Phytolith assemblages along a gradient of ancient human disturbance in western Amazonia


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Phytolith Assemblages Along a Gradient of Ancient Human Disturbance in Western Amazonia

Crystal H. McMichael1,2*, Dolores R. Piperno3,4, Eduardo G. Neves5, Mark B. Bush2, Fernando O. Almeida6, Guilherme Mongeló5 and Margret B. Eyjolfsdottir2

1 Palaeoecology and Landscape Ecology, Institute for Biodiversity and Ecosystem Dynamics, University of Amsterdam, Amsterdam, Netherlands, 2 Department of Biological Sciences, Florida Institute of Technology, Melbourne, FL, USA, 3 Smithsonian Tropical Research Institute, Panama, Panama, 4 Department of Anthropology, National Museum of Natural History, Smithsonian Institution, Washington, DC, USA, 5 Laboratório de Arqueologia dos Trópicos, Museu de Arqueologia e Etnologia, Universidade de São Paulo, São Paulo, Brazil, 6 Departamento de Arqueologia, Universidade Federal de Sergipe, Aracaju, Brazil

The ecological status of prehistoric Amazonian forests remains widely debated. The concept of ancient Amazonia as a pristine wilderness is largely discredited, but the alternative hypothesis of extensive anthropogenic landscapes remains untested in many regions. We assessed the degree of ancient human impacts across western Amazonia based on archeological and paleoecological data using methodologies that would allow inter-regional comparisons. We also aimed to establish baselines for estimating the legacies of ancient disturbances on modern vegetation. We analyzed charcoal and phytolith assemblages from soil samples from an archeological site, sites in close proximity to archeological sites, sites from riverine and interfluvial forests, and a biological research station believed to contain some of the least disturbed forests within Amazonia. We then quantitatively compared phytolith assemblages within and between the surveyed regions. Palm enrichment was evident at the archeological site, and the biological station survey contained little to no evidence of ancient human activity. The other sites exhibited a gradient of ancient disturbance across the landscape. The phytolith assemblages showed statistically significant between-region variations that indicated our metrics were sufficiently sensitive to detecting ancient disturbance. Our data highlight the spatial heterogeneity of ancient human disturbances in Amazonian forests. The quantification of these disturbances provides empirical data and a more concrete link between the composition of the modern forest and ancient disturbance regimes. Accounting for ancient disturbances will allow a deeper understanding of the landscape heterogeneity observed in the modern forests.

Keywords: Amazonia, ancient impacts, archeology, historical legacies, paleoecology, pre-Columbian, vegetation dynamics

INTRODUCTION

Tropical rainforests are a test bed for ecological research linking species diversity and distributions with the ecological niche (Elton, 1927; Hutchinson, 1957; Whittaker et al., 1973; Clark et al., 1999) and neutral dynamics (Terborgh, 1992; Hubbell, 2001; Tuomisto et al., 2003). Assumptions that the forests are at equilibrium, or nearly so, underlie much of this research. Yet recently
several researchers have suggested that vegetation patterns in Amazonian forests largely result from pre-Columbian people (Balée, 1989, 1993, 2010; Clark, 1996; Clement, 2006; Levis et al., 2012; Roosevelt, 2013; Clement et al., 2015), and that no-analog assemblages were created that did not exist prior to human intervention (Balée, 2014). Tree generations in Amazonian forests commonly span over 150 years (Hartshorn, 1978). If past human activity was both site-intensive and spatially widespread, and ancient people created a "manufactured landscape," then the ecological assumptions of current forest equilibrium become questionable. A manufactured landscape also infers that Amazonian biodiversity patterns were recently (i.e., the last two millennia) configured to their current distributions, and species diversity should be correlated with distributions of ancient people. Testing these ideas with empirical data would add an additional level of depth to the current understanding of Amazonian ecology and biodiversity.

Humans have been present as hunter-gatherers in Amazonia throughout the Holocene (Roosevelt et al., 1991, 1996; Lombardo et al., 2013). The earliest documented maize cultivation in Amazonia occurred at Lake Ayauchi in Ecuador at ca. 6000 cal year BP (Bush et al., 1989). After 2500 cal year BP, evidence of agriculture (Piperno, 2007, 2011) and other forms of human activity, including fire frequency, dramatically increased in Amazonia (Bush et al., 2008; Marlon et al., 2008). Soil amendments and the creation of anthropogenic soil types, called terras pretas, became more frequent during the last 2000 years (Neves et al., 2004; Neves and Petersen, 2006). Perhaps most impressively, landscape transformations in the form of earth moving began. Tracts of earthworks, raised fields, and berms appeared in the Upper Xingu region of Brazil and Llanos de Mojos region of Bolivia (Erickson, 2000, 2006; Heckenberger et al., 2003, 2008). Geometrically-shaped earthworks, commonly referred to as geoglyphs, also began to appear in portions of the southwestern Amazon (Ranzi et al., 2007; Pársinnen et al., 2009; Schaan et al., 2012) and at Marajo island as well (Roosevelt, 2013).

This evidence refutes the idea that Amazonia was a pristine forest prior to European discovery because of environmental limitations, particularly poor soils, which prevented ancient people from advanced societial development (Meggers, 1957, 1971). A more moderate view is that the human footprint in Amazonia was heterogeneous, but the extent of that heterogeneity remains debatable (McMichael et al., 2012a; Bush et al., 2015; Clement et al., 2015). At the opposite extreme of Meggers is the idea that most of Amazonia was a manufactured landscape only a few hundred years ago (Erickson, 2006; Roucoux et al., 2013). A first step in addressing the extent to which Amazonia was a manufactured landscape is to assess the sensitivity of proxy records across a range of settings, both with and without ancient human disturbance.

