Search for invisible decays of the Higgs boson produced in association with a hadronically decaying vector boson in pp collisions at √s=8


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Search for invisible decays of the Higgs boson produced in association with a hadronically decaying vector boson in \( pp \) collisions at \( \sqrt{s} = 8 \text{ TeV} \) with the ATLAS detector

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Abstract A search for Higgs boson decays to invisible particles is performed using 20.3 fb\(^{-1} \) of \( pp \) collision data at a centre-of-mass energy of 8 TeV recorded by the ATLAS detector at the Large Hadron Collider. The process considered is Higgs boson production in association with a vector boson \((V = W \text{ or } Z)\) that decays hadronically, resulting in events with two or more jets and large missing transverse momentum. No excess of candidates is observed in the data over the background expectation. The results are used to constrain \( VH \) production followed by \( H \) decaying to invisible particles for the Higgs boson mass range \( 115 < m_H < 300 \text{ GeV} \). The 95 % confidence-level observed upper limit on \( \sigma_{VH} \times \text{BR}(H \to \text{inv.}) \) varies from 1.6 pb at 115 GeV to 0.13 pb at 300 GeV. Assuming Standard Model production and including the \( gg \rightarrow H \) contribution as signal, the results also lead to an observed upper limit of 78 % at 95 % confidence level on the branching ratio of Higgs bosons decays to invisible particles at a mass of 125 GeV.

1 Introduction

Since the discovery of a Higgs boson with a mass of approximately 125 GeV [1,2] at the LHC in 2012, the properties of this new particle have been studied extensively. All results obtained so far [3–9] are consistent with the expectations of the long-sought Standard Model (SM) Higgs boson [10–13]. However, sizeable deviations from the SM expectation cannot be yet excluded; the total branching ratio of beyond-the-SM decays of the Higgs boson is only weakly constrained, and its value could be as high as \( \sim 40 \% \) [8,14]. One possible decay is to weakly interacting particles, as predicted by many extensions of the SM, e.g. Higgs boson portal models [15–18]. In these models, the Higgs boson can decay to a pair of dark-matter particles if kinematically allowed. These decays are generally “invisible” to detectors, resulting in events with large missing transverse momentum \( (E_T^{\text{miss}}) \).

Searches for Higgs boson decays to invisible particles \((H \rightarrow \text{inv.})\) have been performed by both the ATLAS and CMS collaborations [14,19]. For example, the ATLAS Collaboration has placed an upper limit of 75 % [19] on the branching ratio of \( H \rightarrow \text{inv.} \) from Higgs boson production in association with a \( Z \) boson identified from its leptonically decaying \( (Z \rightarrow \ell \ell) \), \( q \bar{q}' \rightarrow VH \). The vector boson is identified through its decay to a pair of quarks, reconstructed as hadronic jets in the ATLAS detector, \( V \rightarrow jj \). Gluon fusion production \( gg \rightarrow H \) followed by \( H \rightarrow \text{inv.} \) can also lead to events with two or more jets and large \( E_T^{\text{miss}} \), and therefore contributes to the signal of the search. Negligible contributions of approximately 1 and 0.2 % to the sensitivity come from \( q \bar{q}' \rightarrow q\bar{q}'H \) production via vector-boson fusion (VBF) and from \( qg/gg \rightarrow t\bar{t}H \) \((t\bar{t}H)\) production, respectively. The VBF contribution is strongly suppressed by the \( m_{jj} \) (dijet invariant mass) window cuts and by the forward-jet veto used to reduce the top quark-antiquark background \((t\bar{t})\), as described in Sect. 4. In a previous ATLAS dark-matter search, limits on Higgs boson decays to invisible particles in \( VH \) production were set using events with a hadronically decaying vector boson and \( E_T^{\text{miss}} \) as well [20]. However, the present analysis achieves better sensitivity by using different techniques and performing dedicated optimizations.

2 Experimental setup

This search is based on proton–proton collision data at a centre-of-mass energy of 8 TeV recorded with the ATLAS detector [21] in 2012, corresponding to an integrated lumi-
nosity of 20.3 fb\(^{-1}\). The ATLAS detector is a general-purpose detector with an inner tracking system, electromagnetic and hadronic calorimeters, and a muon spectrometer surrounding the interaction point.\(^1\) The inner tracking system is immersed in a 2 T axial magnetic field, and the muon spectrometer employs a toroidal magnetic field. Only data recorded when all subdetector systems were functional are used in this analysis.

The trigger system is organised in three levels. The first level is based on custom-made hardware and uses coarse-granularity calorimeter and muon information. The second and third levels are implemented as software algorithms and use the full detector granularity. At the second level, only regions deemed interesting at the first level are analysed, while the third level, called the event filter, makes use of the full detector read-out to reconstruct and select events, which are then logged for offline analysis at a rate of up to 400 Hz averaged over an accelerator fill.

