
van Emde Boas, E.; Rijksbaron, A.; Huitink, L.; de Bakker, M.

DOI
10.1017/S2058631019000308

Publication date
2019

Document Version
Final published version

Published in
Journal of Classics Teaching

License
CC BY

Citation for published version (APA):

General rights
It is not permitted to download or to forward/distribute the text or part of it without the consent of the author(s) and/or copyright holder(s), other than for strictly personal, individual use, unless the work is under an open content license (like Creative Commons).

Disclaimer/Complaints regulations
If you believe that digital publication of certain material infringes any of your rights or (privacy) interests, please let the Library know, stating your reasons. In case of a legitimate complaint, the Library will make the material inaccessible and/or remove it from the website. Please Ask the Library: https://uba.uva.nl/en/contact, or a letter to: Library of the University of Amsterdam, Secretariat, Singel 425, 1012 WP Amsterdam, The Netherlands. You will be contacted as soon as possible.

UvA-DARE is a service provided by the library of the University of Amsterdam (https://dare.uva.nl)

by Evert van Emde Boas, Albert Rijksbaron, Luuk Huitink, and Mathieu de Bakker

We are grateful to the editor of JCT for offering us the opportunity of outlining our views on the need for a new Greek reference grammar, to discuss our methodological principles, and to offer some thoughts on how the book may be useful as a teaching resource.

Why and for whom?

As we detail in our preface, the book’s syntax chapters began (although in very different form) as teaching materials aimed at first-year undergraduates in Oxford. For the final product, our target audience remains, in the first instance, undergraduate students, but we had school teachers very much in mind as well in working up the book towards publication. We see the grammar as a resource that can offer teachers (and the more adventurous among their pupils) the chance to refresh and deepen their knowledge of grammatical topics, and to engage with a treatment of such topics that reflects current thinking on the language.

Why there was, in our view, a need for a new work of this kind is perhaps best discussed with reference to the ‘competition’ (none of which, it should be clear, we recommend consigning to the dustbin). The most obvious counterparts in English, in terms of scale and level of coverage, are the reference works of Smyth (Greek Grammar), first published in 1920 (revised and enlarged 1892). Both these texts, then, are now fairly old. They certainly do not always betray their age — Smyth’s grammar in particular can feel remarkably ‘fresh’ — but in truth they no longer reflect the current state of our knowledge about the Greek language.

That language itself has, of course, not changed in the intervening period (although text editions of most major authors and texts certainly have!), but our insights about it and methods of describing it have evolved significantly. This is true even for such seemingly cut-and-dried aspects as pronunciation and accidence. The first edition of W.S. Allen’s Vox Graeca (1956; 3rd ed. 1987) postdates Smyth’s book by half a century (further work has been done since, and more generally the science of phonology has made great strides in the past century). Continued work in the field of comparative philology has also led to significant discoveries in phonology and morphology. Laryngeal theory — to mention a very technical point — hadn’t been invented yet by the time Smyth published his book; thus he had to describe, for example, the relationship between pairs such as θη- and θε- (in e.g. θηθήμι and θεθήμεν) and στη- and στε- (in ἱστημι and ἱστεμεν) in very different ways than are possible today (compare his §§35-36 with CGCG §§1.51-56; Smyth’s discussion indeed obscures some of the regularities of such pairings).

The advances made in the areas of semantics and syntax are even more far-reaching: we will discuss a few relevant examples below. And several other relevant subdisciplines within linguistics did not yet exist when our predecessors wrote: linguistic pragmatics, functional grammar, discourse theory, cognitive linguistics, etc., all developed in the second half of the 20th century (even though they all have precursors, sometimes dating back to antiquity): these have each in different ways spurred on significant thinking about Greek grammar, and much of this is reflected — although usually implicitly — in our approach.

With respect to grammars for school use, another category to consider is that of smaller-scale texts such as Abbott and Mansfield’s Primer of Greek Grammar and the late James Morwood’s Oxford Grammar of Classical Greek. These works are obviously not in direct competition with ours, and at any rate do not fully reflect the progress made in Greek linguistics either. We would argue, moreover, that school teachers — and indeed their pupils — are often better served by something that offers more in-depth explanation of why forms look the way they do, what the differences in meaning between certain constructions are, and so forth.

