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Experimental and numerical study of band-broadening effects associated with analyte transfer in microfluidic devices for spatial two-dimensional liquid chromatography created by additive manufacturing

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Conventional one-dimensional column-based liquid chromatographic (LC) systems do not offer sufficient separation power for the analysis of complex mixtures. Column-based comprehensive two-dimensional liquid chromatography offers a higher separation power, yet suffers from instrumental complexity and long analysis times. Spatial two-dimensional liquid chromatography can be considered as an alternative to column-based approaches. The peak capacity of the system is ideally the product of the peak capacities of the two dimensions, yet the analysis time remains relatively short due to parallel second-dimension separations. Aspects affecting the separation efficiency of this type of systems include flow distribution to homogeneously distribute the mobile phase for the second-dimension (2D) separation, flow confinement during the first-dimension (1D) separation, and band-broadening effects during analyte transfer from the 1D separation channel to the 2D separation area.

In this study, the synergy between computational fluid dynamics (CFD) simulations and rapid prototyping was exploited to address band broadening during the 2D development and analyte transfer from 1D to 2D. Microfluidic devices for spatial two-dimensional liquid chromatography were designed, simulated, 3D-printed and tested. The effects of presence and thickness of spacers in the 2D separation area were addressed and leaving these out proved to be the most efficient solution regarding band broadening reduction. The presence of a stationary-phase material in the 1D channel had a great effect on the analyte transfer from the 1D to the 2D and the resulting band broadening. Finally, pressure limit of the fabricated devices and printability are discussed.

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1. Introduction

Analytical chemists are increasingly confronted with samples of high complexity from many different fields (e.g., life science, food, environmental, and materials). This creates a need for highly selective methods for analysing specific components and for advanced, comprehensive methods to fully characterize the samples. Two-dimensional separation methods, such as comprehensive two-dimensional liquid chromatography (LC × LC), are among the most powerful methods for separating complex samples, prior to detecting and characterizing individual components using, for example, mass spectrometry [1]. This is reflected in the many applications of LC × LC for separating samples as diverse as proteins [2–4], polymers [5–7], phenols in food [8–10], and wastewater [11]. Usually, LC × LC is performed in a column-based format. The sample is first separated in a first-dimension column, the effluent of which is divided in many fractions, which are sequentially transferred to a second-dimension column for further separation. Column-based LC × LC is now well established and suitable instrumentation and software are available commercially. Many different retention mechanisms can be combined [12] and LC × LC can be readily coupled with mass spectrometry for on-line characterization of the separated compounds. By using state-of-the-art (ultra-
high-performance LC technology in both dimensions and by combining two very different (“orthogonal”) mechanisms, very high peak capacities can be realized. By matching the separation mechanics with the properties of the sample (“sample dimensions” [13]), structured, readily interpretable chromatograms may be obtained [14].

An alternative format is “spatial” LC × LC. Instead of eluting compounds from a column at a specific time (“time-based”), analytes are characterized by the position to which they have migrated in the separation medium (“space-based”). In two-dimensional spatial LC × LC, the analytes are subsequently moved from their characteristic positions in a perpendicular direction in a second-dimension separation, either to a new position in the two-dimensional plane (LC × LC) or by elution (LC × LC) [15]. Different conditions (retention mechanisms) are used in the two dimensions [16,17]. A typical example is 2D-poly(acryl amide) gel electrophoresis (2D-PAGE), where iso-electric focussing is used in the first dimension, followed by gel electrophoresis in the second dimension [18,19]. The LC equivalent of 2D-PAGE, two-dimensional thin-layer chromatography (2D-TLC) has not yet developed into a truly high-performance technique, which would require high-pressure operation. Fundamentally, because all second-dimension separations are performed simultaneously, spatial LC × LC may outperform “temporal”, column-based LC × LC in terms of peak capacity per unit time. These advantages are greatly amplified when considering a third dimension [20]. Comprehensive three-dimensional liquid chromatography (spatial 3D-LC) may potentially yield peak capacities approaching one-million in a reasonable time and at moderate pressures [21]. Therefore, it is highly relevant to develop efficient spatial chromatography devices.

