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On the importance of the primer activation signal for initiation of tRNA$^{\text{lys3}}$-primed reverse transcription of the HIV-1 RNA genome

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ABSTRACT

Initiation of reverse transcription is a complex and regulated process in all retroviruses. Several base pairing interactions have been proposed to occur between the HIV-1 RNA genome and the specific tRNA$^{\text{lys3}}$ primer. The tRNA primer can form up to 21 bp with the primer binding site (PBS), and an additional 8 bp interaction may form between the primer activation signal (PAS) in the HIV-1 RNA and sequences within the TYC arm of the tRNA. The latter interaction is further analyzed in this in vitro study with mutant RNA transcripts that were designed to preclude the PAS interaction. These mutant transcripts are able to efficiently bind the tRNA primer, but they exhibit a profound defect at initiating reverse transcription. This defect is specific for the tRNA primer because it is not observed for PBS-bound DNA oligonucleotide primers. These results reinforce the model of regulated reverse transcription in which the PAS-mediated interaction is critical for efficient initiation.

INTRODUCTION

Initiation of reverse transcription of the human immunodeficiency virus type 1 (HIV-1) RNA genome is a highly regulated process that requires the formation of a nucleo-protein complex comprising the viral RNA (vRNA) genome, the specific tRNA$^{\text{lys3}}$ primer and the viral reverse transcriptase (RT) enzyme (1,2). At least 18 nt at the 3’ end of the cellular tRNA$^{\text{lys3}}$ hybridize to a fully complementary sequence within the U5-leader stem (Fig. 1A). The presence of this PAS enhancer in a repressive RNA structure provides a means for the regulation of reverse transcription (10). Formation of a productive vRNA–tRNA complex requires structural rearrangements of both molecules. It is possible that the HIV-1 nucleocapsid protein (NC) triggers these RNA conformational changes in vivo, and this event may coincide with a structural switch of the leader RNA domain that was shown previously to regulate the process of RNA dimerization (23,24). In fact, the HIV-1 RNA switch may function as a checkpoint to coordinate multiple late replication steps such as RNA dimerization, packaging and reverse transcription (25).

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Using \textit{in vitro} reverse transcription assays, we designed experiments to obtain more information on the pairing between the PAS motif in HIV-1 RNA and the antiPAS sequence that is located in the TVC arm of tRNA lys3. We constructed HIV-1 transcripts with a minimal PBS motif that facilitates tRNA lys3 binding, but without opening of the TVC arm such that the antiPAS motif remains base paired. We also introduced an artificial PAS motif directly downstream of the PBS in HIV-1 RNA. This artificial PAS motif is expected to pair with the antiPAS sequence in tRNA lys3, thereby extending the PBS-antiPBS duplex. Both mutational strategies are expected to restrict the formation of the natural PAS-antiPAS interaction, and both type of mutants demonstrate a dramatic reverse transcription defect. These results underscore the importance of the accessory vRNA-tRNA contacts in the process of HIV-1 reverse transcription, and in particular the modulating role of RNA secondary structure in both the viral transcript and the tRNA primer.
MATERIALS AND METHODS

In vitro transcription

The RNA was in vitro transcribed from PCR-generated transcripts containing a T7 promoter directly upstream of the natural HIV-1 LAI +1 transcriptional start site. PCR was performed on the pBluescript 5’LTR plasmid (23). The antisense primers comprised a nested set with the 5’ end terminating at different positions within the PBS, including primers with a 5’ extension to introduce the artificial PAS element directly downstream of the PBS. Primer fragments were excised from agarose gels and purified using the QIAEX II DNA isolation system according to the manufacturer's instructions. Transcription was carried out using the Ambion megashortscript T7 transcription kit, and radiolabeled transcripts were synthesized in the presence of 1 μl [α-32P]UTP. Transcripts were subsequently excised from a 4% denaturing polyacrylamide gel and eluted from the gel fragment by overnight incubation in TBE buffer at room temperature. The RNA was ethanol precipitated and dissolved in water. Quantification of the RNA was done by UV-absorbance measurements and scintillation counting in case of radiolabeled transcripts.

