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CNN based Learning using Reflection and Retinex Models for Intrinsic Image Decomposition

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Abstract

Most of the traditional work on intrinsic image decomposition rely on deriving priors about scene characteristics. On the other hand, recent research use deep learning models as in-and-out black box and do not consider the well-established, traditional image formation process as the basis of their intrinsic learning process. As a consequence, although current deep learning approaches show superior performance when considering quantitative benchmark results, traditional approaches are still dominant in achieving high qualitative results.

In this paper, the aim is to exploit the best of the two worlds. A method is proposed that (1) is empowered by deep learning capabilities, (2) considers a physics-based reflection model to steer the learning process, and (3) exploits the traditional approach to obtain intrinsic images by exploiting reflectance and shading gradient information. The proposed model is fast to compute and allows for the integration of all intrinsic components. To train the new model, an object centered large-scale datasets with intrinsic ground-truth images are created.

The evaluation results demonstrate that the new model outperforms existing methods. Visual inspection shows that the image formation loss function augments color reproduction and the use of gradient information produces sharper edges.

Datasets, models and higher resolution images are available at https://ivi.fnwi.uva.nl/cv/retinet.

1. Introduction

Intrinsic image decomposition is the process of separating an image into its formation components such as reflectance (albedo) and shading (illumination) [2]. Reflectance is the color of the object, invariant to camera viewpoint and illumination conditions, whereas shading, dependent on camera viewpoint and object geometry, consists of different illumination effects, such as shadows, shading and inter-reflections. Using intrinsic images, instead of the original images, can be beneficial for many computer vision algorithms. For instance, for shape-from-shading algorithms, the shading images contain important visual cues to recover geometry, while for segmentation and detection algorithms, reflectance images can be beneficial as they are independent of confounding illumination effects. Furthermore, intrinsic images are used in a wide range of computational photography applications, such as material recoloring [42, 26], relighting [3, 10], retexturing [6, 41] and stylization [42].

Most of the pioneering work on intrinsic image decomposition, such as [1, 2, 38, 40], rely on deriving priors about scene characteristics to understand the physical interactions of objects and lighting in a scene. In general, an optimization approach is taken imposing constraints on reflectance and shading intrinsics for a pixel-wise decomposition. [20] introduces the well-known Retinex algorithm which is based on the assumption that larger gradients in an image usually correspond to reflectance changes, whereas smaller gradients are more likely to correspond to illumination changes. In addition to the traditional work, more recent research focuses on using deep learning (e.g. CNN) models [27, 35]. However, these deep learning-based methods do not consider the well-established, traditional image formation process as the basis of their intrinsic learning process. Deep learning is used as in-and-out black box, which may lead to inadequate or restricted results. Furthermore, the contribution and physical interpretation of what the network learned is often difficult to interpret. As a consequence, although current deep learning approaches show superior performance when considering quantitative benchmark results, traditional approaches are still dominant in achieving high qualitative results. Therefore, the goal of this paper is to exploit the best of the two worlds. A method is proposed that (1) is empowered by deep learning capabilities, (2) considers a physics-based reflection model to steer the learning process, and (3) exploits the traditional ap-
proach to obtain intrinsic images by exploiting reflectance and shading gradient information.

To this end, a physics-based convolutional neural network, IntrinsicNet, is proposed first. A standard CNN architecture is chosen to exploit the dichromatic reflection model [31] as a standard reflection model to steer the training process by introducing a physics-based loss function called the image formation loss, which takes into account the reconstructed image of the predicted reflectance and shading images. The goal is to analyze the contribution of exploiting the image formation process as a constraining factor in a standard CNN architecture for intrinsic image decomposition. Then, we propose the RetinNet, which is a two-stage Retinex-inspired convolutional neural network which first learns to decompose (color) image gradients into intrinsic image gradients i.e. reflectance and shading gradients. Then, these intrinsic gradients are used to learn the CNN to decompose, at the pixel, the full image into its corresponding reflectance and shading images.

The availability of annotated large-scale datasets is key to the success of supervised deep learning methods. However, the largest publicly available dataset with intrinsic image ground-truth has around a thousand of redundant images taken from an animated cartoon-like short film [7]. Therefore, to train our CNN’s, we introduce a large-scale dataset with intrinsic ground-truth images: a synthetic dataset with man-made objects. The dataset consists of around 20,000 images. Rendered with different environment maps and viewpoints, the dataset provides a variety of possible images in indoor and outdoor scenes.

