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The local dark matter density from SDSS-SEGUE G-dwarfs

S. Sivertsson,1 ⋆ H. Silverwood,2,3 ⋆ J. I. Read,4 G. Bertone3 and P. Steger5

1The Oskar Klein Centre for Cosmoparticle Physics, Department of Physics, Stockholm University, AlbaNova, SE-106 91 Stockholm, Sweden
2Institut de Ciències del Cosmos (ICCCUB), Universitat de Barcelona (IEEC-UB), Marató Franquès 1, E-08028 Barcelona, Spain
3GRAPPA, University of Amsterdam, Science Park 904, NL-1098 XH Amsterdam, The Netherlands
4Department of Physics, University of Surrey, Guildford, GU2 7XH Surrey, UK
5Institute for Astronomy, Department of Physics, ETH Zürich, Wolfgang-Pauli-Straße 27, CH-8093 Zürich, Switzerland

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Abstract

We derive the local dark matter density by applying the integrated Jeans equation method from Silverwood et al. to SDSS-SEGUE G-dwarf data processed and presented by Büdenbender et al. We use the MULTINEST Bayesian nested sampling software to fit a model for the baryon distribution, dark matter, and tracer stars, including a model for the ‘tilt term’ that couples the vertical and radial motions, to the data. The α-young population from Büdenbender et al. yields the most reliable result of \( \rho_{\text{DM}} = 0.46^{+0.07}_{-0.08} \text{ GeV cm}^{-3} = 0.012^{+0.002}_{-0.002} \text{ M}_\odot \text{ pc}^{-3} \). Our analyses yield inconsistent results for the α-young and α-old data, pointing to problems in the tilt term and its modelling, the data itself, the assumption of a flat rotation curve, or the effects of disequilibrium.

Key words: Galaxy: disc – Galaxy: kinematics and dynamics – dark matter.

1 Introduction

The local dark matter (DM) density is a crucial ingredient in the interpretation of results from in-laboratory searches for cosmological DM, be it Weakly Interacting Massive Particles (WIMPs) (e.g. Baudis 2013; Marrodán Undagoitia & Rauch 2016), sterile neutrinos (Campos & Rodejohann 2016), or axions (e.g. Graham et al. 2015). In each of these cases, the measured signal is degenerately dependent on the local DM density and the DM particle’s coupling to the Standard Model. The latter is of immense interest to the study of the beyond-the-Standard-Model theory underlying DM, and incorrect conclusions on these models could result from systematic uncertainties on the local DM density. The local DM density is also of interest for measuring the local halo shape, testing galaxy formation theory, and probing alternative gravity models. For a review on the topic of the local DM density, see Read (2014).

Here, we apply the method for determining the local DM density presented in Silverwood et al. (2016) to G-dwarf data originating from the Sloan Digital Sky Survey (SDSS) and presented in Büdenbender, van de Ven & Watkins, hereafter referred to as B15. In Section 2, we give further details on this data set. In Section 3, we present our method and the updates it has undergone since its initial publication in Silverwood et al. (2016). The kinematics of stars is dictated by the gravitational potential generated by the sum of dark and baryonic matter, and so to extract the local DM density we must have a good description of the baryonic matter distribution.

Thus, in Section 4 we present the details of the model we use for the baryonic mass distribution. As in previous work, we make the approximation that the DM density is constant with height above the midplane.1 In Section 5, we present our results, finding the most robust measurement of the local DM density from this data set to be \( \rho_{\text{DM}} = 0.46^{+0.07}_{-0.08} \text{ GeV cm}^{-3} = 0.012^{+0.002}_{-0.002} \text{ M}_\odot \text{ pc}^{-3} \).

2 Data

The input data for this analysis are the tracer densities and velocity dispersions for two stellar populations presented in B15, specifically those shown in figs 3 and A1 (filled squares) of B15. The raw observations used to generate these tracer densities and velocity dispersions come from an SDSS-Sloan Extension for Galactic Understanding and Exploration (SEGUE) G-dwarf data set originally presented in Liu & van de Ven (2012), supplemented with proper motions from the United States Naval Observatory B (USNO-B) survey. From this data set, B15 extracted two populations defined by iron and α-element abundances, i.e. [Fe/H] and [α/Fe]. These abundances, especially the [α/Fe] abundance, were previously thought to be a proxy for stellar age (Ness et al. 2016), and hence B15 referred to these two populations as α-young and α-old, a nomenclature we follow. The α-old population has metallicity limits of 0.3 < [α/Fe] < 0.([a/Fe] ≈ 0.002)

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1Up to a height of \( z \approx 3 \text{ kpc} \) the midplane value is within 10 per cent of the value given by a spherical Navarro-Frenk-White (NFW) halo with a scale radius of 20 kpc. The impact of this assumption is discussed further in Section 3.1.
and $-1.2 < [\alpha/\text{Fe}] < -0.3$, while the $\alpha$-young population has limits $[\alpha/\text{Fe}] < 0.2$ and $-0.5 < [\alpha/\text{Fe}]$, see fig. 3 in B15.

We also apply the sign correction to the $M_R$ velocity dispersion data from B15 as discussed in Section 3.3 and in Silverwood et al. (2016). B15 also makes the assumption, as we also do, that the Milky Way is symmetric about $z = 0$, and so combine data from above and below the plane. We will discuss the validity of this assumption further in Section 6.1.3.

3 METHOD

The broad flow of the method remains the same as that of Silverwood et al. (2016). We first make parametrized models of the baryon density distribution $\rho_{\text{baryon}}(z)$, the constant DM density $\rho_{\text{DM}}(z) = \rho_{\text{DM}}$, the tracer star density $\nu(z)$, and the tilt term, which describes the coupling of vertical and radial motions for the tracer populations and incorporates the radial–vertical cross term of the velocity dispersion tensor $\sigma_{\nu z}$. Using the integrated $z$-direction Jeans equation described below (equation 7), we can then derive the vertical velocity dispersion $\sigma_z(z)$ for a given set of parameter values. Using Bayesian nested sampling as implemented by MULTINEST (Feroz & Hobson 2008; Feroz, Hobson & Bridges 2009; Feroz et al. 2013; Buchner et al. 2014), we scan through the parameter space, with a likelihood function given by

$$L(\theta) = \exp \left( -\frac{\chi_\nu^2 + \chi_z^2 + \chi_{\sigma_{\nu z}}^2}{2} \right),$$

where

$$\chi_\nu^2 = \sum_j \frac{(\nu_j - \nu_{\text{model},j})^2}{\nu_{\text{SD},j}},$$

$$\chi_z^2 = \sum_j \frac{(z_j - z_{\text{model},j})^2}{z_{\text{SD},j}},$$

$$\chi_{\sigma_{\nu z}}^2 = \sum_j \frac{(\sigma_{\nu z,j} - \sigma_{\nu z,\text{model},j})^2}{\sigma_{\nu z,\text{SD},j}}.$$  

The $j$ variable iterates over the data points, and SD is the standard deviation of each data point (e.g. 1σ errors). From this scan, we derive a marginalized posterior on the local DM density $\rho_{\text{DM}}$.

3.1 The integrated jeans equation

The key equation of this method is derived from the Jeans Equations. Assuming dynamical equilibrium (steady state) and axisymmetry, the $z$-Jeans equation in cylindrical coordinates becomes (Binney & Tremaine 2008; Silverwood et al. 2016)

$$\frac{1}{v} \frac{\partial}{\partial z} (v \sigma_z^2) + \frac{1}{R} \frac{\partial}{\partial R} (R v \sigma_z^2) \sigma_z = -\frac{\partial \Phi}{\partial z},$$

where $z$ is the perpendicular distance to the Galactic plane, $v$ is the number density of tracer stars, $\Phi$ is the gravitational potential, and $\sigma_z$ is the tracer stars’ velocity dispersion in the $z$-direction. In steady state, the Galactic disc cannot oscillate, implying that $v$, $\sigma_z$, and $\Phi$ (and thus the underlying mass distribution also) must all be symmetric around $z = 0$. Similarly, steady state implies that $\dot{\nu} = 0$ and hence we use $\sigma_{\nu z}(z) = \sigma_{\nu z}$. The assumptions of steady state and axisymmetry are linked; any deviation from axisymmetry, such as spiral arms, will always imply that the system is evolving with time.

The Poisson equation in cylindrical coordinates is

$$\frac{\partial^2 \Phi}{\partial z^2} + \frac{1}{R} \frac{\partial}{\partial R} \left( R \frac{\partial \Phi}{\partial R} \right) = 4\pi G \rho,$$

where $\rho(z)$ is the total local mass density. As for equation (5), the $\phi$ derivative term has been excluded as we still assume steady state and hence axisymmetry.

Equation (6) includes the circular velocity $V_c$ through $V_c^2 = R \Phi / \sigma_{\nu z}$. For a flat rotation curve, the circular velocity is independent of $R$ and hence the rotation curve term $\Phi$ vanishes. If the rotation curve is not completely flat, $\Phi$ will appear as a small shift of the recovered density, see Garbari et al. (2012) and Silverwood et al. (2016). We will for now neglect $\Phi$ in the analysis and return to it in Section 5.4.

As in Silverwood et al. (2016), we then arrive at the key equation by integrating equation 5 with respect to $z$:

$$v(z) \sigma_z^2(z) = v(z_0) \sigma_z^2(z_0) - \int_{z_0}^z v(z') [2\pi G \Sigma(z') + T(z')]dz'.$$

We assume the Solar neighbourhood to be symmetric above and below the disc plane, and hence the surface density is given by

$$\Sigma(z) = 2 \int_0^z \rho(z')dz',$$

where $\rho(z)$ is the local density.

In Silverwood et al. (2016), we used a normalization parameter $C \equiv \sigma_z^2(z_0) v(z_0)$, where $C$ was treated as a free parameter that the code marginalized over given some prior range. This worked well for good data which fit the model well. However, to treat $C$ as a free parameter also for data which have some tension in it gives artificial freedom to find better-fitting unphysical solutions, because in reality the $C$ constant is not a free parameter.