Primer annealing assays

Primer binding was assayed by incubating ~0.5 μg of the radiolabeled HIV-1 transcripts with 100 ng of the DNA primer or 1.0 μg of total tRNA extracted from calf liver (Roche), of which ~0.2 μg tRNAβ3 that specifically binds to HIV-1 RNA (26). The HIV-1 RNA and primer were incubated in 10 μl TEN buffer (100 mM NaCl, 10 mM Tris–HCl pH 7.5 and 1.0 mM EDTA) at 65°C, or at the indicated temperature, for 10 min and then slowly cooled to room temperature. After the incubation, an equal volume of loading buffer was added and the samples were analyzed on a 4% non-denaturing polyacrylamide gel. Electrophoresis was performed at 150 V and room temperature with 0.25 TBE (22.5 mM Tris±HCl pH 7.0, 22.5 mM Boric acid, 0.625 mM EDTA) in the gel and running buffer. Gels were dried and analyzed on a Storm 820 phosphoimager.

Primer extension reactions

The in vitro synthesized RNA (10 ng) was incubated either with 1.5 μg of the calf liver tRNA or with 10 ng of the DNA primer in 12 μl annealing buffer (83 mM Tris–HCl pH 7.0, 125 mM KCl) at 65°C for 10 min and slowly cooled to room temperature. The primer was extended with 1 nt by addition of 6.0 μl of RT buffer (9 mM MgCl2, 30 mM DTT, 150 μg/ml Actinomycin D) and 1 μl [α-32P]dCTP and 0.5 U of HIV-1 RT (MRC AIDS reagent project). Reverse transcription was performed for 30 min at 37°C. Two nucleotide extension products (+2) were made in the same manner but with 30 μM dTTP in the RT buffer. Samples were ethanol precipitated, dissolved in formamide loading buffer, heated at 85°C for 1 min and loaded on a 6% denaturing polyacrylamide gel, which was quantified on a Storm 820 phosphoimager.

RESULTS

The experimental design

Figure 1A shows the RNA secondary structure model of the tRNAβ3 primer and part of the HIV-1 RNA transcript encompassing the PBS and PAS signals. The PBS is usually referred to as an 18-nt sequence, but it is in fact up to 21 nt in most HIV-1 isolates (27,28). In the tRNA primer, the sequence complementary to the HIV-1 PBS (termed antiPBS) is located in the acceptor and TΨC arms. The PBS–antiPBS interaction facilitates tRNA annealing, and an additional PAS–antiPAS interaction is required for activation of the annealed tRNA primer to initiate reverse transcription (9–11). The antiPBS sequence is also located in the tRNA TΨC arm. Of these four sequence motifs in the vRNA and tRNA molecules, the PBS element is the only element that is freely accessible (29) (Fig. 1A), whereas the PAS element in the HIV-1 transcript and the antiPBS and antiPAS elements in the tRNA primer are occluded by base pairing.

Formation of HIV-1 reverse transcription complexes thus requires the disruption of part of the HIV-1 RNA and tRNA secondary structures in order to allow the formation of intermolecular vRNA–tRNA interactions (Fig. 1A). In particular, formation of the PBS–antiPBS helix requires the disruption of the tRNA acceptor and TΨC arms. In this initial complex, the antiPAS sequence in the tRNA remains accessible to form the additional PAS–antiPAS interaction. Based on this model, we reasoned that HIV-1 transcripts with a PBS of up to 12 nt will bind the tRNA primer without opening of the TΨC arm, thus precluding the PAS–antiPAS interaction. Conversely, HIV-1 transcripts in which the PBS is artificially extended such that it is complementary to the antiPAS sequence should also preclude the formation of the natural PAS–antiPAS interaction.

We thus generated a set of HIV-1 RNA transcripts with a 3’ truncated or extended PBS sequence (Fig. 1B). This series contains a minimal 1-184 transcript with a 3 nt PBS, intermediates with a 6, 9, 12, 15 and 18 nt PBS, and the wild-type 1-202 transcript with a 21 nt PBS element. Furthermore, we extended the full-length 21 nt PBS sequence with sequences that mimic the PAS element (the artificial PAS* element, see Fig. 1B). These mutant transcripts are based on the wild-type 1-202 transcript to which 3, 6 or 9 nt of the PAS sequence were fused. Annealing of the tRNA primer to these transcripts will result in the formation of the PBS–antiPBS duplex that is extended with 3, 6 and 9 bp of the artificial PAS*–antiPAS duplex, yielding an uninterrupted vRNA–tRNA duplex of 24, 27 and 30 bp, respectively. We note that this set of HIV-1 transcripts lacks the downstream sequences that are base paired with the PAS element in the full-length HIV-1 leader RNA (Fig. 1A). This strategy bypasses the restriction imposed by the HIV-1 RNA secondary structure on the availability of the PAS motif (9). In this optimal setting with a constitutively available PAS element, we examined the effect of truncating and extending the PBS sequence on the initiation of reverse transcription. Because PBS-truncation may obviously affect primer annealing, we also examined the ability of these transcripts to bind the tRNA primer and a control DNA primer.
tRNA structure masks the antiPBS element