Here, we took an interdisciplinary approach (e.g., Mayle and Iriarte, 2014) and compared phytolith assemblages, a proxy for vegetation, from a known archeological site containing abundant pottery sherds and the presence of terra preta soils with: (1) phytolith assemblages from Cocha Cashu Biological Research Station, which contains some of the oldest and possibly most pristine forests remaining in Amazonia, and (2) phytolith assemblages from sites across western Amazonia containing a range of ancient disturbances (McMichael et al., 2012a,b,c, 2013; Figure 1). We identified trends in phytolith assemblages from the bottom to top of cores, and looked at the magnitude of change of phytolith percentages within cores and across regions. We then generated an index of past human impacts for the regions surveyed, which can be used in assessments of modern vegetation patterns in Amazonian forests.

### METHODS

#### Site Descriptions

All sites are located in lowland Amazonian forests (Figure 1, Table 1). New data are presented from the Teotônio archeological site, and the Cocha Cashu Biological Research Station, which are used to establish baseline values for occupied (Teotônio) and non-occupied (Cocha Cashu) sites (see below). The other sites contain soil cores that were previously analyzed for charcoal and phytoliths in a qualitative manner, and further information on the experimental design and site selection can be found in the original publications (McMichael et al., 2012a,b,c, 2013). Here, these data are analyzed quantitatively to derive estimates of the degree of ancient human impacts compared with Teotônio and Cocha Cashu. All dates presented have been calibrated using IntCal 13 (Reimer et al., 2013). After the Cocha Cashu and Teotônio site descriptions, all other sites are presented in alphabetical order.

The Cocha Cashu Biological Research Station (−11.9, −71.37) is located on lowland floodplain forests on rich alluvial soils and terra firme forests within Manu National Park in Peru. These forests receive 2100 mm of rain per year, most of which falls in October to May. Tree plot data from Cocha Cashu contain over 1215 tree species, documenting it as one of the most diverse and believed to be most pristine locations within Amazonia (Foster, 1990; Gentry and Terborgh, 1990; Fitman et al., 1999;
Silman et al., 2003). The floodplain forests behind the oxbow are believed to be >400 years old, with the interior forests likely much older (Silman and Krisel, 2006). No fire has been documented in the reserve in the last 45 years of continuous monitoring, and the only charcoal to come out of sediment cores from Cocha Cashu were derived from wood burned at the station after 1968 (Bush et al., 2007). Additional sets of sediment cores from nearby oxbow lakes contain no charcoal over the past 220–700 years (Listopad, 2001). New charcoal data are reported here from 37 soil cores spread across 10 sites within the old-growth forests of Cocha Cashu. Each core was collected at 10 cm intervals to a total depth of 80 cm. Previously published phytolith data from the terra firme forest at Cocha Cashu were used in regional comparisons, though assemblages from bamboo-dominated forests were excluded from the analysis because of their own very distinguishable phytolith signature (McMichael et al., 2013).

The Teotônio archeological site (−8.86, −64.06) is located on a river bluff on the Upper Madeira River in Brazil (Almeida and Neves, 2014). New charcoal data from two excavation pits and phytolith data from one of those pits are presented here, in sampling intervals from the soil surface down to a total depth of 120 cm in 10 cm intervals (0–10 cm, 10–20 cm, etc.) The site analyzed for phytoliths was composed of terra preta soils, and contained abundant pottery sherds, charcoal, and macrofossils, particularly in its upper layers (for additional details, see Almeida and Neves, 2014). Annual rainfall in the region ranges from 1800–3500 mm/year, with a dry season lasting from June through September that has <50 mm of rainfall per month (de Freitas et al., 2001).

Lake Ayaucah1 lies at 330 m above sea level on the eastern flank of the Andes, and is located above a floodplain that is within 2 km of Rio Santiago. The region receives 2000–3000 mm year−1 of rainfall with 0–2 months of dry season that supports dense tropical rain forest. Members of the Shuar nation currently inhabit the area, and they cultivate manioc, plantains, and papayas near the lake. The lake was cored in 1983 with a modified Livingstone piston-corer (Bush et al., 1989), and re-cored in 2007 to obtain sediment for high-resolution charcoal analysis (McMichael et al., 2012b). Palaeoecological analyses of the lake sediments and surrounding soils revealed localized maize (Zea mays) cultivation around the lake that began ca. 5800 cal year BP, and intensified ca. 2500 cal year BP (Bush et al., 1989; McMichael et al., 2012b). The agriculture around Lake Ayaucah was also associated with fire. Charcoal in the lake sediment increased ca. 2000 cal year BP, and the frequency of dated fragments from soil charcoal was highest during the period from 2500 to 500 cal year BP (McMichael et al., 2012c). Raw phytolith data were previously published (McMichael et al., 2012b), but not analyzed using the metrics presented below or in inter-regional comparisons.

Barcelos and Tefe are located in the central Amazon in Brazil. Both areas contain terras pretas and have a known archeological history (Heckenberger and Neves, 2009; Aldrich and WinklerPrins, 2010). Barcelos sits atop a river bluff on the Rio Negro midway between Manaus and Sao Gabriel da Cachoeira, and receives 2500 mm/year precipitation, with a dry season from January to March (da Silva, 2008). Tefe is located on the southern side of the Solimões River, and receives ca. 2500 mm/year precipitation, with a dry season lasting from July to September (da Silva and Pinheiro, 2010). Soil cores from both of these regions contained abundant charcoal, and the highest frequency of dates was from 2000 to 500 cal year BP (McMichael et al., 2012a). Phytolith data contained no evidence of agriculture, and little evidence of canopy opening at the sampled sites, which were located >10 km from any known terra preta or archeological site (McMichael et al., 2012a).

