar environment with copious amounts of bedding (referred to as the foster care condition). The combination of a familiar (dams are allowed to habituate to the experimental chamber at least one hour prior to receiving pups) and resourceful environment ensures that caretakers display a normal repertoire of nurturing behaviors. Since rat pups do not distinguish between their mother and another dam if they are both fed the same diet and matched for the same postpartum period (Leon, 1975), foster dams are always matched for postpartum age and diet to the biological mother. Each exposure session is for 30 minutes, and an additional control group (referred to as the normal care condition) is run where the remaining littermates (two males, two females) are left with the biological mother in the home cage during the 30-minute sessions. After exposures, all pups are returned to the biological mother until the following

day's session. Stimulus dams (maltreatment and foster care) are also reunited with their biological litters immediately after each exposure session. Exposure sessions occur under low light (red) during the light phase, and are conducted at different, unpredictable times every day from PND 1 to 7 using multiple dams (i.e. for a given litter, three stimulus dams are typically used across the seven days for each condition). No first-time mothers are ever used to generate experimental litters or to serve as stimulus caregivers, and animals are derived from an in-house breeding colony.

Caregiving behaviors are scored via live observation and/or video recordings of 30 min sessions and using categories of behaviors (nurturing/abusive) within each five-minute time-bin across each 30-minute session. Percent occurrence scores of individual types of behaviors (i.e. pup licking or rough handling) or category of behavior (nurturing or abusive) are then averaged across the seven exposure days. Dams in the normal and foster care conditions are typically observed displaying copious amounts (at least 70% occurrence) of nurturing behaviors towards pups (Blaze et al., 2013, 2015; Roth et al., 2014) while those in the LBN condition more commonly (at least 50% occurrence) display abusive behaviors (Blaze et al., 2013, 2015; Doherty et al., 2016; Roth & Sullivan, 2005; Roth et al.,

2014). Ultrasonic (40 kHz – a distress frequency in rat pups) and audible vocalizations from pups are also scored each minute within a 30-minute session across seven exposure sessions. Vocalization data indicate that pups respond differentially to the caregiving conditions, as they emit significantly more audible (around 50% occurrence) and ultrasonic distress (around 80% occurrence) vocalizations in the scarce environment than in the control environment (Blaze et al., 2013, 2015; Doherty et al., 2016; Roth et al., 2014).

### Proximal (neonatal) and distal (adult) phenotypes resulting from the LBN procedures

The rapid and growing expansion in the number of studies using the LBN paradigms across different laboratories has allowed documenting and understanding several of the mechanisms underlying the phenotypes resulting from these ELS paradigms. There is considerable convergence in either the intermittent or continuous LBN paradigms to impact neurobehavioral development. Published studies document changes in many central and peripheral systems in the early postnatal, preweaning, and adolescence periods and in adulthood with an overall phenotype of impaired cognitive functions, increased anxiety and anhedonia, as well as altered adipose tissue metabolism, maladaptive nutrition and gut function. Adult offspring from LBN mothers are more vulnerable to the development of stress-related dysfunctions, closely mimicking the exposure to a chronically stressful early environment in children. The effect of LBN might even be observed in ageing since overall survival, amyloid processing and Alzheimer pathology have been reported to be altered after LBN and adult stress in Alzheimer mouse models (Hoeijmakers et al., 2017; Lesuis et al., 2016, 2017). These effects of LBN may, at least in part involve inflammatory changes (Brunson et al., 2005; Green, Billings, Roozendaal, McGaugh, & LaFerla, 2006; Hoeijmakers, Lucassen, & Korosi, 2015; Hoeijmakers et al., 2017; Lesuis et al., 2016).

Fortunately, despite the large body of evidence pointing towards an increased vulnerability in the LBN offspring, there are some aspects of altered regulation that are more amenable to interventions and resilience, which is now the focus of many studies. In this section, we will review broad categories of documented phenotypes, with some contrasting results from different laboratories in order to illustrate some of the strengths and challenges that can arise from the use of these paradigms (see Tables 2 and 3). Thus this section is not intended to represent an exhaustive review of the literature, but to outline the usefulness of the LBN paradigms to enable us to better understand the important early life events in defining both the proximal and enduring effects on neurobehavioral outcome. Importantly, careful consideration of the type, age at manipulation and the duration of the LBN manipulation can produce divergent results, which highlights the critical importance of defining variations in the LBN manipulation to better understand how specific perturbations, during specific critical periods impacts neurobehavioral development. We also highlight some directions for the use

of these LBN paradigms that are promising for future research.

### Regulation of the hypothalamic pituitary adrenal (HPA) axis and stress responses

Since the 1950s, extensive literature on early life manipulations has indicated that most rodent and nonhuman primate developmental perturbation paradigms impact HPA development and its regulation throughout the lifespan. Activity in the HPA axis has been one of the first outcomes documented for the LBN paradigms in order to validate these models as inducing stress in the offspring (and the mother). The initial studies describing the LBN paradigm in the Baram and Sullivan laboratories both reported elevated basal plasma corticosterone (Molet et al., 2014; Rainekei et al., 2010), although other groups have failed to observe significant differences (McLaughlin et al., 2016) or reported a decrease (Moussaoui et al., 2017) in basal corticosterone levels in PND 10 neonates (Figure 4, left panels). The Baram laboratory has conducted the most extensive assessment of the HPA axis and found adrenal hypertrophy in the LBN Sprague Dawley or Long-Evans rat pups that is apparent already at the end of the stress (PND 9), and disappears later in adulthood (Avishai-Eliner, Gilles, Eghbal-Ahmadi, Bar-El, & Baram, 2001; Brunson et al., 2005; Gilles et al., 1996; Ivy et al., 2008) (Figure 4, right panels). Elevated plasma levels of corticosterone have also been observed in LBN mice on PND 9 and in adulthood (Rice et al., 2008). In addition, increased glutamatergic innervation of corticotropin releasing hormone (CRH)-expressing, stress-sensitive hypothalamic neurons has been reported on PND 18–26 mice subjected to the LBN procedure (Gunn et al., 2013) as a result of reduced neurosteroid inhibition on these neurons. Thus, exposure to LBN promotes rapid plasticity at the level of the CRH system already during the neonatal stress period, which might contribute to long-lasting consequences.

Other laboratories have found that the LBN procedure in either Sprague-Dawley or Wistar rats alters the development of the HPA axis in a time and sex-dependent manner as shown by a significant reduction of basal corticosterone plasma levels and adrenal gland weight in both male and female Wistar pups at PND 10 (Moussaoui et al., 2016). In a careful assessment of the developmental trajectory of HPA development, it was found that at PND 21, LBN pups exhibited increased basal plasma corticosterone levels compared to same sex controls with increased hypercorticosteronemia in females compared to males (147% versus 67% higher, Figure 4) (Moussaoui et al., 2017), reminiscent of the exaggerated Fos response in the paraventricular nucleus of the hypothalamus observed in pre-adolescent rats after repeated restraint stress (Romeo et al., 2016). This study found that the alteration of the HPA axis is specific to the chronic stress exposure of LBN from PND 2 to 10 as pups subjected to brief maternal separation (BMS; 15 min/day) during the same time period did not exhibit changes in basal plasma corticosterone levels at either PND 10 (Moussaoui et al., 2016) or PND 21 (Moussaoui et al., 2017). The lack of effect of LBN on basal ACTH and corticosterone levels was also observed in PND 10 Sprague-Dawley pups (McLaughlin et al., 2016), but this was

Table 2. Major outcomes provoked by the limited bedding/nesting (LBN) or scarcity chronic early-life stress paradigm in rats.