Phonology and morphology

In drafting the chapters on phonology and morphology we had two overarching principles in mind. The first was to help students with (and train them in) the analysis of forms. Take the conjugation of μι verbs...
Thematic (−ω) and A thematic (−μ) Presents

12.1 Forms built on the present stem follow either a thematic or an atematic conjugation.

- The thematic conjugation, comprising all verbs in -ω, is much more common. With these verbs, a thematic vowel (i/o) stands between the present stem and the endings: e.g. 1 pl. act. ind. παίζων - ων, 2 pl. παίζου-ντε.

- The atematic conjugation comprises all verbs ending in -μ. The endings follow immediately on the present stem (apart from some exceptions detailed below): e.g. 1 pl. act. ind. διέλυμ-υν, 2 pl. διέλυεν.

12.2 Apart from the thematic vowel, there are two important points of distinction between thematic and atematic presents:

- Endings: the endings of thematic and atematic presents differ:
  - in the present indicative singular: thematic (including thematic vowels) -ω, -ειν, -μαι, atematic -ιν, -σαι (e.g. πλούτων - ων)
  - in the present third person plural: thematic (including thematic vowel) -ωοίν (e.g. παίζοντες - ων), -11.27, atematic -σαι (e.g. παίζοντες - σαι), -11.31, atematic -σαι.
- Contrast e.g. 2 sg. pres. act. ind. παίζων (thematic) with διέλυμ (athematic); pres. act. ind. παίζοντες (thematic) with διέλυμ (athematic).

- Variation of vowel length in the stem: thematic presents use a stem with a long vowel in the singular of the present active indicative, the singular of the imperfect active, and in the subjunctive, but a short vowel elsewhere. Contrast e.g. 1 sg./pl. act. ind. πάθων (thematic) with διέλυμ (athematic). For details, see §12.37–8.

CGCG §§12.1-2 (p. 128)

Rather than simply providing the forms, we first give (in smaller type) the different forms of the verb stem (e.g. πενθ- / παίζον- / πενθ-) that are used in different principal parts, and then, in a column with ‘Further Particulars’ (also in smaller type), sufficient information to allow comprehension of why the principal parts look the way they do. It becomes clear this way that (e.g.) πάθος and πένθομεν have ‘the same’ future πένθωμαι. The point here is not that students no longer have to commit specific principal parts to memory (they still do), but rather that learning them may become easier, and that if they see the relevant patterns at work often enough (e.g. the -OK- suffix in the present stem; α- ablaut in stem perfect πένθων - α and πένθωμεν; zero-grade in the thematic aorist; ε-grade, disappearance of θ before σ, and disappearance of the nasal with compensatory lengthening in πένθωμαι < *πένθων - σμαι — these changes are all elaborated more fully in the book’s opening chapter), such aspects will become familiar.

We have sought to present such explanatory material in a clear way; throughout the morphology chapters forms and paradigms are presented first in tables, and then explained in greater detail. We recognise that there is sometimes a need only for the paradigms, without the additional material, and for this reason we have made available complete overviews of forms (with references to the relevant sections of CGCG) on the book’s webpage at the CUP website.

The part of the book on phonology and morphology concludes with three chapters — on word formation (23), accentuation (24), and dialects (25) — that further our overall aim of increasing students’ insight into the inner workings of the language. The intended effect could be described as ‘force multiplication’: a little effort expended to learn some of the principles of derivation (the formation of words by suffixes) and composition (the formation of compound nouns and verbs) will exponentially improve students’ knowledge and retention of vocabulary. Similarly, with respect to accentuation,
Philomen Probert remarks (citing Eleanor Dickey) in the preface of her A New Short Guide to the Accentuation of Ancient Greek (2003), ‘[l]earning the rules of accentuation for the Greek declensions and conjugations … forces one to learn more thoroughly the declensions [and] conjugations … themselves’ (p. xiii).

