All normal extensions of S5-squared are finitely axiomatizable

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Abstract. We prove that every normal extension of the bi-modal system $S5^2$ is finitely axiomatizable and that every proper normal extension has NP-complete satisfiability problem.

Keywords: modal logic, finite axiomatization, NP-complete, better-quasi-ordering

1. Introduction

Recall that the language of $S5^2$ is the propositional language based on a fixed countably infinite set of propositional variables and equipped with the two modal operators $\Box_1$ and $\Box_2$. For a formula $\varphi$ we let $\Diamond_i \varphi$ abbreviate $\neg \Box_i \neg \varphi$ for $i = 1, 2$. We recall that $S5^2$ is the smallest set of formulas containing all substitution instances of the following axiom schemas, for $i = 1, 2$:

1) All tautologies of the classical propositional calculus;
2) $\Box_i (p \rightarrow q) \rightarrow (\Box_i p \rightarrow \Box_i q)$;
3) $\Box_i p \rightarrow p$;
4) $\Box_i p \rightarrow \Box_i \Box_i p$;
5) $\Diamond_i \Box_i p \rightarrow p$;
6) $\Box_1 \Box_2 p \leftrightarrow \Box_2 \Box_1 p$;

and closed under the following rules of inference:

Modus Ponens (MP): from $\varphi$ and $\varphi \rightarrow \psi$ infer $\psi$;
Necessitation (N): from $\varphi$ infer $\Box_i \varphi$.

Recall also that a set of formulas $L$ is called a logic if it contains all tautologies of the classical propositional calculus and is closed under the rule of modus ponens. A modal logic is called normal if it contains axiom schema 2) (see above) and is closed under the rule of necessitation. A logic $L_1$ is an extension of $L_2$ if $L_2 \subseteq L_1$.
It is well known that $S5^2$ has the exponential size model property, and that its satisfiability problem is NEXPTIME-complete [6]. In this paper, by the complexity of a logic we will mean the complexity of its satisfiability problem. It is shown in [3] that in contrast to $S5^2$, every proper normal extension $L$ of $S5^2$ has the poly-size model property. That means that there is a polynomial $P(n)$ such that any $L$-consistent formula $\varphi$ (that is, $\neg\varphi \notin L$) has a model over a frame validating $L$ and with at most $P(|\varphi|)$ points, where $|\varphi|$ is the length of $\varphi$.

It was conjectured in [3] that every proper normal extension of $S5^2$ is finitely axiomatizable and NP-complete. In this paper we prove this conjecture. In fact, we show that for every proper normal extension $L$ of $S5^2$, there is a finite set $M_L$ of finite $S5^2$-frames such that an arbitrary finite $S5^2$-frame is a frame for $L$ iff it does not have any frame in $M_L$ as a $p$-morph image. This condition yields a finite axiomatization of $L$. We also show that the condition is decidable in deterministic polynomial time. This, together with the poly-size model property, implies NP-completeness of (satisfiability for) $L$.

Finally, we note that general complexity results for (uni)modal logics were investigated before. Bull and Fine proved that every normal extension of $S4.3$ has the finite model property, is finitely axiomatizable and therefore is decidable (see [4, Theorems 4.96, 4.101]). Hemaspaandra strengthened the second result by showing that every normal extension of $S4.3$ is NP-complete [4, Theorem 6.41]. The proof of finite axiomatizability uses Kruskal’s theorem on well-quasi-orderings [4, Theorem 4.99]. Kracht uses the same technique for showing that every extension of the intermediate logic of leptonic strings is finitely axiomatizable [8, Theorem 14, Proposition 15]. This paper takes the same line of research beyond unimodal logics. However, as we will see below, the theory of well-quasi-orderings does not suffice for our purposes; instead, we will use better-quasi-orderings.

2. Preliminaries

Recall that a triple $F = (W, E_1, E_2)$ is an $S5^2$-frame (i.e., it validates the axioms of $S5^2$: see, e.g., [5, Corollary 5.10]) iff $W$ is a non-empty set and $E_1$ and $E_2$ are equivalence relations on $W$ such that

$$F \models (\forall w, v, u)(wE_1v \land vE_2u \rightarrow (\exists z)(wE_2z \land zE_1u)).$$

For $i = 1, 2$ we call the $E_i$-equivalence classes $E_i$-clusters. The $E_i$-cluster containing $w \in W$ is denoted by $E_i(w)$, and for $X \subseteq W$ we let $E_i(X)$ denote $\bigcup_{x \in X} E_i(x)$. 


We identify non-negative integers with ordinals, so that for \( n \geq 0 \) we have \( n = \{0, 1, \ldots, n - 1\} \). For positive integers \( n \) and \( m \), let \( n \times m \) denote the \( S5^2 \)-frame with domain \( n \times m \) and with \((x_1, x_2)E_1(y_1, y_2)\) iff \( x_2 = y_2 \) and \((x_1, x_2)E_2(y_1, y_2)\) iff \( x_1 = y_1 \). Then it is well known that \( S5^2 \) is complete with respect to \( \{n \times n : n \geq 1\} \) [11].

Given two \( S5^2 \)-frames \( \mathcal{F} = (W, E_1, E_2) \) and \( \mathcal{G} = (U, S_1, S_2) \), a mapping \( f : U \to W \) is called a \( p \)-morphism from \( \mathcal{G} \) to \( \mathcal{F} \) if for each \( i = 1, 2 \),

\[
(\forall t \in U)(\forall w \in W)((f(t)E_iw \leftrightarrow (\exists u \in U)(tS_iu \land f(u) = w))).
\]

It is easy to check that a map \( f : U \to W \) is a \( p \)-morphism iff the \( f \)-image of every \( S_i \)-cluster of \( \mathcal{G} \) is an \( E_i \)-cluster of \( \mathcal{F} \), for \( i = 1, 2 \). We say that \( \mathcal{F} \) is isomorphic to \( \mathcal{G} \) if there exists a bijection \( g : W \to U \) such that \( wE_1w' \iff g(w)S_1g(w') \) for each \( w, w' \in W \) and each \( i = 1, 2 \). It is easy to see that \( \mathcal{F} \) is isomorphic to \( \mathcal{G} \) iff there is a one-one \( p \)-morphism from \( \mathcal{G} \) onto \( \mathcal{F} \). We call \( \mathcal{F} \) a \( p \)-morphic image of \( \mathcal{G} \) if there is a \( p \)-morphism from \( \mathcal{G} \) onto \( \mathcal{F} \). It is well known that in this case, any formula valid in \( \mathcal{G} \) is valid in \( \mathcal{F} \).

