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Atopy, lung function, and obstructive airways disease after prenatal exposure to famine

C E Lopuhaä, T J Roseboom, C Osmond, D J P Barker, A C J Ravelli, O P Bleker, J S van der Zee, J H P van der Meulen

Abstract

Background—Associations have been found between a large head size at birth and atopy, and between low birth weight and obstructive airways disease. A study was undertaken of people born around the time of the Dutch famine in 1944–5 to determine the effects of maternal malnutrition during specific periods of gestation on the prevalence of obstructive airways disease and atopy.

Methods—Nine hundred and twelve people aged about 50, born at term between November 1943 and February 1947 in Amsterdam, were asked about their medical history. Lung function was measured in 733 and serum concentrations of total IgE and specific IgE against mite, pollen and cat were measured in 726. Those exposed in late, mid, and early gestation (exposed participants) were compared with those born before or conceived after the famine (non-exposed participants).

Results—Exposure to famine during gestation affected neither the concentrations of total or specific IgE nor lung function values. The prevalence of obstructive airways disease was increased in people exposed to famine in mid gestation (odds ratio adjusted for sex 1.7, 95% confidence interval 1.1 to 2.6) and tended to be higher in those exposed in early gestation (odds ratio 1.5, 95% CI 0.9 to 2.6).

Conclusions—The observed increase in the prevalence of obstructive airways disease in people exposed to famine in mid and early gestation was not paralleled by effects on IgE concentrations or lung function. The link between exposure to famine in mid and early gestation and obstructive airways disease in adulthood suggests that fetal lungs can be permanently affected by nutritional challenges during periods of rapid growth.

Keywords: fetal origins of disease; atopy; obstructive airways disease; famine

A number of studies have shown associations between head size of newborn babies and atopy, and between birth weight and obstructive airways disease. Larger head circumference at birth was shown to be linked to increased serum IgE concentrations,1–3 as well as to the development of asthma in later life.4 Lower body weight at birth was shown to be associated with reduced forced expiratory volume and vital lung capacity,5,6 with an increased risk for asthma,7 8 as well as with increased death rates from chronic obstructive airways disease.6 Only a few studies failed to find an association between birth weight and lung function9 10 or asthma.11 12

It is therefore likely that increased concentrations of IgE and obstructive airways disease have origins in utero. The “fetal origins” hypothesis proposes that the fetus adapts its growth rate as a response to variations in the supply of nutrients and oxygen, which may lead to permanent changes in the structure and physiology of the fetal body.13 Organs and tissues are particularly sensitive to being “programmed” by nutritional challenges during periods of rapid cell division. Different tissues and organs undergo different periods of rapid growth, and the effect of fetal undernutrition will therefore depend on its timing.

Babies who are born with a large head have grown more rapidly from early in gestation onwards. It has been speculated that, when the demands for nutrients later in gestation are no longer fully met in these rapidly growing fetuses, the differentiation of specific thymus derived helper lymphocytes from Th2 type towards Th1 type lymphocytes may be permanently impaired,3 which will in turn lead to exaggerated IgE responses and atopic phenomena later in life.14

Babies who are born with a low weight, on the other hand, might have had a compromised development of the lungs, either with respect to the major airways which are fully formed before the 16th week of gestation and grow rapidly in size between the 17th and 20th weeks, or with respect to alveolar tissue which appears at the 30th week of gestation and grows through up to 50% of its development in the short remaining time span before birth.15

The Dutch famine, a period of severe food shortage in the west of the Netherlands at the end of World War II that lasted only 5–6 months, provides a unique opportunity to test the hypothesis that undernutrition during gestation increases the occurrence of obstructive airways disease in later life by impairing the rapid development of the major airways in early and mid gestation or that of alveolar tissue or the immune system in late gestation.

