The effect of respiratory management on neurodevelopmental outcome in preterm infants
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Doxapram treatment for apnea of prematurity: a systematic review

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ABSTRACT

Background
Apnea of prematurity (AOP) is a common complication of preterm birth, for which caffeine is the first treatment of choice. In case of persistent AOP, doxapram has been advocated as an additional therapy.

Objective
To identify and appraise all existing evidence regarding efficacy and safety of doxapram use for AOP in infants born before 34 weeks of gestation.

Methods
All studies reporting on doxapram use for AOP were identified by searching electronic databases, references from relevant studies, and abstracts from the Societies for Pediatric Research. Two reviewers independently assessed study eligibility and quality, and extracted data on study design, patient characteristics, efficacy and safety outcomes.

Results
The randomized controlled trials showed less apnea during doxapram treatment when compared to placebo, but no difference in treatment effect when compared to theophylline. No serious adverse effects were reported. We identified 28 observational studies consisting mainly of cohort studies and case series (n = 1994). There was considerable heterogeneity in study design and quality. Most studies reported a positive effect of doxapram on apnea rate. A few studies reported on long-term outcomes with conflicting results. A range of possible doxapram-related short-term adverse effects were reported, sometimes associated with the use of higher doses.

Conclusion
Based on the limited number of studies and level of evidence, no firm conclusions on the efficacy and safety of doxapram in preterm infants can be drawn. For this reason, routine use cannot be recommended. A large multicenter randomized controlled trial is urgently needed to provide more conclusive evidence.
BACKGROUND

Description of the Condition
Apnea of prematurity (AOP) is a common complication of preterm birth and can be classified as central, obstructive or mixed\(^1\,2\). Central AOP is characterized by no or insufficient respiratory drive due to immaturity of the breathing center in the brainstem. During obstructive AOP the infant does display breathing efforts, but no air enters the lungs due to obstruction of the (upper) airways. Mixed AOP is most common in preterm infants and is characterized by a combination of central and obstructive apnea. AOP is often accompanied by oxygen desaturations and/or bradycardia. The number of apneas in (very) preterm infants progressively increases over the first 4-5 weeks of life and then tends to decrease in the following weeks\(^3\). AOP has been associated with impaired neurodevelopmental outcome and retinopathy of prematurity\(^3\,4\). Furthermore, treating apnea with invasive mechanical ventilation may lead to secondary lung injury, thereby increasing the risk of developing bronchopulmonary dysplasia (BPD).

Description of the Intervention
Caffeine is the treatment of choice for AOP, as it is associated with improved neurodevelopment\(^5\,7\). Doxapram has been advocated as an additional treatment if AOP persists during caffeine treatment. The modes of action of doxapram are multiple, such as stimulating the breathing center in the brain\(^8\) and stimulating peripheral chemoreceptors in the carotid body\(^9\). To date, only a small number of randomized controlled trials (RCTs), summarized in a Cochrane review\(^8\), have assessed its efficacy and safety. There is, however, also a considerable amount of nonrandomized evidence on the use of doxapram, which has not been reviewed so far. Such a review seems relevant because, despite the limited randomized evidence, doxapram is widely used in some parts of the world\(^10\).

Objective
The objective of this review is to identify and appraise all existing evidence regarding the efficacy and safety of doxapram use for AOP.

METHODS

Criteria for Considering Studies for this Review
RCTs, cohort studies, case-control studies, case series and case reports were eligible for this review if they reported on doxapram use for AOP in preterm infants born before 34 weeks of gestation and reported at least one of the prespecified outcome measures. The search strategy was limited to articles written in English, German, French or Dutch.
Types of Outcome Measures

The following outcome measures on efficacy and safety of doxapram were collected: number of apneas, carbon dioxide partial pressure (pCO₂), need for mechanical ventilation, extubation failure, BPD, heart rate and electrocardiography abnormalities, systolic blood pressure, feeding intolerance, gastrointestinal bleeding, necrotizing enterocolitis (NEC), cerebral blood flow, intracranial hemorrhage, jitteriness, seizures, central nervous system stimulation as measured by amplitude-integrated electroencephalography, neurodevelopmental outcome, including neurological examination, cerebral palsy and the Bayley Scales of Infant Development (BSID), and mortality.

Search Methods for Identification of Studies

An electronic search for relevant articles was performed independently by two authors (RV and CH) using Medline, Embase and the Cochrane Library. The only restriction was that studies had to be performed on humans. In addition, the two authors also performed a hand search for eligible studies in the reference lists of published trials and review articles, and the abstracts of the Pediatric Academic Societies and the European Society for Paediatric Research (from 1990 onwards).