In a recently described 2D spatial separation device [22], the analytes are first separated in a first dimension (1D) channel and then transferred simultaneously to a second-dimension (2D) separation space. A 2D mobile phase flow distributor was used to homogeneously flush the analytes from the 1D channel into the second dimension without undoing the first separation. In this format the flow field and mass transfer from the 1D channel to 2D regions can greatly affect the separation efficiency. Additionally, any fabrication inaccuracy could prove detrimental to the operation of the device.

The development of microfluidic devices is an iterative process of designing and prototyping. Designs that appear satisfactory are prototyped and tested. The resulting experimental data on their performance can be used to enhance the design further. By using computational fluid dynamics (CFD) initial designs can be tested, while avoiding practical obstacles, yielding data that are otherwise difficult or sometimes even impossible to obtain. Because microfluidic devices feature numerous key parameters that affect critical decisions in the design process, prototyping can be a time-consuming and expensive process [23]. Some previous contributions from CFD studies involved flow distribution and selecting the number of channels in the second dimension [24–27].

In this study, the synergy between computational simulations and rapid prototyping was exploited to create and test novel microfluidic devices for spatial two-dimensional liquid chromatography. The geometries examined consisted of three main parts, viz. the flow distributor for the 2D mobile phase, the 1D channel and the 2D area (i.e. flat bed or 16 discrete channels). As a first step, CFD was used to study the flow through the 2D flow distributor and mass transfer from the 1D to the 2D channels. Additionally, devices were simulated with and without (particulate or monolithic) separation media in the 1D channel. The transfer of a mixture of dye and water from the 1D channel to the 2D area was simulated and the method of moments was used to calculate the 2D band variance. After the computational evaluation of various designs was completed, a smaller selection of suitable devices were 3D-printed via a high-resolution digital-light-processing (DLP) approach and broadening effects were compared between simulated and printed devices without stationary-phase material. Finally, the pressure limit of these 3D-printed devices was investigated.

2. Materials and methods

2.1. Chemicals

The methacrylate-based resin Asiga PlasClear V2 was purchased from 3DXS (Erfurt, Germany). 2-Propanol was obtained from Bio-solve (Valkenswaard, The Netherlands). PME Natural Food Color (Red) was obtained from Knightsbridge PME (Enfield, United Kingdom).

2.2. Computational fluid dynamics (CFD) studies

For all CFD simulations, ANSYS Workbench Fluids and Structures Academic package (versions 16.2–17.2) was used (ANSYS, Canonsburg, PA, USA). All simulations were conducted using the Fluent solver. All types of devices studied were discretized in a similar manner with ANSYS Meshing. In regions where the highest velocity and concentration gradients were expected, smaller sized cells were used, to increase the accuracy of the computations. These regions were expected to be located near the walls of the distributor and the 2D area (because of the no-slip boundary condition) and throughout the entire 1D channel (because of the large change in cross-sectional area from the distributor to the 1D channel). More specifically, the distributor was meshed with an unstructured tetrahedral grid with inflation layers (=length with gradually growing cell size) on the distributor walls, the 1D channel was meshed with an unstructured tetrahedral grid with fixed maximum cell size and the 2D area was meshed with a structured hexahedral grid with smaller cells near the walls. The number of elements in all cases was around 2 500 000. All cases were solved for flow [28] and species-transport. In the latter, Fick’s law for diffusion applies [29]. An example of the agreement between ANSYS and the analytical Aris solution can be found in the work of Gzil et al. [30].

A total of ten variants of the devices were examined, as summarized in Table 1 and illustrated in Fig. 1. The key distinguishing factor between these designs was the nature of the 2D area, either being a uniform flat bed or consisting of 16 discrete channels separated by spacers. Two types of spacers were studied (i.e. 0.1 or 0.5 mm thickness) and all types had the same flow distributor format composed of cylindrical channels with an internal diameter varying from 0.3 to 1.0 mm with 90° angle T-junctions. These
dimensions took into consideration the best-possible resolution of the DLP 3D-printer used in this research.

