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Rotational diffusion affects the dynamical self-assembly pathways of patchy particles

Arthur C. Newton^a, Jan Groenewold^b, Willem K. Kegel^b, and Peter G. Bolhuis^{a,1}

^aAmsterdam Center for Multiscale Modeling, van 't Hoff Institute for Molecular Sciences, University of Amsterdam, 1090 GD Amsterdam, The Netherlands; and ^bvan 't Hoff Laboratory for Physical and Colloid Chemistry, Debye Institute, Utrecht University, 3584 CH Utrecht, The Netherlands

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Predicting the self-assembly kinetics of particles with anisotropic interactions, such as colloidal patchy particles or proteins with multiple binding sites, is important for the design of novel high-tech materials, as well as for understanding biological systems, e.g., viruses or regulatory networks. Often stochastic in nature, such self-assembly processes are fundamentally governed by rotational and translational diffusion. Whereas the rotational diffusion constant of particles is usually considered to be coupled to the translational diffusion via the Stokes–Einstein relation, in the past decade it has become clear that they can be independently altered by molecular crowding agents or via external fields. Because virus capsids naturally assemble in crowded environments such as the cell cytoplasm but also in aqueous solution in vitro, it is important to investigate how varying the rotational diffusion with respect to translational diffusion alters the kinetic pathways of self-assembly. Kinetic trapping in malformed or intermediate structures often impedes a direct simulation approach of a kinetic network by dramatically slowing down the relaxation to the designed ground state. However, using recently developed path-sampling techniques, we can sample and analyze the entire self-assembly kinetic network of simple patchy particle systems. For assembly of a designed cluster of patchy particles we find that changing the rotational diffusion does not change the equilibrium constants, but significantly affects the dynamical pathways, and enhances (suppresses) the overall relaxation process and the yield of the target structure, by avoiding (encountering) frustrated states. Besides insight, this finding provides a design principle for improved control of nanoparticle self-assembly.

kinetic networks | colloids | globular proteins | transition path sampling

In nature, self-assembled complex structures and networks often provide function. Prime examples are virus capsids, where capsomer proteins with specific interaction sites self-assemble into various structures, such as icosahedrons and dodecahedrons. Protein complexes can spontaneously form in the living cell, e.g., in signal transduction networks. Self-assembly of small designed building blocks can provide novel (bio)materials with desired properties. Such building blocks can consist of proteins, synthetic polypeptides, but also of colloidal particles. Particularly, the advent of novel synthesis routes for colloidal particles with a valence, so-called “patchy particles” opened up avenues for designing colloidal superstructures. Numerous experimental, theoretical, and numerical studies have enabled understanding of the phase behavior of these particles, predicting not only interesting building blocks for new functional materials, but also demonstrating new physics (1–5). Design principles for colloidal superstructures can predict which structure is the most thermodynamically favorable state (6). However, the fact that kinetics often trumps thermodynamics can hamper such design of colloidal superstructures. Strong directional binding and slow dissociation (7) can kinetically trap patchy-particle systems in a malformed state, rendering it unable to reach the designed equilibrium (ground) state. Controlling the self-assembly of colloidal particles thus requires understanding how the system evolves toward equilibrium, which is dictated by the kinetic network spanning all

the states in which the system can occur. Several studies have demonstrated that the assembly toward the final ground state is affected by changing the interaction between patchy particles (8, 9), which affects both the thermodynamics and kinetics of the system. In contrast, here we study how the pathways change upon changing the dynamics only. Colloidal dynamics is often stochastic, and well described by overdamped Langevin (Brownian) dynamics (10). The rotational and translational diffusion constants of anisotropic particles are under standard conditions coupled via the Stokes–Einstein relation. However, in environments with high molecular crowding or in external fields the Stokes–Einstein relation is not necessarily valid anymore (11–13). Depending on the molecular crowder, the ratio between the rotational and translation diffusion constant can go up or down. Whereas crowding agents can in principle also change the binding equilibrium constants, this effect is expected to be weak if the specific interactions are sufficiently strong. Moreover, through the use of external fields, e.g., magnetic or electric, rotational motion could be controlled to a much further extent (14). In this work we investigate how varying the ratio of translational versus rotational diffusion constant influences the equilibrium kinetic network for small self-assembled clusters of colloidal patchy particles and how it could affect the design of self-assembling biological or artificial functional building blocks. Such particles provide a simple model for self-assembly of protein complexes such as in viruses or signal-transduction networks. Understanding and prediction of the colloidal self-assembly mechanisms requires the rates and pathways for all possible dissociation and association events in the kinetic network. However, on the timescale of the dynamics of the microscopic particles, binding and certainly dissociation processes are usually rare events due to high free-energy barriers caused by strong directional binding. As straightforward dynamical simulation

