The Nuts and Bolts of Automated Text Analysis. Comparing Different Document Pre-Processing Techniques in Four Countries

Greene, Z.; Ceron, A.; Schumacher, G.; Fazekas, Z.

Publication date
2016

Document Version
Final published version

Citation for published version (APA):

General rights
It is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), other than for strictly personal, individual use, unless the work is under an open content license (like Creative Commons).

Disclaimer/Complaints regulations
If you believe that digital publication of certain material infringes any of your rights or (privacy) interests, please let the Library know, stating your reasons. In case of a legitimate complaint, the Library will make the material inaccessible and/or remove it from the website. Please Ask the Library: https://uba.uva.nl/en/contact, or a letter to: Library of the University of Amsterdam, Secretariat, Singel 425, 1012 WP Amsterdam, The Netherlands. You will be contacted as soon as possible.
The Nuts and Bolts of Automated Text Analysis:
Comparing Different Document Pre-Processing Techniques in Four Countries

Zac Greene
University of Strathclyde

Andrea Ceron
University of Milan

Gijs Schumacher
University of Amsterdam

& Zoltan Fazekas
University of Oslo

Abstract
Automated text analytic techniques have taken on an increasingly important role in the study of parties and political speech. Researchers have studied manifestos, speeches in parliament, and debates at party national meetings. These methods have demonstrated substantial promise for measuring latent characteristics of texts. In application, however, scaling models require a large number of decisions on the part of the researcher that likely hold substantive implications for the analysis. Past researchers proposed discussion of these implications, but there is no clear prescription or systematic examination of these choices with the goal of establishing a set of best practices based on their implications for speeches at parties’ national meetings in a comparative setting. We examine the implications of these choices with data from intra-party meetings in Germany, Italy, the Netherlands, and prime minister speeches in Denmark. We conclude with considerations for those undertaking political text analyses.
Automated text analysis methods offer substantial opportunities to develop and test political science theories. These tools have been used to explain diverse topics such as MPs’ behavior in parliament (Schonhardt-Bailey 2006; Klemmensen et al. 2007; Quinn et al. 2010; Proksch and Slapin 2012; Eggers and Spirling 2014), policy positions (Laver et al. 2003; Slapin and Proksch 2008; Proksch and Slapin 2009), legislator press releases (Grimmer 2010), central bank statements (Baerg 2014; Baerg and Lowe 2015), news reports (Van Atteveldt et al. 2008; Coscia and Rios 2012; Stockmann 2012), intra-party divisions (Ceron 2012, 2013, 2014; Greene and Haber 2014; Lo et al. 2014), social media (King et al. 2013; Barbera 2015) and US government treaties with Native American tribes (Spirling 2012). Alternate approaches to scale the latent preferences of actors’ have been particularly useful for some applications in comparative politics research (e.g. Laver et al. 2003; Slapin and Proksch 2008). This path-breaking research comes with clear prescriptions for the efficient and valid use of automated text techniques in various settings (e.g. Laver et al. 2003; Lowe 2008; Monroe et al. 2008; Proksch and Slapin 2009; Grimmer and Stewart 2013; Lucas et al. 2015). In using these methods, analysts face substantial choices prior to the implementation of the primary analysis. For example, researchers often reduce linguistic complexity by removing uninformative stopwords and by stemming documents, and facilitate model estimation by removing rare (or very common) terms. Although these practices are common and uncontroversial in computer science and linguistics (e.g. Hollink et al. 2004; Manning et al. 2008), the potential substantive implications of these choices for frequently used scaling models applied to political texts are less clear (see Denny and Spirling 2016 for a similar approach). Differences between languages further complicate the formulation of best practices, especially for those engaged in comparative, cross national research (but see Lucas et al. 2015).

