TYCHONOFF HED-SPACES AND ZEMANIAN EXTENSIONS OF S4.3

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Abstract. We introduce the concept of a Zemanian logic above S4.3 and prove that an extension of S4.3 is the logic of a Tychonoff HED-space iff it is Zemanian.

§1. Introduction. In topological semantics of modal logic, modal box is interpreted as topological interior and modal diamond as topological closure. Under this interpretation, Lewis’s well-known modal system S4 is the logic of all topological spaces. McKinsey and Tarski (1944) proved that S4 is the logic of any dense-in-itself separable metric space. This result was strengthened by Rasiowa and Sikorski (1963, sec. III.7 and III.8) who showed that S4 is the logic of any dense-in-itself metric space. Recently this result has been generalized in several directions. The McKinsey-Tarski completeness was generalized to strong completeness by Kremer (2013), and the modal logic of an arbitrary metric space was axiomatized by Bezhanishvili, Gabelaia, & Lucero-Bryan (2015).

The class of extremally disconnected spaces (ED-spaces) consists of mostly nonmetrizable spaces. The only metrizable ED-spaces are discrete. The logic S4.2 := S4 + ♢♢p → □♢p is the logic of all ED-spaces (see, e.g., Benthem & Bezhanishvili (2007, pg. 253)). We point out that ED is not a hereditary property. The logic S4.3 := S4 + □(♢p → q) ∨ □(♢q → p) is the logic of all hereditarily extremally disconnected spaces (HED-spaces); Bezhanishvili, Bezhanishvili, Lucero-Bryan, & van Mill (2015), Prop. 3.1.

ED-spaces play an important role in topology. Compact Hausdorff ED-spaces are exactly the projective objects in the category of compact Hausdorff spaces and continuous maps. Moreover, each compact Hausdorff space X has a projective cover E(X), known as the Gleason cover. We recall that an irreducible map is an onto continuous map such...
that the image of a proper closed subset is proper. The *Gleason cover* $E(X)$ is the (unique up to homeomorphism) compact Hausdorff E-space for which there exists an irreducible map $\pi : E(X) \to X$. The Gleason cover of $X$ is realized as the Stone space of the complete Boolean algebra of regular open subsets of $X$, accompanied by the mapping $\pi(\square U) = \bigcap \{c^X(U) \mid U \in \forall\}$; see Gleason (1958). By Bezhanishvili and Harding (2012, Prop. 4.3), $S4.2$ is the logic of the Gleason cover $E(\mathbb{I})$ of the closed real unit interval $\mathbb{I} = [0, 1]$, and by Bezhanishvili et al. (2015, Theorem 3.6), $S4.3$ is the logic of a countable subspace of $E(\mathbb{I})$.

Tychonoff spaces are up to homeomorphism subspaces of compact Hausdorff spaces. In this note we characterize the logic of an arbitrary Tychonoff Hed-space. We introduce the concept of a Zemanian logic above $S4.3$ and show that an extension of $S4.3$ is the logic of a Tychonoff Hed-space iff it is Zemanian. We call these logics Zemanian because of their relationship to $S4.Z_n$ introduced in Bezhanishvili et al. (to appear), which generalize the Zeman logic $S4.Z = S4 + 232 \to (p \to 2p)$. 

§2. $S4.3$ and its extensions. We assume the reader is familiar with the basic concepts and tools of modal logic (see, e.g., Chagrov & Zakharyaschev (1997); Kracht (1999); Blackburn, de Rijke, & Venema (2001)). We will be mainly interested in the modal logic $S4.3 = S4 + \square(\square p \to q) \lor \square(\square q \to p)$ and its consistent extensions. By the Bull-Fine theorem (Bull 1966; Fine 1971), there are countably many extensions of $S4.3$, each is finitely axiomatizable, and has the finite model property (fmp). In fact, each $L \supseteq S4.3$ is a cofinal subframe logic (see, e.g., Chagrov & Zakharyaschev (1997, Example 11.14)).

Rooted frames for $S4.3$ are rooted $S4$-frames $\mathfrak{F} = (W, R)$ such that $wRv$ or $vRw$ for each $w, v \in W$. They can be thought of as chains of clusters. We will refer to them as *quasi-chains*. By the Bull-Fine theorem, we will work only with finite quasi-chains. A finite quasi-chain $\mathfrak{F}$ is depicted in Figure 1, where $\min(\mathfrak{F})$ and $\max(\mathfrak{F})$ denote the minimum and maximum clusters of $\mathfrak{F}$, respectively.

For a finite quasi-chain $\mathfrak{F}$, let $\chi_{\mathfrak{F}}$ denote the (negation of the) Jankov-Fine formula of $\mathfrak{F}$. By Fine’s theorem (1974, sec. 2, Lemma 1), for any $S4.3$-frame $\mathfrak{C}$, 

$$ \mathfrak{C} \models \chi_{\mathfrak{F}} \iff \mathfrak{F} \text{ is not a p-morphic image of a generated subframe of } \mathfrak{C}. $$

Let $Q$ be the set of all nonisomorphic finite quasi-chains. For $\mathfrak{F}, \mathfrak{G} \in Q$, define $\mathfrak{F} \leq \mathfrak{G}$ iff $\mathfrak{F}$ is a p-morphic image of a generated subframe of $\mathfrak{G}$. Then $\leq$ is a partial ordering of $Q$. 

![Fig. 1. A finite quasi-chain $\mathfrak{F}$.](https://www.cambridge.org/core/terms. https://doi.org/10.1017/S1755020317000314)
and there are no infinite descending chains in \((Q, \leq)\). Thus, for any nonempty \(S \subseteq Q\), the set \(\min(S)\) of minimal elements of \(S\) is nonempty, where

\[ \min(S) = \{ \overline{s} \in S \mid \overline{s} \leq \overline{g} \text{ and } \overline{g} \in S \text{ imply } \overline{g} = \overline{s} \}. \]

For each extension \(L\) of \(S_4\), let \(\mathcal{F}_L\) be the subset of \(Q\) consisting of \(L\)-frames. Then \(\mathcal{F}_L\) is a downset of \(Q\), and the assignment \(L \mapsto \mathcal{F}_L\) is a dual isomorphism between the extensions of \(S_4\) and the downsets of \(Q\). Moreover, each \(L\) is finitely axiomatizable by adding to \(S_4\) the Jankov-Fine formulas \(\chi^n\) where \(\overline{s} \in \min(Q \setminus \mathcal{F}_L)\).

The following lemma, which shows that \(p\)-morphic images of a finite quasi-chain correspond to its cofinal subframes, is a version of Fine’s result (1971, sec. 4, Lemma 6).

**Lemma 2.1.** Let \(\overline{s}\) and \(\overline{g}\) be finite quasi-chains. Then \(\overline{s}\) is a \(p\)-morphic image of \(\overline{g}\) iff \(\overline{s}\) is isomorphic to a cofinal subframe of \(\overline{g}\).

**Proof.** Let \(\overline{s} = (W, R)\) and \(\overline{g} = (V, S)\). Suppose there is a cofinal subframe \(\overline{u}\) of \(\overline{g}\) to \(\overline{s}\). If \(V = U\), then there is nothing to show. Suppose \(V \neq U\). For \(x \in V \setminus U\), since \(U\) is cofinal, \(S[x] \cap U \neq \emptyset\). Therefore, \(\min(S[x] \cap U) \neq \emptyset\) and is contained in a cluster of \(\overline{g}\). Pick \(y_x \in \min(S[x] \cap U)\) and define \(g : V \to W\) by

\[ g(x) = \begin{cases} f(x) & \text{if } x \in U, \\ f(y_x) & \text{otherwise}. \end{cases} \]

That \(g\) is a well-defined onto map follows from the definition. To see that \(g\) is a \(p\)-morphism, suppose \(xSy\). Then \(S[y] \subseteq S[x]\). Therefore, \(S[y] \cap U \subseteq S[x] \cap U\), and so for each \(u \in \min(S[y] \cap U)\) and each \(v \in \min(S[x] \cap U)\), we have \(uSv\). Thus, \(f(u)Rf(v)\), which yields \(g(x)Rg(y)\). Next suppose \(g(x)Rz\). Then there is \(u \in U\) such that \(xSu\) and \(f(u)Rz\). Since \(f\) is an isomorphism, there is \(v \in U\) such that \(uSv\) and \(f(v) = z\). Therefore, \(xSu\) and \(g(v) = z\). Thus, \(g\) is an onto \(p\)-morphism, and hence \(\overline{s}\) is a \(p\)-morphic image of \(\overline{g}\).

Conversely, suppose there is a \(p\)-morphism \(g\) from \(\overline{g}\) onto \(\overline{s}\). Since \(g\) is onto, \(g^{-1}(w) \neq \emptyset\) for each \(w \in W\). Thus, \(\max(g^{-1}(w)) \neq \emptyset\). Pick \(m_w \in \max(g^{-1}(w)) \neq \emptyset\) and let \(U = \{m_w \mid w \in W\}\). Suppose \(x \in V\). Then \(xSm_{g(x)}\) and \(m_g(x) \in U\). Therefore, \(U\) is cofinal in \(V\). Let \(f\) be the restriction of \(g\) to \(U\). Clearly \(f\) is a bijection between \(U\) and \(W\). To see that \(f\) is an isomorphism, observe that \(wRv\) iff \(m_wSm_v\). Thus, \(f\) is an isomorphism from a cofinal subframe of \(\overline{g}\) onto \(\overline{s}\).