Lakes Gentry and Parker (hereafter Gentry) are located at elevations of 200–300 m, lie >200 km from the Andes, and are located >20 km away from the Madre de Dios River in southeastern Peru. A precipitation gradient extends across the Madre de Dios watershed, ranging from ca. 2000 mm/year−1 in the north to ca. 1700 mm/year−1 in the south. The duration of the dry season is typically 2–4 months. Lakes Gentry and Parker were cored in 1998 and 2001 (respectively) with a Colinvaux–Vohnout coring rig (Colinvaux et al., 1999). The sediment core from Lake Gentry contained Zea mays pollen beginning ca. 3500 cal year BP, and becoming more frequent from 2500 to 500 cal

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**TABLE 1 | Sampling effort for each region included in the analysis.**

<table>
<thead>
<tr>
<th>Location</th>
<th>Phytolith data</th>
<th>Agriculture</th>
<th>Charcoal data</th>
<th>Archeological data</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Total cores</td>
<td>Total samples</td>
<td>Total cores</td>
<td>terras pretas</td>
</tr>
<tr>
<td>Teotônio</td>
<td>1</td>
<td>11</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Barcelos</td>
<td>3</td>
<td>11</td>
<td>0</td>
<td>31</td>
</tr>
<tr>
<td>Tefe</td>
<td>10</td>
<td>39</td>
<td>0</td>
<td>40</td>
</tr>
<tr>
<td>Ayaucah</td>
<td>11</td>
<td>58</td>
<td>1</td>
<td>15</td>
</tr>
<tr>
<td>Gentry</td>
<td>10</td>
<td>78</td>
<td>1</td>
<td>79</td>
</tr>
<tr>
<td>Porto Velho-Manaus</td>
<td>16</td>
<td>50</td>
<td>1</td>
<td>52</td>
</tr>
<tr>
<td>Rio Branco</td>
<td>2</td>
<td>18</td>
<td>0</td>
<td>10</td>
</tr>
<tr>
<td>Los Amigos</td>
<td>3</td>
<td>13</td>
<td>0</td>
<td>74</td>
</tr>
<tr>
<td>Iquitos</td>
<td>3</td>
<td>7</td>
<td>0</td>
<td>40</td>
</tr>
<tr>
<td>Cocha Cashu</td>
<td>1</td>
<td>9</td>
<td>0</td>
<td>37</td>
</tr>
</tbody>
</table>

*Total samples* is the total number of samples (depth intervals) that composed the cores analyzed. Previously published data are from: *McMichael et al. (2012a); McMichael et al. (2012b); Piperno et al. (2015); and McMichael et al. (2013).
year BP (Bush et al., 2007). The core from Lake Parker did not contain any evidence of maize agriculture, though it did contain charcoal (Bush et al., 2007). Soil cores retrieved from around the lakes contained localized evidence of fire, and maize phytoliths were found at only one site within 100 m of the edge of Lake Gentry (McMichael et al., 2012b).

Iquitos lies in the Peruvian state of Loreto, and typically receives 3400 mm/year with basically no dry season (1999–2005 data; Morrison et al., 2010). Lake Quistococha, an archeological site ca. 10 km south of Iquitos contains artificial evidence of occupation from 1300 to 2500 cal. year BP (Rivas et al., 2006). Sedimentary sequences from nearby peats contain no evidence of human impact (Roucoux et al., 2013). Soil cores collected around the Iquitos region contained small, infrequent charcoal fragments (McMichael et al., 2012a). The only fragments that were sufficient size for $^{14}$C AMS dating (1 mg weight) provided fire dates of 2600 and 1000 cal year BP, and one modern date (McMichael et al., 2012a). No evidence of maize agriculture was found in the phytolith samples from Iquitos (McMichael et al., 2012a).

Los Amigos Biological Research Station is located at the confluence of the Madre de Dios and Los Amigos Rivers in southeastern Peru over 100 km away from Lakes Gentry and Parker. The area is also wetter, and receives 2700–3000 mm precipitation per year with a 3–5 month dry season (2000–2006 data; Pitman, 2008). Soil cores collected from the area contained evidence of fire over the last 4000 years, with increased frequency from 2000 to 500 cal year BP (McMichael et al., 2012c). No maize phytoliths were found in the soil cores.

Porto Velho is located in central Amazonia in Brazil, and has the same climatic conditions as described for Teotônio. Terra preta sites have been found along the Rio Madeira in between Porto Velho and Manaus (Levis et al., 2012). Soil cores have been analyzed from an area located along BR-319, which connects the cities of Porto Velho to Manaus (e.g., Fearnside and de Alencastro Graça, 2009; hereafter PV-M). Charcoal was present in varying amounts in the PV-M samples, and maize phytoliths were found in one sample near Porto Velho (McMichael et al., 2012a; Piperno et al., 2015). These samples were collected at least 20 km away from any known terra preta or archeological site. Fourteen $^{14}$C AMS dates were obtained from charcoal fragments in the PV-M cores. Five of the dates were from modern fires, and the remaining dates ranged from 2000 to 1000 cal year BP, with one date of 3500 cal year BP (McMichael et al., 2012a).

Río Branco is located in the Brazilian state of Acre, and the BR-164 traverses the state from Rio Branco in the east to Cruzeiro do Sul in the west. Annual rainfall near Rio Branco is ca. 1900 mm/year$^{-1}$. The dry season lasts from May to September and is most severe (i.e., <60 mm per month) during June, July, and August (Fonseca Duarte, 2006). The region contains geometric earthworks, termed geoglyphs, that were mostly formed from 2000 to 700 cal year BP (Schaan et al., 2012). Soil cores collected along the BR-164 in closed canopy forests (hereafter Rio Branco) contained little charcoal and no maize phytoliths (McMichael et al., 2013). A single $^{14}$C date of ca. 1900 cal year BP was obtained from the cores (McMichael et al., 2013).

