Quantum optics and multiple scattering in dielectrics
Wubs, M.

Citation for published version (APA):

General rights
It is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), other than for strictly personal, individual use, unless the work is under an open content license (like Creative Commons).

Disclaimer/Complaints regulations
If you believe that digital publication of certain material infringes any of your rights or (privacy) interests, please let the Library know, stating your reasons. In case of a legitimate complaint, the Library will make the material inaccessible and/or remove it from the website. Please Ask the Library: http://uba.uva.nl/en/contact, or a letter to: Library of the University of Amsterdam, Secretariat, Singel 425, 1012 WP Amsterdam, The Netherlands. You will be contacted as soon as possible.
Chapter 6

Transient QED effects in absorbing dielectrics

The spontaneous-emission rate of a radiating atom reaches its time-independent equilibrium value after an initial transient regime. The associated relaxation effects of the spontaneous-decay rate of atoms in dispersive and absorbing dielectric media are considered, for atomic transition frequencies near material resonances. A quantum mechanical description of such media is furnished by a damped-polariton model, in which absorption is taken into account through coupling to a bath. It is shown how all field and matter operators in this theory can be expressed in terms of the bath operators at an initial time. The consistency of these solutions for the field and matter operators are found to depend on the validity of certain velocity sum rules. The transient effects in the spontaneous-decay rate are studied with the help of several specific models for the dielectric constant, which are shown to follow from the general theory by adopting particular forms of the bath coupling constant.

6.1 Introduction

In the previous chapters, frequency-dispersion and absorption of light in dielectrics were neglected. For example, in the chapters 2 and 3, the optical potentials of the plane scatterers were assumed real, so that their T-matrices satisfy an optical theorem and no light is lost in the planes. In the chapters 4 and 5, the dielectric function ε(ω) was assumed inhomogeneous but real and nondispersive. There is no principal difference between the electromagnetic field in such inhomogeneous dielectrics and in free space, in the sense that in both cases the field is a sum (or integral) over individual optical modes. The topic of this chapter is the quantum optical description of homogeneous dielectrics, which are described by complex and frequency-dependent dielectric functions ε(ω). These dielectric functions satisfy the Kramers-Kronig relations [50, 51, 143, 144]. A description in terms of modes, each corresponding to a single frequency, will no longer be possible.

In quantum optics of linear dielectrics, one tries to describe the material medium in an
Effective way with the help of the classical dielectric function \( \varepsilon(\mathbf{r}, \omega) \), which, in general, is a complex function of both position and frequency and in this full generality describes the propagation and loss of light at each point in the dielectric. Sometimes it is possible to neglect the spatial variations, dispersion and losses altogether. The spontaneous-emission rate of an atom in such a simple dielectric is the refractive index \( n \) of the medium times the rate \( \Gamma_0 \) in vacuum [117, 145–148], at least if local-field effects are neglected.

The situation becomes more complicated when material dispersion has to be taken into account [149–155]. Since the Kramers-Kronig relations tell that dispersion and loss always come together (be it not always at the same frequencies), one should like to include losses as well in order to describe all frequencies in one theory. The damped-polariton model [156–159] provides us with such a microscopic theory. From this theory it was shown that the radiative spontaneous-emission rate equals \( \Gamma_0 \) times the real part of the refractive index at the transition frequency [160].

The quantum mechanical treatment of dissipative systems is more complicated than the classical one, because of the extra requirement that equal-time commutation relations do not change over time [45, 161]. Based on the damped-polariton model and on the fluctuation-dissipation theorem, phenomenological quantization theories were constructed that meet these requirements. In these theories, the dielectric function is an input function and the Maxwell field operators satisfy quantum Langevin equations with both loss and quantum noise terms [162, 163]. With the use of a Green-function approach, the phenomenological quantization theories have been generalized to inhomogeneous dielectrics, first for multilayer systems [164, 165] and later for general \( \varepsilon(\mathbf{r}, \omega) \) [166–170]. Field commutation relations turn out only to depend on the analytical properties of the Green function. However, the calculation of spontaneous emission inside such a medium would involve the actual computation of the Green function, which for general \( \varepsilon(\mathbf{r}, \omega) \) is not easy.

Interesting new effects have been predicted for photonic band-gap systems, such as photon-atom bound states and non-exponential spontaneous decay at the edges of the gap [21, 22, 171]. A current debate is whether the Weisskopf-Wigner approximation can be used in the calculation of spontaneous emission near an edge of a photonic band gap. This question seems to depend strongly on the analytic or singular behavior of the density of states at the edges of the gap, which has recently been calculated for face-centered-cubic and diamondlike crystal structures [29]. If near the edge of the band gap a large part of the modes has a cavitylike structure, producing nonzero dwell times near the emitting atom, then an emitted photon has a nonzero probability of being reabsorbed, which would give Rabi-like oscillations of the atomic population that are missed in the Weisskopf-Wigner approximation.

Nonexponential decay can also be caused by the interference of possible decay channels: for short times after the excitation of the atom, a larger frequency interval of the medium states plays a part in the decay process than for later times. Ultimately, only the refractive index at the atomic transition frequency plays a role, all in concordance with the energy-time uncertainty relation. This interference process already happens for spontaneous emission in vacuum. However, when the medium has a strong jump in the density of states around the atomic transition frequency, the interference effect will change substantially.
To separate the latter cause of nonexponential decay from the former, it is interesting to consider the spontaneous emission inside homogeneous lossy dielectrics with strong and narrow material resonances, where the density of states can also change very rapidly. Here real reabsorption processes do not play a role. In this chapter, we use the damped-polariton model formulated by Huttner and Barnett [157, 158] to study the interference effects of spontaneous emission. If absorption is neglected in the damped-polariton model, then we are left with the Hopfield model of a dielectric [152, 172], which has a frequency band gap inside which the refractive index is purely imaginary. The analogy between this polariton band gap system and photonic crystals was drawn in [173].

The organization of the chapter is as follows: in section 6.2 we introduce the theory and solve its equations of motion using Laplace transformations. In section 6.3 we show that the consistency of our solutions depends on the validity of a number of velocity sum rules, which are then proved. In section 6.4, we find that for long times all field operators can be expressed in terms of the initial bath operators, and we give an interpretation of the result. We also show how to relate the result to phenomenological quantization theories. Before we can discuss transient effects of spontaneous emission in section 6.6, we discuss in section 6.5 the Lorentz oscillator model and the point-scattering model. We show how both these models can be found from the damped-polariton theory by choosing a suitable coupling to the bath. The chapter ends with a discussion of the results and with conclusions in section 6.7.

### 6.2 The model and solutions of the equations of motion

The damped-polariton theory describes the interaction of light with an absorbing homogeneous medium. The coupling of the matter to a frequency continuum is the cause of the light absorption. The continuum could be a phonon bath or something else, but for the moment that is not specified: it is a collection of harmonic oscillators with a frequency-dependent coupling to the matter fields. Since the medium is homogeneous, the dynamics can be separated into a transverse and a longitudinal part. In this chapter we concentrate on the transverse excitations as described by the following Hamiltonian [157, 158]:

\[
H = \int d^3k \left( \mathcal{H}_{\text{em}} + \mathcal{H}_{\text{mat}} + \mathcal{H}_{\text{bath}} + \mathcal{H}_{\text{int}} \right),
\]  

with

\[
\begin{align*}
\mathcal{H}_{\text{em}} &= \hbar \kappa \alpha^\dagger(\lambda, \mathbf{k}) \alpha(\lambda, \mathbf{k}), \\
\mathcal{H}_{\text{mat}} &= \hbar \omega_0 b^\dagger(\lambda, \mathbf{k}) b(\lambda, \mathbf{k}), \\
\mathcal{H}_{\text{bath}} &= \int_0^\infty d\omega \; \hbar \omega \; b_\omega^\dagger(\lambda, \mathbf{k}) b_\omega(\lambda, \mathbf{k}), \\
\mathcal{H}_{\text{int}} &= \frac{1}{2} \int_0^\infty d\omega \; \hbar V(\omega) \left[ b(\lambda, \mathbf{k}) + b^\dagger(\lambda, -\mathbf{k}) \right] \left[ b_\omega^\dagger(\lambda, \mathbf{k}) + b_\omega(\lambda, -\mathbf{k}) \right] \\
&\quad + \frac{i}{2} \hbar \omega_r \sqrt{\frac{\omega_0}{\kappa \epsilon}} \left[ a(\lambda, \mathbf{k}) + a^\dagger(\lambda, -\mathbf{k}) \right] \left[ b^\dagger(\lambda, \mathbf{k}) - b(\lambda, -\mathbf{k}) \right].
\end{align*}
\]
We use the same notations as in [158]. In particular, $k c$ stands for $\sqrt{k^2 c^2 + \omega_c^2}$, where the frequency $\omega_c$ equals $\alpha / \sqrt{\rho \varepsilon_0}$, with $\alpha$ the coupling constant between field and matter, and $\rho$ the density. The resonance frequency $\omega_0$ of the polarization field is renormalized to $\tilde{\omega}_0$, which is the positive-frequency solution of

$$\tilde{\omega}_0^2 = \omega_0^2 + \tilde{\omega}_0 \int_0^\infty d\omega V^2(\omega)/\omega. \quad (6.3)$$

