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PRONOMINAL CLITICS IN OLD ROMANIAN: THE TOBLER-MUSSAFIA LAW

ALEXANDRU NICOLAE¹, DANA NICULESCU²

Abstract. This study analyzes the position occupied by pronominal clitics in the clause with respect to the verb in old Romanian (OR) on the basis of an extensive corpus analysis of 16th – 18th century texts. The corpus analysis shows that, from the earliest texts, OR pronominal clitics are attested in second, third, fourth, etc. position in the clause, and exceptionally also in first position. Therefore, they do not fully observe the Tobler-Mussafia Law, which was in function in old Romance languages. OR pronominal clitics are IP-clitics, which can be placed both in pre- and in postverbal position (proclisis and enclisis). Gradually, due to the going reduction of V-to-C movement, pronominal proclisis generalizes.

Keywords: pronominal clitics, enclisis, proclisis, old Romanian, the Tobler-Mussafia Law, V-to-C movement.

1. INTRODUCTION

Word order in old Romanian (OR) is generally freer than in modern Romanian (MR). For instance, in OR, arguments of nouns could be placed not only to the right, but also to the left of their head and pronominal clitics and auxiliaries could be positioned both pre- and postverbally; scrambling is attested in the verbal domain – the displacement of the clitic / auxiliary / clitic plus auxiliary from the lexical verb through the insertion of phrasal constituents (Dragomirescu 2013, 2014) –, as well as in the nominal domain – the adjunction of adverbials and conjunctions to phrasal DP-internal constituents (Nicolae 2015).

Having a descriptively oriented empirical goal, this article investigates OR word order as reflected by (a) the position occupied by dative and accusative pronominal clitics within the clause (first, second, third position, etc.) and (b) their ordering in relation to their morphosyntactic host, the inflectional phrase, aiming to reveal the changes that took place in the transition from old to modern Romanian (MR). The ordering of OR pronominal clitics within the cluster is identical to that of MR, namely the fixed dative–accusative order. However, while in MR pronominal clitics generally occur to the left of the verb (in

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proclisis), except for gerunds and imperatives, in OR, they are attested both as proclitics and as enclitics with all types of verb forms. These two word orders characterize not only old Romanian, but also the older stages of other Romance languages (de Dardel and de Kok 1996, Fontana 1997, Fischer 2002, Poletto 2014 a.o.). Previous studies on old Romance (some of them including Romanian data) account for the possibility of pronominal enclisis by invoking the Tobler-Mussafia Law, while other analyses focusing especially on Romanian look at the relation between postverbal clitic placement and Wackernagel’s Law.

We aim to determine the extent to which either the Tobler-Mussafia Law or Wackernagel’s Law were in effect in the earliest OR texts. We argue that, as early as the 16th century, OR clitics are not Wackernagel clitics, a conclusion confirming the results reached by Alboiu and Hill (2012), Hill and Alboiu (2016: 61 ff.), whose analysis is based on a later and much smaller corpus of OR texts than the one used here. The fact that OR clitics take the inflectional phrase as their morphosyntactic host raises the question whether they might observe the Tobler-Mussafia law, which supposedly was in effect in Romanian in a stage prior to its first attestations.

In order to establish the place of OR pronominal clitics in the clause and within the IP, we conducted an exhaustive corpus analysis for the first OR period (1500–1640) and an analysis of a large second OR period corpus (1640–1780), using the OR corpus compiled by Emanuela Timotin for The Syntax of Old Romanian (ed. Gabriela Pană Dindelegan, in preparation). It is important to mention that both corpora contain translated, as well as original Romanian texts. Most of the translated texts have an Old Church Slavonic source (a lesser number of texts have a Hungarian or Greek source), which raises the question whether some of the encountered syntactic structures are literally copied from the original. While this does remain a possibility, we maintain the hypothesis that the syntax of these texts, including the position of clitic pronouns, reflects the real usage of the period to a great extent; therefore, we take the data drawn from translations to be relevant for our investigation.

The novelty of our analysis in relation to previous studies on pronominal clitic word order consists in a systematic (and partly exhaustive) corpus analysis of OR, which provides a precise image of the range of word order possibilities in this period and of the changes taking place from the first to the second OR period.

With respect to the syntax of the clause, we adopt the following generally accepted ideas in the literature: (i) clauses are split into three syntactic domains, the (discourse-related) CP-field, the IP-field (hosting mainly the Mood-Tense-Aspect projections, but also accommodating other types of syntactic material), and the VP-field (the thematic domain), hierarchically structured (CP > IP > VP) and (ii) verbs undergo movement on the clausal spine, movement being always to the left (i.e. raising).