We call \( \mathcal{F} = (W, E_1, E_2) \) rooted if there is a point \( w \in W \) that is related to every point \( v \in W \) by the reflexive transitive closure of \( E_1 \cup E_2 \). It is easy to check that an \( S5^2 \)-frame \( \mathcal{F} \) is rooted iff

\[
\mathcal{F} \models (\forall w, v)(\exists u)(wE_1u \land uE_2v).
\]

Choose a set \( \mathbf{F}_{S5^2} \) of representatives of the isomorphism types of finite rooted \( S5^2 \)-frames. That is, for each finite rooted \( S5^2 \)-frame, there is exactly one frame in \( \mathbf{F}_{S5^2} \) that is isomorphic to it.

Let \( L \) be a normal extension of \( S5^2 \). An \( S5^2 \)-frame \( \mathcal{F} \) is called an \( L \)-frame if \( \mathcal{F} \) validates all formulas in \( L \). Let \( \mathbf{F}_L \) be the set of all \( L \)-frames in \( \mathbf{F}_{S5^2} \). Then \( \mathbf{L} \) is complete with respect to \( \mathbf{F}_L \) [1]. Thus, for our purposes it suffices to consider only finite rooted \( S5^2 \)-frames. From now on, we will use the term “frame” to mean this.

For \( \mathcal{F}, \mathcal{G} \in \mathbf{F}_{S5^2} \) we put

\[
\mathcal{F} \leq \mathcal{G} \text{ iff } \mathcal{F} \text{ is a } p \text{-morphic image of } \mathcal{G}.
\]

Then it is routine to check that \( \leq \) is a partial order on \( \mathbf{F}_{S5^2} \). We write \( \mathcal{F} < \mathcal{G} \) if \( \mathcal{F} \leq \mathcal{G} \) and \( \mathcal{G} \nleq \mathcal{F} \). Then \( \mathcal{F} < \mathcal{G} \) implies \( |\mathcal{F}| < |\mathcal{G}| \) and we see that there are no infinite descending chains in \( (\mathbf{F}_{S5^2}, <) \). Thus, for any non-empty \( A \subseteq \mathbf{F}_{S5^2} \), the set \( \min(A) \) of \( < \)-minimal elements of \( A \) is non-empty, and indeed for any \( \mathcal{G} \in A \) there is \( \mathcal{F} \in \min(A) \) such that \( \mathcal{F} \leq \mathcal{G} \).
3. Finite axiomatizability

In this section we will prove the first main result of the paper — that every normal extension of $\mathbf{S5}^2$ is finitely axiomatizable.

First we recall the Jankov-Fine formulas for $\mathbf{S5}^2$ (see [4, §3.4] and [5, §8.4 p. 392]). Consider a frame $\mathcal{F} = (W, E_1, E_2)$. For each point $p \in W$ we introduce a propositional variable, denoted also by $p$, and consider the formulas

$$\alpha(\mathcal{F}) = \square_1 \square_2 \left( \bigvee_{p \in W} (p \land \neg \bigvee_{p' \in W \setminus \{p\}} p') \right) \land \bigwedge_{i=1,2} \left( p \rightarrow \Diamond_i p' \right) \land \bigwedge_{i=1,2} \left( p \rightarrow \neg \Diamond_i p' \right),$$

$$\chi(\mathcal{F}) = \neg \alpha(\mathcal{F}).$$

**Lemma 3.1.** For any frames $\mathcal{F} = (W, E_1, E_2)$ and $\mathcal{G} = (U, S_1, S_2)$ we have that $\mathcal{F}$ is a p-morphic image of $\mathcal{G}$ iff $\mathcal{G} \not\models \chi(\mathcal{F})$.

**Proof.** (Sketch) Suppose $\mathcal{F}$ is a p-morphic image of $\mathcal{G}$. Define a valuation $V$ on $\mathcal{F}$ by putting $V(p) = p$ for any $p \in W$. Then $\mathcal{F} \not\models \chi(\mathcal{F})$ by the definition of $\chi(\mathcal{F})$. Now if $\mathcal{G} \models \chi(\mathcal{F})$, then since p-morphic images preserve validity of formulas, we would also have $\mathcal{F} \models \chi(\mathcal{F})$, a contradiction. Therefore, $\mathcal{G} \not\models \chi(\mathcal{F})$.

For the converse, we use the argument of [5, Claim 8.36]. Suppose that $\mathcal{G} \not\models \chi(\mathcal{F})$. Then there is a valuation $V'$ on $\mathcal{G}$ and a point $u \in U$ such that $\mathcal{G}, u \models_{V'} \chi(\mathcal{F})$. Therefore, $\mathcal{G}, u \models_{V'} \alpha(\mathcal{F})$. Define a map $f : U \to W$ by putting $f(t) = p$ iff $\mathcal{G}, t \models_{V'} p$, for every $t \in U$ and $p \in W$. From $\mathcal{G}$ being rooted and the truth of the first conjunct of $\alpha(\mathcal{F})$ it follows that $f$ is well defined. The truth of the first two conjuncts of $\alpha(\mathcal{F})$ together with $\mathcal{F}$ being rooted implies that $f$ is surjective. Finally, the truth of the second and third conjuncts of $\alpha(\mathcal{F})$ guarantees that $f$ is a p-morphism. Therefore, $\mathcal{F}$ is a p-morphic image of $\mathcal{G}$.

Let $L$ be a proper normal extension of $\mathbf{S5}^2$. By completeness of $\mathbf{S5}^2$ with respect to $\mathbf{FS5}^2$, the set $\mathbf{FS5}^2 \setminus \mathbf{F}_L$ is non-empty. Let $\mathbf{M}_L = \min(\mathbf{FS5}^2 \setminus \mathbf{F}_L)$.

**Theorem 3.2.** For any proper normal extension $L$ of $\mathbf{S5}^2$ and $\mathcal{G} \in \mathbf{FS5}^2$, $\mathcal{G} \in \mathbf{F}_L$ iff no $\mathcal{F} \in \mathbf{M}_L$ is a p-morphic image of $\mathcal{G}$.

