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Risk and needs assessment for juvenile delinquents

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Chapter 9

**Promotive factors during
adolescence: Are there changes
in impact and prevalence during
adolescence and how does this relate
to risk factors?**

Van der Put, C.E., Van der Laan, P.H., Stams, G.J.J.M., Deković, M.,
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Abstract

In this study we compared the impact of promotive and risk factors on 18-month recidivism during adolescence. We measured bipolar factors (factors with risk and promotive effects being the ends of the same continuum) for 13,613 American juveniles who had committed a criminal offense. For most of the factors no significant differences were found between the impact of the promotive and risk ends. Interventions aimed at increasing promotive factors may therefore be potentially just as effective as interventions aimed at decreasing risks. The importance of both promotive and risk factors was found to be significantly higher for younger than for older adolescents in almost every domain, which emphasizes the importance of early intervention. Furthermore, age and sex differences were found in the impact and prevalence of promotive and risk factors. The discussion focuses on implications for clinical practice.

9.1 Introduction

It is generally recognised that delinquent behavior can be seen as the result of complex interactions between risk factors and protective factors (Deković and Prinzie, 2008; Prinzie, Hoeve, and Stams, 2008). Risk factors are those factors that increase the chances of delinquent behavior, while protective factors are associated with a smaller probability of delinquent behavior. Risk and protective factors comprise on the one hand, personal characteristics of the individual juvenile, and on the other hand, factors in the social environment, including the “family”, “peers”, “school” and “community” domain (Howell, 2003; Loeber, DeLamatre, Keenan, & Zhang, 1998; Stouthamer-Loeber, Loeber, Wei, Farrington, & Wikström, 2002; Loeber, Slot, & Stouthamer-Loeber, 2008). These risk and protective factors have been conceptualized as static or dynamic. Static factors are historic and cannot be changed, such as age at first offense, temperament, and IQ. Dynamic risk factors can potentially be changed, such as the youth’s friends or school performance. Investigating the impact of *dynamic* risk and protective factors is considered important not only for the development of evidence-based interventions, but also for the purpose of adequate risk assessment of recidivism and successful referral to behavioral interventions targeting desistance from crime (see Andrews & Bonta, 2010).

Whereas a large body of research exist regarding risk factors (e.g., Farrington, 2003; Lipsey & Dezon 1998; Loeber et al., 2008), far less is known about protective factors. When studying risk factors, researchers have often dichotomized variables and added the neutral middle part of the distribution to the protective side, thereby masking information about the protective effect (Stouthamer-Loeber et al., 1993). Stouthamer-Loeber and colleagues (1993, 2002, 2004) advanced the investigation of promotive factors first as *main* effects, similar to the search for the main effects of risk factors, and then they investigated the interaction effects between promotive and risk factors. Still we know much more about risk factors than protective factors (Loeber et al., 2008).

Besides this, there is much discussion in literature on the definition of protective factors (Deković, 1999). This discussion focuses primarily on the question of whether factors are unipolar or bipolar, that is, whether risk factors and protective factors really are two different groups of factors or the same factors, with a risk effect at one extreme and a protective effect at the other. An example of bipolar conceptualization is the factor “school achievement”, for which “poor grades” is considered a risk factor and “good grades” a protective factor. However, there may be a difference in the strength of the risk effect and the strength of the protective effect: “poor grades” might be a relatively strong predictor of recidivism, whereas “good grades” might offer only small protection against recidivism (Stouthamer-Loeber et al., 1993). In the second place, there is a debate regarding the effect of protective factors. Some researchers define protec-

tive factors as factors which moderate the effects of risks on delinquency (Fergusson & Lynskey, 1996; Pollard, Hawkins, & Arthur, 1999; Rutter 1987, 2003). According to this definition, the presence of the risks is required. Protective factors only have an indirect effect on problem behavior by attenuating the effect on the risks. Other researchers assume that there are direct effects on problem behavior and use the term promotive factors to express this. So promotive factors are considered as factors that have a direct effect on reducing problem behavior, even where there are no risks present (Farrington, Loeber, Jolliffe, & Pardini, 2008; Loeber et al., 2008; Sameroff, Bartko, Baldwin, Baldwin, & Seifer, 1998; Stouthamer-Loeber et al., 2002).

Loeber, Slot, and Stouthamer-Loeber (2008) provide an overview of the promotive factors mentioned in the research literature. Examples of this include: good social skills, good academic achievement, high school motivation, mentoring by adults, consistent discipline, and a high family income. For risk factors it is the accumulation of risks in several domains that leads to problem behavior (Deković & Prinzie, 2008; Loeber et al., 2008; Stouthamer-Loeber et al., 2002). It seems that, similarly, an accumulation of protective factors in several domains reduces the likelihood of problem behavior (for example, Deković, 1999; Farrington et al., 2008; Sameroff et al., 1998).

Thus, it appears that delinquent behavior can best be tackled by focusing on reducing the number of risk factors as well as increasing and/or strengthening protective factors in several domains (Van der Laan, Veenstra, Bogaerts, Verhulst, & Ormel, 2010; Veenstra, Lindenberg, Verhulst & Ormel, 2009). In order to be able to do this effectively, it is important to know which *dynamic* protective factors are *most strongly* linked to delinquent behavior and recidivism. This is because the effect of an intervention to prevent recidivism is greatest when it is aimed at those dynamic risk factors and protective factors that are linked to recidivism (Andrews, Bonta & Wormith, 2006; Bonta, 2002; Farmer, Compton, Burns & Robertson, 2002; Lipsey & Derzon, 1998). The stronger the link to recidivism, the greater the potential effect of an intervention aimed at these factors. Because of the paucity of knowledge available on this subject, the goal of the present study was to investigate the impact of dynamic protective factors from various domains on recidivism and to compare the impact of dynamic protective factors to the impact of dynamic risk factors.

Because recent studies only found support for promotive factors and not for protective factors (Deković, 1999; Farrington et al., 2008; Van der Laan et al., 2010), this article investigated only the direct effects of promotive factors. Various studies also show that by far the majority of factors have both promotive and risk effects (Farrington et al., 2008; Stouthamer-Loeber et al., 1993). For this reason this study concentrated solely upon bipolar variables.