Simulations were conducted for both an empty 1D channel and for a 1D channel containing a stationary-phase material. The porous zone is not physically present and in order to approximate its effect the superficial-velocity porous formulation is applied, where the mixture velocities are calculated based on the flow rate in a porous region. The porosity is assumed to be isotropic and porosity is not taken into account for the calculation of diffusion terms in the transport equations. The examined types with an empty 1D channel are representative of 2D separation devices previously reported in literature, in which isoelectric focusing was used as the 1D separation method [31,32]. The types simulated for a 1D channel with a stationary phase represented the presence of an organic polymer monolith. This presence was mimicked by treating the 1D channel as a porous zone with permeability $1.7 \times 10^{-13} \text{m}^2$, a typical value for polymer monoliths [33]. The dimensions of the 1D channel were $24 \times 1.5 \times 1.5 \text{ mm} (L \times W \times h)$. The process of 1D injection was not included in this study to eliminate any variations caused by the 1D injection and to reduce the computational cost. Instead, a fully-filled 1D channel was imposed during the initialization step, containing a mixture of 1% (w/w) dye in water. For the 2D simulations, water was introduced through the flow distributor. During the transfer to the 2D, the 1D inlet and outlet were closed. The inlet boundary condition was adjusted in all three types to achieve an average velocity of 0.98 mm/s in the 2D area. The dimensions of the 2D area were $24 \times 10 \times 1.5 \text{ mm} (L \times W \times h)$ for the cases without spacers, $23.5 \times 10 \times 1.5 \text{ mm} (L \times W \times h)$ for the cases with spacers of 0.5 mm thickness and $23.9 \times 10 \times 1.5 \text{ mm} (L \times W \times h)$ for the cases with spacers of 0.1 mm thickness.

To determine the suitability of the design related to the spacer thickness in the 2D area, the method of moments was used to calculate the band variance. The calculation of the moments and variance is reflected by Eqs. (1)–(4).

$$\mu(0) = \int_0^\infty c(x) \, dx$$

$$\mu'(1) = \int_0^\infty x c(x) \, dx / \mu(0)$$

$$\mu'(2) = \int_0^\infty x^2 c(x) \, dx / \mu(0)$$

$$\sigma^2 = \mu'(2) - \mu'(1)^2$$

In Eqs. (1)–(4), $\mu(0)$ is the zeroth, $\mu'(1)$ the first and $\mu'(2)$ the second moment, $\sigma^2$ is the variance and $c(x)$ corresponds to the transversally averaged concentration of dye across the 2D zone from $x$ to $x + dx$ as calculated per time step. In this way, the transfer of the analytes from the 1D channel to the 2D area could be observed. During the grid independence study the maximum difference for pressure and for the velocity component of the direction of the flow was 0.0023% and 0.0021%, respectively. The time-step choice was made in respect with the minimum cell volume and the chosen velocity.

### 2.3. 3D-Printing of microfluidic devices

Microfluidic devices were designed using SOLIDWORKS (Dassault Systèmes SOLIDWORKS, Waltham, MA, USA) and Autodesk Inventor (Autodesk, San Rafael, CA, USA). Devices (Fig. 2; vide infra Figs. 6 and 8) were fabricated through digital light processing (DLP) using an Asiga Pico 2 HD 3D-printer (Asiga Germany, Erfurt, Germany).

The design was converted to STL format, loaded through the 3D printer software interface (Asiga Compose), and printing orientation and settings were optimized for high resolution and fabrication time. The devices shown in Fig. 2 were printed vertically to the build platform of the printer, while the devices used for pressure testing (Fig. 8) were printed horizontally to the build platform. This placement difference had an effect on the appearance of the devices where in Fig. 2 the devices have a “milky” appearance while the top layer of the device in Fig. 8 is more shiny. After 3D-printing, devices were post-processed by sonication and flushing of channels with 2-propanol and nitrogen gas to remove any uncured resin. Finally, parts were placed in a Pico Flash UV chamber (type 87 DR-301C, 36 W, 365 nm; 3DXS, Erfurt, Germany) and cured for 30 min. To make the devices connectable, straight threads (#10-32 UNC,
major diameter 4.83 mm, 95 thread pitch 0.794 mm) were created using a hand tap. A conical ferrule seat was 3D-printed to facilitate a leak-proof connection to the outlet of the device.