Methods

SELECTION OF PARTICIPANTS
The selection procedure for the Dutch famine birth cohort and the study methods have been described in detail elsewhere.21 The cohort
consists of 2414 singletons born alive after a gestation of at least 259 days in the Wilhelmina Gasthuis in Amsterdam between 1 November 1943 and 28 February 1947. The population registry (Bevolkingsregister) of Amsterdam traced 2254 of these 2414 babies (94.4%). We visited 912 people at home who were still living in or close to Amsterdam, and interviewed them about their medical history and current health. Of these 912 people, 741 attended the Academic Medical Center to undergo more detailed examinations. Lung function could be successfully measured in 733 and serum IgE concentrations in 726. Mean birth weights among the 912 who were visited at home or the 741 who attended the clinic were only slightly higher than among the rest of the 2414 babies (difference adjusted for prenatal exposure famine = 12 g (p = 0.5) and 22 g (p = 0.3), respectively).

EXPOSURE TO FAMINE

Exposure to famine was defined according to the official daily rations for the general population of 21 years and older.22 The rations were about 1800 calories per day in December 1943. This figure gradually decreased to about 1400 calories in October 1944, and fell below 1000 calories on 26 November 1944. The rations rose above 1000 calories after 12 May 1945, one week after liberation by the allied forces. In June 1945 rations were over 2000 calories. During the famine the caloric intake from protein, carbohydrate, and fat was more or less proportionately reduced. Children younger than one year were relatively protected during the Dutch famine because their official daily rations never fell below 1000 calories, and the specific nutrient components were always above the standards used by the Oxford Nutritional Survey. A dietary assessment carried out in Amsterdam immediately after the liberation of the west of the Netherlands estimated that the total daily caloric intake for an adult had been 1900 calories in October 1944, 1300 calories in February 1945, 1250 calories in April 1945, and 2450 calories at the end of May 1945, which corresponds to a caloric intake about twice that of the official rations.22

We considered a baby to be exposed to famine in utero if the average maternal daily rations during any 13 week period of gestation was below 1000 calories. Babies born between 7 January 1945 and 8 December 1945 were thus exposed. We used three periods of 16 weeks to distinguish between babies who were exposed during late gestation (born 7 January to 28 April 1945), mid gestation (29 April to 18 August 1945), and early gestation (19 August to 8 December 1945).

STUDY PARAMETERS

The medical birth records provided information about the socioeconomic status of the family, the mother, the course of the pregnancy, and the size of the baby at birth. Head circumference was estimated as (biparietal diameter + fronto-occipital diameter)/2. We took maternal weight to be that at the last prenatal visit within two weeks before birth. Socioeconomic status at birth was derived from the occupation of the head of the family which was dichotomised into manual and non-manual classes.22

The subjects visited at home were interviewed about their medical history and smoking and drinking habits. Their current socioeconomic status was coded according to the occupations of the participants or their partners, which ever was highest, on a scale ranging from 16 for the lowest to 87 for the highest status.24 The interview also contained standard questions approved by the Medical Research Council (UK) on respiratory symptoms.25 The subjects were considered to have a productive cough if they had coughed and brought up sputum on most days during three or more months in the two preceding years. We also asked whether a doctor ever told them that they had asthma, chronic bronchitis, emphysema or “chronic non-specific lung disease” (CNSLD), a general diagnosis of asthma and/or chronic obstructive pulmonary disease that was, until recently, commonly used in the Netherlands), either of which was considered as a diagnosis of obstructive airways disease.

The subjects who visited the clinic underwent spirometric tests carried out by specially trained nurses using the Vitalograph Compact (Vitalograph Ltd, Buckingham, UK). The participants were seated and used a nose clip. After an acceptable technique had been achieved during a series of practice blows, forced expiratory volume in one second (FEV₁) and forced vital capacity (FVC) were measured at least three times. If the difference between the highest and the second highest values of FEV₁ or FVC was more than 5%, further measurements up to a maximum of eight were performed to improve reproducibility.26 The highest values were used in the analysis.

