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Was the nineteenth century giant eruption of Eta Carinae a merger event in a triple system?

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ABSTRACT
We discuss the events that led to the giant eruption of Eta Carinae, and find that the mid-nineteenth century (in 1838–1843) giant mass-loss outburst has the characteristics of being produced by the merger event of a massive close binary, triggered by the gravitational interaction with a massive third companion star, which is the current binary companion in the Eta Carinae system. We come to this conclusion by a combination of theoretical arguments supported by computer simulations using the Astrophysical Multipurpose Software Environment. According to this model the \( \sim 90 \, M_\odot \) present primary star of the highly eccentric Eta Carinae binary system is the product of this merger, and its \( \sim 30 \, M_\odot \) companion originally was the third star in the system. In our model, the Homunculus nebula was produced by an extremely enhanced stellar wind, energized by tidal energy dissipation prior to the merger, which enormously boosted the radiation-driven wind mass-loss. The current orbital plane is then aligned with the equatorial plane of the Homunculus, and the symmetric lobes are roughly aligned with the argument of periastron of the current Eta Carinae binary. The merger itself then occurred in 1838, which resulted in a massive asymmetric outflow in the equatorial plane of the Homunculus. The 1843 outburst can in our model be attributed to the subsequent encounter when the companion star (once the outermost star in the triple system) plunges through the bloated envelope of the merger product, once when it passed periastron again. We predict that the system has an excess space velocity of order 50 km s\(^{-1}\) in the equatorial plane of the Homunculus. Our triple model gives a viable explanation for the high runaway velocities typically observed in LBVs.

Key words: methods: numerical -- stars: evolution -- stars: individual: Eta Carinae.

1 INTRODUCTION

Today, Eta Carinae is a binary system, composed of an \( \sim 90 \, M_\odot \) primary star with an estimated age of \( \lesssim 1 \, \text{Myr} \) (Davidson & Humphreys 1997), although the current copious mass-loss rate of \( 1.6 \times 10^{-3} \, M_\odot \, \text{yr}^{-1} \) (van Boekel et al. 2003), might indicate an older age, possibly on the verge of turning into a Wolf–Rayet star (Smith & Owocki 2006). The primary is orbited by a \( 30 \, M_\odot \) presumably main-sequence star in a 15.46 au orbit with an eccentricity of about 0.9 (Damineli, Conti & Lopes 1997).

In the mid 19th century Eta Carinae experienced a giant eruption. Evidence for this is still visible in its surrounding nebula (Humphreys & Davidson 1999). During this event it outshone any star in the sky except Sirius (de Vaucouleurs & Eggen 1952). There is no satisfactory scenario that explains the copious mass-loss during the 19th century giant eruption, and there is no ready explanation for the at least 2 mag bolometric brightening of the object in the \( \gtrsim 30 \, \text{yr} \) before the great eruption (Smith 2013). We propose that both are related, and caused by the eventual merger of a massive close binary, which was triggered by gravitational interaction with a third star in a wide orbit. This third component became Eta Carinae’s binary companion, after the merger event. This model has a number of attractive characteristics and interesting consequences for other massive triples to produce objects like Eta Carinae.

Eta Carinae is extraordinary in many respects. The system is often referred to as a ‘supernova impostor’, and possible candidates that may have met a similar fate include SN1954J Smith, Humphreys & Gehrz (2001), V838 Mon (Munari et al. 2002), SN2009ip (Maza et al. 2009), SN1961v (Kochanek, Szzygiel & Stanek 2012), and \( \delta \) Scoprius (Miroshnichenko et al. 2013). The comparison with the outburst in SN2009ip (Smith et al. 2011) may be particularly important in understanding the diversity in spectral properties of eruptions in luminous blue variables in general (Foley et al. 2011).
The high mass and high velocity of the great eruption of Eta Carinae and the outburst in SN2009ip may then have a similar origin, possibly resembling that of a common envelope event (Mauерhan et al. 2013; Tsebrenko & Soker 2013; Levesque et al. 2014). These events are referred to as ‘mergerburst’ by Soker & Kashi (2013). Supernova impostor PTF11igh was initially classified as a Type SN II-N (Parrent et al. 2011) but with weaker pre-supernova mass-loss, as seen in SNe II-L and II-P, but was later characterized as an event similar to Eta Carinae (Smith et al. 2015). Similarly, the NGC 300 OT2008-1 event was suggested to be a scaled down version of the same process that drove the great eruption in Eta Carinae (Kashi, Frankowski & Soker 2010).

Potential future candidates include HD 75821, HD 167263 and HD 135240 (Eggleton & Tokovinin 2008, 2010), and the circumstellar nebular R4 has characteristics of having experienced a similar binary merger (Pasquali et al. 2000).

Several of today’s parameters of Eta Carinae are well constrained by the observations, but what initiated the great eruption and how the system looked like before this event is actively debated among astronomers. In this paper, we aim at constraining the parameters and the events that led to the giant eruption, but we start constraining some of the current observables. For example, to avoid violation of the Eddington limit for Eta Carina’s luminosity (\(L_{\text{bol}} = 10^{3.7} \text{L}_\odot\)) during its great eruption, its mass must be \(\gtrsim 80 \text{M}_\odot\) (Humphreys & Martin 2012). The star has a large wind mass-loss rate and enhanced atmospheric N and He abundances and reduced C and O abundances. This indicates that it is already in an advanced stage of core-hydrogen burning and has lost quite a lot of mass by its strong wind and eruptions. This also points to a high initial mass, possibly well in excess of 200 \(\text{M}_\odot\) (Humphreys & Martin 2012).

We propose here the possibility that a third star in a relatively wide orbit sparked the event, and discuss the physics of the ejection process in this model. Also if our model would finally not be the one that explains all the characteristics of Eta Car, still and evolution as described and modelled here is expected to happen not rarely in nature.