In summary, our contributions are: (1) a standard CNN architecture IntrinsicNet incorporating the image formation loss derived by a physics-based reflection model, (2) a new two-stage Retinex-inspired convolutional neural network RetinNet exploiting intrinsic gradients for image decomposition at the pixel, (3) gradient (re)integration for inverse problem where images are integrated based on intrinsic gradients by a set of simple convolutions rather than complex computations (e.g. Poisson), and (4) a large-scale synthetic object-centered dataset with intrinsic ground-truth images.

2. Related Work

Since there are multiple unknowns and multiple solutions to recover the pixel intrinsics, intrinsic image decomposition is an ill-posed and under-constrained problem [13, 34]. Therefore, most of the related work derive priors about the scene characteristics and impose constraints on the reflectance and shading maps. Usually an optimization procedure is used enforcing imaging constraints for pixel-wise decomposition. One of the earliest and most successful methods is the Retinex algorithm [20]. This approach considers that the reflectance image is piece-wise constant and that the shading image varies smoothly. The algorithm assumes that larger derivatives in an image correspond to reflectance changes, and that the smaller ones correspond to illumination changes. This approach is extended to color images [12] by exploiting the chromaticity information, which is invariant to shading cues. Since then, most of the (traditional) related work continued to focus on understanding the physical interactions, geometries of the objects, and lighting cues by inferring priors. Priors that are used to constrain the inference problem are based on texture cues [32, 43], sparsity of reflectance [13, 34], user in the loop [6, 33], and depth cues [1, 9, 21]. Other methods use multiple images [19, 24, 40], where reflectance is considered as the constant factor and illumination the changing one. These methods produce promising results as they disambiguate the decomposition. However, their applicability is limited by the use of priors.

Supervised Deep Learning: Deep convolutional neural networks are very successful for various computer vision tasks, such as image classification [36] and object detection [14]. However, the success of supervised deep learning is dependent on the availability of annotated large-scale datasets [22, 30]. Collecting and annotating large-scale datasets takes considerable time and effort for most of the deep learning related classification tasks. However, these images are mostly collected from the internet, which makes them easily accessible by nature. On the other hand, the process of data generation and annotation is more difficult for dense (pixel-wise) prediction tasks, such as semantic segmentation, optical flow estimation and intrinsic image decomposition. For those tasks, generating and annotating synthetic data in an automated fashion is relatively easier than collecting and annotating real world data. The use of synthetic data has proven to produce competitive performance [25, 29]. For real data, collecting and generating ground-truth intrinsic images is only possible in a fully-controlled laboratory settings. It requires a delicate setting to separate intrinsic images step by step from the original image. This process requires excessive effort and time [15]. At this moment, the only existing dataset with real world images and corresponding ground-truth intrinsics contains as few as 20 object-centered images [15]. As a result, intrinsic image research using supervised deep learning is dependent on synthetic datasets. [7] provides a scene-level 3D animated cartoon-like short film with intrinsic image ground-truths. Although the dataset has around a thousand images, it is demonstrated by [18, 27] that this dataset of synthetic ground-truth is useful for training convolutional neural networks. Further, [35] provides a large dataset of non-Lambertian synthetic objects (around 3 million images). Using this dataset, [35] achieves state of the art results by training an encoder-decoder based convolutional neural network. However, their dataset is not publicly available, yet. [5] provides relative reflectance comparisons over
point pairs of real world indoor scenes. These indoor scenes are annotated by crowd-sourcing. Although it does not have ground-truth intrinsic images, it is effective in learning priors and relationships in a data-driven manner [28, 44, 45].