Blood samples were taken to measure the serum concentration of total and allergen specific IgE. Total IgE was measured with an inhibition assay for high IgE concentrations (≥150 IU/ml) and a binding assay for low concentrations (<150 IU/ml). Levels of specific IgE against house dust mite (Dermatophagoides pteronyssinus), grass pollen (Lolium perenne), and cat dander (Felis domesticus) were measured with a radioallergosorbant test (RAST).27 Each sample was tested in duplicate and the mean of these two results was used in the analyses. The results were expressed in international units using an in-house standard, calibrated against the World Health Organisation standard.28 We considered specific IgE to be present if the RAST indicated a serum concentration of ≥0.5 IU/ml.

STATISTICAL ANALYSIS

The allergic and respiratory outcome measures of subjects prenatally exposed to famine (in late, mid and early gestation) were compared with those of non-exposed people (those born before or conceived after the famine pooled together), always adjusting for sex. We used linear regression to adjust the lung function measurements for the participant’s sex, height and age. Linear regression was also used to


**Results**

**CHARACTERISTICS OF STUDY POPULATION**

The characteristics of the participants according to prenatal exposure to famine are shown in table 1. Fewer men were included in the groups exposed to famine in mid and early gestation. Mothers exposed in late pregnancy were older and less often primiparous, whereas mothers of subjects exposed in early gestation were slightly younger than those who were not exposed to famine prenatally. The body weight of mothers measured within two weeks of the delivery was lower among those exposed to famine in late and mid pregnancy. Subjects exposed to famine in late and early gestation had a lower birth weight, body length, and ponderal index (weight divided by the cube of length) and smaller heads. Those exposed to famine in early gestation had a higher weight and body length at birth, and a higher body weight at age 50, than non-exposed people. The frequency of being a current smoker was higher among people exposed in early gestation than among people in the other exposure groups.

**PATTERN OF EXPOSURE TO FAMINE**

No effects of prenatal exposure to famine were found on the serum concentration of total IgE (tables 2 and 3). Subjects exposed to famine in late gestation, however, had specific IgE directed to grass pollen more often than non-exposed participants, whilst the proportion of people having specific IgE against...
Table 3  Effects of prenatal famine exposure expressed as differences (95% confidence intervals) according to prenatal exposure to famine compared with non-exposed people (born before or conceived after the famine)

<table>
<thead>
<tr>
<th></th>
<th>Exposed to famine</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>In late gestation</td>
</tr>
<tr>
<td>IGE</td>
<td></td>
</tr>
<tr>
<td>Relative differences*</td>
<td></td>
</tr>
<tr>
<td>Serum concentration total IGE</td>
<td>14.2% (−36.9 to 16.6)</td>
</tr>
<tr>
<td>Odds ratios**</td>
<td></td>
</tr>
<tr>
<td>Prevalence of specific IGE</td>
<td></td>
</tr>
<tr>
<td>Cat</td>
<td>1.1 (0.5 to 2.6)</td>
</tr>
<tr>
<td>House dust mite</td>
<td>1.2 (0.7 to 2.1)</td>
</tr>
<tr>
<td>Pollen</td>
<td>1.8 (1.0 to 3.3)</td>
</tr>
<tr>
<td>Any of these</td>
<td>1.3 (0.8 to 2.1)</td>
</tr>
<tr>
<td>Lung function</td>
<td></td>
</tr>
<tr>
<td>Absolute differences (95% CI)***</td>
<td></td>
</tr>
<tr>
<td>FEV1 (l)</td>
<td>0.03 (−0.08 to 0.14)</td>
</tr>
<tr>
<td>FVC (l)</td>
<td>0.06 (−0.07 to 0.19)</td>
</tr>
<tr>
<td>FEV1/FVC (100)</td>
<td>−0.4 (−2.1 to 1.4)</td>
</tr>
</tbody>
</table>