In this paper, we examine the consequences of these choices for frequently used models that estimate the latent positions of political actors from spoken and written texts (e.g. Wordfish). In particular, we review these practices and consider their implications for the estimated position of a document and the estimated uncertainty of that position. We propose that decisions related to the processing of political documents influence the consistency and the reliability of the results obtained from automated text analysis. Practices designed to
reduce linguistic complexity reduce the uncertainty associated with individual speeches by isolating the most informative words. We demonstrate these characteristics using party leader speeches and written texts of internal party debates in a variety of languages from Germany, Italy, and the Netherlands, and annual prime minister speeches from Denmark. We conclude that researchers should pay close attention to the processing decisions they make and encourage scholars to be transparent with the substantive implications of these decisions for their analyses.

**Political Text Analysis and Dimensional Scaling**

Text analysis has played a pioneering role in comparative research. Initial studies investigated political preferences and attention by means of large-scale human based coding projects. Through projects such as the Manifesto Research Group (e.g. Budge and Farlie 1983; Budge et al. 2001; Klingemann et al. 2005) and the Policy Agendas Project (Jones et al. 2009), individual coders used predefined topic labels to hand code documents like election manifestos and laws. These time and resource intensive projects have led to a large number of advancements in political research.

By the early 2000s, political science researchers had begun to explore automated techniques to exploit the burgeoning amount of textual information. In response to theoretical approaches emphasizing the effect of formal rules and actors’ preferences, scholars proposed latent dimensional scaling models to extract speakers’ relative preferences from political text. These models were often presented as an alternate data source to study the behavior of representatives behaviors in parliament where data is often lacking, incomplete or endogenous to the processes under evaluation (Laver et al. 2003; Loewenberg 2008; Proksch and Slapin 2008). Furthermore, they were also employed in order to overcome potential limitations and reliability issues related to human coding (Mikhaylov et al. 2011).

Following these advances, two approaches have shown particular promise for studying the preferences of parties and candidates: *Wordscores* and *Wordfish*. Both sets of scaling models have been used to explore the preferences of political actors and much debate
surrounds their use for scaling political documents and speeches (e.g. Benoit and Laver 2007 and 2008; Lowe 2008; Martin and Vanberg 2008; Proksch and Slapin 2009).

Proposed initially as a method to study the relative location of parliamentary leaders, *Wordscores* has since been applied to a broad range of political text such as election manifestos and parliamentary speech (e.g. Laver and Benoit 2002; Laver et al. 2003; Laver 2003; Giannetti and Laver 2005; Benoit and Herzog 2015). At its heart, *Wordscores* presents an *a priori* approach to studying political texts, falling into the category of supervised learning algorithms. The researcher identifies a set of political texts with known ideological characteristics. The distribution of words used in new documents is then compared to the distribution of words in an original set of “reference” texts (Laver et al. 2003). Each document is converted into a word frequency matrix that can be used to estimate the similarity of each document to the reference texts chosen.

While the reference texts used in the *Wordscores* approach provide clear *a priori* knowledge of the underlying dimension in the text, *Wordfish* measures the underlying differences more generally, extracting substantively relevant quantities in an unsupervised manner. In the *Wordfish* approach, documents are given positions on a latent dimension in which the dimension’s direction can be determined by anchor documents. Unlike *Wordscores*, the researcher cannot be *a priori* certain of the underlying dimension based on a set of reference texts, but purposefully selected anchors inform the model so that the researchers know directionality of underlying conflict.

Although these two approaches have their differences, both rely on the (quite strong) assumption that the content of the political texts is predominantly ideological, and therefore informative of the policy position expressed by each actor (Grimmer and Stewart 2013). They also share another core element, namely their input, which is even more crucial in light of such ‘ideological dominance’ assumption. Which terms enter the word frequency matrices (that serve as the basis of the analyses) has fundamental implications for the results retrieved. Indeed, it has been argued that preprocessing, by removing words that are mainly related to stylistic features of the texts can allow to isolate more ideological words and mitigate some drawbacks of scaling approaches (Beauchamp 2011; Grimmer and Stewart 2013). In particular,
Beauchamp (2011) shows that removing technical language, which coincides more with party power than with ideology, significantly improves the estimates.