Previous research on risk factors of recidivism showed that the impact of these factors is dependent on juveniles' age (Van der Put, Deković et al., 2011; Van der Put, Stams et al., 2010). These studies demonstrated that the importance of almost all dynamic risk

factors decreases with age. For this reason, the current study investigated the (relative) importance of *dynamic* promotive factors from various domains at various ages during adolescence. We also compared the impact of the promotive aspect to the impact of the risk aspect of the measured factors. This knowledge is important when considering whether to focus an intervention on reducing risks or on strengthening promotive factors. As previous research on risk factors of recidivism also showed that the impact of these factors is dependent on juvenile's sex (Funk, 1999; Schwalbe, Fraser, Day & Cooley, 2006, Van der Put, Dekovic et al., 2010), we also examined sex differences. To summarise, this study focused on the following research questions:

1. What is the prevalence of promotive and risk factors?
2. What is the impact of promotive and risk factors on recidivism?
3. Are there age differences and sex differences in the prevalence of promotive and risk factors?
4. Are there age differences and sex differences in the impact of promotive and risk factors?
5. Which factors are the best unique predictors for recidivism at different ages and for male and female juvenile offenders?
6. Do the promotive factors uniquely contribute to the prediction of recidivism?

9.2 Method

9.2.1 Sample

The sample of this study consisted of 13,613 American juveniles aged 12 to 18, who in the period from January 1999 to January 2000 were placed on probation for committing a criminal offense and for whom the Washington State Juvenile Court Assessment (WSJCA; full assessment) was completed (Barnoski, 2004; see instruments section). These juveniles scored medium to high on the Washington State Juvenile Court Pre-Screen Assessment (WSJCPA; pre screen assessment). Because many youth enter the juvenile justice system on multiple occasions, the current study utilized only the first full assessment for each youth in the sample, so the 13,613 represent unique individuals. Table 1 presents the sample characteristics.

Table 1 Sample characteristics (n = 13,619)

Sex			
Boy	10,111	74%	
Girl	3,502	26%	
Cultural background			
European Americans	7,956	69%	
African Americans	1,234	11%	
Hispanic Americans	1,369	12%	
Other	970	8%	
Total number of misdemeanour referrals			
None or one	5,923	44%	
Two	3,230	24%	
Three or four	3,358	25%	
Five or more	1,102	8%	
Total number of felony referrals			
None	5,872	43%	
One	5,736	42%	
Two	1,321	10%	
Three or more	684	5%	
Mean age	15.4		

9.2.2 Instruments and Procedure

For this study, secondary data from the Washington State Juvenile Court Assessment (WSJCA) validation study were used (Barnoski, 2004b). De WSJCA is a screening and risk assessment instrument, which was developed in Washington State. The WSJCA maps out the most important risk and protective factors on a large number of domains. The selection of domains and items took place on the basis of a review of the juvenile delinquency research literature and then was modified, based on feedback from an international team of experts. Following reviews by Washington State juvenile court professionals, the assessment instrument was revised again (Barnoski, 2004b).

The WSJCA comprises two parts: a pre-screen and a full assessment. The pre-screen is a shortened version of the full assessment that quickly indicates whether a youth is at low, moderate, or high risk for re-offending. This version is administered to all youth on probation and the full assessment is required only for youth who are assessed as having moderate or high risk on the pre-screen (71% of the juvenile offenders). The full assessment identifies a youth's risk and protective factor profile to guide rehabilitative efforts. The courts have refocused their resources on moderate and high-risk youth by assigning low-risk youth to minimum supervision caseloads. These caseloads have a large number of youth report to a single probation officer where supervision is primarily by telephone. As a result of these savings in resources, more effort is directed toward the higher-risk youth.

The full assessment includes 132 items, broken into 13 domains such as relationships, employment, school, attitudes and aggression. Probation officers perform the full assessments on the basis of information from a structured motivational interview with the youth and youth's family. Probation officers are trained in conducting the assessment. This training includes reviewing videotaped interviews and the resulting assessment to ensure the probation counselor has mastered the assessment skills. There is a manual available for the full assessment and quality assurance is an important part of the assessment structure and organization in Washington State (Barnoski, 2004a). The full assessment measures both static (historical) and dynamic (current) risk and promotive factors. In the present study, we only examined dynamic factors because these factors are used to guide the rehabilitative effort. The dynamic factors are measured over the preceding six months. The full assessment contains dynamic risk and promotive factors in the following domains: school, employment, use of free time, relationships, family, alcohol and drugs, attitude, aggression, and skills. We have excluded the employment domain from the analysis, because of the large number of missing values (only 9% were employed).

Items were rated at a 3-point scale (strong promotive side, neutral middle part, strong risk side), a 4-point scale (strong promotive side, weak promotive side, weak risk side, and strong risk side) or a 5-point scale (strong promotive side, weak promotive side, neutral middle part, weak risk side, and strong risk side). Each item was recoded in two separate dichotomous variables as follows: a promotive factor (1 if the strong promotive side is present and 0 if the strong promotive side is absent) and a risk factor (1 if the strong risk side is present and 0 if the strong risk side is absent). Thus, the meaning of the scale-points of the items was decisive for determining which response categories were designated as promotive or risk factor. For example, the response categories of the item "believes getting education of value" were coded as follows: "believes getting education of value" (promotive), "somewhat believes education of value" (neutral), and "does not believe education of value" (risk).

The strong promotive and the risk ends (extreme ends) of the variables measured were: 1. **School:** behavior (good behavior/severe behavior problems), academic performance (mostly As and Bs/Some Ds and mostly Fs), attendance (good attendance/often truancy), relationship with teachers (close to 2 or more/not close to any), participation in school activities (2 or more activities/not interested in school activities), believes school is encouraging (yes/no), believes getting education of value (yes/no), estimation of school progress (very likely to graduate/not likely to graduate); 2. **Use of free time:** involvement or interest in structured recreational activities (2 or more/not interested), involvement or interest in unstructured recreational activities (2 or more/not interested); 3. **Relationships:** positive adult non-family relationships (2 or more/none), prosocial community ties (strong/none), friends (only prosocial/only antiso-