Supervised deep learning, trained on large scale datasets, achieves state of the art results on different benchmarks. However, they ignore physics-based characteristics of the intrinsic image formation process. These methods use deep learning as a black box. The use of reflection models are used by traditional methods. However, traditional methods do not exploit the learning power of CNNs. [27] argues that the learning model should consider both patch level information and the overall gist of the scene. In more recent work, the proposed model is based on the assumption that the intrinsic components are highly correlated [35]. Their training data is generated in a physics-based manner as well, including a specular component, but they do not explicitly embed a physics-based image formation loss. Another recent work [18] uses an image formation component in their unary term for CRF (for the optimization process, not in the learning process itself), but their training data (Sintel) was not created in a physics-based manner. Nonetheless, none of proposed deep learning methods consider the image formation process for consistent decomposition during training, nor a Retinex driven gradient separation approach [4, 13, 15, 32, 37, 38]. Retinex has a solid background in intrinsic image decomposition. Therefore, this paper combines the best of the two worlds: supervised deep learning based on reflection and Retinex models.

3. Approach

In this section, the image formation model is described first. Then, we propose an encoder-decoder CNN, called IntrinsicNet, which is a convolutional neural network based on the reflection model by introducing the image formation loss. Finally, we propose a new CNN architecture, RetiNet, which is a Retinex-inspired scheme that exploits image gradients in combination with the image formation loss.

3.1. Image Formation Model

The dichromatic reflection model [31] describes a surface as a composition of the body $I_b$ (diffuse) and specular $I_s$ (interface) reflectance:

$$ I = I_b + I_s. $$

Then, the pixel value, measured over the visible spectrum $\omega$, is expressed by:

$$ I = m_b(\vec{n}, \vec{s}) \int \omega f_c(\lambda) \ e(\lambda) \ \rho_b(\lambda) \ d\lambda + m_s(\vec{n}, \vec{s}, \vec{v}) \int \omega f_c(\lambda) \ e(\lambda) \ \rho_s(\lambda) \ d\lambda, $$

where $\vec{n}$ is the surface normal, $\vec{s}$ is the light source direction, and $\vec{v}$ is the viewing/camera direction. $m$ is a function of the geometric dependencies. Furthermore, $\lambda$ is the wavelength, $f_c(\lambda)$ is the camera spectral sensitivity, $e(\lambda)$ defines the spectral power distribution of the illuminant, $\rho_b$ characterizes the diffuse surface reflectance, and $\rho_s$ is the specular reflectance with Fresnel reflection.

Assuming a linear sensor response and narrow band filters ($\lambda_1$), Equation (2) is as follows:

$$ I = m_b(\vec{n}, \vec{s}) \ e(\lambda_1) \ \rho_b(\lambda_1) + m_s(\vec{n}, \vec{s}, \vec{v}) \ e(\lambda_1) \ \rho_s(\lambda_1). $$

Then, under the assumption of body (diffuse) reflection, the decomposition of the observed image $I(\vec{x})$ at position $\vec{x}$ can be approximated as the element-wise product of its reflectance $R(\vec{x})$ and shading $S(\vec{x})$ intrinsics:

$$ I(\vec{x}) = R(\vec{x}) \times S(\vec{x}), $$

where $S(\vec{x})$ can be Lambertian i.e. the dot product of $\vec{n}$ and $\vec{s}$ at location $\vec{x}$. In Equation (3), $e(\lambda_1)$ is modeled as a single, canonical light source. We can extend the model for a non-canonical light source as follows:

$$ I(\vec{x}) = R(\vec{x}) \times S(\vec{x}) \times E(\vec{x}), $$

where $E(\vec{x})$ describes the color of the light source at position $\vec{x}$. The model for a global, non-canonical light source is described by:

$$ I(\vec{x}) = R(\vec{x}) \times S(\vec{x}) \times E. $$

Equation (4) is extended to non-diffuse reflection by adding the specular (surface) term $H(\vec{x})$:

$$ I(\vec{x}) = R(\vec{x}) \times S(\vec{x}) + H(\vec{x}). $$

and for a non-canonical light source by:

$$ I(\vec{x}) = R(\vec{x}) \times S(\vec{x}) \times E(\vec{x}) + H(\vec{x}) \times E(\vec{x}). $$

Finally, for a global, non-canonical light source we obtain:

$$ I(\vec{x}) = R(\vec{x}) \times S(\vec{x}) \times E + H(\vec{x}) \times E. $$

In the next section, the reflection model is considered to introduce different image formation losses within an encoder-decoder CNN model for intrinsic image decomposition.

