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# Detection of the first infra-red quasi-periodic oscillation in a black hole X-ray binary

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## ABSTRACT

We present the analysis of fast variability of Very Large Telescope/ISAAC (Infrared Spectrometer And Array Camera) (infra-red), *XMM-Newton*/OM (optical) and EPIC-pn (X-ray), and *RXTE*/PCA (X-ray) observations of the black hole X-ray binary GX 339–4 in a rising hard state of its outburst in 2010. We report the first detection of a quasi-periodic oscillation (QPO) in the infra-red band (IR) of a black hole X-ray binary. The QPO is detected at 0.08 Hz in the IR as well as two optical bands (*U* and *V*). Interestingly, these QPOs are at half the X-ray QPO frequency at 0.16 Hz, which is classified as the type-C QPO; a weak sub-harmonic close to the IR and optical QPO frequency is also detected in X-rays. The band-limited sub-second time-scale variability is strongly correlated in IR/X-ray bands, with X-rays leading the IR by over 120 ms. This short time delay, shape of the cross-correlation function and spectral energy distribution strongly indicate that this band-limited variable IR emission is the synchrotron emission from the jet. A jet origin for the IR QPO is strongly favoured, but cannot be definitively established with the current data. The spectral energy distribution indicates a thermal disc origin for the bulk of the optical emission, but the origin of the optical QPO is unclear. We discuss our findings in the context of the existing models proposed to explain the origin of variability.

**Key words:** black hole physics – stars: winds, outflows – X-rays: binaries – X-rays: individual: GX 339–4.

## 1 INTRODUCTION

The emission from the accretion flow and jets in the hard state of black hole X-ray binaries (BHB) spans from the radio band to  $\gamma$ -rays. The accretion flow around the black hole has two main components – a geometrically thin optically thick accretion disc (modelled as a quasi-blackbody at low X-ray energies) and a geometrically thick optically thin inner hot flow/magnetized corona (Comptonized emission, modelled by a power-law component at high X-ray energies). The blackbody disc emission component is relatively weak in the hard states (as opposed to the soft states) and the accretion flow spectrum is dominated by the Comptonized emission (e.g. see Done, Gierliński & Kubota 2007). The jets con-

sist of energetic particles accelerated away from the black hole, and its synchrotron emission extends from radio through optical infra-red (OIR) and possibly into X-rays (e.g. Markoff, Falcke & Fender 2001; Fender, Homan & Belloni 2009). The emission in ultraviolet (UV) and OIR may also include intrinsic thermal emission from the outer region of the accretion disc and reprocessing of X-rays incident on the outer accretion disc (e.g. van Paradijs & McClintock 1994). The fluxes in various bands show correlations e.g. radio/X-rays (Corbel et al. 2003; Gallo, Fender & Pooley 2003), X-rays/OIR (Homan et al. 2005; Russell et al. 2006; Coriat et al. 2009). Clearly, the accretion flow and jets form a strongly connected and interacting complex system which is also observed to undergo dramatic changes due to mass accretion rate variations during episodic outbursts.

To comprehend fully the relationship between the accretion flow and the jet during an outburst, it is crucial to disentangle the

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contribution to emission from various components. The synchrotron radio emission is interpreted to be from a self-absorbed compact jet. The compact jet models predict that above a certain frequency, commonly referred to as the jet spectral break frequency (expected in the OIR range), the jet is not self-absorbed and produces optically thin emission (Blandford & Königl 1979). This makes the OIR bands of great interest as both the accretion flow and jet can be detected in these bands. Despite the limitations due to high extinction and contribution from the accretion disc and/or the companion star, spectral modelling of multi-band data sets which include OIR data in bright hard states has led to detection of the jet spectral break in the mid-IR bands in a handful of sources (see e.g. Corbel & Fender 2002; Gandhi et al. 2011; Russell et al. 2013).

X-ray emission from the accretion flow in the hard state is known to vary on slow (tens of seconds) and fast (sub-second) time-scales (e.g. van der Klis 2006) and often narrow peaked components called quasi-periodic oscillations (QPOs; classified type-C; Wijnands, Homan & van der Klis 1999; Casella, Belloni & Stella 2005) are observed in the power spectrum. Until now only a few examples of QPOs have also been seen in the UV/optical band, at either similar or commensurate frequencies as those in the X-ray band, and their origin has been unclear. Reprocessing of band-limited X-ray variability within the accretion flow and/or on the companion star has been observed in some sources allowing us to put constraints on the size of the accretion disc/system (see e.g. O’Brien et al. 2002). Many results later showed that not all variability can be accounted for by simple reprocessing scenarios. There is growing evidence of fast variability arising in the synchrotron jet emission in the OIR bands, and that this jet variability is correlated with X-ray variability (Motch et al. 1983; Kanbach et al. 2001; Hynes et al. 2003a; Gandhi et al. 2010; Casella et al. 2010; Lasso-Cabrera & Eikenberry 2013). This variability correlation provides a valuable tool to probe the accretion flow–jet interaction.

GX 339–4 is a recurrent BHB transient located at a distance of  $> 6$  kpc (Hynes et al. 2004) with a mass function of 5.8 solar masses and an orbital period of 1.75 d (Hynes et al. 2003b). The source has exhibited several outbursts in the past and it entered a new outburst on 2010 January 3 (MJD 55199; Yamaoka et al. 2010). In this paper, we report the study of simultaneous multi-wavelength observations taken on 2010 March 28 (MJD 55283) in infra-red, optical/UV and X-rays when the source was in the hard state during the rise of the outburst. We study variability in each of these bands along with the broad-band spectral energy distribution (SED). The aim is to investigate the origin of variable emission and its correlation amongst different energy bands to probe the accretion flow–jet coupling. We describe the observations and data analysis in Section 2. The variability and SED results and their comparison with previous reports are presented in Section 3. The origin of variable emission is discussed in the context of different models in Section 4 with summary and conclusions in Section 5.