Respiratory symptoms and disease

| Odds ratios (95% CI)** |                   |                   |
| Wheeze                | 0.9 (0.5 to 1.6)  | 1.9 (1.2 to 3.2)  | 1.2 (0.6 to 2.4)  |
| Productive cough      | 1.6 (0.7 to 3.7)  | 2.2 (1.4 to 4.8)  | 0.6 (0.1 to 2.7)  |
| Obstructive airways disease | 0.9 (0.5 to 1.5)  | 1.7 (1.1 to 2.6)  | 1.5 (0.9 to 2.6)  |

*Percentages of the means in non-exposed people, adjusted for sex.
**Ratio of odds in exposed to odds in non-exposed people, adjusted for sex.
***Differences between means of exposed and non-exposed people, adjusted for sex, height and age.

house dust mite or cat dander did not differ according to prenatal exposure to famine.

Mean lung function (FEV1, FVC, and FEV1/FVC ratio) did not differ between the two groups (tables 2 and 3). Those exposed in mid gestation had wheezing more often than non-exposed subjects and were also told more frequently by a doctor that they had obstructive airways disease (tables 2 and 3). The proportion with obstructive airways disease seemed also to be increased in subjects exposed to famine in early gestation.

The results were heavily influenced by adjustment for age and parity of the mother and socioeconomic status at birth, as well as for current smoking and socioeconomic status at the age of 50.

Birth length and ponderal index were not associated with allergic or respiratory parameters (p for trend always ≥0.2) except that FEV1 and FVC, adjusted for sex, height and age, increased with ponderal index (FEV1 from 3.01 l if the ponderal index was <25 kg/m2 to 3.14 l if the ponderal index was >27 kg/m2; p for trend = 0.02). This difference did not change when we adjusted for age and parity of the mother and socioeconomic status at birth, as well as for current smoking and socioeconomic status at the age of 50.

Table 4  Serum IgE concentration, lung function measures, and prevalence of respiratory symptoms and disease according to birth weight

<table>
<thead>
<tr>
<th>Birth weight (g)</th>
<th>≤2750</th>
<th>&gt;2750</th>
<th>&gt;3750</th>
<th>&gt;3750</th>
<th>Total</th>
<th>p for trend*</th>
</tr>
</thead>
<tbody>
<tr>
<td>IGE</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total IgE (IU/ml)**</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prevalence of specific IGE (cat, mite or pollen)</td>
<td>22.7%</td>
<td>21.3%</td>
<td>24.0%</td>
<td>23.5%</td>
<td>22.9%</td>
<td>0.7</td>
</tr>
<tr>
<td>Lung function</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FEV1 (l)***</td>
<td>3.11</td>
<td>3.08</td>
<td>3.09</td>
<td>3.14</td>
<td>3.10 (0.62)</td>
<td>0.6****</td>
</tr>
<tr>
<td>FVC (l)***</td>
<td>4.30</td>
<td>4.26</td>
<td>4.27</td>
<td>4.35</td>
<td>4.29 (0.73)</td>
<td>0.6****</td>
</tr>
<tr>
<td>FEV1/FVC***</td>
<td>0.722</td>
<td>0.728</td>
<td>0.724</td>
<td>0.727</td>
<td>0.725 (0.108)</td>
<td>0.6****</td>
</tr>
</tbody>
</table>

Respiratory symptoms and disease

| Wheeze           | 13.8% | 12.3% | 12.0% | 9.5%  | 11.7% | 0.8        |
| Productive cough | 4.6%  | 5.6%  | 4.8%  | 2.1%  | 4.5%  | 0.7        |
| Obstructive airways disease | 17.2% | 17.9% | 20.7% | 14.3% | 18.1% | 1.0        |

Values are mean except where given as %.
*p value adjusted for sex.
**Geometric means and standard deviation.
***Means adjusted for sex, height and age.
****p value also adjusted for height and age.
Effects of prenatal exposure to famine