2.4. Evaluation of printed devices

To compare the performance in terms of dye flow profiles and band-broadening effects between simulated and printed devices, flow tests were conducted in printed devices that featured a flow distributor, a 1D channel, a 2D area and a flow collector. The devices were completely filled with 2-propanol and a mixture of red dye dissolved in 2-propanol (1%) was then injected through the distributor for flow visualization. The injection was realized with an injection valve, and the injection volume was less than 5% of the volume of the 2D area. Flow profiles were recorded with a Canon EOS 1300D camera (Canon Inc., Tokyo, Japan). To quantitatively evaluate the performance of the devices, the red colour intensity was quantified along two horizontal (1D direction) control lines at the beginning and end of the 2D area and analysis was conducted using Mathematica (Wolfram, Champaign, IL, USA). Finally, the pressure limit of the printed devices was studied by increasing the flow rate until failure (i.e. breakage or leakage). For this purpose, devices with a flow distributor, an empty flat 2D area and inlet and outlet connections were printed. Devices were connected to an LC-10 AD VP Shimadzu liquid-chromatography pump (Shimadzu, Kyoto, Japan) and the flow rate was gradually increased until failure while the pressure was recorded. All measurements were conducted (at least) in triplicates. Appropriate safety measures were taken to shield analysts from any possible spray of liquid or flying pieces of resin. Neither of these latter were encountered during the study.

3. Results and discussion

Various design aspects, which were thought to affect the performance of spatial two-dimensional liquid-chromatography devices, were assessed. As shown in Fig. 1, the examined devices consisted of three main parts, viz. (i) the flow distributor aimed to homogeneously distribute the mobile phase for the second-dimension (2D) separation across (ii) the first-dimension (1D) separation channel and (iii) the 2D separation area (i.e. flat bed or 16 discrete channels). A separation in the described devices occurs by the following series of subsequent steps, viz. (i) sample injection and 1D separation, while the 2D inlet and outlet are closed, (ii) introduction of the mobile phase for the 2D separation, while the 1D inlet and outlet are closed, (iii) transfer of analytes from the 1D channel to the 2D area, and finally (iv) parallel separation of the entire content of the 1D channel in the 2D area. Detection can occur either in-situ (e.g. by confocal spectroscopy in a transparent device), on-line at the end of the 2D area (e.g. by laser-induced fluorescence, LIF [19]), or offline via collection of the effluent (e.g. by immobilization on a substrate followed by matrix-assisted laser-desorption/ionization mass spectrometry, MALDI-MS [31]).
3.1. Computational fluid dynamics

3.1.1. Effect of 2D geometry on band broadening

The first six device types described in Table 1 were compared in terms of band variance and relative dye concentration during transfer of dye from the 1D channel to the 2D area. In all types the initial state was a 1D channel uniformly filled with a mixture of dye and water. Subsequently, water was introduced through the flow distributor, while the 1D inlet and outlet were closed. As a result, the dye mixture in the 1D channel was transferred to the 2D area.

The relative dye concentration and the band variance recorded over time are shown in Fig. 3. Fig. 3A and B depict the band profiles recorded at a control plane close to the transition zone (3 mm from the 1D to 2D interface zone towards the 2D outlet) for types I-III, with an empty 1D channel, and types IV-VI, with a 1D channel containing a stationary material, respectively. The open 1D channels give rise to an initial sharp band, followed by a seemingly endless tail, indicative of the presence of stagnant areas in between flow lines from the distributor to the 2D area. In case where stationary material is present in the 1D channel the initial pulse is a bit broader, but all of the dye is washed from the 1D channel within a few seconds. The corresponding band variances in the 2D direction, calculated using Eqs. (1)-(4), are much higher (app. hundred-fold) in cases where there is no 1D stationary-phase material (Fig. 3C) than in case of a 1D channel containing a stationary-phase material (Fig. 3D). In case of an empty 1D channel the band variance keeps increasing during the 2D injection of one device volume, while it levels off in case of a 1D channel containing a stationary material.

In Fig. 3A it can be observed that for the device types with an empty 1D channel (types I-III) the dye concentration at the control plane remains significant, long after the band has moved towards the outlet. This implies that the dye remains present in the device after the transfer operation is meant to have ended. This is in accordance with the contour plot shown in Fig. 4 (left), where dye is seen to have remained in the 1D channel after a full device volume of water has been flushed through. This incomplete dye transfer indicates poorly-permeated ("dead") zones in the 1D channel. When comparing types containing stationary material in the 1D channel (types IV-VI), it is interesting to note that types IV and VI, which represent a flat-bed 2D area and one with discrete 2D channels with the minimal 0.1 mm spacer thickness, respectively, show an almost identical, symmetrical dye-concentration profile. On the other hand, type V, which features discrete 2D channels with 0.5 mm spacer thickness, gives rise to a broader, tailing dye-concentration profile, due to dead zones formed in front of these spacers.