As described in Silverwood et al. (2016), this method allows us to avoid differentiating binned data in the $z$-direction and the increased noise this would incur, or making assumptions on the profile of these quantities in order to derive smooth integrals. We have to assume models describing quantities involving radial derivatives such as the tilt term, but we avoid model assumptions on $\sigma_z^2(z)$, arguably the most important profile for determining $\rho_{\text{DM}}$.

As seen in fig. 3 of B15, the tracer density data for both these populations are well fitted by one exponential function: $v(z) \propto \exp(-z/h)$ each. We will hence assume exponential shapes of the tracer densities for the different populations; note that the normalization of $v(z)$ cancels in equation (7), and hence for each tracer population we are only interested in the scaleheight $h$.

Assuming $v(z) \propto \exp(-z/h)$, one sees that $\sigma_z(z)$ falls quickly towards 0 for $z_0 \to \infty$, resulting in $\sigma_z(z_0) \to 0$ for $z_0 \to \infty$. Hence, in the limit $z_0 \to \infty$, equation (7) becomes

$$\sigma_z^2(z) = e^{-z/h} \int_0^\infty e^{-z'/h} [2\pi G \Sigma(z') + T(z')]dz'.$$

The integrand of equation (8) falls quickly enough with $z$ for the integral to be finite even though the integration runs to infinity.

That the integral in equation (8) runs all the way to infinity implies that $\sigma_z^2(z)$ depends on the tracer and mass density distribution all the way out to infinitely large $z$. However, as $v(z) \propto e^{-z/h}$ falls quickly with $z$, the contribution to $\sigma_z^2(z)$ from the integral in equation (8) over large $z$ becomes very small. For example, in this paper the

2 This is the case as long as the tilt term is well behaved like our model and does not increase fast enough to overwhelm the $\exp(-z/h)$ term.

3 The total density decreases with $z$ and hence the surface density cannot increase faster than $\Sigma(z) \propto z$, which would result in a primitive function.
DM density is assumed to be constant for all \( z \), rendering an infinite DM surface density as \( z \to \infty \). To quantify the effect on \( \sigma_z^2 \) of this overprediction of the DM density at large \( z \), one can compare with the resultant \( \sigma_z^2 \), when making the more realistic assumption that the Galactic DM density is proportional to \( 1/R \), where \( R \) is the distance to the Galactic Centre. For the tracer data used in this paper, the difference in \( \sigma_z^2 \) between these two DM density assumptions is maximized for the largest \( z \) data bin and the \( \alpha \)-old data. However, this maximal difference in the DM contribution to \( \sigma_z^2 \) is still only 2.4 per cent, and the relative difference is of course reduced further when also taking into account the baryonic contribution. The smallness of the large \( z \) contributions to \( \sigma_z^2 \) is further discussed in Sections 3.2 and 3.3.1.

The integral in equation (8) can be divided into one integral over \( \Sigma(z) \) and one over \( T(z) \); we will first look at \( \sigma_z^2 \) when neglecting the tilt term and then add the tilt term contribution to \( \sigma_z^2 \).

### 3.2 Analysis of \( \sigma_z^2 \) without the tilt term

When neglecting tilt, equation (8) becomes

\[
\sigma_z^2(z) = e^{\nu h} 2\pi G \int_0^\infty e^{-\nu h/\Sigma(z^\prime) dZ^\prime}.
\]  

(9)

\( \Sigma(z) \) is the total surface density which can further be divided into the DM contribution \( \Sigma_{\text{dm}} \), and the baryonic contribution \( \Sigma_{\text{baryon}} \). Given data in the range \([z_-, z_+], \) with \( z_-, z_+ > 0 \), the baryonic contribution can be divided into components which contribute significantly to the total density above \( z_+ \), labelled \( \Sigma_{b1} \), and those which do not, e.g. are concentrated close to the disc plane below \( z_- \), labelled \( \Sigma_{b0} \). For this latter component, above \( z_+ \), the density is close enough to zero for the surface density to be considered constant. Hence, for \( z > z_+ \), the total surface density is \( \Sigma(z) = \Sigma_{b0} + \Sigma_{b1}(z) + 2\rho_{\text{dm}} z \), and equation (9) then becomes

\[
\sigma_z^2(z) = 2\pi G \int_0^\infty e^{-\nu h/\Sigma(z^\prime) dZ^\prime}.
\]  

(10)

Note that the baryonic density shape inside \( z \) does not enter in the calculation of \( \sigma_z(z) \); hence, there is no need to model the shapes of the baryonic components inside the \( z \) region where we have tracer data.

Also note the term \( 2\rho_{\text{dm}}(h+z) \) of equation (10) can be expressed as \( \Sigma_{\text{dm}}(z) + \Sigma_{\text{dm}}(h) \). Despite having a constant DM density to an infinite height, the contribution of this component to \( \sigma_z^2 \) is finite. The term \( \Sigma_{\text{dm}}(h) \) is a manifestation of the tracer density decaying exponentially with a scaleheight of \( h \). Thus, the impact of the assumption of constant DM density is controlled by the scaleheight of the tracer population, with hotter populations reaching higher above the disc being affected more.

### 3.3 Tilt term

At the midplane (\( z = 0 \)), a potential symmetric in \( z \) is separable up to second order (Binney \& Tremaine 2008), with the radial and vertical motions decoupling. Thus, at \( z = 0 \) the tilt term vanishes, though it may increase rapidly as \( z \) increases.

Intuitively, the motion of the tracer stars can be viewed as a combination of oscillation around the disc, and orbital motion around

\[
F \propto e^{-\nu h/\Sigma(z) dZ} \propto - (z+1)e^{-\nu h} \to 0 \text{ as } z \to \infty.
\]

Hence, for all realistic surface density profiles there always exists a primitive function \( F(z) \) such that \( F(z) \to 0 \) as \( z \to \infty \).

The Galactic Centre; the tilt term can then be seen as a consequence of this orbital motion around the Galactic Centre. A star in an elliptic orbit around the Galactic Centre will on the part of its orbit where it is moving towards the Galactic Centre (i.e. has \( v_R < 0 \)) typically also move towards the disc plane; hence, this star will for \( z > 0 \) have \( v_R v_z > 0 \), and \( v_R v_z < 0 \) for \( z < 0 \). Similarly, in the part of the orbit where the star moves away from the Galactic Centre (i.e. has \( v_R > 0 \)), it will typically also move away from the disc plane, again resulting in \( v_R v_z > 0 \) having the same sign as \( z \). Hence, as \( v_R \), we arrive at the result that \( \sigma_R \) carries the same sign as \( z \). See Silverwood (2016) for further motivation on this result on the sign of \( \sigma_R \).

The \( \sigma_R \) data given in B15 has a sign error, as it is negative for positive \( z \), and so as done in Silverwood et al. (2016) we apply a sign correction. We again assume symmetry above and below the disc plane, and for \( z > 0 \) we model the vertical profile of \( \sigma_R \) using the functional form \( \sigma_R = A z^n \), where \( A \) and \( n \) are fitted to the \( \sigma_R \) data. For \( A \geq 0 \) and \( n > 0 \), this model naturally encompasses the requirements that \( \sigma_R \geq 0 \) for \( z \geq 0 \) and \( \sigma_R = 0 \) for \( z = 0 \). The modelling \( \sigma_R = A z^n \) also fits the \( \sigma_R \) data well, see Fig. 8 and Silverwood et al. (2016).

The tilt term includes a radial derivative of \( \nu \) and \( \sigma_R \), and so we must also model their radial behaviours. From Bovy et al. (2016), we see that, at the Solar location \( R = R_0 \), the tracer densities for the different populations are well fitted by an exponential function \( \nu(R,z) = \nu(R_0,z) \exp(-k_0(R-R_0)) \). We assume that also \( \sigma_R \) is separable in our local \( R \) region and locally parametrize it as \( \sigma_R = \sigma_R(R, z) = \sigma_R(R_0, z) \exp(-k_0(R-R_0)) \), which automatically fulfills the requirement \( \partial \sigma_R/\partial R \to 0 \) for \( z \to 0 \).

The tilt term, evaluated at our location: \( R = R_0 \), then becomes

\[
T(R_0, z) \equiv \frac{1}{R \nu} \frac{\partial}{\partial R} (R \nu \sigma_R) = \left( \frac{1}{R_0} - k \right) \sigma_R(z) = \left( \frac{1}{R_0} - k \right) A z^n, \tag{11}
\]

where \( k \equiv k_0 + k_1 \), and \( R_0 \sim 8 \text{ kpc} \) is our distance to the Galactic Centre.

### 3.3.1 Contribution of \( T \) to \( \sigma_z^2 \)

With this we can now calculate the tilt contribution to \( \sigma_z^2 \), which we will refer to as \( \sigma_{z,T}^2 \). From equation (11), we have \( T(R_0, z) = K A z^n \), and using equation (7) with \( z_0 \to 0 \) we get

\[
e^{-\nu h/\sigma_{z,T}^2}(z) = \int_0^\infty e^{-\nu h} dt = \int_0^\infty e^{-\nu h} dt = \int_0^\infty e^{-\nu h} dt = \int_0^\infty e^{-\nu h} dt = \int_0^\infty e^{-\nu h} dt = \int_0^\infty e^{-\nu h} dt = \frac{1}{K} A h^{n+1} \Gamma_{\text{inc}} \left( n+1, \frac{z}{h} \right), \tag{12}
\]

where \( \Gamma_{\text{inc}} \) is the lower incomplete gamma function.