## 2 OBSERVATIONS AND DATA REDUCTION

### 2.1 Infrared data

GX 339–4 was observed between MJD 55283.270 and MJD 55283.361 using Very Large Telescope/Infrared Spectrometer And Array Camera (ISAAC; Moorwood et al. 1998). The observation was taken with the Ks (effective wavelength at 2.2  $\mu\text{m}$ ) filter with a useful exposure of about 4 ksec. ISAAC was operated in FastJitter mode, allowing us to store each detector integration time (DIT) as a slice in a FITS cube. Each slice has a DIT of 37 ms and there

are 995 slices per cube. We obtained 165 cubes with a short gap between each cube for file merging and fits header writing. Each slice is a  $256 \times 256$  pixel ( $\sim 38 \times 38$  arcsec<sup>2</sup>) image containing the target, a bright reference star and a faint comparison object. We have extracted light curves of the three sources using the UL-TRACAM data reduction pipeline. Each source was extracted using standard aperture photometry based on parameters derived from the reference star position and profile.

### 2.2 Optical/UV data

The *XMM-Newton* Optical Monitor (Mason et al. 2001) observed GX 339–4 (Obs. ID 0654130401) in the fast mode allowing a high time resolution of 500 ms. The data were obtained in eight exposures typically 3 ksec each employing the *V* filter (effective wavelength at 543 nm) for the first three exposures and *U* filter (effective wavelength at 344 nm) for the consequent five exposures. The second and third exposures with *U* filter were simultaneous with the IR data. Here we analyse these two exposures and the last exposure with the *V* filter, which is closest to the IR data set. The data were analysed using the SAS pipeline OMFCHAIN script with the default set of parameters to obtain a light curve for each exposure.

### 2.3 X-ray data

#### 2.3.1 *XMM-Newton*

We analyse EPIC-pn (Strüder et al. 2001) timing mode data (time resolution 0.03 ms) of the *XMM-Newton* observation (Obs. ID 0654130401) of GX 339–4. The observation is about 33.5 ksec long, but we use only the data strictly simultaneous with the IR data (about 4 ksec). The data are reduced with the standard procedure with *XMM SAS* (v14.0.0). The raw events were processed using SAS tool EPCHAIN. The source events were extracted with RAWX columns 28 to 48 as the source is centred at RAWX column 38. We test for pile-up using EPATPLOT and find the data to be piled-up. Hence, we remove the central pixel and obtain the light curves in multiple energy bands (see below). The background was low and without any flaring events.

#### 2.3.2 *Rossi X-ray Timing Explorer*

The *Rossi X-ray Timing Explorer* (*RXTE*) observed the 2010 outburst of GX 339–4. We use the observation (Obs. ID 95409-01-12-01), which is simultaneous with the IR observation (about 3 ksec). We reduce the Proportional Counter Array (PCA; Jahoda et al. 2006) data following standard procedures described in the *RXTE Cookbook* using HEASOFT v6.16. The PCA has five Proportional Counter Units out of which at most two were switched on during the observation. We use only the data simultaneous with the IR data and when two PCUs were switched on. We exclude data in which the elevation (angle between Earth’s limb and the source) is less than  $10^\circ$  or the pointing offset (angle between source position and pointing of the satellite) exceeds 0.02. We obtain light curves with the Event mode data (which has a time resolution of 122  $\mu\text{s}$ ) in multiple energy bands (see below).

### 3 RESULTS

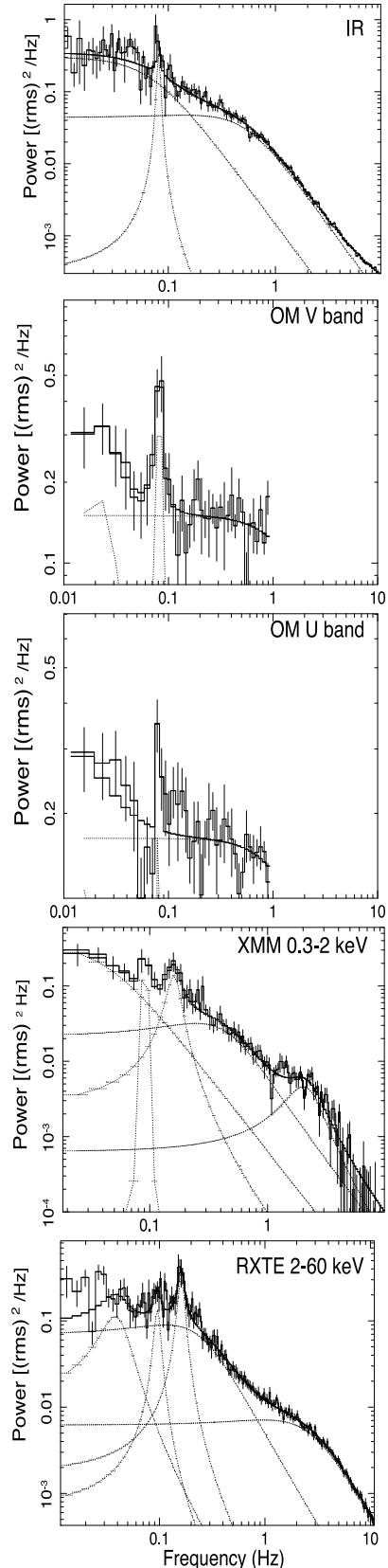
#### 3.1 Power spectra

We obtain the power spectral densities (PSD) by averaging the modulus square of the discrete Fourier transform of uninterrupted light curve segments. The PSD is the variance of signal at each Fourier frequency, providing us a measure of variability of the signal on various time-scales. The Poisson noise is estimated from the errors on the count rate and subtracted (see e.g. Gandhi et al. 2010). The PSDs are normalized such that their integral power gives the squared rms variability. We then fit the PSD with multiple Lorentzian profiles.