As an easy consequence of Lemma 2.1, we obtain:

**Lemma 2.2.** A generated subframe of a finite quasi-chain \(\overline{s}\) is a \(p\)-morphic image of \(\overline{s}\).

**Proof.** Since \(\overline{s}\) is a quasi-chain, a generated subframe of \(\overline{s}\) is a cofinal subframe of \(\overline{s}\). Now apply Lemma 2.1.

As an immediate consequence of Lemmas 2.1 and 2.2, we obtain:

**Lemma 2.3.** For finite quasi-chains \(\overline{s}\) and \(\overline{g}\), the following are equivalent:

1. \(\overline{s} \leq \overline{g}\).
2. \(\overline{s}\) is a \(p\)-morphic image of \(\overline{g}\).
3. \(\overline{s}\) is isomorphic to a cofinal subframe of \(\overline{g}\).

§3. **Zemanian logics.** In this section we introduce the concept of a Zemanian logic above \(S_4\). We call \(\overline{s} \in Q\) uniquely rooted if its root cluster is a singleton. Otherwise
we call $\mathfrak{F}$ nonuniquely rooted. By $\mathfrak{C}_\kappa$ we denote a cluster of cardinality $\kappa$. Let $\mathfrak{F}'$ be the ordinal sum $\mathfrak{C}_1 \oplus \mathfrak{F}$ which adds a ‘new’ unique root $r$ beneath $\mathfrak{F}$ (see Figure 2). We view $\mathfrak{F}'$ as a generated subframe of $\mathfrak{F}'_r$.

Fig. 2. Adding a ‘new’ root to $\mathfrak{F}$.

**Definition 3.1.** Let $L$ be a consistent logic above $S_4.3$. We call $L$ Zemanian provided for each nonuniquely rooted $\mathfrak{F} \in \mathcal{F}_L$, we have $\mathfrak{F}' \in \mathcal{F}_L$.

To motivate the name ‘Zemanian logic’ we recall that the Zeman logic $S_4.Z$ is obtained by adding to $S_4$ the Zeman axiom

$$zem = \Box \Box p \to (p \to \Box p).$$

It is well known (see, e.g., Segerberg (1971)) that $S_4.Z$ is the logic of finite uniquely rooted $S_4$-frames of depth 2. For $n \geq 1$, recall

$$bd_1 = \Box \Box p_1 \to p_1,$$

$$bd_{n+1} = \Box (\Box p_{n+1} \land \neg bd_n) \to p_{n+1}.$$

For transitive frames it is well known that $\mathfrak{F} \models bd_n$ iff depth($\mathfrak{F}$) $\leq n$, where depth($\mathfrak{F}$) denotes the depth of $\mathfrak{F}$ (see, e.g., Chagrov & Zakharyaschev (1997, Prop. 3.44)). In Bezhanishvili et al. (to appear), the Zeman formula was generalized to $n$-Zeman formulas

$$zem_0 = p_1 \to \Box p_1,$$

$$zem_n = p_{n+1} \to \Box (bd_n \lor p_{n+1}) \text{ for } n \geq 1,$$

and the Zeman logic was generalized to $n$-Zeman logics $S_4.Z_n := S_4 + zem_n (n \geq 0)$. By Bezhanishvili et al. (to appear, sec. 4), $S_4.Z = S_4.Z_1$ and each $S_4.Z_n$ is the logic of finite uniquely rooted $S_4$-frames of depth $n + 1$.

Let $S_4.3.Z_n = S_4.3 + zem_n$. The next lemma shows that $S_4.3.Z_n$ is a Zemanian logic, hence Definition 3.1 generalizes the concept of $n$-Zeman logics for extensions of $S_4.3$.

**Lemma 3.2.** If $L$ is a Zemanian logic of finite depth, then $L \vdash zem_n$ for some $n \geq 0$.

**Proof.** Suppose $L$ is a Zemanian logic of finite depth. Since $L$ is of finite depth, there is a least $n \geq 0$ such that $L \vdash bd_{n+1}$. Let $\mathfrak{F} \in \mathcal{F}_L$. Then depth($\mathfrak{F}$) $\leq n + 1$. Suppose that $\mathfrak{F} \not\models zem_n$. It follows from Bezhanishvili et al. (to appear, Theorem 4.5) that depth($\mathfrak{F}$) $= n + 1$ and $\mathfrak{F}$ is nonuniquely rooted. Since $L$ is Zemanian, $\mathfrak{F}' \in \mathcal{F}_L$. But depth($\mathfrak{F}'$) $= n + 2$, yielding the contradiction $\mathfrak{F}' \not\models bd_{n+1}$. Thus, $\mathfrak{F} \models zem_n$, and so $L \vdash zem_n$.

**Remark 3.3.** The converse of Lemma 3.2 is not true in general. To see this, let $L$ be the logic of the two-point cluster $\mathfrak{C}_2$ shown in Figure 3. Then $\mathcal{F}_L = \{\mathfrak{C}_1, \mathfrak{C}_2\}$. Since the depth of both $\mathfrak{C}_1$ and $\mathfrak{C}_2$ is $1 < 2$, we have that $L \vdash zem_1$. But $L$ is not Zemanian because $\mathfrak{C}_2 \not\in \mathcal{F}_L$.
EXAMPLE 3.4.
1. It is clear that $S4.3$ and $S4.3.Z_n$ are Zemanian for all $n \geq 0$.
2. It is also obvious that $Grz.3$ is Zemanian, and so is the logic of the cluster $C_1$.
3. On the other hand, neither $S5$ nor $S4.3_n := S4.3 + bd_n$ is Zemanian. Neither is the logic of the cluster $C_n$ for $n \geq 2$.
4. If $L$ is a consistent extension of $S4.3$ such that $L \not\subseteq S5$, then $S5 \cap L$ is not Zemanian. Indeed, since $L$ is consistent and $L \not\subseteq S5$, there is $n \geq 2$ such that $C_n \not\in F_L$. But then $C_n' \not\in F_L$. Therefore, $C_n \in F_{S5} \cup F_L$ but $C_n' \not\in F_{S5} \cup F_L$. Since $F_{S5} \cap L = F_{S5} \cup F_L$, we see that $S5 \cap L$ is not Zemanian. For example, $S5 \cap Grz.3$ is not Zemanian.

We next describe all Zemanian logics above $S4.3.Z := S4.3 + zem$. It is clear that $F_{S4.3.Z} = \{C_n, C_n' \mid n \geq 1\}$. A picture of $F_{S4.3.Z}$ with the partial order induced from $Q$ is shown in Figure 4.

The lattice of extensions of $S4.3.Z$ is dually isomorphic to the lattice of downsets of $F_{S4.3.Z}$. The lattice of consistent extensions of $S4.3.Z$ is shown in Figure 5, where $Log(\mathfrak{S})$ denotes the logic of $\mathfrak{S}$ and the Zemanian logics above $S4.3.Z$ are denoted by the larger dots.

The remainder of this section is dedicated to establishing some basic facts about Zemanian logics. For $L \supseteq S4.3$, let $U_L = \{\mathfrak{S} \in F_L \mid \mathfrak{S}$ is uniquely rooted $\}$.

**Lemma 3.5.** Let $L \supseteq S4.3$ be consistent. Then $L$ is Zemanian iff $U_L$ is cofinal in $F_L$.

**Proof.** Suppose $L$ is Zemanian and let $\mathfrak{S} \in F_L$. If $\mathfrak{S} \in U_L$, then there is nothing to show. So let $\mathfrak{S} \not\in U_L$. Then $\mathfrak{S}$ is nonuniquely rooted. Since $L$ is Zemanian, $\mathfrak{S}' \in F_L$. Clearly $\mathfrak{S}'$ is uniquely rooted and $\mathfrak{S} \leq \mathfrak{S}'$. Thus, $U_L$ is cofinal in $F_L$.

Conversely, suppose $U_L$ is cofinal in $F_L$. Let $\mathfrak{S} \in F_L$ be nonuniquely rooted. Then there is $\mathfrak{G} \in U_L$ such that $\mathfrak{S} \leq \mathfrak{G}$. By Lemma 2.3, up to isomorphism, $\mathfrak{S}$ is a cofinal subframe of $\mathfrak{G}$. Since $\mathfrak{G}$ is uniquely rooted and $\mathfrak{S}$ is nonuniquely rooted, the root of $\mathfrak{G}$ is not in $\mathfrak{S}$. Thus, we may identify the root of $\mathfrak{S}'$ with the root of $\mathfrak{G}$, yielding that $\mathfrak{S}'$ is isomorphic to a cofinal subframe of $\mathfrak{G}$. Consequently, $\mathfrak{S}' \leq \mathfrak{G}$. Since $F_L$ is a downset of $Q$ and $\mathfrak{G} \in F_L$, we see that $\mathfrak{S}' \in F_L$. Thus, $L$ is a Zemanian logic.