As for equation (8), equation (12) must hold for all \( z \); in the limit \( z \to \infty \), we have \( e^{-\nu h} \to 0 \) and \( \Gamma_{\text{inc}}(n+1, z/h) \to \Gamma(n+1) \). Requiring \( \sigma_{z,T}^2(z) \) to be finite for all \( z \) then gives the relation \( \sigma_{z,T}^2(0) = K A h^{n+1} \Gamma(n+1) \). Putting this back into equation (12) yields the result

\[
\sigma_{z,T}^2(z) = K A h^{n+1} \left( \Gamma(n+1) - \Gamma_{\text{inc}}(n+1, \frac{z}{h}) \right). \tag{13}
\]

The shape of the tilt contribution to \( \sigma_z^2 \), based on equation (13), is shown in Fig. 1. Note that even though \( T \to 0 \) as \( z \to 0 \), this is not the case for \( \sigma_{z,T}^2 \); the \( \sigma_{z,T} \) term at higher \( z \) affects \( \sigma_z^2 \) also at lower \( z \).
σ case for similar normalization for all plotted values of z as falling exponential functions with a scalelength of 2.2 mono-abundance populations with high [Fe/H]. Hence, as was the case for σ2 when neglecting the tilt term, the exponential fall-off of the tracer density, see e.g. equation (7), protects σ2(z) from a disproportionately large impact at low z from contributions at high z where we do not have tracer data.

3.3.2 Prior range on K = (1/R⊙ − k)

In equation (13), A and n are determined by the fit to the σRc data. On the other hand, K, defined in equation (11), is not well measured, and hence we will need to impose a prior on it.

In this paper, we use two stellar tracer populations from B15: the α-young and α-old populations; the former consists of stars with low [α/Fe] and the latter are stars with high [α/Fe]. In Bovy et al. (2016), they find that the radial surface density profiles of all the mono-abundance populations with high [α/Fe] are well described as falling exponential functions with a scalelength of 2.2 ± 0.2 kpc. Hence, for the α-old stars we use that k0 = 1/2.2 ± 0.45 kpc−1.

For the low [α/Fe] populations in Bovy et al. (2016), it is more complicated as the scalelength is also dependent on [Fe/H]. In Bovy et al. (2016), they find that for the populations with low [α/Fe] and [Fe/H] ≤ 0, ν(R, z) is an increasing function of R at the Solar location R⊙, contrary to the case for the high [α/Fe] populations. From B15, we see that only a very small fraction of the stars in the α-young population have [Fe/H] > 0, and hence we infer from Bovy et al. (2016) that essentially all subpopulations in our α-young population have ν(R, z) which are increasing functions of R. From Bovy et al. (2016), this then implies that the α-young population has −0.27 ≤ k0 ≤ 0 kpc−1.

The scalelength of σRc(R, z), and hence k, are not very well known. To be somewhat generous in our prior ranges, we, for both populations, assume that σRc(R) has a scalelength of more than 1 kpc, i.e. −1 ≤ k1 ≤ 1 kpc−1. This condition on k1 simply assures that σRc cannot change very quickly with R. For example, a scale-length of σRc of <1 kpc (i.e. k1 > 1 kpc−1) implies that σRc changes by a factor of more than 20 over 3 kpc, seemingly in tension with a smooth behaviour of σRc. A further motivation on the validity of the k1 prior range is found in Appendix A.

For k = k0 + k1, we then arrive at the prior ranges: −1.3 ≤ k ≤ 1 kpc−1 for the α-young population and −0.5 ≤ k ≤ 1.5 kpc−1 for the α-old population. Finally, for the prior range on K = (1/R⊙ − k) we use R⊙ = 8 kpc. Note that by marginalizing over K we also marginalize over uncertainties in the Galactocentric distance R⊙.

The tilt term is expected to be more important for the α-old population than for the α-young population; the latter is more confined to the disc plane and hence less sensitive to the global structure of the Galaxy. The prior ranges for the K parameter are not too different between the populations; on the other hand, σRc(z), and hence A, are larger for the α-old than for the α-young population for any given z, see e.g. Fig. 8. Furthermore, the α-old population has a larger scaleheight, making the larger z part of σRc(z) more important, and hence the tilt term larger as σRc(z) increases with z, see e.g. Fig. 8 and recall that σRc(z = 0) = 0.

3.3.3 Prior range on n and A

The A and n parameters of equation (13) are fitted to the σRc data using the functional form σRc = Azn, as previously described in Section 3.3.

The used prior range for n is 0.1 < n < 3. As seen in Fig. 2, the n = 1 fits the data quite well, which is not true for the n = 0.1 and n = 3 fits. One exception is the n = 0.1 fit to the α-young data which results in a χ2-value that is not all that much larger than the
χ²-value for the n = 1 fit. However, n = 0.1 seems rather unphysical as its value is more or less constant for all z and then makes a sudden dive to zero close to z = 0, to fulfill the requirement σ_R ≤ 0 for z = 0, as seen in Fig. 2. Hence, we conclude that the used prior range 0.1 < n < 3 is generous enough to allow sufficient freedom in fitting the σ_R data.

For the A prior range we use 0 ≤ A ≤ 400 km² s⁻¹ pc⁻¹, where the lower limit comes from the requirement that σ_R ≤ 0. The largest A value in the fits of Fig. 2 is A = 279.5 km² s⁻¹ pc⁻¹, which is for the fit to the α-old data for n = 0.1, i.e. the lower edge of our prior range on n. As seen in Fig. 2, the σ_R data is well fitted by the n = 1 lines; these fits result in the A values A = 93.7 and A = 219.8 km² s⁻¹ pc⁻¹ for the α-young and α-old data, respectively, i.e. again well below A = 400. Hence, we conclude that the upper limit of A ≤ 400 km² s⁻¹ pc⁻¹ is sufficiently generous. Finally, as discussed in Section 6.1.2, the A and n prior ranges presented here do not constrain the posterior A and n distributions.

4 MODELLING THE BARYONIC SURFACE DENSITY CONTRIBUTION

A crucial part of determining the local DM density is to model the contribution to the surface density from ordinary matter, i.e. baryons. The motions of the tracer stars are dictated by the total potential, and are agnostic as to whether the potential is generated by baryons or DM. Thus, we must have a reliable baryon census to detect the extra contribution from DM. Differences in their distributions will help us distinguish the two: baryonic mass is concentrated close to the midplane, while DM extends high above (here we make the simplifying assumption that it is constant in z). Bahcall (1984) and Garbari, Read & Lake (2011) noted that one must go approximately three times the baryon disc scaleheight above the midplane (−600 pc) to break the DM-baryon degeneracy. Also note that the tracer population and the baryon distribution are treated as separate elements in our analysis, e.g. the data from B15 is not used to inform the baryon mass distribution model.

The baryonic budget of the Milky Way can be subdivided into gas and stars. The gas of the Milky Way is dominated by hydrogen, and so the gas component is generally referred to as hydrogen. To account for the presence of heavier elements such as helium 4, the mass of the hydrogen atom is increased during the conversion from number density to mass density. This process is known as the helium correction. Following McKee, Parravano & Hollenbach (2015), we assume the mass in non-hydrogen elements is 40 per cent of that in hydrogen, thus increasing the effective hydrogen atom mass by a factor of 1.4, i.e. m_Heff = 1.4 × m_H = 2.34 × 10⁻²⁷ kg. The gas component is further divided into molecular gas H₂, atomic gas H₁, and ionized gas HⅠ.

The stellar component of the Milky Way can be divided into visible stars and stellar remnants. The former consists of main-sequence (MS) stars, giants, and M-dwarfs, while the latter is made up of white dwarfs (WDs), brown dwarfs (BDs), neutron stars, and black holes.

The vertical distribution of each of the gas and stellar components can be described by a functional form fitted to data, with a given number of parameters and associated uncertainties. For instance, M-dwarfs can be described by the sum of a sech² and an exp distribution, which could be thought of as thin and thick disc components, respectively. A recent reanalysis of observed baryon data was presented in McKee et al. (2015), which we will follow closely. In Figs 3 and 4, we plot respectively the densities and surface densities of the baryonic components, with profiles derived using data from Zheng et al. (2001), Flynn et al. (2006), and McKee et al. (2015).

A complete model with a full functional form for each of the components would have several tens of parameters, making it computationally expensive. Fortunately for this analysis, we can use a much simpler model due to the fact that the σ_R² value at some height...
Table 1. Compilation of baryon surface density values and uncertainties used in this work, and their respective sources. M15 denotes McKee et al. (2015), while S17 refers to this work (e.g. our own derivations or rederivations). For the visible stars uncertainty, we take the 15 per cent error quoted by McKee et al. (2015) in the text as opposed to the 10 per cent apparently used in equation (36) of that work.

<table>
<thead>
<tr>
<th>Component</th>
<th>$\Sigma_n^\infty M_\odot$ pc$^{-2}$</th>
<th>± (per cent)</th>
<th>±(M$_\odot$ pc$^{-2}$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Visible stars</td>
<td>27.0 (M15)</td>
<td>15 per cent (M15, text)</td>
<td>→ 4.05</td>
</tr>
<tr>
<td>White dwarfs</td>
<td>4.7 (S17)</td>
<td>17 per cent (M15)</td>
<td>→ 0.80</td>
</tr>
<tr>
<td>Brown dwarfs</td>
<td>1.2 (M15)</td>
<td>30 per cent (M15)</td>
<td>→ 0.36</td>
</tr>
<tr>
<td>Neutron stars</td>
<td>0.2 (M15)</td>
<td>30 per cent (M15)</td>
<td>→ 0.06</td>
</tr>
<tr>
<td>Black holes</td>
<td>0.1 (M15)</td>
<td>30 per cent (M15)</td>
<td>→ 0.03</td>
</tr>
<tr>
<td>Stellar sum</td>
<td>33.2</td>
<td></td>
<td>5.30</td>
</tr>
<tr>
<td>H2</td>
<td>0.95 (S17)</td>
<td>30 per cent (M15)</td>
<td>→ 0.29</td>
</tr>
<tr>
<td>Hr</td>
<td>10.9 (M15)</td>
<td>20 per cent (S17)</td>
<td>→ 2.18</td>
</tr>
<tr>
<td>Hn</td>
<td>1.8 (M15)</td>
<td>17 per cent (S17)</td>
<td>→ 0.31</td>
</tr>
<tr>
<td>Gas sum</td>
<td>13.65</td>
<td></td>
<td>2.78</td>
</tr>
<tr>
<td>Total baryon</td>
<td>46.85</td>
<td>13 per cent</td>
<td>← 5.98</td>
</tr>
</tbody>
</table>

The slight difference in the absolute numbers and uncertainties results from different calculations and roundings in the gas components and the white dwarf component, which we will discuss below. For the total surface mass density, we take a flat prior in the range [46.95 – 13 per cent, 46.95 + 13 per cent].