The $k$ integrals in the Hamiltonian are understood to also denote a summation over the two transverse polarization directions labeled by $\lambda$. The creation and annihilation operators satisfy standard bosonic commutation relations. The Heisenberg equations of motion for the bath annihilation operators are

$$\dot{b}_\omega(\lambda, k, t) = \frac{i}{2} V(\omega) \left[ b(\lambda, k, t) + b^\dagger(\lambda, -k, t) \right] - i \omega b_\omega(\lambda, k, t). \quad (6.4)$$

and similarly for the creation operators. In the following, we drop the $(\lambda, k)$ labels. We solve implicitly for the bath variables as was done in [174] in a classical treatment of the model.

$$b_\omega(t) = -\frac{i}{2} V(\omega) \int_0^t dt' \left[ b(t') + b^\dagger(t') \right] e^{-i\omega(t-t')} + b_\omega(0) e^{-i\omega t}. \quad (6.5)$$

The annihilation operators are defined in terms of the (transverse) physical fields

$$a(t) = \sqrt{\varepsilon_0 / 2 \hbar c} \left[ k c A(t) - i E(t) \right].$$

$$b(t) = \sqrt{\rho / 2 h \omega_0} \left[ \tilde{\omega}_0 X(t) + \frac{i}{\rho} P(t) \right]. \quad (6.6)$$

and similarly for the creation operators. Here $A$ and $E$ are the vector potential and the electric field, respectively, $X$ the polarization field and $P$ its canonical conjugate. Insertion of the solution (6.5) and its Hermitian conjugate in the equations of motion gives

$$\dot{E}(t) = (kc)^2 A(t) + (\omega_c^2 / \alpha) P(t).$$

$$\dot{A}(t) = -E(t). \quad (6.7)$$

$$\dot{X}(t) = (\omega_c / \alpha)^2 \varepsilon_0 P(t) + (\omega_c^2 / \alpha) \varepsilon_0 A(t).$$

$$\dot{P}(t) = -\alpha^2 \omega_0^2 / (\varepsilon_0 \omega_c^2) X(t) + \alpha^2 \tilde{\omega}_0 / (2 \varepsilon_0 \omega_c^2) \int_0^t dt' F(t-t') X(t') - B(t).$$

In the last equation, the bath operator $B(t)$ is defined as

$$B(t) \equiv \sqrt{\frac{\hbar \tilde{\omega}_0 \rho}{2}} \int_0^\infty d\omega_1 V(\omega_1) \left[ b_{\omega_1}(0) e^{-i\omega_1 t} + b_{\omega_1}^\dagger(0) e^{i\omega_1 t} \right], \quad (6.8)$$

whereas the function $F$ in the convolution in (6.7) is:

$$F(t) \equiv 2 \int_0^\infty d\omega_1 V^2(\omega_1) \sin(\omega_1 t). \quad (6.9)$$
We get a system of algebraic equations by taking the Laplace transform, which we denote by a bar

\[
\begin{pmatrix}
    p & -\kappa^2 c^2 & 0 & -\omega_c^2 / \alpha \\
    1 & p & 0 & 0 \\
    0 & -\varepsilon_0 \omega_c^2 / \alpha & p & -\varepsilon_0 \omega_c^2 / \alpha^2 \\
    0 & 0 & Q(p) & p
\end{pmatrix}
\begin{pmatrix}
    \bar{E}(p) \\
    \bar{A}(p) \\
    \bar{X}(p) \\
    \bar{P}(p)
\end{pmatrix}
= \begin{pmatrix}
    E(0) \\
    A(0) \\
    X(0) \\
    P(0) - \bar{B}(p)
\end{pmatrix}.
\tag{6.10}
\]

Here, \( \bar{Q}(p) \) is an abbreviation for \( \alpha^2 \omega_0^2 / (\varepsilon_0 \omega_c^2) \left[ 1 - \bar{F}(p)/(2\omega_0) \right] \). Through the operator \( \bar{B}(p) \) the bath remains part of the system of equations: this is as far as we can “integrate out” the bath variables.

Now we can determine the dielectric function \( \varepsilon(\omega) \), which is a classical quantity, by putting the determinant of the \((4 \times 4)\) coefficient matrix to zero. The determinant gives the dispersion relation

\[
D(p) \equiv \varepsilon(p)p^2 + k^2 c^2 = 0.
\tag{6.11}
\]

with the “Laplace dielectric function”

\[
\varepsilon(p) = 1 + \frac{\omega_c^2}{p^2 + \omega_0^2 - \frac{1}{2} \omega_0 F(p)}.
\tag{6.12}
\]

The function \( \bar{F}(p) \) is the Laplace transform of \( F(t) \), which was defined in Eq. (6.9). From this we find the dielectric function

\[
\varepsilon(\omega) = \varepsilon(-i\omega + \eta) = 1 - \frac{\omega_c^2}{\omega^2 - \omega_0^2 + \frac{1}{2} \omega_0 F(\omega)}.
\tag{6.13}
\]

with infinitesimal positive \( \eta \) and

\[
F(\omega) \equiv \bar{F}(-i\omega + \eta) = \int_0^\infty d\omega_1 V^2(\omega_1) \left( \frac{1}{\omega_1 - \omega - i\eta} + \frac{1}{\omega_1 + \omega + i\eta} \right).
\tag{6.14}
\]

The difference between \( F(\omega) \) and \( F(t) \) is denoted by their arguments. The dielectric function satisfies the Kramers-Kronig relations and has the property of a response function that \( \varepsilon(-\omega^*) \) equals \( \varepsilon^*(\omega) \). It can be shown that it has no poles in the upper half plane provided that the integral in Eq. (6.3) exists. Previous authors [158, 174–176] assumed that the analytical continuation of \( V^2(\omega) \) to negative frequencies is antisymmetrical in frequency. Then Eq. (6.13) reduces to the dielectric constant in [175] where it was shown to be identical to the more complicated expression in [158].

We combine Eqs. (6.10) and (6.12) and write the Laplace fields in terms of the fields at time \( t = 0 \) with coefficients that are functions of the Laplace dielectric function \( \bar{\varepsilon}(p) \) and susceptibility \( \bar{\chi}(p) = \bar{\varepsilon}(p) - 1 \). For the electric field we find

\[
\bar{E}(p) = \bar{D}^{-1}(p) \left\{ pE(0) + \left[ p^2 \bar{\chi}(p) + k^2 c^2 \right] A(0) + \frac{\alpha}{\varepsilon_0} p \left[ \frac{p^2}{\omega_c^2} \bar{\varepsilon}(p) - 1 \right] X(0) + \frac{1}{\alpha} p^2 \bar{\chi}(p) \left[ P(0) - \bar{B}(p) \right] \right\}.
\tag{6.15}
\]
The other Laplace operators can be found in the same way and are listed in appendix D. The inverse Laplace transform gives the fields at time $t$ in terms of the fields at time $t = 0$,

$$E(t) = M_{EE}(t)E(0) + M_{EA}(t)A(0) + M_{EX}(t)X(0) + M_{EP}(t)P(0) + B_E(t). \quad (6.16)$$

where, for instance,

$$M_{EE}(t) = \frac{1}{2\pi i} \int_{-i\infty}^{i\infty} dp \ e^{pt} \ \tilde{D}^{-1}(p) \ p. \quad (6.17)$$

The operator $B_E(t)$ in Eq. (6.16) is the contribution of the $t = 0$ bath operators to the electric field. This term will be analyzed in more detail in section 6.4.