For a class of frames $K$, let $Log(K)$ denote the logic of $K$. 

\[ \begin{array}{c}
\mathfrak{S} \\
\mathfrak{S}' \\
\mathfrak{S}'' \\
\mathfrak{S}''' \\
\mathfrak{S}^* \\
\end{array} \]

Fig. 3. The two-point cluster $C_2$.

\[ \begin{array}{c}
\mathfrak{S}_1 \\
\mathfrak{S}_2 \\
\mathfrak{S}_3 \\
\mathfrak{S}_4 \\
\end{array} \]

Fig. 4. The poset $F_{S4.3.Z}$. 

\[ \begin{array}{c}
\mathfrak{S}_1 \\
\mathfrak{S}_2 \\
\mathfrak{S}_3 \\
\mathfrak{S}_4 \\
\end{array} \]

Fig. 5. The two-point cluster $C_3$. 

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Fig. 5. The lattice of consistent extensions of S4.3.Z.

**Lemma 3.6.** A Zemanian logic is the logic of its finite uniquely rooted quasi-chains.

*Proof.* Because $L$ has the fmp, we have that $L = \text{Log}(F_L) \subseteq \text{Log}(U_L)$. Suppose that $L \not\vdash \phi$. Then there is $F \in F_L$ such that $F \not\models \phi$. If $F \in U_L$, then there is nothing to show. Suppose $F \not\in U_L$. Then $F$ is nonuniquely rooted. Since $L$ is Zemanian, $F \in U_L$. As $F$ is a generated subframe of $F$, from $F \not\models \phi$ it follows that $F \not\models \phi$. Thus, $L = \text{Log}(U_L)$. □

We finish the section by characterizing Zemanian logics. For $F \in Q$, let $F^a$ be the ordinal sum $C_2 \oplus (F \setminus \text{min}(F))$ shown in Figure 6. Intuitively, $F^a$ is obtained by replacing the root cluster of $F$ by the two-point cluster. When $F$ is uniquely rooted, this amounts to adding a second root.

Fig. 6. The frame $F^a$. 

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THEOREM 3.7. Let $L \supseteq S4.3$ be consistent. Then $L$ is Zemanian iff for each $\mathcal{G} \in \min(Q \setminus F_L)$, either $\mathcal{G}$ is nonuniquely rooted or $\mathcal{G} \setminus \{r\}$ is uniquely rooted and $(\mathcal{G} \setminus \{r\})^a \notin F_L$.

Proof. For the right to left direction, suppose for each $\mathcal{G} \in \min(Q \setminus F_L)$, either $\mathcal{G}$ is nonuniquely rooted or $\mathcal{G} \setminus \{r\}$ is uniquely rooted and $(\mathcal{G} \setminus \{r\})^a \notin F_L$. Let $\mathcal{F} \in F_L$ be nonuniquely rooted. If $\mathcal{F} \neq F_L$, then there is $\mathcal{G} \in \min(Q \setminus F_L)$ such that $\mathcal{G} \leq \mathcal{F}$. Therefore, up to isomorphism, $\mathcal{G}$ is a cofinal subframe of $\mathcal{F}$. Since $Q \setminus F_L$ is an upset of $Q$ and $\mathcal{F} \in F_L$, we have that $\mathcal{G} \notin \mathcal{F}$, so $\mathcal{G}$ is not isomorphic to any cofinal subframe of $\mathcal{F}$. Thus, $\mathcal{G} \setminus \mathcal{F} \subseteq \mathcal{F} \setminus \mathcal{F} = \{r\}$, and hence $\mathcal{G}$ is uniquely rooted. By assumption, this yields that $\mathcal{G} \setminus \{r\}$ is uniquely rooted and $(\mathcal{G} \setminus \{r\})^a \notin F_L$. Because $\mathcal{G}$ is cofinal in $\mathcal{F}^*$, it follows that $\mathcal{G} \setminus \{r\}$ is cofinal in $\mathcal{F}^* \setminus \{r\} = \mathcal{F}$.

Let $t$ be the root of $\mathcal{G} \setminus \{r\}$. We show that without loss of generality we may assume that $t \in \min(\mathcal{F})$. Clearly, either $\mathcal{G} \setminus \{t\} = \{t\}$ or $\mathcal{G} \setminus \{r\} \neq \{t\}$. If $\mathcal{G} \setminus \{r\} = \{t\}$, then since $\mathcal{G}$ is not isomorphic to any cofinal subframe of $\mathcal{F}$, we have that $\mathcal{F}$ consists of a single cluster, and hence $\max(\mathcal{F}) = \min(\mathcal{F})$. Since $\mathcal{G}$ is cofinal in $\mathcal{F}^*$, we have that $t \in \max(\mathcal{G}) \subseteq \max(\mathcal{F}^*) = \max(\mathcal{G}) = \min(\mathcal{G})$.

If $\mathcal{G} \setminus \{r\} \neq \{t\}$, then $t \notin \max(\mathcal{G})$. Since $\mathcal{G}$ is cofinal in $\mathcal{F}^*$, we obtain that $t \notin \max(\mathcal{F}^*)$, and hence without loss of generality, we may assume that $t \in \min(\mathcal{F})$.

Since $\mathcal{F}$ is nonuniquely rooted, we have that $(\mathcal{G} \setminus \{r\})^a$ is isomorphic to a cofinal subframe of $\mathcal{F}$. Therefore, $(\mathcal{G} \setminus \{r\})^a \leq \mathcal{F}$. As $F_L$ is a downset, we obtain that $(\mathcal{G} \setminus \{r\})^a \in F_L$. The obtained contradiction proves that $\mathcal{F}^* \in F_L$, and hence $L$ is Zemanian.

For the left to right direction, we proceed by contraposition. Suppose there is $\mathcal{G} \in \min(Q \setminus F_L)$ such that $\mathcal{G}$ is uniquely rooted, and either $\mathcal{G} \setminus \{r\}$ is nonuniquely rooted or $(\mathcal{G} \setminus \{r\})^a \notin F_L$. Since $\mathcal{G}$ is uniquely rooted, $\mathcal{G} = (\mathcal{G} \setminus \{r\})^a$. First suppose $\mathcal{G} \setminus \{r\}$ is nonuniquely rooted. The minimality of $\mathcal{G}$ in $Q \setminus F_L$ yields that $\mathcal{G} \setminus \{r\} \in F_L$. Therefore, $L$ is not Zemanian because $\mathcal{G} \setminus \{r\} \in F_L$ is nonuniquely rooted and $(\mathcal{G} \setminus \{r\})^a = \mathcal{G} \notin F_L$. Next suppose $\mathcal{G} \setminus \{r\}$ is uniquely rooted. Then $(\mathcal{G} \setminus \{r\})^a \in F_L$. By construction, $(\mathcal{G} \setminus \{r\})^a$ is nonuniquely rooted. Because $\mathcal{G} \setminus \{r\}$ is uniquely rooted, $\mathcal{G} \setminus \{r\}$ is isomorphic to a cofinal subframe of $(\mathcal{G} \setminus \{r\})^a$, so $\mathcal{G} \setminus \{r\} \leq (\mathcal{G} \setminus \{r\})^a$. Since $\mathcal{G}$ is uniquely rooted and $\mathcal{G} \setminus \{r\} \leq (\mathcal{G} \setminus \{r\})^a$, it follows that $\mathcal{G} = (\mathcal{G} \setminus \{r\})^a$ is isomorphic to a cofinal subframe of $((\mathcal{G} \setminus \{r\})^a)^a$, hence $\mathcal{G} \leq ((\mathcal{G} \setminus \{r\})^a)^a$. As $Q \setminus F_L$ is an upset in $Q$ containing $\mathcal{G}$, we have that $((\mathcal{G} \setminus \{r\})^a)^a \notin F_L$. Thus, $(\mathcal{G} \setminus \{r\})^a \in F_L$ but $((\mathcal{G} \setminus \{r\})^a)^a \notin F_L$, and so $L$ is not Zemanian.

COROLLARY 3.8. Let $L \supseteq S4.3$. If $\min(Q \setminus F_L) = \{\mathcal{G}\}$, then $L$ is Zemanian iff $\mathcal{G}$ is nonuniquely rooted.

Proof. Suppose that $\mathcal{G}$ is nonuniquely rooted. Then every quasi-chain in $\min(Q \setminus F_L)$ is nonuniquely rooted, so $L$ is Zemanian by Theorem 3.7. Conversely, suppose that $L$ is Zemanian. Then Theorem 3.7 yields that either $\mathcal{G}$ is nonuniquely rooted or $\mathcal{G} \setminus \{r\}$ is uniquely rooted and $(\mathcal{G} \setminus \{r\})^a \notin F_L$. We show that the latter condition is never satisfied when $\min(Q \setminus F_L)$ is a singleton. Suppose that both $\mathcal{G}$ and $\mathcal{G} \setminus \{r\}$ are uniquely rooted. Since the depth of $\mathcal{G}$ is greater than the depth of $(\mathcal{G} \setminus \{r\})^a$, we have that $\mathcal{G}$ is not isomorphic to any subframe of $(\mathcal{G} \setminus \{r\})^a$. Therefore, $\mathcal{G} \notin (\mathcal{G} \setminus \{r\})^a$, and so $(\mathcal{G} \setminus \{r\})^a \in F_L$.

§4. S4.3 and HED-spaces. We assume the reader is familiar with basic topological concepts (see, e.g., Engelking (1999)). For a topological space $X$, we use $c_X$ and $i_X$ for closure and interior in $X$, respectively. We recall that a topological space $X$ is extremely...
**DEFINITION 4.1** (Dow & van Mill, 2007, sec. 2). **Suppose X is a topological space.**

1. For a subspace $Y$ of $X$, we define the set $\mathcal{N}(Y)$ of near-points of $Y$ by

   \[ \mathcal{N}(Y) = \bigcup \{ \mathcal{e}_X(D) \mid D \text{ is a countable discrete subspace of } Y \} \]

2. The subspaces $Y$ and $Z$ of $X$ are far if $\mathcal{N}(Y) \cap \mathcal{N}(Z) = \emptyset$.

A topological space is **dense-in-itself** or **crowded** if it has no isolated points.