3.2. IntrinsicNet: CNN driven by Reflection Models

In this section, a physics-based deep learning network, IntrinsicNet, is proposed. We use a standard CNN architecture to constrain the training process by introducing a physics-based loss. The reason of using a standard CNN architecture is to analyze whether it is beneficial to constrain
the CNN by the reflection model. Therefore, an end-to-end trainable encoder-decoder CNN is considered. These type of CNNs yield good results in most of the pixel-wise dense prediction tasks [35, 39]. An architecture is adopted with one shared encoder and two separate decoders: one for shading prediction and one for reflectance prediction. The features learned by the encoder stage contain both shading and reflectance cues. The purpose of the decoder parts is to disentangle those features. Figure 1 illustrates our model.

To train the model, we use the standard $L_2$ reconstruction loss. Let $\hat{J}$ be the ground-truth intrinsic image and $J$ be the prediction of the network. Then, the reconstruction loss $\mathcal{L}_{RL}$ is given by:

$$
\mathcal{L}_{RL}(J, \hat{J}) = \frac{1}{n} \sum_{\bar{x},c} ||\hat{J} - J||_2^2,
$$

where $\bar{x}$ denotes the image pixel, $c$ the channel index and $n$ is the total number of evaluated pixels. In our case, the final, combined loss $\mathcal{L}_{CL}$ is composed of 2 distinct loss functions, one for reflectance reconstruction $\mathcal{L}_{RL_R}$ and one for shading reconstruction $\mathcal{L}_{RL_S}$:

$$
\mathcal{L}_{CL}(R, \hat{R}, S, \hat{S}) = \gamma_R \mathcal{L}_{RL_R}(R, \hat{R}) + \gamma_S \mathcal{L}_{RL_S}(S, \hat{S}),
$$

where the $\gamma$s are the corresponding weights. In general, this type of network may generate color artifacts and blurry reflectance maps [35, 39]. The goal of the image formation loss is to increase the color reproduction quality because of the physics constraint.

More precisely, the image formation loss $\mathcal{L}_{IMF}$ takes into account the reconstructed image of the predicted reflectance and shading images. That is in addition to the $RGB$ input image. Hence, this loss imposes the reflection model constraint of Equation (4):

$$
\mathcal{L}_{IMF}(R, S, I) = \gamma_{IMF} \mathcal{L}_{RL_{IMF}}((R \times S), I)
$$

where $I$ is the input image. Thus, the final loss of the IntrinsicNet becomes:

$$
\mathcal{L}_{FL}(I, R, \hat{R}, S, \hat{S}) = \mathcal{L}_{CL}(R, \hat{R}, S, \hat{S}) + \mathcal{L}_{IMF}(R, S, I).
$$

Note that the image formation loss is not limited to Equation (4). Any intrinsic image Equation (4-9) can be used depending on the intrinsic problem at hand. For example, the loss function for the full reflection model $\mathcal{L}_{FRM}$ is as follows:

$$
\mathcal{L}_{FRM}(\ast) = \gamma_R \mathcal{L}_{RL_R}(R, \hat{R}) + \gamma_S \mathcal{L}_{RL_S}(S, \hat{S}) + \gamma_H \mathcal{L}_{RL_H}(H, \hat{H}) + \gamma_E \mathcal{L}_{RL_E}(E, \hat{E}) + \gamma_{IMF} \mathcal{L}_{RL_{IMF}}((R \times S \times E + H \times E), I)
$$

The image formation loss function is designed to augment the color reproduction. To augment both color reproduction and edge sharpness, in the next section, a two-stage Retinex-inspired CNN architecture is described which uses intrinsic gradients (for edge sharpness) and the image formation loss (for color reproduction).

### 3.3. RetiNet

In this section, we exploit how a well-established, traditional approach such as Retinex can be used to steer the design of a CNN architecture for intrinsic image decomposition. Therefore, we propose the RetiNet model. In fact, the
RetiNet architecture is a two-stage Retinex-inspired CNN that exploits gradient information in combination with the image formation loss. Actually, most of the traditional approaches follow the successful Retinex findings of using gradient separation \[4, 13, 15, 32, 37, 38\]. In contrast to threshold-driven gradient separation, the goal of our network is to learn intrinsic gradients directly from data avoiding hard-coded thresholds. Further, for the re-integration process, we propose a series of simple convolutions to efficiently compute the intrinsic images separately. That is in contrast to other methods which try to find, by complex computations, the pseudo-inverse of an unconstrained system of derivatives, or to solve the Poisson equation.