Significance

Recent experiments show that the rotational diffusion of proteins and patchy colloids does not always follow the Stokes–Einstein relation, especially in crowded environments or with the use of external fields. Because cellular cytoplasm is very crowded, this finding can have consequences for protein complex formation such as viruses. We study the kinetic network of simple models for proteins and patchy colloids and find that their dynamical self-assembly pathways change with varying the rotational diffusion constant. In particular, lowering the rotational diffusion avoids frustrated intermediate states. Such control of kinetic networks would also benefit the design of new self-assembled functional materials.

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¹To whom correspondence should be addressed. Email: p.g.bolhuis@uva.nl.

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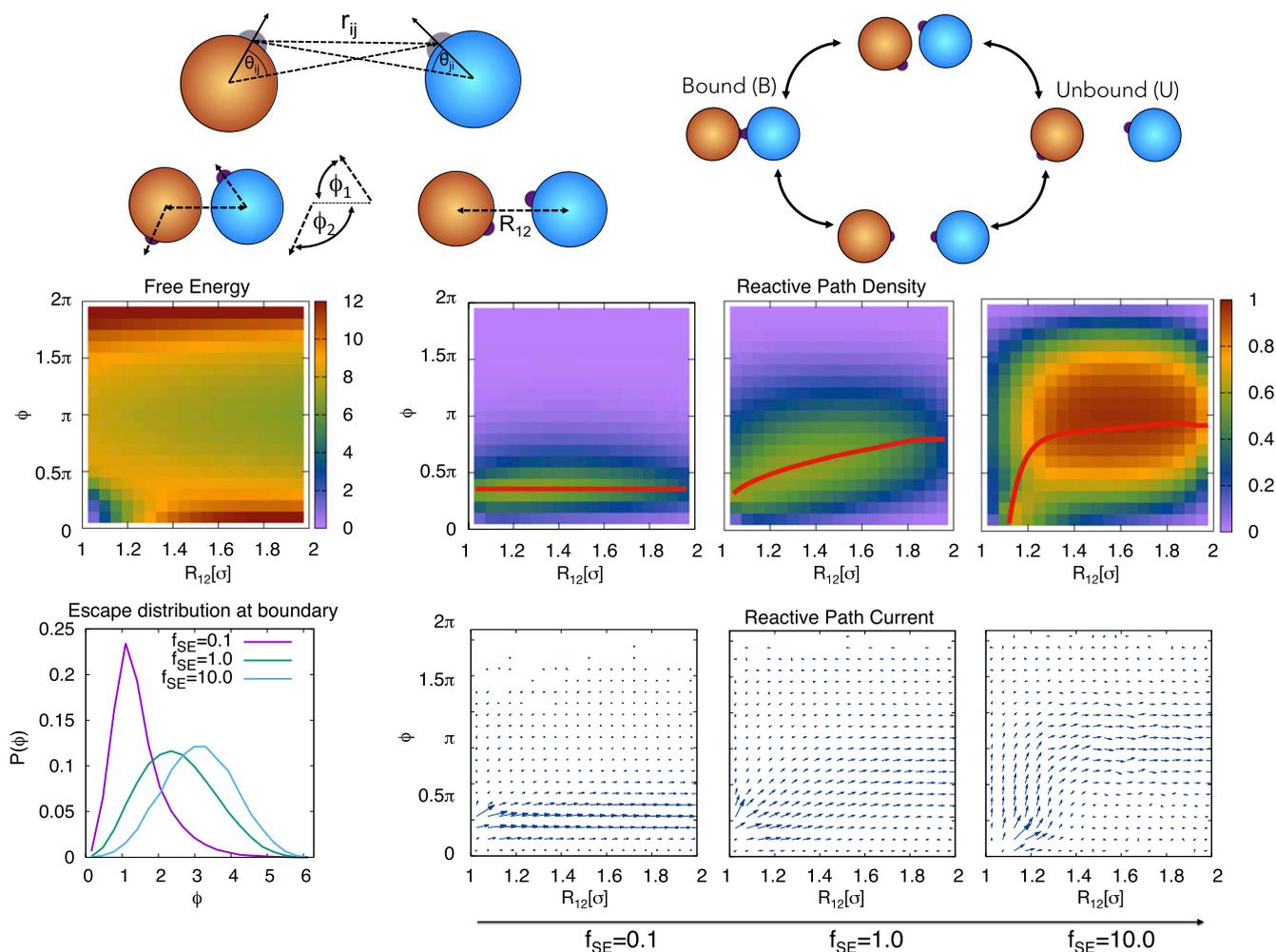