Few attempts have been made to explore the effect of pre-processing decisions on the outputs from each model. So far, this important feature of text analysis has rarely been addressed. Proksch and Slapin’s (2008) discussion of stemming and stopwords reflects the most detailed discussion of their impact for the analysis and results, but they limit their focus to German election manifestos. Ultimately, they find evidence that both stemming and removing stopwords improve the identification of a document’s position. As long as there are good reasons to believe that these implications may be very different for alternate forms of text (such as speeches) and different languages (Hollink et al. 2004), in the course of the paper we will adopt a comparative framework to highlight how pre-processing can affect text analysis.

**Pre-Processing of Textual Data in Political Science**

Scaling political texts to obtain estimates of policy position requires that researchers prepare texts for the analysis and, to do that in a proper way, researches must be familiar with the tools for carrying out this preparation (Benoit and Herzog 2015). Pre-processing is an important part of automated text analysis. This refers to the stage of analysis in which textual data are cleaned and prepared for quantitative analysis. While computer scientists historically dedicated substantial attention to these questions political scientists largely relegate these decisions to footnotes. Proksch and Slapin (2009) aptly suggest that researchers should be transparent about these choices, as pre-processing can have substantial implications for the success of automated text analysis. Hence, assessing whether particular pre-processing decisions carry systematic implications for the results and whether these implications are present uniformly across types of documents and languages, contributes to applied comparative politics using political texts.

Dimensional scaling in political science has largely taken two alternate approaches and these approaches came with different practices in terms of pre-processing. In the *Wordscores* tradition, researchers have largely chosen to avoid removing or altering the substance of documents. Laver et al. (2003) explain that they use all types of words because they seek to
“analyze texts in languages we do not understand” and these words “convey no useful information, but they do not systematically bias our results” (Laver et al. 2003, 315-316).

Scholars in the Wordfish approach often undertake some form of pre-processing. Proksch and Slapin (2009) find that their estimates are the most efficient when stopwords are excluded and after using a stemmer. Their results are consistent with research on document retrieval showing that stemmers are often useful for German language texts (Hollink et al. 2004). Although Wordfish can be run on the entire word count data matrix, Proksch and Slapin (2009b) additionally recommend to use only a subsample of words (unless the language has remained constant over time) and to remove words that are used very infrequently. Along facilitating across-time comparisons, this latter step facilitates model estimation by reducing the number of cells with zero word frequencies in the document-term matrix.

Following this research, we consider the implications of pre-processing. We focus on the consequences (in terms of Wordfish estimates) of the decision to remove stopwords, adopt word stemming, and reduce the sparsity of the word matrix. These practices are common points of discussion in computer science and linguistics, some of the steps applied, but less well known in political science (see for example, Manning 2008).

A common practice in machine learning and automated text analysis is to exclude certain words from the analysis. So called “stopwords” such as why, to, it, offer no additional meaning to an analysis focused on distinguishing a latent dimension of political conflict. Not all words are useful for extracting positions through scaling of political texts. By including them in the analysis, they reduce the efficiency of estimates and might potentially introduce bias based on certain rhetorical approaches (Manning et al. 2008). Scholars, however, are also reminded that stopword removal should be used with caution: as long as there is no ‘one-size fits-all’ list of words to be removed, researchers should inspect which words are worth removing and which words are not (Benoit and Herzog 2015).

Computer scientists often consider words the natural unit for analysis. While it makes sense for hand-coded texts to use full sentences or quasi-sentences (Budge et al. 2001), automated text analysis often focuses on the level of the word.¹ The issue with this approach is

---

¹ Other approaches such as k-grams use combinations of adjacent words to scale documents (Manning et al. 2008).
that words with similar meanings, but different endings often convey similar information about a text’s latent position. The terms democracy, democratic, and democratizing likely all indicate a disposition towards the same substantive goal. Treating these words as distinct might over-estimate the similarity of two texts while increasing the estimates of uncertainty for both word and document weights.

