One-point fluctuation analysis of IceCube neutrino events outlines a significant unassociated isotropic component and constrains the Galactic contribution

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One-point fluctuation analysis of IceCube neutrino events outlines a significant unassociated isotropic component and constrains the Galactic contribution

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The origins of the extraterrestrial neutrinos observed in IceCube have yet to be determined. In this study we perform a one-point fluctuation analysis of the six-year high-energy starting event shower data, with fixed non-Poissonian contributions from atmospheric, galactic and some extragalactic components, as well as an isotropic (and weakly non-Poissonian) template. In addition to the star-forming galaxies and blazars, our analysis suggests the presence of an additional isotropic component, not associated with any detected class of point sources, with best-fit intensity of $$(2.8 \pm 0.2) \times 10^{-18} \text{cm}^{-2} \text{s}^{-1} \text{sr}^{-1} \text{GeV}^{-1}$$. For the first time, we also consider high-energy extrapolations of several phenomenological models for the diffuse galactic emission (tuned to both local cosmic-ray data and diffuse gamma-ray emission in the GeV–TeV domain). We demonstrate the potential of our framework in discriminating between different scenarios, with possible implications on the physics of cosmic-ray transport in the TeV–PeV range.

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I. INTRODUCTION

A major recent breakthrough in the field of astroparticle physics is the detection of cosmic neutrinos by the IceCube collaboration. Four years after this epochal discovery, we are still far from understanding their origin. Given the spatial distribution, still consistent with isotropy [1,2], it is natural to assume that most events are extragalactic. Moreover, no significant clustering has been identified yet [3].

The community is now heavily debating which classes of sources contribute the most to the total flux, and what the role is of the galactic component (see, e.g., the constraints published by the ANTARES and IceCube collaborations [4,5], and the analyses published in [6–12]). In both issues the gamma-ray data clearly play a crucial role, and it is compelling to effectively exploit all the information we can obtain from gamma rays, and the diffuse galactic emission data.

This paper addresses both questions at the same time by means of a one-point fluctuation analysis that extends Ref. [13]. The technique maximally utilizes the statistical information contained in single pixels of the IceCube all-sky data. The same approach has proven to be powerful in constraining gamma-ray sources, both theoretically [14,15] and observationally [16,17] (see also Refs. [18,19] for a complementary approach using the angular power spectrum).

We apply this method to the latest IceCube shower data, specifically to six years of High-Energy Starting Events (HESE) [20]. We consider a comprehensive set of source classes. Besides the fixed starburst and blazar templates considered in Ref. [13], we include—for the first time in this context—several models of the galactic component originated from cosmic-ray hadronic interactions, and a (hypothetical) additional, purely isotropic component. For each of these components we compute the probability distribution function (PDF) of neutrino counts per IceCube pixel, which form the basis of a maximum likelihood analysis.

Our main result is shown in Fig. 1 and clearly shows that the neutrino sky appears dominated by the isotropic component of unknown origin, and is compatible with a non-negligible galactic component.

II. ANALYSIS

A. Method

The methodology applied in this work is the one-point fluctuation analysis (pioneered in [21,22], and recently applied to high-energy astrophysical data e.g., in [13–15]). This method allows us to predict the one-point (pixel-by-pixel) neutrino count probability distribution for the set of source classes under investigation. This requires the following:

(i) Compute the intensity distributions $P(I_\nu)$, given a phenomenological or data-driven model for the classes of sources that are expected to contribute to the neutrino sky. In our case the model is based on multimessenger data, and is mainly characterized by a luminosity function and a spectral template.
(ii) Convolve the distributions with the IceCube exposure (as a function of energy, flavor, and declination) for an observation period of six years. This procedure has already been applied in this context in Ref. [13]. Here we follow the same prescriptions for the pixelization and energy binning. However, we significantly expand the analysis by implementing an isotropic unassociated component (fitted to the neutrino data) and several models for the galactic contribution tuned on gamma-ray and local cosmic-ray data. We note that our model is based on a fixed prediction for the neutrino count distribution of the classes of sources we consider, based on gamma-ray and infrared data; the unassociated component is the only one allowed to vary and is fitted to the neutrino data themselves.