Fig. 1. (Bottom) Free energy, escape distributions, reactive path densities, and reactive currents for the one-patch particle system for different values of f_{SE} as a function of the distance between particles, R_{12} , and angle $\phi = \phi_1 + \phi_2$, with $\phi_{1,2}$ the angles between the patch vectors and the interparticle vector. (Top) Cartoon of dimer with parameters used in the potential, and order parameters used for the free energy and reactive path density plots. The binding pathway clearly changes with rotational diffusion constant, from more reactive pathways via translation for slow rotational diffusion toward reactive pathways more via rotation for fast rotational diffusion, without changing the free-energy landscape.

particle. The ground state of this system is a tetrahedron. As a first step, we study the final transition in the self-assembling process, namely the addition of the fourth particle to a preformed trimer cluster, while keeping the trimer constrained. Besides the bound state B and unbound state U , there is now also an intermediate state I where the fourth particle “wrongly” binds with two bonds to the trimer (Fig. 3, *Inset*). To escape from this frustrated intermediate state, a bond has to be broken before the complete tetrahedron can form. We study how the kinetic network between these three states changes when the rotational diffusion is varied. The rate calculation is more intricate than for the simple dimer system (*SI Appendix, section I*).

We performed SRTIS and computed the rate matrix \mathbf{K} for different values of f_{SE} . The rate matrices are given in the *SI Appendix, section IV*, and can be used to compute the time evolution of the population by $\mathbf{p}(t) = \mathbf{p}(0)e^{-\mathbf{K}t}$. To show how f_{SE} influences the dissociation pathway we consider the ratio P_I/P_U of the intermediate state population over the unbound state. Fig. 3 shows this ratio as a function of time, for a system initially in the bound state. Whereas all curves decay eventually to the same equilibrium population, at short times the population ratio P_I/P_U scales roughly linear with the rotational diffusion ratio f_{SE} . Rotational diffusion thus significantly influences the pathways for self-assembly or disassembly.

Next, we examine the self-assembly of four free particles into a tetrahedron. We define a set of nine states: {Unbound state (U), one dimer (D_1), two dimers (D_2), trimer (Tr), frustrated trimer (Tr_f), frustrated tetramer states (T_{f1} , T_{f2} , T_{f3}) and the fully assembled tetrahedron state (T_d)} (see Fig. 4 for graphical representations). Again we do not define states that can transit to one of the nine defined states by barrierless rotation around bonds. Performing an SRTIS simulation results in a complete rate matrix \mathbf{K} (given in *SI Appendix, section IV*). Applying transition path theory (TPT) to these rate matrices results in flux matrices, as well as committers for each state for the transition from U to T_d (16, 17) (see *SI Appendix, section I* for details). Fig. 4 shows a graphical summary of this information for different values of f_{SE} . Whereas the net flux generally decreases with decreasing rotational diffusion, the thickness of arrows corresponds to the normalized net flux, to emphasize the differences in topology. For slow rotational diffusion $f_{SE} = 0.1$, the $U - D - Tr - T_d$ pathway carries most of the flux. For higher f_{SE} , the Tr state is avoided, as was the case in the constrained tetrahedron system. Indeed, at high rotational diffusion, the transition between U and T_d occurs preferably via frustrated states, T_{f1} , T_{f2} , and T_{f3} , which are then more accessible. Transitions along Tr_f are favorable, even for low f_{SE} , probably due to