The paper is structured as follows: section 2 briefly discusses the Tobler-Mussafia Law in relation to Wackernagel’s Law and the accounts that were proposed in the literature for the fixed position of pronominal clitics within the clause; section 3 contains the results of the corpus analysis; section 4 brings evidence that the OR pronominal clitics attach at the level of the inflection, instead of the level of the complementizer phrase, and, therefore, are verbal clitics; the fifth section is represented by the conclusions.
2. OLD ROMANIAN CLITICS AND THE TOBLER-MUSSAFIA LAW

The Tobler-Mussafia Law (TML) was put forth by Adolf Tobler (1875) and Adolfo Mussafia (1886) with reference to old Romance languages, i.e. old French and old Italian. In a nutshell, it states that clitic object pronouns cannot occupy the initial position in the clause (Salvi 1993, Benincà 1994, de Dardel and de Kok 1996, Fontana 1997, Fischer 2002, Salvi 2011: 363–365), where the initial position is defined with respect to the minimal clause, without hanging topics or left dislocated elements, which are extrasentential (Salvi 1993, Ledgeway 2012: 161). Thus, pronominal clitics have a strong tendency to occur in the second position of the clause. If the verb phrase occupies the first position, the pronominal clitic will be placed postverbally, a possibility lost by the modern Romance languages, except for Portuguese and Asturo-Leonese varieties (Martins 1995, Salvi 2011: 364).

Wackernagel’s Law (WL), formulated by Jakob Wackernagel (1892), provides a phonological generalization regarding the position occupied by clitics in the clause in Indo-European languages. The law states that inherently unstressed/unstressable words (i.e. clitics) are generally found in second position in the clause, after the first prosodic word, and they cannot occupy the clause’s first position (Fischer 2002: 26). WL manifests in Indo-European, Latin, Ancient Greek, Old English, Old High German, Modern German, Slavic languages, with the exception of Modern Bulgarian and Macedonian (Cardinaletti and Roberts 2002, Bošković 1999, Tomić 2004, Pancheva 2005).

The main characteristics of Wackernagel (W) clitics are: (a) they do not need to be adjacent to the verb, as in Old Bulgarian (Pancheva 2005), and (b) they can occur at the left of negation, as in Serbo-Croatian (Rivero 1997). In opposition to them, Tobler-Mussafia (TM) clitics must be placed adjacent to the inflection and at the right of sentential negation. This different syntactic behaviour reflects the fact that Wackernagel clitics are Complementizer-oriented (clausal clitics), while TM clitics are Inflection-oriented (verbal clitics; Rivero 1997). However, there is a relation between TML and WL: studies on old Romance languages agree that TML is the Romance variant of Wackernagel’s law (Wanner 1987, Rivero 1991, Salvi 1993, Martins 1995, de Dardel and de Kok 1996, Fischer 2003, Ledgeway 2011, 2012 a.o.). It should be noted that these two laws actually describe tendencies, not unbreakable laws, since there are exceptions to second position clitic placement, as shown, for example, by Taylor (1990) (apud Fontana 1997) and Halpern (1995).

Halpern (1995) makes a typology of second position clitics in general, distinguishing between Second Word (2W) and Second Daughter (2D) languages according to the type of constituent which acts as the host of encliticization. In 2W languages, clitics are placed in second position after the first prosodic word of the clause, even if this means placing them inside a complex constituent (e.g. Serbo-Croatian), while in 2D languages, clitics occur in second position after the first phrase of the clause (e.g. old Romance languages, see (1)).

(1) a. [Toutes ces choses] te presta Noste Sires (old French) all these things CL.DAT.2SG lent our Lord ‘All these things our Lord lent you’
   (de Kok 1985: 74, in Cardinaletti and Roberts 2002)
The possibility of placing pronominal clitics to the right of the verb (2) is attested from the 13th century up to the 15th century in Italian, up to the 16th century in French, and up to the end of the 17th century in Spanish and Portuguese; in Portuguese, the verb–clitic word order occurs again from the 19th century. Across Romance, the TML stopped being consistently observed between the 13th and the 17th centuries, with French as the first Romance language where the TM Law started to erode (13th c.) and Portuguese the last (17th c., Martins 1995, Fischer 2002: 56).