cial), romantically involved (prosocial person/antisocial person), admiration of antisocial peers (no/yes), resistance to influence of antisocial peers (yes/rarely); 4. **Family:** annual income (\$50,000 and over/under \$15,000), relationship with parents (close to both father and mother/not close to both father and mother), level of conflict (well managed/domestic violence), Parental supervision (consistently good/inadequate), following family rules (usually/consistently disobeys), parental punishment (consistently appropriate/inconsistently or consistently insufficient), parental reward (consistently appropriate/inconsistently or consistently insufficient), family support network (strong/not available), opportunities for family involvement (yes/no); 5. **Attitude:** optimism (high aspirations/low aspirations), impulsiveness (usually thinks before acting/usually acts before thinking), belief in control over antisocial behavior (yes/no), empathy, remorse, sympathy, of feelings for victims (yes/no), respect for other's property (yes/no), respect for authority figures (yes/no), respect for rules and social conventions (yes/no), accepts responsibility for behavior (yes/no), thinks they can comply with measures (yes/no); 6. **Aggression:** frustration tolerance (rarely gets upset/often gets upset), interpretation of other's behavior or intentions (primarily positive, primarily hostile), belief in verbal aggression to solve a conflict (rarely appropriate/often appropriate), belief in physical aggression to solve a conflict (never appropriate/sometimes or often appropriate); 7. **Skills:** consequential thinking (good/does not understand about consequences of actions), goal setting (set realistic goals/does not set any goals or set unrealistic goals), problem-solving (good/cannot identify problem behaviors), situational perception (selects the best time and place for the best skill/cannot analyze the situation for use of a prosocial skill), dealing with others (good/lacks basic social skills), dealing with difficult situations (often uses skills in dealing with difficult situations/lacks skills), dealing with feelings (often uses skills in dealing with emotions/lack of skills), control of internal triggers (actively monitors and controls internal triggers/cannot identify internal triggers), control of external triggers (actively monitors and controls external triggers/cannot identify external triggers), control of impulsive behaviors (uses techniques to control impulsive behavior/lacks techniques to control impulsive behavior), control of aggression (often uses alternatives to aggression/lacks alternatives to aggression).

The predictive validity of the WSJCA has been tested in two studies: Barnoski's study (2004) and a study of Orbis Partners Inc. (2007). The predictive validity indicates how effectively a risk-assessment instrument predicts recidivism, and the "area under the receiver-operating-characteristic curve" (AUC) is regarded as the most important indicator for this (Rice & Harris, 2005). The first study showed that the AUC of the WSJCPA is .64 and the second study showed that the AUC is about .63. These AUC values concur with the average predictive validity of juvenile justice risk assessment instruments, which is .64 (Schwalbe, 2007). The magnitude of these AUC values is con-

sidered moderate according to general accepted criteria, whereas AUC values of .70 and higher are considered “acceptable” (Dolan & Doyle, 2000; Hosmer & Lemeshow, 1989; Shapiro, 1999).

Outcome measure

Recidivism was defined as the occurrence of one or multiple new convictions within 18 months after completing the full screen. Data on recidivism were based on official records, both juvenile and adult records, from Washington State. Recidivism was treated as a dichotomous variable (whether or not convicted for any new offense).

9.2.4 Analyses

In this study, 12- and 13-year-olds were considered separately, because of the many changes that occur during this period, such as the transition from primary to secondary school. The study by Van der Put, Stams and colleagues (2010) showed a strong decrease in the importance of risk factors in the social domain for this age group.

A total score was calculated for the risk factors as well as the promotive factors per domain by adding the number of risk factors and the number of promotive factors respectively. We used analysis of variance (ANOVA) to determine whether there are differences between the age groups and between boys and girls in the prevalence of promotive and risk factors.

Pearson correlation coefficients were calculated to determine the strength of the relation between the promotive factors and recidivism, and between the risk factors and recidivism. Fisher’s z tests were used to examine whether the correlations differed significantly between boys and girls and, between the youngest and oldest age groups. Multiple logistic regression analyses were used in order to identify the best unique predictors of recidivism for boys and girls and for the different age groups. Separate multivariate prediction models were conducted for boys and girls and for the different age groups, based on only risk factors and based on only promotive factors. Subsequently, hierarchical multiple logistic regression analysis was used in order to analyse the unique contribution of the promotive factors.

9.3 Results

9.3.1 Age and Sex Differences in the Level of Recidivism

Table 2 shows the seriousness and nature of the repeated offense for each age group and for boys and girls.

Table 2 Seriousness and Type of Recidivism Separately for Age Groups and for Boys and Girls

	12 year (n = 439)		13 year (n = 1,009)		14-15 yr (n = 5,023)		16-17 yr (n = 7,142)		F
Recidivism	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	<i>n</i>	%	
Misdemeanor or felony	233	53%	516	51%	2340	47%	2818	40%	36.0*
Felony	103	24%	243	24%	1178	24%	1409	20%	9.9*
Felony property	54	12%	133	13%	657	13%	698	10%	12.2*
Felony violent	43	10%	87	9%	380	8%	457	6%	5.1*
	Boys (n = 10,111)		Girls (n = 3,502)		F				
	<i>n</i>	%	<i>n</i>	%					
Misdemeanor or felony	4715	47%	1192	34%	170.0*				
Felony	2497	25%	436	13%	234.7*				
Felony property	1317	13%	225	6%	113.7*				
Felony violent	856	9%	111	3%	111.3*				

* $p < 0.001$

The level of recidivism was dependent on age and sex. Recidivism was higher in younger age groups and lower in the older age groups. Especially in the age group 16-17 years there was less recidivism than in the younger age groups. Recidivism was significantly lower in girls than in boys. With felony offenses, the recidivism among girls was about two times lower than in boys.

9.3.2 Prevalence and Impact of Promotive Factors and Risk Factors

Table 3 shows how often the promotive and risk ends occur (prevalence) for the different variables and how strong the correlation with recidivism is (impact).