ELS period	Sex	Strain	Acute/long term	Outcomes	References
<b>PND</b>					
<i>Stress system perturbations</i>					
2–9 (LBN)	Both	Sprague-Dawley	PND 9	Elevated basal CORT levels, higher adrenal weights	Gilles et al. (1996) Avishai-Elmer et al. (2001), Brunson et al. (2005)
1–10 (LBN)	Both	Sprague-Dawley	PND 10	No change in basal ACTH or CORT concentrations, Reduced ACTH and CORT response to immobilization stress	McLaughlin et al. (2016)
2–10 (LBN)	Both	Wistar	PND 10	Reduced basal CORT and adrenal weight	Moussaoui et al. (2016a)
2–9 (LBN)	Both	Wistar	PND 21	Increased basal CORT ( $F > M$ )	Moussaoui et al. (2016)
	Both	Sprague-Dawley	PND 9	Reduced CRH mRNA expression in the PVN and CRF1 mRNA expression in CA1 and dentate gyrus.	Avishai-Elmer et al. (2001)
				Reduced CRH receptor binding capacities in pituitary.	
				Reduced GR gene expression in the PVN and frontal cortex.	
3–8 (scarcity)	Both	Long-Evans	PND 8	Elevated basal CORT levels.	Raineki et al. (2010)
<i>Cognitive and emotional functions</i>					
1–7 (scarcity)	Both	Long-Evans	PND 7	Attachment learning deficits.	Moriceau et al. (2009)
3–8 (scarcity)	Both	Long-Evans	PND 8	Disrupted social attachment behaviors	Raineki et al. (2010)
1–7 (scarcity)	Both	Long-Evans	PND 90	Aberrant maternal behavior	Roth et al. (2009)
8–12 (scarcity)	Both	Long-Evans	PND 20 and 45 PND 45 and 75	Impaired social behaviors. Depressive-like behaviors in FST test.	Raineki et al. (2012) Rincón-Cortés and Sullivan (2016)
2–9 (LBN)	Male	Wistar	PND 60	Anxiety-like behaviors in EPM test.	Dalle Molle et al. (2012)
2–9 (LBN)	Male	Sprague-Dawley	10–12 months	Memory deficits in MWM and NOR tests.	Brunson et al. (2005)
2–9 (LBN)	Male	Sprague-Dawley	10 weeks	No anxiety-like behavior in EPM test Increased anxiety in EPM No memory deficit in NOR test.	Ivy et al. (2010) Maniam et al. (2016)
<i>Brain changes</i>					
1–7 (scarcity)	Both	Long-Evans	PND 7	Amygdala-locus coeruleus-olfactory bulb network perturbations.	Moriceau et al. (2009)
3–8 (scarcity)	Both	Long-Evans	PND 8	Enhanced amygdala neural activity in mother-pup interaction test.	Raineki et al. (2010)
1–7 (scarcity)	Both	Long-Evans	PND 90	Sex- and brain-region specific epigenetic alterations and changes in telomere length	Asok et al. (2014) Blaze et al. (2013, 2015) Roth et al. (2009, 2014) Raineki et al. (2012)
8–12 (scarcity)	Both	Long-Evans	PND 45	Enhanced amygdala neural activity following FST.	Rincón-Cortés and Sullivan (2016)
8–12 (scarcity)	Both	Long-Evans	PND 20–22 and 42–48	Blunted neural activity in amygdala, mPFC and NAC following social interaction.	
8–12 (scarcity)	Male	Long-Evans	PND 45 and 60	Altered amygdala-PFC functional connectivity	Yan et al. (2017)
2–9 (LBN)	Both	Wistar	PND 60	Elevated plasma BDNF levels.	Dalle Molle et al. (2012)
2–9 (LBN)	Male	Sprague-Dawley	10–12 months	Dendritic atrophy of CA1 pyramidal cells and mossy fiber expansion in CA3. Synaptic plasticity defects in CA3 and CA1 associated with physiological abnormalities in CA3.	Brunson et al. (2005) Ivy et al. (2010)
2–9 (LBN)	Both	Sprague-Dawley	PND 9	Augmented CRH expression in the hippocampus. Reduced CRH mRNA expression in the PVN and CRF1 mRNA expression in CA1 and dentate gyrus.	Avishai-Elmer et al. (2001)

(continued)

Table 2. Continued

ELS period	Sex	Strain	Acute/long term	Outcomes	References
1–10 (LBN)	Male	Sprague-Dawley	PND 10 and 20 PND 70–90	Reduced CRH receptor binding capacities in pituitaries. Reduced GR gene expression in the PVN and frontal cortex. Increased spine density in BLA Increased evoked synaptic function (fEPSP) in BLA	Guadagno et al. (2017)
2–9 (LBN)	Male	Sprague-Dawley	13 weeks	Increased conditioned fear, anxiety Reduced hippocampal expression of genes involved in mitochondrial biogenesis, energy metabolism, neurogenesis	Maniam et al. (2016)
2–9 (LBN)	Female	Sprague-Dawley	15 weeks	Reduced GR, NeuroD, Akt3 1 gene expression in hippocampus	Maniam et al. (2016)
<i>Brain-gut axis and metabolism</i>					
2–10 (LBN)	Both	Wistar	PND 10	Increased gastrointestinal permeability to FD4 (M only)	Moussaoui et al. (2016)
			PND 21	Increased gastrointestinal permeability to FD4 (F > M)	Moussaoui et al. (2016)
			PND 21	Fecal microbiota alterations (increased abundance of Gram positive cocci and reduction of fiber-degrading, butyrate-producing and mucous-resident microbes)	Moussaoui et al. (2016)
2–9 (LBN)	Both	Wistar	PND 77–84	Increased visceral sensitivity to CRD (M > F)	Guo et al. (2015), Holschneider et al. (2016)
2–9 (LBN)	Both	Sprague-Dawley	PND 90–120	Increased visceral sensitivity to CRD (M)	Prusator and Meereld (2015)

ACTH: adrenocorticotropin hormone; BDNF: brain-derived neurotrophic factor; BLA: basolateral amygdala; CORT: corticosterone; CRD: colorectal distension; CRH: corticotropin releasing hormone; CRF1: CRH receptor 1; EPM: elevated plus maze; FD4: FITC-dextran 4.4 kDa; FST: forced swim test; fEPSP: field excitatory postsynaptic potentials; GR: glucocorticoid receptor; LBN: limited bedding and nesting; mPFC: medial prefrontal cortex; MWM: Morris water maze; Nac: nucleus accumbens; NOR: novel object recognition; PND: postnatal day; PVN: paraventricular nucleus of hypothalamus.  
Based on: Molet et al. (2014) with updates.

**Table 3.** Major outcomes provoked by the limited bedding/nesting paradigm of chronic early-life stress (ELS) in mice.

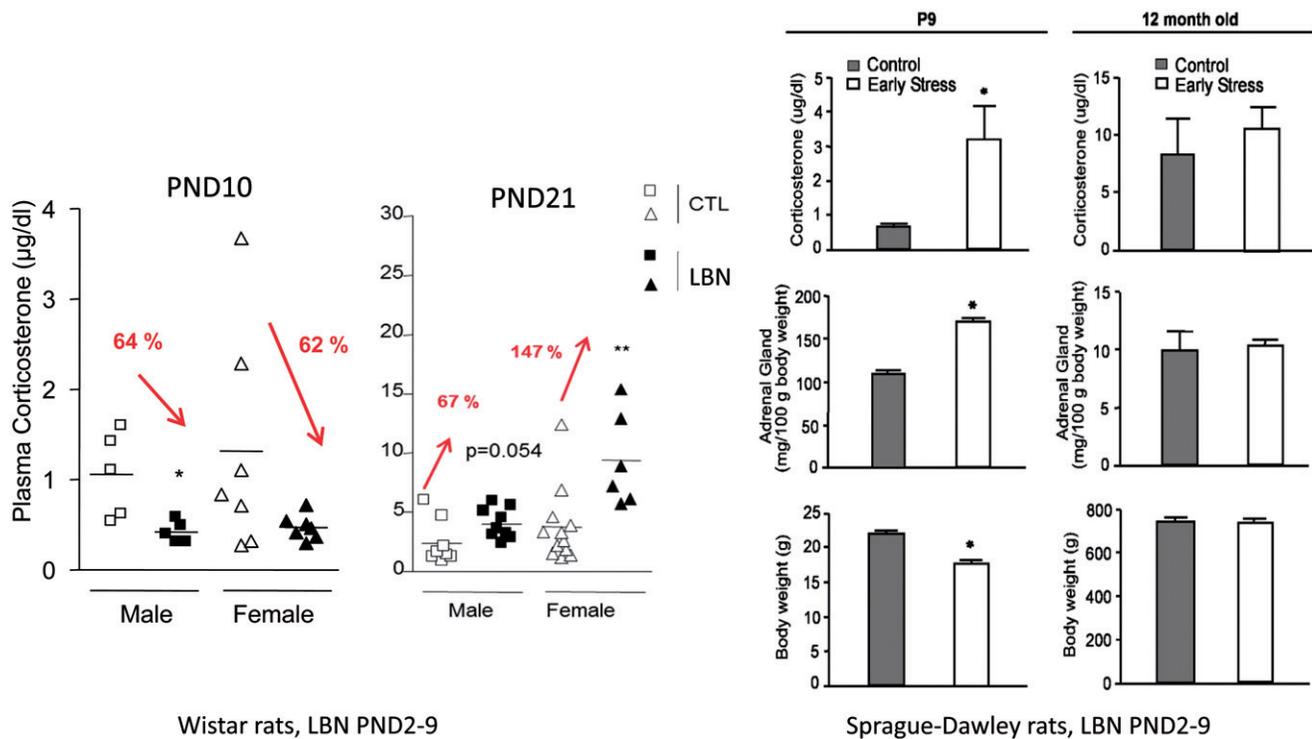
ELS period (PND)	Sex	Strain	Acute/long term	Outcomes	References
<i>Stress system perturbations</i>					
2–9	Both	C57BL/6J	PND 9 and 4–8 months	Elevated basal corticosterone concentrations.	Rice et al. (2008)
2–9	Both	C57BL/6J	PND 9, 21, 28, and 120	Reduced body weight at PND 9, 21	Arp et al. (2016)
4–11	Male	C57BL/6N	PND 8, 12, 16, 21, 28, 38, 50, and 75	Increased CORT at PND 120 in males, reduced in females Elevated corticosterone at PND 16, diminished brain MR and GR at PND 12	Bath et al. (2016)
<i>Cognitive and emotional functions</i>					
2–9	Both	C57BL/6J	PND 21, 29, and 63	Enhanced anxiety-like behaviors in the novelty-induced hypophagia paradigm.	Malter Cohen et al. (2013)
2–9	Male	129S2/Sv × C57BL/6J	3 months	Enhanced anxiety-like behaviors in OF and light-dark box tests.	Wang et al. (2012)
2–9	Male	C57BL/6J	4–8 months	Memory impairments in MWM and NOR tests	Rice et al. (2008)
2–9	Male	129S2/Sv × C57BL/6J	6 months	No anxiety-like behavior in OF test.	Wang et al. (2011)
2–9	Both	C57BL/6J	3–4 months	Memory impairments in MWM and Y-maze tests. No recognition of “safe” periods after fear learning; impaired spatial memory in males	Arp et al. (2016), Kanatsou et al. (2017)
4–11	Both	C57BL/6N	PND 21, 28, 38, 50, and 75	Memory impairment across development in males	Bath et al. (2017)
4–11	Male	C57BL/6N	PND 8, 12, 16, 21, 28, 38, 50, and 75	Memory impairment at PND 21 and PND 38 in females Accelerated development of contextual fear inhibition	Bath et al. (2016)
<i>Brain changes</i>					
2–9	Both	C57BL/6J	PND 9	Reduced CRH mRNA expression in the PVN.	Rice et al. (2008)
2–9	Both	C57BL/6J × 129Sv-SvJ	PND 18–26	Upregulation of CRH expression in the PVN Astrocytic glutamate reuptake impairments and enhanced glutamatergic drive onto dorsal-medial neurons of the hypothalamus.	Gunn et al. (2013)
2–9	Both	C57BL/6J × 129Sv-SvJ	8 weeks	Upregulation of CRH expression in the PVN.	Gunn et al. (2013)
2–9	Male	129S2/Sv × C57BL/6J	3 months	No change in the gene expression of CRH and arginine vasopressin in the PVN, MR and GR in the hippocampus and CRH in the central amygdala.	Wang et al. (2012)
2–9	Male	C57BL/6J	4–8 months	Reduced CRH mRNA expression in the PVN.	Rice et al. (2008)