Hierarchical triples are common among massive stars (Sana et al. 2013), and the orbital parameters required for the proposed scenario to work appear to be rather common. A triple origin of the great eruption has been suggested before by Livio & Pringle (1998), in which an exchange in a dynamically unstable triple would have initiated the event, and by Kundt & Hillemanns (2003) who argued that an accretion disc around a tertiary neutron star would have sparked the great eruption.

According to our scenario, Eta Carinae was born a hierarchical triple, in which a tight inner binary star is orbited by a tertiary star in a wide orbit. The inner binary system could have been composed of an \(M \gtrsim 90 \text{M}_\odot\) primary star with an \(m \approx 10–40 \text{M}_\odot\) companion in a rather tight \(a_m \approx 1 \text{au}\) orbit. By the time of the merger, the primary has lost some 10–20 \(\text{M}_\odot\) in a dense stellar wind. A third companion in a relatively wide orbit drives the inner binary, via the Lidov–Kozai mechanism (Kozai 1962; Lidov 1962) to a state of tidal evolution followed by coalescence.

A strong interaction between two stars has been demonstrated to be able to effectively drive a massive outflow similar to that observed in Eta Carinae (Harpaz & Soker 2009, Smith 2011). A similar model in which two main-sequence stars coalesce, was presented by Kato (2003). Kato based his idea on the model by (Soker & Tylenda 2003) for V838 Monocerotis (see also Tylenda & Soker 2006). Other binary merger models are those for V1309 Scorpius (Tylenda et al. 2011; Nandez, Ivanova & Lombardi 2014) and V4332 Sagittarii (Tylenda et al. 2015). Due to the large distance, imaging of the ejecta in these cases is not feasible, except for V838 Mon which appears to be rather asymmetric (Soker & Tylenda 2003). The light curves of some of these potential merger events show characteristics very similar to that of the great eruption in Eta Carinae (Kashi et al. 2010).

The great eruptions in Eta Carinae (but also of V838 Mon) appear to have occurred near the pericentre of the current binary system (Daminieli 1996; Kashi & Soker 2010; Smith & Frew 2011). We will discuss in Section 5 this is consistent with the model we discuss here. In our case the pericentre passage of the tertiary star initiates the merger of the inner binary system, leading to the first great eruption of 1838. The mass-loss and associated velocity asymmetry induced on the binary merger causes the very wide and eccentric outer orbit to shrink to its present size. At the time of the second periastron passage in 1843 we expect the merger product still to have been a quite extended star, such that the new secondary collided with its outer layers, causing the large 1843 eruption. The periastron passage of the outer companion at the time of the merger, and therefore at the time of the great 1843 eruption, is thus a natural consequence of our simulations, independently of the observations.

If certain conditions are met, the resulting binary system will resemble Eta Carinae. In this paper we discuss the conditions required for the scenario to work, and argue that this model may provide a plausible explanation for a number of curious observables for the Eta Carinae system.

We will discuss the evolution of the triple system in three phases A, B and C, which we relate to phases in the observed evolution of Eta Car. The parameters describing the system are indicated with the appropriate superscripts for the conditions upon birth (A), the situation just before Roche lobe overflow in 1838 (B), and after the merger is completed (C).

2 ETA CARINAE BEFORE THE GREAT ERUPTION (PHASE A)

At the age of 0.1–1 Myr, the \(\sim 90\) and \(30 \text{M}_\odot\) stars have radii as large as \(15–20 \text{R}_\odot\) and \(4–9 \text{R}_\odot\), respectively. With an orbit separation of \(70–400 \text{R}_\odot\), these stars will experience strong tidal interactions when the eccentricity grows to \(e \gtrsim 0.6\). As a result of such interaction the binary circularizes, the rotation of the two stars synchronizes. The tidal energy that is dissipated in the stellar envelope gives rise to a dramatic stellar wind from the primary star, until the two stars eventually engage in a common envelope (Webbink 1984; Eggleton 2006).

A high eccentricity can be induced by a third body via the Lidov–Kozai (Kozai 1962) effect. The rate at which this drives the growth of the eccentricity of the inner orbit can be calculated from secular perturbation theory (Ford, Kozinsky & Rasio 2000). The time-scale of the secular growth can be comparable to the orbital period of the inner binary. The growth of the inner eccentricity is particularly rapid when the triple is barely dynamically stable (Mardling 2008; Hamers, Perets & Portegies Zwart 2016). It is in this range of parameter space that the strongest interactions are expected, and collisions may occur.

It is important to notice, as we will show in the next section, that the tidal interaction may, already for many decades prior to the merger, induce a much enhanced stellar wind from the primary star, leading to a loss of more than \(10 \text{M}_\odot\) prior to the merger (see fig. 2). We now will quantitatively study the evolution of the triple-star model.
we adopted the MESA Henyey stellar evolution code (Paxton et al. 2011). For stellar evolution, $\Omega_1$, the initial energy of the system, which is sufficient for a reliable in-dynamical instability (Pelupessy et al. 2012). A stopping condition was used to detect Roche lobe overflow or a tidal evolution, tidal evolution and gravitational dynamics is run sub-leading to a great eruption.

2.1 Numerical integration of the triple before the merger leading to a great eruption

To quantify the orbital evolution of Eta Carina before the great eruption in terms of the triple star model, we follow the dynamical, stellar and tidal evolution of the triple system. We adopted the Astronomical Multipurpose Software Environment (AMUSE for short Portegies Zwart et al. 2013) for this integration, by coupling the various components and integrating the coupled system.