Table 3 Prevalence and Correlations between the Promotive and Risk Side of Factors and Recidivism

	Promotive end (n = 13,613)			Risk end (n = 13,613)			Fisher's Z
	n	%	R	n	%	r	
School (average number of factors)		1.3	-.15		2.4	.11	3.36***
School behavior	262	2%	-.04	5739	42%	.11	5.81***
Attendance	2258	17%	-.09	5922	44%	.07	1.66
Academic performance	830	6%	-.09	3707	27%	.08	0.83
Relationship with teachers	2335	17%	-.06	6034	44%	.04	1.65
Participation in school activities	592	4%	-.06	5743	42%	.04	1.65

	Promotive end (<i>n</i> = 13,613)			Risk end (<i>n</i> = 13,613)			Fisher's Z
	<i>n</i>	%	R	<i>n</i>	%	<i>r</i>	
Estimation of school progress	3007	22%	-.15	2301	17%	.09	5.02***
Believes school is encouraging	3374	25%	-.11	2716	20%	.08	2.50 [†]
Believes getting education of value	5194	38%	-.11	1164	9%	.07	3.33***
Use of free time (average number of factors)		0.3	-.05		0.9	.07	1.66
Unstructured activities	3340	25%	-.05	5869	43%	.06	0.83
Structured activities	790	6%	-.04	5847	43%	.06	1.65
Relationships (average number of factors)		1.1	-.15		1.8	.15	0.00
Relationships with adults	2764	20%	-.05	6350	47%	.06	0.83
Social bonds in the community	908	7%	-.07	4585	34%	.08	0.83
Pro social/deviant friends	1910	14%	-.12	3105	23%	.10	1.67
Romantic relation pro social/deviant person	2348	17%	-.01	1605	12%	.02	0.83
Admiration of anti-social peers	4756	35%	-.13	2451	18%	.12	0.84
Resistance to influence of peers	2112	16%	-.13	6112	45%	.17	3.38***
Family (average number of factors)		2.7	-.14		2.5	.12	1.68
Family income	1545	11%	-.06	3314	24%	.03	2.48 [†]
Relationship with parents	2046	15%	-.05	4399	33%	.05	0.00
Seriousness of conflict	4826	35%	-.10	3056	22%	.07	2.49 [†]
Parental supervision	5766	42%	-.10	2587	19%	.07	2.49 [†]
Parental authority and control	3455	25%	-.14	3008	22%	.11	2.51 [†]
Parental punishment	6093	45%	-.09	6633	49%	.08	0.83
Parental reward	6382	47%	-.08	5920	44%	.08	0.00
Family support network	2229	16%	-.07	2479	18%	.04	2.48
Opportunities for family involvement	2929	22%	-.07	2025	15%	.03	3.31
Attitude (average number of factors)		2.8	-.18		2.1	.17	0.85
Optimism	1222	9%	-.09	4408	32%	.12	2.50 [†]
Impulsiveness	1101	8%	-.11	6613	49%	.13	1.67
Control over antisocial behavior	5183	38%	-.13	923	7%	.08	4.17***

	Promotive end (<i>n</i> = 13,613)			Risk end (<i>n</i> = 13,613)			Fisher's Z
	<i>n</i>	%	R	<i>n</i>	%	<i>r</i>	
Empathy	2965	22%	-.10	4193	31%	.10	0.00
Respect for others' property	4877	36%	-.15	4519	33%	.14	0.84
Respect for authority figures	7215	53%	-.14	2305	17%	.10	3.35***
Respect for rules/social conventions	2741	20%	-.12	2838	21%	.12	0.00
Accepts responsibility for behavior	5154	38%	-.11	2086	15%	.10	0.83
Thinks they can comply with measures	7192	53%	-.12	679	5%	.08	3.33***
Aggression (average number of factors)		1.2	-.14		1.0	.15	0.84
Frustration tolerance	2912	21%	-.11	3556	26%	.11	0.00
Interpretation of other's behavior/intentions	7562	56%	-.11	912	7%	.07	3.33***
Verbal aggression to solve a conflict	3494	26%	-.10	2651	19%	.09	0.83
Physical aggression to solve a conflict	2830	21%	-.10	6720	49%	.14	3.35***
Skills (average number of factors)		2.2	-.16		3.6	.14	1.69
Consequential thinking	3056	22%	-.09	1278	9%	.06	2.49 [†]
Goal setting	1617	12%	-.09	5377	40%	.12	2.50 [†]
Problem-solving	2791	21%	-.12	3268	24%	.09	2.50 [†]
Situational perception	3672	27%	-.12	3760	28%	.10	1.67
Dealing with others	3553	26%	-.11	2695	20%	.09	1.67
Dealing with difficult situations	5993	44%	-.13	4201	31%	.10	2.50 [†]
Dealing with feelings	5578	41%	-.12	4334	32%	.08	3.33***
Control of internal triggers	581	4%	-.08	6686	49%	.08	0.00
Control of external triggers	734	5%	-.09	4408	32%	.08	0.83
Control of impulsive behaviors	702	5%	-.05	7650	56%	.11	4.98***
Control of aggression	1935	14%	-.07	5731	42%	.12	4.16***
Total number of promotive/ risk factors		11.7	-.20		14.3	.19	0.86

Note. All correlations are statistically significant ($p < .001$), except the correlation between recidivism and romantic relation pro social/deviant person. Correlations above .10 are in bold.

For the juveniles in the sample, there were, on average, more risk factors than promotive factors: The average number of risk factors was 14.3, and the average number of promotive factors was 11.7. In most individual domains, on average, more risk factors than promotive factors were present, except in the domains attitude, family and aggression. The differences between the average number of promotive factors and risk factors were significant in almost every domain ($p < .001$).

Besides differences in *prevalence*, we found some differences in the *impact* of promotive factors and risk factors. For a number of factors, the impact of the promotive end was greater than the impact of the risk end, in particular concerning a number of factors from the domains school (estimation of school progress, believes school is encouraging, and believes getting education is of value), family (family income, seriousness of conflict, parental supervision, parental authority and control), attitude (control over antisocial behavior, respect for authority figures, and thinks they can comply with measures), aggression (interpretation of other's behavior or intentions) and skills (goal setting, problem-solving, dealing with difficult situations, and dealing with feelings). In contrast, for a number of other factors the impact of the risk end was greater than the impact of the promotive end, including factors from the following domains: school (school behavior), friends (resistance to influence of peers), attitude (optimism), aggression (physical aggression to solve a conflict) and skills (goal setting, control of impulsive behaviors, control of aggression).

For most of the individual factors (55%) there was no significant difference between the impact of the promotive and risk end. Also, for most of the *total scores* per domain no significant differences between the promotive end and the risk end were found, except for the total score for the school domain, in which the impact of the promotive end was significantly greater than the impact of the risk end.