The equal-time commutation relations of the field operators are

$$[A(\lambda, k, t), -\delta E(\lambda', -k', t)] = [X(\lambda, k, t), P(\lambda', -k', t)] = i\epsilon \delta_{\lambda\lambda'} \delta(k - k'). \quad (6.18)$$

All other inequivalent combinations of operators commute. In particular $A$ and $X$ are independent canonical variables. Hence, we have the property $[A, -D] = [A, -\epsilon_0 E]$ with the displacement field $D$ defined as $\epsilon_0 E - \alpha X$. With the help of Eqs. (6.16) and (6.18), we can also calculate nonequal-time commutators, for example:

$$[E(\lambda, k, t), E(\lambda', -k', 0)] = M_{EA}(t) \ [A(\lambda, k, 0), E(\lambda', -k', 0)]$$

$$= -\frac{i\hbar}{\epsilon_0} M_{EA}(t) \delta_{\lambda\lambda'} \delta(k - k'). \quad (6.19)$$

In principle we have solved the complete time evolution of the field operators. In section 6.3 we analyze in more detail their short-time behavior, whereas in section 6.4 we consider the long-time limit.

### 6.3 Short-time limit: sum rules

For fixed $k$, the zeroes of the dispersion relation (6.11) are the poles of the integrand in Eq. (6.17). We assume that they are simple first-order poles and rewrite the integral (6.17) as an integral over frequencies $\omega = ip$. Then, using contour integration in the lower frequency half plane, we find the coefficients for the electric field in Eq. (6.16) as

$$M_{EE}(t) = \sum_j \text{Re} \left[ \frac{v_{pj} v_{kj}}{c^2} e^{-i\omega_j t} \right].$$

$$M_{EA}(t) = -\frac{k c}{\omega^2} \sum_j \text{Im} \left[ \frac{v_{pj} v_{kj}}{c^3} e^{-i\omega_j t} \right].$$

$$M_{EX}(t) = -\frac{\alpha k^2 c^2}{\epsilon_0^2} \sum_j \text{Re} \left[ \frac{v_{pj} v_{kj}}{c^2} \left( 1 - \frac{v_{pj}^2}{c^2} + \frac{\omega^2}{k^2 c^2} \right) e^{-i\omega_j t} \right].$$

$$M_{EP}(t) = \frac{k c}{\alpha} \sum_j \text{Im} \left[ \frac{v_{pj}}{c} (1 - \frac{v_{pj}^2}{c^2}) e^{-i\omega_j t} \right]. \quad (6.20)$$
6.3 Short-time limit: sum rules

Some details of the calculation and a list of coefficients $M_{mn}(t)$ of other operators can be found in appendix D. In these expressions, the frequencies $\Omega_j = \Omega_j(k)$ are the complex-frequency solutions of the dispersion relation $\omega^2 \varepsilon(\omega) - k^2 c^2 = 0$. All $\Omega_j(k)$ have a negative imaginary part. Since $\varepsilon(-\omega^*) = \varepsilon^*(\omega)$, it follows that $-\Omega_j^*(k)$ is also a solution of the dispersion relation. We can choose $\Omega_j^*(k)$ to be the solution with a positive real part. The summation over $j$ is a summation over all the polariton branches of the medium. For each branch, the complex phase velocity is defined as $v_{p,j}(k) = \Omega_j(k)/k$ and the group velocity as $v_{g,j}(k) = d\Omega_j(k)/dk$. For convenience, we leave out their explicit $k$ dependence in the following.

From equation (6.16) we can see that the "diagonal" coefficient $M_{EE}(t)$ in Eq. (6.20) should have the value 1 at time $t = 0$ and the "off-diagonal" coefficients $M_{EA}(0)$, $M_{EX}(0)$, etc. should have the value 0. The coefficients of the other field operators should also follow this rule. If these constraints are satisfied, the nonequal-time commutators like Eq. (6.19) get the right equal-time limits as well. The coefficients [Eq. (6.20)] can only have the right $t = 0$ limits if certain velocity sum rules are satisfied.

Velocity sum rules can be derived in a systematic way by evaluating the following two types of integrals:

\[ \int_{-\infty}^{\infty} d\omega \frac{(\omega + i\delta)^n}{\varepsilon(\omega)\omega^2 - k^2 c^2}, \quad \text{for } n = -1, 0, 1. \quad (6.21a) \]

\[ \int_{-\infty}^{\infty} d\omega \frac{(\omega + i\delta)^m \chi(\omega)}{\varepsilon(\omega)\omega^2 - k^2 c^2}, \quad \text{for } m = -1, 0, 1, 2, 3. \quad (6.21b) \]

Here $\varepsilon(\omega)$ is an arbitrary dielectric function that satisfies the Kramers-Kronig relations, so it is not necessarily of the specific form (6.13). The integrals can be evaluated using contour integration in the complex-frequency plane. We can close the contours either in the upper or in the lower half plane. Equating the two answers gives a velocity sum rule. In this way one finds for all wavevectors $k$

\[ \sum_j \text{Re}(v_{g,j}v_{p,j}/c^2) = 1. \quad (6.22) \]

\[ \sum_j \text{Re}(v_{g,j}/v_{p,j}) = 1. \quad (6.23) \]

These sum rules can be found from Eq. (6.21a) with $n = 1$ and $n = -1$, respectively. Both relations have been obtained before [152, 158, 177, 178]. The second was coined the Huttner-Barnett sum rule in [177] because of its importance in phenomenological quantum theories of dielectrics. A second group of sum rules has the form

\[ \sum_j \text{Im}(v_{g,j}v_{p,j}^{2q}) = 0. \quad \text{for } q = -1, 0, 1. \quad (6.24) \]

The rules with $q = -1$ and $q = 1$ follow from Eq. (6.21b) with $m = 0$ and $m = 2$, respectively; the case with $q = 0$ follows from Eq. (6.21a) with $n = 0$.

All of these sum rules are independent of any specific form of the dielectric function as long as it satisfies the Kramers-Kronig relations. Other sum rules do depend on the
behavior of $\varepsilon(\omega)$ for high or low frequencies. For example, from Eq. (6.21b) with $m = -1$ we find

$$\sum_j \text{Re}(t_{kj}^2 t_{pj}^3) = \varepsilon(0).$$

(6.25)

This sum rule depends on the static limit of the dielectric function. For conductors the dielectric function is singular at $\omega = 0$ [4], but for dielectric functions that can be found from the damped-polariton model, $\varepsilon(0)$ is finite. Two other sum rules can be derived when for high frequencies $\omega^2 \chi(\omega)$ approaches a constant value that we name $-\omega_{\text{lim}}^2$. From Eq. (6.21b) with $m = 3$, we then find

$$\sum_j \text{Re}(t_{kj}^2 t_{pj}^3) = 1 + \omega_{\text{lim}}^2/(k_0 c)^2.$$  

(6.26)

Moreover, if $\omega^2 \chi(\omega) + \omega_{\text{lim}}^2$ falls off faster than $\omega^{-1}$, then the integral

$$\int_{-\infty}^{\infty} d\omega \frac{\omega^2 \chi(\omega) + \omega_{\text{lim}}^2}{\varepsilon(\omega)\omega^2 - k^2 c^2}$$

(6.27)

produces the sum rule (6.24) with $q = 2$.

Returning now to the time-dependent coefficients (6.20) (and the other ones in appendix D), one finds, by inspection, that one needs all the above sum rules except Eq. (6.25) to prove that the coefficients have the right limits for $t = 0$. In particular, from Eq. (6.13) it follows that the frequency $\omega_{\text{lim}}$ as defined above exists in the damped-polariton model and equals $\omega_r$. Then with Eqs. (6.23) and (6.26) we see that indeed one has $M_{EX}(0) = 0$ in Eq. (6.20).