**Laboratory Techniques**

The methodology of soil charcoal analysis followed standard techniques (McMichael et al., 2012c). Approximately 20 g of soil from each sample was used for charcoal analysis, though calculations of charcoal abundance were based off volumetric measurements of the soils to account for initial differences in soil moisture. Soils were defloculated in Alconox detergent and shaken for 24 h. Sediments were rinsed and sieved at 500 $\mu$m. Charcoal fragments were retrieved from the remaining sediments, and were photographed. The overall surface area of charcoal fragments was calculated for each sample (depth interval) using Image J Software. Surface area calculations were converted to estimates of charcoal volume per sample using the equations derived by Weng (2005).

Soil charcoal abundances, however, do not directly reflect fire parameters, but instead are a proxy for the presence or absence of fire (e.g., Clark and Patterson, 1997; Sanford and Horn, 2000). False positives in charcoal data (i.e., Type I error) may result from increased weathering and migration rates of charcoal particles through soil profiles (e.g., Carcaillet and Talon, 1996; Carcaillet and Thimon, 1996; Carcaillet, 2001), or from long distance transport of charcoal from more regional sources (e.g., Tinner et al., 2006). To reduce the likelihood of Type I error, we classified charcoal abundance measurements for each depth interval (mm$^3$/cm$^2$) of each core as trace amounts (<0.25 mm$^3$/cm$^2$) or significant charcoal (hereafter referred to as charcoal presence; >0.25 mm$^3$/cm$^2$) (McMichael et al., 2012a,c). Trace values include one to several tiny charcoal particles ca. 500 $\mu$m in size (smaller than a pinhead) found within a given sample. The categorization of charcoal presence is the equivalent of having larger-than-pinhead sized fragments present in a given sample, and is interpreted as an in situ fire event.

Phytoliths were extracted from ca. 20 g of soil using standard laboratory procedures (Piperno, 2006). Soils were defloculated, clays were removed, and soils were sieved through nested 250 and 53 $\mu$m sieves. Carbonates, organic matter, and humic acids were removed from the remaining sample material (0–53 and 53–250 $\mu$m fractions). Phytoliths were extracted from the remaining sediment by heavy liquid flotation using zinc bromide at a specific gravity of 2.3, and mounted on microscope slides using Permount mountant solution. The phytolith assemblages from the silt fraction (0–53 $\mu$m) were quantified by identifying 200 phytoliths per sample, and extended scans were carried out to search for the presence of rarer phytolith types. Phytolith morphotypes and identifications were classified as arboreal forest elements (arboreal), grasses and early successional herbaceous taxa (herbs), or palms. Palms are classified separately because they have diagnostic phytoliths (Piperno, 2006) and are recognized as one of the plant types likely to have been promoted by human activity (Clement, 1988; Miller, 1992). For example, *Bactris gasipaes*, the peach palm, was one of the most widely cultivated palms by indigenous people (Clement, 1988, 2006; Clement et al., 2010). Other palm species were also promoted by indigenous societies (e.g., Balee, 1988, 1989).
Quantifying Past Disturbance and the Vegetation Response

Charcoal Data
Charcoal presence was determined for each sample in each core for all of the regions, including the new data presented from Cocha Cashu and Teotônio. If any depth interval within a given core contained charcoal, the core was assigned as having charcoal presence. We then calculated the percentage of cores containing charcoal for each region. Though the Teotônio site only contains one soil profile that was examined for charcoal, it is well established that macroscopic charcoal is frequently found in lowland Amazonian archeological sites, and is a required component for the formation of terras pretas (Neves et al., 2004; Neves and Petersen, 2006). Thus, we are confident in assigning 95% as the likelihood of finding pinhead-sized charcoal in an ancient occupation site to use in statistical comparisons (see Section Multi-Proxy and Inter-Regional Comparisons of Ancient Disturbance).

Phytolith Data
We quantified percentages of arboreal, herb, and palm phytoliths for the Teotônio site. We then took those percentages and the previously published data from the other regions, and derived metrics of past vegetation change that could be compared within and between regions. Within-region variability was examined using boxplots that showed medians and quartiles of phytolith percentages of all samples within a region. To compare the regional values to the baseline sites of Teotônio and Cocha Cashu, which have only one core of phytolith data each (Table 1), we derived two core-level metrics that would allow the regional data to be compared with these sites. For each soil core, we calculated the trend of arboreal, herb, and palm phytoliths from the bottom to the top of the core (trend = surface–basal). The trend metric provided an estimate of the overall directionality of vegetation change through time. For the second metric, we used the maximum and minimum percentages of arboreal, herb, and palm phytoliths within each core to calculate the overall magnitude of change within that core (delta = maximum–minimum). This metric captured the vegetation flux and variability of the phytolith assemblages within a given core. The trends through time and magnitude of change metrics for each region were compared with both Teotônio and Cocha Cashu, separately, using one-sample t-tests with Bonferroni corrections (Quinn and Keough, 2002).

Multi-Proxy and Inter-Regional Comparisons of Ancient Disturbance
Though age-depth relationships cannot be assumed in soils, several generalizations can be made regarding the temporal context captured by the soil charcoal and phytolith data. The first is that while reversals in dated material indeed occur in Amazonian soils, the majority of dated charcoal fragments within single cores or profiles have maintained a general stratigraphic integrity. A second consistent pattern is that the first 80–100 cm of soil typically captures the last 3000–5000 years of fire history (Sanford et al., 1985; Piperno and Becker, 1996; Santos et al., 2000; Francis and Knowles, 2001; McMichael et al., 2012a,b,c). The third generalization is that 95% of dated charcoal fragments recovered from depths below 20 cm are older than 500 years (i.e., pre-Columbian aged), though in the upper 20 cm of soil approximately half of the dated fragments were modern-aged (McMichael et al., 2012c). Dated phytolith assemblages exhibit similar age—depth trends within a core as charcoal. The top meter of soil typically captures the last 3000–5000 years of vegetation history, though prehistoric-aged phytoliths are commonly found in the upper 20 cm of soil (McMichael et al., 2012a,b; Piperno et al., 2015). Here, we consider whether charcoal or changes in phytolith assemblages were found at any depth interval to ensure that we accounted for all pre-Columbian impacts on the Amazonian landscape. We do, however, realize that we are also likely capturing bits of historic period or modern disturbances in our analyses.