(continued)

Table 3. Continued

ELS period (PND)	Sex	Strain	Acute/long term	Outcomes	References
2–9	Male	129S2/Sv × C57BL/6J	6 months	LTP deficits in CA3 Reduced number of dendritic spines in CA3 Reduced inhibitory synaptic density in CA1 and excitatory synaptic density in CA1 and CA3 Reduced neurexin-1 mRNA levels in CA3 and neuroigin-3 mRNA levels in CA1. Precocious development of PV+ and MBP mRNA, shift in ratio of NR2a:NR2b mRNA in the hippocampus. Earlier decline in Ki-67 and DCX mRNA.	Wang et al. (2011)
4–11	Male	C57BL/6N	PND 8, 12, 16		Bath et al. (2016)
2–9	Both	C57BL/6J	21, 28, 38, 50, and 75	Increased neurogenesis in hippocampus (Ki67, calretinin)	Naninck et al. (2015)
2–9	Male	C57BL/6J	PND 9	Reduced neurogenesis (survival of adult born neurons)	Naninck et al. (2015)
2–9	Male	C57BL/6J	4 months	Altered microglia (Iba1 and CD68) and cytokine expression in hippocampus	Hoeijmakers et al. (2017)
2–9	Male	C57BL/6J/APP/PS1	PND 9 and 4 months	Reduced cell associated amyloid pathology	Lesuis et al. (2016)
			10 months	Reduced amyloid plaques	Hoeijmakers et al. (2017)
<i>Metabolic changes</i>					
2–9	Both	C57BL/6J	PND 9 and 4 months	Reduced white adipose tissue (WAT) mass and reduced leptin (mRNA in WAT as well as circulating)	Yam et al. (2017)
2–9	Male	C57BL/6J	PND 9	Altered methionine in plasma and brain	Naninck et al. (2017)

CORT: corticosterone; CRH: corticotropin releasing hormone; DCX: doublecortin; GR: glucocorticoid receptor; LTP: long-term potentiation; MBP: myelin basic protein; MR: mineralocorticoid receptor; MWM: Morris water maze; NOR: novel object recognition; NR: NMDA receptor subunit; OF: open field; PND: postnatal day; PV+: parvalbumin positive; PVN: paraventricular nucleus of hypothalamus.  
Based on: Molet et al. (2014) with updates.



**Figure 4.** Modifications in basal corticosterone plasma concentrations induced by the LBN procedure in rats. Left panels: plasma levels in male (squares) and female (triangle) control (white) and LBN (black labeled LBS)-reared Wistar rat pups from PND 2 to 10. At PND 10, both male and female LBS pups show reduced corticosterone plasma concentrations compared to same sex controls ( $n=5-7$  pups/condition). At PND 21, LBS male and female pups exhibit increased corticosterone plasma concentrations compared to same sex controls, with higher concentrations in females ( $n=4-12$  rats/group) than males. \* $p < .05$ ; \*\* $p < .01$  compared to CTL (Student's  $t$ -test) Modified from: Moussaoui et al. (2017, 2016). Right panels: parameters indicative of chronic stress in nine-day-old Sprague-Dawley rat pups experiencing a week of LBN; (left column) and in adult male rats (12 months of age; right column). Elevated basal corticosterone concentrations, higher adrenal weights, and modestly lower body weight are found in chronically stressed nine-day old rats ( $n=12$ /group). These changes are no longer apparent in adult rats (Control:  $n=5$ , Early stress:  $n=6$ ). Values are mean  $\pm$  SEM. \* $p < .05$  (Student's  $t$ -test). From: Brunson et al. (2005) with permission.

accompanied by a significant reduction in stress-induced secretion of both ACTH and corticosterone on PND 10 (Figure 5, right panels), suggesting that the stress of LBN might have either delayed the development of responsiveness in the HPA axis or significantly inhibited its activation in order to conserve available energy resources. Of interest, in both studies, LBN significantly reduced body weight gain and modified some aspect of maternal behavior towards greater fragmentation and "unsettledness". These results indicate that LBN might limit the activation of the HPA axis during exposure to the stressful procedure and induce a hyperactive HPA axis at weaning and during the pre-adolescent period that could be more prominent in female than male pups.

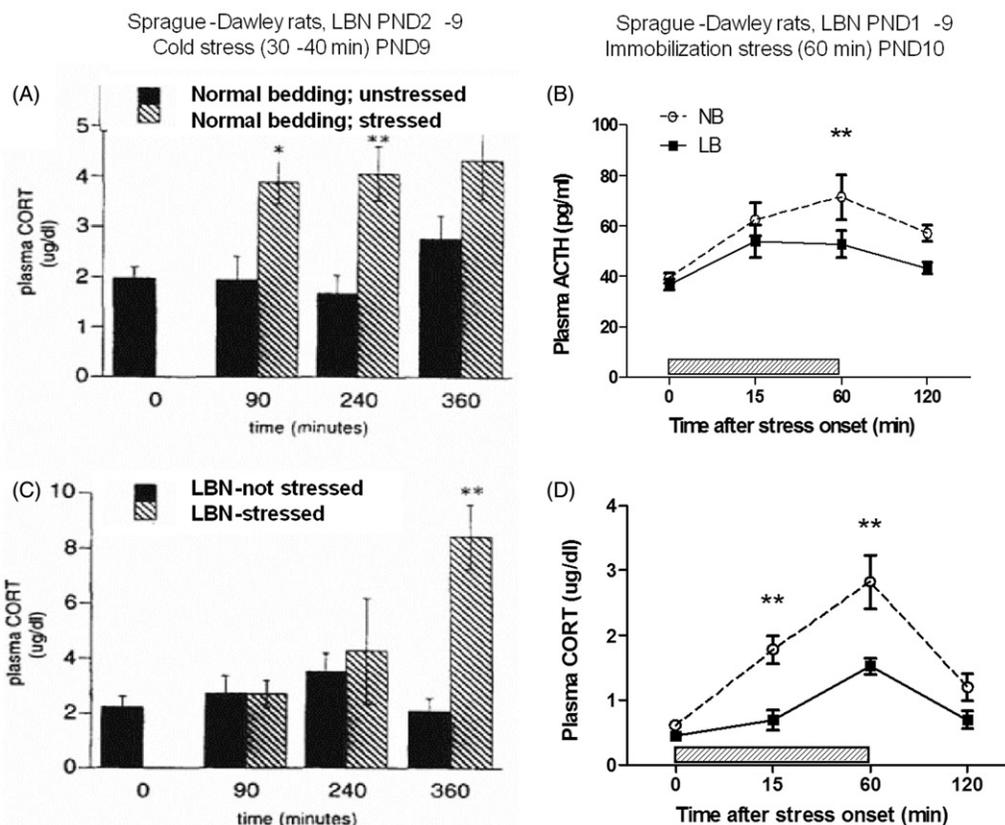
It is currently unclear which additional factors in the environment of the LBN paradigm might contribute to either activation or suppression of the neonatal HPA axis, proximal to the application of the chronic stressor. However, regardless of the direction of the effect on the neonatal HPA axis, it is clear that LBN has long lasting consequences beyond the application of the stressor on a number of systems that have been studied either in pre-adolescence, adolescence or adulthood. In terms of the HPA axis, the increased vulnerability to stress observed by some at weaning age (Moussaoui et al., 2017) disappears in late adolescence (Molet, Maras, et al., 2016). However, despite restoration of HPA activity, morphological changes in the dorsal hippocampus (reduced volume and disruption of dendritic structure) was observed in LBN

adolescent rats, consistent with disrupted local connectivity (Molet, Maras, et al., 2016).

