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Sporormiella como ferramenta para detectar a presença de grandes herbívoros na região Neotropical

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Sporormiella as a tool for detecting the presence of large herbivores in the Neotropics

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Abstract: The reliability of using the abundance of Sporormiella spores as a proxy for the presence and abundance of megaherbivores was tested in southern Brazil. Mud-water interface samples from nine lakes, in which cattle-use was categorized as high, medium, or low, were assayed for Sporormiella representation. The sampling design allowed an analysis of both the influence of the number of animals using the shoreline and the distance of the sampling site from the nearest shoreline. Sporormiella was found to be a reliable proxy for the presence of large livestock. The concentration and abundance of spores declined from the edge of the lake toward the center, with the strongest response being in sites with high livestock use. Consistent with prior studies in temperate regions, we find that Sporormiella spores are a useful proxy to study the extinction of Pleistocene megafauna or the arrival of European livestock in Neotropical landscapes.

Keywords: Extinction, fossil pollen, lake sediment, livestock, Pleistocene Megafauna, Sporormiella spores.


Resumo: A confiabilidade dos valores de Sporormiella como um proxy para estimar a presença e abundância de megaherbívoros foi testada na região sudeste do Brasil. Amostras superficiais de nove lagos, categorizados quanto a presença de gados em alto, médio e baixo uso do seu entorno foram coletadas para a análise de abundância de Sporormiella. O modelo amostral aplicado permitiu a interpretação tanto da influência do número de animais que usam a margem do lago quanto a distância da margem do lago sobre a quantidade de esporos encontrados. As análises indicam que esporos de Sporormiella é um excelente proxy para detectar a presença de grandes herbívoros. A concentração e abundância de esporos reduz em direção ao centro do lago, o que fica mais evidente em locais com alto uso do entorno do lago por esses animais. Consistente com estudos realizados em regiões temperadas, nós concluímos que o uso de Sporormiella se mostra de grande valia para entender a extinção da mega fauna do Pleistoceno como também a introdução de animais nas paisagens neotropicais.

Palavras-chave: Extinção, pólen fósil, sedimentos lacustres, pecuária, Megafauna Pleistocênica, Sporormiella.
affected by the abundance of animals in the region, transportation of the spores by physical agents after defecation, and physical characteristics of the lake basin. Higher concentrations of *Sporormiella* at the shoreline probably result from the relatively heavy spore structure that causes the fungus cell to sink rapidly. An exponential decline in spore concentration with increasing distance from shoreline was suggested by Raper & Bush (2009) for the shallow flat-bottomed lakes of Florida. Parker & Williams (2012) found that in dam and natural lakes in the US Great Plains, Midwestern USA, the decline from shoreline to the center was linear. A study of mountain lakes in the French Alps suggested that the *Sporormiella* concentrations were a flow of plumes that transported plumes of *Sporormiella* into deep water.

No study of *Sporormiella* occurrence has yet been undertaken in South American systems. The presence of a suite of mid-sized rodents and herbivores that are commonly found around lakes, e.g. capybara, agouti, paca, and deer could complicate the use of *Sporormiella* as a marker of Pleistocene megafauna. Here we aim to: (1) test the association between *Sporormiella* concentrations in modern sediments and the presence of introduced European animals, (2) determine if the use of livestock has a significant impact on *Sporormiella* concentrations; and (3) test whether *Sporormiella* declines with distance from the shoreline.

### Material and Methods

To understand the association between megafauna abundance and *Sporormiella* representation in lake sediment archives, we sampled nine lakes with different cattle use in the adjacent landscape: i) High cattle use (> 40 per day visiting the lake); ii) Medium cattle use (~ 10 per day visiting the lake), and iii) Low cattle use (< 5 per day visiting the lake). We also examined whether the abundance of *Sporormiella* was related to distance from the lake shoreline (Raper & Bush 2009).

#### 1. Experimental design

To compare *Sporormiella* signatures along a gradient of cattle densities, we selected nine flat-bottomed lakes across southeastern Brazil (Table 1), in the São Paulo and Minas Gerais (Figure 2). The sampled lakes are situated in the southeastern region of Brazil in the cerrado (Goodland & Pollard 1973), and in the Atlantic rain forest (Morellato & Haddad 2000). Lakes ranged in size from 100 to 150 m in diameter and were classified according to the use by livestock. Due to limited

### Table 1. Attributes and characteristics of each lake. Lakes with asterisk are also being analyzed for paleoecological reconstructions.