3 Object reconstruction and simulated samples

Jets are reconstructed using the anti-\(k_t\) algorithm [22] with a radius parameter of \(R = 0.4\). Jet energies are corrected for the average contributions from minimum-bias interactions within the same bunch crossing as the hard-scattering process and within neighbouring bunch crossings (pile-up). Furthermore, for jets with \(p_T < 50\) GeV and \(|\eta| < 2.4\), the scalar sum of the \(p_T\) of tracks matched to the jet and originating from the primary vertex\(^2\) must be at least 50 % of the scalar sum of the \(p_T\) of all tracks matched to the jet, to suppress jets from pile-up interactions. Jets must have \(p_T > 20\) GeV (\(p_T > 30\) GeV) for \(|\eta| < 2.5\) (2.5 < \(|\eta| < 4.5\).

Jets containing \(b\)-hadrons (\(b\)-jets) are identified (\(b\)-tagged) using the MV1c algorithm, which is an improved version of the MV1 algorithm [23] with higher rejection of jets containing c-hadrons (c-jets). It combines in a neural network the information from various algorithms based on track impact-parameter significance or explicit reconstruction of secondary decay vertices. The operating point of this algorithm chosen for this analysis has an efficiency of about 70 % for \(b\)-jets in \(t\bar{t}\) events and a c-jet (light-jet) mis-tag rate less than 20 % (1 %).

Lepton (electron or muon) candidates are identified in two categories: loose and tight, in order of increasing purity. Electron candidates are reconstructed from energy clusters in the electromagnetic calorimeter matched to reconstructed tracks in the inner tracking system. They are identified using track-based methods [24,25]. Loose electrons must satisfy “very loose likelihood” identification criteria and are required to have \(p_T > 7\) GeV and \(|\eta| < 2.47\). Tight electrons are selected from the loose electrons and must also satisfy the “very tight likelihood” identification criteria. Muon candidates are reconstructed using information from the inner tracker and the muon spectrometer [26]. Loose muons are required to have \(p_T > 7\) GeV and \(|\eta| < 2.7\). Tight muons are then selected from the loose muons, by requiring \(p_T > 25\) GeV and \(|\eta| < 2.5\). They must be reconstructed in both the muon spectrometer and the inner tracker. For the loose leptons, the scalar sum of the transverse momenta of tracks within a cone of size \(\Delta R = \sqrt{(\Delta\phi)^2 + (\Delta\eta)^2}\) = 0.2 around the lepton candidate, excluding its own track, is required to be less than 10 % of the transverse momentum of the lepton. For the tight leptons, there are more stringent isolation requirements: the sum of the calorimeter energy deposits in a cone of size \(\Delta R = 0.3\) around the lepton candidate, excluding the energy associated with it, must be less than 4 % of the lepton candidate energy, and the track-based isolation requirement is tightened from 10 to 4 %.

The missing transverse momentum vector, \(E_T^{miss}\), is computed using fully calibrated and reconstructed physics objects, as well as clusters of calorimeter-cell energy deposits that are not associated with any object [27]. Only calibrated jets with \(p_T > 20\) GeV are used in the computation. The jet energy is also corrected for pile-up effects [28]. A track-based missing transverse momentum vector, \(p_T^{miss}\), is calculated as the negative vector sum of transverse momenta of reconstructed tracks associated with the primary vertex and within \(|\eta| < 2.5\).

Monte Carlo (MC) simulated samples are produced for both the signal and background processes. Unless otherwise stated, the simulation [29] is performed using the ATLFAST-II package [30], which combines a parameterized simulation of the ATLAS calorimeter with the GEANT4-based [31] full simulation for the rest of the subdetector systems.

Signal events from \(q\bar{q}' \rightarrow VH\) with \(H \rightarrow\) inv. are produced using the NLO POWHEG method as implemented in the HERWIG++ generator [32]. The \(gg \rightarrow ZH\) production process contributes approximately 5 % to the total \(ZH\) cross section. Events from the \(gg \rightarrow ZH\) production process are not simulated, but are taken into account by increasing the \(q\bar{q} \rightarrow ZH\) cross section as a function of the Higgs boson \(p_T\) by the appropriate amount. The gluon-fusion signal events are produced using the POWHEG generator interfaced to PYTHIA8 for parton showering and hadronization. The production of \(q\bar{q}' \rightarrow VH\) followed by the SM \(H \rightarrow bb\) decay is

\(^{1}\) The ATLAS experiment uses a right-handed coordinate system with its origin at the nominal interaction point (IP) in the centre of the detector and the \(z\)-axis along the beam pipe. The \(x\)-axis points from the IP to the centre of the LHC ring, and the \(y\)-axis points upward. Cylindrical coordinates \((r, \phi)\) are used in the transverse plane, \(\phi\) being the azimuthal angle around the \(z\)-axis. The pseudorapidity is defined in terms of the polar angle \(\theta\) as \(\eta = -\ln(\tan(\theta/2))\). Transverse momenta are computed from the three-momenta, \(p\), as \(p_T = |p| \sin \theta\).