Instead, stemming algorithms seek to better connect substantively similar words. In this process, stems are the sliced up pieces of a text (often a word), and these become the unit of analysis (Manning et al. 2008). The process of tokenization breaks sentences down into smaller pieces to extract meaningful differences between texts. The goal of stemming and lemmatization (a similar process based on a dictionary approach) is to reduce complex forms of words to their simplest root. On the one hand, stemming is a relatively simplistic approach that removes the end of the words. For example, stemming would cause the analysis to treat related words such as unemployment and unemployed equally by treating them as their word stem, unemploy. This results in converting a number of related words to a similar root. Lemmatization is a slightly more sophisticated approach that tries to return the words most basic dictionary form, or the lemma. Lemmatization might classify variations of employment differently dependent on the word’s usage as a verb or noun. Lemmatization’s primary drawback is that it requires an external dictionary of lemmas (Manning et al. 2008).

Porter’s (1980) stemming algorithm is the most common method of reducing inflectional complexity (Manning et al. 2008), although other algorithms also perform well. Stemming tends to increase recall, but reduces the precision of estimates as it treats some words with many variations as the same root (Manning et al. 2008). Stemming benefits some languages more than others, as linguistic morphology increases (in languages such as German or Finnish), stemming better accounts for the complexity. Stemming has been found to increase recall in a number of European languages, but does not consistently improve information retrieval for all cases (Hollink et al. 2004).

Following from this discussion, we propose that the removal of stopwords and stemming algorithms will increase the substantive differences between actors and reduce estimates of uncertainty for each speaker. As Hollink et al. (2004) find, however, the
improvements may not be comparable across all languages. Taking the cue from this research, in the next section, we describe a new data set on debates held at parties’ national meetings and political leader speeches from four European democracies that will be used to assess the advantages and disadvantages of pre-processing across languages.

Methods and Techniques

Using *Wordfish*, a well-known unsupervised technique that performs scaling of political texts to extract the policy position of political actors who delivered those texts, we assess whether and to what extent pre-processing political texts affects the estimates of policy positions and the uncertainty around these estimates. We perform a comparative analysis focusing our attention on four different cases. These cases present both similarities and differences. In particular, we will analyze political texts belonging to four different countries (Denmark, Germany, Italy and the Netherlands) and written in four different languages, three of which are Germanic (Danish, Dutch, German), while one is Romanic (Italian).

For the reasons discussed above, and in particular for the close link between language and ideology, which is a feature required by (supervised and) unsupervised scaling techniques, we primarily analyze data from intra-party congress debates that are assumed to involve a higher degree of ideology given that the audience is composed by the party leadership and party activists. Here we investigate pre-processing distinguishing between oral speeches delivered by party leaders (in the German and Dutch case) and written motions provided by party faction (based on Italian data). However, going beyond the realm of intra-party politics, we will also evaluate whether our findings hold true for other types of political texts, such as the speeches delivered by Danish Prime Ministers.

We primarily look to texts produced at intra-party conference, because studies of intra-party politics have found that documents and speeches from parties’ national meetings reveal important information about internal actors’ behaviors. Furthermore, internal debates involving the party leadership and party activists tend to focus on policy issues and to adopt a language markedly characterized by references to ideology. In this regard, they neatly match
the ‘ideological dominance’ assumption required by unsupervised scaling of political texts (Grimmer and Stewart 2013).

While studies traditionally theorized that intra-party groups hold influence over leadership selection and behavior in parliament, tracing such behavior has been historically difficult. Improvements in automated text analysis have proved crucial in empirically understanding these relationships. For example, Bäck, Debus and Klüver (2014) link the manifestos of state level parties to federal manifestos in Germany. Ceron (2012, 2013 and 2014) demonstrates that motions given at party meetings in Italy can be used to locate the positions of intra-party factions and predict parties’ behavior in government. Greene and Haber (2014) find through speeches at party meetings in France and Germany that intra-party disagreement increases when parties are in government, but expect to lose an election, though the location of party leadership candidates’ revealed preferences in parliament are only important for parties in the opposition.