**THEOREM 4.2** (Dow & van Mill, 2007, sec. 2). **There is a countable pairwise disjoint family $\mathcal{A}$ of countable crowded dense subsets of** $E(2^c)$ **such that**

1. each element of $\mathcal{A}$ is a nodec open-hereditarily irresolvable ED-space;
2. distinct elements of $\mathcal{A}$ are far.

**REMARK 4.3.** As follows from Dow & van Mill (2007, sec. 4), each element of $\mathcal{A}$ is not only nodec and open-hereditarily irresolvable, but also maximal, hence submaximal, and hence also hereditarily irresolvable.

A **dense partition** of a topological space $X$ is a pairwise disjoint collection $\mathcal{P}$ of dense subsets of $X$ such that $X = \bigcup \mathcal{P}$. Call $X$ **$n$-resolvable** provided there is a dense partition of $X$ consisting of $n$ elements; otherwise $X$ is called **$n$-irresolvable**.

Let $\mathcal{A}$ be as in Theorem 4.2. Enumerate $\mathcal{A} = \{ A_1, \ldots, A_n, \ldots \}$ and set $X_n = A_1 \cup \cdots \cup A_n$.
LEMMA 4.4.
1. $X_n$ is nodec.
2. If $k > n$ and $N$ is nowhere dense in $X_n$, then $\mathcal{N}(A_k) \cap \mathfrak{c}_{E(2^c)}(N) = \emptyset$.
3. A nonempty open subspace $U$ of $X_n$ is $n$-resolvable and $(n + 1)$-irresolvable.

Proof. (1). Suppose $N$ is nowhere dense in $X_n$. We show that $N_i := N \cap A_i$ is nowhere dense in the subspace $A_i$. Let $U$ be an open subset of $A_i$ such that $U \subseteq \mathfrak{c}_{X_n}(N_i)$. Then there is an open subset $V$ of $X_n$ such that $U = V \cap A_i$. Since $A_i$ is dense in $X_n$, we have $V \subseteq \mathfrak{c}_{X_n}(U)$. Therefore, $V \subseteq \mathfrak{c}_{X_n}(N_i) \subseteq \mathfrak{c}_{X_n}(N)$. Because $N$ is nowhere dense in $X_n$, we have $V = \emptyset$. Thus, $U = \emptyset$, and so $N_i$ is nowhere dense in $A_i$.

Since $A_i$ is nodec, $N_i$ is closed and discrete. If $i \neq j$, then $A_i$ and $A_j$ are far. Therefore, as $N_i$ is countable,

$$\mathfrak{c}_{E(2^c)}(N_i) \cap A_j \subseteq \mathcal{N}(A_i) \cap \mathcal{N}(A_j) = \emptyset.$$ 

Because $N = N \cap X_n = N \cap \bigcup_{i=1}^n A_i = \bigcup_{i=1}^n (N \cap A_i) = \bigcup_{i=1}^n N_i$, we have that

$$\mathfrak{c}_{X_n}(N) = \mathfrak{c}_{X_n}\left(\bigcup_{i=1}^n N_i\right) = \bigcup_{i=1}^n \mathfrak{c}_{X_n}(N_i) = \bigcup_{i=1}^n \left[\mathfrak{c}_{E(2^c)}(N_i) \cap X_n\right]$$

$$= \bigcup_{i=1}^n \left[\mathfrak{c}_{E(2^c)}(N_i) \cap A_j\right] = \bigcup_{i=1}^n \mathfrak{c}_{E(2^c)}(N_i) \cap A_j = \bigcup_{i=1}^n \mathfrak{c}_{A_i}(N_i) = \bigcup_{i=1}^n N_i = N.$$

So $N$ is closed in $X_n$. This yields that $X_n$ is a nodec space.

(2). Suppose $k > n$. Then $A_i$ and $A_k$ are far for each $i \leq n$. Since $N_i$ is a countable discrete subset of $A_i$, we have

$$\mathcal{N}(A_k) \cap \mathfrak{c}_{E(2^c)}(N) = \mathcal{N}(A_k) \cap \bigcup_{i=1}^n \mathfrak{c}_{E(2^c)}(N_i) = \bigcup_{i=1}^n \left[\mathcal{N}(A_k) \cap \mathfrak{c}_{E(2^c)}(N_i)\right]$$

$$= \bigcup_{i=1}^n \left[\mathcal{N}(A_k) \cap \mathcal{N}(A_i)\right] = \emptyset.$$

(3). Let $U$ be a nonempty open subspace of $X_n$. Note that $X_n$ is $n$-resolvable since $\{A_1, \ldots, A_n\}$ is a dense partition of $X_n$. Therefore, $U$ is $n$-resolvable by Eckertson (1997, Prop. 1.1(c)). Since $A_i$ is dense, $U \cap A_i$ is a nonempty open subset of $A_i$, and hence a crowded open-hereditarily irresolvable space. Because $U = \bigcup_{i=1}^n (U \cap A_i)$, it follows from Eckertson (1997, Lemma 3.2(a)) that $U$ is $(n + 1)$-irresolvable. \Box

For $m > 1$ and a finite uniquely rooted quasi-chain $\mathfrak{f}$ of depth $m$, we construct $X_{\mathfrak{f}}$ by recursion on $m$. Suppose $\text{max}(\mathfrak{f})$ consists of $n$ elements.

**Base case:** For $m = 2$, set $X_{\mathfrak{f}} = \bigcup_{i=1}^n A_i$. Then $X_{\mathfrak{f}}$ is a countable dense subspace of $E(2^c)$, and hence $X_{\mathfrak{f}}$ is a countable crowded ED-space.

**Recursive step:** Suppose $m > 2$, $\mathfrak{f} := \mathfrak{f} \setminus \text{max}(\mathfrak{f})$, and $Y := X_{\mathfrak{f}}$ is already built. So $Y$ is a countable crowded ED-space constructed from the finite uniquely rooted quasi-chain
\[ Z = \bigcup_{i=1}^{n} A_i. \] Since \( A_{n+1} \) is crowded, it is easy to construct a countable family \( \{ U_i \mid i \in \omega \} \) of open sets in \( A_{n+1} \) such that their closures in \( A_{n+1} \) are pairwise disjoint. Picking a point from each \( U_i \) then yields a countably infinite closed discrete subset \( D \) of \( A_{n+1} \). Let \( \beta\omega \) denote the Čech-Stone compactification of the discrete space \( \omega \). By Walker (1974, Prop. 1.48), \( c_{E(2^\omega)}(D) \) is homeomorphic to \( \beta\omega \) since countable sets in an ED-space are \( C^* \)-embedded (see, e.g., Walker (1974, Prop. 1.64)). Also, \( c_{E(2^\omega)}(D) \cap Z = \emptyset \) since \( A_i \) and \( A_{n+1} \) are far for all \( i \leq n \).

By Efimov's theorem (1970) (see also van Mill (1984, Theorem 1.4.7)), each compact Hausdorff ED-space of weight \( \leq c \) can be embedded in \( \beta\omega \). Therefore, \( \beta Y \) and hence \( Y \) is embedded in \( \beta\omega \), which is homeomorphic to \( c_{E(2^\omega)}(D) \). Since \( Y \) is crowded, we may assume that \( Y \) is a subspace of \( c_{E(2^\omega)}(D) \setminus D \). We set \( \mathfrak{F} \) to be the subspace \( Y \cup Z \) of \( E(2^\omega) \); see Figure 7.

\[ \begin{array}{c}
A_1 \\
\vdots \\
A_n \\
\vdots \\
A_{n+1} \\
\vdots \\
Z
\end{array} \]

\[ D \]

\[ c_{E(2^\omega)}(D) \]

\[ E(2^\omega) \]

Fig. 7. Recursive step defining \( \mathfrak{F} = Y \cup Z \).

**§5. Properties of \( \mathfrak{F} \).** It follows from the construction that \( \mathfrak{F} \) is a countable crowded Tychonoff ED-space, and hence an HED-space. Moreover, \( Z \) is open and dense in \( \mathfrak{F} \) and \( Y \) is closed and nowhere dense in \( \mathfrak{F} \). To see this, \( Y \subseteq c_{E(2^\omega)}(D) \) gives \( Y \cap Z = \emptyset \), so \( Y = \mathfrak{F} \cap c_{E(2^\omega)}(D) \) is closed in \( \mathfrak{F} \), and so \( Z = \mathfrak{F} \setminus Y \) is open in \( \mathfrak{F} \). Since each \( A_i \) is dense in \( E(2^\omega) \), it follows that \( Z \) is dense in \( \mathfrak{F} \). As \( Z \) is open and dense in \( \mathfrak{F} \), we see that \( Y = \mathfrak{F} \setminus Z \) is nowhere dense.