**Proof.** Let $\mathcal{G} \in \mathbf{F}_L$; then since p-morphisms preserve validity of formulas, every p-morphic image of $\mathcal{G}$ belongs to $\mathbf{F}_L$ and hence can not be in $\mathbf{M}_L$. 

Let $P$ be a proper normal extension of $\mathbf{S5}^2$. By completeness of $\mathbf{S5}^2$ with respect to $\mathbf{FS5}^2$, the set $\mathbf{FS5}^2 \setminus \mathbf{F}_P$ is non-empty. Let $\mathbf{M}_P = \min(\mathbf{FS5}^2 \setminus \mathbf{F}_P)$.

**Theorem 3.3.** For any proper normal extension $P$ of $\mathbf{S5}^2$ and $\mathcal{G} \in \mathbf{FS5}^2$, $\mathcal{G} \in \mathbf{F}_P$ iff no $\mathcal{F} \in \mathbf{M}_P$ is a p-morphic image of $\mathcal{G}$.

**Proof.** Let $\mathcal{G} \in \mathbf{F}_P$; then since p-morphisms preserve validity of formulas, every p-morphic image of $\mathcal{G}$ belongs to $\mathbf{F}_P$ and hence can not be in $\mathbf{M}_P$. 

Let $L$ be a proper normal extension of $\mathbf{S5}^2$. By completeness of $\mathbf{S5}^2$ with respect to $\mathbf{FS5}^2$, the set $\mathbf{FS5}^2 \setminus \mathbf{F}_L$ is non-empty. Let $\mathbf{M}_L = \min(\mathbf{FS5}^2 \setminus \mathbf{F}_L)$.

**Theorem 3.4.** For any proper normal extension $L$ of $\mathbf{S5}^2$ and $\mathcal{G} \in \mathbf{FS5}^2$, $\mathcal{G} \in \mathbf{F}_L$ iff no $\mathcal{F} \in \mathbf{M}_L$ is a p-morphic image of $\mathcal{G}$.

**Proof.** Let $\mathcal{G} \in \mathbf{F}_L$; then since p-morphisms preserve validity of formulas, every p-morphic image of $\mathcal{G}$ belongs to $\mathbf{F}_L$ and hence can not be in $\mathbf{M}_L$. 

Let $L$ be a proper normal extension of $\mathbf{S5}^2$. By completeness of $\mathbf{S5}^2$ with respect to $\mathbf{FS5}^2$, the set $\mathbf{FS5}^2 \setminus \mathbf{F}_L$ is non-empty. Let $\mathbf{M}_L = \min(\mathbf{FS5}^2 \setminus \mathbf{F}_L)$.
Conversely, if $G \in F_{S5^2} \setminus F_L$ then there is $F \in M_L$ such that $F \leq G$ — that is, $F$ is a $p$-morphic image of $G$.

**Theorem 3.3.** Every proper normal extension $L$ of $S5^2$ is axiomatizable by the axioms of $S5^2$ plus $\{\chi(F) : F \in M_L\}$.

**Proof.** Let $G \in F_{S5^2}$. Then by Theorem 3.2, $G \in F_L$ iff there is no $F \in M_L$ with $F \leq G$, iff (by Lemma 3.1) there is no $F \in M_L$ with $G \not\models \chi(F)$, iff $G \models \chi(F)$ for all $F \in M_L$. Thus, $G \models \{\chi(F) : F \in M_L\}$ iff $G \in F_L$.

Let $L'$ be the logic axiomatized by the axioms of $S5^2$ plus $\{\chi(F) : F \in M_L\}$. From the above it is clear that $F_{L'} = F_L$. But $L$ ($L'$) is sound and complete with respect to $F_L$ ($F_{L'}$, respectively). So, $L' = L$.

It follows that $L \supset S5^2$ is finitely axiomatizable whenever $M_L$ is finite. We now proceed to show that $M_L$ is indeed finite for every proper normal extension $L$ of $S5^2$.

Suppose $G \in F_{S5^2}$. For $i = 1, 2$, we say that the $E_i$-depth of $G$ is $n$, and write $d_i(G) = n$, if the number of $E_i$-clusters of $G$ is $n$.

Fix a proper normal extension $L$ of $S5^2$. Since $S5^2$ is complete with respect to $\{n \times n : n \geq 1\}$, there is $n \geq 1$ such that $n \times n \not\in F_L$. Let $n(L)$ be the least such.

**Lemma 3.4.** Let $L$ be as above, and write $n$ for $n(L)$.

1. If $G \in F_L$, then $d_1(G) < n$ or $d_2(G) < n$.
2. If $G \in M_L$, then $d_1(G) \leq n$ or $d_2(G) \leq n$.

**Proof.**

1. If $G \in F_L$ and $d_1(G) \geq n$ and $d_2(G) \geq n$, then by [3, Lemma 5], $n \times n$ is a $p$-morphic image of $G$. So, $n \times n \in F_L$, a contradiction.

2. If $G \in M_L$ and both depths of $G$ are greater than $n$, then again $n \times n$ is a $p$-morphic image of $G$. Therefore, $n \times n < G$. However, $G$ is a minimal element of $F_{S5^2} \setminus F_L$, implying that $n \times n$ belongs to $F_L$, which is false.

**Corollary 3.5.** $M_L$ is finite iff $\{F \in M_L : d_i(F) = k\}$ is finite for every $k \leq n(L)$ and $i = 1, 2$.

**Proof.** By Lemma 3.4, $M_L = \bigcup_{k \leq n(L)} \{F \in M_L : d_1(F) = k\} \cup \bigcup_{k \leq n(L)} \{F \in M_L : d_2(F) = k\}$. Thus, $M_L$ is finite if and only if $\{F \in M_L : d_i(F) = k\}$ is finite for every $k \leq n(L)$ and $i = 1, 2$. 


Since $M_L$ is a $\preceq$-antichain in $F_{SS^2}$, to show that $\{ F \in M_L : d_i(F) = k \}$ is finite for every $k \leq n(L)$ and $i = 1, 2$, it is enough to prove that for any $k$, the set $\{ F \in F_{SS^2} : d_i(F) = k \}$ does not contain an infinite $\preceq$-antichain. Without loss of generality we can consider the case when $i = 2$.