The speeches delivered by the Danish Prime Ministers are somewhat different compared to intra-party texts. Every year, these speeches mark the start of the new parliamentary session (held on the first Tuesday of October), and they are delivered in the Parliament, so the audience is broader compared to intra-party speeches. In recent years (but not in early periods), the media also covers these speeches extensively; this feature can contribute to changes in how the speeches are approached by the PM. Their importance, however, goes beyond simple formalities. As described in §38 (1) of the Danish Constitutional Act, these non-technical political speeches should offer an account of the current state of Danish affairs, and the speech is followed by (starting on Thursday) a lengthy debate on the opening address and government’s financial plan, all parliamentary groups participating, with party spokespersons leading the debate. Overall, these speeches make references to previous achievements, but mostly set governmental priorities for the next parliamentary session, hence they are overarching, covering a mixture of topics, but focusing on the most salient few.

Accordingly, also driven by the time span covered, there is quite substantial heterogeneity in terms content, with the ‘ideological dominance’ being less pronounced compared to intra-party speeches. In this sense, these speeches serve the purpose of
evaluating whether our findings hold in general terms, even for political speeches that have different goals and target a slightly different audience than colleagues from one’s party. Table 1 summarizes the number, source and period of these texts.

**Table 1: Overview of speeches used in analysis**

<table>
<thead>
<tr>
<th>Country</th>
<th>Period</th>
<th>Number of speeches</th>
<th>Source</th>
<th>Number of parties</th>
</tr>
</thead>
<tbody>
<tr>
<td>Denmark</td>
<td>1953-2013</td>
<td>61</td>
<td>PM speeches in parliament</td>
<td>4 (A, RV, V, C)</td>
</tr>
<tr>
<td>Germany</td>
<td>1990-2012</td>
<td>1660</td>
<td>Party leader speeches at party conferences</td>
<td>1 (CDU)</td>
</tr>
<tr>
<td>Italy</td>
<td>1989-2010</td>
<td>104</td>
<td>Written motions from party conferences</td>
<td>15 (Several parties)</td>
</tr>
<tr>
<td>Netherlands</td>
<td>1946-2013</td>
<td>126</td>
<td>Party leader speeches at party conferences</td>
<td>6 (VVD, CDA, PvdA, D66, GL, SP)</td>
</tr>
</tbody>
</table>

**Stemming and Stopword Removal**

To start with, our analyses consider the effect of excluding stopwords, using stemming or both, and contrast these results with those obtained when running Wordfish on word frequency matrices generated without any form of pre-processing. Table 2 provides details on the number of unique words considered in each analysis and the on the type of pre-processing technique adopted (if any) employed.

**Table 2: Number of (unique) words pre- and post-processing**

<table>
<thead>
<tr>
<th></th>
<th>Number of words (stems)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>No processing</td>
</tr>
<tr>
<td>Denmark</td>
<td>20,429</td>
</tr>
<tr>
<td>Country</td>
<td>Pre-processing</td>
</tr>
<tr>
<td>------------</td>
<td>----------------</td>
</tr>
<tr>
<td>Germany</td>
<td>57,686</td>
</tr>
<tr>
<td>Italy</td>
<td>38,864</td>
</tr>
<tr>
<td>Netherlands</td>
<td>27,627</td>
</tr>
</tbody>
</table>

First, we look at the differences between the estimates. Figure 1 plots the different estimates using as reference (x-axis) the location retrieved from non-processed texts while positions after pre-processing (stopwords, stem, or both) are shown on the y-axis, for each country corpus.

Overall, the different measures seem to be highly correlated, but the picture also shows that pre-processing can sometimes make the difference. In the Dutch and the Italian case, the estimates appear to be quite similar to each other as the dots are quite close to the bisecting line. In Dutch texts, this is particularly true when we only remove stopwords, while there are more changes when using stemming (especially for ideological ‘moderate’ documents). In the Italian case, to the contrary, it is removing stopwords that makes the difference while the estimates of stemming and no-processing are highly correlated (0.99).²

In the German and Danish texts, dots are scattered further away from the bisecting line. Here we observe, once again, that stopwords and stemming does not seem to matter in the same way. In Germany, the results look quite different when we remove stopwords, while in the Danish case stemming seems more an issue, particularly for speeches originally on the lower end of the latent dimension scale.