A “human impact score” was derived for each region based on the following lines of evidence contained within soil cores: (1) the presence or absence of a known archeological site (i.e., Teotônio), which includes sites containing terras pretas, earthworks, or pottery; (2) the presence or absence of agricultural pollen or phytoliths; (3) the number of cores per region that contained charcoal; (4) the magnitude of change of early-successional and grass taxa in a given core; (5) the magnitude of change of palm taxa within a given core; and (6) the total magnitude of change of arboreal taxa in a given core. The first two provide direct evidence of human activity, and were given a weighting of five (5). The third line of evidence is likely also a direct result of human activity and was given a weight of four (4). The last three provide indirect evidence (i.e., changes in vegetation that may or may not reflect human activity) and were given lower weights. We then used the weighted sum of all proxies as the “human impact score.”

Terras pretas were found at the Teotônio site, but have also been found in the Barcelos and Tefe areas. Though the nearest known terra preta site is over 10 km from one of the soil sampling sites, Barcelos and Tefe were also assigned as having terras pretas when deriving the human impact score. Evidence of agriculture was found at Teotônio, and has also been found at Lakes Ayauch’ and Gentry, and in one sample in the Porto Velho–Manaus region. Though fire is a known component of ancient human activity, and every sample from Teotônio contained abundant and large fragments of charcoal, we assigned Teotônio as having 95% of cores containing charcoal.

RESULTS

Charcoal
The Teotônio site had large and frequent charcoal fragments in every sample analyzed from 0 to 120 cm depth in each core. The survey at Cocha Cashu was conducted over an area of 8 km², and only six of the 37 cores (16%) contained macroscopic charcoal (Figure 2). When charcoal was found, it was in abundances just above the 0.25 mm³/cm³ threshold required to be considered present. Only two charcoal fragments recovered were large enough for 14C AMS dating, and both were barely larger than the required size of 1 mg dry weight. Those two fragments provided fire dates of ~1400 and 650 cal year BP, and were not recovered.
from the same core that was used for phytolith reconstructions (Core 9).

The percentage of cores containing charcoal from other regions ranged from 23% (Iquitos) to 90% (Barcelos). Barcelos and Tefe, which contain terras pretas within 10 km of the sampled locations (McMichael et al., 2012a), had the highest percentages of cores containing charcoal (90 and 75%, respectively). The lake sites, Ayauchi and Gentry, and the Porto Velho–Manaus region, all of which contained evidence of maize agriculture, had ranges of cores containing charcoal from 62 to 67%. The Rio Branco and Los Amigos regions in southwestern Amazonia contained charcoal in about half of the cores surveyed. Iquitos contained very little sign of charcoal, and very few fragments large enough for $^{14}$C AMS dating, similarly to Cocha Cashu.

**Phytolith Assemblages at Cocha Cashu and Teotônio**

The phytolith assemblages from the Cocha Cashu were consistently dominated by non-palm arboreal morphotypes (Figure 3). Arboreal phytoliths accounted for 80–90% of the entire assemblage at all depth intervals. Herb phytoliths were rare in the assemblage, and only reached 3% in the surface sample. The percentages of palm phytoliths were elevated in the upper 30 cm of the core, and reached a maximum percentage of 20%, which was equal to the lowest value of palm percentages found in the basal layers of the Teotônio site (Figure 3). The trend values (trend = surface–basal percentage) of arboreal, palm, and herb phytolith types at Cocha Cashu were −9, 7, and 2%, respectively. The delta values (delta = maximum–minimum percentage) for the arboreal, palm, and herb phytolith types were 15, 16, and 3%, respectively.

A mixture of arboreal and palm phytoliths dominated the phytolith assemblage at Teotônio (Figure 3). Phytolith assemblages fluctuated drastically in the Teotônio soil profile, and at any given depth interval, the arboreal and palm phytolith percentages ranged from 20 to 80%. Herbaceous (herb) phytoliths reached their maximum of 20% in the uppermost soil sample. Palms generally increased through time (going from the bottom of the core to the top) at the expense of other arboreal elements (Figure 3). The trend values (trend = surface–basal percentage) of arboreal, palm, and herb phytolith
types at Teotônio were −56, 44, and 10%, respectively. The delta values (delta = maximum–minimum percentage) for the arboreal, palm, and herb phytolith types were 62, 60, and 16%, respectively. The levels of the Teotônio site that contained the highest amounts of palm phytoliths also contained large amounts of charcoal and pottery, and were located within the terra preta layers (Figure 3). Based on 14C dating of the site (Almeida and Neves, 2014), palm increases occurred primarily after 1500 cal year BP.

**Interregional Comparisons of Phytolith Assemblages**

The cores exhibited variability within cores, but the median arboreal phytolith percentages were above 80% in all regions except at the Teotônio archaeological site, where percentages were markedly lower (Figure 4). The percentages of palm phytoliths were also higher in the Teotônio site compared with other regions (Figure 4). Much smaller, though noticeable, increases in palm phytolith percentages were also found in several samples at Lake Gentry, Lake Ayauchi, Rio Branco, and Tefé. Herb phytoliths were highly variable within some cores, including those examined from Teotônio and the lake sites (Figure 4).