(2) Doné-li terme (old Piemontese) gave.3sg=CL.DAT baths
‘He gave them baths’ (Sermones Subalpini.12th–13thc., in Benincà 1994)


Syntactic accounts place second-position clitics in the head or specifier of a phrase that is the complement of C and, on its turn, takes the verb’s inflection as its complement. The phrase is labelled Agr1P (Cardinaletti and Roberts 2002), Wackernagel P / Tobler-Mussafia P (Rivero 1997), or ΣP (Fischer 2003). Alternatively, it has been proposed that second position Romance clitics adjoin at IP/TP (Fischer 2003, Pancheva 2005). The ban on the clitic’s first position was analysed as a verb-second effect, since old Romance languages are verb-second languages (Adams 1987, Benincà 1995, Manzini 1994, Fontana 1997, Hirschbühl and Labelle 2000, Salvi 2004, Ledgeway 2007, 2008, 2012: 162, Poletto 2014; but see Rivero 1997 for arguments that old Spanish is not verb-second). In verb-second languages, verb movement (to the Finiteness Phrase of the C-domain, Ledgeway 2008, 2012: 161) and the presence or absence of a phrasal constituent XP in the CP (Theme / FocusP) determine the pre- or postverbal position of the clitic. When a constituent is in the Theme / FocusP, the verb is in second position, therefore the XP – clitic – verb word order is obtained, since the clitic does not count as a prosodic word. When the Theme / FocusP is empty, the verb raises to C giving rise to a verb-first (V1) structure, and the verb – clitic word order is obtained (Salvi 2004, Labelle and Hirschbühl 2005, Benincà 2006, Ledgeway 2008). In this paper, we shall also assume that the pre- and postverbal placement of pronominal clitics is the consequence of the level of verb movement (V-to-I or V-to-C).

Halpern (1995) puts forth a syntactic analysis that includes a PF operation, i.e. movement in the phonological component, which is considered responsible for the placement of the clitic in second position, in order to avoid starting a clause with an unstressed constituent.
There are also phonological accounts (Fontana 1993, Bošković 1999) arguing that clitic placement takes place in syntax, and no further movement operations occur in the phonological component; however, phonology filters out those word orders that are grammatical, but do not conform to the clitics’ requirement to attach enclitically to their host.

3. THE EVOLUTION OF OLD ROMANIAN CLITIC PLACEMENT FROM A ROMANCE PERSPECTIVE

The main observation about OR clitics of previous studies is that old Romanian had second-position clitics. In the earliest old Romanian texts, dating from the 16th century, first position clitics are generally avoided. This was explicitly or implicitly put down to the action of either the Tobler-Mussafia Law or of Wackernagel’s Law (Frâncu 1980, 1997: 172, de Dardel and de Kok 1996, Reinheimer Ripanu 2002, Alboiu and Hill 2012). Although Romanian is a Romance language, Frâncu (2009) argues that Wackernagel’s Law could have been in effect in OR as a consequence of the Old Slav(on)ic influence. Counterarguments to this claim are brought by Alboiu and Hill (2012), who show that 17th–18th century old Romanian did not have Wackernagel clitics. Furthermore, Alboiu, Hill and Sitaridou (2014) agree with Benincá (1983/4, apud Alboiu, Hill and Sitaridou 2014) that Romanian may have been a V2 language in a period for which there are no attested texts; however, as early as the first Romanian documents of the 16th c., the alleged V2 rule was no longer active.

3.1. What counts as first position in Romanian and in the early Romance clause?

Before we investigate the position occupied by OR pronominal clitics in the clause, it is incumbent on us to establish what counts as the first position of a clause and whether there are differences between the data contained in the old Romanian corpus and the data provided by existing studies on medieval Romance languages.

3.1.1. Clitic placement after a coordinating conjunction

Romance continuators of the Latin coordinating conjunctions ET ‘and’, AUT ‘or’ and MAGIS, originally an adverb, but having the same behaviour as the other conjunctions in old French, where it came to have the meaning ‘but’ (de Dardel and de Kok 1996: 126), are argued not to function as first prosodic words in early old Romance, when the TML was strictly applied. The consequence is that clitics were not allowed to attach enclitically to these conjunctions (Renzi 1992: 279, de Dardel and de Kok 1996: 113, Wanner 1987), so that the word order was and/but/or – XP/verb – clitic. Hirschbühler and Labelle (2000) call this stage the strict Tobler-Mussafia phase (3)–(4).

\[ \text{[...]} \text{ and placed CL.ACC on the bed David} \]

‘and placed it on David’s bed’ (QLR 2 R 8.27, in Hirschbühler and Labelle 2000)
As early as the end of the 12th century, French clitics start to occur immediately to the right of *et* ‘and’ (Hirschbühler and Labelle 2000), as shown in (5), which means that the coordinating conjunction was reanalyzed as the first word of the clause.