<table>
<thead>
<tr>
<th>Lake Name</th>
<th>Elev. (m)</th>
<th>Lat./Long.</th>
<th>~ Depth (m)</th>
<th># Samples</th>
<th>Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>Araçá/MG</td>
<td>806</td>
<td>19°31'48&quot; S/44°6'28&quot; W</td>
<td>1.8</td>
<td>20</td>
<td>High</td>
</tr>
<tr>
<td>Maria/SP</td>
<td>760</td>
<td>23°6'41&quot; S/46°31'25&quot; W</td>
<td>2</td>
<td>8</td>
<td>High</td>
</tr>
<tr>
<td>Pedrinha/MG</td>
<td>702</td>
<td>19°33'42&quot; S/44°7'21&quot; W</td>
<td>1.5</td>
<td>12</td>
<td>High</td>
</tr>
<tr>
<td>Guilto/MG</td>
<td>720</td>
<td>19°28'48&quot; S/44°9'11&quot; W</td>
<td>2.4</td>
<td>20</td>
<td>Medium</td>
</tr>
<tr>
<td>Fora/MG</td>
<td>700</td>
<td>19°26'48&quot; S/44°11&quot; W</td>
<td>2.6</td>
<td>20</td>
<td>Medium</td>
</tr>
<tr>
<td>Rocha/SP</td>
<td>645</td>
<td>21°52'40&quot; S/47°5'19&quot; W</td>
<td>1.5</td>
<td>8</td>
<td>Low</td>
</tr>
<tr>
<td>Serra Negra/MG *</td>
<td>1170</td>
<td>18°53'31&quot; S/46°49'29&quot; W</td>
<td>1.5</td>
<td>9</td>
<td>Low</td>
</tr>
<tr>
<td>Brança/SP *</td>
<td>710</td>
<td>21°54'12&quot; S/47°1'46&quot; W</td>
<td>1.8</td>
<td>11</td>
<td>Low</td>
</tr>
<tr>
<td>Sumidouro/MG</td>
<td>648</td>
<td>19°32'20&quot; S/43°56'32&quot; W</td>
<td>0.8</td>
<td>12</td>
<td>Low</td>
</tr>
</tbody>
</table>

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access to private properties, it was not possible to get an even number of lakes across all categories of lakes three high-use lakes, two medium-use lakes, and four low-use lakes.

Each lake was divided into concentric rings representing intervals of distance from the lake shoreline (Figure 3). The outermost ring represented 2.5 m from shoreline, the second interval was 15 m from the shoreline, then 35 m, and the last one was 50 m. The number of samples collected within each ring was proportional to the surface area of the ring. Thus, more samples were collected in the outermost ring than in the inner rings. Sample locations within each ring were randomly selected. It is important to observe that at Lake Maria, samples from 35 and 50 meters distances were unavailable due to a fence that prevented boat access to the center of the lake. All samples were obtained from the mud-water interface using an Ekman dredge sampler that was released from an inflatable boat. A total of 120 samples were obtained summing data for all lakes.

2. Subsampling

From each sample collected, 1.0 cm³ of wet sediment was subsampled and processed following standard protocols for palynological analyses (Faegri & Iversen 1989) and separated by density using sodium metatungstate to concentrate the palynomorphs. In each sample, a Lycopodium spike containing a known number of spores was added. This technique was used to determine spore and pollen concentrations (Stockmarr 1971). In each sample, 300 pollen grains were counted using a Zeiss Axioskop at magnifications of 630x and 1000x as this typified the sampling effort applied to paleoecological samples (Absy 1991, Colinvaux et al. 1997, Colinvaux et al. 1999, Colinvaux et al. 1996, Ledru et al. 1998, Ledru 1993). Sporormiella spores were identified by consulting photographs and through physical descriptions (Aptroot & van Geel 2006, Davis & Shafer 2006, van Geel et al. 2011).

3. Statistical Analysis

The spore abundances were compared across distance intervals (concentric rings), and between livestock densities. The data were analyzed using a generalized linear model (Gelman & Hill 2007) from the package stats using the statistical program R (R Development Core Team 2015). Distance from the shoreline was the predictor to determine if the mean of the samples at each segment from each lake was significantly different from another. Also, we performed a Two Way ANOVA to analyze if a significant difference existed among lakes supporting high, medium or low livestock use.