\(^{2}\) The primary vertex is taken to be the reconstructed vertex with the highest \(\Sigma p_T^2\) of the associated tracks.
Table 1 List of MC generators, parton distribution functions (PDFs) and cross sections used for the signal and background processes. The $H \rightarrow \text{inv.}$ signal cross sections are given for $m_H = 125 \text{ GeV}$ and assume SM production and $\text{BR}(H \rightarrow \text{inv.}) = 100 \%$. Details are given in the text.

<table>
<thead>
<tr>
<th>Process</th>
<th>Generator</th>
<th>PDFs</th>
<th>Cross section (pb)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$t\bar{t}$</td>
<td>POWHEG + PYTHIA</td>
<td>CT10 [42]</td>
<td>Normalized to data</td>
</tr>
<tr>
<td>$V+$jets</td>
<td>SHERPA</td>
<td>CT10</td>
<td>Normalized to data</td>
</tr>
<tr>
<td>Single top</td>
<td>AcerMC</td>
<td>CTEQ6L1 [43]</td>
<td>88</td>
</tr>
<tr>
<td>$t$-channel</td>
<td>POWHEG + PYTHIA</td>
<td>CT10</td>
<td>5.6</td>
</tr>
<tr>
<td>$s$-channel</td>
<td>POWHEG + PYTHIA</td>
<td>CT10</td>
<td>22</td>
</tr>
<tr>
<td>$Wt$</td>
<td>POWHEG + PYTHIA</td>
<td>CT10</td>
<td>3.3</td>
</tr>
<tr>
<td>Diboson</td>
<td>POWHEG + PYTHIA</td>
<td>CT10</td>
<td>0.18</td>
</tr>
<tr>
<td>$WW$</td>
<td>POWHEG + PYTHIA</td>
<td>CT10</td>
<td>0.0038</td>
</tr>
<tr>
<td>$WZ$</td>
<td>POWHEG + PYTHIA</td>
<td>CT10</td>
<td>0.29</td>
</tr>
<tr>
<td>$ZZ$</td>
<td>POWHEG + PYTHIA</td>
<td>CT10</td>
<td>0.48</td>
</tr>
<tr>
<td>SM VH</td>
<td>POWHEG + PYTHIA</td>
<td>CT10</td>
<td>19</td>
</tr>
<tr>
<td>$q\bar{q}' \rightarrow VH(\rightarrow b\bar{b})$</td>
<td>PYTHIA</td>
<td>CTEQ6L1</td>
<td>120–160</td>
</tr>
<tr>
<td>$gg \rightarrow ZH(\rightarrow b\bar{b})$</td>
<td>POWHEG + PYTHIA</td>
<td>CT10</td>
<td>160–200</td>
</tr>
<tr>
<td>$q\bar{q}' \rightarrow Z(\rightarrow jj)H(\rightarrow \text{inv.})$</td>
<td>HERWIG++</td>
<td>CT10</td>
<td>200–300</td>
</tr>
<tr>
<td>$gg \rightarrow H(\rightarrow \text{inv.})$</td>
<td>POWHEG + PYTHIA</td>
<td>CT10</td>
<td>$&gt;300$</td>
</tr>
</tbody>
</table>

4 Event selection

Events are required to pass an $E_T^{\text{miss}}$ trigger with a threshold of 80 GeV, which is a cut applied at the third level. The $E_T^{\text{miss}}$ trigger is fully efficient for $E_T^{\text{miss}} > 160$ GeV and 97 % efficient for $E_T^{\text{miss}} = 120$ GeV. An efficiency correction is derived from $W \rightarrow \mu\nu+jets$ and $Z \rightarrow \mu^+\mu^-$+jets events. This correction is below 1 % for $120 \text{ GeV} < E_T^{\text{miss}} < 160$ GeV. Events are also required to have $E_T^{\text{miss}} > 120$ GeV, $p_T^{\text{miss}} > 30$ GeV, no loose leptons and two or three “signal jets” (satisfying $|\eta| < 2.5$, $p_T > 20$ GeV and leading jet $p_T > 45$ GeV). The inclusion of 3-jet events improves the signal efficiency. A requirement is made on $H_T$, defined as