It is easy to prove the above sum rules in the following one-resonance model:

$$\varepsilon(\omega) = 1 - \frac{\omega_r^2}{\omega^2 - \omega_0^2}.$$  

(6.28)

This $\varepsilon(\omega)$ is real and violates the Kramers-Kronig relations, but it can be considered as a limiting case of an acceptable dielectric function. The high-frequency limit of $\omega^2 \chi(\omega)$ indeed equals $-\omega_r^2$. The two sum rules (6.22), (6.23) were shown to be valid for this model [158] and we want to check Eq. (6.26) as well. The dispersion relation is

$$\omega^4 - (\omega_0^2 + \omega_r^2 + k^2 c^2)\omega^2 + k^2 c^2 \omega_0^2 = 0.$$  

(6.29)

which has two (real) solutions $\Omega_+^2$ and $\Omega_-^2$ with sum $(\omega_0^2 + \omega_r^2 + k^2 c^2)$ and product $k^2 c^2 \omega_0^2$. It follows that for all $k$

$$v_{\text{p}+}^3 v_{\text{g}+} + v_{\text{p}+}^3 v_{\text{g}--} = \frac{1}{4k^2} \frac{d}{dk} (\Omega_+^4 + \Omega_-^4)$$

$$= \frac{1}{4k^3} \frac{d}{dk} \left[ (\Omega_+^2 + \Omega_-^2)^2 - 2\Omega_+^2 \Omega_-^2 \right] = \left( 1 + \frac{\omega_r^2}{k^2 c^2} \right)c^4,$$

(6.30)

in agreement with Eq. (6.26). The other sum rules can also be checked for this simple model. The sum rules [Eq. (6.24)] obviously hold, because all group and phase velocities are real in this model. In models that respect the Kramers-Kronig relations, these sum rules are nontrivial.
6.4 Long-time limit

6.4.1 Field and medium operators

The coefficients $M_{EE}(t)$, etc., in Eq. (6.20) damp out exponentially in time. Every polariton branch has its own characteristic damping time $\tau_j(k) = 1/|\text{Im} \Omega_j(k)|$. After a few times the maximum characteristic damping period with the maximum taken over all branches, the exponentially damped coefficients can be neglected. We call this the long-time limit. The speed at which it is attained depends on $\varepsilon(\omega)$ and on $k$. For long times, only the bath operator $B_E(t)$ in Eq. (6.16) survives, because it has poles on the imaginary axis in the complex $p$ plane:

$$B_E(t) = -\frac{1}{2\pi i \omega_c} \sqrt{\frac{\hbar \omega_0}{2\varepsilon_0}} \int_0^\infty d\omega_1 \, V(\omega_1) \times$$

$$\times \int_{-i\infty}^{i\infty} dp \, e^{\mu t} \frac{p^2 \tilde{\chi}(p)}{\varepsilon(p)p^2 + k^2 c^2} \left[ \frac{b_{\omega_1}(0)}{p + i\omega_1} + \frac{b^\dagger_{\omega_1}(0)}{p - i\omega_1} \right]. \quad (6.31)$$

Hence, in the long-time limit, all field operators are functions of the initial bath operators alone. For the electric field we find that $E(t)$ tends to $E_l(t)$, with

$$E_l(t) = \int_0^\infty d\omega_1 \left[ \frac{E^+_l(\omega_1)}{\omega_1} e^{-i\omega_1 t} + \text{H.c.} \right] \quad (6.32)$$

where the subscript $l$ denotes the long-time limit; “H.c.” means Hermitian conjugate. The temporal (and spatial) Fourier components of the long-time solutions of the electric and other fields are

$$E^+_l(\omega) = -\frac{1}{\omega_c} \frac{\sqrt{\hbar \omega_0 V(\omega)\omega^2 \chi(\omega)b_{\omega}(0)}}{2\varepsilon_0} \frac{\varepsilon(\omega)\omega^2 - k^2 c^2}{\varepsilon(\omega)\omega^2 - k^2 c^2}.$$

$$A^+_l(\omega) = i \frac{\sqrt{\hbar \omega_0 V(\omega)\omega \chi(\omega)b_{\omega}(0)}}{\omega_c} \frac{\varepsilon(\omega)\omega - k^2 c^2}{\varepsilon(\omega)\omega^2 - k^2 c^2}.$$

$$X^+_l(\omega) = -\frac{1}{\alpha \omega_c} \frac{\sqrt{\hbar \omega_0 V(\omega)(\omega^2 - k^2 c^2)\chi(\omega)b_{\omega}(0)}}{2\varepsilon_0} \frac{\varepsilon(\omega)\omega^2 - k^2 c^2}{\varepsilon(\omega)\omega^2 - k^2 c^2}.$$

$$P^+_l(\omega) = i \frac{\alpha}{\omega_c^3} \frac{\sqrt{\hbar \omega_0 V(\omega)(\omega^2 - k^2 c^2)\chi(\omega)b_{\omega}(0)}}{2\varepsilon_0} \frac{\varepsilon(\omega)\omega^2 - k^2 c^2}{\varepsilon(\omega)\omega^2 - k^2 c^2}.$$ 

(6.33)

where the superscript $+$ denotes the positive-frequency component of the operator. For future reference we also give the long-time limit of the electric field operator as a function of position and time

$$E_l(r, t) = -\sqrt{\frac{\hbar \omega_0}{2(2\pi)^3 \varepsilon_0 \omega_c^2}} \int dk \sum_{\lambda=1,2} e_\lambda(k) \times$$

$$\times \int_0^\infty d\omega_1 \left[ \frac{V(\omega_1)\omega^2 \chi(\omega_1)b_{\omega_1}(\lambda, k, 0)}{\varepsilon(\omega_1)\omega_1^2 - k^2 c^2} e^{i(k \cdot r - \omega_1 t)} + \text{H.c.} \right]. \quad (6.34)$$
Similar expressions can be given for the other operators. Notice that these long-time solutions indeed are solutions of the equations of motion (6.7) and of the Maxwell equations. The canonical commutation relations (6.18) should be preserved in this long-time limit. Also, the nonequal-time commutation relations like in eq (6.19) should be time-translation invariant. The commutation relations can be verified with the equality

\[
\frac{\pi \omega_0}{2 \omega_c^2} V^2(\omega) |\chi(\omega)|^2 = \text{Im} \chi(\omega) = \text{Im} \varepsilon(\omega) \equiv \varepsilon_i(\omega).
\]  

(6.35)

which follows from Eqs. (6.13) and (6.14). Since \( \varepsilon_i(\omega) \) is antisymmetric in \( \omega \), all commutators can be shown to be proportional to integrals over the whole real-frequency axis. Contour integration then leads to the required results.

The solutions found above can be related to those obtained by explicit diagonalization of the full Hamiltonian of the model. In [158], this diagonalization was carried out by using Fano’s technique. In that way the field and medium operators were written in terms of the diagonalizing annihilation operators [called \( C(k, \omega) \) in [158]] and the corresponding creation operators. If one replaces the bath annihilation operators \( b_{\omega}(k, 0) \) in the long-time solutions (6.33) by the diagonalizing annihilation operators \( C(k, \omega) \) and if one makes similar replacements for the creation operators, the expressions for the field and medium operators in [158] are recovered.

The long-time solutions can be interpreted as follows: when the dielectric medium is prepared in a state that is not an eigenstate of the Hamiltonian and if the coupling \( V(\omega) \) is nonzero for all the frequencies, then the medium tends to an equilibrium that is determined by the state of the bath. The time taken for this equilibrium to settle down is the time after which the long-time solutions can be used for the field operators. So, one can always use the long-time solutions in the calculations unless the medium has been specially prepared in a nonequilibrium state a short time before one does the experiment. The interpretation of the long-time solution will become clearer in section 6.6 where we calculate spontaneous-emission rates.

In summary, for times long after \( t = 0 \), all field operators can be expressed solely in terms of the bath operators at time \( t = 0 \). The time evolution is governed by the bath Hamiltonian alone. The field operators still satisfy Maxwell’s equations and the canonical commutation relations. Classical expressions for the Maxwell fields would have died exponentially to zero in this long-time limit.