---

² One explanation might be the length of the list of stopwords of each language. The Dutch list has approximately 90 words, the Italian approximately 200, the German 300, and the Danish 80.
We focus on what happens to the standard errors of the estimates in Figure 2. Here the differences become wider, except for the Dutch case in which we do not observe many differences. Overall, removing stopwords also reduces the magnitude of the standard errors (both in Germany, Italy and Denmark). Conversely, using the stem of words is effective in reducing standard errors in Danish and Italian texts, while it has a negative effect in German speeches. Remarkably, combining stemming and stop words yields negative consequences on the uncertainty of the estimates: in all the four countries standard errors tend, in fact, to increase. Why do stopword removal and stemming, taken alone, reduce uncertainty, while when both are combined the standard errors start to grow? This perhaps surprising finding suggests that scholars have to weigh the effect of different forms of pre-processing before running the analysis and highlights the need to investigate more in depth this matter.
Removal of sparse words

In the next step, we remove sparse terms. For this purpose we use the term-document matrices that have been stemmed and from which stopwords have been removed (see rightmost column in Table 2). Subsequently, we remove terms that occur in fewer than respectively 1%, 5%, 10% and 20% of the documents. Table 3 displays the number of unique words that remain in the term-document matrix after excluding the sparse terms. The number of words that remain differs strikingly between languages. From the German data only 0.4% remain if we remove terms that occur in fewer than 20% of the documents. For the Dutch data 3.5% remain, and for
the Italian and Danish data around 10% remain. Especially, the difference between linguistically close languages such as Dutch and German is striking.

**Table 3: Removal of sparse words**

<table>
<thead>
<tr>
<th></th>
<th>No removal*</th>
<th>&lt;1%</th>
<th>&lt;5%</th>
<th>&lt;10%</th>
<th>&lt;20%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Denmark</td>
<td>13,187</td>
<td>13,187</td>
<td>3,441</td>
<td>2,227</td>
<td>1,327</td>
</tr>
<tr>
<td>Germany</td>
<td>41,158</td>
<td>3,577</td>
<td>911</td>
<td>407</td>
<td>176</td>
</tr>
<tr>
<td>Italy</td>
<td>20,512</td>
<td>10,770</td>
<td>5,136</td>
<td>3,355</td>
<td>2,065</td>
</tr>
<tr>
<td>Netherlands</td>
<td>23,020</td>
<td>8,613</td>
<td>2,778</td>
<td>1,603</td>
<td>812</td>
</tr>
</tbody>
</table>

* Number of words left over after stemming and stopword removal.

In Figure 3 we compare the position of a document where no sparse terms have been removed to the positions of the same document where we did remove sparse terms. The pictures reveal a number of unexpected trends. For the Danish, German and Dutch cases all evidence a non-linear relationship between the estimates with sparse word removal and those without removing sparse words. The extent of the non-linearity becomes more pronounced as the number of sparse words is increased. Furthermore, the non-linearity seems to be focused on the negative end of the scale. This suggests that the specific words removed were given some weight to distinguish one end of the scale, but were then removed as sparse words.

The correlations between the estimates after removing sparse words are remarkably low, with the exception of the Italian case. For example, in the Netherlands these correlations are shockingly low. The correlation between documents where no sparse terms have been removed, and documents where only 1% was removed is 0.62. If you compare the former to documents where 10% or 20% were removed it is only 0.14. This suggests that researchers should remove sparse terms with extreme care, also to avoid losing many informative words.

Figure 4 illustrates the standard error estimates for each country as sparse words are removed. For each case, the removal of greater sparse words tends to decrease the size of the standard errors. The removal of too many words may improve the model’s ability to distinguish

---

3 Results for 1% sparsity in Denmark not displayed, as they are identical to those with no sparse term reduction.
between texts, but the reduced standard errors also might lead to overconfidence in the derived estimates.