<table>
<thead>
<tr>
<th>Variable</th>
<th>$E_T^{\text{miss}}$ range (GeV)</th>
<th>120–160</th>
<th>160–200</th>
<th>200–300</th>
<th>$&gt;300$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\Delta R_{jj}$, 2- and 3-jet events</td>
<td>0.7–2.0</td>
<td>0.7–1.5</td>
<td>&lt;1.0</td>
<td>&lt;0.9</td>
<td></td>
</tr>
<tr>
<td>$m_{jj}$, 2-jet events (GeV)</td>
<td>70–100</td>
<td>70–100</td>
<td>70–100</td>
<td>75–100</td>
<td></td>
</tr>
<tr>
<td>$m_{jj}$, 3-jet events (GeV)</td>
<td>50–100</td>
<td>55–100</td>
<td>60–100</td>
<td>70–100</td>
<td></td>
</tr>
</tbody>
</table>

Table 2 The $E_T^{\text{miss}}$-dependent event selections of the signal region for the four $E_T^{\text{miss}}$ ranges.

Table 3 Definition of the signal region, A, and the three regions B, C and D used to estimate the multijet background in the signal region.

<table>
<thead>
<tr>
<th>Region</th>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\Delta \phi(E_T^{\text{miss}}, p_T^{\text{miss}})$</td>
<td>$&lt; \pi/2$</td>
<td>$&lt; \pi/2$</td>
<td>$&gt; \pi/2$</td>
<td>$&gt; \pi/2$</td>
</tr>
<tr>
<td>min($\Delta \phi(E_T^{\text{miss}}, \text{jet})$)</td>
<td>&gt;1.5</td>
<td>&lt;0.4</td>
<td>&gt;1.5</td>
<td>&lt;0.4</td>
</tr>
</tbody>
</table>

considered as a background for the search. The PYTHIA8 generator is used to produce these events. The cross sections of all Higgs production processes are taken from Ref. [33].

A significant source of background is the production of $V+$jets and of $t\bar{t}$ events. A sample of $V+$jets events is generated using the SHERPA generator [34] with massive $b$- and $c$-quarks. Events from the $t\bar{t}$ process are generated using the POWHEG generator interfaced with PYTHIA6 [35]. Other background contributions include diboson ($WW$, $WZ$ and $ZZ$) and single top-quark production. The POWHEG generator interfaced to PYTHIA8 is used to produce diboson events. The diboson cross sections are calculated at NLO in QCD using the MCFM program [36] with the MSTW2008NLO parton distribution functions (PDFs) [37]. The $s$-channel and $Wt$ single top-quark events are produced using the POWHEG generator, as for $t\bar{t}$ production. The remaining $t$-channel process is simulated with the ACERMC generator [38] interfaced to PYTHIA6. Cross sections of the three single top-quark processes are taken from Refs. [39–41]. Table 1 summarizes the MC generators, PDFs and normalization cross sections used in this analysis.
the scalar sum of the $p_T$ of all jets: $H_T > 120$ (150) GeV for events with two (three) jets. This cut is employed to avoid a trigger bias introduced by the dependence of the trigger efficiency on the jet activity, as also discussed in Ref. [44]. Events are discarded if they have additional jets with $p_T > 20$ (30) GeV and $|\eta| < 2.5$ ($2.5 < |\eta| < 4.5$) to reduce the contribution from the $t\bar{t}$ background process.

For $VH$ signal events, $E_T^{\text{miss}}$ resulting from the $H \rightarrow \text{inv.}$ decay is expected to be strongly correlated with the transverse momentum of the vector boson $V$ ($p_T^V$). Since the $E_T^{\text{miss}}$ distribution of the signal is harder than that of the background, additional sensitivity in the analysis is gained by optimizing the selection cuts separately for four $E_T^{\text{miss}}$ ranges. Here and in the following, the dijet refers to the two leading jets in events with three jets. The dijet invariant mass, $m_{jj}$, is required to be consistent with that of the $W/Z$ boson. In addition a requirement on the radial separation between the two jets, $\Delta R_{jj}$, is made as the jets are expected to be close in for highly boosted $V$-bosons. Both the $m_{jj}$ and the $\Delta R_{jj}$ cuts reduce the $V+$jets and the $t\bar{t}$ backgrounds, and depend on $E_T^{\text{miss}}$. The cut values are given in Table 2.