4.1 Surface density values

For the exact profiles of our baryon components we draw heavily from McKee et al. (2015), but include information from several other sources. A summary of the surface density values and uncertainties we take is given in Table 1. For the total surface density we have $\Sigma_{\text{baryon}}^\infty = 46.95 \ M_\odot \ pc^{-2} \pm 13 \ per \ cent$, compared to the value from McKee et al. (2015) of $\Sigma_{\text{baryon}}^\infty = 47.1 \ M_\odot \ pc^{-2} \pm 7 \ per \ cent$.

4.1.1 White dwarf surface density

The local white dwarf density has been measured as $4.9 \times 10^{-3}$ WD pc$^{-3}$ by Sion et al. (2009), and $6.0 \times 10^{-3}$ WD pc$^{-3}$ by Reid (2005). Sion et al. (2009) also estimate the local number density of single (i.e. not in binary systems) white dwarfs to be $3.3 \times 10^{-3}$ WD pc$^{-3}$. There is however a tension between the high fraction of binary systems among MS stars and the low number of binaries of white dwarfs, which are the end products of most MS stars. Katz, Dong & Kushnir (2014) point out that the number of observed bright WDs with an MS companion is about the same as the number of bright single WDs, while the number of faint WDs with companions is much less than the observed faint single WDs. In Katz et al. (2014), they estimate that about 60 per cent of the WDs are in binary systems; using the density of single WDs above from Sion et al. (2009), this gives a WD number density of $8.25 \times 10^{-3}$ WD pc$^{-3}$. Using the Holberg et al. (2008) mean value of the WD mass of $0.665 M_\odot$, we arrive at a local WD mass density of $\rho_{\text{WD}} = 0.0055 M_\odot$ pc$^{-3}$. McKee et al. (2015) estimate the number density of white dwarfs by looking at the historical star formation rate and initial mass function (IMF), from which they arrive at a local WD number density of about $8.45 \times 10^{-3}$ WD pc$^{-3}$, and thus a local mass density of $\rho_{\text{WD}} = 0.0057 M_\odot$ pc$^{-3}$ when they take the same value for the mean WD mass as we do. To convert to a total surface density, we take a scaleheight of $h = 430$ pc, as listed in table 1 of McKee et al. (2015), to arrive at $\Sigma_{\text{WD}}^\infty = 2 \rho_{\text{WD}} h_{\text{WD}} = 4.7 M_\odot$ pc$^{-2}$. McKee et al. (2015) on the other hand use $h_{\text{WD}} = 434$ pc (as they list in the text of their paper), to arrive at $\Sigma_{\text{WD}}^\infty = 4.9 M_\odot$ pc$^{-2}$. We take the same percentage uncertainty on our surface density value as McKee et al. (2015).

4.1.2 Molecular gas H2 surface density

The slight difference in H2 surface density is due to a recalculation of the McKee et al. (2015) results that have been rounded to two decimal places rather than one.

4.1.3 Atomic gas H I surface density

For the uncertainty on H I surface density, McKee et al. (2015) assumes 20 per cent for the Cold Neutral Medium (CNM) component, and for the two Warm Neutral Medium (WNM) components assume 10 per cent working from the Heiles, Stark & Kulkarni (1981) stray radiation correction. The CNM has a surface density of $6.21 \ M_\odot$ pc$^{-2}$, while the combined WNM has a combined surface density of $4.65 \ M_\odot$ pc$^{-2}$. The errors are assumed to be dependent and so are added linearly, producing a combined uncertainty of 15 per cent. However, McKee et al. (2015) earlier stated that the fiducial Heiles model (Heiles et al. 1981) for optically thin H I (which includes the WNM) is accurate to within 20 per cent. Given this potential ambiguity, we decided to take 20 per cent as the uncertainty for all H I components.

Marasco et al. (2017) recently presented a new determination of the H I surface density of $\Sigma_{\text{HI}} = 4.5 \pm 0.7 M_\odot$ pc$^{-2}$, or $\Sigma_{\text{HI}} = 6.3 \pm 1.0 M_\odot$ pc$^{-2}$ when including the 1.4 helium correction factor.
If this figure is correct, the total baryon surface density would be lowered by $\sim 10\%$. Using the two-dimensional posterior from Fig. 9 as a guide, we estimate that this would raise the $\rho_{\text{dm}}$ determination by $\sim 17\%$.

4.1.4 Ionized gas H\textsc{ii} surface density

For the H\textsc{ii} ionized gas surface density uncertainty we return to the several of the works cited by McKee et al. (2015). The ionized gas consists mainly of warm photoionized gas at temperature $\sim 10^4$ K and hot collisionally ionized gas at $\sim 10^5$–$10^6$ K, where the latter only gives a small contribution in our region of interest and is hence not treated here (Gaensler et al. 2008; McKee et al. 2015). The photoionized gas in the Solar vicinity is dominated by a diffuse thick disc and the Gum Nebula; the latter is estimated to contribute about 0.11 M$_\odot$ pc$^{-2}$ (McKee et al. 2015) to the total surface density. Here, we will treat the Gum Nebula contribution as localized to the disc plane.

The scaleheight of the diffuse thick disc of ionized gas has in more recent work been found to have a larger scaleheight than previously believed, for example, in Gaensler et al. (2008) they find a scaleheight of 1830$^{+120}_{-250}$ pc. The recent review by Schnitzeler (2012) finds that the best fit is achieved by an exponential thick disc with a scaleheight of 1590 kpc and a column number density perpendicular to the plane of 24.4$^{+4.2}_{-4.2}$ cm$^{-2}$, which corresponds to a surface number density of $1.5 \pm 0.26 \times 10^{20}$ cm$^{-2}$. Hence, we arrive at a surface density of 1.7 M$_\odot$ pc$^{-2}$ for the H\textsc{ii} diffuse thick disc, and 1.8 M$_\odot$ pc$^{-2}$ when including the Gum Nebula; the former is used in the H\textsc{ii} profile in Figs 3 and 4, and the latter is used for the total baryonic surface density (at $z \to \infty$). The surface number density uncertainty corresponds to an error of 17 per cent, higher than the 6 per cent quoted in table 2 of McKee et al. (2015). In the face of this discrepancy, we err on the conservative side and take 17 per cent as our uncertainty on the H\textsc{ii} surface density.

4.1.5 Combination of surface density uncertainties

In deriving the combined uncertainties on the baryon surface densities, we make the assumptions that the gas component uncertainties are dependent with each other, the stellar component uncertainties are likewise dependent on each other, but the total gas uncertainty is independent from the total stellar uncertainty. This means that within each category (gas and stars) the errors are added linearly, but the addition of gas uncertainty to stellar uncertainty is done in quadrature. We make these assumptions because it is unclear that the gas component uncertainties are independent, and similarly the stellar component uncertainties, thus leading to the conservative assumption that they are indeed correlated.

4.2 Vertical profile modelling

As mentioned earlier, the H\textsc{ii} gas modelling is complicated by the presence of the Gum Nebula. The profile we take is an exponential with a scaleheight of 1.59 kpc, and the surface density used to normalize this profile is $\Sigma_{H\textsc{ii}} = 1.7$ M$_\odot$ pc$^{-2}$ (e.g. without the contribution of the Gum Nebula; see above).

The two stellar surface density profiles we model are the thin disc component of the dwarfs, and a profile that sums the thick disc components of the MS stars and the dwarfs. Each of these populations will have thick and thin disc components; here, the vertical profile of the MS thin disc component is not modelled, and the thick disc vertical profiles of the MS stars and dwarfs are modelled jointly. The procedure is to first construct a vertical profile featuring a thin and thick disc, but no MS-dwarf partition. Then, we calculate the fraction of dwarfs in the thick disc, and the same for the MS stars. The sum of the surface densities of MS and dwarf thick disc components is then used as the surface density for the total thick disc vertical profile.

The total density profile for a population featuring a thick disc can initially be described by

$$\rho(z) = \rho_0 \left[ (1 - \beta) \text{sech}^2 \left( \frac{z}{h_1} \right) + \beta \exp \left( -\frac{z}{h_2} \right) \right],$$

(14)

where $\rho_0$ is the density of stars at $z = 0$, $h_2 > h_1$, and the $\beta$ parameter is the proportion of $\rho_0$ attributed to the thick disc (i.e. $\beta \in [0, 1]$). Integrating equation (14) to infinity gives the surface density, i.e.

$$\Sigma = 2 \int_0^{\infty} \rho \ dz = 2 \rho_0 ((1 - \beta)h_1 + \beta h_2) = 2 \rho_0 h_{\text{eff, tot}},$$

(15)

where

$$h_{\text{eff, tot}} \equiv \frac{\Sigma}{2 \rho_0} = (1 - \beta)h_1 + \beta h_2,$$

(16)

is the effective scaleheight for the total distribution.

