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# Constraining turbulence mixing strength in transitional discs with planets using SPHERE and ALMA

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## Abstract

We investigate the effect that the turbulent mixing strength parameter  $\alpha_{\text{turb}}$  plays on near-infrared polarimetric and sub-millimetre interferometric imaging observations of transitional discs (TDs) with a gap carved by a planet. We generate synthetic observations of these objects with ALMA and VLT/SPHERE-ZIMPOL by combining hydrodynamical, dust evolution, radiative transfer and instrument models for values of  $\alpha_{\text{turb}} = [10^{-4}, 10^{-3}, 10^{-2}]$ . We find that, through a combination of effects on the viscosity of the gas, the turbulent mixing and dust evolution processes,  $\alpha_{\text{turb}}$  strongly affects the morphology of the dust distribution that can be traced with these observations. We constrain the value of  $\alpha_{\text{turb}}$  to be within an order of magnitude of  $10^{-3}$  in TD sources that show cavities in sub-mm continuum images while featuring continuous distribution of dust or smaller cavities in NIR-polarimetric images.

**methods:** numerical, **techniques:** high angular resolutions, **techniques:** interferometric, **techniques:** polarimetric, **planet–disc interactions**

## INTRODUCTION

The field of transitional discs (TDs) has recently experienced a paramount push thanks to the technical advancements in high contrast imagers and interferometers. Originally detected and characterized through spectral energy distribution (SED) fitting, these protoplanetary discs (PPDs) appeared to be depleted of material in the inner regions and are considered a transition between a full protoplanetary disc (PPD) and a planetary system (Strom et al. 1989). This transitional stage may be caused by processes of planet–disc interaction (e.g. Rice et al. 2003; Papaloizou et al. 2007), or disc evolution (e.g. Dullemond & Dominik 2005; Alexander & Armitage 2007).

With resolutions of a few AUs at 140 pc, current facilities such as the Atacama Large Millimeter/sub-millimeter Array (ALMA) or the new planet imager Spectro-Polarimetric High-contrast Exoplanet Research (SPHERE) on the Very Large Telescope (VLT) are providing the community with a plethora of images of TDs showing very different and complex structures such as rings, azimuthal asymmetries, dips and spiral arms (e.g. Quanz et al. 2012; Garufi et al. 2013; van der Marel et al. 2013; Casassus et al. 2013; Pérez et al. 2014; Zhang et al. 2014; Walsh et al. 2014; Benisty et al. 2015; Canovas et al. 2016). This has triggered a large number of theoretical studies to explore the potential mechanisms responsible (e.g. Regály et al. 2012; Ataiee et al. 2013; Birnstiel, Dullemond & Pinilla 2013; Zhu & Stone 2014; Juhász et al. 2015; Flock et al. 2015).

In particular, a group of TDs seem to feature gaps in 870  $\mu\text{m}$  interferometric images (Andrews et al. 2011) while showing smaller or non-existent gaps in  $H$ -band (1.2  $\mu\text{m}$ ) polarimetric images (i.e. the ‘missing cavities’ problem; Dong et al. 2012). With the former tracing the emission of relatively large ( $\sim 1$  mm) dust grains, and the latter tracing scattering of light by small ( $\sim 1$   $\mu\text{m}$ ) ones, these observations suggest that a filtration mechanism is causing the localised depletion of large dust grains, leaving small ones unaffected. Theoretical studies such as Zhu et al. (2012) or Pinilla, Benisty & Birnstiel (2012), show that this preferential filtration of certain sizes of dust grains can be caused by a planetary-mass companion while it remains difficult to explain by disc evolution processes. Based on the models presented in the latter, de Juan Ovelar et al. (2013) added radiative transfer and instrument modelling to produce synthetic observations of this scenario showing that images at NIR and sub-mm wavelengths would indeed show this apparent dichotomy and that their combination can be used to estimate the mass of the companion. However, parameters such as the turbulence mixing strength ( $\alpha_{\text{turb}}$ ) are known to have an important effect on the (hydro)dynamical, dust evolution, and radiative transfer processes that govern the evolution of PPDs, and their response to external perturbations (e.g. Lynden-Bell & Pringle 1974; de Juan Ovelar et al. 2012; Rosotti et al. 2014), but its effect on such observations remains to be investigated.