Multijet events are copiously produced in hadron collisions. Fluctuations in jet energy measurements in the calorimeters can create $E_T^{\text{miss}}$ in these events and there-

<table>
<thead>
<tr>
<th>$b$-tag category</th>
<th>0-tag</th>
<th>1-tag</th>
<th>2-tag</th>
<th>2-jet events</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Process</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Background</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$Z+$jets</td>
<td>24400 ± 1100</td>
<td>1960 ± 200</td>
<td>164 ± 13</td>
<td></td>
</tr>
<tr>
<td>$W+$jets</td>
<td>20900 ± 770</td>
<td>1160 ± 130</td>
<td>47 ± 7</td>
<td></td>
</tr>
<tr>
<td>$t\bar{t}$</td>
<td>403 ± 74</td>
<td>343 ± 65</td>
<td>57 ± 10</td>
<td></td>
</tr>
<tr>
<td>Single top</td>
<td>149 ± 16</td>
<td>107 ± 14</td>
<td>11 ± 2</td>
<td></td>
</tr>
<tr>
<td>Diboson</td>
<td>1670 ± 180</td>
<td>227 ± 25</td>
<td>64 ± 7</td>
<td></td>
</tr>
<tr>
<td>SM VH(bb)</td>
<td>1.5 ± 0.5</td>
<td>6 ± 2</td>
<td>3 ± 1</td>
<td></td>
</tr>
<tr>
<td>Multijet</td>
<td>26 ± 43</td>
<td>8 ± 7</td>
<td>0.7 ± 0.9</td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>47560 ± 490</td>
<td>3804 ± 64</td>
<td>347 ± 15</td>
<td></td>
</tr>
<tr>
<td><strong>Signal</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$gg \rightarrow H$</td>
<td>403 ± 95</td>
<td>25 ± 6</td>
<td>2.1 ± 0.5</td>
<td></td>
</tr>
<tr>
<td>$W(\rightarrow jj)H$</td>
<td>425 ± 45</td>
<td>44 ± 6</td>
<td>0.6 ± 0.1</td>
<td></td>
</tr>
<tr>
<td>$Z(\rightarrow jj)H$</td>
<td>217 ± 19</td>
<td>42 ± 4</td>
<td>26 ± 2</td>
<td></td>
</tr>
<tr>
<td>Data</td>
<td>47404</td>
<td>3831</td>
<td>344</td>
<td></td>
</tr>
</tbody>
</table>

### Table 4 Predicted and observed numbers of events for the six categories in the signal region. The yields and uncertainties of the backgrounds are shown after the profile likelihood fit to the data. In this fit all categories share the same signal-strength parameter. The quoted uncertainties combine the statistical and systematic contributions. These can be smaller for the total background than for individual components due to anti-correlations. The yields and uncertainties of the signals are shown as expected before the fit for $m_H = 125$ GeV and $\text{BR}(H \rightarrow \text{inv.}) = 100\%$. Signal contributions from VBF and $t\bar{t}H$ production are estimated to be negligible.

<table>
<thead>
<tr>
<th>$b$-tag category</th>
<th>0-tag</th>
<th>1-tag</th>
<th>2-tag</th>
<th>3-jet events</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Process</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Background</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$Z+$jets</td>
<td>9610 ± 580</td>
<td>795 ± 93</td>
<td>53 ± 7</td>
<td></td>
</tr>
<tr>
<td>$W+$jets</td>
<td>7940 ± 510</td>
<td>479 ± 70</td>
<td>24 ± 4</td>
<td></td>
</tr>
<tr>
<td>$t\bar{t}$</td>
<td>443 ± 53</td>
<td>437 ± 53</td>
<td>63 ± 7</td>
<td></td>
</tr>
<tr>
<td>Single top</td>
<td>97 ± 14</td>
<td>66 ± 9</td>
<td>6.4 ± 0.9</td>
<td></td>
</tr>
<tr>
<td>Diboson</td>
<td>473 ± 54</td>
<td>55 ± 6</td>
<td>13 ± 2</td>
<td></td>
</tr>
<tr>
<td>SM VH(bb)</td>
<td>0.8 ± 0.3</td>
<td>2.6 ± 0.9</td>
<td>1.4 ± 0.5</td>
<td></td>
</tr>
<tr>
<td>Multijet</td>
<td>22 ± 29</td>
<td>4 ± 4</td>
<td>0.6 ± 0.6</td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>18580 ± 200</td>
<td>1840 ± 40</td>
<td>158 ± 7</td>
<td></td>
</tr>
<tr>
<td><strong>Signal</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$gg \rightarrow H$</td>
<td>224 ± 55</td>
<td>15 ± 4</td>
<td>1.2 ± 0.5</td>
<td></td>
</tr>
<tr>
<td>$W(\rightarrow jj)H$</td>
<td>110 ± 16</td>
<td>11 ± 1</td>
<td>0.14 ± 0.03</td>
<td></td>
</tr>
<tr>
<td>$Z(\rightarrow jj)H$</td>
<td>65 ± 7</td>
<td>12 ± 1</td>
<td>6.1 ± 0.7</td>
<td></td>
</tr>
<tr>
<td>Data</td>
<td>18442</td>
<td>1842</td>
<td>159</td>
<td></td>
</tr>
</tbody>
</table>
fore mimic the signal. To suppress their contribution, additional selection criteria are applied to the azimuthal angles between $E_T^{miss}$, $p_T^{miss}$ and jets: $\Delta \phi(E_T^{miss}, p_T^{miss}) < \pi/2$, $\text{min} \{\Delta \phi(E_T^{miss}, \text{jet})\} > 1.5$ and $\Delta \phi(E_T^{miss}, \text{dijet}) > 2.8$. Here $\Delta \phi(E_T^{miss}, p_T^{miss})$ is the azimuthal angle between $E_T^{miss}$ and $p_T^{miss}$, $\text{min} [\Delta \phi(E_T^{miss}, \text{jet})]$ the angle between $E_T^{miss}$ and its nearest jet, and $\Delta \phi(E_T^{miss}, \text{dijet})$ is the angle between $E_T^{miss}$ and the momentum vector of the dijet system. These requirements are based on characteristics of events with mismeasured $E_T^{miss}$ in the multijet background, while taking advantage of the expected topologies of signal events.