## Emotional responses

### Infant attachment learning

It is well documented that infants of myriad species learn to attach to their caregiver regardless of the quality of care received and even when pain is produced by the caregiver or experienced with the caregiver. The use of the Scarcity Model has been instrumental to better understand the neural mechanism for attachment learning associated with pain induced either through maltreatment by the mother (Scarcity Model) or in a more controlled learning paradigm outside the nest (odor-shock conditioning) (Moriceau et al., 2009; Raineki et al., 2010; Raineki, Shionoya, Sander, & Sullivan, 2009; Sullivan et al., 2000; Roth & Sullivan, 2005). The phylogenetically old attachment system is supported by a unique infant learning system, where the reward can be either pleasant (i.e. food, warmth) or painful (shock, mother stepping on pups): pairing the maternal odor with any reward supports learned approaches to the mother, as well as social interactions and nipple attachment. The neurobiology supporting this attachment learning depends upon enhanced preference learning involving the locus coeruleus (LC) norepinephrine-dependent attachment circuitry (Moriceau & Sullivan, 2004, 2005)



**Figure 5.** Stress reactivity in Sprague Dawley rat pups raised in either control (not handled, normal bedding, NB) or in limited bedding/nesting (LBN) conditions. Left panels: comparison of the effects of rearing in the NB (top) or LBN (bottom) NB conditions on the response to cold-separation stress on PND 9. Stress-induced plasma corticosterone (CORT) concentrations increased as compared with those in nonstressed “controls” in both groups. The magnitude of the stress response was significantly higher in the LBN group versus the NB group at 360 min ( $p < .05$ ), consistent with poor recovery from the stress. (Note that the scale is increased in the bottom panel). Data are means  $\pm$  SEM of 4–10 pups/group. \*\* $p < .01$ ; \* $p < .05$  compared to non-stressed group. From Gilles et al. (1996) with permission. Right panels: HPA axis responsiveness to 60 min immobilization stress in PND 10 rat pups in either control (normal bedding, NB) or limited bedding/nesting (LBN, termed here LB) conditions. LBN pups exhibit a significant reduction in both ACTH and corticosterone secretion compared to NB pups, most apparent at the end of the stress period (60 min). Values are mean  $\pm$  SEM of 5–6 rats/group. \* $p < .05$ , \*\* $p < .01$  (Tukey’s HSD test). Redrawn from: McLaughlin et al. (2016).

combined with reduced aversion/fear learning that relies on an immature amygdala that cannot support threat (fear) learning (Moriceau & Sullivan, 2005; Sullivan et al., 2000). This unique infant learning neurobiology provides rapid learning of attachment to the caregiver but also prevents pups from learning to avoid the caregiver inflicting pain or maltreatment on the offspring (Moriceau & Sullivan, 2005; Raineki et al., 2010; Sullivan et al., 2000). Importantly, with maturation, pup access to this unique attachment learning circuitry terminates, when the amygdala learning about pain and the LC no longer supports attachment learning (Moriceau et al., 2009; Upton & Sullivan, 2010).

Using the Scarcity Model, Roth and Sullivan (2005) showed that pups learn to attach to a mother exhibiting maltreatment of pups and minimal nurturing behaviors. Importantly, the learning neural circuitry used during this naturalistic maltreating attachment learning paradigm was indistinguishable from that engaged during more controlled assessment of the attachment learning circuitry outside the nest. Thus, pups in the Scarcity paradigm do not exhibit attachment deficits compared to the control pups.

Although the LBN environment supports attachment to the caregiver, there are immediate and enduring consequences of experiencing pain with the attachment figure under these conditions. First, while the amygdala is immature and

not exhibiting the plasticity to support threat (fear) learning, the amygdala is still responding to the pain. The value of the maternal odor is also compromised: the deaf and blind pups depend upon their mother’s odor for interactions with the mother. While LBN pups express the survival dependent behaviors with the mother, they are significantly slower behaviorally and there is less robust connectivity between olfactory and limbic structures (Perry, Al Aïn, Raineki, Sullivan, & Wilson, 2016). Indeed, LBN pups have accelerated amygdala development, which prematurely ends the sensitive period for attachment learning (Roth et al., 2013) and disrupts social behavior with siblings (Moriceau et al., 2009; Raineki et al., 2012).

### Fear learning and anxiety

Clinical and preclinical research converges to demonstrate that early-life adversity such as abuse and neglect, compromises brain development and significantly increases the vulnerability to mental health problems, including anxiety and depression. The models of ELS discussed here using both intermittent and continuous exposure to ELS have documented long term effects on fear and anxiety, allowing probing of the mechanisms by which ELS increases vulnerability to these pathologies (Krugers et al., 2016). As is documented

here, the LBN paradigm produces an animal that shows increased fear but also decreased responses to safety. Application of the Scarcity Model (continuous from PND 1 to 6) showed that this treatment accelerated the development of the amygdala's ability to participate in threat/fear conditioning. Specifically, rat pups are not capable of threat (fear) learning until PND 10, which is causally related to functional development of the amygdala at PND 10 (Sullivan et al., 2000). However, rearing using the Scarcity Model enabled rat pups to learn amygdala-dependent threat at PND 7 (Moriceau et al., 2009).

In mice, Bath et al. also observed a significant shift in the developmental timing of contextual fear inhibition. Specifically, mice show a suppression in emotional responding to contexts that have been associated with an aversive stimulus, and that this suppressed response occurs during a defined developmental period between PND 28 and 35. In mice exposed to LBN, the suppressed emotional responding occurred a full week earlier (PND 22), which suggests that maturation of fear circuits was accelerated by the LBN procedure (Bath et al., 2016). Using the continuous LBN paradigm, Arp et al. (2016) also showed that the ability to discriminate between cue-on and "safe" episodes in-between cue exposure, 24 h after fear learning, is hampered in adult male mice exposed as neonates to LBN (Arp et al., 2016). During these cue-off periods, LBN offspring displayed a higher amount of freezing than controls, suggesting that LBN offspring, as opposed to controls, could not discriminate between cue-on and cue-off episodes.

### **Emotional processing**

Depression in humans and depressive-like behavior in rodents involves a complex circuitry in which the amygdala appears to play a central role (Huang & Lin, 2006; Ressler & Mayberg, 2007; Sevelinges et al., 2011; Sibille et al., 2009). It is well known that ELS constitutes a strong risk factor for the development of adult depression and anxiety (Heim & Binder, 2012; Sanchez, Ladd, & Plotsky, 2001). Both the LBN and the Scarcity Model have recapitulated important aspects of emotional consequences of ELS in humans. Adult rat offspring raised under the Scarcity Model displayed increased time immobile in the forced swim test (FST) and this was associated with increased amygdala activation in central, lateral, and basal nuclei (Raineke et al., 2012). Increased amygdala activation might be in part supported by morphological changes occurring as a consequence of exposure to the LBN paradigm. Morphological changes in pyramidal neurons of the basolateral amygdala have already been observed in neonatal and weaned LBN rat offspring (Guadagno, Verlezza, Long, Wong, & Walker, 2016) whereas they were absent after maternal deprivation (Krugers et al., 2012), suggesting that the naturalistic LBN procedure has value distinct from the maternal deprivation model, where there is prolonged removal of maternal care. The amygdala-dependent depressive-like behaviors do not emerge until pups reach independence after weaning, and persist into adulthood (Raineke et al., 2012). Importantly, the involvement of the hyperactive amygdala in the expression of depressive-like behavior in rats

exposed to the Scarcity Model was further demonstrated by the fact that temporary suppression of the amygdala activity with muscimol (a GABA<sub>A</sub> receptor agonist) was able to reverse the depressive-like behavior observed in the FST, suggesting a causal link between increased amygdala activity and depressive-like behavior in these animals (Raineke et al., 2012).