9.3.3 Age Differences in the Prevalence of Promotive Factors and Risk Factors

Table 4 shows the total score per domain for the promotive factors and risk factors at different ages (prevalence).

It can be derived from Table 4 that the prevalence of promotive factors was significantly *higher* in late adolescence, whereas the prevalence of risk factors was significantly *lower* in late adolescence. The number of risk factors was about equal to the number of promotive factors in late adolescence.

Younger adolescents had fewer promotive factors and more risk factors than older adolescents. In order to examine whether this can be explained by the early age of onset of the younger group, we reran the analysis on the subsample of adolescents who have committed their first offense before their 12th year ($n = 3,386$). Older adolescents still had significantly ($F = 18.8, p < .001$) less risk factors (14.0) than younger adolescents (16.9), but the difference was smaller than for the total sample. The number of promo-

tive factors was roughly equal (11.2 and 11.0). So, the differences between younger and older adolescents in the prevalence of risk and promotive factors, can indeed be partially explained by the early age of onset of the younger age group.

Table 4 Average Number Promotive and Risk Factors Separately for Age Groups

	12 yr (n=439)		13 yr (n=1,009)		14-15 (n=5,023)		16-17 (n=7,142)		F	
	Pro	Risk	Pro	Risk	Pro	Risk	Pro	Risk	Pro	Risk
School	1.6	2.4	1.4	2.7	1.3	2.8	1.3	2.2	8.3***	82.8***
Use of free time	0.4	0.6	0.4	0.7	0.3	0.8	0.3	0.9	10.9***	39.9***
Relationships	1.2	1.6	1.1	1.7	1.0	1.9	1.2	1.7	20.2***	10.7***
Family	2.8	2.6	2.7	2.6	2.6	2.6	2.7	2.4	3.3*	5.5**
Attitude	2.5	2.6	2.5	2.4	2.6	2.3	3.0	1.9	34.1***	47.9***
Aggression	1.1	1.3	1.1	1.2	1.1	1.1	1.3	0.9	23.5***	50.9***
Skills	1.4	5.6	1.6	4.8	1.9	4.1	2.6	3.0	122.3***	217.3***
Total number	11.0	16.9	10.8	16.1	10.8	15.5	12.5	12.9	38.3***	99.2***

* $p < .05$. ** $p < .01$. *** $p < .001$

The results varied per domain: the prevalence of *promotive* factors in the individual domain (in particular skills, but also attitude and aggression) is higher in late adolescence than in early adolescence; the prevalence of promotive factors in the domain use of free time is lower in late adolescence than in early adolescence; for the domain family, the prevalence is about the same and for the domains school and relationships prevalence decreased until age 15, after which it showed an increase. For the total number of *risk factors*, the prevalence of risk factors was significantly lower in older adolescents. Similarly, within several domains the prevalence of risk factors differed between age groups. The prevalence of risk factors in the individual domain (in particular skills, but also attitude and aggression) is lower in older adolescents; risk factors in the free time domain is higher in older adolescents, for the domain family, the prevalence is about the same, and for the domains school and relationships prevalence increased until age 15 after which a decrease was seen.

9.3.4 Sex Differences in the Prevalence of Promotive Factors and Risk Factors

Table 5 shows the total score per domain for the promotive factors and risk factors separately for boys and girls.

Table 5 Average Number Promotive and Risk Factors Separately for Boys and Girls

	Boys (n = 10,111)		Girls (n = 3,502)		F	
	Pro	Risk	Pro	Risk	Pro	Risk
School	1.3	2.5	1.4	2.4	2.2	.4
Use of free time	0.3	0.8	0.2	1.0	63.8***	59.6***
Relationships	1.1	1.7	1.0	2.0	50.2***	72.8***
Family	2.8	2.4	2.3	2.9	129.8***	159.8***
Attitude	2.8	2.1	2.8	2.0	.7	3.6
Aggression	1.3	1.0	1.1	1.2	63.4***	67.2***
Skills	2.2	3.7	2.3	3.5	2.9	12.4***
Total number	11.9	14.1	11.1	14.8	17.8***	15.2***

* $p < .05$. ** $p < .01$. *** $p < .001$

The number of promotive factors was higher among boys in the following domains: use of free time, relationships, family and aggression. In girls, the number of risk factors was higher in these domains. In total, there were significantly more promotive factors in boys than in girls, while for girls there were significantly more risk factors.

9.3.5 Age Differences in the Impact of Promotive Factors and Risk Factors

Table 6 shows the correlations between the total scores and recidivism at different ages (impact) per domain. The table shows that the impact of both promotive and risk factors was high among younger adolescents, but low among older adolescents in almost every domain. This age difference was significant in the family, skills, attitude and aggression domain. The largest difference in impact was found between 12-years-olds and 13-years-olds. This finding was consistent across domains. The impact, on average, was 22% lower in 13-years-olds and 40% lower in 16- to 17-years-olds.

Furthermore, the age differences in the relative importance of the promotive and risk factors were almost the same: At age 12, promotive factors from the family, attitude and aggression domains showed the strongest correlation with recidivism, at age 13 promotive factors from the relationships domain showed the strongest correlation with recidivism and from age 14 promotive factors from the attitude domain.

Table 6 Correlations between Recidivism and the Promotive and Risk Factors for Each Age Group

	12 yr (n=439)		13 yr (n=1,009)		14-15 (n=5,023)		16-17 (n=7,142)		Fisher's Z	
	Pro	Risk	Pro	Risk	Pro	Risk	Pro	Risk	Pro	Risk
School	-.20	.16	-.16	.19	-.16	.11	-.14	.07	1.25	2.48*
Use of free time	-.08	.05	-.07	.10	-.05	.09	-.06	.08	0.41	-0.61
Relationships	-.20	.19	-.24	.22	-.14	.15	-.13	.14	1.46	0.41
Family	-.31	.29	-.19	.14	-.13	.12	-.12	.10	4.05***	4.02***
Attitude	-.29	.28	-.21	.19	-.18	.18	-.17	.15	2.57**	2.77**
Aggression	-.30	.26	-.16	.17	-.15	.15	-.12	.12	3.83***	2.95**
Skills	-.27	.24	-.15	.11	-.14	.13	-.15	.11	2.55*	2.72**
Total number	-.34	.31	-.23	.22	-.19	.18	-.18	.16	3.49***	3.23**

* $p < .05$. ** $p < .01$. *** $p < .001$

^a: Fisher's z is calculated between the youngest group (12 years) and the oldest group (16-17 years)

9.3.6 Sex Differences in the Impact of Promotive Factors and Risk Factors

Table 7 shows per domain the correlations between the total scores and recidivism separately for boys and girls.