3.1.2. Analyte transfer from 1D channel to 2D separation spaces

Fig. 4 shows two examples of the dye plugs migrating to the end of the 2D area for the type II (empty 1D channel, discrete 2D channels with 0.5 mm spacer thickness) and type V (1D channel with stationary material, discrete 2D channels with 0.5 mm spacer thickness) devices.

To study the issue of incomplete transfer of dye from the 1D channel to the 2D area caused by poorly-permeated zones in case of an empty 1D channel, the effect of the internal diameter of the bifurcating distributor channels (i.e. 0.3, 0.6, 0.7, 0.8, and 1.0 mm) on the dye transfer was examined. Increasing the distributor channel diameter might decrease the size of the dead zones located in the 1D channel between the distributor entry points. Types II and VII-X with an empty 1D channel and a 2D area consisting of discrete channels with 0.5 mm spacer thickness were selected for this study. The height and width of the 1D channels (both 1.5 mm) and the height and width of the 2D channels (1.5 mm and 1.0 mm, respectively), were kept constant in this study.

Fig. 5A shows a histogram of the volume fractions of the binned mesh elements relative to the total 1D channel volume based on the velocity magnitude from steady-state simulations on the device. Low local velocities indicate poorly-permeated dead zones in the 1D channel. It is seen that narrow flow distributor channels cause dead zones in more than 10% of the total volume (left-hand side of Fig. 5A) and would therefore cause poor analyte transfer. Increasing the internal diameter clearly reduces the volume fraction of near-stagnant zones. The latter can be understood from the fact that the wider flow distributor channels lead to a larger fraction of 1D channel that is readily swept by the incoming 2D flow distributor flow.

Transient simulations mimicking analyte transfer with a dye flushed into the 2D space were performed. In Fig. 5B the dye recovery after the band has transferred from the 1D channel to the 2D channels is shown. Ideally, 100% of the dye is recovered, but this ideal situation is never reached because of the dead zones in the 1D channel. The percentage of dye remaining in the 1D channel is seen to decrease when the diameter of the distributor channel diameter increases from 0.3 to 1.0 mm. This is in accordance with the reduction of the dead zones observed in Fig. 5A. These results confirm the trends seen with the steady-state simulations (Fig. 5A), i.e. that larger diameter distributor channels facilitate better analyte transfer between the two dimensions.
Nevertheless, transferring under 90% of the analytes to the second-dimension region is far from ideal for effective 2D-LC separations. This can be mitigated by the presence of a stationary phase in the 1D region, as illustrated in Figs. 4 and 5. As can be observed in case 0.3 P in Fig. 5, which incorporates a 0.3-mm flow-distributor and a porous 1D channel, the flow resistance caused by the stationary phase (5.6 $10^{12}$ m$^{-2}$) homogenizes the flow profile in the y-direction and results in a nearly complete (up to 99.8%) transfer to the 2D space. However, a microfluidic device containing two different stationary phases can introduce a new set of practical challenges, such as analyte spill-over between the stationary phases. Therefore, novel analyte transfer solutions such as the Twist valve [34] may be necessary for achieving sufficient analyte transfer and consequently, high peak-capacities in spatial 2D-LC devices.

3.2. Experimental evaluation of 3D-Printed microfluidic devices

3.2.1. Flow profiles

After comparing a variety of microfluidic devices using CFD, a selection of devices was prototyped by high-resolution DLP 3D-printing. To study the effect of the 2D geometries on flow profiles in the 3D-printed devices, a dye mixture was injected to the three examined types, as it is shown in Fig. 6 viz a flat (undivided) 2D bed and two types with discrete channels in the 2D area. A drawing of the details of the in- and outlets to the 1D channel has been added to the supplementary material (Fig. S1). This shows significant dead zones can be expected to develop, explaining (at least partly) the relatively wide zone injected in the 2D in Fig. 6.