In this Letter, we explore this issue with general TD models instead of using particular sources. We focus on how such observations can be used to constrain the value of  $\alpha_{\text{turb}}$  within the range of  $10^{-2}$ – $10^{-4}$ , currently assumed in the literature and supported by recent observational studies (e.g. Mulders & Dominik 2012; Flaherty et al. 2015).

The Letter is organized as follows. In Section 2, we outline the modelling procedure. In Section 3, we discuss the dust density distribution and synthetic observations obtained, and, in Section 4, we list the main conclusions of our study.

## MODELS

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Following the same methodology and models presented in de Juan Ovelar et al. (2013), we combine 2D-hydrodynamical, 1D-dust evolution, radiative transfer, and instrument simulations to produce synthetic observations of a disc hosting a planet of masses  $M_{\text{p}} = [1, 5, 9, 15] M_{\text{Jup}}$ . The values of all parameters are given in Appendix A together with a brief description of the modelling procedure. For more details of our method, we refer the reader to the above mentioned paper. We run all cases with three values of  $\alpha_{\text{turb}} = [10^{-4}, 10^{-3}, 10^{-2}]$ .

## RESULTS

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In the interest of space, we describe the effect of  $\alpha_{\text{turb}}$  on the gas and dust distribution in all cases while discussion on images is focused on models run with 1 and 9  $M_{\text{Jup}}$  planets only, which are representative of our sample. Synthetic images of cases [5, 15]  $M_{\text{Jup}}$  are shown in Appendix B.

### Impact of $\alpha_{\text{turb}}$ on the gas and dust density distribution

Fig. 1 shows the radial profiles of the gas and (binned by size from 1  $\mu\text{m}$  to  $> 1$  cm) dust distributions in the disc for the different simulations. Panels in each column show the three cases of  $\alpha_{\text{turb}}$  studied for each planetary mass considered. The gap-opening power of a planet is determined by the balance between the mutually counteracting gravitational and viscous torques that arise from its presence in the disc and the viscous conditions of the gas, respectively (e.g. Crida, Morbidelli & Masset 2006). Thus, as the value of  $\alpha_{\text{turb}}$  decreases (i.e. upper to lower panels), the gap opened by a planet of a certain mass in the gas distribution is

significantly deeper. The gap also becomes wider as planet mass increases (for a fixed  $\alpha_{\text{turb}}$ ). Additionally, the pressure gradient becomes positive at the outer edge of the gap opened and a pressure maximum appears (e.g. Paardekooper & Mellema 2004). The characteristics of the new pressure gradient distribution (e.g. steepness) and those of the pressure maximum (e.g. amplitude) control the filtering/trapping of dust particles of different size in the disc (see equation 11 in Pinilla et al. 2012).

## Figure 1.

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Radial profile of the gas (black diamonds) and dust (coloured lines) distributions for  $\alpha_{\text{turb}} = 10^{-4}, 10^{-3}, 10^{-2}, 10^{-1}, 10^0$ , and  $10^1$  (from bottom to top). The blue-plus, green-cross, yellow-vertical-triangle, red-horizontal-triangle and red-dashed lines correspond to dust bin sizes of  $a = [10^{-4} - 10^{-3}]$  cm,  $a = [10^{-3} - 10^{-2}]$  cm,  $a = [10^{-2} - 10^{-1}]$  cm,  $a = [10^{-1} - 1]$  cm, and  $a > 1$  cm, respectively. Note that the piling up of small grains at the planet's location in  $\alpha_{\text{turb}} = 10^{-2}$  cases is a numerical artefact (see details in Section 3.1)