Let \( \mathfrak{R} = (W, R) \) be a finite quasi-chain. Call \( U \subseteq W \) an \( R \)-upset provided \( w \in U \) and \( wRv \) imply \( v \in U \) (\( R \)-downsets are defined dually). Recall that the opens in the Alexandroff topology on \( W \) are the \( R \)-upsets, and the closure in the Alexandroff topology is given by \( R^{-1}(A) := \{ w \in W \mid \exists v \in A \text{ with } wRv \} \).

We recall that a map \( f : X \to Y \) between topological spaces is interior provided \( f \) is continuous and open. If \( f \) is an onto interior map, then we call \( Y \) an interior image of \( X \). Our next goal is to show that \( \mathfrak{F} \), viewed as an Alexandroff space, is an interior image of \( \mathfrak{F} \). To prove Lemma 5.2, we utilize the following two straightforward facts, which we gather together in a lemma for easy reference.
THEOREM 5.3.

1. Let $X, Y$ be topological spaces and $f : X \to Y$ an onto interior map. Suppose $C \subseteq Y$ and $D = f^{-1}(C)$. Then the restriction of $f$ to $D$ is an interior mapping onto $C$.

2. A dense subspace of a crowded $T_1$-space is crowded.

LEMMA 5.1.

1. Let $X, Y$ be topological spaces and $f : X \to Y$ an onto interior map. Suppose $C \subseteq Y$ and $D = f^{-1}(C)$. Then the restriction of $f$ to $D$ is an interior mapping onto $C$.

2. A dense subspace of a crowded $T_1$-space is crowded.

LEMMA 5.2. Let $X$ be a $T_1$-space and $\mathfrak{F}$ a nonuniquely rooted finite quasi-chain. Then $\mathfrak{F}$ is an interior image of $X$ iff $\mathfrak{F}'$ is an interior image of $X$.

Proof. First suppose there is an onto interior mapping $f : X \to \mathfrak{F}'$. As $\mathfrak{F}$ is a generated subframe of $\mathfrak{F}'$, by Lemma 2.2, there is an onto $p$-morphism $g : \mathfrak{F}' \to \mathfrak{F}$. Since $p$-morphisms correspond to interior maps between Alexandroff spaces, the composition $g \circ f : X \to \mathfrak{F}$ is an interior map, showing that $\mathfrak{F}$ is an interior image of $X$.

Next suppose there is an onto interior mapping $f : X \to \mathfrak{F}$. For each $w \in \min(\mathfrak{F})$, let $A_w = f^{-1}(w)$. Then $D := f^{-1}(\min(\mathfrak{F}))$ is partitioned into $\{A_w \mid w \in \min(\mathfrak{F})\}$. By Lemma 5.1(1), the restriction of $f$ is an interior mapping of $D$ onto $\min(\mathfrak{F})$. Therefore, since $R^{-1}(w) = \min(\mathfrak{F})$, each $A_w$ is dense in $D$. Because $\min(\mathfrak{F})$ contains more than one point, $D$ is crowded. By Lemma 5.1(2), each $A_w$ is crowded, hence infinite.

Choose $x_0 \in D$ and define $g : X \to \mathfrak{F}'$ by

$$g(x) = \begin{cases} r & \text{if } x = x_0, \\ f(x) & \text{if } x \neq x_0. \end{cases}$$

Clearly $g$ is a well-defined map, and $g$ is onto since $g(x_0) = r$ and $D \setminus \{x_0\} \neq \emptyset$. For $w \in \mathfrak{F}'$, observe that

$$g^{-1}(R[w]) = \begin{cases} X & \text{if } w = r, \\ X \setminus \{x_0\} & \text{if } w \in \min(\mathfrak{F}), \\ f^{-1}(R[w]) & \text{otherwise}. \end{cases}$$

Therefore, $g$ is continuous since $X$ is $T_1$ and $f$ is continuous. For a nonempty open subset $U$ of $X$, observe that

$$g(U) = \begin{cases} f(U) & \text{if } x_0 \notin U, \\ \mathfrak{F}' & \text{if } x_0 \in U. \end{cases}$$

Thus, $g$ is open since $f$ is open and $\mathfrak{F}$ is a generated subframe of $\mathfrak{F}'$. Consequently, $\mathfrak{F}'$ is an interior image of $X$.

We are ready to prove that $\mathfrak{F}$ is an interior image of $X_{\mathfrak{F}}$.

THEOREM 5.3. Each finite uniquely rooted quasi-chain $\mathfrak{F}$ of depth $m > 1$ is an interior image of $X_{\mathfrak{F}}$.

Proof. Suppose $\max(\mathfrak{F})$ consists of $n$ elements. Let $G = \mathfrak{F} \setminus \max(\mathfrak{F})$. We proceed by induction on $m \geq 2$. First suppose $m = 2$. By Lemma 4.4(3), $X_{\mathfrak{F}}$ is $n$-resolvable. By Bezhanishvili et al. (to appear, Lemma 5.9), $\max(\mathfrak{F})$ is an interior image of $X_{\mathfrak{F}}$. Therefore, since $\mathfrak{F} = \max(\mathfrak{F})'$, Lemma 5.2 yields that $\mathfrak{F}$ is an interior image of $X_{\mathfrak{F}}$.

Next suppose $m > 2$. By construction, $X_{\mathfrak{F}} = Y \cup Z$, where $Y = X_G$ and $Z = \bigcup_{i=1}^{m} A_i$. By the inductive hypothesis, there is an onto interior map $g : Y \to G$. By Lemma 4.4(3), the open subspace $Z$ of $X_{\mathfrak{F}}$ is $n$-resolvable. Therefore, by Bezhanishvili et al. (to appear, Lemma 5.9), there is an onto interior map $h : Z \to \max(\mathfrak{F})$. Define $f : X_{\mathfrak{F}} \to \mathfrak{F}$ by

$$f(x) = \begin{cases} g(x) & \text{if } x \in Y, \\ h(x) & \text{if } x \in Z. \end{cases}$$
Since $Y$ and $Z$ are complements in $X_{\mathfrak{F}}$, the map $f$ is well-defined. It is onto since $g$ is onto $\mathcal{G}$ and $h$ is onto $\max(\mathfrak{F})$. Moreover,
\[
f^{-1}(R^{-1}(w)) = \begin{cases} X_{\mathfrak{F}} & \text{if } w \in \max(\mathfrak{F}), \\ g^{-1}(R^{-1}(w)) & \text{if } w \in \mathcal{G}. \end{cases}
\]
Notice that $f^{-1}(R^{-1}(w))$ is closed in $X_{\mathfrak{F}}$ whenever $w \in \mathcal{G}$ since $g$ is continuous and $Y$ is closed in $X_{\mathfrak{F}}$. Therefore, $f$ is continuous. To see that $f$ is open, let $U$ be a nonempty open subset of $X_{\mathfrak{F}}$. Since $A_i$ is dense in $Z$ and hence in $X_{\mathfrak{F}}$, we have $U \cap A_i \neq \emptyset$ for all $i \leq n$. So
\[
f(U) = f(U \cap Z) \cup g(U \cap Y) = h(U \cap Z) \cup g(U \cap Y) = \max(\mathfrak{F}) \cup g(U \cap Y).
\]
Because $g$ is open and $U \cap Y$ is open in $Y$, we have $g(U \cap Y)$ is an $R$-upset of $\mathcal{G}$. Therefore, $f(U)$ is an $R$-upset of $\mathfrak{F}$. Thus, $f$ is open, so $f$ is an onto interior map, and hence $\mathfrak{F}$ is an interior image of $X_{\mathfrak{F}}$.

We next recall the definition of the modal Krull dimension $\text{mdim}(X)$ of a topological space $X$ from Bezhanishvili et al. (to appear):

\[
\text{mdim}(X) = \begin{cases} -1 \text{ if } X = \emptyset, \\ n \text{ if } \text{mdim}(D) \leq n - 1 \text{ for every nowhere dense subset } D \text{ of } X, \\ \infty \text{ if } \text{mdim}(X) \leq n \text{ and } \text{mdim}(X) \neq n - 1, \\ \infty \text{ if } \text{mdim}(X) \leq n \text{ for any } n = -1, 0, 1, 2, \ldots.
\]

As follows from Bezhanishvili et al. (to appear, Rem. 4.8, Theorem 4.9), for a $T_1$-space $X$, we have $\text{mdim}(X) \leq n$ iff $X \models \text{zd}_n$; in particular, $X$ is nodec iff $\text{mdim}(X) \leq 1$.

**Theorem 5.4.** For a finite uniquely rooted quasi-chain $\mathfrak{F}$ of depth $m > 1$, the modal Krull dimension of $X_{\mathfrak{F}}$ is $m - 1$.

**Proof.** The proof is by induction on $m \geq 2$. First suppose $m = 2$. Then $X_{\mathfrak{F}}$ is nodec by Lemma 4.4(1). Since $X_{\mathfrak{F}}$ is a crowded $T_1$-space, it follows from Bezhanishvili et al. (to appear, Rem. 4.8, Theorem 4.9) that $\text{mdim}(X_{\mathfrak{F}}) = 1$.