During adolescence, depressive-like behavior has been observed in rats raised under the LBN paradigm since these rats exhibit reduced sucrose preference, an indication of anhedonia (Molet, Heins, et al., 2016). Anhedonia, the reduced ability to experience pleasure, is an important emotional variable because it is often a harbinger of depression. However, in these studies, adult LBN rats did not exhibit depressive-like behaviors in the FST or anxiety-like behaviors in the open field or elevated plus maze (Molet, Heins, et al., 2016). In contrast, others have reported a sexual dimorphism in the response to the open field and the elevated plus maze with LBN males showing a higher anxiety compared to their control counterparts. No effect was seen in females (Guadagno, Wong, & Walker, 2017). Increased anxiety-like behaviors was also documented in the elevated plus maze in adult Wistar rats that were raised in a LBN environment (Dalle Molle et al., 2012). Several laboratories have implemented the LBN paradigm in mice and found either no increase (Naninck et al., 2015) or increased anxiety-like behaviors in the open field and light-dark emergence box (X. D. Wang et al., 2013).

### **Social behavior and aggression**

The usefulness of LBN rearing as a model of rodent depressive-like behavior has been demonstrated in several studies, and in particular using the Scarcity Model (PND 8–12). Pups raised in a LBN environment show dysfunctional social attachment behaviors with the mother when tested at a preweaning age (Raineke et al., 2010), followed by deficits in social behavior with peers during adolescence and adulthood (Raineke et al., 2012, 2015; Rincón-Cortés & Sullivan, 2016).

One important aspect of social behavior in the juvenile and adolescent periods is peer play behavior, which is a good indicator of the ability to experience pleasure. In experiments scoring peer play behavior in late adolescent-early adult rats (PND 57–60), LBN rats did not differ from controls in the overall duration of social interactions although the time devoted to peer social play was significantly lower in LBN compared to control rats (Molet, Maras, et al., 2016).

Alterations in neuroligin-2 expression have previously been associated with changes in social behavior linked to psychiatric disorders, including schizophrenia and autism. A recent study reported that ELS, induced by LBN leads to impaired social recognition and increased aggression in adult mice, accompanied by increased expression levels of neuroligin-2 in the ventral hippocampus (Kohl et al., 2015). In these studies, a social retrieval test showed that in contrast to control mice, LBN male mice did not discriminate between the newly introduced juvenile and the one already encountered in the acquisition phase of the test. Thus, it appears that in both rats and mice, the consequences of being raised in LBN

conditions affect a continuum of social interactions from maternal attachment to interactions with peers in adolescence and adulthood.

## Cognitive functions

### Hippocampus

A large number of studies have investigated the consequences of ELS on cognitive functions and examined in particular the changes induced by LBN in the hippocampus and prefrontal cortex, key structures for cognition and regulation of HPA axis activity (Baram et al., 2012). In addition to structural changes commonly related to cognitive functions such as reductions in rat hippocampal CA1 dendritic complexity (Brunson et al., 2005; Ivy et al., 2010; Molet, Maras, et al., 2016) and spines in the hippocampal CA3 area (X. D. Wang et al., 2011, 2013), LBN has been shown to alter neurogenesis in the mouse dentate gyrus (Naninck et al., 2015) and to lead to an earlier temporal decline in the expression of markers of hippocampal neurogenesis over the postnatal period (Bath et al., 2016). Interestingly, in mice, the stress of LBN initially increases neurogenesis (i.e. proliferation and differentiation of newborn cells) at PND 9, but at later time points (PND 150), the survival of the newly born cells as well as the volume of the dentate gyrus is reduced (Naninck et al., 2015). One way to interpret this finding is that exposure to fragmented care during this sensitive period of hippocampal development, causes or “forces”, subsets of hippocampal stem cells to divide that otherwise would not do so. Since this initial increase is followed by a later, lasting decrease in survival of newborn cells, this indicates that specific populations of stem cells may be depleted after their activation during ELS, and are no longer available in later life. This could be considered a measure reflecting a change in “overall” hippocampal plasticity.

It remains to be determined, however, whether the stem cells actually die after ELS, or whether they are rather re-programmed and become restricted in their subsequent proliferative capacity during adulthood. Neither is it known whether these changes are cell autonomous and whether stress triggers endogenous stem cell specific cell death programs, or whether ELS rather induces cell non-autonomous and more local changes, for instance, in extracellular matrix proteins or microglia mediated phagocytosis. In line with this possibility, LBN leads to an increased expression of hippocampal CD68, a marker for microglia phagocytic activity (Hoeijmakers et al., 2017).

Quite recently, the structural changes in hippocampus after exposure to LBN have been visualized noninvasively using high-resolution MRI (Molet, Maras, et al., 2016). These studies have shown a selective loss of dorsal hippocampal volume in LBN rats during late adolescence and a disruption of intra-hippocampal dendritic structure, consistent with disrupted local connectivity, already during this period. This suggests that hippocampal volumetric changes and alterations in fMRI connectivity may represent a noninvasive marker of

incipient and overt cognitive deficits in human children who have experienced early life adversity.

Results from studies using the LBN paradigm have documented that ELS causes adaptive changes in neural mechanisms that can impair neuroplasticity in the brain, both early in life and in adulthood. In line with the structural findings mentioned above, synaptic plasticity in the hippocampus is reduced in adult LBN rats in the hippocampal CA1 and CA3 area (Brunson et al., 2005) while synaptic plasticity is reduced only in the hippocampal CA3 area (but not hippocampal CA1 area) in the adult male mouse (X. D. Wang et al., 2011). In the mouse, reduced hippocampus synaptic plasticity was associated with an earlier shift in NMDA receptor subunit composition (Bath et al., 2016), which may contribute to developmental changes in circuit plasticity. The molecular and cellular mechanisms mediating the effects of LBN on morphological and synaptic plasticity are now starting to emerge. For instance, manipulation of the CRH system by blocking CRH receptor type 1 immediately after the LBN period in rats was shown to prevent the apical dendritic retraction and spine loss in the hippocampus after LBN as well as impairments in watermaze measures of spatial memory (Ivy et al., 2010).

Genes associated with plasticity, i.e. GSK $\alpha$  and GSK $\beta$ , which are also a main target of antidepressant drugs are reduced in male LBN rats, but this reduction could be reversed when LBN offspring were exposed to a diet high in fat and sugar post weaning (Maniam, Antoniadis, Le, & Morris, 2016). LBN also leads to an alteration in levels of the essential amino acid methionine in the plasma and hippocampus of the offspring. Methionine is one of the key components of 1-carbon metabolism. Supplementation of the maternal diet only from PND 2 to 9 with essential 1-carbon metabolism-associated micronutrients, not only restored methionine levels peripherally and centrally, but also rescued some of the effects of LBN on hippocampal cognitive measures (Naninck et al., 2017).

In behavioral paradigms, LBN reduces spatial learning performance in the water maze (Naninck et al., 2015) as well as in stress-free tests such as object location and novel object recognition in rats and mice (Bath et al., 2016; Brunson et al., 2005; Molet, Maras, et al., 2016; Naninck et al., 2015; Rice et al., 2008). This is consistent with reduction in neurogenesis found in the LBN model in mice (Naninck et al., 2015). Others have found that in adult rats, spatial and object recognition memory were unaltered by LBN exposure or consumption of a diet rich in fat and sugar after weaning, but the postweaning diet ameliorated the increased anxiety-like behavior induced by LBN exposure suggesting it may have “therapeutic value” (Bath et al., 2016; Brunson et al., 2005; Machado et al., 2013; Naninck et al., 2015; Rice et al., 2008).

While hippocampal dependent learning and memory processes are generally hampered, LBN in fact enhances contextual aspects of conditioned fear as described above (Arp et al., 2016; Krugers et al., 2016). In particular, LBN reduces the ability to discriminate between tone-on and tone-off periods in an auditory fear-conditioning paradigm (Arp et al., 2016). This distinction resembled the differential responses in another ELS paradigm (24 h maternal deprivation at PND 3) where

spatial memory (i.e. water maze performance) was also found to be impaired, whereas emotional learning (i.e. fear conditioning) was enhanced several weeks after maternal deprivation (Oomen et al., 2010, 2011). Also here, sex specific effects were found with female rats being relatively spared compared to males (Loi, Koricka, Lucassen, & Joëls, 2014; Loi et al., 2017; Oomen et al., 2009).

### **Prefrontal cortex**

Early life stress also strongly affects development of the prefrontal cortex in humans and rodents (Yang et al., 2015). In humans, exposure to ELS during the first years of life was associated with higher regional homogeneity of resting state fMRI in the lateral frontal cortex (Demir-Lira et al., 2016), broader recruitment of several brain regions and increased hippocampal–prefrontal cortex connectivity during an aversive learning paradigm (Silvers et al., 2016). In rodents, stress exposure during the first postnatal week hampers the development of dendrites in layers II/III and V pyramidal neurons in various subregions of the prefrontal cortex and reduces performance in the temporal order memory task, which assesses prefrontal cortex function (Yang et al., 2015). In analogy to the hippocampus, manipulation of the CRH system by systemic administration of antalarmin, a CRH-R1 antagonist, prevented the apical dendritic retraction and spine loss in the prefrontal cortex after LBN as well as impairments in prefrontal cortex-dependent cognitive tasks. Dendritic regression correlated with the degree of cognitive deficits after ELS. Using another ELS paradigm, i.e. MS during the entire postnatal period, it was reported that stressed females, but not males had increased infralimbic mPFC apical dendritic branch number and length at PND 40 compared to controls (Farrell, Holland, Shansky, & Brenhouse, 2016). Together, these studies suggest that ELS, and in particular LBN, tends to hamper higher order cognitive functions while enhancing the emotional aspects, in particular expression of conditioned fear (Krugers et al., 2016).