### 6.4.2 Relation with phenomenological theories

The long-time solutions of the field operators can be related to expressions in phenomenological theories, as we will show presently. In phenomenological quantum mechanical theories of homogeneous absorbing dielectrics [162–165], a noise current density operator \( J \) is added to the Maxwell equations in order to preserve the field commutation relations

\[
\nabla \times \mathbf{E}^+(\mathbf{r}, \omega) = i \omega \mathbf{B}^+(\mathbf{r}, \omega),
\]

(6.36a)

\[
\nabla \times \mathbf{B}^+(\mathbf{r}, \omega) = -i \omega \mu_0 \mathbf{D}^+(\mathbf{r}, \omega) + \mu_0 \mathbf{J}^+(\mathbf{r}, \omega).
\]

(6.36b)
The displacement field $\vec{D}^+$ in the last equation is defined in terms of the electric field and the dielectric function as

$$\vec{D}^+(r, \omega) = \varepsilon_0 \varepsilon(\omega) \vec{E}^+(r, \omega).$$  \hspace{1cm} (6.37)

We write $\vec{D}$ to stress the difference with the microscopic displacement field $\vec{D}$ in section 6.2. After taking the spatial Fourier transform and using $\vec{E}^+ = \nabla \times \vec{A}^+$ and $\vec{E}^+ = i\omega \vec{A}^+$, so that the first of the Maxwell equations is satisfied, one finds from the second equation

$$\left[ \omega^2 \varepsilon(\omega) - k^2 c^2 \right] A^+(\lambda, \vec{k}, \omega) = -\frac{1}{\varepsilon_0} J^+(\lambda, \vec{k}, \omega).$$  \hspace{1cm} (6.38)

The vector potential and all Maxwell fields can be calculated in terms of the noise current density $J$. The canonical commutation relations are preserved if for the noise current density one chooses [162, 163]

$$\left[ J^+(\lambda, \vec{k}, \omega), [J^+(\lambda', \vec{k}', \omega')] \right] = \frac{\hbar \omega^2 \varepsilon_0 \varepsilon_i(\omega)}{\pi} \delta_{\lambda \lambda'} \delta(\vec{k} - \vec{k}') \delta(\omega - \omega').$$  \hspace{1cm} (6.39)

Instead of using the noise current density, one defines basic bosonic operators

$$f(\lambda, \vec{k}, \omega) = \sqrt{\frac{\pi \hbar \omega^2 \varepsilon_0 \varepsilon_i(\omega)}{\varepsilon_0}} J^+(\lambda, \vec{k}, \omega).$$  \hspace{1cm} (6.40)

so that these operators satisfy simple commutation relations

$$\left[ f(\lambda, \vec{k}, \omega), f^*(\lambda', \vec{k}', \omega') \right] = \delta_{\lambda \lambda'} \delta(\vec{k} - \vec{k}') \delta(\omega - \omega').$$  \hspace{1cm} (6.41)

Now we turn to the long-time solutions of the field operators that we determined in section 6.4.1. The long-time solution of the vector potential in Eq. (6.33) obviously is a solution of the following inhomogeneous wave equation:

$$\left[ \varepsilon(\omega) \omega^2 - k^2 c^2 \right] A^+_t(\lambda, \vec{k}, \omega) = \frac{i}{\omega_c} \left( \frac{\hbar \omega_0}{2\varepsilon_0} V(\omega) \omega \chi(\omega) b_\omega(\lambda, \vec{k}, 0).$$  \hspace{1cm} (6.42)

This kind of equation is well known in Langevin theories [45, 161]: the coupling to a bath gives a damping term (here: a complex dielectric constant) in the equations of motion of the system. Besides damping, there is an extra term that is neglected classically. This term is the quantum noise operator, which features the bath operators at time $t = 0$.

The long-time solution (6.42) can justify the phenomenological equation (6.38) if we identify

$$f_l(\lambda, \vec{k}, \omega) = -i \frac{V(\omega) \chi(\omega)}{[V(\omega) \chi(\omega)]} b_\omega(\lambda, \vec{k}, 0),$$  \hspace{1cm} (6.43)

where we used Eq. (6.35). We see that up to a phase factor, the bath operators $b_\omega(\lambda, \vec{k}, 0)$ from the microscopic theory serve as basic bosonic operators $f(\lambda, \vec{k}, \omega)$ in the phenomenological theories. We want to stress that the identification (6.43) is only valid in the long-time limit when the medium is in equilibrium with the bath.
In section 6.2 we saw that \(-\varepsilon_0 E\) is the canonical conjugate field of \(A\) and that \([A, -D]\) gives the canonical result as well. Since we can make the identification (6.43), the same relations hold in the phenomenological theory that was described in this section. But now let us calculate the commutator \([A, -\hat{D}]\) with \(\hat{D}^+\) defined as in Eq. (6.37) and \(\hat{D}^-\) as its Hermitian conjugate. We can use the long-time solutions because the commutation relations are preserved

\[
[A(\lambda, \mathbf{k}, t), -\hat{D}(\lambda', -\mathbf{k}', t)] = \frac{2i\hbar}{\pi} \delta_{\lambda\lambda'} \delta(\mathbf{k} - \mathbf{k}') \int_0^\infty \frac{\varepsilon_r(\omega) \varepsilon_i(\omega) \omega^3}{\varepsilon(\omega) \omega^2 - k^2 c^2} \, d\omega.
\]  

(6.44)

with \(\varepsilon_r(\omega)\) the real part of the dielectric constant. The symmetry of the integrand enables us to rewrite the right-hand side as an integral over all real frequencies. When using contour integration, one cannot replace \(\varepsilon^*(\omega)\) by \(\varepsilon(-\omega^*)\), but the analytical continuation to complex frequencies of \(\varepsilon^*(\omega) = \varepsilon(-\omega)\) must be used instead

\[
[A(\lambda, \mathbf{k}, t), -\hat{D}(\lambda', -\mathbf{k}', t)] = i\hbar \delta_{\lambda\lambda'} \delta(\mathbf{k} - \mathbf{k}') \sum_j \text{Re} \left[ \varepsilon(-\Omega_j) v_{pj} v_{kj} / c^2 \right],
\]  

(6.45)

where we assumed, as before, that all poles of the dispersion relation are first-order poles. Note that \(\varepsilon(-\Omega_j)\) depends on the behavior of the dielectric function in the upper half plane. Contrary to a statement in [163], the commutator does not give the canonical result because in general there is no sum rule for the right-hand side of the equation. In other words, \((D - \varepsilon_0 E)\) is canonically independent of \(E\), but \((\hat{D} - \varepsilon_0 E)\) is not. The operator \((D - \hat{D})\) is proportional to the canonical pair. It was concluded that it is misleading that also \([A, -\varepsilon_0 E]\) has the canonical form. Here we have learnt that this misleading result is not surprising: in the limit of real dielectric constants and only then, both \([A, -\varepsilon_0 E]\) and \([A, -\hat{D}]\) can have the canonical form in the same gauge, the reason being that \(\hat{D}\) approaches \(D\) in that limit.

### 6.5 Model dielectric functions

Phenomenological theories as discussed in section 6.4.2 have expressions for \(\varepsilon(\omega)\) as input. In practice, this input will be the outcome of measurements of the dielectric function. By choosing the appropriate microscopic coupling constants and resonance frequencies in
the damped-polariton model, one can hope to find a given dielectric function, thus providing a connection with phenomenological theories. It was argued in [174, 175] that the well-known Lorentz oscillator form of the dielectric function could not be found from the damped-polariton theory in this way. We shall reconsider this issue below.

A dielectric function that follows from the damped-polariton Hamiltonian (6.2a) will have a single resonance because there is only one resonance frequency \( \omega_0 \) in the matter fields. Experimentally, one may find more resonances in \( \varepsilon(\omega) \). This should not be used as an objection to the damped-polariton model because, in principle, one could easily extend the theory with more material resonances. In this section, we consider two of these one-resonance models.