Next suppose $m > 2$. Let $\max(\mathfrak{F})$ consist of $n$ elements and $\mathfrak{G} = \mathfrak{F} \setminus \max(\mathfrak{F})$. By construction, $X_{\mathfrak{F}} = Y \cup Z$, where $Y = X_{\mathfrak{G}}$, $Y \subseteq c_{E(2)}(D) \subseteq \mathcal{N}(A_{n+1})$, and $Z = \bigcup_{i=1}^n A_i$. By the inductive hypothesis, $\text{mdim}(Y) = m - 2$. Let $N$ be a nowhere dense subset of $X_{\mathfrak{F}}$. Since $Z$ is open in $X_{\mathfrak{F}}$, we see that $N \cap Z$ is nowhere dense in $Z$. By Lemma 4.4(2),
\[
Y \cap c_N(N \cap Z) \subseteq \mathcal{N}(A_{n+1}) \cap c_{E(2)}(N \cap Z) = \emptyset.
\]
Therefore, $c_N(N \cap Z) \subseteq N \setminus Y = N \cap Z$, showing that $N \cap Z$ is closed in $N$. Clearly $N \cap Z$ is open in $N$ since $Z$ is open in $X_{\mathfrak{F}}$. Thus, $N \cap Z$ is clopen in $N$. It follows that $N$ is the topological sum of $N \cap Z$ and $N \cap Y$. By Lemma 4.4(1), $Z$ is nodec. So by Bezhanishvili et al. (to appear, Lemma 3.3), $\text{mdim}(N \cap Z) \leq \text{mdim}(Z) \leq 1 = m - 2$ and $\text{mdim}(N \cap Y) \leq \text{mdim}(Y) = m - 2$. Therefore, Bezhanishvili et al. (to appear, Lemma 5.6) yields $\text{mdim}(N) \leq m - 2$. Thus, by definition, $\text{mdim}(X_{\mathfrak{F}}) \leq m - 1$. But since $Y$ is a nowhere dense subspace of $X_{\mathfrak{F}}$ with $\text{mdim}(Y) = m - 2$, we see that $\text{mdim}(X_{\mathfrak{F}}) \not\leq m - 2$. Consequently, $\text{mdim}(X_{\mathfrak{F}}) = m - 1$.

**Lemma 5.5.** Suppose a finite quasi-chain $\mathfrak{F}$ is an interior image of $X$. If $X$ has an isolated point, then $\max(\mathfrak{F})$ is a singleton.
Proof. Let \( f : X \to \mathfrak{S} \) be an onto interior mapping. If \( x \in X \) is an isolated point, then since \( f \) is interior, \( \{ f(x) \} \) is an \( R \)-upset of \( \mathfrak{S} \). But the least nonempty \( R \)-upset of \( \mathfrak{S} \) is \( \max(\mathfrak{S}) \). Thus, \( \max(\mathfrak{S}) = \{ f(x) \} \) is a singleton. \( \square \)

**Lemma 5.6.** Suppose \( X \) is a nodec space and \( \mathfrak{S} \) is a finite quasi-chain. If \( f : X \to \mathfrak{S} \) is an onto interior mapping, then \( \mathfrak{S} = \max(\mathfrak{S}) \) or \( \mathfrak{S} = \max(\mathfrak{S})' \).

**Proof.** It is shown in Bezhanishvili, Esakia, & Gabelaia (2005, Prop. 3.8) that \( S_4 \mathcal{Z} \) defines the class of nodec spaces. Therefore, an interior image of a nodec space is a nodec space. It is a consequence of Bezhanishvili et al. (2005, Prop. 4.1) that a finite quasi-chain, viewed as an Alexandroff space, is a nodec space iff \( \mathfrak{S} \) is a cluster or \( \mathfrak{S} = \max(\mathfrak{S})' \). The result follows. \( \square \)

**Lemma 5.7.** If \( C \) is a nonempty closed subset of a nodec \( \text{ED} \)-space \( X \), then \( C \) is a disjoint union of a clopen set and a closed discrete set.

**Proof.** Let \( E = \text{cx}_1X(C) \). Then \( C \supseteq E \) and \( E \) is clopen since \( X \) is \( \text{ED} \). Also \( F := C \setminus E \) is a closed nowhere dense subset of \( X \). Therefore, \( F \) is discrete since \( X \) is nodec. Clearly \( E, F \) are disjoint and \( C = E \cup F \).

The next lemma is the main technical result of the section.

**Lemma 5.8.** If a finite quasi-chain \( \mathfrak{G} = (V, R) \) is an interior image of a closed subspace \( C \) of \( X_{\mathfrak{S}} \), then \( \mathfrak{G} \) is isomorphic to a subframe of \( \mathfrak{S} \). Moreover, if the interior of \( C \) is nonempty, then \( \mathfrak{G} \) is isomorphic to a cofinal subframe of \( \mathfrak{S} \).

**Proof.** Suppose that \( g : C \to \mathfrak{G} \) is an onto interior mapping, depth(\( \mathfrak{S} \)) = \( m \), \( \max(\mathfrak{S}) \) consists of \( n \) elements, and \( \max(\mathfrak{G}) \) consists of \( k \) elements. By Bezhanishvili et al. (to appear, Lemma 3.3) and Theorem 5.4, \( \text{mdim}(C) \leq \text{mdim}(X_{\mathfrak{S}}) = m - 1 \). Therefore, by Bezhanishvili et al. (to appear, Theorem 3.6), \( C \models \text{bd}_m \). Since \( \mathfrak{G} \) is an interior image of \( C \), we have \( \mathfrak{G} \models \text{bd}_m \), and hence depth(\( \mathfrak{G} \)) \( \leq m \). If depth(\( \mathfrak{G} \)) = \( m \) and \( \mathfrak{G} \) is nonuniquely rooted, then Lemma 5.2 yields that \( \mathfrak{G}' \) is an interior image of \( C \). This is a contradiction since \( \mathfrak{G}' \not\models \text{bd}_m \). Thus, if depth(\( \mathfrak{G} \)) = \( m \), then \( \mathfrak{G} \) is uniquely rooted. We prove that \( \mathfrak{G} \) is isomorphic to a subframe of \( \mathfrak{S} \) by induction on \( m \geq 2 \).

**Base case:** Suppose \( m = 2 \). Then \( \mathfrak{G} = \max(\mathfrak{G}) \) or \( \mathfrak{G} = \max(\mathfrak{G})' \). We show that \( \mathfrak{G} \) is isomorphic to a cofinal subframe of \( \mathfrak{S} \). For this it is sufficient to show that \( \max(\mathfrak{G}) \) consists of no more than \( n \) elements. Since \( m = 2 \), we have that \( X_{\mathfrak{S}} \) is a nodec \( \text{ED} \)-space, so Lemma 5.7 gives that \( C = E \cup F \), where \( E \) and \( F \) are disjoint, \( E \) is clopen in \( X_{\mathfrak{S}} \), and \( F \) is closed and discrete in \( X_{\mathfrak{S}} \). If \( F \neq \emptyset \), then since \( F \) is discrete, every point in \( F \) is isolated in \( C \). Therefore, \( C \) has an isolated point. Thus, by Lemma 5.5, \( \max(\mathfrak{G}) \) is a singleton, and hence \( \max(\mathfrak{G}) \) consists of no more than \( n \) elements. If \( F = \emptyset \), then \( C = E \) is open in \( X_{\mathfrak{S}} \), so \( g^{-1}(\max(\mathfrak{G})) \) is open in \( X_{\mathfrak{S}} \). By Lemma 4.4(3), \( g^{-1}(\max(\mathfrak{G})) \) is \( (n + 1) \)-irresolvable. Therefore, by Bezhanishvili et al. (to appear, Lemma 5.9), \( \max(\mathfrak{G}) \) consists of no more than \( n \) elements. Thus, \( \mathfrak{G} \) is isomorphic to a cofinal subframe of \( \mathfrak{S} \).

**Inductive step:** Suppose \( m > 2 \). By construction, \( X_{\mathfrak{S}} = Y \cup Z \), where \( Y := X_{\mathfrak{S}} \setminus \max(\mathfrak{G}) \) is closed and nowhere dense in \( X_{\mathfrak{S}} \) and \( Z = \bigcup_{i=1}^{n} A_i \) is open and dense in \( X_{\mathfrak{S}} \). If \( C \subseteq Y \), then by the inductive hypothesis, \( \mathfrak{G} \) is isomorphic to a subframe of \( \mathfrak{S} \setminus \max(\mathfrak{G}) \), and hence \( \mathfrak{G} \) is isomorphic to a subframe of \( \mathfrak{S} \). Suppose \( C \not\subseteq Y \), so \( C \cap Z \neq \emptyset \). We first show that \( \max(\mathfrak{G}) \) has no more than \( n \) elements. Since \( C \cap Z \) is open in \( C \), it follows that \( g|_{C \cap Z} \) is an interior mapping of \( C \cap Z \) onto \( g(C \cap Z) \), which is a generated subframe of \( \mathfrak{G} \), and hence contains \( \max(\mathfrak{G}) \). Also \( C \cap Z \) is
closed in \( Z \). By Lemma 4.4(1), \( Z \) is nodec, so by Lemma 5.7, there are disjoint subsets \( E \) and \( F \) of \( Z \) such that \( E \) is clopen in \( Z \), \( F \) is closed and discrete in \( Z \), and \( C \cap Z = E \cup F \).

If \( F \neq \emptyset \), then \( C \cap Z \) has an isolated point, and so \( \max(\mathcal{G}) = \max(g(C \cap Z)) \) is a singleton by Lemma 5.5. So we may assume that \( F = \emptyset \). Then \( C \cap Z = E \) is open in \( Z \), and so \( (g|_{C \cap Z})^{-1}(\max(\mathcal{G})) \) is open in \( Z \). By Lemma 4.4(3), \( (g|_{C \cap Z})^{-1}(\max(\mathcal{G})) \) is \((n + 1)\)-irresolvable, so it follows from Bezhanishvili et al. (to appear, Lemma 5.9) that \( \max(\mathcal{G}) \) contains no more than \( n \) elements.