Gravity was solved using the Huygens direct N-body integrator (Pelupessy, Jænes & Portegies Zwart 2012). Energy in the dynamical simulations is preserved to better than $1/10^{12}$ with respect to the initial energy of the system, which is sufficient for a reliable integration (Portegies Zwart & Boekholt 2014). Tidal evolution was incorporated for the two inner stars, but not for the tertiary, using the tidal dissipation routine in AMUSE, which is based on Eggleton, Kiseleva & Hut (1998, see also Hansen 2010). For stellar evolution, we adopted the MESA Henyey stellar evolution code ( Paxton et al. 2011). All stars are assumed to be born with an angular frequency of $\Omega = 2.6 \times 10^{-6}$ s$^{-1}$. Each time step we calculate the amount of energy dissipated in the tidal interaction.

In the AMUSE script each of the three numerical solvers for stellar evolution, tidal evolution and gravitational dynamics is run subsequently, switching between them after every outer initial orbital period and output was generated every 100 outer orbital periods. A stopping condition was used to detect Roche lobe overflow or a dynamical instability (Pelupessy et al. 2013).

In the event loop we first evolve all stars to the next time. In this step, we apply the mass-loss calculated for the tidal interaction from the previous step. We then perform the tidal evolution and recalculate the orbital elements and Cartesian coordinates of the three stars. Here, we assumed that the argument of periastron and the mean anomaly are not affected by the tidal evolution. We finally integrate the gravitational dynamics of the triple system using the direct N-body code.

Table 1. Initial conditions and results of the dynamical simulations with stellar evolution and tidal interaction (phase A). The secondary star of the inner binary ($m^\Delta_{\text{in}}$) as well as the mass of the tertiary star ($m_{\text{out}}$) are fixed to 30 $M_{\odot}$. The inclination between the inner and the outer orbit was $i^\Delta = 90^\circ$. The first six columns give the initial conditions, primary mass ($M^\Delta_{\text{in}}$), fraction of tidal energy that drives the mass-loss ($f$ between 0 and 1), orbital parameters (semimajor axis $a^\Delta_{\text{in}}$ and eccentricity $e^\Delta_{\text{in}}$), the moment of Roche lobe overflow ($t_{\text{RLOF}}$), the semimajor axis ($a_{\text{RLOF}}$) and eccentricity ($e_{\text{RLOF}}$) of the inner binary at RLOF, and the mass of the donor at this moment ($M_{\text{RLOF}}$). The outer orbit, not listed in the table, is only slightly affected by the mass-loss of the primary star (see Portegies Zwart 2013, for a qualitative discussion on this).

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1 The AMUSE source code is public and can be downloaded via http://amusecode.org. The scripts used to perform the simulations described here are also available via the same website.
Figure 1. Evolution of the orbital separation of an example triple system, described in the text. The inner binary initially had a semimajor axis of $a_{\text{in}} = 1$ au and eccentricity $e_{\text{in}} = 0.1$ with primary and secondary masses of $M_{\text{in}} = 110 M_\odot$ and $m_{\text{in}} = 30 M_\odot$. The outer orbit is inclined by $i_{\text{out}} = 90^\circ$ and has $a_{\text{out}} = 25$ au and $e_{\text{out}} = 0.2$ with a tertiary mass of $m_{\text{out}} = 30 M_\odot$. The top three curves (green) give the evolution of the outer orbital apocentre, semimajor axis and pericentre (from top to bottom). The bottom three blue curves (better visible in the inset to the top left) give the same parameters for the inner orbit. The dash–dotted curve (red, second from bottom) gives an estimate of the instantaneous radius of the Roche lobe of the inner primary star (Sepinsky et al. 2007), and the bottom curve (dotted red) gives the radius of this star. The primary overfills its Roche lobe after 255 490 yr.

the eccentricity to a maximum of 0.863. The subsequent tidal interaction lasted for about 180 yr during which the semimajor axis was reduced to $a_{\text{in}} \approx 0.563$ au with an eccentricity $e_{\text{in}} \approx 0.66$.

2.2 Tidal energy dissipation and the possible origin of the homonculus

During the $\sim 180$ yr epoch before the common envelope much energy is generated in the primary star by tidal dissipation. Fig. 2 depicts the tidal energy generated in this star as a function of time prior to the merger. The figure shows that during the last 50 yr prior to the merger the tidal energy input into the star is of the order of $(4–6) \times 10^{39}$ erg s$^{-1} \approx 1–1.5 \times 10^6 L_\odot$.

Already without this extra energy input the luminosity of this star is close to the Eddington luminosity. Owocki & Shaviv (2012) have argued that if due to an extra energy source temporarily the luminosity exceeds the Eddington limit, very large continuum-radiation-driven mass-loss will set in, leading to an eruptive phase, with an extremely high mass-loss rate. This is because a continuum-driven wind can in principle lead to mass-loss rates up to the ‘photon-tiring limit’, for which the entire luminosity is expended in lifting the atmosphere. We therefore propose here that the Homonculus, with a mass of about 20 $M_\odot$, was produced in an eruptive phase of a few decades that resulted from the huge tidal energy input in the star prior to the merger. In this phase, the star is very rapidly rotating and oblate. Owocki & Shaviv (2012) have argued that in such a case the radiation-driven wind is much stronger in the polar than in the equatorial regions of the star, leading to a structure like the Homonculus. Therefore, the axis of the Homonculus is expected to be along the rotation axis of the primary star, which is perpendicular to the orbital plane of the inner binary.

2.3 Evolution up to the merger

The enhanced mass-loss during the tidal event causes the primary star to shrink from 18.4 $R_\odot$ (before the strong tidal interaction), down to 14.5 $R_\odot$ with a rotational frequency of $\Omega \approx 2.71 \times 10^{-5}$ s$^{-1}$ when the highest orbital eccentricity is reached, which causes the star to swell to exceed 29.3 $R_\odot$ at the equator, at which time the two stars engage in a common envelope and coalesce.