Selection of Studies

Based on the abstract, two authors (RV and CH) classified the eligible studies into three groups: ‘clearly relevant’, ‘clearly not relevant’ and ‘possibly relevant’. A full-text review was done on all, except those classified as ‘clearly not relevant’. Any disagreement was resolved by consensus.

Data Extraction

Two authors (RV and WO) independently extracted, if applicable, all prespecified outcome measures and the following additional study data: year of publication, region of origin, number of hospitals including patients for the study, type of study and data collection (e.g. retrospective or prospective), period of data collection, eligibility criteria, patient characteristics (such as birth weight, gestational age (GA), gender), number of patients included, treatment with antenatal corticosteroids and postnatal surfactant, and doxapram regimens (postnatal age at start, loading dose, minimum and maximum dose allowed, duration of therapy, cumulative dose, and route of administration).

Assessment of Risk of Bias

Quality assessment of the included RCTs was done by appraising the method of randomization, blinding of intervention, blinding of outcome and completeness to follow-up. The level of bias was based on the criteria reported in the Cochrane Handbook for Systematic Reviews of Interventions. Funnel plots were made to assess possible
publication bias. The STROBE statement was used for the quality assessment of cohort and case-control studies\(^\text{12}\). For case series, quality assessment was based on a clear description of the study objective, the inclusion period, inclusion and exclusion criteria, the intervention, the outcome measures, and completeness of follow-up.

**Analyses of Treatment Effects**
Data on similar study outcomes reported in the RCTs with regard to efficacy or safety of doxapram were pooled if available, using Review Manager (RevMan) version 5.3 (Copenhagen: Nordic Cochrane Centre, Cochrane Collaboration, 2012). Treatment effect estimates were expressed as typical relative risk for dichotomous outcomes, and weighted mean difference for continuous data with a 95% confidence interval. By design, the cohort studies and case series could not be pooled. The results of the case-control studies were too heterogeneous to be pooled in a meta-analysis. Therefore, the results of the cohort and case-control studies were summarized in a descriptive and narrative manner.

**Assessment of Heterogeneity**
Heterogeneity between trials was assessed by inspecting the forest plots and using the I\(^2\) statistic to quantify the impact of heterogeneity. The degree of heterogeneity was classified as defined by the Cochrane Neonatal Review Group: <25% = no heterogeneity; 25-49% = low heterogeneity; 50-74% = moderate heterogeneity; ≥75% = high heterogeneity. Possible causes of statistical heterogeneity were explored using prespecified subgroup analysis. Subgroups were defined as: GA < 28 weeks, doxapram dose < 1.5 mg·kg\(^{-1}\)·h\(^{-1}\) versus doxapram dose ≥ 1.5 mg·kg\(^{-1}\)·h\(^{-1}\).

**RESULTS**

**Study Characteristics**
A total of 530 articles were identified in the different databases using the search strategy mentioned above (Figure 1). Of these, 368 were excluded because they were duplicate or ‘clearly not relevant’ citations. In addition, 130 studies were excluded for the reasons mentioned in Figure 1. This left 32 studies for the final analyses, 4 RCTs\(^{13-16}\), 1 prospective crossover study\(^{17}\), 2 case-control studies\(^{18,19}\), and 25 cohort studies, case series or case reports\(^{20-44}\). Four studies were only available in abstract form\(^{19,23,24,38}\). The study characteristics are summarized in Table 1. All studies vary greatly regarding the year of publication (1984-2014), number of included infants and doxapram dose (0.2 to 2.5 mg·kg\(^{-1}\)·h\(^{-1}\)). Most studies included patients with a GA around 30 weeks, whereas only 8 studies included infants with a mean GA of less than 27 weeks.
### Table 1. Study characteristics.

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**Case report**

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| Dear        | 1984 | 2       | 26.2     | 880, 1200 | 2.5| NR | ↓   | NR | 100%| NR | NR | NR | NR | NR |

Potentially relevant citations screened for retrieval

Identified by PubMed
Identified by Cochrane
Identified by Embase
Identified by hand searching the Pediatric Academic Societies and the European Society for Paediatric Research

Similar citations excluded by reference manager
Citations excluded; clearly not relevant

Abstracts retrieved for more detailed evaluation

Abstracts excluded
- 4 articles infants > 32 weeks
- 29 articles not doxapram or indication apnea
- 2 double publications
- 15 articles on pharmacodynamics
- 1 narrative review
- 10 articles not available in full-text
- 19 articles animal studies
- 25 articles only available in foreign language