We next show that \( \mathcal{G} \) is isomorphic to a cofinal subframe of \( \mathfrak{F} \). If \( \depth(\mathcal{G}) = 1 \), then \( \mathcal{G} = \max(\mathcal{G}) \). Since \( \max(\mathcal{G}) \) has no more than \( n \) elements and \( \max(\mathcal{G}) \) has \( n \) elements, \( \mathcal{G} \) is isomorphic to a cofinal subframe of \( \mathfrak{F} \). Suppose \( \depth(\mathcal{G}) > 1 \). The set \( N := g^{-1}(\mathcal{G} \setminus \max(\mathcal{G})) \) is a closed nowhere dense subset of \( C \). Since the restriction \( g|_{C \cap Z} \) is interior, we have \( N \cap Z = (g|_{C \cap Z})^{-1}(\mathcal{G} \setminus \max(\mathcal{G})) \) is a closed nowhere dense subset of \( Z \). By Lemma 4.4(2),

\[
Y \cap c_{E(2^2)}(N \cap Z) \subseteq \mathcal{N}(A_{n+1}) \cap \bigcup_{i=1}^{n} \mathcal{N}(A_i) = \emptyset.
\]

Therefore,

\[
\begin{align*}
\mathbf{c}_{X_{\mathcal{F}}}(N \cap Z) &= \mathbf{c}_{X_{\mathcal{F}}}(N \cap Z) \cap (Y \cup Z) \\
&= (\mathbf{c}_{X_{\mathcal{F}}}(N \cap Z) \cap Y) \cup (\mathbf{c}_{X_{\mathcal{F}}}(N \cap Z) \cap Z) \\
&= (X_{\mathcal{F}} \cap c_{E(2^2)}(N \cap Z) \cap Y) \cup \mathbf{c}_{Z}(N \cap Z) \\
&= \emptyset \cup (N \cap Z) = N \cap Z.
\end{align*}
\]

Thus, \( N \cap Z \) is closed in \( X_{\mathcal{F}} \). Clearly \( N \cap Z \) is open in \( N \) since \( Z \) is open in \( X_{\mathcal{F}} \). It follows that \( N \cap Z \) is clopen in \( N \). Consequently, \( N \cap Y = N \setminus Z \) is also clopen in \( N \). We proceed by cases.

First suppose \( N \subseteq Z \). Then \( N = N \cap Z \), so \( N \) is closed in \( C \cap Z \). Therefore, \( (C \cap Z) \setminus N \) is open in \( C \cap Z \). The restriction \( g|_{C \cap N} : C \cap Z \to \mathcal{G} \) is interior and onto \( \mathcal{G} \) since \( g|_{C \cap Z}(C \cap Z) = g((C \cap Z) \setminus N) \cup g((C \cap Z) \cap N) \subseteq \max(\mathcal{G}) \cup g(N) = \max(\mathcal{G}) \cup (\mathcal{G} \setminus \max(\mathcal{G})) = \mathcal{G} \).

Because \( Z \) is nodec and \( C \cap Z \) is a (closed) subspace of \( Z \), we see that \( C \cap Z \) is nodec. Since \( \depth(\mathcal{G}) > 1 \), Lemma 5.6 yields that \( \depth(\mathcal{G}) = 2 \) and \( \mathcal{G} \) is uniquely rooted. As \( \depth(\mathfrak{F}) = m > 2 \), \( \max(\mathfrak{F}) \) consists of \( n \) elements, and \( \max(\mathcal{G}) \) has no more than \( n \) elements, \( \mathcal{G} \) is isomorphic to a cofinal subframe of \( \mathfrak{F} \).

Next suppose \( N \subseteq Y \). It follows from Lemma 5.1(1) that the restriction \( g|_N : N \to \mathcal{G} \setminus \max(\mathcal{G}) \) is an onto interior map. Moreover, \( N \) is closed in \( C \), which is closed in \( X_{\mathcal{F}} \), so \( N \) is closed in \( X_{\mathcal{F}} \). Therefore, \( N \) is also closed in \( Y \). By the inductive hypothesis, \( \mathcal{G} \setminus \max(\mathcal{G}) \) is isomorphic to a subframe of \( \mathfrak{F} \setminus \max(\mathfrak{F}) \). Thus, \( \mathcal{G} \) is isomorphic to a cofinal subframe of \( \mathfrak{F} \) since \( \max(\mathfrak{F}) \) consists of \( n \) elements and \( \max(\mathcal{G}) \) has no more than \( n \) elements.

Finally, suppose \( N \cap Z \neq \emptyset \) and \( N \cap Y \neq \emptyset \). By Lemma 5.1(1), \( g|_N : N \to \mathcal{G} \setminus \max(\mathcal{G}) \) is an onto interior map. Let \( r \) denote a root of \( \mathcal{G} \) and hence a root of \( \mathcal{G} \setminus \max(\mathcal{G}) \). Since \( N \cap Z \) and \( N \cap Y \) are clopen in \( N \), both \( g|_N(N \cap Z) \) and \( g|_N(N \cap Y) \) are \( R \)-upsets in \( \mathcal{G} \setminus \max(\mathcal{G}) \). Either \( r \in g|_N(N \cap Z) \) or \( r \in g|_N(N \cap Y) \).

If \( r \in g|_N(N \cap Z) \), then \( g|_N(N \cap Z) = \mathcal{G} \setminus \max(\mathcal{G}) \), so \( g|_{N \cap Z} \) is an interior mapping onto \( \mathcal{G} \setminus \max(\mathcal{G}) \). Since \( N \cap Z \) is nowhere dense in the nodec space \( Z \), we have that \( N \cap Z \) is discrete, so \( \text{mdim}(N \cap Z) = 0 \), and hence \( \depth(\mathcal{G} \setminus \max(\mathcal{G})) = 1 \) by Bezhanishvili et al.
(to appear, Theorem 3.6). Since discrete spaces are irresolvable, $\mathcal{G} \setminus \max(\mathcal{G})$ is a singleton by Bezhanishvili et al. (to appear, Lemma 5.9). Thus, depth$(\mathcal{G}) = 2$ and $\mathcal{G} = \max(\mathcal{G})'$. Because depth$(\mathcal{F}) = m > 2$, $\max(\mathcal{F})$ consists of $n$ elements, depth$(\mathcal{G}) = 2$, and $\max(\mathcal{G})$ has no more than $n$ elements, $\mathcal{G}$ is isomorphic to a cofinal subframe of $\mathcal{F}$.

If $r \in g|_{N}(N \cap Y)$, then $g|_{N}(N \cap Y) = \mathcal{G} \setminus \max(\mathcal{G})$, so $g|_{N \cap Y}$ is an interior mapping onto $\mathcal{G} \setminus \max(\mathcal{G})$. Since $C$ is closed in $X_{\mathcal{F}}$ and $N$ is closed in $C$, $N$ is closed in $X_{\mathcal{F}}$. But $Y$ is also closed in $X_{\mathcal{F}}$, giving that $N \cap Y$ is closed in $X_{\mathcal{F}}$, and so $N \cap Y$ is closed in $Y$. By the inductive hypothesis, $\mathcal{G} \setminus \max(\mathcal{G})$ is isomorphic to a subframe of $\mathcal{F} \setminus \max(\mathcal{F})$. Therefore, $\mathcal{G}$ is isomorphic to a cofinal subframe of $\mathcal{F}$ since $\max(\mathcal{F})$ consists of $n$ elements and $\max(\mathcal{G})$ has no more than $n$ elements.

Consequently, we have shown that $\mathcal{G}$ is isomorphic to a cofinal subframe of $\mathcal{F}$ whenever $C \not\subseteq Y$. If the interior of $C$ is nonempty, then $C \not\subseteq Y$ since $Y$ is nowhere dense in $X_{\mathcal{F}}$. Thus, $\mathcal{G}$ is isomorphic to a cofinal subframe of $\mathcal{F}$ and the proof is complete.

We conclude this section by the following consequence of Lemma 5.8, which will be utilized in the last section.

**Theorem 5.9.** If a finite quasi-chain $\mathcal{G}$ is an interior image of an open subspace of $X_{\mathcal{F}}$, then $\mathcal{G}$ is a p-morphic image of $\mathcal{F}$.