Fix $k \in \omega$. For every $n \in \omega$ let $M_n$ denote the set of all $n \times k$ matrices\(^1\) $(m_{ij})$ with coefficients in $\omega$ ($i < n$, $j < k$). Let $M = \bigcup_{n \in \omega} M_n$. Define $\preceq$ on $M$ by putting $(m_{ij}) \preceq (m'_{ij})$ if we have $(m_{ij}) \in M_n$, $(m'_{ij}) \in M_{n'}$, $n \leq n'$, and there is a surjection $f : n' \to n$ such that $m_{f(i)j} \leq m'_{ij}$ for all $i < n'$ and $j < k$. It is easy to see that $(M, \preceq)$ is a quasi-ordered set (i.e., $\preceq$ is reflexive and transitive).

Let $F^k_{SS^2} = \{ F \in F_{SS^2} : d_2(F) = k \}$. For each $F \in F^k_{SS^2}$ we fix enumerations $F_0, \ldots, F_{n-1}$ of the $E_1$-clusters of $F$ (where $n = d_1(F)$) and $F^0, \ldots, F^{k-1}$ of the $E_2$-clusters of $F$. Define a map $H : F^k_{SS^2} \to M$ by putting $H(F) = (m_{ij})$ if $|F_i \cap F_j| = m_{ij}$ for $i < d_1(F)$ and $j < k$. As $F \in F^k_{SS^2}$, it follows that $m_{ij} > 0$ for each such $i, j$. Recall that a map $f : P \to P'$ between ordered sets $(P, \preceq)$ and $(P', \preceq')$ is called order reflecting if $f(w) \preceq f(v)$ implies $w \preceq v$ for any $w, v \in P$.

**Lemma 3.6.** $H : (F^k_{SS^2}, \preceq) \to (M, \preceq)$ is an order-reflecting injection.

**Proof.** Since $F_{SS^2}$ consists of non-isomorphic frames, $H$ is one-one. Now let $F = (W, E_1, E_2)$, $G = (U, S_1, S_2)$, $F, G \in F^k_{SS^2}$, and $(m_{ij}), (m'_{ij}) \in M$ be such that $H(F) = (m_{ij})$, $H(G) = (m'_{ij})$, and $(m_{ij}) \preceq (m'_{ij})$. We need to show that $F \preceq G$. Suppose $(m_{ij}) \in M_n$ and $(m'_{ij}) \in M_{n'}$. Then there is a surjective $f : n' \to n$ such that $m_{f(i)j} \leq m'_{ij}$ for $i < n'$ and $j < k$. Then $|G_i \cap G'_j| \geq |F_{f(i)} \cap F'_j| > 0$ for any $i < n'$ and $j < k$. Hence there exists a surjection $h'_j : G_i \cap G'_j \to F_{f(i)} \cap F'_j$. Define $h : U \to W$ by putting $h(u) = h'_j(u)$, where $i < n', j < k$, and $u \in G_i \cap G'_j$. It is obvious that $h$ is well defined and onto.

Now we show that $h$ is a $p$-morphism. If $u S_1 v$, then $u, v \in G_i$ for some $i < n'$. Therefore, $h(u), h(v) \in F_{f(i)}$, and so $h(u) E_1 h(v)$. Analogously, if $u S_2 v$, then $u, v \in G'_j$ for some $j < k$, $h(u), h(v) \in F'_j$, and so $h(u) E_2 h(v)$. Now suppose $u \in G_i \cap G'_j$ for some $i < n'$ and $j < k$. If $h(u) E_2 h(v)$, then $h(u), h(v) \in F'_j$ and $v \in G'_j$. As both $u$ and $v$ belong to $G'_j$, it follows that $u S_2 v$. Finally, if $h(u) E_1 h(v)$, then $h(u) \in F_{f(i)} \cap F'_j$ and $h(v) \in F_{f(i)} \cap F'_j$, for some $j' < k$. Therefore, there exists $z \in G_i \cap G'_j$ (since $z \in G_i$, we have $u S_1 z$) such that $h(z) = h(v)$. Thus, $h$ is an onto $p$-morphism, implying that $F \preceq G$. Thus, $H$ is order reflecting. $\blacksquare$

---

\(^1\) By an $n \times k$ matrix we mean a matrix with $n$ rows and $k$ columns.
Corollary 3.7. If \( \Delta \subseteq \mathbb{F}^k_{\text{SS}^2} \) is a \( \preceq \)-antichain, then \( H(\Delta) \subseteq \mathcal{M} \) is a \( \preceq \)-antichain.

**Proof.** Immediate. ■

Now we will show that there are no infinite \( \preceq \)-antichains in \( \mathcal{M} \). For this we define a quasi-order \( \sqsubseteq \) on \( \mathcal{M} \) included in \( \preceq \) and show that there are no infinite \( \sqsubseteq \)-antichains in \( \mathcal{M} \). To do so we first introduce two quasi-orders \( \sqsubseteq_1 \) and \( \sqsubseteq_2 \) on \( \mathcal{M} \) and then define \( \sqsubseteq \) as the intersection of these quasi-orders. For \( (m_{ij}) \in \mathcal{M}_n \) and \( (m'_{ij}) \in \mathcal{M}_n' \), we say that:

- \( (m_{ij}) \sqsubseteq_1 (m'_{ij}) \) if there is a one-one order-preserving map \( \varphi : n \to n' \) (i.e., \( i < i' < n \) implies \( \varphi(i) < \varphi(i') \)) such that \( m_{ij} \leq m'_{\varphi(i)j} \) for all \( i < n \) and \( j < k \);
- \( (m_{ij}) \sqsubseteq_2 (m'_{ij}) \) if there is a map \( \psi : n' \to n \) such that \( m'_{\psi(i)j} \leq m'_{ij} \) for all \( i < n' \) and \( j < k \).

Let \( \sqsubseteq \) be the intersection of \( \sqsubseteq_1 \) and \( \sqsubseteq_2 \).

**Lemma 3.8.** For any \( (m_{ij}), (m'_{ij}) \in \mathcal{M} \), if \( (m_{ij}) \sqsubseteq_1 (m'_{ij}) \), then \( (m_{ij}) \preceq (m'_{ij}) \).