Full-text manuscripts or meeting abstracts retrieved for detailed information

Articles included in the study

Figure 1. Flowchart.
Randomized Controlled Trials
The 4 RCTs included a total of 137 infants with a GA < 32 weeks and a birth weight < 1500 g. Doxapram was given in a dose between 0.5 and 2.5 mg-kg^{-1}.h^{-1} and compared to either placebo^{13, 14, 16} or theophylline^{14-16}. Barrington and Muttitt^{13} included mechanically ventilated infants, while the other 3 RCTs included infants on noninvasive or no respiratory support^{14-16}. The overall methodological quality of 3 RCTs was found to be fair to good^{13, 14, 16} (Figure 2). The study of Möller et al.^{15} was qualified as weak because the methods of randomization or blinding were not clearly described (Table 2). Furthermore, 1 infant was excluded from further analysis without reason^{15}. Heterogeneity analysis could not be performed, because outcome measures could not be pooled in a forest plot.

Short-Term Effects
The 4 RCTs used different definitions for successful doxapram therapy: not failing extubation^{13}, cessation or reduction of apneic spells^{14}, an apnea rate below 0.33.h^{-1} for at least 48 h^{16}, and the number of apneas per day^{15}. Given the differences in outcome measurements, pooled analysis could not be performed. Barrington and Muttitt^{13} showed no difference in extubation success between infants treated with doxapram versus infants receiving placebo. Eyal et al.^{14} showed no difference in treatment effect when comparing doxapram-treated infants versus aminophylline-treated infants. When doxapram was given to infants failing to respond to aminophylline treatment, 80% had a complete cessation of apneic spells. The study of Peliowski and Finer^{16} showed a drop in apnea rate from 0.94 ± 0.98 to 0.42 ± 0.55 apneic spells.h^{-1} in doxapram-treated infants. There was no difference in treatment effect when comparing doxapram-treated infants to theophylline-treated infants. The study by Möller et al.^{15}, using the continuous outcome apnea, showed a significantly lower number of apneas in the doxapram group compared to the theophylline group during 7 days of treatment (2.5 (range 0-34) vs 7.0 (range 1-54) apneas/week, p = 0.037). The number of reported short-term adverse effects in the doxapram group was low and nonspecific in most cases^{12-16}.

**Table 2. Risk of bias of the RCTs.**

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White: low risk of bias. Gray: unclear risk of bias. Black: high risk of bias. *Defined as: concerns raised about multiple looks at the data with the results made known to the investigators, difference in patients enrolled in abstract and final publications of the paper."
Long-Term Effects

No long-term neurodevelopmental outcomes were reported in these RCTs.

Cohort Studies

Seven cohort studies were included\textsuperscript{20-26}, of which 2 were available in abstract form only\textsuperscript{23, 24}. Five studies compared the outcomes of patients exposed to doxapram to those not exposed\textsuperscript{20, 21, 23, 24, 26}, 1 compared patients treated with high-dose doxapram (1.0-2.5 mg·kg\(^{-1}\)·h\(^{-1}\)) to patients treated with low-dose doxapram (0.2 mg·kg\(^{-1}\)·h\(^{-1}\))\textsuperscript{25}, and 1 study described a cohort of doxapram-treated infants\textsuperscript{22}. The studies included a total of 935 infants of variable GA (Table 1). Two studies assessed neurodevelopmental sequelae at 12-18 months corrected age\textsuperscript{23, 24}. Fischer et al.\textsuperscript{21} and Czaba-Hnizdo et al.\textsuperscript{20} had a low risk of bias, Yamazaki et al.\textsuperscript{26}, Kumita et al.\textsuperscript{25} and Prins et al.\textsuperscript{22} had a high risk of bias, the risk of bias could not be assessed for the publications in abstract form only (Table 3).

Short-Term Effects

Six studies reported on different short-term outcomes\textsuperscript{20-22, 24-26}. Yamazaki et al.\textsuperscript{26} showed that almost 80% of the infants treated with doxapram had a partial or complete cessation of apnea. In addition, mortality was significantly reduced in the doxapram-treated group. Kumita et al.\textsuperscript{25} reported that doxapram was effective by reducing AOP with a reduction in apnea frequency by more than 50% in 9 out of 11 infants in the high-dose group and in 18 out of 20 infants in the low-dose group. One infant in the high-dose group developed multiple gastric perforations. Prins et al.\textsuperscript{22} reported that doxapram therapy was successful, defined as no need for endotracheal ventilation in 65% of the treated infants. Furthermore, successful treatment was associated with a longer duration of treatment and a lower mean dose. Ten Hove et al.\textsuperscript{24} reported an increased risk of BPD, patent ductus arteriosus, and intraventricular hemorrhage > grade 2 in the doxapram-treated infants. The incidence of a single intestinal perforation, however, was significantly lower in the doxapram group. Other reported adverse effects were severe hypokalemia\textsuperscript{21} and more frequent electrographic seizure activity on amplitude-integrated electroencephalography\textsuperscript{20}.