Results

1. Lakes

Sporormiella spores were present in 97 out of the 120 samples analyzed. In lakes with high cattle use, spores abundance ranged 6.3 and 48.6 % of the total pollen sum. At locations with medium
and low cattle use, the highest spore per sample found was 6.3% of total pollen sum. Percentages of Sporormiella spores were significantly higher at locations with high cattle use in comparison with locations with medium and low cattle use (p < 0.001; Figure 4; Table 2).

Concentrations of Sporormiella in lakes with high cattle use varied from 1203.9 to 6741.5 spores per cm$^3$. Locations with medium and low cattle use had much lower concentrations of spores, with values ranging from 0 to 338.7 spores per cm$^3$. In all cases, samples located at shoreline had concentrations of Sporormiella significantly higher than samples close to the center of the lake. The Two Way ANOVA for concentrations of Sporormiella per cm$^3$ also showed that high cattle densities locations were significantly different from locations with medium and low cattle densities (p < 0.001; Figure 5; Table 3).

## 2. Distances

The generalized linear model applied to the percentage and concentration data allowed us to identify the variance among distances within each lake category. Abundance of Sporormiella spores followed the same pattern for all lake categories. As the distance from the shoreline increased the abundance of spores decreased. At lakes with high cattle use (Figure 6 A and D), the percentage and concentration of Sporormiella were significantly different (p < 0.001; Tables 4 and 5) across all distances. Shoreline samples had values notably higher than all the other samples. There was an evident pattern showing the decrease of Sporormiella abundance toward the center of the lake. In medium and low cattle use locations, the same pattern of decreasing abundance of Sporormiella toward the center of the lake was observed, however, in these two categories of lakes the maximum abundance of Sporormiella decreased to values less

![Figure 4](image_url)  
**Figure 4.** Percentage data for Sporormiella representation relative to the pollen sum. Each value represents an average for the given distances from the nearest shoreline. The letters after the names of the lakes denote the category of each lake: H = High cattle use; M = Medium cattle use, and L = Low cattle use.

**Table 2.** Two Way ANOVA for percentages data. Dependent Variable: Sporormiella. a. R Squared = .994 (Adjusted R Squared = .991).

<table>
<thead>
<tr>
<th>Source</th>
<th>Type III Sum of Squares</th>
<th>df</th>
<th>Mean Square</th>
<th>F</th>
<th>Sig.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corrected Model</td>
<td>4297.941^a</td>
<td>11</td>
<td>390.722</td>
<td>348.304</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Intercept</td>
<td>1430.452</td>
<td>1</td>
<td>1430.452</td>
<td>1275.159</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Category</td>
<td>2542.458</td>
<td>2</td>
<td>1271.229</td>
<td>1133.222</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Distance</td>
<td>452.214</td>
<td>3</td>
<td>150.738</td>
<td>134.373</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Category * Distance</td>
<td>761.889</td>
<td>6</td>
<td>126.981</td>
<td>113.196</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Error</td>
<td>24.679</td>
<td>22</td>
<td>1.122</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>5788.643</td>
<td>34</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Corrected Total</td>
<td>4322.621</td>
<td>33</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Source</th>
<th>Type III Sum of Squares</th>
<th>df</th>
<th>Mean Square</th>
<th>F</th>
<th>Sig.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corrected Model</td>
<td>144.229a</td>
<td>11</td>
<td>13.112</td>
<td>315.145</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Intercept</td>
<td>48.140</td>
<td>1</td>
<td>48.140</td>
<td>1157.061</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Category</td>
<td>85.560</td>
<td>2</td>
<td>42.780</td>
<td>1028.234</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Distance</td>
<td>15.109</td>
<td>3</td>
<td>5.036</td>
<td>121.054</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Category * Distance</td>
<td>25.449</td>
<td>6</td>
<td>4.241</td>
<td>101.946</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Error</td>
<td>.915</td>
<td>22</td>
<td>.042</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>194.422</td>
<td>34</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Corrected Total</td>
<td>145.144</td>
<td>33</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Figure 6. Generalized linear model for concentration (A-C) and percentage data (D-F) for all distances in each lake category.

than 1.8% (338.73 spores per cm$^3$ of sediment) of the total pollen sum. Although all categories exhibited the same tendency for Sporormiella abundance to decline toward the center of the lake, the variance between samples was markedly lower at locations with medium and low cattle use, especially for samples at 15, 35, and 50 m from the shoreline (Figures 6 B, E, C and F).