In the next subsections we explain in detail the data sample we use and the different astrophysical ingredients. We refer the reader to the Appendix for more technical details about the procedure.

B. The HESE data sample

The IceCube collaboration classifies neutrino events as having either a showerlike or tracklike topology, the latter being a smoking gun of muonic interactions. The atmospheric background consists of not only atmospheric muon neutrinos ($\nu_\mu$) but also atmospheric muons, some of which pass the stringent background-removal veto due to their sheer abundance.

In this study we focus on HESE, in particular those with the shower topology, since for this subsample the atmospheric $\nu_\mu$ contamination is minimized, and also because we do not need to worry about veto-passing muons. Our sample consists of the 58 shower events included in the six-year HESE data [20], three of which have energies above 1 PeV. This sample is the only one used in the analysis; however, we remark that in Fig. 2 a...
C. The atmospheric foregrounds

The atmospheric neutrino flux has been measured very precisely for lower energies, and then extrapolated to the energy region of interest for this work. Since physical processes of producing the atmospheric neutrinos are relatively well understood, we do not include any uncertainties related to the extrapolation. We employ the average conventional atmospheric flux given by Ref. [24] as $1.77 \times 10^{-14}$ cm$^{-2}$ s$^{-1}$ sr$^{-1}$ GeV$^{-1}$ with a flavor ratio of 1:35:0. Other percent-level atmospheric contributions from $\nu_e$ and $\nu_\tau$ fluxes [24,25] are neglected, as are the neutrino-antineutrino ratios, although the fully detailed (even energy-dependent) flavor ratios can in principle be accounted for in this type of analysis. The prompt atmospheric neutrino flux is taken from Ref. [25], with a flavor ratio of 1:1:0.

We do not take veto-passing muons into account in our analysis. Since we focus only on shower events and the veto-passing muons are problematic only for track events, this is justified. Accounting for tracks, on the other hand, would require adding time binning (to capture the seasonal variation of the atmospheric temperature) and a more involved modeling of the width of the atmospheric PDF to the analysis, both of which are beyond the scope of the present work.

D. Extragalactic components

We now introduce our model for the neutrino emission from star-forming galaxies and blazars, which follows the prescriptions described in [13].

1. Star-forming galaxies

Among star-forming galaxies, we consider starburst galaxies (SBs)—i.e., galaxies undergoing a short-duration exceptionally high rate of star formation—and star-forming galaxies hosting an obscured or low-luminosity Active Galactic Nuclei (SF-AGN), as main contributors for the neutrino flux.

Since neutrino oscillations push the flavor ratio towards 1:1:1, for all sources based on hadronuclear interactions we can define a general conversion between the all-flavor neutrino and antineutrino differential flux and the gamma-ray flux that simply reads $F_\gamma = 6F_\nu$. However, since star-forming galaxies are barely resolved in gamma rays, we do not rely on gamma-ray data, and choose to take into account the infrared luminosity function from the Herschel catalog instead. We then consider the empirical relation [26]

$$L_\gamma(L_{IR}) = 10^\beta \left( \frac{L_{IR}}{10^{10} L_\odot} \right)^{\alpha} \text{erg s}^{-1},$$  

where $\alpha \approx 1.17$ and $\beta \approx 39.3$, and convert the infrared luminosity function $\Phi_{IR}(L_{IR}, z)$ into a gamma-ray (and subsequently neutrino) luminosity function $\Phi_\gamma(\Phi_\nu)$.

Concerning the spectrum, we assume a fixed slope $\Gamma_{SB} = 2.2$. We also investigate the case of $\Gamma_{SB} = 2.3$, which might be slightly more favored [27], but find that our conclusions do not change.

Once these ingredients are fixed, we can compute the gamma-ray flux distribution under the assumption that the sources are isotropically distributed in a comoving cosmological volume element [13],

$$P(F_\gamma | E_\gamma, \Gamma) \propto \frac{1}{F_\gamma} \int dz \frac{dV}{dz} \Phi_\gamma(L_{\text{crit}}, z) N \ln(10).$$  

2. Blazars

Blazars (or BL Lac objects) are jetted active galactic nuclei, with the jet pointed towards the observer. We first develop a gamma-ray model for this class of sources, relying on the source count distribution inferred from the Second Catalog of Hard Fermi-LAT Sources (2FHL) [28], and assuming a spectral slope $\Gamma_{2\text{FHL}} = 2.5$ (which results in an optimistic estimate of their contribution, given the evidence that the blazar index is $\Gamma > 3$ at higher energies).