(5) Au  soir  dist  Lancelos a la dame…, et  la  mercia moult at.the  evening said  Lancelot to the lady  and  CL.DAT  thanked much  ‘In the evening, Lancelot said to the lady..., and thanked her very much’ (Artu 56,2, in Benincà 1994)

As far as OR is concerned, the corpus analysis has shown that in 16th century texts there are only attestations of the *e* (< ET) – (XP) – verb – clitic word order (6). Considering that first position clitics are exceptional in 16th century texts, the postverbal placement of the clitic with this conjunction can be due to the fact that, in the earliest documents, the Romanian conjunction *e*, now obsolete, does not function as a first position in the clause.

(6) e  pleca-te-veri (CC¹.1567: 165)  
and  humble.INF=CL.REFL.ACC.2SG=AUX.FUT.2SG  
‘and you will humble yourself’

From the 17th century onwards, the *e* – clitic – verb ordering is attested in Romanian texts (7). This coincides with the increase in frequency of first-position pronominal clitics; therefore we cannot decide whether *e* ‘and’ is reanalyzed as a first prosodic word of the clause at this point in the history of Romanian or the pronominal clitic itself is in first position.

(7) e  să  boteadză (CazV.1643: 413)  
and  CL.REFL.3SG  baptize.PRES.3SG  
‘and he gets baptized’

Differently from the status of *e* ‘and’ in the 16th century, the coordinating conjunctions *şi* ‘and’, *ci/ce* ‘but’, *însă* ‘but’, and *au* ‘or’ function as clause-initial elements, as shown by the fact that clitics frequently occur to their right, in second position (8)–(10). A possible explanation for the different behaviour of the two synonymous conjunctions, *e* and *şi* ‘and’, is that the former has its etymological source in a Latin conjunction (*et*), while the latter continues the Latin adverb *sic* ‘so’, which always functioned as a first prosodic word of the clause. The *şi / ci/ce / însă / au* – verb – clitic word order is, however, not excluded in OR (11).

(8) şi  mă  veselesc (CP¹.1577: 50)  
and  CL.REFL.ACC.1SG  rejoice.PRES.1SG  
‘and I rejoice’

(9) nimini  de rudele  meale  nu  m-a  grijit,  
nobody  of  relatives.DEF  my  not  CL.ACC.1SG=AUX.PERF take.care.PPLE
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One special context in which pronominal clitics are in preverbal position after a coordinating conjunction is represented by verbs in the imperative. Attestations of the clitic–imperative word order are found both in OR and in MR, such as in (12), in the second conjunct of a coordination.

(12) ia patul tău ș i te du în casa ta take.IMP bed.DEF your and CL.ACC.2SG go.IMP in house.DEF your
‘Take your bed and go into your house!’ (CC2.1581: 55)

3.1.2. The sentential negator nu (‘not’)

In the strict TML phase, the sentential negator counts as the first prosodic word of the clause (de Dardel and de Kok 1996: 115). Our corpus analysis has shown that the situation of 16th century OR is similar to that of other Romance languages. There are many attestations of the negative adverbial nu (‘not’) in first position in the clause, followed by the pronominal clitic in preverbal position (13)–(14).

(13) Nu ți se cade să o ia iai ea not CL.DAT.2SG CL.REFL.ACC ought SĂSUB CL.ACC.3SG take.SUBJ her
‘You ought to not take her’ (CT.1561: 30’)

(14) Nu se va muta cătră tine hicleanulu not CL.REFL.ACC.3SG AUX.FUT.3SG come.INF towards you evil.DEF
‘The evil one will not come to you’ (PH.1500–10: 3’)

3.2. Old Romanian second-position clitics

Our extensive 16th century corpus analysis has shown that second-position clitics occur both in main (15)–(25) and subordinate clauses (26)–(27). In main clauses, proclitic second-position clitics are attested in declaratives (15), in yes-no interrogatives (16), as well as in wh-interrogatives (17), with an adverbial (15) or DP (16)–(17) occupying the first position in the clause.
(15) Aşa mă rog domnivoastră (DÎ.1599–600: XXII)
so CL.REFL.1SG ask.PRES.1SG you.POL.DAT
‘so I ask you’

(16) Frate drag, voiați-e această fată in leagerea lui Dumnezeu
brother.VOC dear will.DEF CL.DAT.2SG=your this girl in law LUI God
să o iai la tine, să-ți fie căsătorie?
SĂACC.CL.ACC.3SG take.SUBJ at you SĂSUBI=CL.DAT.2SG be.SUBJ.3SG=your wife
‘Dear brother, do you want to take this girl in God’s law to be your wife?’
(CM.1567–8: 258*)

(17) de cine mă tem? (CP1.1577: 43*)
of who CL.REFL.ACC.1SG be.afraid. PRES.1SG
‘Who am I afraid of?’