**Discussion**

Based on modern samples collected in areas with high, medium, and low cattle use our results validate Sporormiella as a robust instrument to predict past grazing activities in ancient lake records, as suggested by (Davis 1987), and later observed by other researchers (Burney et al. 2003, Davis & Shafer 2006, Gill et al. 2009, Robinson et al. 2005). In essence, large numbers of livestock resulted in more spores of Sporormiella being deposited to the center of a lake than low densities of livestock.

The presence of small- and medium-sized herbivores, other than domesticated livestock, appeared to have very little influence on the abundance of Sporormiella spores. As these small animals probably support Sporormiella, its representation may not decline to zero even following a megafaunal extinction event. Our results are consistent with the 2% detection threshold suggested by Davis (1987), in which Sporormiella occurrence at >2% of the pollen sum was a reliable indicator of megafaunal presence. This 2% value has been used to indicate the possible functional extinction of megafauna from the catchment area of the lake (Gill et al. 2013, Gill et al. 2009, Johnson et al. 2015, Parker & Williams 2012). This threshold, however, will probably vary depending on the location of the study as the percentage of Sporormiella is dependent on the pollen productivity of the system. Equally important are the concentrations values, which compare positively with the spore percentage data. All samples from locations with high cattle use had Sporormiella values greater than 2%, even from samples located at the center of the lake. On the other hand, at locations with medium and low cattle use, which could be a parallel for a functional extinction in the past, the highest value of the highest average of Sporormiella was 1.8% of the total pollen sum. The values for locations with high cattle use are, without exception, greater than any samples at medium and low cattle use locations (Figure 6). This finding has important implications for paleoecological studies because it suggests that

Table 4. Summary of the results for the generalized linear model on percentage data for all the distances for each lake category.

| Distances | Estimate Std. | Error  | t value | Pr(|t|) | Signif. codes |
|-----------|---------------|--------|---------|--------|---------------|
| High      | -0.59793      | 0.05793 | -10.322 | 4.83e-05 | ***           |
| 15        | -0.63843      | 0.08809 | 7.247   | -0.000351 | ***           |
| 35        | -1.25848      | 0.11496 | -10.947 | 3.45e-05 | ***           |
| 50        | -2.00163      | 0.14578 | 13.730  | 9.28e-06 | ***           |
| Medium    | -4.1872       | 0.2159  | 19.393  | 4.17e-05 | ***           |
| 15        | -1.0565       | 0.4220  | 2.503   | 0.0665  |               |
| 35        | -1.8062       | 0.5686  | -3.176  | 0.0337  | *              |
| 50        | -2.9021       | 0.9334  | 3.109   | 0.0359  | *              |
| Low       | 0.0627        | 0.4395  | 0.143   | 0.8889  |               |
| 15        | -1.1621       | 0.6712  | -1.731  | 0.1090  |               |
| 35        | -2.0111       | 0.7969  | -2.524  | 0.0267  | *              |
| 50        | -3.1982       | 1.1837  | -2.702  | 0.0192  | *              |

Signif. codes: 0 **** 0.001 *** 0.01 ** 0.05 * 0.1 +

http://www.scielo.br/bn

Table 5. Summary of the results for the generalized linear model on concentration data for all the distances for each lake category.

| Distances | Estimate Std. | Error  | t value | Pr(|t|) | Signif. codes |
|-----------|---------------|--------|---------|--------|---------------|
| High      | -5.02812      | 0.04217 | -119.230 | 2.35e-11 | ***           |
| 15        | -0.45213      | 0.06753 | -6.695  | 0.000539 | ***           |
| 35        | -0.96383      | 0.09336 | -10.324 | 4.83e-05 | ***           |
| 50        | -1.62970      | 0.12348 | -13.198 | 1.17e-05 | ***           |
| Medium    | -0.9780       | 0.2670  | -3.662  | 0.0215  | *              |
| 15        | -1.2564       | 0.4831  | -2.600  | 0.0600  |               |
| 35        | -2.0741       | 0.6324  | -3.280  | 0.0305  | *              |
| 50        | -3.1944       | 1.0092  | -3.165  | 0.0340  | *              |
| Low       | -2.6338       | 0.4004  | -6.577  | 2.62e-05 | ***           |
| 15        | -0.8123       | 0.7037  | -1.154  | 0.2708  |               |
| 35        | -1.5196       | 0.9047  | -1.680  | 0.1189  |               |
| 50        | -2.2227       | 1.2120  | -1.834  | 0.0916  |               |

Signif. codes: 0 **** 0.001 *** 0.01 ** 0.05 * 0.1 +

the presence of megafauna can be detected even without
knowing the exact locations of paleo-shorelines.