**Table 5. Serum IgE concentration, lung function measures, and prevalence of respiratory symptoms and disease according to head circumference at birth**

<table>
<thead>
<tr>
<th>Head circumference (cm)</th>
<th>≤32</th>
<th>-33</th>
<th>-34</th>
<th>&gt;34</th>
<th>Total</th>
<th>p for trend*</th>
</tr>
</thead>
<tbody>
<tr>
<td>IgE</td>
<td>n=192</td>
<td>n=240</td>
<td>n=147</td>
<td>n=139</td>
<td>n=718</td>
<td>0.1</td>
</tr>
<tr>
<td>Total IgE (ELISA)**</td>
<td>29.5</td>
<td>24.4</td>
<td>31.3</td>
<td>42.3</td>
<td>30.5 (4.6)</td>
<td>0.1</td>
</tr>
<tr>
<td>Prevalence of specific IgE (cat, mite or pollen)</td>
<td>21.4%</td>
<td>20.4%</td>
<td>26.5%</td>
<td>25.2%</td>
<td>22.8%</td>
<td>0.5</td>
</tr>
<tr>
<td>Lung function</td>
<td>n=194</td>
<td>n=239</td>
<td>n=150</td>
<td>n=142</td>
<td>n=725</td>
<td></td>
</tr>
<tr>
<td>FEV1 (l)***</td>
<td>3.09</td>
<td>3.13</td>
<td>3.09</td>
<td>3.06</td>
<td>3.09 (0.54)</td>
<td>0.5****</td>
</tr>
<tr>
<td>FVC (l)***</td>
<td>4.32</td>
<td>4.32</td>
<td>4.22</td>
<td>4.22</td>
<td>4.27 (0.65)</td>
<td>0.2****</td>
</tr>
<tr>
<td>FEV1/FVC***</td>
<td>0.717</td>
<td>0.726</td>
<td>0.735</td>
<td>0.730</td>
<td>0.727 (0.081)</td>
<td>0.2****</td>
</tr>
<tr>
<td>Respiratory symptoms and disease</td>
<td>n=251</td>
<td>n=301</td>
<td>n=178</td>
<td>n=174</td>
<td>n=904</td>
<td></td>
</tr>
<tr>
<td>Wheeze</td>
<td>13.9%</td>
<td>11.0%</td>
<td>11.8%</td>
<td>9.8%</td>
<td>11.7%</td>
<td>0.6</td>
</tr>
<tr>
<td>Productive cough</td>
<td>6.0%</td>
<td>4.7%</td>
<td>3.4%</td>
<td>3.5%</td>
<td>4.6%</td>
<td>0.9</td>
</tr>
<tr>
<td>Obstructive airways disease</td>
<td>8.7%</td>
<td>17.9%</td>
<td>21.9%</td>
<td>13.2%</td>
<td>18.0%</td>
<td>0.7</td>
</tr>
</tbody>
</table>

Values are mean except where given as %.
*p value adjusted for sex.
***Geometric means and standard deviation.
**p value also adjusted for sex.
****Means adjusted for sex, height and age.

discussion

The prevalence of respiratory symptoms and obstructive airways disease was increased in subjects who had been exposed to famine in mid gestation, and the prevalence of disease tended to be higher also in those exposed to famine in early gestation. These observations were not paralleled by the effects of prenatal exposure to famine on serum concentrations of IgE and on lung function volumes. This suggests that the observed increase in the prevalence of symptoms and disease is attributable to increased bronchial reactivity rather than to irreversible airflow obstruction or atopic disease, although we have not measured bronchial reactivity directly.