### **Metabolic aspects of the LBN paradigm**

So far, the lasting effects of LBN on cognition have been mostly attributed to alterations in maternal care and neuroendocrine factors, while the role of early nutrition and metabolic hormones has been less studied. However, both aberrant maternal care and early-life malnutrition often occur simultaneously. Indeed, clinical studies show they can have similar consequences on cognition, suggesting that nutritional elements might mediate some of the ELS effects on brain structure and function. Various elements of the early-life environment (e.g. maternal care, neuroendocrine factors, nutrient availability, and metabolic hormones) are often considered for their independent actions, although they should be considered synergistic because of the intense crosstalk between stress and metabolic programming (L. Wang, Goebel-Stengel, Yuan, Stengel, & Taché, 2017). Lasting effects of LBN may then result from the synergistic action of: (i) the quality and quantity of early nutrition, (ii) stress hormones, and (iii) sensory stimuli from the mother (Lucassen et al., 2013).

Therefore, it is important to also study if (and how) ELS alters the nutritional/metabolic environment in the mother and her offspring.

Several essential micronutrients that depend on dietary intake, including methionine, homocysteine, vitamins B6, B12, B9 (folic acid) are critical in the one-carbon (1-C) metabolism that is required for methylation, and for synthesis of proteins, phospholipids, and neurotransmitters. Such methyl donors are vital for brain function and neuronal development as they are involved in processes like neurogenesis and epigenetic mechanisms, which are altered by early exposure to the LBN procedure. Moreover, impairments or deficits in these essential micronutrients have been associated with neurological disorders and developmental anomalies.

Early exposure to stress could also modulate the composition of the dam's milk, and/or nutrient intake by the pup (Lucassen et al., 2013; Naninck et al., 2017; Yam et al., 2015). While this could have lasting consequences for brain structure and epigenetic regulation, it also provides interesting therapeutic opportunities for dietary interventions during sensitive early periods to rescue or prevent lasting consequences of ELS. The same applies for possible alterations in fat metabolism, which is also persistently altered by early stress exposure (Yam et al., 2015, 2017). In fact, immediately following LBN, the mouse offspring exhibited reductions in white adipose tissue (WAT) mass, plasma leptin levels and in leptin mRNA expression in WAT. Furthermore, LBN exposure led to increased brown adipose tissue and browning of WAT, which was evident as a drastic increase in uncoupling protein 1 mRNA expression in the inguinal WAT at PND 9. Notably, the LBN-induced reductions in WAT mass, plasma leptin and leptin expression in WAT were sustained into adulthood and were accompanied by changes in body fat distribution, such as a higher ratio between mesenteric WAT and other WAT deposits. Interestingly, while LBN exposure increased leptin receptor mRNA expression in the choroid plexus, it was unaltered in the hippocampus (Yam et al., 2017).

In the context of the LBN paradigm, it is likely that some nutritional and metabolic aspects are altered since pup body weight is consistently reduced by 4–5% in PND 10 male and female pups raised by Wistar or Sprague Dawley dams exposed to LBN from days 2 to 10 compared to same sex control pups (Brunson et al., 2005; McLaughlin et al., 2016; Molet, Heins, et al., 2016; Moussaoui et al., 2016). A trend for lower body weight gain was still observed at PND 21 in both males and female rats (Moussaoui et al., 2017), but typically, the differences in body weight between LBN and control rats disappear in adolescence (Molet, Heins, et al., 2016) and adulthood (6 weeks) for both male and female rats. In the Scarcity Model of impoverished early environment, there is no change in body weight gain between control and stressed rat pups, possibly because of the shorter duration of the exposure, the age of exposure or alternation between a normal and abusive mother. In mice, LBN exposure leads to a reduced body weight gain (~2.5 g in LBN versus 3.5 g in CTL) in both males and females. The differences in body weight are still present at around weaning and mostly disappear at around P40 (Naninck et al., 2015; Yam et al., 2017).

The reduction in body weight gain associates with a lower nutritional intake in LBN pups as both male and female LBN Wistar rat pups exhibit a 11–12% reduction in blood glucose on PND 10, with a significant effect in LBN female pups when compared to same sex control-reared pups (Moussaoui et al., 2016). The glucose levels measured in PND 10 LBN pups were comparable to those of control pups at PND 7 (Kuznetsov, Selina, & Kuznetsova, 2011), suggesting a lack of adequate age-related nutritional intake. It is unclear how much the nutritional alterations induced by the LBN paradigm and fragmentation of maternal behavior contribute to the consequences of ELS on the phenotypes described above. Recently, it was shown that LBN also alters micronutrient availability and that early nutritional intervention with micronutrients could prevent some of the LBN-induced later cognitive deficits (Naninck et al., 2017) as well as alterations in fat tissue and metabolism (Yam et al., 2015, 2017).

Some recent studies have shown that changes in the post-weaning diet can modify the effects of LBN on brain function and metabolism. Males exposed to LBN were lighter at weaning and in this experimental group, those who consumed a low fat chow diet after weaning went on to show improved glucose tolerance in adulthood compared to unstressed controls, and their male littermates who consumed a high fat, high sugar diet. They also had improved insulin sensitivity compared to controls consuming the same diet (Maniam et al., 2016). Whether this relates to a slower growth trajectory early in life remains to be determined. It is possible that there are significant interactions between ELS and postweaning diet, which mitigate the long-term outcome of LBN exposure (Fuentes et al., 2014). A recent study showed that sucrose consumption from weaning had more marked effects on hippocampal expression of genes involved in plasticity than ELS, with no additive effects (Maniam et al., 2016), suggesting that dietary and lifestyle exposures following ELS are likely contributing to outcomes. Given that poor diet and ELS exposure often co-exist in the general population, interventions to offset these deficits observed at the molecular level are critical. Indeed, LBN-exposed mice subjected to a Western style diet exhibit a higher increase in adiposity when compared to controls, suggesting that ES exposure might result in a higher vulnerability to develop obesity in a moderate obesogenic environment (Yam et al., 2017). Overall, important modulating influences of diet, sex, strain and environmental conditions experienced during adulthood need to be considered in analyzing the global impact of ELS on each individual.

### **Brain-gut axis**

#### **Epithelial permeability**

In addition to a lower macro- and micronutrient intake in LBN pups, changes in the intestinal barrier permeability to macromolecules might represent an important factor impacting weight gain and metabolism. Intestinal barrier permeability is highest in neonatal rats during the first 2 weeks of suckling and declines thereafter until PDN21, although it remains permeable in weaning compared to adult rats

(De Palma et al., 2015; Telemo, Weström, Ekström, & Karlsson, 1987). LBN exposure from PND 2 to 10 significantly increases intestinal permeability in male Wistar rat pups on PND 10, as measured by the increased passage into blood of a fluorescent probe (fluorescein isothiocyanate labeled 4-kDa dextran or FD4) administered orally. Female pups were not affected by LBN prior to weaning (Moussaoui et al., 2016) although at weaning, the increase in permeability was observed in both sexes but to a greater extent in PND 21 female pups. Diet and luminal factors (nutrients, bacteria, and bacterial products) can exert both beneficial and deleterious influences on the intestinal barrier (De Santis, Cavalcanti, Mastronardi, Jirillo, & Chieppa, 2015; Ulluwishewa et al., 2011; Winter, 2006). Food in the gut lumen is an important stimulus to mucosal cell growth. There is a possibility that the increase in intestinal permeability noted in LBN pups could be related to villous atrophy due to reduced nutrient intake as seen in patients and rodents suffering from malnutrition (Clough, Prykhodko, & Råberg, 2016; Norman et al., 2012). Conversely, impaired or delayed barrier function in response to the LBN stress could affect proper nutrient absorption and limit pup weight gain. The temporal sex differences observed in LBN-induced intestinal permeability was not explained by changes in corticosterone secretion or large modifications in sex hormones that modulate intestinal barrier function since they do not occur before PND 30 in rats (Walker, Juenger, & Gore, 2009). However, it is still possible that LBN modifies the low level of gonadotropins present during the neonatal period (Bjelobaba, Janjic, Kucka, & Stojilkovic, 2015), thus impacting intestinal permeability in a sex-dependent manner. These findings point to a different susceptibility to LBN-related alterations in intestinal barrier function in males and females with later responsiveness occurring at weaning in females compared to males.