We performed annealing experiments with a radiolabeled 1-202 HIV-1 RNA transcript and the unlabeled natural tRNA\textsubscript{lys3} primer or a control DNA primer that anneals to the PBS. The primer and transcript were incubated at various temperatures between 20 and 65°C and analyzed on a non-denaturing polyacrylamide gel (Fig. 2A). As expected, annealing of the 76-nt tRNA primer causes a more dramatic shift in the migration of the labeled vRNA than annealing of the 21-nt DNA primer. The bands were quantified and we calculated the vRNA fraction that was shifted by the tRNA versus the DNA primer. The bands were quantified and we calculated the vRNA fraction that was shifted by the tRNA versus the DNA primer. These values were normalized for the maximum amount of the vRNA–tRNA complex formed at 65°C and plotted against the incubation temperature (Fig. 2B). The results show that DNA annealing is observed at all temperatures, but tRNA annealing requires incubation temperatures of at least 55°C. This initial finding supports the idea that tRNA secondary structure limits the accessibility of the antiPBS sequence, which is consistent with previous reports (30,31). Knowing the optimal conditions for tRNA primer annealing, we set out to test the properties of the mutant vRNA transcripts.

Primer annealing requires a minimal PBS of 12 nt

The set of HIV-1 transcripts with different PBS lengths was synthesized as radiolabeled RNA to assess the annealing of the tRNA and DNA primers (Fig. 3A). The transcripts (PBS length indicated at the top of the panels in Fig. 3A) were incubated with either the control DNA primer (lanes 1) or the tRNA primer (lanes 2) and analyzed on a non-denaturing gel. We included several control experiments such as a mock-incubation without primer (lanes 3) and a formamide-treated vRNA sample (lanes 4). We calculated the amount of vRNA–primer complex for the different transcripts, and this value is plotted both for the DNA and tRNA primer (Fig. 3B). Both primers demonstrate a similar PBS requirement, some annealing is observed with the 9-nt PBS, but maximal annealing requires a PBS of at least 12 nt. All longer PBS motifs, including the PAS* series, are able to bind both primers. The minor differences between these transcripts may be due to unforeseen effects at the level of RNA secondary structure. However, we did consistently observe that tRNA annealing was slightly more efficient on the transcripts with a 12 and 15 nt PBS than the transcripts containing an 18 and 21 nt PBS. The slightly increased levels of tRNA annealing on the transcripts with an extended PBS (24, 27 and 30 nt) was also observed consistently.

These annealing experiments were performed at 65°C, but we also tested tRNA annealing for a subset of the transcripts at varying temperatures in the 37–65°C range (Fig. 4). All vRNA mutants exhibit increased tRNA annealing as the temperature is increased, and the levels of vRNA–tRNA complex formation are consistent with the results presented in Figure 3B. Again, we observed that the transcript with a 12 nt PBS...
interacted with the tRNA primer slightly more efficiently than the wild-type counterpart (21 nt). The transcripts with the PAS*-extended PBS (24, 27 and 30 nt) bind the tRNA primer significantly better than transcripts with a PBS of the wild-type length. On average, the complex yield is nearly 2-fold higher than the wild-type control. Incubation of the PAS*-extended PBS mutants at 50°C yields amounts comparable with the wild-type yield at 60°C. These observations support our reasoning that fusing the PAS* sequence directly downstream of the PBS results in an extended vRNA–tRNA duplex.

**tRNA priming requires a minimal PBS of 15 nt**

The previous experiments indicate that we can efficiently anneal both DNA and tRNA primers onto the transcripts with a PBS of at least 12 nt and the transcripts with an artificial PAS* extension. This allowed us to test the efficiency of the priming reaction, for which these transcripts were specifically designed. The DNA and tRNA complexes were made by high-temperature incubation, and reverse transcription was initiated by addition of HIV-1 RT enzyme and dNTPs. We provided either radiolabeled dCTP, which results in the incorporation of a single nucleotide (+1 extension), or radiolabeled dCTP and unlabeled dTTP to allow a 2-nt extension (+2 extension). The labeled cDNA products were analyzed on a denaturing polyacrylamide gel (Fig. 5A), and we plotted the reverse transcription efficiency for the tRNA primer in the +1 and +2 extension reactions and the DNA primer in the +1 reaction for all the vRNA transcripts (Fig. 5B).