The mass-loss of the primary star prior to the merger, has caused the outer orbit to expand slightly from $a_{\text{out}} = 25$ au to $a_{\text{out}} \approx 28.1$ au but has a smaller effect on eccentricity of the outer orbit $e_{\text{out}} \approx 0.17$ (starting from $e_{\text{out}} = 0.20$).

Each of our simulations of the evolution of the triple results in the Roche lobe overflow of the primary star. The inner binary is unstable against mass transfer from the primary to the secondary star due the small mass ratio (in most cases $q_{\text{in}} \lesssim 1/3$), which causes rapid shrinking of the orbit. This, results in a common-envelope phase leading to coalescence. This coalescence between the inner two stars is quite a violent event, the consequences are far reaching for the entire triple. The energy released in such a merger process can measure about $8–12 \times 10^{49}$ erg (Soker & Kashi 2013), which is sufficient to explain the great eruption (Rest et al.)
Eta Carinae formed through a merger event in a triple system

3 ETA CARINAE DURING THE GREAT ERUPTION (PHASE B)

Figure 2. Tidal energy dissipated in the donor star (of the inner binary system) prior to Roche lobe overflow. Here Roche lobe overflow occurs at $t = 0$ yr (which corresponds to 255 490 yr after the birth of the system at the zero-age main-sequence). The results are from the same simulation as presented for the orbital evolution in Fig. 1. As explained in Section 2.2 we expect that due to the large tidal energy input the luminosity of the star to exceed the Eddington luminosity, leading to a huge continuum-drive wind mass-loss of the order of $20 M_\odot$ in a few decades prior to the merger, which we suggest to have produced the Homonculus nebula.

In addition, the mass lost in the merger process is likely to be asymmetric (Morris & Podsiadlowski 2006), with has quite specific consequences for the surviving binary system, which after the merger is composed of the merger product and the tertiary star.

To further quantify the merger event we perform smoothed particles hydrodynamical simulations of the collision, which we describe in Section 3.

The hydrodynamical simulations are performed using a binary system in which the more massive primary star (with mass $M_\text{in}$) and the less massive secondary star (with mass $m_\text{in}$) orbit each other with semimajor axis $a_\text{in}$ and eccentricity $e_\text{in}$. For now we ignore the tertiary star, because it hardly plays a role in the merger process, and we will explore the effect of the merger on the tertiary star further in Section 4.

After the initial conditions are selected, the primary and secondary stars are evolved using MESA. We first evolve the inner primary star up to the moment that the dynamical evolution determines the onset of Roche lobe overflow (see Section 2.1). In our calculations in which the primary was born as a 110 $M_\odot$ star, it is reduced through the tidal evolution mass-loss to about 87.6 $M_\odot$. By the time of Roche lobe overflow at $t \approx 255$ kyr its radius measures 29.3 $R_\odot$, which is considerably larger than its equilibrium radius of 18.4 $R_\odot$. The tidal interaction has further increased the star’s rotational frequency to $\Omega \approx 2.71 \times 10^{-5}$ s$^{-1}$ (see Section 2.1 for details). The companion star is evolved to the same age and we adopted a rotational frequency of $\omega = 2.6 \times 10^{-6}$ s$^{-1}$.

After the dynamical evolution of the triple (see Section 2.1) and upon Roche lobe overflow both stars in the inner binary are converted to a hydrodynamic particle representation in order to be able to continue the evolution with an SPH code. The conversion from a one-dimensional stellar density and composition profile in the Henyey code to the three-dimensional particle representation as is used in an SPH code was done with the method available in AMUSE, which is described in de Vries, Portegies Zwart & Figueira (2014). All SPH particles have the same mass. In this process the SPH particles are initially placed on a grid, which is subsequently relaxed by running an SPH code for 10 dynamical time-scales of the primary
Changing a single line in the PYTHON–AMUSE script. Running the complete. In Fig. 3 we present the evolution of the semimajor axis two stars orbit each other up to a dozen times before the merger is (and Table2). From the moment of first contact at pericentre, the merger from the dynamical simulations described in Section 2.1 was already adopted and extensively tested in de Vries et al. (2014) better suited for simulating the merger process, whereas GADGET2 ular code for that specific task. The FI SPH code is, in this case, itical/hydrodynamical evolution of the system with another is relaxation of the stars with one SPH code and the subsequent grav-
mean density. We continue running the SPH simulation until only one single blob of particles remains. After the first detection of a single blob, we continue to run the hydrodynamics for an orbital period of the outer star to assure that the single object really remains integer. This single blob is considered to be the merger product, and the residual material is identified as the ejecta in the merger process.

We performed several convergence tests, one is presented in Fig. 4. Here, we show the mass of the merger product as a function of the number of SPH particles. The mass of the merger product seems to converge at \( \gtrsim 10^4 \) SPH particles for the primary star; lower resolution simulations systematically underestimate the amount of mass ejected in the merger process. We performed similar analyses for the time or the merger, the velocity of the ejecta and the merger product, and for the direction and morphology of the ejected material. Each study shows convergence for \( \gtrsim 10^4 \) SPH particles.

### 3.1 The stellar collision merger product

We realize that the number of simulations we performed is rather limited, and can hardly be considered a complete coverage of parameter space, but we think that our simulations are representative for the physical process we try to describe.