Turbulent mixing also plays an essential role on dust growth and evolution. For instance, fragmentation occurs because of high relative velocity collisions between dust grains, with main sources being turbulent motion and radial drift. If radial drift is reduced by a positive pressure gradient, turbulent motion dominates and the maximum grain size that particles can reach before fragmentation ( $a_{\text{max}}$ ) depends directly on  $\alpha_{\text{turb}}$  (Birnstiel, Dullemond & Brauer 2010; Birnstiel, Klahr & Ercolano 2012). If  $\alpha_{\text{turb}}$  is high,  $a_{\text{max}}$  can be much lower than the size of particles that can be trapped in pressure bump, preventing accumulation of mm-sized particles in the pressure bump (e.g. Pinilla et al. 2015). In addition, turbulence also drives diffusion of particles within pressure bumps, and therefore if  $\alpha_{\text{turb}}$  is high, particles can more easily *escape* a dust trap. This is the case of models with  $\alpha_{\text{turb}} = 10^{-2}$  (upper row) where we see no dust traps for mm- or even cm-sized particles, even for very massive planets. In summary, there are three reasons why trapping is weaker for higher values of  $\alpha_{\text{turb}}$ : less deep planetary gaps and hence lower pressure gradient at the outer gap edge; more effective fragmentation of particles which leads to smaller grains that are more difficult to trap; and higher diffusion or mixing of dust that allows the particles to escape from the trap.

Additionally, when  $\alpha_{\text{turb}} = 10^{-2}$ , and also independently from planet mass, small grains pile up at the position of the planet even surpassing the surface density values of the gas. This is an effect of our 2D (gas)+1D (dust) approximation, in particular from assuming the gas velocity using viscous accretion and assuming the averaged gas surface density for the dust evolution as in Pinilla et al. (2012); Pinilla et al. (2015). To test this, we re-run the dust calculations in the  $1M_{\text{Jup}}$  and  $10M_{\text{Jup}}$   $\alpha_{\text{turb}} = 10^{-2}$  case including only dynamics and neglecting coagulation, fragmentation and grain growth processes. The enhancement of small particles then remains suggesting that it is indeed a numerical artefact and not the result of dust evolution processes. We then run another simulation for this case, where the dust velocities are assumed to be

$v_{\text{gas}} \propto \Sigma_{\text{gas}}^{-1/2}$  instead, with  $v_{\text{gas}}$  and  $\Sigma_{\text{gas}}$  being the azimuthally and time (over the last 100 orbits) averaged values from the hydrodynamical simulations. In this case, accretion rate throughout the gap is almost constant and the pile up of small dust at the location of the planet disappears (see details in Appendix B). Because in our dust evolution models we assume that the gas velocity comes from viscous evolution that tries to close the gap, the particles that feel these velocities are pushed into the gap. Since the viscous velocities are proportional to  $\alpha_{\text{turb}}$ , in the case of lower values (i.e.  $10^{-3}, 10^{-4}$ ) they are negligibly small, and radial drift becomes the dominating contribution for the dust velocity, which moves dust up the pressure gradient and prevents this artefact from appearing. This is, therefore, an inherent limitation of our modelling procedure that affects high turbulence cases in the region of the disc near the planet. To treat this issue, 2D gas and dust evolution models that include grain growth and dynamics simultaneously are needed, which are beyond the scope of our study. For our analysis of these cases, we therefore ignore this feature and base our conclusions on the otherwise continuously decreasing distribution of dust.

We also note that our dust evolution treatment cannot follow processes occurring when dust-to-gas ratios are larger than 1 which can trigger instabilities and fast growth to planetesimals (e.g. Johansen et al. 2007).

In the cases where  $\alpha_{\text{turb}}$  is low ( $\alpha_{\text{turb}} = 10^{-4}$   $\alpha_{\text{turb}} = 10^{-4}$ , lower panels in Fig. 1), the effect on the gas distribution would in principle favour trapping. However, dust growth is increased because turbulent relative velocities are low: growth dominates over fragmentation and particles can continue growing to even metre-sized objects inside the trap. Additionally, turbulent diffusion is not effective. As a result, only particles larger than cm-sizes accumulate in a very narrow ring (red-dashed line in Fig. 1) and lower dust grain sizes are depleted. The waves that appear with low values of  $\alpha_{\text{turb}}$  (e.g.  $>40$  au features in  $5 M_{\text{Jup}}$ ,  $\alpha_{\text{turb}} = 10^{-4}$   $5M_{\text{Jup}}$   $\alpha_{\text{turb}} = 10^{-4}$  case) are an artefact. These features come from the spiral waves in the hydrodynamical simulations that appear as fixed density bumps to the dust evolution code because we assume a stationary gas distribution azimuthally averaged after 1000 orbits. However, they have a pattern speed equal to the planet, and thus are unable to trap dust.

All results are compared after 1 Myr of dust evolution.