No significant reverse transcription signal was obtained for the transcripts with a PBS shorter than 9 nt, which correlates with their inability to bind the primer. Whereas we observed efficient primer annealing onto the 12-nt PBS element, this transcript is a poor template for tRNA-primed reverse transcription with both the tRNA and DNA primer. Extension of the PBS to 15 nt improves the initiation efficiency at least 2-fold, but the transcript is still sub-optimal
for tRNA priming. However, full activity is measured with the DNA primer on this transcript. Further extension of the PBS to 18 or 21 nt results in efficient tRNA priming.

The transcripts containing the artificial PAS* extension downstream of the PBS are fully efficient in DNA priming, but they exhibit a profound tRNA priming defect that is most severe for the vRNA with the longest 9-nt PAS* element (Fig. 5A and B). Similar results were obtained in assays that monitor the synthesis of longer cDNA products (results not shown). As stated previously, these transcripts are fully efficient in tRNA annealing, and this suggests that they cannot support tRNA priming because the natural PAS–antiPAS interaction is not established. The specificity of the defect imposed by the artificial PAS* element on tRNA priming is nicely illustrated by the behavior of the control DNA primer on these transcripts. The activity of the DNA primer is ruled solely by the annealing efficiency on the different transcripts, and the presence of the additional PAS* element downstream of the PBS does not have a negative impact on the initiation efficiency of this control primer.

**DISCUSSION**

To study the role of a PAS–antiPAS interaction in the initiation of HIV-1 reverse transcription, we generated a set of HIV-1 transcripts with different PBS lengths. Due to the tRNA unfolding requirements to generate an active reverse transcription complex, these transcripts are expected to disturb PAS-mediated activation in two ways: (i) the antiPAS sequence is not accessible in transcripts with a shortened PBS because the tRNA TΨC arm is not opened, and (ii) transcripts in which the PBS has been extended with an artificial PAS* element will prevent the usage of the natural PAS element in the vRNA–tRNA complex. We performed primer annealing and reverse transcription assays with these transcripts, and the results are summarized in Table 1.

Transcripts with a PBS length of 9 nt or less are incapable of binding the DNA and tRNA primers and thus are completely inactive in the reverse transcription assays. When the PBS length is extended to 12 nt, both primers anneal efficiently but only a marginal reverse transcription activity is measured (Table 1). In our design, the transcript with a 12 nt PBS would anneal the tRNA without opening the TΨC arm, thus preventing the PAS–antiPAS interaction that facilitates initiation of reverse transcription. The efficient tRNA annealing, but low tRNA-priming activity of this transcript supports this idea, but we also observe that DNA priming is inefficient on this transcript. In melting experiments, we observed that complexes formed with a 12 nt PBS are less stable than transcripts with a PBS of 15 nt or more (results not shown). It therefore seems plausible that the 12 nt vRNA–primer duplex dissociates during the reverse transcription reaction, thus causing a loss in the initiation efficiency. However, we cannot exclude a contribution of antiPAS occlusion on the tRNA-primed reverse transcription activity.

For the transcript with a 15 nt PBS, the vRNA–tRNA duplex penetrates the tRNA TΨC arm, thus releasing the antiPAS sequence. Indeed, this transcript reaches intermediate levels of tRNA-primed reverse transcription. On transcripts with the 18 and 21 nt PBS, high levels of tRNA-primed reverse transcription are reached and both these transcripts are expected to contain a vRNA–tRNA duplex that fully disrupts the tRNA TΨC arm. We also investigated the primer annealing and reverse transcription properties of transcripts in which the PBS was extended with an artificial PAS* element, which was designed to base pair with the antiPAS sequence in the tRNA primer. This PBS extension will interfere with the natural PAS–antiPAS interaction and the dramatic loss in reverse transcription levels of these templates is fully consistent with this idea (Table 1). Annealing of the tRNA primer and reverse transcription from the control DNA primer is at least as efficient as with the wild-type template, thus demonstrating that occlusion of the antiPAS sequence by the artificial PAS* element reduces the reverse transcription efficiency. This result reinforces the importance of the natural PAS–antiPAS interaction during initiation of HIV-1 reverse transcription.

Recently, Goldschmidt et al. have challenged the existence and the role of the PAS–antiPAS interaction during initiation of reverse transcription (32). These authors reconstructed some of the mutants from our initial study (9) and performed reverse transcription and structure probing experiments. These mutants either target the PAS sequence (mutant 2L) or its complementary counterpart in the U5-leader stem in the HIV-1 RNA (mutant 2R). In our hands, the 2L mutation strongly reduced reverse transcription and the 2R mutation caused a profound stimulation compared with the wild-type transcript because the PAS motif is no longer masked by base pairing (9). We confirmed this RNA structural effect by structure probing. Furthermore, we demonstrated the 2L defect and the 2R up-regulation in a physiological setting by analyzing reverse transcription products from the mutant virion particles (10). Whereas Goldschmidt reproduced the defect of the 2L mutation, the stimulatory effect of the 2R mutation was not observed.