Image gradients are calculated by taking the intermediate difference between neighboring pixels; horizontal \(G_x\) and vertical \(G_y\) separately. Finally, the gradient magnitude \(G\) is given as the square root of the sum of squares of the horizontal and the vertical components of the gradient:

\[
G = \sqrt{G_x^2 + G_y^2} \quad (15)
\]

This operation is carried out for each color channel individually. Then, the input is formed by concatenating the RGB image with its gradients per color channel, resulting in a 6 channel input. In this way, the network is assisted by image gradients. Finally, the encoder-decoder network is trained to separate color image gradients to intrinsic image gradients by using Equation \((11)\) with the intrinsic loss. Figure 2 illustrates our RetiNet model.

\[11\] has some similarities with our gradient-based model. However, our model differs from theirs in several ways. Instead of relying on edge information, we directly use gradient information. Further, we aim to learn to separate gradients into different components, whereas their method only has one component. Finally, we propose a series of simple convolutions for the reintegration part, while they use an encoder-decoder based network with deconvolutions.

4. Experiments

4.1. New Synthetic Dataset of Man-made Objects

For our experiments, large scale datasets are needed to train the networks. Unfortunately, the intrinsic, synthetic ground-truth dataset of \[35\] is not publicly available (yet). For comparison reasons, we created a new dataset following the one described by \[35\]. We randomly sample around 20,000 3D models obtained from the ShapeNet dataset \[8\] for training. To create more variation and to decouple the correlation between image shape and texture, the texture of each component in a model is replaced by a random color. To enforce the lighting model, we apply a diffuse bidirectional scattering distribution function (BSDF) on the object surface with a random roughness parameter. The rendering is performed by the physics-based Blender Cycles\(^1\). Different environment maps are used to render the models at random viewpoints sampled from the upper hemisphere as conducted in \[35\]. To guarantee the relationship between reflectance and shading, the Cycles pipeline is modified to obtain the output image, its corresponding reflectance, and the shading map in high-dynamic range without gamma-correction. Since the images are taken from objects, the

\(^1\)https://www.blender.org/
final dataset of around 20,000 images are object-centered. The object-centered dataset represent man-made objects.

An overview of the datasets is given in Figure 3. Rendered with different environment maps and viewpoints, the dataset provides a variety of possible images in indoor and outdoor scenes.

4.2. Error Metrics

To evaluate and compare our approach, metrics are chosen which are commonly used in the field. First, the results are evaluated in terms of the mean squared error (MSE) between the ground-truth intrinsic images and the measured ones. Following [15], absolute brightness of each image is adjusted to minimize the error. Further, the local mean squared error (LMSE) [15] is chosen which is computed by aggregating the MSE scores over all local regions of size $k \times k$ with steps of $k/2$. Following the setup of [15], all the results in the paper use $k = 20$. The LMSE scores of the intrinsic images are averaged and normalized to make the maximum possible error equal to 1. To evaluate the perceptual visual quality of the results, the dissimilarity version of the structural similarity index (DSSIM) [9] is taken.

4.3. Implementation Details

For the encoder network, the VGG16 architecture [36] is used by removing the fully-connected layers. Moreover, for dimensionality reduction, the max-pooling layers are replaced by convolutional layers with stride 2. In this way, our model learns its customized spatial down-sampling and is fully convolutional. For the decoder network, the encoder part is mirrored. The strided convolutional layers are inverted by a $4 \times 4$ deconvolution with stride 2. Furthermore, we follow [23] and use skip-layer connections to pass image details to the top layers. The connections are linked between the convolutional layers before down-sampling of encoder blocks, and the corresponding deconvolutional lay-

Figure 3: Overview of the synthetic dataset. Different environment maps are used to render the models for realistic appearance.

ers of the decoder part, except between the last block of the encoder and the first block of the decoder. Moreover, batch normalization [17] is applied after each convolutional layer, except for the last layer of the decoders and the inference net of RetiNet (prediction results) to speed up the convergence and to maintain the gradient flow. The inference net has convolution kernels of $3 \times 3$ and the layers have [64, 128, 128, 64] feature maps, respectively. Our models are implemented using the stochastic gradient descent optimizer with learning rate of $1e^{-5}$ and momentum of 0.9. A polynomial decay is applied to the learning rate to reach a final learning rate of $1e^{-7}$. Convolution weights are initialized by using [16] with a weight decay of 0.0005, whereas deconvolution weights are initialized randomly from a normal distribution with mean of 0 and standard deviation of 1. Moreover, the input size is fixed to $120 \times 160$ pixels and the batch size is fixed at 16 for all experiments. Throughout all experiments, we randomly flip, vertical or horizontal, and shift images by a random factor of [-20, 20] pixels horizontally and vertically to generate additional training samples (data augmentation).