**Proof.** Suppose \( (m_{ij}) \in \mathcal{M}_n \) and \( (m'_{ij}) \in \mathcal{M}_n' \). If \( (m_{ij}) \sqsubseteq_1 (m'_{ij}) \), then \( (m_{ij}) \sqsubseteq_1 (m'_{ij}) \) and \( (m_{ij}) \sqsubseteq_2 (m'_{ij}) \). By \( (m_{ij}) \sqsubseteq_1 (m'_{ij}) \) there is a one-one order-preserving map \( \varphi : n \to n' \) with \( m_{ij} \leq m'_{\varphi(i)j} \) for all \( i < n \) and \( j < k \); and by \( (m_{ij}) \sqsubseteq_2 (m'_{ij}) \) there is a map \( \psi : n' \to n \) such that \( m'_{\psi(i)j} \leq m'_{ij} \) for all \( i < n' \) and \( j < k \). Let \( \text{rng}(\varphi) = \{ \varphi(i) : i < n \} \). Define \( f : n' \to n \) by putting

\[
 f(i) = \begin{cases} 
 \varphi^{-1}(i), & \text{if } i \in \text{rng}(\varphi), \\
 \psi(i), & \text{otherwise.} 
\end{cases}
\]

Then \( f \) is a surjection. Moreover, for \( i < n' \) and \( j < k \), if \( i \in \text{rng}(\varphi) \), then \( m_{f(i)j} = m_{\varphi^{-1}(i)j} \leq m'_{ij} \) by the definition of \( \sqsubseteq_1 \); and if \( i \notin \text{rng}(\varphi) \), then \( m_{f(i)j} = m_{\psi(i)j} \leq m'_{ij} \) by the definition of \( \sqsubseteq_2 \). Therefore, \( m_{f(i)j} \leq m'_{ij} \) for all \( i < n' \) and \( j < k \). Thus, \( (m_{ij}) \preceq (m'_{ij}) \). ■

Thus, it is left to show that there are no infinite \( \sqsubseteq \)-antichains in \( \mathcal{M} \). For this we use the theory of better-quasi-orderings (bqos). Our main source of reference is Laver [9].

For any set \( X \subseteq \omega \) let \( [X]^{<\omega} = \{ Y \subseteq X : |Y| < \omega \} \), and for \( n < \omega \) let \( [X]^n = \{ Y \subseteq X : |Y| = n \} \). We say that \( Y \) is an initial segment of \( X \) if there is \( n \in \omega \) such that \( Y = \{ x \in X : x \leq n \} \).
Definition 3.9. Let $X$ be an infinite subset of $\omega$. We say that $\mathcal{B} \subseteq [X]^{<\omega}$ is a barrier on $X$ if $\emptyset \notin \mathcal{B}$ and:

• for every infinite $Y \subseteq X$, there is an initial segment of $Y$ in $\mathcal{B}$;
• $\mathcal{B}$ is an antichain with respect to $\subseteq$.

A barrier is a barrier on some infinite $X \subseteq \omega$.

Note that for any $n \geq 1$, $[\omega]^n$ is a barrier on $\omega$.

Definition 3.10.

1. If $s, t$ are finite subsets of $\omega$, we write $s \prec t$ to mean that there are $i_1 < \ldots < i_k$ and $j \ (1 \leq j < k)$ such that $s = \{i_1, \ldots, i_j\}$ and $t = \{i_2, \ldots, i_k\}$.

2. Given a barrier $\mathcal{B}$ and a quasi-ordered set $(Q, \leq)$, we say that a map $f : \mathcal{B} \to Q$ is good if there are $s, t \in \mathcal{B}$ such that $s \prec t$ and $f(s) \leq f(t)$.

3. Let $(Q, \leq)$ be a quasi-order. We call $\leq$ a better-quasi-ordering (bqo) if for every barrier $\mathcal{B}$, every map $f : \mathcal{B} \to Q$ is good.

Now we recall basic constructions and properties of bqos.

Proposition 3.11. If $(Q, \leq)$ is a bqo, there are no infinite $\leq$-antichains in $Q$.

Proof. Let $(\xi_n)_{n \in \omega}$ be an infinite sequence of distinct elements of $Q$. As we pointed out, $\mathcal{B} = [\omega]^1 = \{\{n\} : n < \omega\}$ is a barrier. Define a map $\theta : \mathcal{B} \to Q$ by putting $\theta(\{n\}) = \xi_n$. Since $(Q, \leq)$ is a bqo, $\theta$ is good. Therefore, there are $\{n\}, \{m\} \in \mathcal{B}$ such that $\{n\} \prec \{m\}$ (i.e., $n < m$) and $\xi_n \leq \xi_m$. So, no infinite subset of $Q$ forms a $\leq$-antichain. \hfill \blacksquare

We write $On$ for the class of all ordinals. Let $(Q, \leq)$ be a quasi-order. Define $\leq^*$ on the class $\bigcup_{\alpha \in On} Q^\alpha$, and on any set contained in it, by putting $(x_i)_{i < \alpha} \leq^* (y_i)_{i < \beta}$ if there is a one-one order-preserving map $\varphi : \alpha \to \beta$ such that $x_i \leq y_{\varphi(i)}$ for all $i < \alpha$.

Let $\varphi(Q)$ be the power set of $Q$. The order $\leq$ can be extended to $\varphi(Q)$ as follows: For $\Gamma, \Delta \in \varphi(Q)$, we say that $\Gamma \leq \Delta$ if for all $\delta \in \Delta$ there is $\gamma \in \Gamma$ with $\gamma \leq \delta$.

Recall that $(P, \leq')$ is called a suborder of $(Q, \leq)$ if $P \subseteq Q$ and $\leq' = \leq \cap P^2$.

Theorem 3.12.

1. $(\omega, \leq)$ is a bqo.
2. Any suborder of a bqo is a bqo.
3. If \( \leq \) and \( \leq' \) are bqs on \( Q \), then \( \leq \cap \leq' \) is also a bqo on \( Q \).
4. If \( (Q, \leq) \) is a bqo, then \( \bigcup_{a \in \mathcal{Q}} Q^a, \leq^* \) is also a (proper class) bqo.
   Hence, by (2), its suborders \((Q^a, \leq^*)\) and \((\bigcup_{n<\omega} Q^n, \leq^*)\) are bqs.
5. If \( (Q, \leq) \) is a bqo, then \( (\wp(Q), \leq) \) is a bqo.