From equation (16), one sees that for a given value of $h_{\text{eff, tot}}$ it is possible to either have a thick disc with a large scaleheight, $h_2$, and small contribution to the local density at the disc plane $\beta$; or a thick disc with a small $h_2$ and a large $\beta$. For M dwarfs, Zheng et al. (2001) have quite large $\beta$ and small $h_2$, while Flynn et al. (2006) model all thick discs with a small $\beta$ and large $h_2$. There is no a priori reason why these thick disc components should be modelled differently, and both models seem reasonable for all stars. To encompass this freedom, we expand the thick disc model to a sum of two thick discs: one with a slightly smaller scaleheight $h_2$ and one with a larger scaleheight $h_1$. The density profile of a stellar component with a thick disc is hence modelled as

$$\rho(z) = \rho_0 \left[ (1 - \beta) \text{sech}^2 \left( \frac{z}{h_1} \right) + \beta(1 - x) \exp \left( -\frac{z}{h_2} \right) \right.\
\left. + \beta x \exp \left( -\frac{z}{h_3} \right) \right],$$

(17)

where the first term is the thin disc component, $\rho_0$ is again the local density at $z = 0$, and $x$ is the relative contribution of the two thick disc components to $\rho_0$, i.e. a positive number that cannot be greater than one. We can assign the thick disc an effective scaleheight, $h_{\text{eff, thick}} = (1 - x)h_2 + xh_3$, so that we can still make use of relation (16):

$$h_{\text{eff, tot}} = (1 - \beta)h_1 + \beta h_{\text{eff, thick}},$$

(18)

which then gives

$$\beta = \frac{h_{\text{eff, tot}} - h_1}{h_{\text{eff, thick}} - h_1}.$$  

(19)

For the modelling of thick disc, we require a hierarchy of scale-heights: $h_1 < h_{\text{eff, tot}} < h_2 < h_3$, from the expression for $h_{\text{eff, thick}}$ one then gets that $h_2 \leq h_{\text{eff, thick}} \leq h_3$, which in turn automatically implies that $0 < \beta < 1$. This model of the thick disc is much less constractive than that of McKee et al. (2015), and so better reflects the uncertainty present in the literature.

The scaleheight for the dwarf thin disc component (we do not model the MS thin disc component) is set to $h_1 = 332 \pm 10$ per cent, drawing from Zheng et al. (2001). The parameters we set for these two thick disc components are

\(h_1 = 609 \text{ pc} \pm 20 \text{ per cent taken from Zheng et al. (2001)}\) assuming the Colour–Magnitude Relation (CMR) 1 option from that paper, \(h_2 = 1000 \text{ pc} \pm 20 \text{ per cent from table 2 of Flynn et al. (2006)}\) combining \(\rho(z) = 0.0035 \text{ M}_\odot \text{ pc}^{-3}\) and \(\Sigma = 7.0 \text{ M}_\odot \text{ pc}^{-2}\) to yield \(h = \Sigma/2\rho = 1000 \text{ pc}\), and \(\Sigma_{\text{eff}} = 400 \text{ pc} \pm 5 \text{ per cent (McKee et al. 2015)}\) (relevant for only the dwarf population). We have increased the uncertainties on the numbers from Zheng et al. (2001) in an attempt to encompass uncertainties arising from different CMRs. The size of the uncertainties are set so they do not overlap and violate the scaleheight hierarchy described above. The value of \(x\) is taken from a flat prior between 0 and 1.

The total surface density of the thick disc described here is a combination of the thick disc components of the dwarfs and MS stars. We thus need to first calculate the partitioning of each component into thick and thin pieces, using the \(\beta\) parameter. The \(\beta_{\text{dwarf}}\) parameter is calculated as per equation (19), yielding
\[
\Sigma_{\text{dwarf, thick}} = \frac{\Sigma_{\text{dwarf}} h_{\text{eff, thick}}}{h_{\text{eff, tot}}}.
\]

The choice of \(h\) parameters above yields \(0.018 \leq \beta_{\text{dwarf}} \leq 0.64\), and the total surface density of dwarfs is taken to be \(\Sigma_{\text{dwarf}} = 23.7 \text{ M}_\odot \text{ pc}^{-2}\) \pm 20 per cent, where \(17.3 \text{ M}_\odot \text{ pc}^{-2}\) comes from M dwarfs and the rest is from the stellar remnants (McKee et al. 2015).

The portioning of the MS star surface density uses \(\beta_{\text{MS, thick}}\) taken from a flat prior between 0 and 0.3. Thus,
\[
\Sigma_{\text{MS, thick}} = 2 h_{\text{eff, tot}} \rho_{0, \text{MS, thick}},
\]
where
\[
\rho_{0, \text{MS, thick}} = \rho_{0, \text{MS}} \beta_{\text{MS}}.
\]

The density at \(z = 0\) is \(\rho_{0, \text{MS}} = 0.0107 \text{ M}_\odot \text{ pc}^{-3}\) (MS stars with \(4 < M_V < 8\) plus giants, McKee et al. 2015). This number is taken without uncertainty as it is actually \(\rho_{0, \text{MS, thick}}\) which is used for the baryon modelling, and this has sufficient freedom coming from the \(\beta_{\text{MS}}\) parameter.

### 4.3 Contribution to \(\sigma_z^2\) by a potentially undetected baryonic thick disc

In modelling the baryonic mass distribution, there is always a risk that we miss some low-density baryonic component that has a very large scaleheight and hence might still be important at high \(z\) where we have less data. Such a baryonic thick disc component is typically expected to have a shape of the form \(\rho(z) = \rho(0)e^{-\ell z}\) where \(\ell\) is its scaleheight. As before, our tracer stars are well approximated by a baryon modelling, and this has sufficient freedom coming from the \(\beta\) parameter.

\[
\frac{\sigma_z^2(z)}{2\pi G h} = \frac{h}{h + \ell} \Sigma(\infty) + \frac{\ell}{h + \ell} \Sigma(z).
\]

The density contribution outside of the point of investigation looks the same to \(\sigma_z^2\) as a surface density component confined to the disc plane. The contribution from \(\Sigma(\infty)\) in equation (23) is small compared to the contribution from \(\Sigma(z)\); both because \(h/(h + \ell) \ll \ell/(h + \ell)\) since a thick disc per definition has a large scaleheight \(\ell \gg h\), and because the total surface density of a neglected baryonic component is expected to be smaller than, e.g. \(\Sigma_{\text{dwarf}}\) of equation (10). Hence, the importance of an unrecognized baryonic thick disc is mainly evaluated by its contribution to the total surface density at the \(z\) region where we have stellar tracer data, which is also a region

\[\Sigma_{\text{baryon, thick}} = \Sigma_{\text{baryon}} + 2\Sigma_{\text{eff}} h + 2\Sigma_{\text{tot}} z,\]

where we expect to have the baryonic contributions decently under control.

### 5 RESULTS

#### 5.1 Simplified analytic analysis

To gain some intuition on the analysis, we investigate the simplified case where all baryons are inside \(z_\ast\), i.e. in equation (10) set \(\Sigma_{\text{baryon}}(z) \rightarrow 0\). As we see in Fig. 4, this approximation is not very far from the truth. For this simplified case where we also disregard the tilt term, we then get

\[
\frac{\sigma_z^2(z)}{2\pi G h} = \Sigma_{\text{baryon}} + 2\Sigma_{\text{eff}} h + 2\Sigma_{\text{tot}} z,\]

where \(\Sigma_{\text{baryon}}\) is the total baryonic surface density at infinity. In equation (24), the slope of \(\sigma_z^2(z)/(2\pi G h)\) is directly determined by \(\rho_{\text{dwarf}}\). Hence, when neglecting the tilt term, the slopes of \(\sigma_z^2(z)/(2\pi G h)\) should be the same for the two tracer populations since they both probe the same matter density distribution; this observation is true independent of baryonic mass distribution. After measuring the slope, i.e. measuring \(\rho_{\text{dwarf}}\), \(\Sigma_{\text{baryon}}\) can then be determined by looking at \(\sigma_z^2(z \rightarrow 0)/(2\pi G h)\).

We can then fit this simplified model by eye to the \(\alpha\)-young and \(\alpha\)-old \(\sigma_z^2(z)\) data from B15 used throughout this paper. In Fig. 5, we plot these fits (solid blue and red for \(\alpha\)-young and \(\alpha\)-old, respectively), scaling \(\sigma_z^2(z)\) by \((2\pi G h)^{-1}\) to match...
with equation (24), assuming scaleheights of $h_{\text{young}} = 253 \, \text{pc}$ and $h_{\text{old}} = 665 \, \text{pc}$. These fits show that in the simplified analysis, the $\alpha$-young and $\alpha$-old populations prefer different values for baryonic surface density and DM density: the $\alpha$-young population prefers $\rho_{\text{dm}} = 0.02 \, M_\odot \, \text{pc}^{-3} = 0.76 \, \text{GeV cm}^{-3}$ and $\Sigma_{\text{baryon}} = 28 \, M_\odot \, \text{pc}^{-2}$, while $\alpha$-old prefers a lower DM density of $\rho_{\text{dm}} = 0.005 \, M_\odot \, \text{pc}^{-3} = 0.19 \, \text{GeV cm}^{-3}$ and a higher baryon surface density of $\Sigma_{\text{baryon}} = 80 \, M_\odot \, \text{pc}^{-2}$. The preferred baryon surface densities of both populations, but particularly the $\alpha$-old population, are outside the range we derive from baryon census measurements of $\Sigma_{\text{baryon}} = 46.95 \, M_\odot \, \text{pc}^{-2} \pm 13 \, \%$ (see Section 4).

The approximation that all baryon density is confined to the midplane at $z = 0$, i.e. below the range of the data, is especially stretched for the lower data points of the $\alpha$-young population. We can investigate the impact of this assumption by neglecting the lower three data points from the fit. This produces the dashed blue line in Fig. 5, and results in a preferred baryon surface density of $\Sigma_{\text{baryon}} = 41 \, M_\odot \, \text{pc}^{-2}$, in agreement with the figure we derive in Section 4, and a DM density of $\rho_{\text{dm}} = 0.0145 \, M_\odot \, \text{pc}^{-3} = 0.55 \, \text{GeV cm}^{-3}$. Given the higher starting point of the $\alpha$-old data, confining the baryons to the midplane is a more valid assumption, and so this assumption is unlikely to be the cause of the discrepancy between the $\alpha$-old preferred $\Sigma_{\text{baryon}}$ and the baryon census derived result.