The one-sample t-tests that compared the trends through time at Cocha Cashu with other regions revealed that the trends were significantly different for all phytolith types (arboreal, palm, and herbs) between Cocha Cashu and Iquitos (Table 2). The trends at Iquitos remained around zero for all phytolith types, whereas Cocha Cashu shifted a bit more through time toward increases in palms and herbs (Figure 5). It should be noted, however, that Iquitos had a small sample size (n = 3) compared with most of the other regions. The trends in increasing palms toward the surface of the core were also significantly higher in Cocha Cashu than at Lake Ayauchi (Table 2, Figure 5). The trend of increasing herb taxa toward the surface of the core was also significantly higher for Cocha Cashu compared with Lake Gentry, which became more dominated by arboreal elements toward the tops of the cores (Table 2, Figure 5).

When the delta values from the Cocha Cashu core were compared with the other regions, several differences emerged (Table 3). The Cocha Cashu arboreal percentages fluctuated, i.e., had delta values, greater than the Porto Velho–Manaus (PVM), Iquitos, or Barcelos regions (Table 3, Figure 6). The delta value for the change in palm phytoliths was also significantly higher in Cocha Cashu than in Iquitos or Barcelos (Table 3, Figure 6). The delta value in herb phytoliths was significantly higher at Cocha Cashu compared with Iquitos, but was significantly lower than the delta values from Lakes Ayauchi and Gentry, and Tefe (Table 3, Figure 6).
The one-sample \( t \)-tests that compared the regional phytolith trends through time (trend values) and magnitude of vegetation change (delta values) with Teotônio were significant for arboreal, palm, and herb phytoliths for all regions (Tables 2, 3). The trends toward increased palms and herbs toward the top of the core were significantly higher at than at Teotônio than at any other region surveyed (Figure 5). The increase in palms and herbs toward the top of the core at Teotônio came at the expense of arboreal vegetation (Figure 5). The delta values were also significantly higher at Teotônio than in the other regions, clearly illustrating the direct and detectable effect of ancient people on vegetation (Figure 6).

### Regional “Human Impact” Scores

Based on the collective archeological and palaeoecological evidence, the weighted human impact scores ranged from 635 (Teotônio) to 52 (Iquitos), with lower scores meaning less evidence of human impact and vegetation change (Table 4). The impact score of Cocha Cashu was 60, very similar to Iquitos. Impact scores for other regions ranged from 107 to 370, which were about double the scores found at Cocha Cashu or Iquitos, but yet half of the Teotônio score. The sites in close proximity to archeological sites (including Teotônio) and the lake sites had the highest impact scores. Other than at the two lake sites in western Amazonia, higher impact scores were found in central Amazonia compared with western Amazonia (Figure 7). Also, the higher impact scores correspond with areas predicted to have higher human impacts based on the locations of terras pretas, earthworks, and major archeological sites in Amazonia (Figure 7) (McMichael et al., 2014a,b).

### DISCUSSION

#### Relevance to Modern Ecology

Most scholars loosely agree on the heterogeneity of the ancient human footprint in Amazonia (Heckenberger and Neves, 2009; McMichael et al., 2012a; Clement et al., 2015), and the data presented here confirm that some areas contained a more intensive ancient human footprint than others (Table 4, Figure 7). The distributions archeological evidence are not randomly distributed across the landscape, and areas that likely contained the majority of ancient human impacts in Amazonia are located along predictable environmental gradients (Figure 7; McMichael et al., 2014a,b). Terras pretas distributions are most closely associated with areas below 100 m elevation and on river bluffs located within 10 km of the Amazon River and its major tributaries (McMichael et al., 2014a). Teotônio fits this description, as it is located on a river bluff along the Upper Madeira River (Almeida and Neves, 2014). Earthwork construction in the region of Acre, Brazil was likely associated with proximity to the nearby ca. 165,000 km\(^2\) of bamboo-dominated forests (Guadua spp.; McMichael et al., 2014b). The predicted distributions of terras pretas and earthwork sites, alongside the locations of complex or hierarchically structured archeological sites, suggest that the majority of ancient human impacts occurred in the more seasonal areas of eastern and
FIGURE 5 | Temporal trends from base to surface of the core. Positive values indicate an increase in those elements through time, negatives values indicate decreases. Regional codes are the same as listed in Figure 3. See Table 1 for total number of samples analyzed from each region.

southern Amazonia (Figure 7; Bush et al., 2015). Precipitation seasonality likely affected the distribution of ancient people on regional scales, and proximity to river seemed to drive their distributions within regions and on local scales (Denevan, 1996; Bush et al., 2015).

The seasonal regions of the Amazon, here considered those containing more than 3 months per year with <100 mm precipitation, have a higher density of known settlement sites (McMichael et al., 2012a, 2014a; Neves, 2013). And within regions, the sphere of influence around occupied sites in the seasonal forests is seemingly greater than in the aseasonal areas. The soils sampled in Tefé, which is a seasonal region, were within 10 km of several known terra preta and archeological sites. The evidence of past fires and localized canopy openings were still visible at this distance. The opposite scenario was documented in the more aseasonal areas of Iquitos. Several soil samples were located within 10 km of the Quistococha archeological site, and they contained little evidence of fire and no evidence of impact on the vegetation in the phytolith records. Riverine bluff samples from Iquitos also showed little to no evidence of ancient human activity (McMichael et al., 2012a). These data suggest that localized distributions of ancient human occupation sites were also influenced by regional environmental characteristics.

The impact scores were in agreement with these models, except for the lake sites in the aseasonal portions of western Amazonia, which contained higher impact scores than most of the regions surveyed (Table 4, Figure 7). Lake settings are also known to be preferable settlement locations, and about half of the lake sediment records from Amazonia contain evidence of human activity, though typically in temporally discontinuous patterns (Bush and Silman, 2007). Lake Ayauch1, located in northwestern Amazonia, had the second-highest human impact score (Table 4, Figure 7). The lake is located on a river bluff at the base of the Andean flank, and has the oldest record of maize agriculture in Amazonia (Bush et al., 1989). But within a given watershed, some lakes will show evidence of ancient human activity (fire and agriculture) while others contain no charcoal and no evidence of people (Bush et al., 2007). These data confirm the anthropogenic nature of late-Holocene fire in Amazonian systems, and suggest that ancient population densities were not high enough that people occupied all suitable lakes.