We realize that we should not interpret the result of the SPH simulations statistically, but by a lack of understanding the initial parameter space we discuss them as a canonical ensemble. On average the merger takes \( 14 \pm 12 \) d during which \( 18.3 \pm 4.3 \, M_\odot \) is lost from the two inner stars. This mass is ejected by the violent in-spiral of the original inner binary system in a \( \sim 70^\circ \) shell centred around the direction of the apocentre of the inner orbit. In Fig. 5, we present the spatial morphology of the out flowing gas 8.8 d after the merger of our hydrodynamical simulation in which an \( 80 \, M_\odot \) star merges with a \( 20 \, M_\odot \) star from a \( 77 \, R_\odot \) orbit with an eccentricity of \( e = 0.87 \). The velocity of the ejected material is about \( 400-500 \, \text{km s}^{-1} \).

Earlier calculations arrive at similar conclusions regarding the velocity and direction of the ejecta (Thackeray 1961; Walborn, Blanco & Thackeray 1978). Due to conservation of momentum, the merger product receives a kick of \( v_{\text{kick}} = 76.4 \pm 53.9 \, \text{km s}^{-1} \) in the plane and roughly in the direction of pericentre in the inner orbit.

Upon its subsequent pericentre passage, some 5.5 yr later, the outer star plunges through the bloated merger product, giving rise to the 1843 outburst.

We have illustrated the chain of events that may have led to the great eruption of Eta Carinae. We will now demonstrate how the model may satisfactorily explain its observed orbital parameters by means of Markov-chain Monte Carlo simulations of the evolution of the triple through the merger process.

### 4 RECONSTRUCTING THE TRIPLE TOPOLOGY DURING THE GIANT ERUPTION

The parameter space for triples that engage in an evolution similar to that described in the previous section is rather large and simulating the secular evolution of the triple system and the subsequent merger are too expensive in terms of computer time to fully map parameter space. Ideally, we would like to perform an exhaustive search of parameters using our model in order to constrain the initial conditions and the events that led to the currently observed system, but that is not realistic. Instead, we construct a model of the consequences of the merger by making a few simplifying assumptions, and adopt a Markov-chain Monte Carlo approach to map parameter space and constrain the pre-merger parameters of the triple system.

This approach is separate and independent of the earlier calculations (see Sections 2 and 3) and there is no guarantee that the results of the Monte Carlo simulations provide reasonable conditions prior to the merger. The fact that the model provides reasonable conditions bolsters our confidence in the proposed scenario for the giant eruption of Eta Carinae.

One of the assumptions we will make is that the time-scale for the merger is short compared to the orbital period of the outer star in the triple system (\( \sim 12 \, \text{yr} \)). The SPH simulations of the merger process could be viewed as a guideline for these time-scales, which
Table 3. Reconstructed parameters for Eta Carinae. The first column identifies the parameter, and the subsequent columns give estimates for the conditions at birth, during the 1838 merger event and today. The latter are taken from the literature (Smith 2013). The parameters are the age of the primary star at the moment of the collision, the total mass of the inner binary, the mass lost in that phase of the evolution, and the mass of the outer component (later to become the secondary star). We further give the inner binary orbital parameters ($a_{in}$ and $e_{in}$) and the outer elements ($a_{out}$ and $e_{out}$). The last four parameters give the relative inclination of the two orbits, the mean anomaly of the outer orbit at the moment the merger, the kick velocity and its direction in the inner orbital plane.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Phase A (pre-1838)</th>
<th>Phase B (1838)</th>
<th>Phase C (post-1838)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$t$</td>
<td>$0.1–1.0$ Myr</td>
<td>$&lt;1$ Myr</td>
<td></td>
</tr>
<tr>
<td>$M_{in} + m_{in}$</td>
<td>$120–150 M_{\odot}$</td>
<td>$114 \pm 12.2 M_{\odot}$</td>
<td>$90 M_{\odot}$</td>
</tr>
<tr>
<td>$a_{in}$</td>
<td>$22.4 M_{\odot}$</td>
<td>$23.7 \pm 12.2 M_{\odot}$</td>
<td></td>
</tr>
<tr>
<td>$m_{out}$</td>
<td>$30 M_{\odot}$</td>
<td>$30 M_{\odot}$</td>
<td>$30 M_{\odot}$</td>
</tr>
<tr>
<td>$a_{in}$</td>
<td>$1–2$ au</td>
<td>$0.36–0.8$ au</td>
<td></td>
</tr>
<tr>
<td>$e_{in}$</td>
<td>$0–0.8$</td>
<td>$0–0.96$</td>
<td></td>
</tr>
<tr>
<td>$a_{out}$</td>
<td>$20–100$ au</td>
<td>$20–100$ au</td>
<td>$15.46$ au</td>
</tr>
<tr>
<td>$e_{out}$</td>
<td>$0.02–0.7$</td>
<td>$0.02–0.7$</td>
<td>$0.9$</td>
</tr>
<tr>
<td>$i$</td>
<td>$91^\circ \pm 21^\circ$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mean anomaly</td>
<td>$0.061 \pm 0.035$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$v_{kick}$</td>
<td>$53.1 \pm 7.7$ km s$^{-1}$</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$\theta$</td>
<td>$180^\circ \pm 9^\circ$</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

turn out to be $<25$ d (see Section 3, Fig. 3 and Table 2). The merger itself is therefore perceived by the outer star as an impulsive event, in which the inner binary merger loses mass and receives a velocity kick due to the asymmetry with which the mass is ejected. For the duration of the merger, we further assume that the inner object (the merging binary star) is perceived by the outer star as a point mass.

The kinematics of the gas that is ejected in the merger process is less well constrained. The initial outer orbital period exceeds the initial inner orbital period by at least two orders of magnitude and it takes some time before the mass shell has passed the outer orbit. As we will discuss later, the merger event is likely to take place when the outer star is near its pericentre, which ensures that the time needed for the ejected mass shell to escape the (by then) binary system is small ($30–70$ d) compared to the orbital period of the outer star ($\gtrsim 10$ yr).