The time resolution of the IR data is 37 ms, giving a Nyquist frequency of 13.51 Hz. The light curve had gaps (between 6 and 10 s) shorter than the uninterrupted segments (typically 37 or 26 s). In order to explore the low-frequency variability with high-frequency resolution, these gaps were filled with count rate values normally distributed around a mean (and the standard deviation) calculated from the preceding and following light curve segments of half the gap length. To study the effects of this on the PSD, we perform two tests. With the observed IR PSD, we simulate light curves using the method of Timmer & Koenig (1995). Gaps identical to the IR light curve were artificially introduced in the simulated light curves and the resulting PSD is compared to the PSD of the original light curve. In the second test, we introduce gaps in the *RXTE* X-ray light curve (which does not have any gaps) identical to the simultaneous IR light curve, fill these gaps using similar technique as in the IR data, and compare the PSDs. In both cases, no artificial features were observed in the simulated light curve PSD and its shape is similar to the original PSD. The integrated fractional rms amplitudes of the PSDs generated with light curves with and without their gaps filled differ by only 2 per cent (due to the additional noise from the filled gaps). We also investigated other methods to fill the gaps (e.g. linear interpolation) and found similar results.

The IR PSD is fitted with three Lorentzian profiles which gives a reduced  $\chi^2$  value of 1.48 (for 162 *d.o.f.*), which is shown in Fig. 1. We report, for the first time in the IR band, a QPO in the PSD of a BHB. It is significantly detected ( $4.5\sigma$ ) at  $0.080 \pm 0.001$  Hz and is accompanied by two band-limited noise components. The significance is calculated as the ratio of the power to negative error; the negative error is calculated by varying the model parameter such that  $\Delta\chi^2 = 1$ . Similar band-limited noise components were reported when the source was in persistent low hard state more than a year after its outburst in 2007 with strong indications of the IR emission originating from the jet (Casella et al. 2010).

The OM PSDs in the *U* and *V* bands are obtained using the same method as above, except the data did not have gaps. The time resolution of the data is 500 ms, giving a Nyquist frequency of 1 Hz. The best fit was obtained with three Lorentzian profiles with a reduced  $\chi^2$  value of 1.005 (for 31 *d.o.f.*) and 0.775 (for 31 *d.o.f.*) for the *U* and *V* bands, respectively, as shown in Fig. 1. The QPO is detected significantly in the *U* and *V* bands, respectively, at  $0.082 \pm 0.002$  Hz and  $0.0812 \pm 0.002$  Hz (at  $3.25\sigma$  and  $4.12\sigma$ , respectively). We note that instrumental effects on PSD have not been investigated for the OM, so there might be an uncertainty on the Poisson level. In addition, due to a high background in this observation, the fractional rms amplitudes we report in Table 1 should be taken with caution. However, our main result is that the optical QPO frequency is consistent with the QPO frequency in the IR band, which is not altered by the instrumental effects. A QPO in the optical band has been reported before in this source in previous outbursts (Motch, Ilovaisky & Chevalier 1982; Gandhi et al. 2010)



**Figure 1.** Power spectra in the various energy bands as indicated. The best-fitting model using multiple Lorentzians to each Poisson noise subtracted power spectrum is shown. Except for the OM *V* band, all power spectra have simultaneous data.

**Table 1.** Best-fitting QPO parameters in various energy bands shown in Fig. 1. Errors are at one standard deviation.

Energy band	Frequency (Hz)	QPO frac. rms (per cent)
IR	$0.080 \pm 0.001$	$6.0 \pm 1.0$
Optical V	$0.081 \pm 0.002$	$8.3 \pm 1.4$
Optical U	$0.082 \pm 0.002$	$4.4 \pm 1.0$
X-ray 0.3–2 keV	$0.092 \pm 0.004$	$5.3 \pm 1.7$
X-ray 2–60 keV	$0.096 \pm 0.004$	$5.8 \pm 1.4$
X-ray 0.3–2 keV	$0.160 \pm 0.009$	$10.1 \pm 2.7$
X-ray 2–60 keV	$0.161 \pm 0.003$	$11.6 \pm 1.5$