**Figure 3:** Speaker estimates after sparse word removal

**Figure 4:** Standard error estimates after sparse word removal
Analyzing Distance in Position and Standard Errors Including Covariates

In a next step, we analyze the absolute distances in the position of speaker estimates produced by the various methods compared to the position of speaker estimates of unprocessed texts. We use the pre-processing methods as independent variables and in two cases add covariates such as time and party to a standard OLS regression analysis. Table 4 displays the results.

**Table 4: Regression results (OLS) for distance to position retrieved from unprocessed document**

<table>
<thead>
<tr>
<th></th>
<th>Netherlands</th>
<th>Germany</th>
<th>Denmark</th>
<th>Italy</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Stemming</strong></td>
<td>-0.007</td>
<td>-0.003</td>
<td>0.084</td>
<td>-0.413***</td>
</tr>
<tr>
<td></td>
<td>(0.105)</td>
<td>(0.021)</td>
<td>(0.102)</td>
<td>(0.033)</td>
</tr>
<tr>
<td><strong>Stopwords</strong></td>
<td>-0.029</td>
<td>0.222***</td>
<td>-0.334***</td>
<td>0.019</td>
</tr>
<tr>
<td></td>
<td>(0.105)</td>
<td>(0.021)</td>
<td>(0.102)</td>
<td>(0.033)</td>
</tr>
<tr>
<td><strong>Removed 1% sparse terms</strong></td>
<td>1.479***</td>
<td>0.289***</td>
<td>0.000</td>
<td>0.068**</td>
</tr>
<tr>
<td></td>
<td>(0.105)</td>
<td>(0.021)</td>
<td>(0.102)</td>
<td>(0.033)</td>
</tr>
<tr>
<td><strong>Removed 5% sparse terms</strong></td>
<td>0.861***</td>
<td>0.424***</td>
<td>0.596***</td>
<td>0.130***</td>
</tr>
<tr>
<td></td>
<td>(0.105)</td>
<td>(0.021)</td>
<td>(0.102)</td>
<td>(0.033)</td>
</tr>
<tr>
<td><strong>Removed 10% sparse terms</strong></td>
<td>1.251***</td>
<td>0.482***</td>
<td>0.911***</td>
<td>0.157***</td>
</tr>
<tr>
<td></td>
<td>(0.105)</td>
<td>(0.021)</td>
<td>(0.102)</td>
<td>(0.033)</td>
</tr>
<tr>
<td><strong>Removed 20% sparse terms</strong></td>
<td>1.201***</td>
<td>0.446***</td>
<td>0.327***</td>
<td>0.171***</td>
</tr>
</tbody>
</table>
Again, we find that stemming and stopword removal have different effects across languages: no effect in Dutch, a positive effect of stopword removal in German, a negative effect of stopword removal in Denmark, and a negative effect of stemming in Italy. Removing sparse terms has a similar effect across languages: it increases the distance to the unprocessed

---

4 Parties 1 to 5 in the Netherlands are D66, GL, PvdA, SP and VVD. In Denmark parties 1 to 3 are RV, A and V.
document. The covariates matter too. The Danish and Dutch models have a much higher explained variance than the German and Italian models. Estimates of some parties in some time periods are apparently more vulnerable to model specification.

Table 5: Regression results (OLS) for distance to SE retrieved from unprocessed document