The band variance was calculated at 16 equidistant control points along two control lines parallel to the 1D channel, one at the start and one at the end of the 2D area. The variance at the starting points was then subtracted from the variance at the points near the end of the 2D area. In Fig. 7A when observing only the variance calculated at the starting points the highest value corresponds to the type with discrete channels with 0.5 mm spacer thickness and the lowest to the case with no spacers. In Fig. 7B, the difference in variance between the two control lines per point is presented, with the largest contribution to band variance observed in the type with discrete channels with 0.1 mm spacer thickness, followed by the type with discrete channels with 0.5 mm spacer thickness, while the flat bed had the lowest contribution to band variance.

When the printed device with the 0.1 mm thick spacers was cut and inspected, it was observed that the spacers were not completely straight. This imperfection is suspected to be the cause of the discrepancy between computational and experimental testing. More extensive experimentation with different designs and possibly different 3D-printing techniques will be required to advance the technology.

3.2.2. Pressure limit of the devices

In pressure-driven liquid chromatography, a high-pressure resistance is desired for successful operation of a device. For our printed devices (Fig. 8) we aimed to determine the weakest points in the design (i.e. the points most prone to failure) and the pressure at failure in relation to the wall thickness. An initial encasing with wall thickness of 2 mm was chosen. In this case the device appeared most vulnerable near the outlet connection and the pressure at failure was about 1 MPa.

An increase of the encasing thickness to 5 mm was then realized, with the wall covering the surface of the outlet connector. The flow rate in the devices was raised step-wise until failure or up to a flow rate of 3 mL/min. The average maximum pressure was 3.5 MPa. In the majority of tests failure of the device was not encountered,
apart from the method with the steepest increase (increment of 1.5 mL/min instead of 1 mL/min in other cases), in which case failure occurred at approximately 4.5 MPa. However, some leakage around the connections was present in the majority of the cases at pressures exceeding 3 MPa.

The pressure tests indicate that the devices printed with a regular commercial photopolymer (i.e., not designed for maximal tensile strength) can operate at moderate pressures. High pressures used in column-based HPLC and UHPLC are not necessary for achieving high peak capacities spatial multi-dimensional separation [22]. If necessary, the pressure limit of the chips can be increased by using thicker walls, other photopolymers or external structural supports for the device. However, these results point to the ongoing challenge of developing pressure-resistant, low-dispersion fittings to 3D-printed polymer devices.

4. Conclusion and outlook

Two aspects of prospective two-dimensional spatial separation devices were studied, viz., efficient analyte transfer from the first (1D) to the second (2D) dimension and band broadening in the 2D area of the device. Ten types of devices were studied using computational fluid dynamics (CFD) and initial experiments were performed on a selection of devices.

The presence of a stationary phase in the 1D channel was found to have a dramatic effect on the efficiency of analyte transfer from 1D to 2D. Without a stationary-phase material present, a significant amount of the dye used to mimic analytes present in the 1D channel remained in near-stagnant dead zones long after transfer was meant to be completed. As a result, injection bands in the second dimension showed a high variance and excessive tailing. To further explore the effects of dead zones in 1D channels without a stationary material present, the diameter of the channels in the 2D flow distributor was varied. Analyte losses were found to decrease upon increasing the diameter of the distributor flow channels.

CFD calculations suggested that the presence of spacers in the 2D area would increase band dispersion. In the case of a 1D channel with stationary material present, the 2D band dispersion was found to increase with increasing spacer thickness, while in the cases with an empty 1D channel this trend was not observed.

The contributions of spacers to the band dispersion in the 2D area and the pressure limit of the fabricated devices were studied experimentally in devices created by high-resolution 3D-printing. A design without spacers was found to exhibit the lowest variance, in accordance with the CFD study. Thick (0.5 mm) spacers were found to perform better than thin (0.1 mm spacers), but this may be due to imperfections in the printed devices. Understandably, the thickness of the encasing of devices was found to significantly affect the pressure limit of 3D-printed devices. When increasing the encasing thickness from 2 mm to 5 mm the pressure at failure was found to increase from 1 to 4.5 MPa, although some leakage was observed around the connectors at pressures of about 3 MPa. The pressure limit may be improved with the use of an external holder and improved connectors will need to be studied.

The present study has contributed to progress in two-dimensional spatial chromatography. Suitable devices can, in principle, be created using 3D-printing and the knowledge created in the present study should contribute to the realization of successful devices in the near future.
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Appendix A. Supplementary data

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