**Proof.** (1) follows from Lemma 1.2 of [9]. (2) is trivial.

(3): By [9, Lemma 1.8], \((Q \times Q, \leq \otimes \leq')\) is a bqo, where we define \((x, x') \leq \otimes \leq' (y, y')\) iff \(x \leq y\) and \(x' \leq' y'\). By (2), its suborder \(\{(q, q) : q \in Q\}, \leq \otimes \leq'\) is also a bqo, and this is isomorphic to \((Q, \leq \cap \leq')\).

(4) — see [9, Theorem 1.10].

(5) Finally to show \((\wp(Q), \leq)\) is a bqo we adapt the proof of Lemma 1.3 of [9]. Let \( \mathcal{B} \) be a barrier and consider \( f : \mathcal{B} \to \wp(Q) \). Suppose \( f \) is not good. Then for each \( s, t \in \mathcal{B} \) with \( s < t \) we have \( f(s) \not\subseteq f(t) \). Let \( \mathcal{B}(2) = \{ s \cup t : s, t \in \mathcal{B} \text{ and } s < t \} \). Thus for every element \( s \cup t \in \mathcal{B}(2) \) there is an element \( \delta_{st} \in f(t) \) such that for every \( \gamma \in f(s) \) we have \( \gamma \not\leq \delta_{st} \).

Define a map \( h : \mathcal{B}(2) \to Q \) by putting \( h(s \cup t) = \delta_{st} \) for every \( s \cup t \in \mathcal{B}(2) \). It can be checked that \( h \) is well defined. It is known (see, e.g., [9, p.35]) that \( \mathcal{B}(2) \) is a barrier. Since \((Q, \leq)\) is a bqo, \( h \) is good, so there exist \( s \cup t, s' \cup t' \in \mathcal{B}(2) \) with \( s \cup t < s' \cup t' \) and \( h(s \cup t) \leq h(s' \cup t') \). It is easy to check (see [9, p.35]) that \( t = s' \). But now \( \delta_{s't'} = h(s' \cup t') \geq h(s \cup t) \in f(t) = f(s') \). This contradicts the definition of \( \delta_{s't'} \), hence \( f \) is good and therefore \((\wp(Q), \leq)\) is a bqo.

**Remark 3.13.** A quasi-order \( \leq \) on a set \( Q \) is called a **well-quasi-ordering (wqo)** if for any sequence \((x_i)_{i<\omega} \) in \( Q \) there exist \( i < j < \omega \) with \( x_i \leq x_j \). As we said in the introduction, qws have been used to prove finite axiomatizability results in modal logic on many previous occasions. The following facts are known about them (cf. Theorem 3.12):

1. Any bqo is a wqo.
2. If \( \leq \) and \( \leq' \) are bqs on \( Q \), then \( \leq \cap \leq' \) is also a wqo on \( Q \).
3. (Higman’s Lemma, proved in [7]) If \((Q, \leq)\) is a wqo then \((\bigcup_{n<\omega} Q^n, \leq^*)\) is also a wqo.

An example of a wqo \((Q, \leq)\) with \((\bigcup_{a \in \mathcal{Q}} Q^a, \leq^*)\) not a wqo was constructed by Rado [10]: let \( Q = \{(i, j) : i < j < \omega\} \), ordered by \((i, j) \leq (k, l)\) iff either \( i = k \) and \( j \leq l \), or else \( i, j < k \). This is a wqo on \( Q \). Now for \( i < \omega \) let \( \xi_i \) be the sequence \((i, i+1), (i, i+2), \ldots) \). Then \( \xi_i \not\leq^* \xi_j \) for all \( i < j < \omega \). This example can be used to show that for a wqo \((Q, \leq)\), in general \((\wp(Q), \leq)\) fails
to be a wqo, even if we restrict to finite subsets of $Q$ (see also the discussion on p. 33 of [9]). This failure is why we use bqos and not wqos here.

By Proposition 3.11, to show that there are no $\sqsubseteq$-antichains in $\mathcal{M}$ it suffices to show that $(\mathcal{M}, \sqsubseteq)$ is a bqo. It follows from Theorem 3.12(3) that the intersection of two bqos is again a bqo. Hence, it is enough to prove that $(\mathcal{M}, \sqsubseteq_1)$ and $(\mathcal{M}, \sqsubseteq_2)$ are bqos.

**Lemma 3.14.** $(\mathcal{M}, \sqsubseteq_1)$ is a bqo.

**Proof.** By Theorem 3.12(1), $(\omega, \leq)$ is a bqo. By Theorem 3.12(4), $(\omega^k, \leq^*)$ is also a bqo. By Theorem 3.12(4) again, $(\mathcal{M}, \sqsubseteq_1) \cong (\bigcup_{n<\omega}(\omega^k)^n, \leq^{**})$ is a bqo as well. 

It remains to show that $(\mathcal{M}, \sqsubseteq_2)$ is a bqo.

**Lemma 3.15.** $(\mathcal{M}, \sqsubseteq_2)$ is a bqo.

**Proof.** For a matrix $(m_{ij}) \in \mathcal{M}_n$ let $m_i = (m_{i0}, \ldots, m_{ik-1})$ denote the $i$-th row of $(m_{ij})$. Note that each row of $(m_{ij})$ is a $1 \times k$ matrix, and so $m_i \in \mathcal{M}_1$ for any $i < n$. We write row$(m_{ij})$ for the set $\{m_i : i < n\}$. Obviously, row$(m_{ij}) \in \wp(\mathcal{M}_1) \subseteq \wp(\mathcal{M})$. Consider an arbitrary barrier $\mathcal{B}$ and a map $f : \mathcal{B} \rightarrow \mathcal{M}$. We need to show that $f$ is good with respect to $\sqsubseteq_2$. Define $g : \mathcal{B} \rightarrow \wp(\mathcal{M})$ by $g(s) = \text{row}(f(s))$. Since $(\mathcal{M}, \sqsubseteq_1)$ is a bqo, by Theorem 3.12(5), $(\wp(\mathcal{M}), \sqsubseteq_1)$ is also a bqo. Hence, there are $s, t \in \mathcal{B}$ such that $s \prec t$ and $g(s) \sqsubseteq_1 g(t)$. Therefore, for each $\delta \in g(t)$ there is $\gamma \in g(s)$ with $\gamma \sqsubseteq_1 \delta$.