Finally, the selected events are further categorized according to $b$-tag multiplicity (zero, one and two $b$-tagged jets) to improve the sensitivity. Combined with the two categories in jet multiplicity (two and three jets), there are in total six categories in the signal region.

### 5 Background estimation

In addition to the signal region, a number of control regions, designed to estimate various background contributions, are defined. They include the signal sideband (events not passing the $m_{jj}$ requirement), and the regions dominated by $V$+jets and $t\bar{t}$ events as discussed below. The multijet background is estimated from the data. The distributions of the $V$+jets and $t\bar{t}$ backgrounds are taken from MC simulation while their normalizations are estimated from the data. The remaining diboson, single-top and SM VH(bb) backgrounds are obtained from MC simulation.

The multijet background is estimated using four regions defined by requirements on $\Delta \phi(E_T^{miss}, p_T^{miss})$ and $\text{min} [\Delta \phi(E_T^{miss}, \text{jet})]$, as listed in Table 3. The shapes of the $m_{jj}$ and $E_T^{miss}$ distributions in the signal region $A$ are taken from region $C$ and the normalizations are determined by the ratio of the numbers of events in regions $B$ and $D$.

The normalizations of the $V$+jets backgrounds are estimated using control regions enhanced in $W$+jets and $Z$+jets events. In all cases at least one lepton is required to have $p_T > 25$ GeV. The $W$+jets events are selected by requiring exactly one tight lepton, $E_T^{miss} > 20$ GeV ($E_T^{miss} > 50$ GeV if $p_T^W > 200$ GeV), exactly two signal jets and $m_W < 120$ GeV. Moreover, $p_T^W > 100$ GeV is required in order to approximately match the phase space of the signal region. The $Z$+jets events are selected by requiring two loose leptons of the same flavour with opposite charges with invariant mass $83 < m_{\ell\ell} < 99$ GeV, at least two signal jets and a dilepton transverse momentum greater than 100 GeV. The kinematic distributions of the $V$+jets backgrounds are obtained from simulation that takes into account the different flavour composition of the jets. The simulated events are reweighted depending on the $\Delta \phi(\text{jet}_1, \text{jet}_2)$ and $p_T^W$ to better match the data distributions [44]. The $Z$+jets control region has a small contribution from $t\bar{t}$ (1.3 %), which is estimated using a $t\bar{t}$ control region. This region is selected by requiring events to have two oppositely charged leptons of different flavour (one of which has $p_T > 25$ GeV) and passing the loose selection requirements, and at least two signal jets which are $b$-tagged. The signal sideband and the $V$+jets control regions are divided to match the categorization of the signal region while the $t\bar{t}$ control region remains as one category as described above. For the $V$+jets and $t\bar{t}$ control regions, the distributions of the multijet background are obtained from control regions defined by inverting the lepton isolation requirement and the normalizations are determined by template fits [44].

### 6 Systematic uncertainties

The experimental systematic uncertainties considered include the trigger efficiency, object reconstruction and identification efficiency, and object energy and momentum scales as well as resolutions. Among these, the jet energy scale (JES) and resolution (JER) uncertainties have the largest impact on the result. The JES uncertainties are $\pm 3$ and $\pm 1$ % for central jets with a $p_T$ of 20 GeV and 1 TeV, respectively. The JER uncertainty varies from between $\pm 10$ and $\pm 20$ %, depending on the pseudorapidities of the jets, for jets with

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Fig. 1  The missing transverse momentum ($E_{\text{T}}^{\text{miss}}$) distributions of the 2-jet events in the signal region for the a 0-\(b\)-tag, b 1-\(b\)-tag and c 2-\(b\)-tag categories. The data are compared with the background model after the likelihood fit. The bottom plots show the ratio of the data to the total background. The signal expectation for \(m_H = 125\) GeV and \(\text{BR}(H \to \text{inv.}) = 100\%\) is shown on top of the background and additionally as an overlay line, scaled by the factor indicated in the legend. The total background before the fit is shown as a dashed line. The hatched bands represent the total uncertainty on the background.