The corpus analysis has shown that enclisis in non-imperative clauses, with the clitic in second (but also in third, fourth, etc.) position in the clause, occurs in Romanian from earliest texts until the end of the OR period (the end of the 18th century). Second-position clitics occur as enclitics in declaratives (18)–(21), both with synthetic (18), (19), (21) and analytic (20) verb forms, in yes-no interrogatives (22), (23), and in clauses with imperative force, whose finite verb is in the subjunctive (25) or in the imperative (26).

(18) Rogu-mă mărturiei tale (DÎ.1598: XVII)
ask=CL.REFL.SG=your highness.DEF.DAT your
‘I ask your highness’

(19) Sârguiia-se această ușă să treacă (CC2.1581: 179)
try.IMPERF=CL.REFL.3SG=your this door SĂSUBI pass.SUBJ
‘He was trying to pass beyond this door’

(20) Priimitu-mă-au în jelen lacrași ovilite (DPV.1673: 57)
received=CL.DAT.1SG=AUX.PERF in sadness tears poor
‘He received my poor tears when I was sad’

(21) Multumescu-ți Ție (ITM.1750: 571)
thank.PRES.1SG=CL.DAT.2SG you.DAT
‘I thank you’

(22) Lepădâș-te de satana? (Mol.1689: 215)
reject.PS.2SG=CL.REFL.2SG of Satan
‘Have you rejected Satan?’

(23) Cunoaște-mă pre mine, au ba? (NL.~1750–66: 199*)
recognize.PRES.2SG=CL.ACC.1SG DOM me or not
‘Do you recognize me or not?’

(24) Hie-ți, Doamne, mila ta cea
be.SUBJ.3SG=CL.DAT.2SG=your God mercy.DEF your CEL.F
zvântă preste noi holy over us
‘May your holy mercy descend over us’ (DPV.1673: 229)

(25) Ascundeti-vă comoara (CC2.1581: 44)
hide.IMP.2PL=CL.REFL.DAT.2PL=your=your treasure.DEF
‘Hide your treasure’
Imperative clauses and, to a more limited extent, imprecatory conditionals are the only ones in which the verb-clitic word order is still in use in standard MR. Pronominal clitics in enclisis are still attested dialectally in declaratives and in yes-no interrogatives, for instance in Crișana and Maramureș (TDR 1984: 309, 337). The preservation of this archaic syntactic characteristic in contemporary Romanian dialects constitutes evidence that the verb-clitic word order in OR texts cannot be explained as a loan translation from Old Church Slavonic sources.

Pronominal proclisis in subordinate clauses is very frequent. It is also the expected word order if the second-position rule was in effect in OR, because the wh-phrase (26) or the complementizer (27) occupies the first position of the subordinate.

(26) cându ne aducem aminte (CCat.1560: 9')
when CL.REFL.1PL bring.PRES.1PL in-mind
'when we remember’

(27) că se teame de noi că-l vomu prinde (DÎ.1599: XVIII)
that CL.REFL.3S be-afraid.PRES.3SG of us that=CL.ACC.3SG AUX.FUT.1PL catch.INF
‘because he is afraid that we’ll catch him’

3.3. Deviations from the TML: Clitics in 3rd / 4th / 5th positions

Third position clitics can be pre- or postverbal, but, usually, studies on old Romance relate the clitic’s 3rd position to its postverbal placement, which results in the XP–verb–clitic word order. This yields enclisis in contexts in which the verb does not occupy the first position in the clause (28).

(28) Et Ewruins ot en gran dol (old French)
and Ewruins had CL.ADV great sadness

‘And Ebroïn was very sad’ (Vie de saint Leger, in de Dardel and de Kok 1996: 34)

Examples like (28) constitute deviations from the Tobler-Mussafia Law. They were explained either through the co-existence of archaic and/or innovative word orders during each dominant phase in the evolution of clitic placement in Romance (de Dardel and de Kok 1996: 122), or, with specific reference to old Romanian, through the action of discourse factors, namely of movement to focus (Alboiu and Hill 2012, Alboiu, Hill and Sitaridou 2014). The XP–V–clitic word order is attested in French as early as the 11th century (de Dardel and de Kok 1996: 35) and in Spanish, Italian, and Portuguese, from the 13th century. After the 17th century, in all Romance languages with the exception of Portuguese, this word order is no longer attested (see section 2 above; Salvi 1993, Fischer 2002: 86ff.). Our corpus analysis has shown that Romanian kept this archaic word order longer than the other Romance languages (with the exception of Portuguese), namely until the end of the OR period.