Table 7 Correlations between Recidivism and the Promotive and Risk Factors for Boys and Girls

	Boys (n = 10,111)		Girls (n = 3,502)		Fisher's Z	
	Pro	Risk	Pro	Risk	Pro	Risk
School	-.16	.12	-.12	.07	2.24*	2.88**
Use of free time	-.07	.09	-.02	.06	2.50*	1.64
Relationships	-.17	.17	-.10	.13	3.69***	2.14*
Family	-.16	.14	-.10	.11	3.48***	1.61
Attitude	-.20	.19	-.15	.14	2.42*	2.41*
Aggression	-.16	.16	-.13	.15	1.35	.42
Skills	-.16	.13	-.15	.14	.84	.26
Total number						

* $p < .05$. ** $p < .01$. *** $p < .001$

In most domains, the impact of both the risk and promotive factors was greater in boys than in girls.

9.3.7 *Age Differences in the Best Unique Predictors*

Multiple logistic regression analyses were used to examine the unique contribution of the predictors for the different age groups. Separate multivariate prediction models were tested for risk factors (see Table 8) and promotive factors (see Table 9) in the four different age groups.

At age 12, only *risk* factors from the family domain were significant; at age 13 risk factors from the school, relationships and aggression domain were significant; at age 14-15 risk factors from the relationships, aggression and attitude domain were significant; and at age 16-17 also risk factors from the relationships, aggression, and attitude domain were significant.

Significant *promotive* factors at age 12 included promotive factors from the family and aggression domain; at age 13 promotive factors from the relationships domain; at age 14-15 promotive factors from the school, use of free time, aggression, and attitude domain and; at age 16-17 promotive factors from the school, relationships, attitude and skills domain.

9.3.8 *Sex Differences in the Best Unique Predictors*

Again, multiple logistic regression analyses were used to examine the unique contribution of the predictors for boys and girls. Separate multivariate prediction models were tested for risk factors (see Table 10) and promotive factors (see Table 11) in boys and girls.

Table 8 Logistic Regression Coefficients Predicting Recidivism from Risk Factors for Each Age Group

	12 year (n = 439)			13 year (n = 1,009)			14-15 year (n = 5,023)			16-17 year (n = 7,142)					
	B	SE	Wald	Exp (B)	B	SE	Wald	Exp (B)	B	SE	Wald	Exp (B)			
School	.04	.07	.32	1.04	.15	.04	14.54***	1.16	.02	.02	2.36	1.02	.02	2.93	1.02
Use of free time	-.27	.16	2.99	.76	-.07	.10	.52	.93	-.01	.04	.08	.99	.01	.04	1.01
Relationships	-.02	.09	.03	.99	.12	.05	5.30*	1.13	.08	.02	10.36***	1.08	.08	.02	15.42***
Family	.18	.06	9.08**	1.20	.01	.04	.06	1.01	.01	.02	.68	1.01	.02	.01	1.31
Attitude	.11	.07	2.43	1.11	.03	.05	.44	1.03	.07	.02	12.65***	1.07	.05	.02	9.01**
Aggression	.16	.11	2.41	1.18	.17	.07	6.75**	1.19	.13	.03	17.80***	1.14	.08	.03	8.60**
Skills	.04	.03	1.26	1.04	-.02	.02	.94	.98	.01	.01	.46	1.01	.01	.01	2.02
Constant	-.92	.21	20.13***	.40	-.72	.13	29.71***	.49	-.71	.06	147.44***	.49	-.90	.05	341.51***
χ^2 (7)	57.3***			68.7***			194.2***			189.3***					

* $p < .05$, ** $p < .01$, *** $p < .001$

Table 9 Logistic Regression Coefficients Predicting Recidivism from Promotive Factors for Each Age Group

	12 year (n = 439)			13 year (n = 1,009)			14-15 year (n = 5,023)			16-17 year (n = 7,142)					
	B	SE	Wald	Exp (B)	B	SE	Wald	Exp (B)	B	SE	Wald	Exp (B)			
School	.06	.09	.41	1.06	-.03	.05	.29	.97	-.09	.02	13.72***	.91	-.08	.02	17.31***
Use of free time	.19	.19	.98	1.21	.16	.13	1.62	1.18	.12	.06	2.96	1.13	.09	.05	2.51
Relationships	.03	.10	.07	1.03	-.27	.07	16.63***	.77	-.05	.03	3.87*	.95	-.08	.03	9.76***
Family	-.18	.06	9.30**	.84	-.05	.04	2.14	.95	-.02	.02	.91	.99	-.01	.01	.36
Attitude	-.08	.07	1.09	.93	-.06	.04	2.01	.94	-.07	.02	11.47***	.94	-.06	.02	12.92***
Aggression	-.28	.12	5.70*	.76	-.05	.07	.58	.95	-.08	.03	6.39*	.92	-.00	.03	.02
Skills	-.11	.06	3.33	.90	.01	.04	.11	1.01	-.03	.02	2.88	.97	-.05	.01	13.00***
Constant	1.04	.17	35.80***	2.83	.64	.11	35.40***	1.90	.32	.05	47.97***	1.38	.03	.04	.58
χ^2 (7)	64.6***			71.37***			209.7***			246.7***					

* $p < .05$, ** $p < .01$, *** $p < .001$

Table 10 Logistic Regression Coefficients Predicting Recidivism from Risk Factors separately for Boys and Girls

	Boys (n = 10,111)				Girls (n = 3,502)			
	B	SE	Wald	Exp (B)	B	SE	Wald	Exp (B)
School	.04	.01	15.3***	1.0	.01	.02	.06	1.00
Use of free time	-.03	.03	1.2	.97	-.00	.05	.00	1.00
Relationships	1.00	.02	30.0***	1.1	.07	.03	5.7*	1.07
Family	.03	.01	7.7**	1.0	.04	.02	3.8*	1.04
Attitude	.06	.01	16.4***	1.1	-.00	.03	.01	1.00
Aggression	.13	.02	31.1***	1.1	.19	.04	25.5***	1.21
Skills	.01	.01	.8	1.0	.04	.01	7.7**	1.04
Constant	-.73	.04	344.0***	.5	-1.28	.08	260.6***	.28
χ^2 (7)	456.1***				120.2***			