**Proof.** Suppose that there exist an open subspace $U$ of $X_{\mathcal{F}}$ and an onto interior mapping $g : U \to \mathcal{G}$. Since $g$ is onto, for each $v \in \mathcal{G}$, there is $x_{v} \in g^{-1}(v)$. As $X_{\mathcal{F}}$ is a Tychonoff ED-space, $X_{\mathcal{F}}$ is zero-dimensional by Engelking (1989, Theorem 6.2.25). Therefore, for each $v \in \mathcal{G}$, there is a clopen subset $U_{v}$ of $X_{\mathcal{F}}$ such that $x_{v} \in U_{v} \subseteq U$. Let $C = \bigcup_{v \in \mathcal{G}} U_{v}$. Since $\mathcal{G}$ is finite, $C$ is a clopen subset of $X_{\mathcal{F}}$ contained in $U$. Because $C$ is open in $U$, $g|_{C}$ is an interior mapping of $C$ onto $\mathcal{G}$. Since $C$ is closed in $X_{\mathcal{F}}$ and has nonempty interior, it follows from Lemma 5.8 that $\mathcal{G}$ is isomorphic to a cofinal subframe of $\mathcal{F}$. Thus, $\mathcal{G}$ is a p-morphic image of $\mathcal{F}$ by Lemma 2.1. $\qopname\relax o{\square}$

§6. **Main results.** In this section we will prove the main results of the paper. Our first result determines the logic of $X_{\mathcal{F}}$. The proof utilizes a topological version of Fine’s theorem: for a finite rooted S4-frame $\mathcal{F}$ and a topological space $X$, we have $X \models X_{\mathcal{F}}$ iff $\mathcal{F}$ is not an interior image of an open subspace of $X$ (Bezhanishvili et al., to appear, Lemma 3.5).

**Theorem 6.1.** Let $\mathcal{F}$ be a finite uniquely rooted quasi-chain of depth $m > 1$. Then $\text{Log}(X_{\mathcal{F}}) = \text{Log}(\mathcal{F})$.

**Proof.** By Theorem 5.3, $\mathcal{F}$ is an interior image of $X_{\mathcal{F}}$. Therefore, since interior images preserve validity, $\text{Log}(X_{\mathcal{F}}) \subseteq \text{Log}(\mathcal{F})$. For the reverse inclusion, let $\mathcal{G}$ be a finite quasi-chain. By Fine’s theorem (1974, sec. 2, Lemma 1), Lemma 2.3, Theorem 5.9, and Bezhanishvili et al. (to appear, Lemma 3.5),

$$
\begin{align*}
\mathcal{F} &\models \chi_{\mathcal{G}} \iff \mathcal{G} \text{ is not a p-morphic image of a generated subframe of } \mathcal{F}, \\
\text{iff } \mathcal{G} \text{ is not a p-morphic image of } \mathcal{F}, \\
\text{iff } \mathcal{G} \text{ is not an interior image of an open subspace of } X_{\mathcal{F}}, \\
\text{iff } X_{\mathcal{F}} &\not\models \chi_{\mathcal{G}}.
\end{align*}
$$

Since $\text{Log}(\mathcal{F}) = S4.3 + \{\chi_{\mathcal{G}} \mid i \in \text{Log}(\mathcal{F})\} = \{\mathcal{G}_{1}, \ldots, \mathcal{G}_{n}\}$, where $\min(\mathcal{Q} \setminus \text{F}_{\text{Log}(\mathcal{F})}) = \{\mathcal{G}_{1}, \ldots, \mathcal{G}_{n}\}$, we have $\mathcal{F} \models \chi_{\mathcal{G}_{i}}$ for each $i$. Therefore, $X_{\mathcal{F}} \not\models \chi_{\mathcal{G}_{i}}$ for each $i$. Thus, $\text{Log}(X_{\mathcal{F}}) \not\models \chi_{\mathcal{G}_{i}}$ for each $i$, and so $\text{Log}(\mathcal{F}) \subseteq \text{Log}(X_{\mathcal{F}})$. $\qopname\relax o{\square}$
**THEOREM 6.3.** [Main Theorem] Let $L \supseteq S4.3$ be consistent. Then $L$ is the logic of a Tychonoff HED-space iff $L$ is Zemanian.

**Proof.** First suppose that $L$ is the logic of a Tychonoff HED-space $X$. Let $\check{F} \in \mathcal{F}_L$ be nonuniquely rooted. By Lemma 6.2, $\check{F}$ is an interior image of an open subspace $U$ of $X$. Since $X$ is Tychonoff, $U$ is $T_1$. Therefore, by Lemma 5.2, $\check{F}'$ is an interior image of $U$. Because open subspaces and interior images preserve validity, $\check{F}' \in \mathcal{F}_L$. Thus, $L$ is Zemanian.

Conversely, suppose $L$ is Zemanian. If $L \vdash \text{zem}_0$, then $L$ is the logic of a singleton space $X$, and hence the logic of a Tychonoff HED-space. Suppose $L \nvdash \text{zem}_0$. Then $\mathcal{F}_L$ contains a quasi-chain consisting of more than a single point. Therefore, since $L$ is Zemanian, there is $\check{F} \in \mathcal{U}_L \setminus \{C_1\}$. By Lemma 3.6, $L = \text{Log}(\mathcal{U}_L) \subseteq \text{Log}(\mathcal{U}_L \setminus \{C_1\})$. Because $C_1$ is a $p$-morph of $\check{F}$, we have that $\check{F}$ can refute any formula refuted on $C_1$, and hence $\text{Log}(\mathcal{U}_L) \supseteq \text{Log}(\mathcal{U}_L \setminus \{C_1\})$. Let $X$ be the topological sum of the $X_{\check{F}}$ where $\check{F} \in \mathcal{U}_L \setminus \{C_1\}$. Since the logic of a topological sum is the intersection of the logics of the summands, by Theorem 6.1,

$$\text{Log}(X) = \bigcap \{\text{Log}(X_{\check{F}}) \mid \check{F} \in \mathcal{U}_L \setminus \{C_1\}\} = \bigcap \{\text{Log}(\check{F}) \mid \check{F} \in \mathcal{U}_L \setminus \{C_1\}\} = \text{Log}(\mathcal{U}_L \setminus \{C_1\}) = \text{Log}(\mathcal{U}_L) = L.$$

As each $X_{\check{F}}$ is a Tychonoff HED-space, $X$ is a Tychonoff HED-space. Thus, $L$ is the logic of a Tychonoff HED-space. \hfill $\square$

**REMARK 6.4.**

1. The Tychonoff HED-space $X$ built in the proof of Theorem 6.3 is countable because in the case when $L \vdash \text{zem}_0$, $X$ is a singleton; and in the case when $L \nvdash \text{zem}_0$, since $\mathcal{U}_L$ is countable, $X$ is a countable topological sum of countable spaces, hence $X$ is countable. On the other hand, since a countable Tychonoff ED-space is HED, the only logics above $S4.2$ that have the countable model property with respect to Tychonoff spaces are Zemanian extensions of $S4.3$.

2. Since $S4.3$ is Zemanian, by Theorem 6.3, $S4.3$ is the logic of a countable crowded Tychonoff HED-space $X$. A different construction of such an $X$ was given in Bezhanishvili et al. (2015), where $X$ was constructed as a subspace of the Gleason cover $E(\mathbb{I})$ of the real unit interval $\mathbb{I} = [0, 1]$. The recursive process of Bezhanishvili et al. (2015) constructing $X$ is based on nesting $\omega$ copies of $E(\mathbb{I})$ within itself by first selecting a countable $\omega$-resolvable dense subspace $X_1$ of $E(\mathbb{I})$ such that a homeomorphic copy $E_1$ of $E(\mathbb{I})$ is contained in $E(\mathbb{I}) \setminus X_1$, then repeating the base step in each $E_n$ giving $X_{n+1}$ and $E_{n+1} \subseteq E_n \setminus X_{n+1}$, and finally setting $X = \bigcup_{n=1}^{\infty} X_n$. Comparing Bezhanishvili et al. (2015) to this paper, we note that the current construction builds ‘upwards from the bottom’ whereas the previous construction builds ‘downwards from the top’. Also, the current construction...
provides control over the resolvability at each stage, while the previous one does not. On the other hand, the previous construction does not require topological sums.

3. Instead of nesting \( \omega \) copies of \( E(\mathbb{I}) \) within itself we can nest \( \omega \) copies of \( \beta \omega \) within itself as follows. Observe that there is a subspace of \( \beta \omega \setminus \omega \) homeomorphic to \( \beta \omega \). Let \( \beta_n \) be homeomorphic to \( \beta \omega \) and \( D_n \) be the isolated points of \( \beta_n \) for \( n \geq 1 \). Embed \( \beta_{n+1} \) in \( \beta_n \setminus D_n \) and set \( X = \bigcup_{n=1}^{\infty} D_n \). Then \( X \) a countable scattered Tychonoff HED-space, and hence \( \text{Log}(X) = \text{Grz.3} \).

4. In contrast to (3), the Tychonoff HED-space \( X \) built in the proof of Theorem 6.3 for the case when \( L \nvdash \text{zem}_0 \) is crowded since \( X_{\overline{\mathfrak{F}}} \) is crowded for each \( \mathfrak{F} \in U_L \) of depth \( > 1 \). If the uniquely rooted \( \mathfrak{F} \) is such that it has a unique maximal point (and depth\( (\mathfrak{F}) > 2 \)), a slight modification of the construction of §4 can produce a Tychonoff HED-space \( X_{\overline{\mathfrak{F}}} \) in which the isolated points are dense. Let \( Y = X_{\overline{\mathfrak{F}\setminus\text{max}(\mathfrak{F})}} \) be as in the recursive step defining \( X_{\overline{\mathfrak{F}}} \). Up to homeomorphism, \( Y \) is a subspace of \( \beta \omega \setminus \omega \) (see Figure 7). Identify \( D \) with \( \omega \) and \( c_{E(2^c)}(D) \) with \( \beta \omega \). Take \( X_{\overline{\mathfrak{F}}} \) to be the subspace \( Y \cup \omega \) of \( \beta \omega \). Then the isolated points of \( X_{\overline{\mathfrak{F}}} \) are dense.

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