5. Evaluation

5.1. Image Formation Loss

Figure 4 shows detailed views of a patch, demonstrating the benefits of the image formation loss. It can be derived that the image formation loss suppresses color artifacts and halo effects. Furthermore, Table 1 shows the quantitative evaluation results of our IntrinsicNet with and without the image formation loss ($L_{IMF}$). The experiments on the MIT intrinsic benchmark show that the image formation loss constrains the model to obtain improved color reproduction as expressed quantitatively by the DSSIM metric.
In addition, the model with the image formation loss obtains better results for the MSE and LMSE metrics on average. On the ShapeNet test set, the model with the image formation loss achieves similar performance for MSE and LMSE. On DSSIM, it produces proper results for albedo prediction. Considering the generalization ability and the effect on an unseen real-world dataset, it can be observed that the network with image formation loss achieves best performance for all metrics. It shows the positive contribution of exploiting the image formation process as a constraining factor in a standard CNN approach for intrinsic image decomposition.

Table 1: Evaluation results of the IntrinsicNet with and without image formation loss on the MIT intrinsic benchmark (∗) and the ShapeNet test set (+). The image formation loss constrains the model to obtain better DSSIM performance. At the same time, it outperforms other models considering the MSE and LMSE metrics on real world images.

5.2. ShapeNet Dataset

We now test our models on the ShapeNet test partition. We follow the approach of [35] and randomly pick 1 image per test model, resulting in 7000 test images. For all experiments, the same test set is used. Table 2 shows the quantitative evaluation results of the synthetic test set of man-made objects. Figure 5 displays (visual) comparison results. Our proposed methods yield better results on the test set. Moreover, our RetiNet model outperforms all by a large margin. Visual comparison results show that all of our proposed models are capable of producing decent intrinsic image compositions on the test set.

Table 2: Evaluation results on ShapeNet. Our proposed methods yield better results on the test set. Moreover, our RetiNet model outperforms all by a large margin.

5.3. MIT Intrinsic Dataset

To assess our model on real world images, the MIT intrinsic image dataset [15] is used. The dataset consists of 20 object-centered images with a single canonical light source.

5.4. Real and In-the-wild Images

We also evaluate our RetiNet algorithm on real and in-the-wild images. Figure 8 shows the performance of our method (RetiNet) for a number of images. The results show that it can capture proper reflectance image, free of shadings caused by geometry. Finally, we present the reconstructed
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Table 3: Evaluation results on MIT intrinsic benchmark. Our proposed methods yield better results compared with other models. Experiment with intrinsic gradient ground-truths shows the benefits of exploiting them.

Figure 6: MIT intrinsic benchmark differentiated by the different models. SN is the ShapeNet model of [35]. IN(+/-) denotes the IntrinsicNet with/without the image formation loss, and RN denotes the RetiNet model (including the image formation loss). Proposed models properly recover the reflectance and shading information. IntrinsicNet without the image formation loss generates color artifacts, and both IntrinsicNets create blurry results compared with RetiNet.

Figure 7: MIT intrinsic benchmark differentiated by the different models. IN(+) is the IntrinsicNet with the image formation loss, and RN denotes the RetiNet model (including the image formation loss). In RetiNet colors appear more vivid in the reflectance image and it suppresses most of the remaining color artifacts and blurriness that are present in IntrinsicNets.

Figure 8: RetiNet applied on real images. It can capture proper albedo image, free of shadings due to geometry.

6. Conclusion

We proposed two deep learning models considering a physics-based reflection model and gradient information to steer the learning process. The contributions of the paper are as follows. 1: New is the physics-based image formation model in the design of the loss functions. 2: A novel, end-to-end solution is proposed to the well-known Retinex approach based on derivatives. 3: New is the gradient sepa-

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