Table 1. Flux, crossing probabilities, and rates from TIS for one patch dimer assembly with $f_{SE} = 0.1, 1.0,$ and 10.0 in MC cycle units; subscript denotes error in last digit

f_{SE}	State	$\langle \phi_{0i} \rangle$	$P(\lambda_{ml} \lambda_{ll})$	$P(\lambda_{0j} \lambda_{ml})$	k_{IJ}
0.1	B	$3.58_4 \times 10^{-3}$	4.03×10^{-5}	0.223 ₉	$3.3_1 \times 10^{-8}$
	U	$1.29_1 \times 10^{-3}$	3.58×10^{-2}	0.0059 ₁	$3.0_1 \times 10^{-7}$
1.0	B	$5.22_4 \times 10^{-3}$	8.86×10^{-5}	0.216 ₁	$1.00_1 \times 10^{-7}$
	U	$1.312_9 \times 10^{-3}$	4.52×10^{-2}	0.015 ₃	$9.4_1 \times 10^{-7}$
10.0	B	$1.669_1 \times 10^{-2}$	1.51×10^{-4}	0.043 ₃	$5.06_7 \times 10^{-7}$
	U	$1.47_2 \times 10^{-3}$	5.10×10^{-2}	0.039 ₃	$5.05_9 \times 10^{-6}$

combinatorial entropy because there are more ways to (dis)assemble into Tr_f than into Tr . Another way to demonstrate the influence of rotational diffusion on the assembly is to show the population dynamics of the trimer state, Tr , over the frustrated tetramer state, T_{f2} (Fig. 4). The population of the trapped intermediate state T_{f2} is initially significantly less populated for slow than for fast rotational diffusion, indicating that indeed for assembly with fast rotational diffusion particles aggregate more easily toward frustrated states. Indeed, this also implies that for larger systems beyond the tetramer the frustrated states will lead to an even stronger trapping effect. In the [SI Appendix, section V](#) we show that the correctly formed tetrahedron pathway dominates for slow rotational diffusion by analyzing the rate matrices using such strong traps.

In Fig. 4 the committor q_i^+ , defined as the probability that from state i state T_d will be reached before reaching U , is shown as function of f_{SE} . The committor for the frustrated tetramers decreases with decreasing f_{SE} . In contrast, the probability for Tr increases, indicating again that for slow rotational diffusion a less frustrated pathway is followed.

We note that the overall process always becomes slower when decreasing rotational diffusion, even when scaled with the dwell time in the unbound state ([SI Appendix, section V](#)). However, instead of keeping the translational diffusion fixed and varying the rotational diffusion constant, we can view our results in terms of a fixed rotational diffusion constant and a varying translational

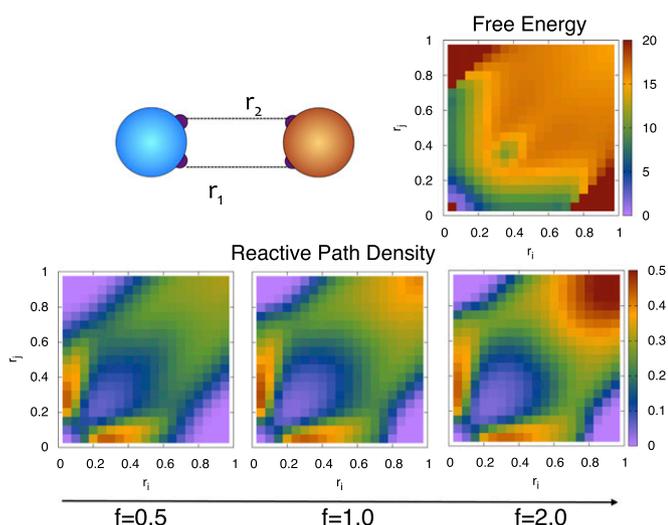


Fig. 2. Free energy and reactive path densities for the two-patch particle system for different values of f_{SE} as a function of the distance r_{ij} between the formed bonds in the bound state. The concertedness of assembly is changed by changing the rotational diffusion. For fast rotational diffusion misalignment will still lead to binding, whereas less so for slow rotational diffusion.