\(p_T = 20\) GeV to less than \(\pm 5\%\) for jets with \(p_T > 200\) GeV. The JER and JES uncertainties are also propagated to the \(E_{\text{T}}^{\text{miss}}\) uncertainty. The \(b\)-tagging uncertainty depends on jet \(p_T\) and comes mainly from the uncertainty on the measurement of the efficiency in \(t\bar{t}\) events [23]. The dominant contribution arises from jets matched to \(b\)-hadrons in the MC record of the particles’ true identities. Their efficiency uncertainties are at the level of \(\pm 2-3\%\) over most of the jet \(p_T\) range, but reach \(\pm 5\%\) for \(p_T = 20\) GeV and \(\pm 8\%\) above \(p_T = 200\) GeV [45]. The uncertainty on the integrated luminosity is \(\pm 2.8\%\). It is derived following the same methodology as that detailed in Ref. [46].

For the backgrounds, a large number of modelling systematic uncertainties are considered, which account for possible differences between the data and the MC models. These uncertainties are estimated following the studies of Ref. [44] and are briefly summarized here. The uncertainties on the \(V+\)jets backgrounds come mainly from the knowledge of jet flavour composition and the \(p_T^{\text{jet}}\) distributions. For \(t\bar{t}\) production, uncertainties on the top quark transverse momentum and the \(m_{jj}, E_{\text{T}}^{\text{miss}}\) and \(p_T^{\text{V}}\) distributions are considered. The diboson background uncertainties are dominated by the theoretical uncertainties of the cross-section predictions, which include contributions from the renormalization and factorization scales and the choice of PDFs. The robustness of the multijet background estimation is assessed by varying the definition of the control regions B and D and an uncertainty of \(\pm 100\%\) is assigned for this small background (<1% in the signal regions).

The uncertainty on the signal acceptance is evaluated by changing the factorization and renormalization scale parameters, parton distribution function choices and the parton
7 Results

The potential $H \rightarrow \text{inv.}$ signal is extracted through a combined likelihood fit to the observed $E_T^{\text{miss}}$ distributions of the signal region and its sideband and the $p_T^V$ distributions of the control regions ($p_T^V$ is defined as $p_T^W$, $p_T^Z$ and $p_T^{t\bar{t}}$ for the $W$+jets, $Z$+jets and $t\bar{t}$ control regions, respectively). The normalizations of the $V$+jets and $t\bar{t}$ backgrounds are free parameters in this fit. The $E_T^{\text{miss}}$ distributions are binned in such a way that each bin yields approximately the same amount of expected signal. The 2-jet categories of the signal region are split into ten bins, while fewer bins are used in the 3-jet categories and the sideband. The 0-tag category of the $V$+jets control regions and the $t\bar{t}$ control region are used inclusively in the fit. The signal strength $\mu$, defined as the ratio of the signal yield ($\sigma_V \times \text{BR}(H \rightarrow \text{inv.})$) relative to the SM production cross section and assuming $\text{BR}(H \rightarrow \text{inv.}) = 100\%$, is used to parameterize the signal in the data. A binned likelihood function is constructed of the background and additionally as an overlay line, scaled by the factor indicated in the legend. The total background before the fit is shown as a dashed line. The hatched bands represent the total uncertainty on the background.

shower choices. For the $V H$ signal, the dominant uncertainty is from parton shower modelling, which can be as large as $\pm 8\%$. For the $gg \rightarrow H$ signal, the dominant uncertainty originates from the renormalization and factorization scales and can be as large as $\pm 15\%$ in the high $E_T^{\text{miss}}$ regions. Additional corrections to the Higgs boson $p_T$ distribution of the $gg \rightarrow H$ signal are applied to match the distribution from a calculation at NNLO+NNLL provided by HRes2.1 [47,48]. The detailed procedures are following the ones used in the $H \rightarrow \gamma\gamma$ and $H \rightarrow WW^*$ analyses as described in Refs. [49,50]. The related uncertainties are also taken into account.