## SPHERE-ZIMPOL/ALMA images for different values of $\alpha_{\text{turb}}$

The combination of effects of  $\alpha_{\text{turb}}$  on the gas and dust distribution of the disc has a clear impact on scattering and emission flux images. Fig. 2 shows synthetic SPHERE-ZIMPOL *R*-band ( $0.65 \mu\text{m}$ ) polarimetric and ALMA Band 7 ( $850 \mu\text{m}$ ) continuum emission observations (first and second columns of each panel, respectively) of a disc with an embedded 1 (left-hand panel) and  $9M_{\text{Jup}}$   $9M_{\text{Jup}}$  (right-hand panel) planet and for  $\alpha_{\text{turb}} = [10^{-4}, 10^{-3}, 10^{-2}]$  (bottom to top rows).

**Figure 2.**

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*R*-band SPHERE and ALMA Band 7 synthetic observations of a protoplanetary disc with a 1 and  $9M_{\text{Jup}}$   $9M_{\text{Jup}}$  planet embedded at 20 au for the three values of  $\alpha_{\text{turb}}$  considered. The flux in the case of  $\alpha_{\text{turb}} = 10^{-4}$  has been increased by 2 and 5 for the SPHERE and ALMA images, respectively. Note that the ring-like feature in the case of  $1M_{\text{Jup}}$   $1M_{\text{Jup}}$  and  $\alpha_{\text{turb}} = 10^{-2}$  is a numerical artefact (see details in Section 3.1).

### Case of $\alpha_{\text{turb}} = 10^{-2}$

In the  $1M_{\text{Jup}}$   $1M_{\text{Jup}}$  and  $\alpha_{\text{turb}} = 10^{-2}$  case (top row) there is no effective trapping and dust grains of all sizes populate the region with approximately constant surface density up to the location of the planet where small particles are artificially enhanced due to the limitations of our model (see previous subsection). Unfortunately, our simulations of polarimetric observations of SPHERE-ZIMPOL at short wavelengths ( $\sim 0.65 \mu\text{m}$ ) are dominated by this feature in this particular case. These images trace starlight scattered by small ( $\sim 1 \mu\text{m}$ , blue and green lines in Fig. 1) dust grains at the surface of the disc, and therefore show the abrupt enhancement in density of the small grains in this location as a narrow ring. When ignoring the pile-up, the distribution of small ( $< 1 \text{ mm}$ ) grains remains rather constant, and, therefore, one would expect this to show in the NIR image as a continuous disc. To clarify this, we show in Appendix B SPHERE and ALMA images of this case when using a manually smoothed prescription for the velocities inside the gap. All simulations of  $M_{\text{p}} > 1M_{\text{Jup}}$   $M_{\text{p}} = 1M_{\text{Jup}}$  and this value of  $\alpha_{\text{turb}}$  show the inner region of the disc because the gradient of the small grains distribution here is very high. It is this gradient instead of the pile-up feature

what dominates the image in these cases.

ALMA images trace thermal emission from  $\sim 1$  mm grains (yellow and red lines in Fig. 1) and therefore show a continuous distribution with large grains more depleted in the outer regions of the disc. As the planet becomes more massive ( $9M_{\text{Jup}}$  panel in Fig. 2) the gap becomes deeper and wider but the pressure maximum at the outer edge is still not strong enough to trap efficiently and, therefore, no ring-like feature appears in these images.

### Case of $\alpha_{\text{turb}} = 10^{-3}$

For an intermediate value of  $\alpha_{\text{turb}} = 10^{-3}$  (middle rows in Fig. 1 and 2) the situation changes. Trapping here is effective for dust grains of sizes around  $a \sim 1$  mm and therefore, ALMA continuum images show a ring of dust where the dust trap is located (i.e. at the location of the pressure bump). On the other hand, for a  $1M_{\text{Jup}}$  planet, small particles – still coupled to the gas – flow freely through the gap to the inner regions of the disc ( $r < r_{\text{planet}}$ ). SPHERE-ZIMPOL images therefore show two components for the disc separated by the gap at the location of the planet, where small grains are partially depleted and cannot scatter as much radiation. For a  $9M_{\text{Jup}}$  planet the effects are amplified in both images. The trapping power of the planet is much larger, the pressure bump moves outwards and the inner regions to the position of the planet are strongly depleted. This causes SPHERE-ZIMPOL images to show very clearly the position of the wall (outer edge of the gap) in the disc whose surface is covered with small grains well coupled to the gas and effectively scattering starlight. The pressure bump trapping large grains shines in ALMA continuum emission images as a wide ring at around  $\sim 45$  au.