Our chapter on Ionic and other dialects is meant primarily to equip students to read the Ionic prose of the classical period (e.g. Herodotus) and the lyric portions of Attic drama, but our discussion may, indirectly, also help them in approaching Homer, archaic lyric, and (at the other end of the chronological spectrum) literature written in the Koine. To keep the book’s scale manageable we decided against offering a more comprehensive discussion of Homeric Greek and the dialects (as the book’s title makes clear, CGCG’s main aim is to describe the Classical Greek of the fifth and fourth centuries BCE); instead we refer, in our selective bibliography at the end of the book, to fuller treatments by others.

Syntax

The syntax chapters and sub-sections have, for the most part, a structure similar to those in the morphology: they start with brief introductions aimed at clarifying terms and concepts (e.g. case usage, tense and aspect, voice, mood) and outline the basic principles of a given construction’s use. Those principles are then at once illustrated with some initial examples. Unlike our predecessors, we were able to benefit from electronic search engines like the online TLF to look for appropriate examples rather than resorting always to examples copied from older grammars. The result is that CGCG contains many fresh examples which truly illustrate the points at issue. We often use ‘minimal pairs’: very similar examples that differ mainly with respect to the phenomenon under consideration — e.g. §38.41, where the aspectual difference between the use of present and aorist optatives in wishes is elucidated using two examples from Aristophanes’ Peace, one with θησάμενη (Ar. Pax 3, ‘may he eat’) and one with ἐθαύμασε (Ar. Pax 449 ‘may he eat’, too, but aspectually different). We have translated all examples ourselves, and have liberally added clarifying notes with individual examples. More nuanced considerations and exceptions are presented in notes and sometimes in separate sections (often in small type).

Writing the chapters on syntax posed many methodological challenges. Here in particular decisions had to be made about how recent advances in the semantics and pragmatics of classical Greek generated by functional and discourse-analytical linguistic frameworks could be incorporated without compromising the book’s readability. One question we pondered was whether we should follow the older grammars’ focus on morphological and lexical divisions, which results in chapters on e.g. ‘prepositions’, ‘the participle’, ‘result clauses’ (ώστε + infinitive/indicative), ‘purpose clauses’ (ήτω, ὑπάρχει + subjunctive/optative), ‘causal clauses’ (introduced by διότι/ὅτι), etc. The alternative would be to devise chapters around broadly semantic categories, outlining, for instance, the different ways in which ‘purpose’ or ‘causality’ may be articulated in Greek. In the end, we decided that it was best to follow time-honoured habits and offer teachers and students something they could recognise. Readers will therefore find a familiar division into chapters on agreement, nominal forms (the article and the pronoun, cases and prepositions, comparison), the verb (tense and aspect, mood, voice), the standard categories of subordinate clauses, and the infinitive and participle.

Nevertheless, we did not abandon a more integrated approach altogether. Thus the chapters on the various subordinate clauses each contain a section outlining (with ample cross-references) other ways of expressing similar meanings. The first section in the chapter on causal clauses provides a good example of how CGCG goes about doing this:

48.1 To communicate for what reason, motive or cause the action expressed by a verb takes place, the following expressions are regularly used in Greek:

- modifiers in the dative (→ 30.45), or, with verbs of emotion, in the genitive (→ 30.30);
- preposition phrases, especially with ἔστω and ἔδινε (→ 31);
- circumstantial participles, especially when modified by ὠς or ἐρε (→ 52.38–9);
- certain types of relative clauses (→ 50.23);
- causal clauses, introduced by ἵνα or δοκιμάζω, or by one of the conjunctions used in temporal clauses (καὶ, etc.); these are treated below.

CGCG §48.1, p. 546

It is hoped that students are encouraged to compare these alternative expressions, which may enhance both their understanding of the subtle nuances of Greek and their appreciation of stylistic differences between various texts and authors.

We also sought to achieve other types of integration. Just as the part on morphology contains chapters dedicated to explaining the ‘building blocks’ of nominal and verbal forms, so the part on syntax includes introductory chapters on the elements of simple sentences (26), complex sentences (39), and finite subordinate clauses (40). These chapters set out the main principles of Greek syntax, highlighting how the various constructions hang together. Many grammars explain constructions like the use of the optative in secondary sequence or the use of ἄν + subjunctive in ‘indefinite’ constructions every time they crop up in different types of subordinate clauses. By contrast, CGCG makes clear that the use of these constructions is governed by a number of basic principles that hold across the board. In this way, the number of ‘rules’ which students have to learn dwindles considerably. Furthermore, at the end of the syntax a number of overviews reveal at a glance how the various constructions, moods, and the particle ἄν are used.