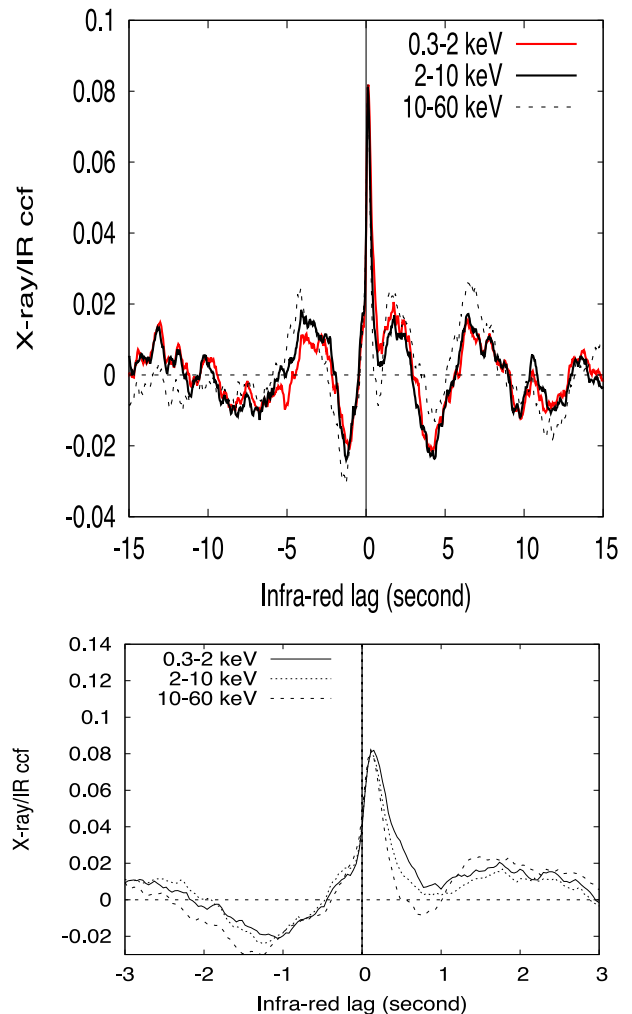
and in other sources such as XTE J1118+480 (Hynes et al. 2003a) and Swift J1753.5–012 (Durant et al. 2009). The shape of the PSD at low frequencies is also similar to the one reported in the 2007 post-outburst state (Gandhi et al. 2010). Their data allowed a higher Nyquist frequency (10 Hz) compared to our data (1 Hz) and band-limited noise was reported in that data which peaked around 1 Hz.

The PSD in the 0.3–2 keV (soft) X-ray band and the 2–60 keV (hard) X-ray band (and multiple sub-bands reported in Fig. 3) were obtained, respectively, with the *XMM-Newton* and the *RXTE* data similar to the method described for the optical data. The PSD were fitted with five Lorentzian profiles giving a reduced  $\chi^2$  value of 1.37 (for 109 *d.o.f.*) and 1.20 (for 163 *d.o.f.*) in the soft and hard bands, respectively. The PSD are shown in Fig. 1. Band-limited noise variability is accompanied by a QPO, which is typical of hard state PSDs. The QPO is detected at  $0.164 \pm 0.003$  Hz ( $3.1\sigma$ ) and  $0.161 \pm 0.003$  Hz ( $5.8\sigma$ ), in the soft and hard bands. The X-ray QPO has been classified as the type-C QPO and is commonly observed in many BHB. It has been detected and traced in all of GX 339–4 outbursts (e.g. Belloni et al. 2005; Motta et al. 2011). We also detect another QPO close to the sub-harmonic of the type-C QPO; it is detected in the soft and the hard bands, respectively, at  $0.092 \pm 0.004$  Hz ( $2.2\sigma$ ) and  $0.096 \pm 0.004$  Hz ( $2.97\sigma$ ) which is close to the IR QPO frequency. The X-ray sub-harmonic QPO has been previously reported in this source (e.g. Belloni et al. 2005). The frequency of the X-ray sub-harmonic QPOs in this source and other sources has been observed with a scatter around the expected frequency, i.e. at half the type-C QPO frequency (see e.g. Pawar et al. 2015), similar to what we observe here; the origin of this scatter remains unknown.

The detection of an IR QPO is a significant result as we now have detection of QPOs from X-rays to IR bands, encompassing a broad multi-wavelength range. However, what is more interesting is the harmonic relationship between the frequencies at which the QPOs are detected in various bands. We note that in our data, the IR and optical QPO are at half the X-ray QPO frequency, closer to the sub-harmonic of the X-ray type-C QPO. Similar behaviour has also been reported before in optical and X-ray bands during a rising hard state along an outburst (Motch et al. 1983). It is interesting to note that QPOs detected in another BHB XTE J1118+480 simultaneously in optical, UV and X-ray bands were at the same frequency (Hynes et al. 2003a). Hence, with only a handful of multi-band detections in few sources, we already see a varied behaviour of the QPO.

### 3.2 Cross-correlation functions

The barycentred IR and X-ray light curves are uniformly binned at the time resolution of IR band of 37 ms and the gaps are excluded. The hard X-ray band is subdivided in two bands – 2–10 keV and 10–60 keV. We inspect the cross-correlation function (CCF) between



**Figure 2.** CCF showing the lag in IR band against the different X-ray bands (as indicated) is shown in the top panel and the zoom-in view in the bottom panel. The vertical line shows zero lag.

the IR and various X-ray bands, which are shown in Fig. 2. In the top panel, the X-ray versus IR CCF is shown, and its zoom in view is shown in the bottom panel; a positive lag indicates delay of the IR band with respect to the X-ray band. The lags reported here are the weighted average of the CCF above the half-maximum. The errors on the lags were estimated using the bootstrap technique. An average CCF and its weighted lag were calculated from randomly selected light curve segments from the original light curve (segments were allowed to repeat). This process was repeated  $10^3$  times and the dispersion of these weighted lags gives the error on the lag. We associate an additional systematic offset (due to the IR CCD read-out) of 2 ms on all lags. As the time resolution of our optical data is 500 ms, we cannot investigate optical lags on short time-scales and hence do not report the optical lags here.