In OR, both pre- and postverbal clitics could occur in 3rd, 4th, 5th position in the clause, not only in main (29)–(38), but also in subordinate clauses (39)–(44) (see also Alboiu and Hill 2012, Alboiu, Hill and Sitaridou 2014). In main clauses, preverbal (39) or
Both proclisis (39)–(40) and enclisis of pronominal clitics (41)–(44) in third position are attested in subordinate clauses. In the first OR period, postverbal clitics occur in subordinate clauses both in translations and in original texts (with wh-relatives, postverbal clitics occur only in translations). This shows that old Romanian preserves an ancient word order that disappeared much earlier from the other Romance languages. In the evolution of
Romance, the verb–clitic word order was first lost in embedded clauses (Fischer 2003) and, for this reason, in medieval Romance languages (with the exception of old Catalan and old Neapolitan) clitics do not occur postverbally in subordinate clauses (Benincà 1994, Martins 1995, Fischer 2003, Ledgeway 2007).

(39) că foarte-mi trebuiescu (DÎ.1599–600: XXII)
that much=CL.DAT.1SG need.PRES.3PL
‘because I need them a lot’

(40) că Dumnezeu în va da
how.many God CL.DAT.3SG AUX.FUT give.INF
‘how many God will give him’ (DÎ.1595–6: XIII)

(41) că sculără-se (CP¹.1577: 60’)
that get.up PS.3PL=CL.REFL
‘that they got up’

(42) Pentru că acest satu și acești rumâni fostu-i-am
because this village and these serfs be.PPLE=CL.ACC.3PL=AUX.PERF.1SG
buy.PPLE I.NOM
‘Because I had bought this village and these serfs’

(43) Ferice de cei ce lăsață-se fără-legile
happy of those that gave.up=CL.REFL bad.deeds
‘Those that gave up bad deeds are happy’ (CP¹.1577: 53’)

(44) că ca iarba curând usucă-se (CP¹.1577: 64’)
that like grass. DEF soon dries=CL.REFL
‘that it dries as quickly as grass’

3.4. The rise of first position clitics

Pronominal clitics begin to be infrequently attested in first position starting with the late 12th century in French (45) and Italian, and from the 13th century in Spanish (Adams 1987: 160, de Dardel and de Kok 1996: 54 for French; Benincà 1994 for Italian; Fischer 2002: 87 for French, Spanish and Italian). Portuguese is late in allowing first position clitics, from the 17th (and up to the 19th century, Martins 1995). French allowed first-position clitics till the end of the 16th century, when the realization of subject pronouns becomes obligatory (Fischer 2002: 87).

(45) Ba! me connissies vos? fait Aucassins. (old French)
ah CL.ACC.1SG recognize you says Aucassins
‘Ah! Do you recognize me? Aucassins said’ (Auc.XXIV, 34, in Adams 1987: 161)

In OR, first position clitics occur sporadically in the 16th century and more often in the first half of the 17th century (van Eeden 1985.1: 404). Our extensive corpus analysis revealed extremely few examples of first position clitics in both original (46)–(47) and translated 16th century texts (48). There is a higher number of occurrences of clitics in first
position in original texts (although they represent a much lower number of pages of text), which shows that their syntax is more innovative than that of translations.

(46)  Mă  rog  domnia-voastră  să  căutați  (DÎ.1599–600: XXV)

(47)  S-a scris aceasta când s-a ferecat  (ITM.16thc.: 83)

(48)  luo pâine întru sfânta mâna nevinovată, a să și nevinovată mâna dulce-har deade,  (CL.1570: 32v)

The number of first-position clitics increases in the 17th and 18th century texts (49)–(50), inversely proportional with the frequency of pronominal enclisis.