<table>
<thead>
<tr>
<th></th>
<th>Netherlands</th>
<th>Germany</th>
<th>Denmark</th>
<th>Italy</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Stemming</strong></td>
<td>-0.005</td>
<td>-0.006</td>
<td>-0.008***</td>
<td>0.001</td>
</tr>
<tr>
<td></td>
<td>(0.003)</td>
<td>(0.006)</td>
<td>(0.002)</td>
<td>(0.002)</td>
</tr>
<tr>
<td><strong>Stopwords</strong></td>
<td>-0.005</td>
<td>-0.013**</td>
<td>-0.033***</td>
<td>-0.001</td>
</tr>
<tr>
<td></td>
<td>(0.003)</td>
<td>(0.006)</td>
<td>(0.002)</td>
<td>(0.002)</td>
</tr>
<tr>
<td><strong>Removed 1% sparse terms</strong></td>
<td>-0.023***</td>
<td>-0.073***</td>
<td>0.000</td>
<td>-0.005***</td>
</tr>
<tr>
<td></td>
<td>(0.003)</td>
<td>(0.006)</td>
<td>(0.002)</td>
<td>(0.002)</td>
</tr>
<tr>
<td><strong>Removed 5% sparse terms</strong></td>
<td>-0.042***</td>
<td>-0.127***</td>
<td>-0.016***</td>
<td>-0.011***</td>
</tr>
<tr>
<td></td>
<td>(0.003)</td>
<td>(0.006)</td>
<td>(0.002)</td>
<td>(0.002)</td>
</tr>
<tr>
<td><strong>Removed 10% sparse terms</strong></td>
<td>-0.057***</td>
<td>-0.173***</td>
<td>-0.022***</td>
<td>-0.015***</td>
</tr>
<tr>
<td></td>
<td>(0.003)</td>
<td>(0.006)</td>
<td>(0.002)</td>
<td>(0.002)</td>
</tr>
<tr>
<td><strong>Removed 20% sparse terms</strong></td>
<td>-0.057***</td>
<td>-0.122***</td>
<td>0.017***</td>
<td>-0.020***</td>
</tr>
<tr>
<td></td>
<td>(0.003)</td>
<td>(0.006)</td>
<td>(0.002)</td>
<td>(0.002)</td>
</tr>
<tr>
<td><strong>Before 1970</strong></td>
<td>-0.002</td>
<td></td>
<td>0.011***</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(0.003)</td>
<td></td>
<td>(0.001)</td>
<td></td>
</tr>
<tr>
<td><strong>Between 1970 and 1990</strong></td>
<td>-0.006***</td>
<td></td>
<td>0.003***</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(0.002)</td>
<td></td>
<td>(0.001)</td>
<td></td>
</tr>
<tr>
<td><strong>Party 1</strong></td>
<td>-0.002</td>
<td></td>
<td>-0.001</td>
<td></td>
</tr>
<tr>
<td></td>
<td>(0.003)</td>
<td></td>
<td>(0.002)</td>
<td></td>
</tr>
<tr>
<td><strong>Party 2</strong></td>
<td>-0.007*</td>
<td></td>
<td>0.0004</td>
<td></td>
</tr>
</tbody>
</table>

---

5 This could be because of the longer time component.
We perform a similar analysis on the standard errors produced by the different models. However, this time we are interest in relative differences with estimates from the unprocessed model, because we want to analyze whether pre-processing decisions increase or decrease error. Table 5 displays these results. Most processing procedures reduce error, although – especially in the case of stemming – this effect is not always significant. The effect of removing sparse terms is almost always statistically significant and, with the exception of Denmark, it is much larger than that of stemming and removing stopwords. Similar to the previous analyses the models with covariates perform much better in terms of explained variance. The effects of the party and time dummies indicate that some parties and some time periods errors are systematically larger or smaller.
Conclusions

Automated text analysis holds great potential for understanding political behavior. Evidence on intra-party politics and government behavior has already revealed substantial novel insights. Much attention has already been given to the choice between types of text analytic models in political science. So far, however, less attention has been paid to the methods for dealing with linguistic complexity prior to the analysis stage. Our results show that common methods for preparing text for analysis have serious implications for the estimates derived using the Wordfish algorithm.

The differences do not vary consistently across languages. We caution researchers to remove stopwords and to stem documents, as the outcome of this choice seems case-dependent. To the contrary, the implications of removing sparse words seem to be similar across languages. Indeed, removing sparse words leads to large differences in the estimated speaker positions and reduces the uncertainty of the estimates in nearly every case. Notice that sparse word removal may cause unexpected shifts in speakers’ positions and suggest greater certainty than is warranted. Furthermore, the removal of sparse words should not be taken lightly as words occurring in only a small number of documents are likely to be given low word weights and have little impact on the final estimates to begin with. We suggest that scholars be transparent about the other pre-processing strategies undertaken and pay close attention to differences based on preprocessing decisions rather than theoretically derived differences in the texts.
References