Once the gamma-ray model is specified, we exploit the following relation (see e.g., [29]) for the all-flavor neutrino flux:

$$E_\nu^2 F_\nu(E_\nu) = \left[ \int_{10^{10} \text{GeV}}^\infty E_\gamma F_\gamma dE_\gamma \right] \frac{Y}{0.9} \left( \frac{E_\nu}{E_\nu^{\text{peak}}} \right)^{1-\gamma} \exp \left( -\frac{E_\nu}{E_\nu^{\text{peak}}} \right),$$  

where $E_{\nu, \text{peak}} \approx 10 \text{ PeV}$ for typical 2FHL sources, and where $s = -0.35$ is adopted in order to obtain the denominator normalization factor of 0.9. The $Y$ parameter absorbs the details of the actual particle interactions: The gamma-ray emission is mostly leptonic for $Y < 1$, and mainly due to synchrotron (from $p\pi$ interactions) when $Y \approx 3$.

The model above features a very hard energy spectrum at the PeV scale. We also test a phenomenological (hence less physically motivated) model where neutrino spectrum follows the gamma-ray spectrum, i.e., $E_\nu^{\gamma,2.5}$, as in the case of hadronuclear sources. But in this case, in order not to violate the constraints from the diffuse gamma-ray background [30,31], we find that the blazar contribution at $\gtrsim 10 \text{ TeV}$ is extremely small.

3. The isotropic component

Besides these physically motivated models, we also consider an isotropic component with a power-law spectrum describing the flux from hypothetical additional sources currently not associated to a known point-source.
catalog in some wavelength. We choose $P_{\text{iso}}(I_s|E)$ to be normally distributed with a fixed width $\mu/\sigma$, where $\mu$ and $\sigma$ are the mean and rms of the intensity $I_s$, respectively. Although in principle a one-point fluctuation analysis would be sensitive to this information, we have checked that with current data our analysis is not sensitive to variations of the width $\mu/\sigma \in \{10, 100, 1000\}$. This model therefore has two adjustable parameters: a normalization $\langle I_s \rangle_{100\;\text{TeV}}$ and a power-law spectral index $\Gamma$. Since this component describes unknown sources, estimates for these parameters were determined by the maximum likelihood method, and the estimate of their covariance matrix by inversion of the observed Fisher information [32].

We remark that these two are the only free parameters in our description of the high-energy neutrino sky; the parameters for all the other components have been determined using multimessenger information.

### E. The galactic components

A very relevant issue is the role of the galactic contribution: Although there is currently no positive and statistically significant evidence for it, the expectation is that such a component should exist. We consider here physically motivated models for the galactic cosmic-ray contribution, some of which are further tuned to a high-energy extrapolation of gamma-ray observations: The canonical and gamma models, presented in Ref. [23] (see Fig. 2 therein for a comparative plot). These models are implemented with DRAGON [33], a numerical package designed to simulate all processes related to cosmic-ray transport by solving a time-dependent diffusion-loss equation for all the relevant species, and are all tuned to GeV–TeV local charged cosmic-ray data [34,35].

The gamma models are also tuned to an extrapolation of Fermi-LAT gamma-ray data, as first discussed in Ref. [36]. The key feature of those scenarios is a progressively harder proton spectrum in the inner Galaxy, which shows a progressive transition from a power law with index $\sim -2.7$, inferred locally, to a harder one with index $\sim -2.4$ at the Galactic center: Such a trend was recently confirmed by the Fermi-LAT collaboration, as shown e.g., in Fig. 8 of [37].