#### **Intestinal microbiota**

During the neonatal period, bacterial colonization occurs and promotes the development of food tolerance and immune function in association with the increased intestinal permeability (Turner, 2009). A few reports indicate that during this critical period of mucosal maturation, ELS may influence the microbiota which could induce long lasting effects on the gut function (De Palma et al., 2015; R. Moloney, Stilling, Dinan, & Cryan, 2015). For instance, MS stress results in a distinct fecal microbiota in adulthood (O'Mahony et al., 2009; O'Mahony, Clarke, Dinan, & Cryan, 2017) with a reduction of *Lactobacillus* species in the distal colon at PND 20 (Gareau, Jury, MacQueen, Sherman, & Perdue, 2007; R. D. Moloney et al., 2016). In the LBN model, data are still scarce. However, a recent study indicates that pups exposed to LBN from PND 2 to 9 showed at weaning a decreased fecal microbial diversity and distinct composition characterized by the increased abundance of Gram positive cocci and reduction of fiber-degrading, butyrate-producing, and mucus-resident microbes (Moussaoui et al., 2017). Interestingly, such a pattern is similar to control animals on PND 14 and suggests that LBN rats may have delayed maturation of the neonatal microbiota. Of note, no significant sex difference was observed in either the

diversity or composition of fecal microbiota in weaned rats. Sustained perturbations in the intestinal microbiota related to LBN may be a potential contributing mechanism to the increased susceptibility to developing irritable bowel syndrome (IBS) later in life (Barbara et al., 2016). In addition, modifications in the neonatal intestinal microbiota induced by LBN might also be critical for regulation of postnatal HPA stress responses, behavioral alterations and neurodevelopment (Jašarević, Howerton, Howard, & Bale, 2015).

### **Visceral pain**

Early life stress is now recognized as being a key factor in the development of functional pain disorders (Chaloner & Greenwood-VanMeerveld, 2013). These include functional gastrointestinal disorders like IBS, which is associated with visceral hypersensitivity (Beesley, Rhodes, & Salmon, 2010; Bradford et al., 2012; Felice, Moloney, Cryan, Dinan, & O'Mahony, 2015). Preclinical studies have demonstrated that MS during early life predisposes rodents to visceral hyperalgesia as adults with a prominent role of CRH in the process and related changes in intestinal permeability and mast cell activation (Larauche, 2012; Taché & Million, 2015). Recent work also demonstrated that exposure to LBN affects the visceral pain responses of animals once they reach adulthood (Guo, Wang, Mayer, & Holschneider, 2015; Holschneider, Guo, Mayer, & Wang, 2016). Adult male and female Wistar rats that were exposed to LBN as pups exhibit a visceral hyperalgesia, as assessed by abdominal contractions to colorectal distensions monitored by electromyographic recording electrodes. Data indicate that males appear to be slightly more responsive than females at lower pressures of colorectal distension (Guo et al., 2015; Holschneider et al., 2016). This predisposition of LBN males to be more responsive to colorectal distension was also shown in a separate study using Sprague-Dawley rats (Prusator & Meerveld, 2015). More generally, LBN adult rats also display significant changes in functional recruitment of numerous regions within the pain pathway activated by colorectal distention (Holschneider et al., 2016). Whether the changes in intestinal permeability, microbiota and/or HPA axis induced by LBN contribute to the altered viscerosensitivity in adulthood and sex difference still needs to be investigated (Million & Larauche, 2016).

### **Sex differences in outcomes**

Sex is an increasingly recognized biological variable in understanding consequences of early life events (McCarthy, 2016). As mentioned in several of the earlier sections, male and female offspring do not always show comparable phenotypes after LBN. This sex-difference also appears to be age-dependent. For instance, LBN exposure from PND 2 to 10 was found to increase significantly intestinal permeability in male but not female rat pups at PND 10, whereas at PND 21 permeability increases were present to a greater extent in female compared to male pups (Moussaoui et al., 2016). Also in the cognitive domain clear male-female differences have been observed. For instance, with respect to the ability to discriminate between cue-on and cue-off episodes after fear

learning, LBN conditions in early life did not lead to any deficit in performance of female mice when tested in adulthood, as opposed to the clear deficits observed in males (Arp et al., 2016). Contextual and spatial memory formation of female mice was also not affected by LBN conditions (Kanatsou et al., 2016), which differs from what is generally reported in males (Kanatsou et al., 2017; Naninck et al., 2015). However, female mice did show increased anxiety after LBN conditions in early life as observed in the open field, and somewhat reduced fear memory formation (Kanatsou et al., 2016).

Sexually-dimorphic consequences fit well with the overall picture emerging from a large body of studies on various models of early life adversity in rodents (Loi et al., 2017). Impaired behavioral performance in adult rodents that had been subjected to adverse early life conditions was observed in one third of the experimental endpoints reported for males, whereas this was only 25% for females (Loi et al., 2017). Whenever sex-dependent differences were observed, the majority (64%) of the studies reported significant effects in males but not in females. Only in about one third of cases (30%) did females but not males show significant effects. The most striking sex differences, though, appeared when taking the various cognitive domains into account. The percentage of reported experimental series showing significant changes after early life adverse conditions (total of 64 studies) in males was: depressive-like behavior (69%) > non-stressful hippocampus dependent learning (50%) > stressful learning (e.g. contextual fear conditioning) (45%) > social behavior (43%) > anxiety related behavior (40%); and for females: depressive-like behavior (50%) > stressful learning (41%) > anxiety related behavior (36%) > social behavior (33%) > non-stressful hippocampus dependent learning (29%) (Loi et al., 2017). These observations suggest that with respect to non-stressful hippocampus dependent learning – such as object in context or object relocation tasks – considerable sex-dependent effects seem to exist. Thus, many of the later, structural and functional effects of the LBN procedure or other ELS models (i.e. maternal deprivation) are often found to be more pronounced in male offspring, while females seem to be more protected from ELS effects (Loi et al., 2014, 2017; Naninck et al., 2015; Oomen et al., 2010, 2011). However, recent reports indicate that females may also show modest impairments in spatial abilities following ELS, but these effects do not persist into adulthood (Bath et al., 2017).

The bases for these sex differences are not clear. It should be noted, however, that the differences may be partially a result of the tests used by investigators. For example, females (even control females) tend to perform relatively poorly in object location and recognition tests. Thus, any effect of the LBN experience might not be apparent because of a “floor” effect. This possibility deserves further consideration and the development of optimal tests for females. The explanation for the sex-differences at the cellular level still remains elusive. How such sex differences in relation to an identical same stressor occur is an important open question. Whether external factors like sex-specific differences in maternal care (Oomen et al., 2009; van Hasselt, Boudewijns, van der Knaap, Krugers, & Joëls, 2012), or in sex hormones of the pups

(Naninck, Lucassen, & Bakker, 2011) are involved, or whether other early factors like nutrition and metabolism (Yam et al., 2015, 2017), or epigenetics play a role (Holland et al., 2016; Lucassen et al., 2013; Rodgers, Morgan, Leu, & Bale, 2015) remains to be demonstrated.

Epigenetic modifications are increasingly being recognized as important for understanding sex differences in brain development and responses to early-life environments. Further, epigenetic mechanisms are known to mediate sexual differentiation of the brain (McCarthy et al., 2009; McCarthy & Nugent, 2013; Nugent et al., 2015), and sexually-dimorphic DNA methylation is observed for many genes (Ghahramani et al., 2014). Using their variation of the LBN paradigm, the Roth laboratory has shown sexually dimorphic changes in methylation of exon IV of the brain-derived neurotrophic factor (BDNF) gene, an important regulatory region of the gene and sensitive to many environmental factors in both rodents and humans (Roth et al., 2015; Kundakovic et al., 2015; Lubin, Roth, & Sweatt, 2008; Perroud et al., 2013). Compared to males, females exposed to LBN environment had higher methylation in PFC (whole and medial) and lower methylation in amygdala tissue, with no change in this gene locus observed in hippocampal tissue (Blaze et al., 2013; Roth et al., 2009, 2014). Within mPFC tissue, maltreated-females, but not males, also had less histone 3 lysine 9/14 acetylation associated with BDNF IV DNA (Blaze et al., 2015). In addition, the LBN environment had consequences for brain telomere length that differ between males and females (Asok, Bernard, Rosen, Dozier, & Roth, 2014; Asok, Bernard, Roth, Rosen, & Dozier, 2013). Telomere length is another biomarker of stress emerging as highly sensitive to variations in the caregiving environment (Botha et al., 2012; Drury et al., 2012; Tyrka et al., 2010).

Sex differences in the outcome and consequences of ELS are critical to understand differential vulnerability in both pre-clinical models and clinical populations. However, it remains poorly understood how these effects can differ between human and rodent studies because in clinical reports and in human studies, it is the females that appear to be generally more sensitive to the consequences of early trauma. In addition, girls and women are more likely to develop stress-related mental disorders. The origins of discrepancy between human and rodent studies are poorly understood, and have been the focus of excellent recent reviews (Eliot & Richardson, 2016).