(49)  Mă minunez, cu adevărat  (AIP.1705: 353)

(50)  Te râd pe tine că eşti un dobitoc  (Bert.1774: 20r)

4. OLD ROMANIAN CLITICS ARE VERBAL (IP-ORIENTED) CLITICS

Alboiu and Hill (2012) show that 17th – 18th century old Romanian clitics do not obey Wackernagel’s Law. Our 16th century corpus analysis confirms their conclusions and, furthermore, it brings evidence that, as early as the first OR documents, pronominal clitics took the inflectional phrase as their morphosyntactic host, and did not raise to the complementizer phrase.

4.1. The OR clitics’ position relative to să-inf and a-inf

The first evidence for the fact that OR clitics are verb-oriented is provided by their position relative to the subjunctive marker să and to the infinitive marker a. In modern Romanian, să and a are analyzed as complementizers, more precisely as Finiteness heads (Ledgeway 2004, 2012: 170, Nicolae 2013, Hill 2013). Să-inf had the same status in OR, and the same can be argued for a-inf, at least in those contexts in which it clearly no longer has a prepositional value, for instance, when the a-infinitive is clausal and occurs as a subject or as a direct object.
In the 16th century corpus, clitics are only attested at the right of să (51)-(52) and of a (53)-(54), even if they are postverbally placed (52), (54), this proving that they do not leave the Inflectional domain.

(51) cum să se întoarne how S să REF ACC return.SUBJ.3SG

'how to return' (PO.1582: 200)

(52) și hitlenșug înaintea ta feciu, ca să and slyness before your do.PS.1SG that S să REF ACC derezeńi-te întru cuvintele tale 'and I did this sly thing in front of you to make you talk justly' (CL.1570: 131)

(53) Nu se cade împrejur ă se tâia not CL.REFL.3SG ought.PRES.3SG around A se REF ACC cut.INF

'People ought to not be circumcized' (CPr.1566: 217)

(54) Și deca fu a despărea-se noao and if be.PS.3SG A despărea REF ACC us.DAT

'And if it happened had we left' (CB.1559–60: 168)

4.2. The OR clitics’ position relative to the sentential negation

Ever since the publication of Zanuttini’s (1997) study on negation it is generally accepted that, in Romance, sentential negation takes the Inflection Phrase as its complement, closing off the IP field. The consequence is that verbal clitics, being part of the Inflectional field, can only occur to the right of negation (Salvi 1993, Rivero 1997 for TM clitics). This is confirmed by our corpus analysis: in OR there are no attestations of the clitic raising above negation (55)-(57). Very rare examples such as (56), (57), which are only encountered in translations and possibly imitate the word order of the original text, show the verb raising above the pronominal clitic, but not above the negator nu ‘not’.

(55) Nu mă voiu rădica in veac (CP 1.1577: 49’)

not CL.REFL.ACC.1SG AUX.FUT.1SG raise.INF in age

‘I shall not raise in ages’

(56) ceia ce nu însală-se de mine (CT.1560–1: 52)

those that not cheat=CL.REFL.ACC.3PL by me.ACC

‘those that are not cheated by me’

(57) Derept aceaia nu spământămu-ne (CP 1.1577: 85’)

for that not get-scared.PRES.1PL=CL.REFL.ACC.1PL

‘for this reason we do not get scared’
4.3. Clitic – verb (non-)adjacency

In modern Romanian, like in the other Romance languages, the strict adjacency between the pronominal clitic and the verb is obligatory, as the clitic takes the verb as its support (Dobrovie-Sorin 1994, Avram 1999 a.o.). Adverbal clitics are the only constituents that can break up the clitic–verb complex (*Îl (mai) văd ‘I can (still) see him (now)’).

Old Romance clitics can be non-adjacent to the verb (58) (see Wanner 1987, Benincà 1995 for old Romance; Fontana 1993 for old Spanish, Martins 1995 for old Portuguese, Dragomirescu 2013, 2014 for old Romanian). The possibility to insert a phrase between the clitic and the verb was analysed as a relic of Wackernagel’s Law (de Dardel and de Kok 1996: 129, for old French; Rivero 1997, for old Spanish).

(58) otro día que le[s][este buen mandado] dixo Moysen (old Spanish)
other day that=CL.DAT.3PL this good order told Moses
‘The day after Moses had given them directions’ (GE-I.216v, in Fontana 1997: 229)

OR has attestations of clitic–verb non-adjacency such as (59)–(60), decreasing in frequency from the 16th to the 18th century, and occurring both in translated (59) and original texts (60). The occurrence of such word orders in original texts indicates that their presence is not accidental or due to the close imitation of the word order of the original text. We argue that OR clitics that are non-adjacent to the verb are adverbal, and not Wackernagel clitics and we follow Dragomirescu (2013, 2014) in analysing non-adjacency as a consequence of scrambling.