The time-scale for the outer star to re-approach pericentre is about an orbital period of the post-merger binary system. This gives rise to a second outburst, some time after the 1838 merger event, which lasted several tens of days. This second outburst is then associated with the companion star plunging through the bloated merger product.

### 4.1 Reconstructing the 1983 event

We solve Kepler’s equations for a two-body system while taking the mass-loss and the impulsive kick to the merger into account. Similar calculations have been performed in relation to supernovae in binary (Hills 1983; Tauris & Takens 1998) and triple systems (Pijljo, Caputo & Portegies Zwart 2012).

We adopt the Metropolis–Hastings algorithm (Hastings 1970) to reconstruct the initial pre-merger parameters of the triple system by using the currently observed orbital parameters for Eta Carinae as objective (see Table 3). The fixed parameters are the masses of the two stars in the binary after the merger ($M_{in}^{in} + m_{in}^{in} = M_{in}^{C} = 90 M_{\odot}$ for the merger product and $m_{out} = 30 M_{\odot}$ for its companion).

The free parameters in the Markov chain are the total mass of the inner two stars before the merger, magnitude and direction of the velocity imparted to the merger product and the orbital parameters of the outer orbit ($e_{out}$, $e_{out}$, orbital phase and relative inclination $i$) at the moment of merging. We search parameter space using the EMCEE (Foreman-Mackey et al. 2013) implementation for a Markov-chain Monte Carlo simulations. The solution is degenerate, and therefore we run the Monte Carlo code several times in order to construct an ensemble of possible solutions. Each Monte Carlo realization is performed with 10 workers, a burn-in of 10 generations and with 200 subsequent iterations, which is sufficient for the solution to converge to within 1 per cent of the observed parameters for Eta Carinae. In Fig. 6, we present the result of the Monte Carlo simulations, in particular the semimajor axis and eccentricity of the outer orbit prior to the merger event. This is supposedly the moment after the dynamical simulations described in Section 2.1 (phase A) but before the onset of the common-envelope evolution discussed in Section 3 (phase B).

The currently observed orbital parameters for the pre-great eruption binary system are best reproduced when the inner binary was orbited by another star in a relatively wide $a_{out} = 20–100$ au orbit with an eccentricity of $e_{out} \lesssim 0.7$. A total of 12–23 $M_{\odot}$ was lost, preferentially in the direction towards apocentre along the semimajor axis of the inner binary, inducing a velocity kick to the merger product of $v_{kick} = 53 \pm 8$ km s$^{-1}$ (with a minimum of 40 km s$^{-1}$) in the orbital plane of the inner binary and pointing to its pericentre ($\phi = 180^\circ \pm 9^\circ$) and almost perpendicular to the plane of the outer binary ($\theta = 90^\circ \pm 21^\circ$). This latter angle is interpreted as the relative inclination between the inner and the outer orbit. (Note that this finding motivated us in Section 2.1 to adopt inclinations $i = 90^\circ$).

The required mass loss and velocity kick are consistent with our earlier results from the hydrodynamical calculations presented in Table 2 (18.3 $\pm 4.3$ M$_{\odot}$ and $v_{kick} = 61 \pm 51$ km s$^{-1}$, see Section 3). The orbit of the outer star has, at the moment of the merger, a mean anomaly of $M = 0.061 \pm 0.035$, which is just barely past pericentre.

Such a highly inclined outer orbit induces Lidov–Kozai (Kozai 1962) cycles on to the inner orbit with a period of $\gtrsim 1$ kyr, and drives the eccentricity of the inner orbit to exceed $\sim 0.97$. For tight inner orbits, $a_{in}^{in} = 0.30–0.6$ au, these cycles can last for as long as 1 Myr. Long periods (and tight inner orbits) are consistent with the observed age of Eta Carinae, but are possibly less suitable for inducing a collision via Lidov–Kozai cycles, because the variation in the orbital eccentricity (and therefore in the pericentre distance) per inner revolution is relatively small. However, as we discussed in Section 2.1, the response of the donor to the tidal evolution may be quite important for the merger process. In our simulations in Section 2, we discussed the growth of the tidally heated inner primary star, which is likely to initiate the merger.

A collision is more probable when the inner orbit is larger 1–2 au, because this leads to large changes in the pericentre distance within one inner orbital revolution (see Fig. 6). Considering the large uncertainty in the age determination of Eta Carinae, we see sufficient leeway to argue that the system is considerably younger ($\lesssim 0.4$ Myr) than 1 Myr. We therefore have a slight preference for relatively wide inner orbits, which motivated us to perform most of the simulations in Section 2.1 with $a_{in}(\approx 1$ au).

### 5 DISCUSSION

We have explored the possibility that the great eruption of Eta Carinae was initiated by a tidal interaction followed by the common
Eta Carinae formed through a merger event in a triple system