Long-Term Effects

Ten Hove et al.\textsuperscript{24} found a significant reduction in the relative risk of having a mental development index < -1 SD in infants treated with compared to without doxapram (relative risk 0.46 (0.23, 0.93), p = 0.03). Roignot et al.\textsuperscript{23} did not find a difference in long-term neurodevelopmental outcomes.
Table 3. Risk of bias according to the STROBE statement.

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Case-Control Studies
Two case-control studies including 718 preterm infants (GA < 30 weeks) were identified18, 19 (Table 1). Both reported on long-term effects only. The methodological quality of the study by Sreenan et al.18 was found to be good (Figure 2), whereas the risk of bias in the study of Zayek et al.19 could not be assessed, because it was published in abstract form only.

Long-Term Effects
Sreenan et al.18 found that infants with an isolated adverse mental development, defined as a mental development index < 70 on the BSID-II, were treated with doxapram more often, for a longer duration (45.2 vs. 19.4 days) and with a higher cumulative dose (2.2 vs. 0.6 g, respectively) compared to controls. Zayek et al.19 showed that doxapram therapy did not increase the risk of neurodevelopmental impairment at 18 months corrected age.

Crossover Study
The identified crossover study included 8 preterm infants recovering from respiratory distress syndrome, who were weaned from mechanical ventilation using doxapram or aminophylline17. The risk of bias of this study was judged to be high (Table 3). The primary and only reported outcome, weaning from mechanical ventilation, was not statistically significantly different between doxapram and aminophylline.

Case Series
Sixteen case series were included, reporting a total of 324 preterm infants treated with doxapram (Table 1)27-42. One study was a pilot study described in a letter to the editor29, and one study was only available in abstract form38. Both the characteristics of the included patients and the doxapram dosing regimen differed considerably between studies (Table 1). In general, the case series had a moderate risk of bias (Table 3).

Short-Term Effects
All studies reporting on apnea frequency showed a significant reduction after starting doxapram therapy27,31,34,37,41,42. Four of the 9 studies reporting on blood pressure showed hypertension in patients treated with a doxapram dose exceeding 1.5 mg·kg⁻¹·h⁻¹ (Table 1)30-32,42. Five studies reported on possible gastrointestinal side effects such as NEC, abdominal distention, vomiting, or feeding intolerance32,34-36,42. The reported incidence of NEC ranged from 6 to 23% (Table 1)29,32,33,39. Spontaneous intestinal perforation did not occur in any of the studies. Ten studies reported on possible short-term central nervous system effects of doxapram27,28,30-34,36,39,42. Intraventricular hemorrhage > grade 2 was observed in 1 study12, and jitteriness, irritability, agitation, or frequent crying in 5 stud-
Only 1 study reported seizures in 2 infants during doxapram infusion\textsuperscript{30}, although both had other risk factors for neonatal seizures. Other less frequently reported adverse effects were premature tooth eruption\textsuperscript{42} and a significant increase in QTc time\textsuperscript{36}. Only 1 study reported on BPD and retinopathy of prematurity, showing no increased risk compared to the general reported incidences of these outcome measures\textsuperscript{32}.

**Long-Term Effects**

Four studies reported the effects of doxapram therapy on neurodevelopmental outcome\textsuperscript{27, 32, 35, 38}. Beaudry et al.\textsuperscript{32} reported retinopathy of prematurity stage 2 in 1 infant. Spastic diplegia was found at an age of 12 months in 1 of 11 treated infants\textsuperscript{37}, a reduction on the Revised Prescreening Developmental Questionnaire for every 10 days of treatment with doxapram in a group of 88 infants\textsuperscript{35}, and a mental development index < 70 in 25% and a psychomotor development index < 70 in 18% of the infants treated with doxapram\textsuperscript{38}.

**Case Reports**

Two included studies were case reports, of which one was a full-text paper\textsuperscript{43} and the other a letter to the editor\textsuperscript{44}. The risk of bias of the full-text paper was assessed as high (Table 3). It described three cases of very preterm infants with second-degree atrioventricular block caused by QT interval prolongation\textsuperscript{43}, while receiving doxapram dosages of 1.5, 2.5, and 3.0 mg·kg\textsuperscript{-1}·h\textsuperscript{-1}. The atrioventricular blocks disappeared when doxapram administration was discontinued. The other study reported a reduction in apnea rate in two preterm infants within hours of starting doxapram therapy at a dose of 2.5 mg·kg\textsuperscript{-1}·h\textsuperscript{-1}. However, both infants became agitated with continuous erratic limb movements, excessive crying and disturbed sleep patterns. Their behavior returned to normal after discontinuing doxapram.