(59) ce se [pururea] pomeneaste (Prav.1581: 258’)
what CL.REFL always remember.PRES.3SG
‘which is always remembered’

(60) numele nu sâ [nice] povesteste (CLM.1700–50: 166’)
name.DEF not CL.REFL even tell.PRES.3SG
‘his name is not even told’

4.4. Explanations for the loss of TM clitics

The first accounts of the loss of Tobler-Mussafia clitics by Romance languages are phonological in nature (Meyer Lübke 1897, apud Benincà 1994). With reference to French, Adams (1987: 165, 198) puts forth the idea that a change in accentuation by which all words lost their original stress to the new oxytone stress led to the weakening of the rule of placing a stressed word at the head of a clause.

Frâncu (1980) considers that phonological factors are responsible for the rise of first position clitics and the generalization of procliticisation in OR. The author claims that proclisis arises as a consequence of the phonological strengthening of the clitic through prothetic î- (e.g. mi > îmi ‘me.DAT’) in the 16th century (see Alboiu and Hill 2012, Nicolae and Niculescu 2016 for arguments against this hypothesis).

We shall adopt a syntactic stand, according to which the weakening and eventual loss of the Tobler-Mussafia law falls out from the changes that took place in the domain of verb
movement in Romanian (and Romance more generally, cf. Poletto 2014 and references therein on the V2 grammar\(^3\) of certain old Romance varieties). More precisely, the restriction and specialization of V-to-C movement (very extensive in OR, cf. Dragomirescu 2013, 2014, Zafiu 2014) gradually gives raise to pronominal proclisis; in other words, the pronominal clitic is also not constrained to the second position any longer (see, for Romance, Adams 1987, Benincà 1995, Manzini 1994, Hirschbühler and Labelle 2000, Ledgeway 2008, 2012: 162). The loss of the V-to-C (and of V2) is caused by the rise of configurational structure in the Romance languages, in their evolution from Latin, which had a non-configurational system. The complementizer field of the Romance clause becomes further articulated, which leads to the possibility of projecting multiple discourse-related phrases in the Left Periphery. As more landing sites become available in the CP-field for information-structurally marked DPs, the verb starts to occupy the third, fourth etc. position of the clause. The ultimate shift taking place in the evolution of the Romance languages and Romanian (Cornilescu 2000) is the fact that the verb raises only as far as inflection in declarative clauses, which means that the pronominal clitics, which occupy a high position in the inflectional domain, preceding the MTA projections (Nicolaie 2014), remain proclitic. Verb movement to the complementizer domain is triggered by the imperative and interrogative illocutionary forces (Labelle and Hirschbühler 2005, Ledgeway 2008, Ledgeway 2012: 179). As for Romanian, in the evolution from OR to MR finite verb movement to C is lost in most types of clauses, except for imperatives and conditional imprecations, where we still witness pronominal enclisis (for the possibility of proclisis with Romanian imperatives, see Niculescu 2015).

5. CONCLUSIONS

Ever since the 16\(^{th}\) century, Romanian pronominal clitics are adverbal, even when non-adjacent to the verb; this means that Wackernagel’s law does not function in OR. The sentential negator \textit{nu} and the Finiteness complementizers \textit{să} and \textit{a} always precede pronominal clitics, proving that they attach higher in the syntactic derivation than clitics. Adverbal pronominal clitics are confined to the inflectional domain of the clause.

Old Romanian pronominal clitics do occur in second position, but not exclusively. Third- or fourth-position clitics are also attested in the earliest OR texts, and, sporadically, first-position clitics; this indicates that the Tobler-Mussafia Law is no longer (fully) functional in 16\(^{th}\) century old Romanian.

The main consequence of the fact that the Tobler-Mussafia Law (which, in its turn, is a verb-second effect) stopped being observed in OR is the loss of pronominal enclisis in relation to the morphosyntactic host of the clitic, namely the verb (more precisely, the verbal inflection) in declarative and interrogative clauses, a process that took place in the

\(^3\) Poletto (2014: 33–34) briefly highlights the differences between the V2 grammar of old Romance varieties and Germanic (German, Dutch, mainland Scandinavian) V2, showing that in the former group of languages V2 is not a strict rule, but rather translates as V-to-C, with the verb occupying different positions in the C-field, not necessary a constraint on the occurrence of the verb in strict clause-second position.
evolution from OR to MR. In (standard) MR, enclisis is reduced to imperatives, conditional imprecations, and gerunds.

CORPUS


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