The predicted and observed distribution of these ancient impacts (Figure 7) did not show any correspondence with Amazonian alpha-diversity patterns, which are highest in the aseasonal forests of northwestern Amazonia, and decrease going into the more seasonal forests of the east and south (Gentry, 1988; Silman, 2007). The northwestern forests of Iquitos actually contained little evidence of fire, and showed the smallest magnitude of vegetation change compared with any region surveyed (Figures 5, 6, Table 4). It is also located near the center
of Amazonian biodiversity. Overall, empirical data suggests that Amazonian diversity is higher in areas that were much less likely to have contained a strong footprint of ancient people.

Further, our data support the view that the hyper-diverse forests of Cocha Cashu are some of the most undisturbed forests remaining in the Amazon (Terborgh, 1990). It would be interesting to perform proper archeological surveys in this region to further test this hypothesis. A nearby research station, Los Amigos (also known as CICRA), is in the same climatic zone as Cocha Cashu. But almost half the cores from Los Amigos contained evidence of fire, and only six cores from Cocha Cashu (16% of total) contained charcoal (Table 4). Though we did not have enough replicate cores at Los Amigos to test for significant differences in vegetation change through time with Cocha Cashu, the trend and delta values for Los Amigos suggested little vegetation change and showed similar patterns to Cocha Cashu and Iquitos (Figures 5, 6). Artifacts have been recovered from a streambed at Los Amigos and were on display at the research station (Pitman, N., personal communication). Though undated, the presence of artifacts supports charcoal data and phytolith data that suggested low-impact and localized ancient human activity occurred in the Los Amigos region primarily from 3000 to 1000 years ago (McMichael et al., 2012a,c).

The Los Amigos and Cocha Cashu research stations provide an excellent opportunity for comparing tree plot data with modern and prehistoric phytolith signatures to examine the successional trajectories of tropical forests under different ancient disturbance regimes. Most ecological study sites (i.e., tree plots) are located in areas that are not known to contain archeological features or modified *terra preta* soils (Quesada et al., 2011). The actual human history of those sites, however, is largely unknown because there have been no proper archeological or paleoecological surveys. Performing charcoal and phytolith analyses on soils from ecological research stations and deriving human impact scores, such as those presented here, would provide a longer-term history of vegetation change in locations where modern ecological dynamics are currently being studied on shorter timescales. The integration of paleoecological and archeological approaches (Mayle and Iriarte, 2014) into a modern ecological context can provide additional factors to explain variance in the observed processes and patterns in Amazonian forests.

A suite of 227 species, termed hyperdominant species, accounted for approximately half of all the individual trees inventoried across 1170 Amazonian tree plots (ter Steege et al., 2013). One hypothesis for this pattern is that ancient people cultivated and promoted particular species in the areas that they inhabited. Arecaceae, the palm family, was the second most common family of plants documented in this survey of over 1400 tree plots in Amazonia, and 15 species of palm were considered hyperdominants (ter Steege et al., 2013). Palms are also some of the most economically important species in Amazonia (e.g., Clement, 1988, 2006; Rull and Montoya, 2014). An interesting and valuable avenue for future research will be to determine to what extent the modern Amazonian palm distributions have resulted from human manipulation, as was seen at Teotônio.

### Table 3 | One-sample t-test results from regional comparisons of delta values (delta = maximum–minimum) of arboreal, herb and palm phytoliths with values obtained at Cocha Cashu and Teotônio.

<table>
<thead>
<tr>
<th>Regions</th>
<th>Phytolith type</th>
<th>Cocha Cashu</th>
<th>p*adj</th>
<th>Teotônio</th>
<th>p*adj</th>
</tr>
</thead>
<tbody>
<tr>
<td>PVM</td>
<td>Arbooreal</td>
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<td>0.01</td>
<td>-27.81</td>
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<td>0.01</td>
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<tr>
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<td>0.14</td>
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</tr>
<tr>
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The delta values for Cocha Cashu were 15, 16, and 3% for arboreal, palm, and herb phytoliths, respectively, and regional means were compared with these values. The trend values for Teotônio were 62, 60, and 16% for arboreal, palm, and herb phytoliths, respectively, and regional means were compared with these values. Significant comparisons are shown in bold. ts, test statistic; df, degrees of freedom; p, p value; p*adj, p-value adjusted with Bonferroni correction; PVM, Porto Velho–Manaus.
(Figures 4–6). Though as the Cocha Cashu data have shown, changes in palm abundances through time can occur at sites that so far contain very little evidence for past human activity (Figures 4–6). Thus, the even broader question remains as to whether the suite of hyperdominants is a stable feature of the landscape, or if decadal-scale ecological observations are just a fleeting glimpse into a dynamic system with high rates of ecological turnover, albeit natural or anthropogenic, through time.

**Quantifying a Gradient of Ancient Disturbance**

The phytolith analyses, including the metrics used to assess within-region and between-region variability, were sufficiently sensitive to detect changes in forest composition, both in the presence and likely absence of human activity (Figures 3–6). The detection ability documented in this study suggests that phytolith analysis of soils can accurately reconstruct forest stability or dynamism across space, and through time when material is dated. These results demonstrate an avenue to directly relate prehistoric disturbances, or disturbances from the historical period, with modern observations of forest composition. The lack of this linkage was a limitation in previous work that associated modern Amazonian flora with pre-Columbian human activity (Levis et al., 2012).