**DISCUSSION**

**Summary of Main Results**

This review summarizes all available evidence on the short- and long-term effects of doxapram for AOP. A total of 32 studies, including 4 RCTs and 28 observational studies were identified. These studies showed considerable differences in patient characteristics, study design, the doxapram dosing regimen, and reported outcome measures. A positive effect on apnea rate was found in all 16 studies reporting on this outcome\textsuperscript{14-16, 25-32, 34, 39, 41, 42, 44}. Only a limited number of RCTs and case-control studies reported on short- and long-term adverse effects of doxapram use. Most of these did not show an increased risk of adverse effects. Case series have reported a wide range of
possible adverse effects of doxapram use, although the interpretation of these results is
difficult, because there is no control group and the data are potentially confounded by
indication and publication. Reported possible adverse effects are hypertension at doses
above 1.5 mg·kg$^{-1}$·h$^{-1}$ 30-32, gastrointestinal effects 32, 34, 36, 42, including NEC 32, 35, 36, 42, signs
of CNS irritability 13, 27, 30, 32, 34, 42, 44, including seizures 20, 30, prolonged QT interval 36, 43  and
hypokalemia21.

Quality of the Evidence
Assessment of potential biases in methodology was not possible for 4 studies published
as abstract. The methodological quality of the remaining 28 studies differed considerably
(Figure 2, 3). Overall, the quality of most RCTs, case-control studies, and cohort studies
was fair 13, 14, 16, 18, 19, 21. There was a wide variety in quality of the included case series. In
general, the case series had a moderate risk of bias, mainly because of an inadequate
description of the methods and study limitations. The crossover study and both case
reports had a high risk of bias 17, 43, 44.

Implications for Practice
The overall applicability of this review is deemed low for several reasons. First, there was
a considerable heterogeneity between the included studies, for example differences in
administered dose of doxapram, duration of therapy, definition of apnea and assess-
ment of outcomes at different points in time. This heterogeneity may have compromised
the validity and thus the results of the meta-analysis of the RCTs on doxapram use, as
pooling of trial data assumes homogeneity of study design. Second, since only 4 RCTs
with small sample size were identified, most of the studies in this review were case series
and case-control studies.

Taking the limitations described above into account, the results suggest that doxa-
pram might be useful in treating AOP. Whether this is also the case when doxapram is
added to caffeine remains unclear. It is also unclear whether this possible short-term
benefit on AOP will reduce the need for invasive mechanical ventilation and, more
importantly, the incidence of BPD. It is important to acknowledge that doxapram might
also have adverse effects, especially when using higher doses (>1.5 mg·kg$^{-1}$·h$^{-1}$). Given
the limited high-quality evidence, it is unclear what the overall effects of benefits and
harms are on long-term neurodevelopmental outcome following doxapram use. Based
on these results, we cannot recommend the routine use of doxapram outside the realm
of well-conducted randomized placebo-controlled trials for treating AOP. If used on an
individual basis, clinicians should aim for the lowest possible effective dose of doxapram
and monitor closely for possible adverse effects.
Implication for Research

A large, well-designed RCT on doxapram use for AOP is urgently needed. As caffeine is the standard of care for central AOP, doxapram should be studied as an adjuvant for infants failing caffeine treatment. This should be done in a blinded and placebo-controlled fashion. The study should include preterm infants with a high risk of adverse pulmonary and neurodevelopmental outcome and have sufficient power to assess both efficacy and safety. Data on the following primary outcome parameters should be collected: BPD at 36 weeks postmenstrual age, mortality at 36 weeks postmenstrual age and at discharge, and neurodevelopmental outcome using predefined definitions, diagnostic tests, and time points. Short-term benefits (i.e. time of extubation, duration of ventilation) and adverse effects (e.g. hypertension, gastrointestinal and CNS effects) can be reported as secondary outcomes. The use of open label doxapram outside the study protocol should be avoided as much as possible or based on an agreed protocol.

CONCLUSION

The present systematic review identified, appraised, and summarized all available evidence on doxapram use for AOP. No firm conclusions on the efficacy and safety of doxapram in preterm infants can be drawn based on the limited number of studies and level of evidence. For this reason, routine use cannot be recommended. A large multicenter RCT is urgently needed to provide more conclusive evidence on whether to include or exclude this therapeutic option in the treatment of AOP.
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