The analysis presented in section 3 of B15 is similar to this simplified analysis, but with the baryons modelled using a fixed vertical shape and a variable midplane density, rather than the variable total baryon surface density as we do. The B15 analysis reaches qualitatively similar results as our simplified analysis, with the $\alpha$-old population preferring lower DM and higher baryon densities, and $\alpha$-young preferring higher DM and lower baryon densities. Quantitatively, the B15 $\alpha$-old result gives a baryon surface density of $\Sigma_{\text{baryon}} = 98 \, M_\odot \, \text{pc}^{-2} \pm 13 \, \%$ per cent, even further beyond the range derived from the baryon census of section 4 than our simplified $\alpha$-old analysis, while their $\alpha$-young result prefers an eminently reasonable value of $\Sigma_{\text{baryon}} = 49 \, M_\odot \, \text{pc}^{-2} \pm 13 \, \%$ per cent.

We must also investigate the impact of the tilt term on this example. The tilt term contribution is expected to be more important for the $\alpha$-old population than for the $\alpha$-young population. The tilt term is expected to be zero at $z = 0$, and increase in magnitude with increasing $z$. This is a similar behaviour to the DM surface density $\Sigma_{\text{dm}} = \rho_{\text{dm}} \, z$, and so the tilt term can easily change the derived DM density, either increasing or decreasing it depending on the radial behaviour of the tilt term. Thus, the tilt term could, if large enough, make the two populations in Fig. 5 agree on the DM density. However, as the tilt term is zero at $z = 0$ and generally smaller at small $z$, it would be more difficult for it to account for the discrepancy in preferred $\Sigma_{\text{baryon}}$ between $\alpha$-young and $\alpha$-old, given that the baryons are confined to $z = 0$ in our example, or at least heavily concentrated at low-$z$ in reality. The discrepancy between the $\alpha$-young and $\alpha$-old populations is discussed further in Section 6.1.

### 5.2 Full MULTINEST analysis

Here, we now present the results of five different analyses using the full MULTINEST analysis: $\alpha$-young only, with and without tilt; $\alpha$-old only, with and without tilt; and a combined $\alpha$-young and $\alpha$-old analysis with tilt. The results of these analyses are summarized in Table 2, which gives the values for the median, and 95 per cent and 68 per cent credible region bounds on the marginalized posterior for $\rho_{\text{dm}}$. The plots shown in Figs 6, 7, and 8 display the $z$-profiles of a number of quantities. Data points and uncertainties are marked as blue points and error bars, while the light grey bands, dark grey bands, and red lines indicate the 95 per cent limits, 68 per cent limits, and median values of the posterior distribution. For the baryon distribution plots, the dotted green lines show the limits of the prior range of the baryonic model.

When fitting only the $\alpha$-young data, shown in Fig. 6, the model manages to fit the data well despite not taking the tilt term into account, something seen earlier in the simplified analysis of Section 5.1. This result is as expected since the $\alpha$-young data set consists of a colder stellar population which is more confined to the disc plane, where we do not expect the tilt term to have a large impact as discussed at the end of Section 3.3.2 and e.g. B15. As per expectation, the inclusion of the tilt term in the analysis of the $\alpha$-young data only has a minor impact on the recovered DM density: without tilt the median $\rho_{\text{dm}} = 0.013 \, M_\odot \, \text{pc}^{-3} = 0.48 \, \text{GeV cm}^{-3}$, while with tilt it is $\rho_{\text{dm}} = 0.012 \, M_\odot \, \text{pc}^{-3} = 0.46 \, \text{GeV cm}^{-3}$. Inclusion of the tilt term mainly results in a widening of the error bands, as can be seen by comparing the with tilt and without tilt results of Fig. 6.

The tilt term is expected to be more important for the analysis of the $\alpha$-old data. This is an older hotter stellar population which reaches further above the disc plane. In Fig. 7, we see that for the analysis of the $\alpha$-old data without tilt, the fit to the $\sigma_z$ data is not very good: the recovered $\sigma_z$ model increases more quickly with $z$ than the data points. We also see the baryon surface density is concentrated against the upper end of the prior imposed by baryon observations, reflecting the preference the $\alpha$-old data displayed for high baryon surface density in our earlier simplified analysis (Section 5.1). This tension in the data when neglecting the tilt term results in an overly constrained recovered DM density, as seen in Fig. 7. For this analysis, the median of the marginalized DM density posterior is $\rho_{\text{dm}} = 0.012 \, M_\odot \, \text{pc}^{-3} = 0.46 \, \text{GeV cm}^{-3}$.

Including the tilt term in the analysis of the $\alpha$-old data results in a somewhat better fit to the $\sigma_z$ data; this is however accomplished by using a high value on the tilt parameter $\sigma_z$, giving a rather steep fit to the $\sigma_z$ data, as seen in Fig. 7. The recovered DM density for the analysis of the $\alpha$-old data has a more reasonably sized credible region, but one that has moved significantly upwards: the median DM density for this analysis is $\rho_{\text{dm}} = 0.019 \, M_\odot \, \text{pc}^{-3} = 0.73 \, \text{GeV cm}^{-3}$, and the 95 per cent CRs of the with tilt and without tilt analyses do not overlap. Furthermore, the 95 per cent CRs of the $\alpha$-young and $\alpha$-old analyses with tilt do not overlap.

We can also perform a combined fit to both the $\alpha$-young and $\alpha$-old populations, using common DM and baryon distributions, but with separate tracer density and tilt profiles for each population. This joint analysis, including the tilt term, is shown in Fig. 8. This joint analysis results in a DM density CR which is compatible with the $\alpha$-young results (with and without tilt) at the 68 per cent level, and compatible at the 95 per cent level with the $\alpha$-old without tilt result. The 95 per cent CRs of the joint and $\alpha$-old ‘with tilt’ analyses do not overlap.

The ‘with tilt’ analyses of the $\alpha$-young and $\alpha$-old populations are incompatible at the 95 per cent level or above, and yet the joint analysis, which includes tilt, favours the $\alpha$-young population. The tendency of the $\alpha$-old data to favour higher baryon surface densities persists in the joint analysis, most likely causing the reduced $\rho_{\text{dm}}$ result of the joint analysis compared to the $\alpha$-young with tilt result.

Due to the poorness of the fit to the $\sigma_z$ data for the two-population joint analysis, shown in Fig. 8, and concerns with the $\alpha$-old data which will be discussed in Section 6.1, for our final result we will instead use the results of the $\alpha$-young ‘with tilt’ analysis, as shown in Fig. 6. We emphasize that this choice does not have an outsized
impact on the reported DM density as the $\rho_{\alpha\text{dm}}$ CR of the joint analysis is contained with that of the $\alpha$-young 'with tilt' analysis.

Any comparison of these results with those of B15 is complicated by the greater sophistication of our baryon model. The analyses of B15 had a fixed vertical profile with magnitude scaled by the midplane density. In contrast, we have a multicomponent baryon model with flexibility in its vertical profile. B15 uses the discrepant $\rho_{\alpha\text{dm}}$ values from their 'no tilt' analyses to argue for the importance and necessity of the tilt term. The similarity in $\rho_{\alpha\text{dm}}$ of our 'no tilt' analysis results should not suggest the contrary – the heavy concentration of the baryon density against the upper edge of the prior and poor $\rho_\alpha$ fit in the $\alpha$-old case suggests issues are still present in our analysis and/or the data. Including the tilt somewhat lessens this concentration and improves the $\rho_\alpha$ fit, but pulls the local DM density up.

5.3 Degeneracy between the DM density and the baryonic surface density

The motions of the tracer stars are dictated by the total distribution of mass in the Galaxy, and are insensitive to the difference between baryons and DM. Thus, there is a degeneracy between the baryons and the DM, and when comparing the local DM density results from different groups one should also take into account their values on the baryonic surface density. Fig. 9 shows the result of an analysis including tilt of the $\alpha$-young data, but with a prior range on the total baryonic surface density that has been taken to be large enough to cover all ranges of DM densities and baryonic surface densities compatible with the $\alpha$-young tracer data (i.e. allow a full error ellipse to form as opposed to having a hard cut-off). The red posterior ellipses of Fig. 9 clearly show the tracer data degeneracy between baryonic surface density and DM density. Included in Fig. 9 are also published results from other authors, clearly showing that these results also follow a similar degeneracy between baryonic surface density and DM density. Hence, the apparent discrepancies between the results of different groups are not significant if this degeneracy between baryons and DM is taken into account.

5.4 Taking into account the rotation curve term: $\mathcal{R}$

So far in our analysis, we have not taken into account the rotation curve term $\mathcal{R}$ from equation (6). As in Silverwood et al. (2016), the rotation curve term from equation (6) can be written as

$$\mathcal{R} = \frac{1}{R} \frac{\partial V_c^2}{\partial R} = \frac{2V_c}{R} \frac{\partial V_c}{\partial R} = 2(B^2 - A^2),$$

(25)

where $A$ and $B$ are the Oort constants (e.g. Binney & Tremaine 2008).

As in Silverwood et al. (2016), we define an effective density $\rho_{\text{eff}}(z)$, which incorporates the effective shift in density caused by the rotation curve term, e.g.

$$\frac{\partial^2 \Phi}{\partial z^2} = 4\pi G \rho_{\text{eff}}(z),$$

(26)

where

$$\rho_{\text{eff}} = \rho(z) - \frac{1}{4\pi G R} \frac{\partial V_c^2}{\partial R}.$$ 

(27)

From equations (25) and (27), this effective density then relates to the true density $\rho(z)$ via

$$\rho(z) = \rho_{\text{eff}}(z) + \frac{B^2 - A^2}{2\pi G}.$$ 

(28)

Bovy et al. (2012) present measurements of the Milky Way’s rotation curve using APOGEE data, and assuming the circular velocity to locally be a power-law function of $R$ they find

$$\frac{B^2 - A^2}{2\pi G} = 0.0002^{+0.0002}_{-0.0002} M_\odot \text{ pc}^{-3},$$

(29)

and thus the true density is related to the effective density by

$$\rho = \rho_{\text{eff}} + 0.0002^{+0.0002}_{-0.0002} M_\odot \text{ pc}^{-3},$$

(31)

$$= \rho_{\text{eff}} + 0.0076^{+0.0076}_{-0.0076} \text{ GeV cm}^{-3}.$$ 

(32)

Hence, the expected contribution from the rotation curve term is indeed quite small, an order of magnitude less than the statistical uncertainty for, e.g. the $\alpha$-young analysis.