Based on structure probing experiments on the naked HIV-1 RNA, Goldschmidt argues that aberrant folding of the RNA rather than mutation of the PAS sequence causes the reverse transcription defect of the 2L mutant. Although structural perturbations in mutant RNA are an effect to be reckoned with, Goldschmidt’s work certainly does not exclude the possibility that the reverse transcription effect of mutant 2L is caused by substitution of the PAS sequence. Goldschmidt performed further structure probing assays on the wild-type
vRNA–tRNA complex and points out that annealing of the tRNA does not result in an increase in reactivity of the helical segment that masks the PAS sequence in the absence of the tRNA. This is presented as evidence against the PAS–antiPAS interaction, whereas refolding of the RNA into an alternative structure is not offered as a possible explanation of this result. More importantly, probing data for the PAS sequence itself are prominently absent from the study. Goldschmidt did probe the tRNA primer in the presence of HIV-1 RNA and noted an increase in DEPC modification of tRNA residue A50 within the antiPAS sequence. Again, this is presented as direct evidence against the PAS–antiPAS interaction. Alternatively, one could argue that this indicates a temporarily open structure of the tRNA TΨC arm, as the PAS–antiPAS model predicts.

The interaction of the tRNA^{3′5′} antiPAS with the HIV-1 PAS motif is necessarily dynamic, and probably short-lived, since RT must penetrate the PAS–antiPAS helix during the early elongation phase. It is also possible that the PAS–antiPAS interaction depends on the presence of the RT enzyme in the initiation complex.

In dismissing the PAS–antiPAS interaction, Goldschmidt ignores or misinterprets, in our view, many important pieces of evidence. For instance, there is no mention of virus replication data of revertants of the 2L mutant that restore both the stability of the PAS–antiPAS interaction and the replication kinetics (10). Furthermore, we introduced precise mutations in the PAS motif to strengthen or weaken the base pairing with the antiPAS, and the reverse transcription activity

![Figure 5](image-url)  
**Figure 5.** Initiation of reverse transcription on PBS-mutated HIV-1 transcripts. (A) Electrophoretic analysis of tRNA and DNA primer extension by 1 or 2 nt (+1 or +2, respectively). The length of the PBS is indicated at the top of the lane for each transcript. (B) Quantitated data from the gel shown in (A).

<table>
<thead>
<tr>
<th>Transcript</th>
<th>PBS length (nt)</th>
<th>DNA primer Annealing (%)</th>
<th>Priming (+1) (%)</th>
<th>tRNA primer Annealing (%)</th>
<th>Priming (+1) (%)</th>
<th>Priming (+2) (%)</th>
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<td>1-184</td>
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<td>0</td>
<td>0</td>
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<td>0</td>
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<tr>
<td>1-187</td>
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<td>0</td>
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<tr>
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<td>4</td>
<td>0</td>
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<tr>
<td>1-193</td>
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<td>9</td>
<td>78</td>
<td>16</td>
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<td>1-196</td>
<td>15</td>
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<td>78</td>
<td>42</td>
<td>42</td>
<td>42</td>
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<td>1-199</td>
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<td>77</td>
<td>62</td>
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<td>100^a</td>
<td>100^a</td>
</tr>
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<td>56</td>
<td>84</td>
<td>75</td>
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</tr>
<tr>
<td>1-202+3</td>
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<td>100^a</td>
<td>85</td>
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<td>22</td>
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<td>13</td>
<td>10</td>
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</table>

^aMaximum values were set at 100%, and other results are relative to this value.
of these transcripts correlates neatly with the base pairing potential (11). Finally, we were able to switch the selective primer usage of HIV-1 from tRNA^{lys}_3 to tRNA^{lys}_2 by simultaneous adaptation of the PBS and PAS motifs (11). This important finding is unfairly devaluated by Goldscheidt with the comment that this double mutant is not as efficient in simultaneously adapting the PBS and PAS motifs (11).

Different from previous analyses of initiation of HIV-1 reverse transcription, likely to depend on intricate interactions between the viral transcription complexes are not yet fully understood and are demonstrated previously (26,34–36).

Our results show that the tRNA annealing and priming steps can be dissected and that prevention of the PAS–antiPAS interaction results in a reverse transcription defect. This supports an important role for the PAS–antiPAS interaction in the initiation of tRNA-primed reverse transcription.

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