This behavior is phenomenologically reproduced by means of a transport scenario characterized by a harder scaling of the diffusion coefficient with rigidity in the inner galactic plane (see Refs. [38,39] for two recent physical models that may result in this behavior). As shown in Refs. [23,40], these models are characterized by a significantly larger gamma-ray flux in the 1–50 TeV range, thus reproducing in a natural way the bright multi-TeV emission measured by the H.E.S.S. collaboration in the galactic ridge region [41], and the anomalous spectral point provided by MILAGRO in a region of interest located in the inner galactic plane [42]. This also results in an increased neutrino flux at those energies, as seen in Fig. 2.

Given the large uncertainty in the high-energy part of the spectrum, we consider two different realizations for both the canonical and gamma model, characterized by different values for the proton high-energy injection spectrum cutoff. The galactic models are labeled as $\{\emptyset, A, B, C, D\}$ for convenience. Models A and B are canonical, while models C and D are gamma; models A and C are tuned to recent KASCADE data [43] and feature a 5-GeV cutoff, while models B and D feature a very optimistic value of 50-GeV cutoff, again following Ref. [23]. We remark on the very large uncertainty affecting the models in this high-energy range (see, e.g., the indications for a sub-GeV knee reported by the ARGO collaborations [44]). The model in which there is no galactic contribution to the IceCube flux is labeled $\emptyset$.

For each of these models, a high-resolution map of the neutrino flux from galactic cosmic rays, assuming a flavor ratio of 1:1:1, was produced using GammaSky, a dedicated code developed by the DRAGON team [45].

However, the large angular uncertainties associated with showers in IceCube data only give us access to a low-resolution map. The probability distribution $P(F|M)$ of this flux, in each pixel of the low-resolution map, was set to a Gaussian with parameters determined by the mean and variance of the oversampled map provided by GammaSky. Additionally, these PDFs per pixel in different energy sub-bins were convolved into three energy bins [13], by treating the spectrum produced by DRAGON as a piecewise power law in each energy sub-bin and each pixel.

### III. RESULTS

We compute the likelihood for a model $M$ based on the total count distribution as

$$
\mathcal{L} = \prod_{\text{pixels}} \prod_{\text{bins}} P(C = d(p,E)|p, E, M),
$$

where the count distributions of different astrophysical and atmospheric components of the model are combined by convolution, i.e., $P(C|M) = P(C|M_{\text{SFG}})\ast P(C|M_{\text{BL-Lac}})\ast \cdots$, the flux PDFs of starbursts and blazars are shown in Fig. 3 of Ref. [13], and the count PDF $P(C)$ is obtained by convoluting them with the Poisson distribution [15]. These PDFs are non-Poissonian with typically a power-law tail that makes the distribution skewed. The intrinsic skewness, however, is dominated by shot noise of finite neutrino counts in contemporary neutrino telescopes.

We add the best-fit isotropic contribution to this marginal likelihood as $\mathcal{L}_i = P(C|M) \ast P_{\text{iso}}(C|i)$, where $i \in \{\emptyset, A, B, C, D\}$.

As summarized in Fig. 1, our main result is the strong evidence for a dominant isotropic component. The best-fit values of the normalization and spectral index for this component of unknown origin are presented in Table I, for all different choices of the galactic template, including the null one.
TABLE I. Best-fit values, using six years of HESE shower data, for the isotropic components associated to each model of the galactic contribution. The normalization at 100 TeV is quoted in units of $10^{-18}$ cm$^{-2}$ s$^{-1}$ sr$^{-1}$ GeV$^{-1}$.

<table>
<thead>
<tr>
<th>Model</th>
<th>Normalization</th>
<th>Spectrum</th>
<th>(Correlation)</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\emptyset$</td>
<td>3.42 ± 0.22</td>
<td>2.84 ± 0.63</td>
<td>-0.62</td>
</tr>
<tr>
<td>A</td>
<td>2.86 ± 0.22</td>
<td>2.71 ± 0.53</td>
<td>+0.11</td>
</tr>
<tr>
<td>B</td>
<td>2.81 ± 0.21</td>
<td>2.71 ± 0.54</td>
<td>+0.18</td>
</tr>
<tr>
<td>C</td>
<td>2.71 ± 0.20</td>
<td>2.69 ± 0.56</td>
<td>+0.32</td>
</tr>
<tr>
<td>D</td>
<td>2.64 ± 0.19</td>
<td>2.69 ± 0.58</td>
<td>+0.41</td>
</tr>
</tbody>
</table>

TABLE II. Likelihood ratios [as defined in Eq. (5)] obtained using six years of HESE shower events, and the best-fit isotropic component of each model. The high-energy extrapolations of canonical models (A, B) are favored over gamma models (C, D).