The IR band lags the 0.3–2 keV band by  $153 \pm 3$  ms, the 2–10 keV band by  $128 \pm 4$  ms and the 10–60 keV band by  $121 \pm 3$  ms; IR lag against the soft 0.3–2 keV band is reported for the first time. In the case of the origin of IR emission due to reprocessing on outer disc or the companion star, longer lags and a highly asymmetric CCF (due to different light travel times to the disc; O’Brien et al. 2002) are expected. The shape of the CCF we observe is nearly symmetric (particularly in the hard bands). This argues against reprocessing

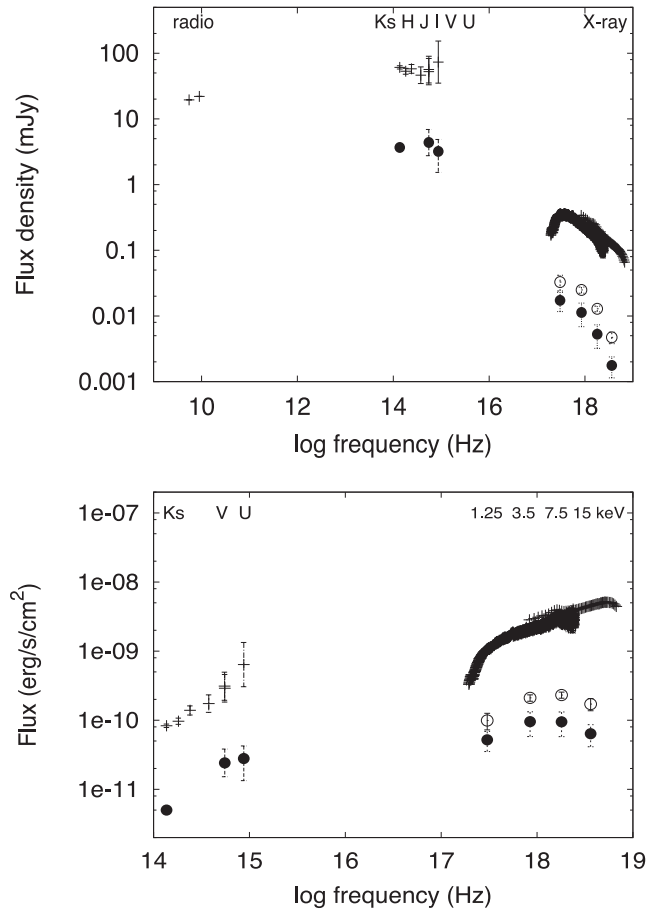
and points towards a jet origin (also see Section 4.1). The broad modulation humps at longer lags are on the time-scales of the X-ray QPO period ( $\sim 6$  s). The difference in the IR lag against the soft 0.3–2 keV and hard X-ray bands (which are consistent with each other within errors) can be explained as the soft/IR band CCF peaks at a slightly longer lag (148 ms compared to 111 ms lag against both the hard bands, which are limited by the time resolution of 37 ms) and has a slight asymmetry towards longer lags; this leads to a longer weighted average lag. The shape and lag of the soft/IR band CCF is a result of contribution from the disc (see below) to this band, compared to the hard band emission from a relatively compact hot flow which is located closer to the jet.

The only other X-ray/IR CCF reported before was also for this source in a lower luminosity hard state where it showed an IR delay of  $\sim 100$  ms with a broad and nearly symmetric CCF (Casella et al. 2010); no broad modulations were seen due to the absence of the QPO. They also rule out reprocessing and favour synchrotron jet emission based on similar arguments.

### 3.3 Broad-band spectral energy distribution

Fig. 3 top panel shows the broad-band SED from radio to X-rays. The most nearly simultaneous radio observations were on the same day obtained with Australia Telescope Compact Array (ATCA) reported by Corbel et al. (2013a). The IR data are from our ISAAC observation. The source was observed with the 1.3-m SMARTS telescope (Subasavage et al. 2010) in *V*, *I*, *J* and *H* bands (Buxton et al. 2012). There are multiple measurements taken within 0.1 d of the IR observation in the *V*, *I* and *J* bands and the light curves show very little variability on that day; hence we use the mean magnitude on that day to obtain the fluxes. The closest *H*-band observation is available from the day before. The *U* and *V* band fluxes from the OM data are also represented here. The de-reddened IR and optical fluxes are obtained using standard interstellar extinction law (Cardelli, Clayton & Mathis 1989) with  $A_V$  of 3.25 (Gandhi et al. 2011). The X-ray fluxes were obtained using the *XMM-Newton* EPIC-pn and *RXTE* PCA data. The spectra are obtained using the standard procedure as described in Plant et al. (2015) and Cadolle Bel et al. (2011), respectively. The data are jointly fitted with the model `phabs*(diskbb+Gaussian+powerlaw)` and an overall constant to account for cross-calibration. We obtain a reduced  $\chi^2$  of 1.2 (1933 d.o.f.) which is sufficient for our purpose. We do not fit the broad-band SED with a physical model as this is beyond the scope of this paper and will be presented elsewhere.

The radio spectral index  $\alpha$  (taking a definition of  $S_\nu \propto \nu^\alpha$ , where  $S_\nu$  is the spectral density at the frequency  $\nu$ ) was reported to be 0.24 which is commonly seen for the optically thick synchrotron emission of a compact jet (Corbel et al. 2013a). The *I*, *V* and *U* band data indicate a positive slope (limited by the large uncertainty due to dereddening) suggesting a thermal disc component. Thermal disc emission has been suggested to contribute in the UV/optical bands in observations obtained few days before our observations (Gandhi et al. 2011; Buxton et al. 2012; Rahoui et al. 2012). Since these observations, the X-ray and optical fluxes increased till our observation (Buxton et al. 2012), and hence we expect reprocessing to dominate in the optical bands. The companion star is weak and its contribution can be neglected here (Motch et al. 1983; Shahbaz, Fender & Charles 2001). In addition to a thermal disc, there have also been suggestions of its origin in pre-shock jet synchrotron (Gandhi et al. 2010; however see Buxton et al. 2012 for a discussion of dereddening effects) and from a hot accretion flow (Veledina, Poutanen & Vurm 2011, see below).