* $p < .05$, ** $p < .01$, *** $p < .001$

Table 11 Logistic Regression Coefficients Predicting Recidivism from Promotive Factors separately for Boys and Girls

	Boys (n = 10,111)				Girls (n = 3,502)			
	B	SE	Wald	Exp (B)	B	SE	Wald	Exp (B)
School	-.06	.02	11.9**	.95	-.06	.03	4.6*	.94
Use of free time	.09	.04	3.0	1.10	.13	.08	2.6	1.14
Relationships	-.11	.02	29.6***	.89	-.03	.04	.43	.97
Family	-.04	.01	10.1**	.97	-.01	.02	.12	.99
Attitude	-.06	.01	15.8***	.95	-.04	.02	6.5	.96
Aggression	-.06	.02	6.8**	.95	-.09	.04	4.4*	.92
Skills	-.04	.01	10.9**	.97	-.07	.02	12.3***	.93
Constant	.42	.03	149.6***	1.52	-.23	.06	15.5***	.79
χ^2 (7)	502.6***				110.6***			

* $p < .05$, ** $p < .01$, *** $p < .001$

For boys, *risk* factors from the school, relationships, family, attitude and aggression domains were significant. *Promotive* factors proved to be significant in the following domains: school, relationships, family, attitude, aggression and skills. For girls, *risk* factors from the relationships, family, aggression and skills domain were significant. *Promotive* factors were significant in the following domains: school, aggression and skills.

9.3.9 Unique Contribution of Promotive Factors to the Prediction of Recidivism

Hierarchical multiple logistic regression analysis was used in order to analyse the unique contribution of the promotive factors to the prediction of recidivism (see Table 12 and Table 13).

Table 12 The Incremental Contribution of Promotive Factors for Each Age Group

	12 year (n = 439)	13 year (n = 1009)	14-15 year (n = 5023)	16-17 year (n = 7142)
	χ^2 (df)	χ^2 (df)	χ^2 (df)	χ^2 (df)
Risk factors (block 1)	57.27 (7) ***	68.70 (7) ***	194.16 (7) ***	189.25 (7) ***
Promotive factors (block 2)	14.24 (7) *	23.33 (7) ***	46.86 (7) ***	78.30 (7) ***
Total model	71.51 (14) ***	92.04 (14) ***	241.02 (14) ***	267.56 (14) ***

* $p < .05$, ** $p < .01$, *** $p < .001$

Table 13 The Incremental Contribution of Promotive Factors for Boys and Girls

	Boys (n = 10,111)	Girls (n = 3,502)
	χ^2 (df)	χ^2 (df)
Risk factors (block 1)	456.14 (7) ***	120.19 (7) ***
Promotive factors (block 2)	111.18 (7) ***	19.55 (7) **
Total model	567.32 (14) ***	139.74 (14) ***

* $p < .05$, ** $p < .01$, *** $p < .001$

It can be seen in Table 12 and Table 13 that adding promotive factors significantly improved the prediction of recidivism for both boys and girls in all age groups. So, the promotive factors contributed significantly to the prediction of recidivism after having entered risk factors.

9.4 Discussion

The goal of this study was to gain more insight into the importance of promotive and risk factors for 18-month recidivism during adolescence. There is a relative paucity of knowledge on this subject, even though such knowledge is of essential importance for developing evidence-based interventions, adequate risk assessment of recidivism and effective referral to judicial interventions targeting resistance to crime. Therefore, we investigated the prevalence and impact of a large number of factors that have both a promotive and a risk aspect.

The importance of promotive and risk factors appears to be similar. For most of the domains, the impact of the promotive end is comparable to the impact of the risk end.

There are, however, differences in impact in a number of individual factors: Of the 49 factors that were measured, it was found that for 15 factors the impact of the promotive end was greater than the impact of the risk end, whereas for 7 factors the impact of the risk end was greater. For 27 factors no significant differences were found between the impact of the promotive and risk ends. Thus, for about half of the factors there is a linear relation between the factor and recidivism, while for the other half there is no linear relation. It is therefore important to distinguish between risk and promotive factors.

Risk factors appear to be more common than promotive factors. This seems consistent with the fact that the sample consists of medium-to high-risk youth. Other studies, with samples including low-risk youth, also show that during adolescence risk factors are more common than promotive factors (Loeber, Farrington, Stouthamer-Loeber, & White, 2008; Van der Laan & Blom, 2006). However, very few studies have questioned whether the proportion of promotive and risk factors is more or less constant across development (Loeber et al., 2008). The present study shows that the prevalence of promotive factors was higher in older adolescents, whereas the prevalence of risk factors was higher in younger adolescents. In 16- and 17-year-olds, the number of risk factors was about equal to the number of promotive factors. This can partly be explained by the fact that the group of younger adolescents included more adolescents who started offending at earlier ages. Research has shown that the behavior of early starters is more strongly determined by individual and/or social risk factors than the behavior of late starters, whose behavior is more often determined by situational factors (Moffit, 1993). Especially for the factors in the individual domain (attitude, aggression, and skills) the prevalence of promotive factors is higher in older adolescents, while the prevalence of risk factors is higher in younger adolescents. A possible explanation for this is that adolescence is characterised by social, emotional and moral growth (Cole, Cole, & Lightfoot, 2005), which means that juveniles develop more promotive factors in the individual domain over time. Recent research on adolescent brain development also shows that the maturation of the brain ensures that adolescents increasingly master a variety of skills (Crone, Bullens, Van der Plas, Kijkuit, & Zelazo, 2008). For example, it appears that by the activity of certain brain areas, younger adolescents make more risky choices for themselves and are more sensitive for rewards than older adolescents (Van Leijenhorst et al., 2010).