<table>
<thead>
<tr>
<th>$\Lambda_{AC}$</th>
<th>$\Lambda_{AD}$</th>
<th>$\Lambda_{BC}$</th>
<th>$\Lambda_{BD}$</th>
<th>$\Lambda_{AB}$</th>
<th>$\Lambda_{CD}$</th>
</tr>
</thead>
<tbody>
<tr>
<td>19.8</td>
<td>25.7</td>
<td>19.2</td>
<td>25.1</td>
<td>0.6</td>
<td>5.9</td>
</tr>
<tr>
<td>$\Lambda_{\emptyset}$</td>
<td>$\Lambda_{B\emptyset}$</td>
<td>$\Lambda_{C\emptyset}$</td>
<td>$\Lambda_{D\emptyset}$</td>
<td>$\Lambda_{D\emptyset}$</td>
<td>$\Lambda_{D\emptyset}$</td>
</tr>
<tr>
<td>7.1</td>
<td>6.5</td>
<td>-12.7</td>
<td>-18.6</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

All these models provide decent fits to the data. For instance, the (two-sided, pretrials) $p$-values for models (A, C) are $p \approx (0.54, 0.50)$. The values for the best-fit spectra are all compatible with each other. However, there is a 3$\sigma$ tension in normalization between models with and without a galactic contribution. This shift of $\Delta \langle f_i \rangle \gtrsim 0.6 \times 10^{-18}$ cm$^{-2}$ s$^{-1}$ sr$^{-1}$ GeV$^{-1}$ is consistent with the typical normalization of the galactic models at 100 TeV [23,40].

As far as the comparison between different galactic scenarios is concerned, the likelihood ratio $\Lambda$ can be used to compare these models amongst each other. We quote all possible pairings $\Lambda$ in Table II in units of information (deci hartley; dH), i.e., as

$$\Lambda_{ab} = 10\log_{10} \left( \frac{L_a}{L_b} \right).$$

In these units, $\Lambda_{ab} = 20$ would correspond to odds of 100:1 in favor of model A. Table II shows that the high-energy extrapolations of the canonical models A and B are favored over the gamma models C and D. There is no significant preference between a cutoff at 5 or at 50 PeV. Given the large uncertainties associated with cosmic-ray transport modeling in the TeV–PeV domain, these results need to be taken with a grain of salt, as discussed in the following section.

IV. DISCUSSION

The first relevant discussion point is the nature of the isotropic component outlined by this analysis. This template captures both the effect of mismodeling of the contributions we have included in the analysis, and the effect of astrophysical contributions yet to be considered. Assuming a canonical model, the best-fit normalization of the isotropic component is $\langle f \rangle_{100\, \text{TeV}} = (2.8 \pm 0.2) \times 10^{-18}$ cm$^{-2}$ s$^{-1}$ sr$^{-1}$ GeV$^{-1}$ and its best-fit spectral index is $\Gamma = -2.7 \pm 0.5$.

The missing flux has a spectrum consistent with astrophysics; a missing contribution from atmospherics would have a spectrum closer to $E^{-3.7}$ ($2\sigma$ away from the best-fit value). The normalization is 4 to 5 times larger than that of the galactic contribution, consistent with the absence of positive evidence for such a subdominant contribution in this and other studies (e.g., [46]).

There are not many source populations that are predicted to contribute to the IceCube flux significantly, yet have a spectrum softer than $E^{-2.7}$. The regular star-forming galaxies have a spectrum close to this, but such a component has already been studied in a one-point fluctuation analysis [13], and their normalization is much too small to account for the entire isotropic component even allowing for systematic uncertainties.

It has also been suggested that radio galaxies could give significant contribution to most of the IceCube neutrino events [47]. The spectrum, however, appears to be much harder than $E^{-2.7}$ according to gamma-ray data [48]. In any case, any transparent hadronuclear sources have to have spectra harder than $E^{-2.2}$ or so in order to give substantial contribution to the IceCube neutrinos, according to the Fermi diffuse gamma-ray background spectrum [31] and cross correlation measurement [30].