Distance from the shoreline played an important role in the
number of spores recovered. It was previously suggested that due
to their density, and the clumping of spores as they are released,
Sporormiella would have had a limited dispersal range (Parker &
Williams 2012). Consequently, spores of Sporormiella would
be expected to be concentrated at the margins of lakes. Our
results, along with those of Raper & Bush (2009), support this
observation. Similarly, our data are consistent with most other
studies in that they show Sporormiella spore concentrations and
percentages of the pollen sum declining toward the center of
the lake.

Raper & Bush (2009) noticed a weak relationship between
the percentages of Sporormiella and distance from the shoreline
at Corner Lake, a location with high cattle activity. This
observation could be an artifact of the sampling method
applied by those authors, who used a linear transect as a
representation of the entire shoreline. To prevent this, the
collection of modern samples in our study differed from the
method applied by Raper & Bush (2009). We randomly
selected samples around the lake to avoid collecting samples
closer to a source of Sporormiella, or to accidentally select any
spot that cattle were avoiding.

Sample location and livestock use are two factors to
consider when analyzing the percentages and concentrations of
Sporormiella in modern settings at any location. Similarly, the
morphology of the basin should also be properly evaluated.
Etienne et al. (2012) observed that Sporormiella abundance at
Lake Allos is strongly related to stream flooding, and the
authors suggest that a single core obtained at the center of a
lake could be a misrepresentation of magafernual presence in
fossil records. Etienne et al. (2012), however, performed their
analysis very close to the course of a stream, thereby, emphasizing
the role that moving water could play an important part in
dispersing Sporormiella into a lake. Their study underlines the
care needed in selecting a site for any kind of paleoecological
reconstruction, as the biases they found in Sporormiella distribu-
tion would apply equally to other proxies.

One pair of lakes in our study provided some further
insights into the importance of stream flow on Sporormiella
inputs. Lake Pedrinha, a site with high cattle use, had a small
inflowing stream that during the wet season would have the
capacity to produce flood surges. We chose a coring location,
as we would for any paleoecological reconstruction that was
not aligned with the stream and its alluvial fan. A comparison
of the Sporormiella concentrations observed in sediments from
this lake was not significantly different to those documented
from Lake Araça, which is also a high cattle use location, but
lacking a stream input. As Sporormiella spores settle out quite
quickly, representative samples can be collected by avoiding
areas of stream activity.

Conclusion

Our results from 9 lakes and a total of 120 samples
indicated that spores of Sporormiella are a very sensitive proxy
for large herbivore presence. Sporormiella is an important
paleoecological proxy measure for identifying the presence, and
with appropriate metadata, the abundance of megaherbivores.
The abundance and concentration of Sporormiella spores can
be used to assess the timing of the functional extinction of the
late-Pleistocene megafauna in the Neotropics.

Distance from the source of dispersion plays an important
role in the abundance of spores recovered. As the distance
towards the center of the lake increases, the number of
spores decreases considerably. Therefore, the location of the
core relative to paleoshorelines is important for fossil recon-
structions. To overcome this issue multiple cores taken across a
basin, cores close to the shoreline of a lake or using inde-
pendent proxies for lake depth such as fossil diatom abund-
ances coupled with bathymetric models could be used to
refine analyses.

As previously stated by some authors (Baker et al. 2013,
Johnson et al. 2015), the study of representation of the copro-
philous genus Sporormiella offers an immense opportunity for a
complete approach to understand the past environment: ecosystem
and biodiversity of megafauna. Extinctions are extremely compli-
cated processes and are seldom caused by a single, distinct event. In
most cases, extinctions are a consequence of a major event that
culminates in cascading effects that disturb entire systems (Raup
1991, 1994). We may never identify a single cause for the loss of the
Pleistocene megafauna, however, we should make use, as much as
we can, of any tool available to understand it; analysis of
Sporormiella is certainly one of those tools.

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