**Figure 6.** Range of solutions for the progenitor outer binary that can result in consistent currently observed orbital parameters of Eta Carinae. We adopted the inner stars of $M_{\text{in}} = 90 \, M_\odot$ and $m_{\text{in}} = 30 \, M_\odot$ in a circular inner orbit of $a_{\text{in}} = 1 \, \text{au}$, the outer star was $m_{\text{out}} = 30 \, M_\odot$. Parameter space for viable solutions to the Lidov–Kozai induced merger. The dashed curves give an estimate of the time-scale for Kozai cycles, from left to right 1 kyr, 10 kyr and 0.1 Myr, respectively. The red dash–dotted curves indicate parameters for which the pericentre distance was reduced due to the Lidov–Kozai effect by more than 0.1 and 0.01 per cent (from left to right) of the radius of the secondary between two subsequent pericentre passages (Ford et al. 2000). The red solid curve gives the minimum distance in the orbit of the outer star such that it is part of the currently observed orbit of Eta Carinae: $p = R_{\text{sum}}/a_{\text{EtaCar}}(1 + e_{\text{EtaCar}})$. Here $R_{\text{sum}}$ is the sum of the radii of the inner two stars. This gives a minimal orbital separation for which an impulsive event can lead to a viable observed orbit. Initial outer orbits below this curve have no part of the orbit that is consistent with the currently observed orbit of Eta Carinae, and therefore do not lead to possible solutions. Systems above the blue solid curve are dynamically unstable at the moment of Roche lobe overflow (Mardling 2008), and therefore do not provide a possible solution. Only those systems (red bullets) between the solid blue and the solid red curve can lead, through a merger event, to a system with parameters consistent with the currently observed orbit of Eta Carinae (see Table 3). The circles give the result of the Monte Carlo simulations aiming to reproduce the current orbital parameters ($a_{\text{EtaCar}}$ and $e_{\text{EtaCar}}$) for Eta Carinae. The open symbols give dynamically unstable solutions, whereas the bullets give stable solutions.

evelope merger of the two stars of the inner binary in a hierarchical triple system. We support theoretical arguments with numerical simulations of various stages of the process. We find consistency with a number of observables, including various phases of fast and slow mass-loss, the brightening of the object prior to the great eruption, and the events are consistent with the current orbital parameters of the surviving binary system. Encouraged by the successes, we will discuss some of these advantages of our model qualitatively and also raise some concerns.

### 5.1 The brightening of Eta Carinae prior to the great eruption

Our merger model can, at least qualitatively, account for the gradual increase in brightness of Eta Carina in the decades preceding the large outbursts of 1838–1843. During the first half of the nineteenth century (and possibly even in the two centuries before that) the visual brightness of Eta Carina had increased to magnitudes 4 or brighter, from a quiescent value of $\sim 8$ mag, which it assumed again after year 1900 (although after 1940 it brightened again to about visual magnitude 5, which has been ascribed to dust destruc-

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**Figure 6.** Initial periapse of the outer orbit is not part of the final orbit.

This large visual luminosity increase cannot be solely explained by a bolometric correction effect of a star with an expanding photospheric radius at constant bolometric luminosity: this may explain at most perhaps an increase of 2.5 to 3 visual magnitudes. Therefore it appears that the bolometric luminosity of Eta Carina already increased considerably in the centuries preceding the giant outburst, which means that somehow extra energy must have been generated in its interior during this period. Hydrogen fusion in stellar interiors tends to be highly stable and self-limiting (hydrogen-fusing stars have an in-built feedback 'safety-valve': a slight overproduction of nuclear energy leads to increase in core temperature, leading to increased core gas pressure, which will make the core expand and adiabatically cool, quenching the increased rate of fusion Eggleton 2006). It therefore seems highly unlikely that this increase would be due to increased nuclear fusion in the interior as has, for example, been suggested by Owocki & Shaviv (2012). (It should be noticed, however, that in stellar interiors where radiation pressure plays a dominant role the feedback loop of fusion has not yet been well studied.)
We argue here that the increased bolometric luminosity preceding the large outburst is due to tidal friction produced by the in-spiralling companion star. In Section 2, we estimate the energy that can be generated by this friction, which shows that it may, in principle, lead to an energy release comparable to the luminosity increase preceding the giant eruption. We argued there that since the unperturbed star is already very close to its Eddington luminosity, a large increase of its luminosity due to tidal friction is in itself sufficient to cause a phase of eruptive mass-loss that could have produced the Homunculus.

5.2 The surface abundances of Eta Carinae

Eta Carinae has an enhanced atmospheric N and He abundances and reduced C and O abundances (Humphreys & Martin 2012). The surface abundance of a star can be calculated using our adopted Henyey stellar evolution code (see Section 2.1). We perform this calculation by measuring the abundances in the outermost shell in the Henyey code for the 110 $M_\odot$ zero-age star in the triple calculation of Section 2.1 at the moment of RLOF. Upon RLOF, the mass of the star was reduced due to the tidally induced stellar wind to about 87.6 $M_\odot$. We compare the surface abundance with the resulting surface composition of the merger product of our hydrodynamical simulations in Section 3. For the latter we measure the abundances of the outermost 1 per cent of the SPH particles in the simulations with $N_{\text{SPH}} = 128,000$. The former measurement has no formal error, whereas the result of the SPH calculations has a Poissonian error.

The merger process leads to a slight enhancement in the helium abundance, from a relative fraction of 0.28 in the Henyey code to 0.295 ± 0.018 after the merger simulation; a relative increase of 5.4 per cent. Nitrogen however, is enhanced through the merger process by a factor of 3.7 (from 0.001 01 to 0.0047 5.4 per cent. Nitrogen however, is enhanced through the merger process by a factor of 3.7 (from 0.001 01 to 0.0047). Our measured nitrogen enhancement however, is still about a factor of 2 smaller than the observed enhancement (Melendez et al. 2005). Oxygen in our simulations is depleted by 19 per cent (from 0.0094 to 0.0076 ± 0.0018), which is smaller than the observed depletion (Melendez et al. 2005).

We have not further studied the effect of the surface abundances as a function of the parameters. The trends of enhanced nitrogen and depleted oxygen are promising, and we think that the relatively small deviations from the observations can be matched by the simulation with a more exhaustive exploration of the initial parameter space. We performed only a very limited parameter study, and a more exhaustive study may be used to reduce the uncertainty in our adopted initial conditions.