These considerations naturally lead us to consider some hidden source class, where gamma rays cannot escape. This includes both photohadron and hadronuclear processes in mildly relativistic or choked jets [49–51], for which some soft spectrum component can naturally arise without being constrained by the gamma-ray data. Such a scenario can be tested by looking for correlation with low-power gamma-ray bursts (exploiting future, more sensitive GRB satellites).

Let us now turn our attention to the results on the galactic contribution. The direction of the Pearson correlation between the best-fit normalizations and spectral index in Table I changes between models with and without a galactic contribution. Since the normalization is taken at 100 TeV, the sign of the correlation tells us whether the isotropic component is mostly fitting data at lower energies (negative) or at higher energies (positive). We find that the isotropic component in model $\emptyset$ is mostly trying to produce counts at low energies. Meanwhile with the canonical models the low-energy bins have more counts to start with, and so the isotropic component becomes more relevant at higher energies.

There is a similar increase in the correlation coefficient between canonical and gamma models. Under this interpretation of the correlation coefficients, the likelihood ratios in Table II indicate that gamma models are
overpredicting the neutrino flux in the lower energy bins of the analysis (see also Fig. 2). This conclusion is also hinted at by the lower normalizations associated to these models, as a consequence of the fact that gamma models produce a larger flux than canonical models at these energies (cf., Fig. 2 and Refs. [23,40]).

We remark that we are considering here energies larger than 20 TeV, while the gamma models are tuned to Fermi-LAT data only up to ≃300 GeV, and were shown to reproduce several other gamma-ray data sets in the 1–50 TeV energy range as well. If confirmed by the forthcoming data releases, the different indications coming from (mostly sub-TeV) gamma-ray data, which show strong preference for the gamma models, and the TeV–PeV neutrino data that seem to favor the extrapolation of canonical models, can reveal hints of either different transport regimes at work in different energy ranges, or different classes of galactic sources at work.

V. SUMMARY

We presented a one-point fluctuation analysis of the six-year HESE shower data, based on the methodology and models presented in Ref. [13]. Our comprehensive analysis included for the first time both a phenomenological isotropic component representing an additional extragalactic contribution with a Gaussian flux distribution and a power-law spectrum, and a set of predictions for the galactic component obtained with the DRAGON code. We found that the additional isotropic template, not associated with well-measured point-source classes, actually dominates the neutrino sky, and we discussed possible ideas regarding its origin. Our result is robust with respect to variations of the galactic contribution. All the galactic models considered here show decent fits of the data, with preference for the high-energy extrapolation of canonical models with respect to the more optimistic gamma models, tuned on the large-scale trends inferred by Fermi-LAT diffuse data. This result shows the power of this kind of analysis in placing constraints on phenomenological galactic cosmic-ray transport models. If confirmed, the presence of conflicting indications coming from gamma-ray data in the GeV–TeV domain with respect to those coming from neutrino data in the TeV–PeV range can provide indications on the physics of cosmic-ray transport in the high-energy regime.

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APPENDIX A: PREDICTIVE ONE-POINT FLUCTUATION ANALYSIS

Reference [13], which we expand upon in this study, describes a methodology for turning multimessenger data into a predictive model of the neutrino count distribution in IceCube, and reports on the first one-point analysis of the HESE data. In this appendix, we briefly review this methodology.

The neutrino intensity due to any class of astrophysical sources incident on IceCube can be computed using extrapolations of multimessenger data. Particularly, given some model $M$ of these sources’ neutrino flux and redshift distributions, the probability distribution of their intensity $P(I|M)$ can be computed with no additional assumptions [15]. The total intensity $I = I_1 + \cdots + I_n$ due to multiple classes of astrophysical source with models $M_1, \ldots, M_n$ is then distributed as

$$P(I|M_{\text{tot}}) = P(I|M_1) \ast \cdots \ast P(I|M_n) \quad (A1)$$

where $\ast$ denotes convolution. Backgrounds to our signal (such as atmospheric backgrounds), individual and possibly extended sources (such as the Milky Way), and phenomenological contributions (such as the one considered in the main text), provided they can be described by an intensity distribution, may similarly be convolved into the distribution for the total intensity. It is understood that this neutrino intensity distribution is a function of the line of sight and energy of this incident intensity, incorporating both spectral and anisotropic features.