6 DISCUSSION

6.1 The discrepancy between $\alpha$-young and $\alpha$-old populations

As noted earlier in Section 5.2, the $\rho_{\text{DM}}$ posteriors derived from the $\alpha$-old and $\alpha$-young populations with tilt are discrepant to beyond...
Local DM density from SDSS-SEGUE G-dwarfs

Figure 6. Results of analysing the $\alpha$-young data from B15, with and without taking the tilt term into account. The blue points are the data from B15 to which the model is fitted. Dark and light grey shaded regions show the 68 per cent and 95 per cent credible regions, respectively; the red lines show the median values. Green dotted lines show the prior range of the baryonic model. The resulting DM density is $0.46 \, \text{GeV cm}^{-3}$ (68 per cent CR: [0.37, 0.53] GeV cm$^{-3}$, 95 per cent CR: [0.30, 0.59] GeV cm$^{-3}$) or $0.012 \, \text{M}_\odot \, \text{pc}^{-3}$ (68 per cent CR: [0.0098, 0.014] M$_\odot$ pc$^{-3}$, 95 per cent CR: [0.0078, 0.016] M$_\odot$ pc$^{-3}$) when taking the tilt term into account, and $0.48 \, \text{GeV cm}^{-3}$ (68 per cent CR: [0.42, 0.53] GeV cm$^{-3}$, 95 per cent CR: [0.35, 0.57] GeV cm$^{-3}$) or $0.013 \, \text{M}_\odot \, \text{pc}^{-3}$ (68 per cent CR: [0.011, 0.014] M$_\odot$ pc$^{-3}$, 95 per cent CR: [0.0092, 0.015] M$_\odot$ pc$^{-3}$) when not taking tilt into account.
Figure 7. Results of the analysis of the $\sigma$-old data from B15, with and without taking the tilt term into account in the analysis. The lines, points, and shaded regions are as in Fig. 6. The resulting DM density is $0.73 \text{ GeV cm}^{-3}$ (68 per cent CR: [0.68, 0.79] GeV cm$^{-3}$, 95 per cent CR: [0.60, 0.85] GeV cm$^{-3}$) or $0.019 \text{ M}_\odot \text{ pc}^{-3}$ (68 per cent CR: [0.018, 0.021] M$_\odot$ pc$^{-3}$, 95 per cent CR: [0.016, 0.022] M$_\odot$ pc$^{-3}$) when taking the tilt term into account, and $0.46 \text{ GeV cm}^{-3}$ (68 per cent CR: [0.44, 0.48] GeV cm$^{-3}$, 95 per cent CR: [0.42, 0.51] GeV cm$^{-3}$) or $0.012 \text{ M}_\odot \text{ pc}^{-3}$ (68 per cent CR: [0.012, 0.013] M$_\odot$ pc$^{-3}$, 95 per cent CR: [0.011, 0.013] M$_\odot$ pc$^{-3}$) when not taking tilt into account. Note how large impact the inclusion of the tilt term has on the recovered DM density, presumably a result of the poor fit to the $\sigma_z$ data for the analysis neglecting tilt. Also note that the fit to the $\sigma_K$ data is not excellent for the analysis including the tilt term.
the 95 per cent CR. The 95 per cent CR of the $\rho_{DM}$ posterior from the combined analysis is within the 95 per cent CR of the $\alpha$-young population, but not that of the $\alpha$-old population.

In discussing these discrepancies, we first look at the immediate data as it is before us in the plots, and how it leads to the divergent $\rho_{DM}$ results. We will then discuss the root physical causes of the discrepancies such as incomplete modelling of the tilt term, a non-flat rotation curve term, or the presence of disequilibria in the disc.

6.1.1 From $\nu$, $\sigma_z$, and $\sigma_{Rz}$ to discrepant $\rho_{DM}$

This discrepancy between the two populations was evident even in the simplified analysis presented in Section 5.1. While the $\alpha$-young population preferred ranges of $\rho_{DM} = 0.02 M_\odot pc^{-3} = 0.76 GeV cm^{-3}$ and $\Sigma_{baryon} = 28 M_\odot pc^{-2}$ (or $\rho_{DM} = 0.0145 M_\odot pc^{-3} = 0.55 GeV cm^{-3}$ and $\Sigma_{baryon} = 41 M_\odot pc^{-2}$ when neglecting the lower three data points), the $\alpha$-old population preferred a much lower DM density and a much

Figure 8. Result of a combined analysis of data for the $\alpha$-young and $\alpha$-old populations from B15, tilt modelling included. The convention is the same as in Figs 6 and 7. The resulting DM density is 0.40 GeV cm$^{-3}$ (68 per cent CR: $[0.37, 0.44]$ GeV cm$^{-3}$, 95 per cent CR: $[0.34, 0.48]$ GeV cm$^{-3}$) or 0.011 $M_\odot pc^{-3}$ (68 per cent CR: $[0.0098, 0.012] M_\odot pc^{-3}$, 95 per cent CR: $[0.0091, 0.013] M_\odot pc^{-3}$). Note how much more similar the resulting DM density is to the ‘with tilt’ fit to the $\alpha$-young data (Fig. 6), than to that of the $\alpha$-old data (Fig. 7).
very close to the upper edge of the prior, as seen in Fig. 8. In contrast to the simplified analysis of Section 5.1, the \( \rho_{\text{dm}} \) posterior for the \( \alpha \)-old is roughly consistent with the \( \alpha \)-young data when neglecting tilt, but is higher than the \( \alpha \)-young result when including tilt. We will now take a closer look at the tilt term and how it could impact this discrepancy.

6.1.2 The role of tilt in the \( \alpha \)-young–\( \alpha \)-old discrepancy

In Silverwood et al. (2016), we illustrated the necessity of including the tilt term in determinations of \( \rho_{\text{dm}} \), especially for populations with larger scaleheights. One potential addendum to that conclusion is that while including the tilt term is necessary, we do not yet have the necessary data to properly model and calculate it.

Here, we model the tilt term with three parameters, \( A, n, \) and \( k \), as introduced in Section 3.3 and specifically equation (11). When analysing the posterior distributions of the tilt terms \( A \) and \( n \), we find that their distributions are nicely peaked and confined well within their prior ranges. This is because the \( A \) and \( n \) parameters are used to model \( \sigma_{R_{\text{c}}}(z) \), which is fit to data from B15. Hence, these parameters are constrained by data, and as anticipated in Section 3.3.3, their prior ranges are generous enough to not impact our results.

In contrast, the tilt parameter \( k \), which encodes information about the radial variation of \( \nu \) and \( \sigma_{R_{\text{c}}} \), is not constrained by the data as B15 has \( \nu \) and \( \sigma_{R_{\text{c}}} \) data only at the Solar radius. Thus, we have to enforce a prior on this parameter, as discussed in Section 3.3.2. The \( k \) tilt parameter enters through \( K \equiv (1/R_{\odot} - k) \), which in turn is a proportionality constant in calculating \( \sigma_{R_{\text{T}}}$^2 \) (see equation 13), making \( K \) a gauge on the size and sign of the tilt term. For the analysis of the \( \alpha \)-young data only, the posterior \( k \) distribution is more or less flat within its prior ranges. On the other hand, the \( \alpha \)-old data have some tension in them which appears to drive the \( k \) posterior distribution towards one of the edges of the prior. This calls into question the reliability of the tilt model and prior for the \( \alpha \)-old population, and hence also the reliability of the resulting \( \rho_{\text{dm}} \) posterior.

To adequately address this issue, we need radial information on \( \nu \) and \( \sigma_{R_{\text{c}}} \) from the same stellar data set as used to derive the other quantities used in the analysis. With this, we can properly model the tilt term and fit it to real data in a consistent manner, as opposed to imposing a prior from a potentially ill-suited external source. The upcoming second data release of Gaia holds the promise of providing such information.

6.1.3 The role of disequilibria

Determinations of \( \rho_{\text{dm}} \) made so far, including this analysis, have assumed that the disc is in dynamical equilibrium, and thus all time derivatives disappear. However, there is evidence that there are time-dependent disequilibria which break this assumption (see e.g. Widrow et al. 2012; Carlin et al. 2013; Gómez et al. 2013; Williams et al. 2013; Widrow et al. 2014; Antoja et al. 2017). At the very least, the Milky Way has spiral arms, which would break not only dynamical equilibrium but also axisymmetry at the Solar position. Also note that both our method and the derivation of the input data from B15 assume symmetry about \( z = 0 \), an assumption broken by many examples of disequilibria, adding another avenue for disequilibria to enter and impact our analysis.

Additionally, these disequilibria could have different impacts on different stellar populations. For instance, stars with higher scaleheights have longer crossing times and so longer equilibration times.
(Binney & Tremaine 2008), and thus such populations could still be exhibiting the effects of a long past perturbative event, while those with lower scaleheights and shorter crossing/equilibration times had returned to equilibrium.

Recently Banik, Widrow & Dodson (2017) investigated the impact of such disequilibria on determinations of the local DM density and demonstrated that if present, neglecting them could result in a 25 per cent error on the recovered $\rho_{\text{dm}}$ value. Interestingly, they also concluded that a sign of such disequilibria could be different stellar populations yielding divergent $\rho_{\text{dm}}$ figures, which has occurred with our analysis. They also note however that this effect would be difficult to disentangle from other effects such as the tilt term.

6.1.4 The role of the rotation curve term

Finally, our analysis also assumes that the rotation curve is locally flat, and thus the rotation curve term in equation (6) is zero. If this assumption does not hold, then this can manifest as a systematic shift in the local DM density. In Section 5.4, we estimate that the size of this shift is small, using results from the APOGEE survey (Bovy et al. 2012). However, this estimation (from which we justified our assumption of the locally flat rotation curve) is made based on observations from within a few hundred parsecs of the midplane. At larger heights above the disc, the rotation curve may become increasingly non-flat, contributing to the discrepancy between the $\alpha$-old and $\alpha$-young populations. This should be investigated with upcoming Gaia data.