In a small number of cases, finally, we felt that a more radical break with tradition was required. A good example concerns the terminology used to describe voice distinctions (chapter 35). It is well known that the standard morphological distinction between ‘active’ (ἐπαινεῖν), ‘middle’ (ἐπαινεῖν) and ‘passive’ forms (ἐπαινεῖται) cannot be transferred to the syntactic and semantic planes without baffling students. How, after all, does one explain that frequently occurring forms like ἔσθησαν ‘I wanted’ or ἔσθην ‘I
enjoyed’ are ‘passive’? In this case, then, there were pressing reasons to take a ‘top-down’ approach and to identify a number of distinct middle-passive ‘meanings’ and then describe the forms they tend to attract. For instance, as Rutger Allan has shown in The Middle Voice in Ancient Greek (2003), so-called ‘change-of-state’ verbs, which signify a change of the subject’s physical state or position, tend to show up \( \eta \eta / \eta \eta \) morphology (e.g. πορεύομαι ‘travel’, ‘go’, aorist ἐπορεύουσιν), while so-called ‘reciprocal verbs’ tend to show up aorist forms in -όμην (e.g. μάχομαι ‘fight (each other)’, aorist ἐμαχομένη).

**Text grammar**

The third and final part of *CGCG* treats ‘Textual Coherence’ and is in some ways the most innovative. Some older grammars, including that of Smyth, contain sections on ‘style’ or ‘rhetorical figures’, but the connotations of subjectivity and optional embellishment attached to those terms obscure the fact that, owing to concerted research efforts in recent decades, we now know much more about the structure of Greek (and of language in general) beyond the level of the clause and sentence. The chapters in the third part aim to convey some of this change in the weather (so to speak) in Greek linguistics. It homes in on general aspects of textual coherence (58), particles (59), and word order (60); the latter is a particularly vibrant field, where much remains to be done, and we hope we have presented the guiding principles of Greek word order as we see them, not as a ‘straitjacket’, but in such a way as to invite further inquiries and investigations on the part of students. The final chapter (61) offers four passages that are representative of distinct text types (narrative, description, argument, and dialogue) together with a detailed linguistic commentary. As the last chapter of the book, it also aims to show how the close study of linguistic features pays off in terms of broader interpretative questions.

The spirit which animates this part of the book is perhaps most clearly shown in the treatment of particles. Rather than succumbing to the temptation to provide a range of English equivalents (discrete ‘meanings’) for each particle, we aim to explain how they function to mark various kinds of relationships between text segments or spoken turns, and between speakers and hearers. For instance, the frequent particle ἄλλα is assigned the basic general functions of ‘substitution’ and ‘correction’: ‘(an element of the new text segment introduced by ἄλλα) – the “host segment”’ – replaces (an element of the preceding text segment’) (§59.10, p. 665). We then explain how this basic function manifests itself in a range of specific uses of the particle. Three of the examples given are:

1. **Dοκίμης ἦν ... μὴ ... ἱππείρων ὁ ἦν ... ἄλλα ποτέν. (Pl. Symp. 213c)**
   You seem to me to be sober. You must not be allowed this: rather you must drink. *Substitution of an explicit element: ἄλλα replaces one explicit alternative (ἵππείρων) with another (ποτέν): it is frequently so used in the formula ‘οὐ ... ἄλλα λέγεται’ (‘not X, but (rather) Y’), in which case ἄλλα is a co-ordinating conjunction.*

2. **Philologeus* Then I’ll gnaw through the net with my teeth. :: (Bdelycleon) But you don’t have any teeth! *Substitution of a presupposed element: Philo[leon’s] assertion that he will use his teeth presupposes that he has any to begin with; ἄλλα corrects this presupposition.*