Fig. 2 The missing transverse momentum ($E_T^{\text{miss}}$) distributions of the 3-jet events in the signal region for the a 0-tag, b 1-tag and c 2-tag categories. The data are compared with the background model after the likelihood fit. The bottom plots show the ratio of the data to the total background. The signal expectation for $m_H = 125$ GeV is shown on top of the background and additionally as an overlay line, scaled by the factor indicated in the legend. The total background before the fit is shown as a dashed line. The hatched bands represent the total uncertainty on the background.
as the product of Poisson probability terms comparing the numbers of events observed in the data to those expected from the assumed signals and estimated background contributions for all categories of the signal and control regions. The likelihood takes into account the background normalization and the systematic uncertainties. It is maximized to extract the most probable signal-strength value, $\hat{\mu}$.

Table 4 shows the numbers of observed events in the data compared to the numbers of estimated background events from the likelihood fit for each signal category. In all categories the data agrees with the background estimation. The backgrounds are dominated by $Z$+jets and $W$+jets events. Subleading backgrounds come from top and diboson production. The SM $VH$ and multijet background contributions are very small with the final event selection.

The fit reveals no significant excess of events over the background expectations and yields a best-fit signal-strength value of $\hat{\mu} = -0.13^{+0.43}_{-0.44}$, which is consistent with zero. The contributions from the individual systematic uncertainties are summarized in Table 5. The systematic uncertainty sources which have the largest impacts are the energy scale of the jets and of $E_T^{\text{miss}}$ along with the modelling (shape and normalization) of the diboson and $V$+jets backgrounds. The $E_T^{\text{miss}}$ distributions of the events passing the signal region selection are shown in Figs. 1 and 2 after the profile likelihood fit to the data. The fit results are also propagated to the $m_{jj}$ distributions of the events passing the signal region selection (without the $m_{jj}$-window cuts). The corresponding plots are shown in Figs. 3, 4 and 5 for the three $b$-tag categories separately.
Fig. 4 The dijet invariant mass ($m_{bj}$) distributions in the signal region for the 1-\textit{b}-tag category, for events with $E_{miss}^{\gamma}$ in the range (a) (120–160 GeV), (b) (160–200 GeV), (c) (200–300 GeV) and (d) (>300 GeV). The data are compared with the background model after the likelihood fit. The bottom plots show the ratio of the data to the total background.

The null results are used to set 95% confidence level (CL) upper limits on the product of the $VH$ cross sections and the $V \rightarrow jj$ and $H \rightarrow \text{inv.}$ decay branching ratio, $\sigma_{VH} \times \text{BR}(H \rightarrow \text{inv.})$, as a function of the Higgs boson mass in the range $115 < m_H < 300$ GeV as shown in Fig. 6. The limits are computed with a modified frequentist method, also known as CL$_{s}$ [51], and a profile-likelihood-based test statistic [52]. At $m_H = 125$ GeV, for $VH$ production, a limit of 1.1 pb is observed compared with 1.1 pb expected. These combined results for $VH$ production assume the SM proportions of the $WH$ and $ZH$ contributions. Observed (expected) limits are also derived for the two contributions separately, 1.2 (1.3) pb for $WH$ and 0.72 (0.59) pb for $ZH$. As shown in Table 4, the 2-tag categories are almost only sensitive to $ZH$, the 1-tag categories are equally sensitive to $WH$ and $ZH$, and the 0-tag categories are more sensitive to $WH$ production. The two processes contribute approximately equally to the sensitivity.

For the discovered Higgs boson at $m_H = 125$ GeV, an observed (expected) upper limit of 78% (86%) at 95% CL on the branching ratio of the Higgs boson to invisible particles is set. These limits are derived assuming SM production and combining contributions from $VH$ and gluon-fusion processes. The gluon-fusion production process contributes about 39% (29%) to the observed (expected) combined sensitivity.

8 Summary

In summary, Higgs boson decays to particles that are invisible to the ATLAS detector are searched for in the final states...
Fig. 5 The dijet invariant mass ($m_{bb}$) distributions in the signal region for the 2-$b$-tag category, for events with $E_T^{miss}$ in the range (a) (120–160 GeV), (b) (160–200 GeV), (c) (200–300 GeV) and (d) (>300 GeV). The data are compared with the background model after the likelihood fit. The bottom plots show the ratio of the data to the total background.

The signal expectation for $m_H = 125$ GeV is shown on top of the background and additionally as an overlay line, scaled by the factor indicated in the legend. The total background before the fit is shown as a dashed line. The hatched bands represent the total uncertainty on the background.

Fig. 6 Upper limits on $\sigma_{VH} \times \text{BR}(H \rightarrow \text{inv.})$ at 95 % CL for a Higgs boson with $115 < m_H < 300$ GeV. The full and dashed lines show the observed and expected limits, respectively.

The signal expectation for $m_H = 125$ GeV is shown on top of the background and additionally as an overlay line, scaled by the factor indicated in the legend. The total background before the fit is shown as a dashed line. The hatched bands represent the total uncertainty on the background.

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