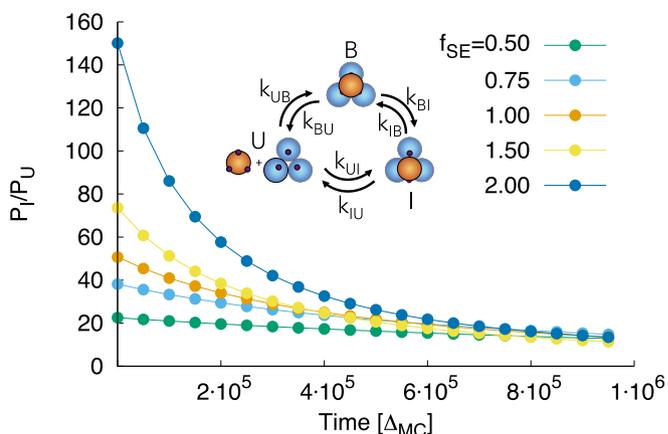


Fig. 3. Population ratio for the intermediate state over the unbound state, as the system relaxes starting from a fully populated bound state, for different rotational diffusion constants. For fast rotational diffusion, the intermediate state is relatively more populated than for slow rotational diffusion. For all rotational diffusion constants the system equilibrates toward the same thermodynamic state.

diffusion. Then the timescale for tetrahedron formation becomes shorter for lower rotational diffusion.

Summary

Our path-sampling simulations demonstrate the proof-of-concept that altering the rotational diffusion can affect the kinetic network and hence the mechanism of self-assembly for simple patchy-particle systems. For the dimer system of one-patch and two-patch particles the mechanism of reactive pathways changes significantly with rotational diffusion, from a translational reactive pathway for slow rotational diffusion, to a rotational reactive pathway for fast rotational diffusion. The pathways do not need to follow the free-energy landscape, and avoid the saddle point when the dynamics are changed. In the assembly of a constraint tetrahedron of four particles decorated with three patches, faster rotational diffusion increases the likelihood of a route via the intermediate state. This effect is even stronger for the full tetrahedron assembly, where the frustrated states and kinetic traps are avoided when rotational diffusion is suppressed. We argue that these results hold beyond the tetramer, and can be seen as a generic effect governed by the different roles that rotation and translation play in the self-assembly. Whereas translational motion is important in particle binding, rotation is crucial for transitions between misaligned frustrated states. We support this argument by analyzing the population dynamics of a simple Markov model that mimics a much larger assembly process ([SI Appendix, section VI](#)). This analysis clearly shows that slower rotational diffusion results in less frustration and in orders of magnitude higher yields, and generalizes our finding into a genuine principle for controlling and understanding complex self-assembly kinetics.

Materials and Methods

Model. We model the particles as patchy hard spheres with diameter σ . For a center-to-center distance, $\sigma < R_{ij} < 2\sigma$, the patch potential is:

$$U_{ij}(\mathbf{R}_{ij}, \Omega) = -\epsilon \sum_{p_{ij}} e^{-kr_{ij}} e^{-(\theta_{ij}^2 + \theta_j^2)/w^2}, \quad [1]$$

where Ω denotes the orientation of the particles, the sum is over all patch pairs p_{ij} , k controls the range of the interaction, r_{ij} is the distance between patch centers, θ_{ij} is the angle between the patch vector of particle α and the vector connecting the center of α and the center of the patch on the other particle, and w is a parameter that controls the patch width (Fig. 1). Note that the arccosine is needed for the angle calculation. As the patch widths in this work are all small, we approximated the arccosine to speed up the simulation significantly.