### Potential interventions to reduce ELS consequences

The study of relevant animal models is useful to provide better understanding of underlying mechanisms and to enable testing of interventions. Several examples of successful interventions have been provided, focusing on exercise, food or normalization of the HPA axis.

As an example of the first category, physical activity has been shown to improve mood across many animal studies, and to reduce stress (Kannangara et al., 2011; Schoenfeld, Rada, Pieruzzini, Hsueh, & Gould, 2013) and depressive symptoms in clinical studies (Kvam, Kleppe, Nordhus, & Hovland, 2016). Notably, previous work showed that provision of

continuous voluntary exercise for 16 weeks from weaning mitigated the behavioral deficits imposed by the ELS of MS including anxiety- and depression-like behaviors (Maniam & Morris, 2010). While some work has been done in relation to social isolation stress (Stranahan, Khalil, & Gould, 2006), it is not known, however, whether a shorter intervention, or late onset exercise, could be beneficial in the setting of ELS, nor has this intervention been tested with offspring exposed to the LBN paradigm. More work is required to determine the most appropriate window in which to apply preventive strategies such as modifying diet and exercise to minimize or delay long term CNS and metabolic deficits induced by ELS.

A successful intervention focusing on food intake – which may be disturbed by the LBN procedure – concerns molecules linked to neural plasticity. Thus, in male rats exposed to LBN, GSK $\alpha$ , and GSK3 $\beta$  genes, were found to be profoundly down-regulated. This could be reversed by exposing LBN offspring to a diet high in fat and sugar post weaning (Maniam et al., 2016). As indicated earlier, this diet also ameliorated the increased anxiety-like behavior induced by LBN exposure (Machado et al., 2013). In addition, as explained in more detail above, enriching the diet with 1 C metabolites during the LBN exposure can protect partially against the LBN-induced cognitive deficits (Naninck et al., 2017). This has high translational value, as nutritional interventions are typically noninvasive, relatively cheap and easily applicable.

As documented above, LBN may result in aberrations of distinct components of limbic-HPA-axis function. Based on this observation, offspring raised in LBN conditions were subjected to transient treatment with the glucocorticoid receptor antagonist mifepristone. This antagonist was shown to be very effective in reversing or normalizing effects of chronic stress in adulthood on hippocampal structure and function, both in rats and mice (Krugers, Goltstein, Van Der Linden, & Joels, 2006; Oomen, Mayer, De Kloet, Joëls, & Lucassen, 2007). In the case of the LBN model, male mice were treated with mifepristone between PND 28 and 30, a developmental window that is known to be important for HPA axis maturation (Romeo et al., 2016). As reviewed in the section on fear memory, LBN was reported to impair the ability of adult male mice to discriminate between cue-on and cue-off (presumably “safe”) periods 24 h after fear conditioning. Interestingly, this deficit could be entirely relieved by treatment with mifepristone between PND 28 and 30. This suggests that possible dysregulation of the HPA-axis as a consequence of the early life LBN environment may be reprogramed during the sensitive early pubertal period. In line with this notion, restoring the balance between the glucocorticoid and mineralocorticoid receptor by genetic overexpression of the latter after LBN also alleviates the behavioral and hippocampal cellular deficits seen in adult male offspring (Kanatsou et al., 2017).

Notably, LBN-induced early changes in gene expression, likely via epigenetic mechanisms, have been found for CRH in the hypothalamus (Gunn et al., 2013), hippocampus (Ivy et al., 2010), and amygdala (Singh-Taylor et al., 2017). In accord with potential hyperactivity of CRH in these brain regions induced by chronic LBN, transient blocking of the CRH receptor prevented cognitive problems in LBN animals

when tested in both hippocampal and prefrontal domains. Importantly, the effects of the LBN paradigm were significantly blunted in mice lacking CRHR1 in the limbic system exclusively (X. D. Wang et al., 2011).

These three examples emphasize that successful reversal of LBN-induced deficits is possible. However, the critical time-windows, the underlying mechanism and other potential categories of intervention targets clearly require extensive follow-up studies. Of particular interest is the importance of the genetic background which may serve as a significant modulator of risk for ELS associated pathology. Interventions causing repression or up-regulation of key genes – for example genes playing a critical role in the set point of the HPA axis – may give better insight about systems that could be targeted to reverse the deficits caused by LBN. For instance, several studies have linked genetic changes in the neurotrophin system induced by exposure to LBN and associated those changes with negative outcomes. In humans, common variants in the BDNF gene have been identified, with the most thoroughly explored being the BDNF Val66Met SNP. In human studies of international adoption, the Met variant of the BDNF gene was actually demonstrated to be protective with respect to attentional functioning in children. Thus, genetic (as well as epigenetic) screening may represent an attractive biomarker for the identification of risk/resilience in populations exposed to early adversity.

An additional potential intervention to reduce the effects of an early adverse environment would be to maintain mice exposed to the LBN paradigm in single housing conditions after puberty (between PND 50 and adulthood) as this procedure, albeit detrimental to the development of normally raised female mice, was shown to attenuate the effects of LBN on adult anxiety behavior in an estrous cycle-dependent manner. These observations are in support of the match/mismatch hypothesis which suggests that matching early and late environment, whether mildly aversive or not might be a primary determinant of adult behavioral outcomes (Champagne, de Kloet, & Joëls, 2009; Nederhof & Schmidt, 2012; Santarelli et al., 2014).

Based upon the work presented here, multiple possible targets have been identified to stem the effect of ELS on neurobehavioral development, paving the road for the development and application of potential new treatments.

### Preclinical model reproducibility

The usefulness of animal models to investigate human pathophysiological and behavioral alterations in disease states relies heavily on reproducibility of the outcomes within and across different research laboratories. Reproducibility in general is a matter of debate in animal studies (Pritt & Hammer, 2017; Silverman, Macy, & Preisig, 2017), e.g. due to small differences in experimental conditions having impact on the outcome and/or issues of low power. The former do, to a certain degree, play a role in the LBN and Scarcity Models, but overall there is large convergence in the data. This is remarkable because stress experiments in general are very sensitive to small variations in animal procedures, which no doubt occur between laboratories.

As highlighted throughout this review, the LBN and Scarcity model represent a great example of an animal model of ELS that is (1) highly reproducible and (2) translationally relevant. Part of the elegance of this model is its simplicity, which leads to a robust and reproducible change in parental behavior across rodent species within as well as across laboratories and continents (Heun-Johnson & Levitt, 2016; Korosi et al., 2010; Rice et al., 2008). In fact what appears from reviewing the data collected from the laboratories worldwide using this model, is that the main features of the paradigm that we seek to model (i.e. early-stress induced alterations in brain functioning) are highly consistent across laboratories, even when including small variations in the model. It remains very important to deeply characterize the specifics of the model that one uses (mesh, room temperature, chow, length of intervention), as subtle differences in the effects do exist. Remarkably, several of the observed phenotype closely resembles human characteristics of children exposed to various forms of adversity as for example the reduced hippocampal volume (Teicher, Anderson, & Polcari, 2012), giving a translationally powerful and an excellent tool at hand to further investigate the neurobiological substrates of the programming of adult organisms exposed to early life adversity.

### Translational potential

The focus of much of the work described here is to leverage animal models to understand the impact of early adversity, in the form of altered quality of early life care, on neurobehavioral outcomes and stress-sensitive regulatory processes. Here, we have defined areas of convergence with these low bedding and nesting procedures. Moving forward, the clear description and validation of these models paves the way for using these models to identify effect of the severity of stress at different developmental periods. The sincere hope is that the information gleaned from these studies can provide novel insights into how variables such as timing of adversity, sex, and severity of the stress alter risk for the development of atypical outcomes and potentially pathological behavior. Such studies have implications for both the natural world as well as humans. For animals, human encroachment and climate change led to loss of habitat and breeding grounds and destruction of food sources that have altered the timing of reproductive events and availability of resources to care for the young. These effects lead to diminished ability of parents to care for and nurture offspring, impacting growth, development, and survival of entire populations. The effects of these forms of disturbance on the welfare of captive and domesticated species as well as health, wellbeing and survival of populations in the wild are only beginning to emerge. The current studies may provide novel insights into the drivers of these changes.

In humans, increasing civil unrest, famine, and poverty have resulted in an unprecedented 65 million individuals being displaced and nearly 650 million children worldwide who lack adequate shelter, water, or health services. With increased global population and civil unrest, millions of infants and children are exposed to stress associated with poverty, displacement, and parental stress resulting from

limited access to the resources for childcare. In fact, there is data suggesting that these figures under-report the actual incidence of ELS around the world (Briere & Elliott, 2003). Furthermore, in addition to the direct effect of ELS on neurodevelopmental outcomes, there are strong data indicating that exposure to adverse early environments may have intergenerational impacts that likely affect mental health and function, not only of individuals who are directly affected, but their offspring (Gapp et al., 2014; Gröger et al., 2016; Rodgers et al., 2015; Sanchez et al., 2001). Thus, advancing our understanding of the effect of ELS on brain and behavioral development is of critical concern for the health and wellbeing of the population, but also for generations to come.

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