**Figure 3.** Top panel: broad-band SED spanning radio with ATCA (Corbel et al. 2013b), *Ks* band with ISAAC, *H*, *J*, *I*, *V* bands with SMARTS (Buxton et al. 2012) and *V*, *U* bands with *XMM-Newton* OM and X-rays with *XMM-Newton* and *RXTE*. The *XMM-Newton*, *RXTE*, ISAAC and OM data are simultaneous, while the other band data are closest to these observations. The spectrum of the QPO is shown with filled circles (QPOs around 0.08 Hz) and empty circles (QPOs at 0.16 Hz) in the bands as indicated in the bottom panel. Bottom panel: the QPO spectrum in flux units in the bands 0.5–2 keV, 2–5 keV, 5–10 keV, and 10–30 keV, where the mid-point of X-ray bands is shown along with the mean spectrum. The errors on the OIR QPO and mean spectrum are dominated by dereddening uncertainty.

The *Ks*, *H* and *J* band fluxes fall below the slope from radio data and do not lie on the extrapolation of the thermal component with the expected positive slope, and is hence most likely synchrotron emission from the jet. Variability in the PSD puts an upper limit of  $\sim 4 \times 10^9$  cm on the size of the emitting region. With a flux of 3 per cent of the average IR flux ( $8.3 \times 10^{-11}$  ergs $^{-1}$  cm $^{-2}$ ), we derive a minimum brightness temperature of about  $2.5 \times 10^7$  K. The thermal X-ray flux in the 2–10 keV band from such an optically thick region would be of the order of  $\sim 0.58$  ergs $^{-1}$  cm $^{-2}$ . Clearly, this enormous flux level is ruled out by the data. Lack of data below the *Ks* band frequency makes it difficult to constrain the jet break, and hence the *Ks* band could be emission from below or above the break frequency.

We also present the first rms spectrum of the QPO ranging from X-rays to IR band which is shown in Fig. 3. The QPO and the mean spectrum are shown in flux density units in the top panel, and flux units in the bottom panel. The filled circles are the QPOs around 0.08 Hz and the empty circles are the X-ray QPOs at 0.16 Hz. As

discussed above, the mean spectrum shows that the IR band originates in the jet and the optical bands from the disc; however, the QPOs in these bands are observed at the same frequency pointing towards a same origin. The errors on the OIR QPO fluxes are large due to large dereddening uncertainties, but the slope of the QPO spectrum appears to be flatter relative to the mean spectrum (which also has the same uncertainties due to dereddening), again suggesting a same origin. The X-ray QPO shows a hard spectrum similar to the mean X-ray spectrum; similar behaviour of the QPO (but with a slightly harder QPO spectrum) in this source was also reported by Axelsson & Done (2016). However, the rms spectrum of the sub-harmonic has not been investigated in this source, which we report here to be similar to the QPO spectrum.

## 4 DISCUSSION

The outburst of GX 339–4 in 2010 was a target of wide multi-wavelength coverage and the overall behaviour was similar to its previous outbursts and very typical of BHBs (Cadolle Bel et al. 2011; Nandi et al. 2012; Rahoui et al. 2012; Corbel et al. 2013b). Gandhi et al. (2011) reported a jet spectral break in the MIR range on MJD 55266. Our SED is observed a few days later on MJD 55283 where the optical and IR fluxes are higher. Although a positive correlation between the jet break and luminosity is expected, there is no concrete evidence for this (see e.g. Russell et al. 2013). Hence, at a higher luminosity the break might be at/below the *Ks* band, but we cannot constrain the exact location of the break from our data.

We observe band-limited noise variability and a QPO in the OIR and X-ray bands where a correlation is observed between IR and X-ray bands. Due to the lack of high time resolution data in the optical bands we cannot investigate the origin of fast variability in these bands, and its correlation with IR and X-ray bands. However, from the SED the optical band emission seems to be dominated by the thermal disc component. The origin of optical emission in terms of a reprocessed component will be presented in future works. From the X-ray variability studies, there are strong indications that the band-limited noise and the more coherent QPO have different driving mechanisms (see e.g. Ingram & Done 2011). Hence, we investigate the origin of OIR variability in a similar context.

### 4.1 Origin of band-limited noise variability

The PSD in X-ray and IR bands show strong variability over three decades of frequency. The X-ray emission is from the geometrically thick optically thin hot flow, with some contribution from the accretion disc in the soft band. The origin of the X-ray band-limited noise has been attributed to fluctuations in the mass accretion rate (Lyubarskii 1997). These fluctuations arise at different radii in the accretion flow with faster ones generated at smaller radii; slow fluctuations are generated at larger radii and can propagate to smaller radii (see e.g. Uttley & McHardy 2001). The shape of the IR PSD is very similar to the X-ray PSDs. The question to address here is what drives the IR variability, if it is connected to X-rays, and if not, what other processes are at play to generate the IR variability independent of the X-rays.

The SED suggests that the IR band emission is most likely the synchrotron jet emission. However, there are other processes that could also provide additional contribution to the emission in the IR band and perhaps also the *variability* in this ‘bright’ hard state. The binary separation in this system is  $\sim 25$  light seconds (Gandhi et al. 2008). The short IR lag of around 150 ms in the CCF rules out X-ray reprocessing on the companion star. Such a short time-scale

for reprocessing of X-rays on the outer regions of the accretion disc would imply a highly inclined disc. A high inclination angle has been shown to be unlikely due to absence of absorption dips and eclipses in the X-ray light curves (e.g. Plant et al. 2015). In the outer regions of the geometrically thin accretion disc from where the IR emission can originate, variability is expected to be on viscous time-scales which would be of the order of days, as opposed to the much shorter time-scales we observe in the PSD.