Free Energy from the Path Ensemble. We collect the full path ensemble for dimerization via SRTIS and from this ensemble we can calculate the free-energy landscape (21):

$$\begin{aligned} F(\mathbf{q}) &= -k_B T \log p(\mathbf{q}) + C \\ p(\mathbf{q}) &= C \int \mathcal{D}\mathbf{x}^L \mathcal{P}_c[\mathbf{x}^L] \sum_{k=0}^L \delta[\mathbf{q}(\mathbf{x}_k) - \mathbf{q}], \end{aligned} \quad [3]$$

where C is an arbitrary constant, $\int \mathcal{D}\mathbf{x}^L$ is the integral over the ensemble of pathways \mathbf{x}^L consisting of L time frames \mathbf{x}_k , $\mathbf{q}(\mathbf{x}_k)$ are the collective variables at time frame \mathbf{x}_k , and $\mathcal{P}_c[\mathbf{x}^L]$ is the path probability. In SRTIS we obtain the Wang–Landau biased path ensemble. To reweight the path ensemble, we use the crossing probabilities obtained from WHAM. Therefore, the path probability in Eq. 3 is the reweighted path ensemble with weights $\bar{w}_i^j = (\sum_{j=1}^i 1/w_j^i)^{-1}$, where w_j^i are the optimized WHAM weights for each interface histogram. The reweighted path probability then becomes (21)

$$\mathcal{P}_c[\mathbf{x}^L] = \sum_{i \in S} c_i \left[w_i^1 P_{\Lambda_1}^+[\mathbf{x}^L] + \sum_{j=1}^{i-1} P_{\Lambda_j}[\mathbf{x}^L] W^j[\mathbf{x}^L] \right], \quad [4]$$

where P_{Λ_j} and $P_{\Lambda_1}^+$ denote the path probability for interface j of state I and the minus interface, respectively. The constants c_i are obtained via matching the rates from all states, and $W^j[\mathbf{x}^L] = \sum_{i=1}^{j-1} \bar{w}_i^j g_i^j[\mathbf{x}^L]$, where $g_i^j[\mathbf{x}^L] = \theta(\lambda_{\max}[\mathbf{x}^L] - \lambda_i) \theta(\lambda_{i+1} - \lambda_{\max}[\mathbf{x}^L])$ selects the correct weight \bar{w}_i^j for a path that has its maximum λ between interface i and $i+1$. One could histogram all of the free energies after the simulation using saved pathways after one has obtained the proper weights. However, as every path that has reached a certain maximum interface based on λ_{\max} is reweighted with the same weight, it is better to histogram on the fly for each interface separately,

and subsequently reweight and sum all of the histograms. As such, no paths need to be stored for subsequent analysis.

Reactive Path Density. The reactive path density is defined as

$$n_r(\mathbf{q}) = \int \mathcal{D}\mathbf{x}^L \mathcal{P}_r[\mathbf{x}^L] h_{\mathbf{q}}(\mathbf{x}^L) \sum_{k=0}^L \delta[\mathbf{q}(\mathbf{x}_k) - \mathbf{q}]. \quad [5]$$

The function $h_{\mathbf{q}}(\mathbf{x}^L)$ is 1 if the path has not visited $\mathbf{q}(\mathbf{x}_k)$ the before k th time step, and zero otherwise; $\mathcal{P}_r[\mathbf{x}^L]$ is the reactive path probability given by $\mathcal{P}_c[\mathbf{x}^L] h_A(\mathbf{x}_0) h_B(\mathbf{x}_L)$, where h_A and h_B ensures that only reactive pathways are taken into account. Note that a path density does not add up to unity.

Reactive Path Current. The reactive path current is (17)

$$J_{BU}(\mathbf{q}) = C \int \mathcal{D}\mathbf{x}^L \mathcal{P}_r[\mathbf{x}^L] \sum_{k=0}^L \delta[\mathbf{q}(\mathbf{x}_k) - \mathbf{q}] \dot{\mathbf{q}}(\mathbf{x}_k), \quad [6]$$

where $\dot{\mathbf{q}}(\mathbf{x}_k)$ is the estimated velocity in the projected space \mathbf{q} . This current has the same properties as the reactive path density, except for the fact that dead ends are averaged out, which results in the mean direction a path will follow.

Nested States and Flux Analysis. Details on the rate calculation for nested states and the flux analysis using TPT are given in the *SI Appendix, section I*.

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