The count distribution is then constructed as the discretization of the flux distribution, accounting for the exposure $\epsilon$ of the detector,

$$P(C|M_{\text{tot}}) = \int P(C|I \times \epsilon)P(I|M_{\text{tot}})dI. \quad (A2)$$

The IceCube exposure $\epsilon$ also has spectral and anisotropic features, which depend both on the geometry and location of the detector, and on the vetos imposed on the HESE analysis. These are discussed in the second part of this appendix.

A full model of the detector would allow us to account for the measurement uncertainties on the energy and the line of sight of individual events when translating this predictive count distribution into the likelihood function of the data. If we denote collectively by $\psi$ the observing energy and line of sight, and by $P(\sigma|\psi)$ the energy resolution and angular resolution (as a function of $\psi$), then the likelihood of any HESE event $d(\psi)$ is a weighted convolution of the $P(C)$ of all $\psi$ within the instrumental resolution. We have

$$\ell(d) = \int P(C|M, \psi + \sigma)P(\sigma|\psi)d\sigma \bigg|_{C = d} \quad (A3)$$

where $\ell$ was defined in Ref. [13]. The (unbinned) likelihood of the HESE data is then a product of $\ell(d)$'s.
neutrinos and antineutrinos, we use the approximation $p_s^\mu = 0.2, p_s^\tau = 1$ [52] to write

$$A_{\text{eff}} = 2 \sum_{f \in \{e, \mu, \tau\}} p_s^f \times A_f \times \eta_f,$$

(B1)

where $A_f$ is the flavor-energy-and-declination dependent quantity given by IceCube and $\eta_f$ is the fraction of neutrinos of a given flavor ($\eta = 1/3$ for a 1:1:1 flavor ratio). We employ a 1:1:1 ratio for all extragalactic components, and a 1:1:0 ratio for the prompt atmospheric flux [25]. We approximate the average conventional atmospheric flux given by Ref. [24] as $1.77 \times 10^{-14}$ cm$^{-2}$ s$^{-1}$ sr$^{-1}$ GeV$^{-1}$ with a flavor ratio of 1:35:0.$^2$ Other percent-level atmospheric contributions from $\nu_e$ and $\nu_\tau$ fluxes [24,25] are neglected, as are the neutrino-antineutrino ratios, although the fully detailed (even energy-dependent) flavor ratios can in principle be accounted for in this type of analysis.

Computing the predicted number of events $I \times e$ in Eq. (A2) relies on this effective area. Since we are interested in the number of counts per pixel and per energy bin, we are effectively computing the integrated event counts; however, to compute the distribution of the integrated counts, we must compute the probability distribution of an integral. The $\overline{f}$ operation defined in Ref. [13] can, by representing this integral as a Riemann summation, be approximated by a large but finite number of convolutions—the interpolation of $A_{\text{eff}}(E)$ is then an essential ingredient to compute the probability distribution of the integrated event counts.

This study departs from Ref. [13] only minimally in its treatment of the declination dependence of the effective area. As discussed above, we simplify the analysis by binning the HESE data into pixels with HealPix [53]. Although the effective area does vary across these large pixels, it varies monotonically, so we use the value at the central declination of each pixel to approximate $A_{\text{eff}}$. Unlike Ref. [13], we do not exploit the isolatitudeitality of HealPix pixels and the effective area to accelerate our analysis, in order to accommodate the symmetry-breaking contribution from the galactic plane.

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1This binning scheme effectively sets $P(\sigma | \mu)$ to a multidimensional rectangle function, rather than the multidimensional Gaussian one might naively expect. This is not too much of a problem for isotropic contributions, but it is not clear how much this affects the galactic contribution in our model.

2We have explicitly checked that the results are not significantly affected by using the model from Ref. [13], which assumed fewer significant digits.

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[34] Y.S. Yoon et al., Cosmic-ray proton and helium spectra from the first CREAM flight, Astrophys. J. 728, 122 (2011).