5.3 The morphology of the outflow produced by the merger

Apart from the ‘Homunculus’ nebula the entire region around Eta Carinae has a rather unstructured appearance (see also Smith & Townsend 2007). Although our model of a binary merger while orbited by a third companion tends to drive an asymmetric outflow, at least as a result of the binary merger itself, there is also a considerable component in which the outflow is symmetric. In particular, in the episode of highly enhanced wind loss prior to the merger, as was discussed in Sections 2.2 and 5.1, we expect to have produced a symmetric bimodal structure. We therefore think that the observed symmetries in the Homunculus do not pose a serious limitation for our model (any post-merger disturbance of the primary, e.g. by periastron passage of the companion, will again lead to a Homunculus-like structure, such as the Little Homunculus).

It should be noticed here that the merger product will rotate with break-up velocity. Due to the Von Zeipel theorem (von Zeipel 1924), the polar regions of this star will therefore be very much hotter than its equatorial regions, which is expected to lead to very much stronger radiatively driven stellar wind mass-loss from the polar regions than from the equatorial regions. We suggest that this has produced the Homunculus structure of Eta Carinae (see also Maeder 1999; Aerts, Lamers & Molenberghs 2004).

From interferometric measurements Weigelt & Kraus (2012) noticed that, apart from the bipolar-shaped Homunculus there are slow-moving ‘knots’ – condensations within 0.3 arcsec from the central star that are moving at $v \approx 50$ km s$^{-1}$, and apparently have been ejected in or soon after the great eruption, and seem ejected more or less perpendicular to the axis of the bipolar nebula: in the equatorial plane of the Homunculus.

This is confirmed by Weis’ (2012) study of the HST and CHANDRA images of the surroundings of the star. Weis notices that, while the Homunculus with its two lobes (and equatorial ‘skirt’ of $\lesssim 1 M_\odot$) has a near-perfect bipolar symmetry, the outer ejecta that presumably date from the pre-1838 tidally induced dense stellar wind, appears much more asymmetric, and is composed of many irregularly shaped structures in a roughly elliptically shaped region around the Homunculus, the axis of the ellipse being perpendicular to the axis of the latter: the largest are the S-condensation and the S-ridge (a large highly asymmetrically shaped structures on one side of the Homunculus). This condensation as well as the NN-knots on the opposite side of the Homunculus were apparently ejected perpendicular to the axis of the Homunculus (in its equatorial plane).

The fact that these ejecta are nitrogen enriched indicate that CNO-processed material was brought to the surface and was violently ejected in the great eruption. Such nitrogen enrichment is a natural consequence of the proposed merger scenario (see also Section 5.2), in which we presented the result of one of our SPH simulation of the merger, and where we indicate the presence of nitrogen enhanced material. Weis (2012) notices that these outer ejecta are more or less a conglomerate of individual smaller structures and not a coherent circumstellar shell: ‘Its probable origin in the early nineteenth century... makes formation of the outer ejecta by fragmentation of an expanding shell seem unlikely. Its morphology, high velocities and large velocity dispersion of individual structures, and the X-ray emission formed through shocks, support its creation in a more explosive event, during which several outer layers of the star’s surface were ejected’.

It appears from this that apart from the formation of the Homunculus there has been a period of large asymmetric mass ejections in the axis of the Homunculus, involving outer layers of the star. Weis (2012) estimates the combined mass of these ejecta to be at least 2–4 $M_\odot$, but such estimates are highly uncertain and easily could be as large as 10 $M_\odot$ or more. We present the amount of mass lost in the merger events in Table 2. The mass of the inner nitrogen enriched shell (the inner loop in Fig. 5) comprises about $\sim 2.9 M_\odot$, of the total ejected $\sim 22.2 M_\odot$. In the other hydrodynamical simulations, a similar fraction of the ejected mass (of about 15–25 per cent) is deposited in the nitrogen-enriched skirt.

The large asymmetric mass ejection from the equatorial regions of the star (assuming the orbital plane of the original inner binary to coincide with the equatorial plane) is precisely what our merger model predicts. As a consequence, our model predicts that the current orbital plane is aligned with the equatorial plane of the Homunculus, and the symmetric lobes are roughly aligned with the argument of periastron of the current Eta Carinae binary.
5.4 Composition of the outflow

In Fig. 7, we notice that the inner loop (blue in the figure) in spatial versus velocity coordinates is enhanced in nitrogen by about a factor of 10 compared to the outer loop (red in Fig. 7). The same is the case for carbon, but there the outer ring is composed of carbon poor material (with an abundance of $<0.0002$) and the inner structure is enhanced in carbon by more than an order of magnitude ($>0.003$). A similar, but less extreme, bimodal distribution is observed in the oxygen content, but the range in abundance is much smaller.

The abundance differences across the remnant are due to the inspiraling process of the two stars. In the first violent passage mostly the outer layers are ejected, and upon each subsequent burst mass from the deeper layers of the primary star are ejected. Those deeper layers are more enhanced in CNO processed material.

Shortly after the merger, the star is bloated to about 120 $R_\odot$, and rapidly rotating (see Section 2). We did not follow the subsequent evolution of the merger product, because the Henyey stellar evolution code will be unable to follow the non-thermal evolution of the merger product, because the Henyey stellar evolution code will be unable to follow the non-thermal evolution of the merger product, because the Henyey stellar evolution code will be unable to follow the non-thermal evolution of the merger product, because the Henyey stellar evolution code will be unable to follow the non-thermal evolution of the merger product, because the Henyey stellar evolution code will be unable to follow the non-thermal evolution of the merger product, because the Henyey stellar evolution code will be unable to follow the non-thermal evolution of the merger product.

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Figure 7. Same as Fig. 5 but then visually more attractive and in a rectangular frame of about 12 au at each side. The projected density is given in red, and the nitrogen/hydrogen ratio is presented blue.
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