### 6.5.1 The Lorentz oscillator model

We want to find microscopic coupling constants in the damped-polariton theory so that the resulting \( \varepsilon(\omega) \) has the following Lorentz oscillator form

\[
\varepsilon_{\text{Lor}}(\omega) = 1 - \frac{\omega_c^2}{\omega^2 - \omega_{\text{res}}^2 + 2i\omega \kappa_0}. \tag{6.46}
\]

Here \( \omega_{\text{res}} \) is the resonance frequency of the medium and \( \omega_c, \text{Lor} \) is a frequency that is related to the coupling strength between the electromagnetic and the matter field. Identifying \( \varepsilon(\omega) \) from Eq. (6.13) with \( \varepsilon_{\text{Lor}}(\omega) \), we find, apart from the trivial identification \( \omega_c = \omega_c, \text{Lor} \),

\[
\int_0^\infty d\omega_1 V^2(\omega_1) \left[ \frac{1}{\omega_1 - \omega - i\eta} + \frac{1}{\omega_1 + \omega + i\eta} \right] = 4 \left( \frac{\omega}{\omega_0} \right) i\kappa_0 + \Delta. \tag{6.47}
\]

where the frequency shift \( \Delta \) is defined such that \( \omega_{\text{res}}^2 = \omega_0^2 - \omega_0 \Delta/2 \). The coupling \( V^2(\omega_1) \) is fixed by the identification of the imaginary parts and for all frequencies it equals \( V^2(\omega_1) = 4\kappa_0 \omega_1/(\pi \omega_0) \). However, if we insert this coupling in the equation for the real parts, we find that the frequency shift \( \Delta \) is infinitely large. Also, the renormalized frequency \( \tilde{\omega}_0 \) in Eq. (6.3) blows up. We can solve this problem by introducing a frequency cutoff in the coupling, namely, \( V^2(\omega_1) = 4\kappa(\omega_1)\omega_1/(\pi \omega_0) \) with

\[
\kappa(\omega_1) = \frac{\kappa_0 \Omega^2}{\Omega^2 + \omega_1^2}. \tag{6.48}
\]

With this choice one finds \( \tilde{\omega}_0 = \sqrt{\omega_0^2 + 2\kappa_0 \Omega} \), which clearly has a strong dependence on the cutoff frequency. The shift \( \Delta \) becomes both finite and frequency-dependent

\[
\Delta(\omega) = \frac{4}{\pi \omega_0} \mathcal{P} \int_0^\infty d\omega_1 \omega_1 \kappa(\omega_1) \left( \frac{1}{\omega_1 - \omega} + \frac{1}{\omega_1 + \omega} \right) = 4\kappa(\omega) \frac{\Omega}{\omega_0}. \tag{6.49}
\]

The principal-value integral can be evaluated by means of contour integration in the complex-frequency plane. In this way we arrive at the following expression for the dielectric function

\[
\varepsilon(\omega) = 1 - \frac{\omega_c^2}{\omega^2 - \omega_0^2 - 2\Omega [\kappa_0 - \kappa(\omega)] + 2i\omega \kappa(\omega)}. \tag{6.50}
\]
We can choose $\Omega$ arbitrarily high (but finite). Quite unlike $\omega_0$, the optical resonance frequency $\omega_{\text{res}}$ approaches $\omega_0$ from above, the higher we choose the cutoff, since one has $\omega_{\text{res}}^2 \approx \omega_0^2 + 2\kappa_0\omega_0^2/\Omega$. Note that the dielectric function (6.50) has the right high-frequency limit $\omega^2 \chi(\omega) \to -\omega_c^2$ as required in section 6.3.

It is well known that there are two branches of solutions of the dispersion relation when the dielectric function is of the form (6.46): an upper and a lower polariton branch. The dielectric function (6.50) gives rise to another branch: it has a purely imaginary frequency with magnitude of the order of the cutoff frequency. This “cutoff branch” has negligible $k$ dependence. In fact, the leading $k$-dependent term for large $\Omega$ is $2i\omega_e^2\kappa_0k^2c^2/\Omega^2$. Clearly, the group velocity on this branch is practically zero so that the contribution of the cutoff branch to the velocity sum rules of section 6.3 can be neglected.

We conclude that high cutoff frequencies can be chosen such that in the optical frequency regime the dielectric function cannot be discerned from a Lorentz dielectric function with resonance frequency $\omega_{\text{res}} = \omega_0$ and damping constant $\kappa_0$. The solutions of the dispersion relation of the upper and the lower polariton branch together satisfy the sum rules of section 6.3.

### 6.5.2 The point-scattering model

In general the dielectric function $\varepsilon(\omega)$ describes the propagation of a coherent light beam in a fixed direction in an isotropic medium. A complex $\varepsilon(\omega)$ means that there is extinction, which can be caused either by scattering or absorption or both. The dielectric function does not contain information about the extinction mechanism. A well-known dielectric medium showing polariton behavior is the dilute gas, which can be described as a collection of point dipoles that scatter light independently. If only one type of elastic scatterers is present, each having only one resonance, then the dielectric function is given by [68]

$$
\varepsilon_{\text{sc}}(\omega) = 1 - \frac{4\pi\varepsilon_0^2\Gamma_e n}{\omega^2 - \omega_{\text{res}}^2 + \frac{2}{3}i\varepsilon_0\omega^3/c},
$$

(6.51)

where $n = N/V$ is the density of the scatterers [not to be confused with the refractive index $n(\omega)$] and $\Gamma_e = e^2/(4\pi\varepsilon_0m_e^2c^2)$ is the classical electron radius. This dielectric function can also be found if one supposes that the medium consists of classical harmonically bound point charges whose motion is described by the Abraham-Lorentz equation. The dielectric function (6.51) has the property that the corresponding T-matrix $t(\omega)$ satisfies the optical theorem with $t(\omega)$ defined as $\varepsilon(\omega) = 1 - nt(\omega)(c/\omega)^2$. However, Eq.(6.51) is not a proper response function, since it has a pole near the very large positive imaginary frequency $3ic/(2\Gamma_e)$. This can be related to the need for the a-causal phenomenon called preacceleration to avoid so-called runaway solutions of the Abraham-Lorentz equation [179].

Although we know that in the damped-polariton theory only proper response functions can be found, we proceed like in the previous subsection and try to find coupling constants that in the optical regime give rise to the dielectric function (6.51). Equating
with Eq. (6.13) we get \( \omega_c^2 = 4\pi c^2 \Gamma_e n \) and \( V^2(\omega_1) = 4\Gamma(\omega_1)\omega_1^3/(3\pi \tilde{\omega}_0c) \) with

\[
\Gamma(\omega_1) = \frac{\Gamma_e \Omega^4}{\Omega^4 + \omega_1^4}.
\]  

(6.52)

Here we have inserted a convenient frequency cutoff from the start in order to keep finite the frequency \( \tilde{\omega}_0 \) and the shift \( \Delta \). Contour integration gives \( \tilde{\omega}_0^2 = \omega_0^2 + \sqrt{2}\Gamma_e \Omega^3/(3c) \) and

\[
\Delta(\omega) = \frac{2\sqrt{2}}{3\tilde{\omega}_0 c} \Gamma(\omega)\Omega(\Omega^2 + \omega^2).
\]

(6.53)

The dielectric function has the form

\[
\varepsilon(\omega) = 1 - \frac{4\pi c^2 \Gamma_e n}{\omega^2 - \omega_0^2 + \left[\sqrt{2}\Omega^3(\Omega^2 - \omega^2)/(3\omega^2 c)\right] [\Gamma_e - \Gamma(\omega)] + \frac{2}{3} i\Gamma(\omega)\omega^3/c}.
\]

(6.54)

In this case, the resonance frequency shifts to frequencies lower than \( \omega_0 \) and the shift is larger for larger cutoff frequencies. However, since the classical electron radius is so much smaller than an optical wavelength, it is very well possible to choose a cutoff frequency such that \( \omega_0 \ll \Omega \ll c/\Gamma_e \). Then for optical frequencies, the dielectric function (6.54) is of the form (6.51). Note that for high frequencies \( \omega^2\chi(\omega) \rightarrow -\omega_c^2 \) for the dielectric function (6.54), but not for Eq. (6.51).

Again, the frequency cutoff introduces a cutoff branch. In Fig. 6.1 we plot the real parts of the three solutions \( \Omega_j(k) \) of the dispersion relation. As a measure of the damping, we introduce \( \kappa \), which is given by \( \Gamma_e \omega_0^2/(3c) \). For the purpose of presentation, the numerical values of both \( \omega_c \) and \( \kappa \) were chosen artificially large for a dilute gas. The frequencies on the cutoff branch are of the same magnitude as the cutoff frequency \( \Omega \), much higher than the optical regime. The imaginary parts of the upper and lower polariton branches are plotted in Fig. 6.2. The imaginary part of the cutoff branch is large, negative, and practically constant for parameters as given in Fig. 6.1. Again, since the group velocity on the cutoff branch is practically zero, the upper and lower polariton branches together satisfy the sum rules of section 6.3. In particular, Fig. 6.2 illustrates that the upper and lower polariton group velocities \( v_{g,u} \) and \( v_{g,l} \) satisfy the sum rule \( \text{Im} (v_{g,u} + v_{g,l}) = 0 \).