Now we show that $f(s) \sqsubseteq_2 f(t)$. Write $(m_{ij})$ for $f(s)$ and $(m'_{ij})$ for $f(t)$. Suppose that $(m_{ij}) \in \mathcal{M}_n$ and $(m'_{ij}) \in \mathcal{M}_{n'}$. We define $\psi : n' \rightarrow n$ as follows. Let $i < n'$. Then $m'_{ij} \in g(t)$. By the above, we may choose $\psi(i) < n$ such that $m_{\psi(i)j} \leq m'_{ij}$. This defines $\psi$, and we have $m_{\psi(i)j} \leq m'_{ij}$ for any $i < n'$ and $j < k$. Thus, $f(s) \sqsubseteq_2 f(t)$, $f$ is a good map, and so $(\mathcal{M}, \sqsubseteq_2)$ is a bqo.

It follows that $(\mathcal{M}, \sqsubseteq)$ is a bqo. Therefore, there are no infinite $\sqsubseteq$-antichains in $\mathcal{M}$. Thus, by Lemma 3.8 there are no infinite $\preccurlyeq$-antichains in $\mathcal{M}$.

Now we are in a position to prove the first main theorem of this paper.

**Theorem 3.16.** Every normal extension of $\mathbf{S5}^2$ is finitely axiomatizable.

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2 To apply this theorem, we needed to require in the definition of $\sqsubseteq_1$ on $\mathcal{M}$ that $\varphi$ is order preserving. This is the only time this assumption is used.
All Normal Extensions of S5-squared Are Finitely Axiomatizable

Proof. Clearly, $S5^2$ is finitely axiomatizable. Suppose $L$ is a proper normal extension of $S5^2$. Then by Theorem 3.3 $L$ is axiomatizable by the $S5^2$ axioms plus $\{\chi(F) : F \in M_L\}$. Since there are no infinite $\preceq$-antichains in $M$, by Corollary 3.7 there are no infinite antichains in $Fk_{S5^2}$, for each $k \in \omega$. Therefore, $\{F \in M_L : d_i(F) = k\}$ is finite for every $k \leq n(L)$ and $i = 1, 2$. Thus, $M_L$ is finite by Corollary 3.5. It follows that $L$ is finitely axiomatizable.

Corollary 3.17. The lattice of normal extensions of $S5^2$ is countable.

Proof. Immediately follows from Theorem 3.16 since there are only countably many finitely axiomatizable normal extensions of $S5^2$.

Remark 3.18. In algebraic terminology, Corollary 3.17 says that the lattice of subvarieties of the variety $DF_2$ of two-dimensional diagonal-free cylindric algebras is countable. This is in contrast with the variety $CA_2$ of two-dimensional cylindric algebras (with diagonals), since, as was shown in [2], the cardinality of the lattice of subvarieties of $CA_2$ is that of continuum.

4. Complexity

Note that Theorem 3.16, and the fact that every normal extension $L$ of $S5^2$ is complete with respect to a class of finite frames ($F_L$) for which (up to isomorphism) membership is decidable, imply that $L$ is decidable. This section will be devoted to showing that if $L$ is a proper normal extension, then its satisfiability problem is NP-complete. Fix such an $L$. We will see in Corollary 4.3 below that NP-completeness follows from the poly-size model property if we can decide in time polynomial in $|W|$ whether a finite structure $A = (W, R_1, R_2)$ is in $F_L$ (up to isomorphism). It suffices to decide in polynomial time (1) whether $A$ is a (rooted $S5^2$-) frame; (2) whether a given frame is in $F_L$. The first is easy. We concentrate on the second.

By Lemma 3.4(1), there is $n(L) \in \omega$ such that for each frame $G = (U, S_1, S_2)$ in $F_L$ we have $d_1(G) < n(L)$ or $d_2(G) < n(L)$. So, if both depths of a given frame $G$ are greater than or equal to $n(L)$ (which obviously can be checked in polynomial time in the size of $G$), then $G \notin F_L$. So, without loss of generality we can assume that $d_1(G) < n(L)$.

By Theorem 3.2, $G$ is in $F_L$ iff it has no $p$-morphic image in $M_L$. Because $M_L$ is a fixed finite set, it suffices to provide, for an arbitrary fixed frame $F = (W, E_1, E_2)$, an algorithm that decides in time polynomial in the size of $G$ whether there is a $p$-morphism from $G$ onto $F$. If we considered every map $f : U \to W$ and checked whether it is a $p$-morphism, it would take
exponential time in the size of $G$ (since there are $|W|^{|U|}$ different maps from $U$ to $W$). Now we will give a different algorithm to check in polynomial time in $|U|$ whether the fixed frame $F$ is a $p$-morphic image of a given frame $G = (U, S_1, S_2)$ with $d_1(G) < n(L)$.

**Lemma 4.1.** $F$ is a $p$-morphic image of $G$ iff there is a partial surjective map $g : U \to W$ with the following properties:

1. For each $u \in U$, there is $v \in \text{dom}(g)$ such that $uS_1v$.
2. For each $v \in \text{dom}(g)$, the restriction $g \upharpoonright (\text{dom}(g) \cap S_1(v))$ is one-one and has range $E_1(g(v))$.
3. For each $u \in U$ there is $w \in W$ such that
   
   (a) \( g(v)E_2w \) for all $v \in \text{dom}(g) \cap S_2(u)$,
   
   (b) for each $w' \in W$, writing

   
   \[
   X_{w'} = S_1(g^{-1}(E_1(w')) \cap S_2(u),
   
   Y_{w'} = E_1(w') \cap E_2(w),
   
   \]

   we have $|Y_{w'} \setminus \text{rng}(g \upharpoonright [\text{dom}(g) \cap X_{w'}])| \leq |X_{w'} \setminus \text{dom}(g)|$.

**Proof.** Recall that a map $f : U \to W$ is a $p$-morphism iff the $f$-image of every $S_i$-cluster of $G$ is an $E_i$-cluster of $F$, for $i = 1, 2$.