3. **Είπε... ἄλλα λέγεται τῆς τούτου ἱππείρων παλιάς: :: Οἱ νόμοι. :: ἄλλα, οὐ τούτο ἱππείρω, ὅ βεβαίως, ἄλλα τῆς ἄλλης ἀλήθειας; (Pl. Ap. 24d–e)**
   (Socrates) Tell me, sir, who makes them better? :: (Meleto) The laws do. :: (Socrates) But that’s not what I am asking, my dear sir: which man makes them better? *Substitution of an implicit element: ἄλλα corrects the notion (implicit in Meleto’s reply) that Socrates’ question has been satisfactorily answered. The second ἄλλα replaces an explicit element, as in (1).*  

*CGCG* §59.10, p. 666

Some may wonder whether such explicit analyses are truly necessary; on the view that students, when told that ἄλλα means “but” and more generally that they should use their ‘intuition’, should be sufficiently equipped to face most cases they come across in their reading (including our three examples). Yet such an approach in our view is more likely in the long run to make students ‘give up’ on particles altogether. It is only by digging into the deeper (sometimes fairly abstract) textual relationships that particles can express, that comprehending (and translating) more difficult particles such as καίτως, μέντως, τοῖνυν, δῆ, etc. — not to mention ἄλλα when it does not mean ‘but’ — becomes feasible.

Underlying this treatment, then, and indeed most other aspects of *CGCG*, is a consistent concern with explanation (instead of a ‘bare’ presentation aimed at rote memorisation): the book seeks to acquaint students with a more abstract way of looking at the language without losing sight of the actual linguistic phenomena encountered in our texts. This should greatly facilitate and enhance comprehension and make Greek texts seem like organic, meaningful wholes rather than impenetrable ‘puzzles’.

**A school grammar?**

Finally it may be useful to return briefly to the question of how suitable the book is for use in schools. We readily acknowledge that a full-scale reference work, weighing in at 811 pages, may not be the first one would think of to present to school pupils. We are nevertheless happy in the conviction that confronting pupils, especially those further advanced in their study of the language, with chapters or sections from *CGCG* will repay the effort. Teachers themselves, of course, are a very different target audience, and here there would seem to be a much more natural fit. We very much hope that teachers will find our work helpful as they give shape to their own explanations of the intricacies of Greek grammar.
Three aspects of CGCG's design deserve brief further attention with respect to its utility in schools. One, already mentioned briefly above, is the use of smaller type for less central or frequent features of the language, and for notes with further clarification, exceptions, etc. This visual partition can serve as a guide for teachers and students, who may more readily wish to skip the small-type sections.

Secondly, we thought long and hard about how to make it easier for students to wade through what we describe in our preface as the ‘terminological morass’ of Greek grammar (p. xl). Wherever confusion about terms is possible, we ensure that alternatives to our own usages are given. For instance, we speak of ‘result’ and ‘purpose’ clauses, but note that many textbooks use the terms ‘consecutive’ and ‘final’ clauses. Verbal terminology was, of course, an area of particular concern (as was that of conditional clauses), and we often set out the reasons why a certain term is preferable over another one with which students may be familiar.

A formatting issue of a very different kind, finally, but one also relevant to school teaching, is the order in which cases are presented in tables in the morphology chapters. We have preferred the option nominative-genitive-dative-accusative (over nominative-accusative, which we recognize is the standard in British schools and coursebooks). The reasons for this choice partly have to do with our target markets, but also include didactic ones: especially in the third declension, genitive forms are more likely to provide useful morphological information. This order matches, moreover, what pupils will find in dictionaries and vocabulary lists. For those with a strong attachment to the nominative-accusative ordering, we have made a variant of the overview of forms mentioned above, but using the order nominative-accusative, available on the book’s website. Incidentally, the same ‘Resources’ page contains a (regularly updated) list of corrections and additions to the book — we very much welcome and appreciate suggestions for more of those (and other suggestions, criticisms, thoughts) from teachers, and indeed from students!

References


The ‘Resources’ page for the book can be found at https://www.cambridge.org/core/academic/subjects/classical-studies/classical-languages/cambridge-grammar-classical-greek?format=HB#resources