The impact of promotive factors as well as risk factors on recidivism is *high* among young adolescents, but *low* in older adolescents in almost every domain. The difference in impact is greatest between 12-year-olds and 13-year-olds: The impact is on average 22% lower in 13-year-olds than in 12-year-olds. So, the influence of both risk factors and promotive factors on recidivism is greatest at the beginning of adolescence. A possible explanation for this is the increasing level of autonomy attained by juve-

niles during adolescence (Oudshoorn, Brans, Duyx, & Eussen, 2002). This increasing autonomy may result in juveniles who become increasingly less sensitive to external stimuli, and who therefore are less likely to be influenced by, for example, friends or family. Another possible explanation is the rising heritability with age: genetic influences become more important as children grow older. According to work by Plomin and colleagues (2001), heritability estimates calculated on infant samples are as low as 20%, rising to around 40% in middle childhood, and ultimately as high as 80% in adult samples in the United States. This suggests that the underlying genes actually express themselves by affecting a person's predisposition to build, learn, and develop mental abilities throughout the lifespan (Plomin, DeFries, McClearn, & McGuffin, 2001).

Finally, age differences were found in the unique contribution of promotive and risk factors to the prediction of recidivism. At age 12, *promotive* factors in the family and aggression domains were the best independent predictors, at age 13 promotive factors in the relationships domain and from age 14 promotive factors in the school, relationships and attitude domains. At age 12, *risk* factors in the family domain were the best independent predictors, at age 13 risk factors in the school, relationships and aggression domains, and from age 14 risk factors in the relationships, attitude and aggression domains.

Some limitations of this study need to be mentioned. First, The WSCJA was not designed to provide an in-depth examination of promotive and risk factors. Instead, it is a risk assessment tool that is designed to be used by juvenile justice professionals and clinicians to summarize youth's risks and needs, classify their overall risk level, and plan treatment and supervision strategies. Second, the sample predominately consisted of moderate- and high-risk youth. Therefore, the results cannot be generalized to juvenile delinquents with a low risk of criminal offense recidivism. Third, there are no research results available regarding the interrater reliability of the WSJCA. However, given that quality assurance is an important part of the assessment structure and organization in Washington State and probation officers receive intensive training to adequately administer and reliably score the WSJCA (Barnoski, 2004b), we have no reason to assume that reliability of the WSJCA would be low.

The results of this study have a number of important implications for policy and practice. In the first place, this study shows that the dynamic promotive and risk factors were most strongly related to recidivism in different domains. Examples of important promotive factors are: "very likely to graduate", "believes getting education of value", "prosocial friends", "usually following family rules", "respect for authority figures", and "skills in dealing with difficult situations". Examples of important *risk* factors are: "severe behavior problems at school", "admiration of antisocial peers", "no resistance to influence of anti-social peers", "no respect for other's property", "believes physical aggression is appropriate to solve a conflict", and "lacks techniques to control impulsive

behavior". This knowledge is essential for practices and policies used to prevent recidivism, because the effect of an intervention to prevent recidivism is greatest when it is aimed at those dynamic risk factors and promotive factors that are most strongly linked to recidivism (Andrews et al., 2006; Bonta, 2002; Farmer et al., 2002; Lipsey & Derzon, 1998). The stronger the link to recidivism, the greater the potential effect of an intervention aimed at these factors.

Second, the results show the importance of early intervention within the juvenile justice system. Because both the importance of the promotive factors and the importance of the risk factors are greatest at the beginning of adolescence, the potential effect of an intervention aimed at these factors is also greatest in early adolescence. The large difference in impact of promotive and risk factors immediately after age 12 implies that at age 12 relatively good progress can be achieved in all domains, while at age 13 this may well require a good deal more effort. The low influence of dynamic risk and promotive factors in 16- and 17-year-olds illustrates the importance of further research on predictors of recidivism in late adolescence. Knowledge of the most important risk and promotive factors for recidivism for 16- and 17-year-olds especially is needed to develop adequate treatment (aimed at those dynamic factors that relate to recidivism most strongly) for this age group.

In the third place, the results show that the focus of an intervention needs to be geared to the age of a juvenile to maximize the potential effect on recidivism. The first step in determining what should be treated comprises a screening to investigate which problems are present in which domains. Given that delinquency and recidivism are the results of complex interactions between risk and promotive factors (Deković & Prinzie, 2008; Prinzie et al., 2008) and the detrimental effect of cumulative risk (Loeber, Slot et al., 2008), it is probable that changes in multiple risk and promotive factors are required to reduce recidivism. When determining treatment goal priorities, it should be kept in mind that the potential effect of an intervention aimed at reducing recidivism at age 12 will be probably the greatest when attention is paid to the family domain, because the family domain is the most important independent predictor at age 12. At age 13, attention should be especially paid to promotive and risk factors in the relationship domain, and from age 14 promotive and risk factors in the attitude domain deserve special attention. These findings emphasize the need for developmentally appropriate interventions.

Fourth, both risk and promotive factors from the attitude domain, such as lack of empathy and having no respect for other's property, authority and social conventions, are strongly related to recidivism at any age. Previous research has shown that youth with more antisocial attitudes are also those with more risk factors in the other domains, which indicates that problematic or antisocial attitudes play a central role (Van der Put, Stams et al., 2010). These findings suggest that the attitude domain should be

taken into account during any intervention, because if a change can be brought about in the attitude domain this may work its way through other domains.

Fifth, the results are important for risk assessment. The results show that the prediction of recidivism based solely on promotive factors is about as accurate as the prediction based solely on risk factors. It also appears that the prediction of recidivism significantly improved by adding promotive factors to risk factors. Therefore, the combination of risk and promotive factors provides the best prediction of recidivism. Most of the fourth-generation instruments contain promotive factors, but they are usually not included in calculating the overall risk of recidivism score. Promotive factors are measured in order to assess the needs of juveniles and to get insight into the possibilities for reducing the risk of recidivism. The predictive value of the overall risk score is likely to improve if promotive factors are also included in the calculation of this score. Finally, the results show that a decrease in recidivism can be achieved by focusing on both an increase and/or strengthening of promotive factors and a decrease in the number of risk factors. For most factors, the impact of the promotive aspect is comparable to the impact of the risk aspect. Interventions aimed at increasing promotive factors are therefore potentially just as effective as interventions aimed at decreasing risk factors, which is important when considering what the focus of an intervention should be. In addition, this knowledge is important because it may be easier to foster treatment motivation by targeting promotive factors instead of targeting risk factors.