Suppose there is a surjective $p$-morphism $f : U \to W$. Then for each $S_1$-cluster $C \subseteq U$, the map $f \upharpoonright C$ is a surjection from $C$ onto $E_1(f(u))$ for any $u \in C$, so we may choose $C' \subseteq C$ such that $f \upharpoonright C'$ is a bijection from $C'$ onto $E_1(f(u))$. Let $U' = \bigcup\{C' : C$ is an $S_1$-cluster of $G\}$. Then it is easy to check that $g = f \upharpoonright U'$ satisfies conditions 1–2 of the lemma. To check condition 3, take any $u \in U$, and put $w = f(u)$. Condition 3a is clearly true. For 3b, fix any $w' \in W$. Pick any $x \in S_2(u)$. Note that $f(x) \in E_2(w)$. Define $X_{w'}, Y_{w'}$ as in the lemma. Then $x \in X_{w'}$ iff $x \in S_1(g^{-1}(E_1(w')))$. Now $f$ maps $S_2(u)$ onto $E_2(w)$, so $f(S_2(u)) \supseteq Y_{w'}$. It now follows that $f$ maps $X_{w'}$ onto $Y_{w'}$. Clearly, $f$ must therefore map a subset of $X_{w'} \setminus U'$ onto $Y_{w'} \setminus g(X_{w'} \cap U')$, so we must have $|X_{w'} \setminus U'| \geq |Y_{w'} \setminus g(X_{w'} \cap U')|$ as required.

Conversely, let $g$ be as stated. We will extend $g$ to a surjective $p$-morphism $f : U \to W$. Since $U$ is a disjoint union of $S_2$-clusters, it is enough to define $f$ on an arbitrary $S_2$-cluster of $G$. Pick $u \in U$. We will extend $g \upharpoonright S_2(u)$ to the whole of $S_2(u)$. Pick $w \in W$ according to condition 3 of the lemma. By condition 3a, $\text{rng}(g \upharpoonright S_2(u)) \subseteq E_2(w)$. Now we extend $g$ to
f such that \( \text{rng}(f \upharpoonright S_2(u)) = E_2(w) \) and \( f(x)E_1g(v) \) whenever \( v \in \text{dom}(g) \) and \( x \in S_2(u) \cap S_1(v) \).

For each \( w' \in W \), define \( X_{w'}, Y_{w'} \) as in the lemma. By conditions 1 and 2, \( S_2(u) = \bigcup \{ X_{w'} : w' \in W \} \), and \( X_{w'} \cap X_{w''} = \emptyset \) whenever \( -(w'E_1w'') \). For each \( w' \in W \), we take the restriction of \( g \) to \( X_{w'} \) (this restriction may be empty), observe that its range is a subset of \( Y_{w'} \), and extend it to a surjection from \( X_{w'} \) onto \( Y_{w'} \). By condition 3, \( |X_{w'} \setminus \text{dom}(g)| \geq |Y_{w'} \setminus \text{rng}(g \upharpoonright X_{w'})| \).

So, there exists a surjection \( f_{X_{w'}} : X_{w'} \rightarrow Y_{w'} \) extending \( g \). Repeating this for a representative \( w' \) of each \( E_1 \)-cluster in turn yields an extension of \( g \) to \( S_2(u) \). Repeating for a representative \( u \) of each \( S_2 \)-cluster in turn yields an extension of \( g \) to \( U \) as required.

It is left to show that \( f \) is a \( p \)-morphism. But it follows immediately from the construction of \( f \) that \( f \upharpoonright S_i(u) : S_i(u) \rightarrow E_i(f(u)) \) is surjective for each \( u \in U \) and each \( i = 1, 2 \). As we pointed out above this implies that \( f \) is a \( p \)-morphism.

**Corollary 4.2.** It is decidable in polynomial time in the size of \( G \), whether \( F \) is a \( p \)-morphic image of \( G \).

**Proof.** By Lemma 4.1 it is enough to check whether there exists a partial map \( g : U \rightarrow W \) satisfying conditions 1–3 of the lemma. There are at most \( n(L) S_1 \)-clusters in \( G \), and the restriction of \( g \) to each \( S_1 \)-cluster is one-one; hence, \( d = |\text{dom}(g)| \leq n(L) \cdot |W| \), and this is independent of \( G \). There are at most \( d^{|W|} \) maps from a set of size at most \( d \) into \( W \). Obviously, there are \( \binom{|U|}{d} \) subsets of \( U \) of size \( d \). Hence there are at most \( d^{|W|} \cdot |U|^d \) partial maps which may satisfy conditions 1 and 2 of the lemma. Our algorithm enumerates all partial maps from \( U \) to \( W \) with domain of size at most \( d \), and for each one, checks whether it satisfies conditions 1–3 or not. It is not hard to see that this check can be done in \( p \)-time; indeed, it is clear that conditions 1 and 2 can be checked in time polynomial in \( |U| \) and there is a first-order sentence \( \sigma_F \) such that \( G \models \sigma_F \) iff \( G \) satisfies condition 3. The algorithm states that \( F \) is a \( p \)-morphic image of \( G \) if and only if it finds a map satisfying the conditions. Therefore, this is a \( p \)-time algorithm checking whether \( F \) is a \( p \)-morphic image of \( G \).

**Corollary 4.3.** Let \( L \) be a proper normal extension of \( S5^2 \).

1. It can be checked in polynomial time in \( |U| \) whether a finite \( S5^2 \)-frame \( G = (U, S_1, S_2) \) is an \( L \)-frame.
2. The satisfiability problem for \( L \) is NP-complete.
3. The validity problem for \( L \) is co-NP-complete.
**Proof.**

1. Follows directly from Theorem 3.2, Corollary 4.2, and the fact (shown in the proof of Theorem 3.16) that $M_L$ is finite.

2. It is a well known result of modal logic (see, e.g., [4, Lemma 6.35]) that if $L$ is a consistent normal modal logic having the poly-size model property, and the problem of whether a finite structure $A$ is an $L$-frame is decidable in time polynomial in the size of $A$, then the satisfiability problem of $L$ is NP-complete. The poly-size model property of every $L \supseteq S5^2$ is proven in [3, Corollary 9]. (1) implies that the problem $G \in F_L$ can be decided in polynomial time in the size of $G$. The result follows.

3. Follows directly from (2).

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