Veledina et al. (2011) proposed that a geometrically thick optically thin hot flow which gives rise to X-ray emission could also be a source of optical/IR emission. They consider a hybrid flow, where inner regions of the flow give Comptonized X-ray emission from the thermal population of electrons, while the non-thermal electrons in the outer regions give synchrotron OIR emission. The spectral results suggest that the disc is truncated not far from the black hole (Plant et al. 2015); however a hot flow extending up to 100 gravitational radii is required to emit in the IR band. Moreover, in this scenario, we also expect the IR variability to lead the X-rays, as opposed to the observed IR lag. The fast X-ray variability arising in the inner regions of the flow cannot drive the IR variability in the outer regions, as the fluctuations cannot propagate outwards to larger radii (Churazov, Gilfanov & Revnivtsev 2001), as also noted by them.

The only other region where the IR emission is generated in the BHB is from the jet. Hence, we conclude that the band-limited *variable* IR emission is most likely synchrotron emission from the jet. This was also reported before in this source by Casella et al. (2010) in a low-intensity hard state. We now report similar IR variability in a brighter hard state, where the average IR flux is at least four times higher. Malzac (2014) (and references therein) proposed a driving mechanism for jet IR variability. In their model, jet emission is produced by electrons accelerated in internal shocks which are driven by fluctuations of the jet velocity. The fluctuations in the Lorentz factor follow the X-ray PSD of the accretion flow. This model naturally predicts jet IR variability and its correlation with the X-ray variability. Using the X-ray power spectrum reported by Gandhi et al. 2011 (where they observe the jet break), Drapeau et al. (2015) demonstrated that the spectral and variability properties of the jet IR emission can be explained. Hence, this is a potential model that can provide a driving mechanism for the jet IR variability we observe.

### 4.2 Origin of the QPO

#### 4.2.1 X-ray QPO

Many models have been proposed for the origin of QPOs in X-ray bands (Stella & Vietri 1998; Titarchuk & Osherovich 1999; Wagoner, Silbergleit & Ortega-Rodríguez 2001; Ingram, Done & Fragile 2009; Shaposhnikov 2012; Varnière, Tagger & Rodríguez 2012; Veledina, Poutanen & Ingram 2013; Veledina & Poutanen 2015) which invoke either general relativistic effects or instabilities in the accretion flow. A geometrically thick optically thin tilted hot flow around a spinning black hole undergoes Lense–Thirring precession, giving rise to the X-ray QPO (Stella & Vietri 1998; Fragile et al. 2007; Ingram & Done 2011). This model can also explain many properties of the QPO such as the frequency evolution along the outburst and its rms spectrum (Ingram & Done 2011, 2012). Recent works show strong indications that the QPO has such a geometrical origin (Ingram & Done 2012; Heil, Uttley & Klein-Wolt 2015; Motta et al. 2015), unlike the band-limited noise variability.

#### 4.2.2 Optical QPO

Models that can consistently explain the QPOs in different energy bands remain sparse. The origin of the *variable* optical emission is unclear, hence we discuss all possibilities for completeness. The IR and optical QPO are observed at the same frequency, which strongly suggests a common emission mechanism. Veledina et al. (2013) proposed that a hot flow, where inner regions give Comptonized X-ray emission while the outer region emits synchrotron optical emission (as discussed in the previous section), can undergo Lense–Thirring precession and give rise to optical/IR and X-ray QPOs. Although the IR emission from the hot flow is ruled out (as it requires an extended hot flow; see above), a smaller hot flow (about 30 gravitational radii) can still emit in the optical band and provide a mechanism to generate optical QPO. The fundamental frequency is the QPO frequency observed in the optical band. This mechanism was suggested to drive the optical QPO observed in the BHB SWIFT J1753.5–012, where there is a hint of an X-ray QPO at the same frequency (Veledina et al. 2015). The model further predicts that the QPO fractional amplitude in optical is greater than X-rays, while X-rays may have much stronger harmonics than the optical. From Table 1, it can be seen that the *V* band and IR band QPO have a higher fractional rms amplitude than in X-rays at the *V* band QPO frequency (however keeping in mind the uncertainty over optical QPO rms as discussed in Section 3.1). We do not detect any harmonics in OIR, with an upper limit of 2.7 per cent in the IR band, 3.7 per cent in the *V* band and 2.5 per cent in the *U* band for a QPO at 0.16 Hz, which is lower than the amplitude of the X-ray QPO at 0.16 Hz. Hence, the frequencies and amplitudes of the optical QPOs compared to the X-ray QPOs we observe are in line with the predictions for this model.

The model also predicts that the X-ray QPOs can exhibit harmonics stronger than the fundamental for a range of inclination angles ( $45^\circ$ – $75^\circ$ , and depending on the observer’s azimuth). GX 339–4 has been suggested to have an inclination angle in that range (see e.g. Heil et al. 2015; Motta et al. 2015, and references therein), suggesting that the strongest X-ray QPO could actually be the harmonic frequency and hence the optical QPO is at the fundamental frequency. However, the amplitudes of the X-ray QPOs we observe, where the fundamental (close to 0.08 Hz) is weaker than the harmonic (at 0.16 Hz), are much higher than their predicted values which lie below 3 per cent (see fig. 5 in Veledina et al. 2013). Also as discussed in the previous section, the causal connection between the band-limited IR and X-ray noise cannot be explained by this model.