The cutoff, which was necessary to produce the dielectric function in the damped-polariton theory, neatly removes the preacceleration behavior associated with a pole in the upper half plane and leads to a good response function. The form of the coupling \( V(\omega) \) given above Eq. (6.52) has the following physical interpretation. By equating the damped-polariton dielectric function with Eq. (6.51), we assumed that the dilute gas can be described as a homogeneous dielectric. The light scattering by the gas molecules can be accounted for by an absorbptive coupling to the free electromagnetic field as long as only single scattering of light is relevant. Then scattered light is lost for propagation in the original direction. If the matter-bath coupling is dipole coupling, then for optical frequencies the product \( V^2(\omega_1)/\omega_1 \) should be proportional to the density of states of the electromagnetic field, which goes quadratically in frequency. This is indeed the case.
6.6 Spontaneous emission

The spontaneous-emission rate, in principle, is a time-dependent quantity. In this section we investigate the transient dynamics of the spontaneous-emission rate of a guest atom in an absorbing medium when the transition frequency of the guest atom is close to a material resonance of the medium. We show how our results relate to previous treatments of spontaneous emission in absorbing dielectrics, where Fermi's golden rule was used to show that the time-independent (equilibrium) value for the spontaneous-emission rate equals \( \Gamma_0 \text{Re}[n(\omega_A)] \) [155, 160]. Recently, local-field effects have been included in quantum electrodynamical formulations of the problem [148, 150, 153, 155, 180], but we shall not focus on them here.

We model the guest atom as a two-level atom with ground state \( |g\rangle \) and excited state \( |e\rangle \) and Hamiltonian \( H_A = \hbar \omega_A |e\rangle \langle e| \). The medium (with fields and bath included) is described by the damped-polariton model with Hamiltonian \( H_M \) given by Eq. (6.1). The total Hamiltonian is \( H = H_0 + V \) with \( H_0 = H_M + H_A \) and \( V = -\mu_A \cdot E(r_A) \). Here \( V \) is the dipole interaction between the atom and the medium, \( \mu_A \) is the atomic dipole moment operator, and \( E(r_A) \) is the electric field operator at the position \( r_A \) of the atom.

Suppose that the damped-polariton system is prepared at time 0 in a state described
by a density matrix $\rho_M(0)$. We do not assume that $\rho_M(0)$ commutes with $H_M$ nor that it factorizes into a product of a density operator for the bath and a density operator for the undamped-polariton system (as is often assumed for convenience [181]). At time $t_0 > 0$ we bring the guest atom in its excited state and couple it to the damped-polariton system. Using perturbation theory, one can calculate [45] the time-dependent probability that the guest atom has emitted a photon at time $t > t_0$. We define the derivative of this quantity as the instantaneous spontaneous-emission rate $\Gamma(t)$. It is given as

$$\Gamma(t) = \frac{2}{\hbar^2} \text{Re} \int_{t_0}^{t} dt' e^{i\omega_A(t-t')} \text{Tr}[\rho_M(0)\mu \cdot \mathbf{E}(r_A, t)\mu \cdot \mathbf{E}(r_A, t')] .$$

(6.55)

where $\mu$ is now the dipole transition matrix element of the guest atom.

If the guest atom is excited a long time after the initial preparation of the medium, all transient effects in the electric field have damped out. Hence, the field may be replaced by its long-time limit $E(t)(r_A, t)$, which is given in Eq. (6.34). Since $E(t)$ depends only on the bath operators at $t = 0$, we may write Eq. (6.55) in the form

$$\Gamma(t) = \frac{2}{\hbar^2} \text{Re} \int_{t_0}^{t} dt' e^{i\omega_A(t-t')} \text{Tr}_{\text{bath}}[\rho_{\text{red}}(0)\mu \cdot \mathbf{E}(r_A, t)\mu \cdot \mathbf{E}(r_A, t')] .$$

(6.56)

Here $\rho_{\text{red}}$ is the reduced density matrix obtained by tracing out the electromagnetic and material degrees of freedom: $\rho_{\text{red}}(0) = \text{Tr}_{\text{em,mat}}\rho_M(0)$. For the special case that the initial density matrix $\rho_M(0)$ factorizes, the reduced density matrix is the bath density $\rho_{\text{bath}}(0)$ at $t = 0$. In general, the initial state of the electromagnetic and material degrees of freedom at $t = 0$ does not play a role in the emission rate.

Spontaneous emission in its pure form arises if the reduced density matrix describes the ground state of the bath. Let us assume this is indeed the case. Upon inserting Eq. (6.34) in Eq. (6.56) we can perform the $t'$ integral, the integrals over the wavevector, and the summations over the polarization directions. This leads to

$$\Gamma(t) = \frac{\mu^2}{3\pi^2\hbar c^3} \text{Re} \int_{0}^{\infty} d\omega \omega^3 n(\omega) \frac{\sin[(\omega - \omega_A)(t - t_0)]}{\omega - \omega_A} .$$

(6.57)

with $n(\omega) = \sqrt{\varepsilon(\omega)}$ the complex refractive index.

For times $(t-t_0)$ that are large enough, one may replace $\sin[(\omega - \omega_A)(t - t_0)]/(\omega - \omega_A)$ by $\pi\delta(\omega - \omega_A)$. However, the time scale at which this replacement is valid depends on the resonance structure of the refractive index $n(\omega)$. Since we want to study just this time scale, we will not make the replacement. To evaluate the integral, we multiply the integrand by a convergence factor $\Omega^4/(\Omega^4 + \omega^4)$ with $\Omega \gg \omega_A$. The specific choice of the cutoff frequency $\Omega$ will only affect $\Gamma(t)$ at time differences $t - t_0$ much smaller than a single optical cycle. We need to use a high-frequency cutoff at this point because the dipole approximation is incorrect for high frequencies.

For the dielectric function, we take the Lorentz oscillator form (6.50) and we choose the cutoff frequency in that model to be identical to the one inserted in Eq. (6.57). In Fig. 6.3, we give the real part of the refractive index that clearly changes rapidly near $\omega = \omega_0$. It is a familiar figure and it shows that the refractive index does not change much
while increasing the cutoff frequency $\Omega$ from $10\omega_0$ to infinity. The density of radiative modes around the material resonance is proportional to $\omega^2 \text{Re} [n(\omega)]$.

With this model for the dielectric function and the parameters as in Fig. 6.3, we calculated $\Gamma(t)$ in the case that the transition frequency $\omega_A$ exactly equals $\omega_0$. Since the integrand in Eq. (6.57) is rapidly fluctuating, it is expedient to use complex contour deformation to evaluate the integral. We add an infinitesimal positive imaginary part to the denominator and split the sine into two complex exponentials. The contour of the integral with $\exp [i(\omega - \omega_A)(t - t_0)]$ in the integrand is deformed towards the positive imaginary axis. The contribution from the pole arising from the convergence factor can be neglected at time scales $t - t_0 \gg \omega_A^{-1}$. Likewise, the integration contour of the integral with $\exp [-i(\omega - \omega_A)(t - t_0)]$ is deformed towards the negative imaginary axis. Again, the pole contribution from the convergence factor is negligible. Further contributions, which cannot be neglected, arise from the branch cuts of $n(\omega) = \sqrt{\varepsilon(\omega)}$ and from the pole at $\omega_A$. The latter contribution yields the equilibrium value $\Gamma(\infty) = \Gamma_0 \text{Re} n(\omega_A)$. In contrast, the branch cuts yield time-dependent contributions to $\Gamma(t)$. For large $\Omega$ they are situated at $\omega_1 = -i\kappa_0 + \sqrt{\omega_0^2 - \kappa_0^2}$ and $\omega_2 = -i\kappa_0 + \sqrt{\omega_0^2 + \omega_c^2 - \kappa_0^2}$. Around $\omega_1$ and $\omega_2$, we can approximate the dielectric function by

$$
\varepsilon(\omega_1 - i\delta e^{i\varphi}) \approx -i\omega_c^2 e^{-i\varphi}/\left(2\delta\sqrt{\omega_0^2 - \kappa_0^2}\right),
$$

$$
\varepsilon(\omega_2 - i\delta e^{i\varphi}) \approx -2i\delta e^{i\varphi}\sqrt{\omega_0^2 + \omega_c^2 - \kappa_0^2}/\omega_c^2.
$$