6.1.5 The role of observational uncertainties

The $\alpha$-old population reaches to a higher $z$ above the disc plane (and so further from the Sun), and thus is more susceptible to distance errors and contamination from halo stars. While B15 took great care to exclude interlopers, it is possible that errors in this process have biased the high-$z$ results and contributed to the discrepancy.

Additionally, there is the possibility of problems with the metallicity cuts made to extract the $\alpha$-young and $\alpha$-old populations. Our results concur with Hessman (2015), who previously noted the inconsistency of the two populations, but was unable to reconcile the two with the inclusion of tilt. Instead, they argued that the stars in each sample were insufficiently homogenous for a simple kinematic analysis to be used. Populations with different velocity dispersions could be contaminating the samples, increasing or decreasing the slope of the $\sigma_z$ data points. With Gaia we will have a much larger number of stars at our disposal, allowing for smaller metallicity bins and a reduction of any possible cross-contaminations between populations.

6.2 Comparison of $\rho_{\text{dm}}$ from $\alpha$-young and $\alpha$-old results

From the previous section investigating the discrepancy between the $\alpha$-young and $\alpha$-old results, we can see that the problems identified weigh most heavily upon the $\alpha$-old population. The tilt term has a greater impact on the $\alpha$-old population, as witnessed by the difference in $\rho_{\text{dm}}$ results when neglecting or including tilt (see Table 2). Thus, it also experiences a greater impact from our assumptions and priors on the tilt term. In contrast, the $\alpha$-young result changes very little when the tilt term is included or neglected, and is less dependent on the tilt model and its assumptions. Furthermore, while the $\alpha$-young $k$ posterior is largely flat within its prior boundaries, the $\alpha$-old $k$ posterior is heavily biased towards the edge of its prior, hinting at an underlying tension in the model.

Populations with lower scaleheights have shorter equilibration times, and so if there are disequilibria present in the disc from past perturbative events it is likely they will have less impact on the $\alpha$-young result than the $\alpha$-old result.

The assumption of a flat rotation curve has been made based on local populations, and thus lower scaleheights. Thus, this assumption is more valid for the $\alpha$-young population than for the $\alpha$-old population which has a higher scaleheight.

Finally, the problems of halo contamination and distance errors are more acute for the $\alpha$-old population compared to the $\alpha$-young population.

Thus, with these points in mind we can conclude that the most trustworthy results are those derived from the $\alpha$-young population. Given that the posteriors for the tilt terms $A$ and $n$ are driven by the data, and the posterior for $k$ is flat within its data-derived prior, we also conclude that the best $\rho_{\text{dm}}$ result is that derived from the $\alpha$-young with tilt, namely $\rho_{\text{dm}} = 0.46^{+0.07}_{-0.08}$ GeV cm$^{-3} = 0.012^{+0.002}_{-0.002}$ M$_\odot$ pc$^{-3}$ (68 per cent uncertainty limits).

7 CONCLUSIONS

In this work, we have applied the integrated Jeans equation method first presented in Silverwood et al. (2016) to SDSS-SEGUE G-dwarf data from Büdenbender et al. (2015) in order to determine the local DM density. This quantity is of vital importance to interpreting results, be they positive or negative, from a wide range of DM detection experiments.

The analysis featured a multicomponent baryon model, given in Section 4, and included detailed modelling of the tilt term describing the radial–vertical coupling of the stellar motions, discussed in Section 3.3. From the SDSS-SEGUE data, Büdenbender et al. (2015) extracted two populations based on metallicity, named $\alpha$-young and $\alpha$-old. The former has a lower scaleheight and can be considered to populate the canonical ‘thin disc’, while the latter has a higher scaleheight and so populates the canonical ‘thick disc’. These two populations were analysed separately, with and without the modelling of tilt, and also together with tilt modelling, sharing a common DM and baryon mass models but separate tracer density, vertical velocity dispersions, and tilt models. These analyses, summarized in Table 2, showed a discrepancy in derived $\rho_{\text{dm}}$ between the two populations, the cause of which was discussed in Section 6.1. The impacts of all the underlying causes considered fall most heavily upon the $\alpha$-old population, while the $\alpha$-young results remain robust. Thus, we conclude from the analysis of the $\alpha$-young data with tilt that the local DM density is $\rho_{\text{dm}} = 0.46^{+0.07}_{-0.08}$ GeV cm$^{-3} = 0.012^{+0.002}_{-0.002}$ M$_\odot$ pc$^{-3}$ (68 per cent uncertainty limits).

This result is built upon the assumption of dynamical equilibrium. However, there is evidence of disequilibria present in the disc, as discussed in Section 6.1.3. Theoretical estimates from Banik et al. (2017) suggest that such disequilibria, if ignored, could bias the local DM density estimates by 25 per cent. In future work, we will investigate disequilibria further and incorporate them into our determinations of the local DM density.

Along with the rest of the astronomical and astrometric community, we await the new insights available now with the recent release of Gaia Data Release 2 in April 2018. The priorities for this upcoming data set in regard to the local DM density will be to derive $\nu$, $\sigma_z$, and $\sigma_R$ data not just at the Solar radius $R_\odot$ but also at radii inside and outside this radius. This will allow us to self-consistently model and fit the tilt term to data, and also potentially to apply our method.
to other regions of the Galaxy and determine the DM density there. A measurement of the slope of the rotation curve above and below the disc will also be important to determine the rotation curve term in the Poisson equation (equation 6). Also, given the degeneracy between the baryon surface density and $\rho_{\text{dm}}$ illustrated in Fig. 9 and discussed in Section 5.3, it will be necessary to supplement Gaia data with further observations aimed at mapping the local baryon distribution more accurately. We note that a great deal of the disagreement between previous $\rho_{\text{dm}}$ measurements can be attributed to differences in their respective baryon surface densities. This is illustrated in Fig. 9, where the many $\rho_{\text{dm}}$ determinations lie along the same $\rho_{\text{dm}}$–$\Sigma_{\text{baryon}}$ band defined by our posterior ellipses (red and crimson). While Gaia data will no doubt improve the mass distribution of visible stars, a sizeable fraction of the baryon density uncertainty arises from gas, a component beyond the reach of Gaia.

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APPENDIX A: PRIOR RANGE ON TILT PARAMETERS $k_0$ AND $k_1$

As we do not have data on how $\sigma_{R_z}$ behaves with $R$ for our tracer stars, one way to see if our assumptions are reasonable is by looking at the result from other stellar catalogues. In Binney et al. (2014), they parametrize $\sigma_1$, $\sigma_2$, and $\theta$ as functions of $R$ and $z$ and then fit to Radial Velocity Experiment (RAVE) data. Here, $\sigma_1$ and $\sigma_2$ are the semimajor and semiminor axes, respectively, of the velocity ellipse in the $R$, $z$-plane; $\theta$ denotes the angle between semimajor axis and the Galactic plane. For more details, see Binney et al. (2014). From the result of Binney et al. (2014), we can derive $\sigma_{R_z}$ through

$$\sigma_{R_z} = (\sigma_1^2 - \sigma_2^2) \sin(\theta) \cos(\theta). \quad (A1)$$

For the hot dwarf population of Binney et al. (2014), the resulting $\sigma_{R_z}(R_\odot, z)$ and $-\frac{\partial \sigma_{R_z}}{\partial R}(R_\odot, z)$ are shown in Fig. A1. From our $k_1$ modelling in Section 3.3, we have that

$$k_1 = -\frac{1}{\sigma_{R_z}(R_\odot, z)} \frac{\partial \sigma_{R_z}}{\partial R}(R_\odot, z). \quad (A2)$$

Hence, Fig. A1 immediately shows that for this data set we have $0 \leq k_1 \leq 1$ kpc$^{-1}$, i.e. within our $k_1$ prior range as stated in Section 3.3.2: $-1 \leq k_1 \leq 1$ kpc$^{-1}$.

From Fig. A1, we see that the shape of $\sigma_{R_z}$ for the hot dwarfs of Binney et al. (2014) does not match the $\sigma_{R_z}$ shape of the tracer stars from B15 that we use. Note however that both $\sigma_{R_z}$ functions are well fitted by functions of the form $A z^\alpha$. From Fig. A1, we see that $\sigma_{R_z}$ carries the same sign as $z$, as predicted in Section 3.3, and that the shapes of the green solid and black dashed lines are very similar. The latter observation supports the assumption in Section 3.3 that

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Another source of information on the nature of the $\sigma_{R_z}$ dependence on $R$ is by looking at evolved $N$-body mock data. One such mock data set is described in Garbari et al. (2011), whose evolution takes into account the formation of a bar and spiral arms, and their effects on the distribution functions. We have extracted $\sigma_{R_z}$ as a function of $R$ for different values of $z$ and azimuthal angle $\phi$ for the evolved mocks from Garbari et al. (2011). From the extracted $\sigma_{R_z}$ plots (not shown), we find that $\sigma_{R_z}$ from Garbari et al. (2011) is a much more flat function of $R$, i.e. corresponds to a much smaller value on $k_1$, than was the case for $\sigma_{R_z}$ from Binney et al. (2014). Due to statistical noise, it is not possible to reliably extract the small value on the $\sigma_{R_z}$ slope, and hence the value on $k_1$, from the Garbari et al. (2011) mock data; we however conclude that the mock data support our notion that $k_1$ is expected to have a sufficiently small value, which is in agreement also with the Binney et al. (2014) result.

$k_1$, as given in equation (A2), is reasonably constant for all relevant $z$ values.\footnote{The $z$ region of practical interest to us, i.e. the region of $\sigma_{R_z}$ data, is $z = 515$ to 1247 pc for the $\alpha$-young population, and $z = 634$ to 2266 pc for the $\alpha$-old population. Over these regions, the value of $k_1$ increases with $z$ by 42 per cent for the $\alpha$-young data range, and by 37 per cent for the $\alpha$-old data range.}

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