**Methodological Considerations**

The higher prevalence of respiratory complaints and obstructive airways disease among people exposed to famine in mid gestation could not be explained by an effect of season of birth. The prevalence of wheezing, productive cough, and obstructive airways disease in those not exposed to famine prenatally but born at the same time of the year as those exposed to famine in mid gestation (between 29 April and 18 August) was not increased (3.4%, 9.8%, and 15.6%, respectively) compared with non-exposed people born outside that period (4.5%, 12.6%, and 19.8%). On the other hand, the higher prevalence of specific IgE against grass pollen in subjects exposed to famine in late gestation (born between 7 January and 28 April) seemed to be due to a seasonal effect. Subjects who were not exposed to famine during gestation but were born at the same time of the year as those exposed to famine in late gestation had specific IgE against pollen more frequently than other non-exposed subjects (13.2% versus 7.7%).

In this respect, it also important to note that the prevalence of specific IgE against cats decreased with date of birth from 7.2% in subjects born before to 4.3% in those conceived after the famine (table 2). The simplest explanation for this time trend is that the number of cats rapidly declined during the famine when it became very difficult for their owners to feed them, and some people even ate them as an addition to the falling rations. Both the effect of season of birth on sensitisation to grass pollen and the decline of sensitisation to cats with date of birth seem therefore to support other evidence that there is a “sensitive period” in the first months of life during which allergen exposure is more likely to prime for allergy later in life.20

Our results with respect to respiratory complaints and obstructive airways disease are based on the responses of subjects to questions asked during the home interview and were not verified against medical records. We did not find effects of famine exposure on other complaints of chronic diseases (psychiatric or orthopaedic), which indicates that the effects of famine exposure in mid and early gestation were specific for respiratory complaints and obstructive airways disease, and could not be explained by a general tendency to complain more about health.

**Size at Birth**

Subjects born with large heads had higher plasma concentrations of total IgE, which is consistent with previous studies performed in childhood and adult life.1–3 A large head size at birth has been interpreted as a sign of rapid fetal growth from early on in gestation that makes the fetus vulnerable to undernutrition in late gestation.7 We did not replicate frequently reported associations between low birth weight and reduced lung function measures or respiratory symptoms and disease, but we did find that those born as thin babies tended to have lower FEV1 and FVC values, but not lower FEV1/FVC ratios, which indicates a restrictive rather than an obstructive deficit. Thinness at birth is also thought to result from fetal undernutrition in late gestation.31 The restrictive deficit observed in thin babies is in keeping with an impairment of lung growth in late gestation, as alveoli appear only in the last trimester of gestation.20

**Prenatal Exposure to Famine and Fetal Growth**

The interpretation of the associations between a large head circumference at birth and a high total IgE concentration, and between thinness and a restrictive respiratory deficit as effects of fetal undernutrition in late gestation, seems to
be in conflict with the failure to find effects of maternal malnutrition in late gestation on any of these outcomes. However, babies born during the famine period—and therefore exposed to famine in late gestation—were on average only about 250 g lighter than babies who were not exposed to famine in utero, and this famine related reduction in birth weight is relatively small compared with the routinely observed variations in birth weights. In other words, the Dutch famine has to be considered as a severe but short nutritional challenge superimposed on other determinants of the maternoplacental system and antioxidant defences.35 Furthermore, rats exposed to a low protein diet during gestation had a blunted acute phase response to endotoxin that was particularly distinct in lymphocytes at that time. Another explanation on other determinants of the maternoplacental but short nutritional challenge superimposed not exposed to famine in utero, and this famine only about 250 g lighter than babies who were to famine in late gestation—were on average of these outcomes. However, babies born during maternal malnutrition in late gestation on any conditions. The link between maternal malnutrition and the development of allergic disease. The link between head circumference and total IgE in adulthood confirms earlier studies, but no associations were found between maternal malnutrition and the development of allergic conditions. The link between maternal malnutrition in mid and early gestation and obstructive airways disease in adulthood supports the hypothesis that fetal undernutrition permanently affects the structure and physiology of the airways during “critical periods” of development that coincide with periods of rapid growth.

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