The branch cut at $\omega_1$ gives the following contribution to the spontaneous-emission rate:

$$
-\frac{\Gamma_0}{\pi\omega_A^3} \text{Re} \left[ \exp \{-i\pi/4 - \kappa_0(t - t_0) - i(\sqrt{\omega_0^2 - \kappa_0^2} - \omega_A)(t - t_0)\} J(t) \right],
$$
Figure 6.4:
Normalized spontaneous-emission rate \( \Gamma(t) / [\Gamma_0 \text{ Re } n(\omega_A)] \) in an absorbing dielectric as a function of time. Choice of parameters in the Lorentz oscillator model: \( \kappa_0 = 0.01\omega_0, \omega_c = 0.5\omega_0, \Omega = \infty \). Results are presented for three transition frequencies \( \omega_A \): for \( \omega_A/\omega_0 = 1.0 \), the solid line represents the exact numerical result for \( q(6.57) \) and the dashed line is the approximate analytical expression \( q(6.61) \). For \( \omega_A/\omega_0 = 0.95 \), the dotted line is exact and the dash-dot-dotted line is the approximation. The exact result for \( \omega_A/\omega_0 = 1.05 \) is the short-dashed line and the short-dotted line is its approximation.

where \( J(t) \) is defined as:

\[
J(t) = \int_0^\infty d\lambda \frac{e^{-\lambda(t-t_0)} \left[ \sqrt{\omega_0^2 - \kappa_0^2 - i(\lambda + \kappa_0)} \right]^3}{\sqrt{\lambda} \left[ \sqrt{\omega_0^2 - \kappa_0^2 - \omega_A - i(\lambda + \kappa_0)} \right]} \times \left[ \frac{2\omega_c^2 \sqrt{\omega_0^2 - \kappa_0^2} + i\lambda(\lambda^2 + 4\omega_0^2 + \omega_c^2 - 4\kappa_0^2)}{4(\omega_0^2 - \kappa_0^2) + \lambda^2} \right]^{1/2}.
\]

The branch cut around \( \omega_2 \) gives a similar contribution.

The integrals arising from the branch cuts and from the imaginary axis can be evaluated numerically since their integrands are no longer rapidly fluctuating. The on-resonance result \( \omega_A = \omega_0 \) is the solid line in Fig. 6.4. We see that the spontaneous-emission rate builds up until it finally reaches the time-independent equilibrium value \( \Gamma_0 \text{ Re } n(\omega_A) \).

The dashed line in Fig. 6.4 is an analytical approximation for \( \Gamma(t) \), which captures the main features of the on-resonance time dependence at least qualitatively. It is derived by retaining only the contribution \( (6.59) \) in the time-dependent part of \( \Gamma(t) \) as this is dominant for large \( t \). Moreover, we approximate \( J(t) \) by the first term in its asymptotic expansion for large \( t - t_0 \). In this way we arrive at the following approximate expression for \( \Gamma(t) \):

\[
\Gamma(t) \approx \Gamma_0 \text{ Re } [n(\omega_A)] - \Gamma_0 \text{ Re } \left[ \frac{\omega_c(\omega_0^2 - \kappa_0^2)^5/4 \exp \left\{ -i\pi/4 - (i\Delta - \kappa_0)(t - t_0) \right\}}{\sqrt{2\pi\omega_A^3 (\Delta - i\kappa_0)(t - t_0)^{1/2}}} \right],
\]

where \( \Delta \) was used as abbreviation for \( \sqrt{\omega_0^2 - \kappa_0^2} - \omega_A \). As explained, this approximation contains only the contribution from the branch cut at \( \omega_1 \); the branch cut at \( \omega_2 \) gives a
faster decaying term, which goes like $e^{-\kappa_0(t-t_0)/(t-t_0)^{3/2}}$. The contributions from the integrals along the imaginary axis decay even faster.

It can be seen from Eq. (6.61) that the amplitude of the time-dependent part of $\Gamma(t)$ falls off as $e^{-\kappa_0(t-t_0)/(t-t_0)^{1/2}}$ and also that the amplitude of the extra term is largest around resonance when $\omega_A \approx \sqrt{\omega_0^2 - \kappa_0^2}$. Away from resonance, oscillations with frequency $\sqrt{\omega_0^2 - \kappa_0^2} - \omega_A$ are present. Fig. 6.4 also shows the two off-resonance cases $\omega_A = 0.95\omega_0$ and $\omega_A = 1.05\omega_0$, where both the exact numerical decay rates and their analytical approximations show time-dependent oscillations.

The main result of the present discussion is the time dependence of the spontaneous-emission rate. The time-independent value is not reached instantaneously, but at a time scale that is governed by the resonance characteristics of the medium. In fact, the smaller the resonance width $\kappa_0$, the longer it takes to reach the time-independent value. Typically, it takes $\omega_0/\kappa_0$ optical cycles as follows from the exponential $e^{-\kappa_0(t-t_0)}$ in the approximate expression (6.61). For narrow resonances with $\omega_0/\kappa_0$ large, the transient dynamics may take a substantial amount of time.

### 6.7 Discussion and conclusions

We have solved the equations of motion for the field operators in the damped-polariton model using Laplace transformations. The solutions of the field and medium operators are the sum of a transient and a permanent part. The latter are expressed solely in terms of the initial bath operators. Long after the initial time, all field and medium operators are functions of the bath operators alone provided the coupling to the bath is nonzero for all frequencies. The long-time solutions satisfy quantum Langevin equations in which the initial bath operators figure as the quantum noise source. The same continuum that produces the absorption also forms the noise source that keeps the commutation relations in order. This is conceptually simpler than expressing the quantum Langevin noise in terms of the creation and annihilation operators that diagonalize the total Hamiltonian of the damped-polariton model [158].

The effects of the initial state of the field and medium variables on the expectation values at a later time are noticeable only during a short period that is determined by the characteristic relaxation times of the damped-polariton modes. Once these transient effects have died out the expectation values are determined by the reduced density matrix, which follows from the full density matrix at the initial time by taking the trace over the degrees of freedom of field and matter (without bath). If the full density matrix at the initial time factorizes, the reduced density matrix equals the initial bath density matrix.

The method of long-time solutions can be used for other dissipative quantum systems as well. For models in which the Hamiltonian can be diagonalized completely, it is an alternative to the Fano diagonalization technique [182]. The latter can be quite complicated [158, 183], whereas our long-time solutions are found after the simple inversion of a $4 \times 4$ matrix, as one sees from section 6.2 and 6.4. More generally, the long-time method may be useful for dissipative systems with a bilinear coupling to a harmonic oscillator bath whose dynamics can be integrated out.

We employed the method of long-time solutions to study transient effects in a medium
described by a Lorentz oscillator dielectric function. This dielectric function (and that of the point-scattering model as well) can be derived from the damped-polariton model by taking a suitable bath coupling. Although a cutoff procedure turns out to be indispensable, the essential physics in the optical regime can be represented adequately in this way. Once the connection with the damped-polariton model has been established, spontaneous-emission processes by a guest atom in a Lorentz oscillator dielectric can be investigated by means of the long-time method. Although transient effects due to the initial preparation of the dielectric have damped out after a few medium relaxation periods, transient behavior of a different type shows up in the initial stages of the decay process. This transient behavior, which is related to the preparation of the guest atom in its excited state, leads to a nonexponential decay - or in other words to a time-dependent spontaneous-emission rate - if the atomic transition frequency is near a resonance of the dielectric. The nonexponential dynamics takes place at time scales that are inversely proportional to the width of the resonance. As we have shown, the characteristics of the time-dependent decay rate can be captured in an analytic asymptotic expression of which the qualitative features are corroborated by numerical methods.