Another mechanism was proposed by Veledina & Poutanen (2015), where the hot flow emits X-rays and undergoes Lense–Thirring precession illuminating varying outer regions of the accretion disc and generates a reprocessed optical QPO. It is limited by the ratio of the QPO period to the light travel time to the illuminated outer disc region. In GX 339–4, their model expects an approximate critical optical QPO frequency of 0.055 Hz for a 10 solar mass BH. A calculation with a lower mass BH renders a higher critical frequency of 0.313 Hz for the optical QPO. This is a potential mechanism for the optical QPO and will be investigated in future works. However, the IR QPO would be generated at larger radii and will be smeared out and hence, not observable.

#### 4.2.3 IR QPO

We investigate the origin of the IR QPO as a geometrical effect, similar to the X-ray QPO. Origin of the IR QPO from the hot flow via its Lense–Thirring precession or due to reprocessing on the

outer regions of the accretion disc can be ruled out as discussed in the previous section. Doppler beaming effects from a precessing jet can provide the mechanism to generate QPOs. Such a mechanism has been proposed in blazars, where periodic flaring is associated with beaming effects in a precessing jet (see e.g. Abraham 2000; Caproni, Abraham & Monteiro 2013; King et al. 2013), and also in tidal disruption events (see e.g. Wang et al. 2014). A precessing jet driven by the black hole spin would indicate precession of the black hole spin axis, which is extremely unlikely (Nixon & King 2013). If the accretion disc drives the jet, a precessing jet would indicate the precession of the accretion disc (or part of it close to the black hole). The time-scale on which the full disc would precess is much longer (order of weeks; Maloney & Begelman 1997) than the IR QPO time-scale we observe. However, the Lense–Thirring precession of the hot flow which is used to explain X-ray QPOs would allow the jet to precess on the QPO time-scale if it is anchored to the hot flow, and we consider this the most likely origin of the IR QPO.

If the jet precesses at the same frequency as the hot flow, the X-ray and IR QPOs are expected to be observed at the same frequency, and/or (depending on the specific model), harmonics of the same frequency. The strongest X-ray and IR QPOs are harmonically related in our case which suggests the possibility that the strongest X-ray QPO (the 0.16 Hz QPO here) is the harmonic and not the fundamental QPO. As discussed in the previous section, an X-ray harmonic stronger than its fundamental has been suggested due to inclination effects (Veledina et al. 2013). In such a case, the hot flow as well as the jet would have the same precession frequency and can explain the apparent harmonic relation between the IR and X-ray QPO.

We estimate the possible amplitude of the QPO in an extremely simplistic scenario. The Doppler boosted flux for a compact jet with a spectral index of  $\alpha$  is given as  $S_{\text{obs}} = S_0 D^{2-\alpha}$ , where  $D$  is the Doppler boosting factor given as  $D = 1/\gamma(1 - \beta \cos \theta)$  ( $\gamma$  is the Lorentz factor,  $\beta = v/c$  and  $\theta$  is the angle of the jet with observer’s line of sight). Assuming a mildly relativistic jet with  $\beta = 0.7$  and a precession angle of  $1^\circ$  around an inclination angle of  $45^\circ$ , the ratio of fluxes when the jet is pointing more or less towards us is  $S_{\text{obs}+}/S_{\text{obs}-} = 1.071$  (e.g. Fender 2003) for a flat spectrum with  $\alpha = 0$ , giving an amplitude of about 6 per cent. Strongly relativistic jet will give stronger modulation, and a combination of increasing the inclination and the precession angles for a range of spectral indices gives similar or higher amplitudes. Hence we conclude that, given the most likely origin of aperiodic variable IR emission in the jet, it is plausible that the IR QPO also originates from the jet, possibly via precession of the hot flow which anchors the jet and produces the X-ray QPO.

## 5 SUMMARY AND CONCLUSIONS

This work highlights the importance of simultaneous broad-band multi-wavelength spectral and variability study. We report the spectral and variability properties of the BHB GX 339–4 from its outburst in 2010 in the X-ray, optical and IR bands in the hard state. We summarize our results below.

- (1) We report the first detection of a QPO in the IR band for a BHB. The QPO is detected in the hard state at a frequency of  $0.080 \pm 0.001$  with a fractional rms amplitude of  $6.0 \pm 1.0$  per cent.
- (2) A QPO at the same frequency is also detected in the optical *U* and *V* bands. These OIR QPOs are at a sub-harmonic frequency of the type-C QPO detected in the X-ray bands.
- (3) The band-limited IR variability lags X-ray variability by more than 120 ms. Such a short lag and the shape of the SED rule out



reprocessing and suggest that the IR emission and the variability are most likely jet emission.

(4) As the IR band is dominated by jet emission, the IR QPO can originate in the jet, where we consider jet precession tied to the Lense–Thirring precession of the hot flow. However, more evidence is required to confirm this.

The QPO detections reported earlier in X-ray to optical bands can now be extended to the IR band. The harmonic relation between these QPOs provide strong constraints for the QPO models. More multi-wavelength observations are required to observe if this harmonic relation is unique to GX 339–4, or also observed in all/some sources. It is also important to know if the QPO harmonic relation between multiple bands is observed throughout the outburst, or only during a part of it. Hence, to establish a QPO model, an ensemble of such detections is essential. These results demonstrate that variability study is an extremely powerful tool to probe the accretion flow–jet connection, providing strong constraints on the origin of emission in various bands and hence on the structure and geometry of BHBs.

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