The data presented here also identify regions that can be considered baselines from which to measure ancient disturbance, which recent reviews claiming that the majority of Amazonia was domesticated failed to do (Clement et al., 2015). Palms are well known to be important sources of food and building material for indigenous and local people (Clement, 1988, 2006; Sosnowska et al., 2015). Increased densities of both useful and non-useful palm species have been reported at other archeological sites, especially those containing *terra pretas* (Fraser and Clement, 2008; Fraser et al., 2011; Junqueira et al., 2011). The lasting signature of ancient palm enrichment that has occurred over the last 1500 years was clearly documented in the phytolith assemblages from Teotônio (Figure 3), providing a baseline to compare with other regions of unknown human history. Though palms tended to increase from the bottom to the top of the core in several regions, it was never at the magnitude recorded at Teotônio (Figure 5). Anthropogenic influence has also been proposed for increased palm densities in the Gran Sabana region of Venezuela (Rull and Montoya, 2014). Patterns of Brazil nut (*Bertholletia excelsa*) distribution and abundance have also been linked to ancient human activity and proximity to *terras pretas* (Shepard and Ramirez, 2011; Thomas et al., 2015).
TABLE 4 | Human impact scores calculated from regions included in Figure 1.

<table>
<thead>
<tr>
<th>Location</th>
<th>Code</th>
<th>Cores</th>
<th>TP</th>
<th>W(TP)</th>
<th>Ag</th>
<th>W(Ag)</th>
<th>%Char</th>
<th>W(Ch)</th>
<th>dESH</th>
<th>W(dESH)</th>
<th>dP</th>
<th>W(dP)</th>
<th>tAR</th>
<th>W(tAr)</th>
<th>Impact score</th>
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<td>3</td>
<td>100</td>
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<td>16</td>
<td>1</td>
<td>9</td>
<td>60</td>
<td></td>
</tr>
</tbody>
</table>

Impact scores were calculated based on TP (presence/absence of terra preta) and its weighting (W\(_{(TP)}\)), Ag (percentage of sites with evidence of agriculture) and its weighting (W\(_{(Ag)}\)), the percentage of cores that contained charcoal (\%Ch) and its weighting (W\(_{(Ch)}\)), magnitude of change of herb (dESH) and palm (dP) phytoliths and their weightings (W\(_{dESH}\)) and W\(_{dP}\)), and magnitude of overall change of arboreal phytoliths and its weighting (W\(_{tAR}\)). The sums of the weighted values were used to calculate the Impact Score.

FIGURE 7 | Summed probabilities of predictive models of terras pretas (McMichael et al., 2014a), geoglyphs (McMichael et al., 2014b), and major archeological sites (Roosevelt et al., 1991, 1996; Erickson, 2006; Heckenberger et al., 2008) within Amazonia sensu stricto. The highest probabilities indicate where anthropogenic influence on past, and potentially present, vegetation is most likely based on available data. It is worth noting, however, that additional unreported data on archeology that could be used to refine this model within regions of Amazonia, and extend the predictions into the regions surrounding Amazonia.

It must be noted, however, that an observed high density of Brazil nut, or Arecaeeae (palms) does not necessarily imply ancient human manipulation. The Cocha Cashu phytolith assemblages establish a first measurement of the variability of palms through time where ancient human impact appears to be much lower than in the other regions surveyed (Table 4). Though we cannot say that humans never inhabited the Cocha Cashu landscape, we can say that it has not experienced frequent or recurrent fire, contained no evidence for significant vegetational modifications, terras pretas, or artifacts in the soil surveys conducted across the site, and varied in the palm and arboreal phytolith percentages by 20%. The trends of increasing abundances and variances of palms in Cocha Cashu and the other surveyed regions that contained similar variability, cannot be attributed to a specific cause. While humans may have played a role in palm increases in those regions, the overall absence of associated evidence for significant vegetation modification by humans at the sites studied suggest it was not outside the scope of natural variation or Holocene climate change.

All other regions that were sampled exhibited a lesser human signature than Teotônio, but more evidence of human activity than Cocha Cashu and Iquitos. These lightly-
moderately-impacted regions contained evidence of past fires and/or evidence of localized agriculture. A clear and expected trend in the vegetation response was the increase of herb phytoliths in areas containing more evidence of fire (Figures 4–6, Table 4). Herb phytoliths were rare to nonexistent in the Cocha Cashu and Iquitos samples, which also contained little to no fire in the regional charcoal surveys.

Soil data from around Lakes Ayauch (Ecuador) and Gentry (Peru) contained trends toward more forested systems in modern times (i.e., signals of localized ancient forest clearing), and localized increases in herb and palm phytoliths (Figures 3–5). These soil data have corresponding lake sediment archives (Bush et al., 1989, 2007; McMichael et al., 2012b). These lake records and soil records contained evidence of localized fire and maize cultivation primarily between 2500 and 1000 cal year BP (McMichael et al., 2012b), and the Lake Ayauch record contained the oldest evidence of maize cultivation documented in Amazonia at ~6000 cal year BP (Bush et al., 1989). Though both sites contained relatively similar percentages of cores containing charcoal, maize phytoliths were found in six cores at Lake Ayauch, and in only one at Lake Gentry (McMichael et al., 2012b).

Signatures of human activity in paleoecological records, including the presence or absence of charcoal, presence or absence of agricultural microfossils, presence or absence of ceramics, lithics, and other cultural artifacts, and presence or absence of terra preta soils, can all be assessed alongside phytolith assemblages to provide a robust reconstruction of effects of ancient humans on the vegetation. Here we have provided a method to quantify those effects across regions and with dealing with unequal sample numbers. Multi-proxy approaches are required to continue unraveling the effects of both ancient and modern humans on Amazonian landscapes.

**AUTHOR CONTRIBUTIONS**

CM conceived the idea, collected the soil cores, contributed to the phytolith analysis, and wrote the manuscript. DP conceived the idea, and performed the majority of the phytolith analyses. EN conceived the idea and performed the archeological surveys MB conceived the idea. FA and GM performed the archeological excavations. ME performed the charcoal analyses.

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