### Case of $\alpha_{\text{turb}} = 10^{-4}$

The models with the lowest value  $\alpha_{\text{turb}}$  we consider ( $\alpha_{\text{turb}} = 10^{-4}$  to  $10^{-5}$ ) tell the story of dust coagulation. Despite the fact that turbulence here is very low and therefore the effect of the planet on the gas distribution is amplified (which favours effective trapping), relative velocities between dust grains are very low and coagulation processes dominate over fragmentation ones in the dust trap. This results in a distribution of grain sizes dominated by larger than cm sizes (red-dashed line in Fig. 1) which leads to low fluxes at sub-mm wavelengths. The dust trap affecting mm grains is present in images of ALMA in both planet mass cases, but it is an extremely faint feature. The gap opened by a  $1M_{\text{Jup}}$  planet appears in the SPHERE-ZIMPOL image thanks to the fact that it traces scattering radiation instead of emission, which will be affected by the strong depletion of small grains due to coagulation. Indeed, few grains still scatter efficiently from the surface of the disc and wall, and are therefore able to trace the gap. Note that in NIR and sub-mm images obtained for this value of  $\alpha_{\text{turb}}$  the flux has been increased by factors of [2, 5], respectively. When a more massive planet of  $9M_{\text{Jup}}$  is opening the gap however, the depletion becomes very strong and the image, although still tracing the wall, becomes much fainter. Here the NIR-scattering image shows a secondary ring corresponding to one of the artefacts mentioned in the previous section.

## CONCLUSIONS

We perform (2D-)hydrodynamical, (1D-)dust evolution, radiative transfer and instrument simulations to obtain synthetic SPHERE-ZIMPOL and ALMA observations of TDs where a gap is opened by a planet of different masses and with three different values of the turbulent mixing strength parameter  $\alpha_{\text{turb}} = [10^{-4}, 10^{-3}, 10^{-2}]$ . In this work, we do not have simultaneous evolution of gas and dust, but assume the gas density from hydrodynamical simulations of planet–disc interaction after 1000 orbits. The gas density profile remains then static for the dust evolution and we do not include

planet accretion or migration (see Appendix A for details). Under these assumptions, we find that  $\alpha_{\text{turb}}$  has a major impact on observations of dust in the disc. In particular, our results show that.

- We confirm that, as shown in Pinilla et al. (2012); Pinilla et al. (2015), for  $\alpha_{\text{turb}}=10^{-2}$  the trapping mechanism is weak, resulting in SPHERE-ZIMPOL and ALMA images showing continuous distributions of  $\sim 1 \mu\text{m}$  and  $\sim\text{mm}$  dust grains, respectively (see the text for details on the limitations of our models and the  $M_{\text{Jup}}$  image in this case).
- For values of  $\alpha_{\text{turb}} = 10^{-4}$ , growth is favoured over fragmentation, and dust grains grow to sizes of  $\gtrsim 1 \text{ cm}$  inside pressure traps, resulting in very faint fluxes and a gap/ring-like structure in both sub-mm and NIR polarimetric images.
- Current observations of TDs showing continuous distributions (or small gaps/cavities) in NIR-polarimetric images, and large gaps/cavities and ring-like features in sub-mm images (i.e. the ‘missing cavities’ effect) are only reproduced when  $\alpha_{\text{turb}} = 10^{-3}$ . Since, to our knowledge, no mechanism other than planet–disc interaction has been proposed to cause this effect, it is reasonable to assume that such combination of images is indicative of the presence of a planet. Then, according to our results, the value of  $\alpha_{\text{turb}}$  can be constrained to  $10^{-3}$  within an order of magnitude, and the mass estimator presented in de Juan Ovelar et al. (2013) can be used to estimate the mass of the companion in these sources. Note that ALMA images on their own could also be used to constrain the value of  $\alpha_{\text{turb}}$  but this is *only if* one knows for sure that a planet is causing the gap and then SPHERE-ZIMPOL images would still be needed to use the planet-mass estimator.

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