ABSTRACT

Recommender systems have demonstrated great success in information seeking. However, traditional recommender systems work in a static way, estimating user preferences on items from past interaction history. This prevents recommender systems from capturing dynamic and fine-grained preferences of users. Conversational recommender systems bring a revolution to existing recommender systems. They are able to communicate with users through natural languages during which they can explicitly ask whether a user likes an attribute or not. With the preferred attributes, a recommender system can conduct more accurate and personalized recommendations.

Therefore, while they are still a relatively new topic, conversational recommender systems attract great research attention. We identify four emerging directions: (1) exploration and exploitation trade-off in the cold-start recommendation setting; (2) attribute-centric conversational recommendation; (3) strategy-focused conversational recommendation; and (4) dialogue understanding and response generation. This tutorial covers these four directions, providing a review of existing approaches and progress on the topic.

By presenting the emerging and promising topic of conversational recommender systems, we aim to provide take-aways to practitioners to build their own systems. We also want to stimulate more ideas and discussions with audiences on core problems of this topic such as task formalization, dataset collection, algorithm development, and evaluation, with the ambition of facilitating the development of conversational recommender systems.

CCS CONCEPTS

• Information systems → Users and interactive retrieval; Recommender systems; Personalization; • Human-centered computing → Interactive systems and tools.

KEYWORDS

Conversational recommendation, Dialog system, Interactive recommendation.
descriptions of their needs or of answers to questions posed by the recommender system in a mixed-initiative setup. Such information can help a CRS to adjust its recommendation strategy effectively and, we hope, dramatically, enhance its performance.

2 OVERVIEW

Motivated by the potential of conversational recommender systems, recent years have witnessed extensive research efforts being devoted to exploring this topic. However, such efforts spread various task formulations which are under different hypotheses and application scenarios. For the purposes of this tutorial, we categorize them in four directions, and plan to discuss their formulations, methods and evaluations. The four directions are as follows:

2.1 Exploitation-Exploration Trade-offs for Cold Users

This direction is derived from bandit online recommendation methods [13, 15]. Work on conversational recommendations takes advantage of such bandit approaches to balance the exploration and exploitation trade-offs for cold-start users in conversational recommendation scenarios [5, 29]. Christakopoulou et al. [5] make the first step to adapt a typical bandit-based method like UCB and Thompson Sampling [2] to the conversational recommendation scenario. Sepliaraska et al. [23] learn to ask preference questions to help profile a cold user. Zhang et al. [29] develop UCBand strategies to consider user feedback on attributes, where an attribute is a description of the properties of items. Following this line, Li et al. [16] build a ConTS model based on Thompson Sampling for cold start users.

2.2 Question Driven Approaches

A key feature of conversational recommendation system is its ability to chat with users. With this capability, a CRS can directly ask questions to users to get more information about their preferences. While this property is addressed as “asking clarification question” in generally conversational information seeking [1], researchers studying conversational recommendation pays specific attention to the attribute. They believe it is effective to proactively consult a user whether he or she likes an attribute. Therefore, the estimation and utilization of a user’s preferences towards attributes become a key research issue of asking attribute-centric question. Zhang et al. [30] use a multi-memory network to estimate user preferred attributes to consult the user from their profile and product description in an e-commerce scenario. Yu et al. [28] aim to understand user comments on a batch of recommended items guide the model for next round of recommendation. Christakopoulou et al. [4] propose a two-stage paradigm, demonstrating potential attributes (referred to as topics in the original paper) for users to select before making a recommendation.

2.3 Multi-turn Conversational Recommendation Strategy

A CRS might have multi-turn conversations with users. During each turn, a CRS can ask questions about the user’s preferences (usually asking the user’s preferences on different attributes) or make recommendations. Intuitively, the clarification questions about the user preference can lead to better recommendation quality. However, if a CRS asks too many questions, the lengthy conversation will lead to users being bored. Therefore, a CRS needs to strategically ask questions and make recommendations to achieve engaging and successful recommendations with fewer turns. Work along this line includes [10, 12, 24].

2.4 Dialogue Understanding and Generation

As core problems of dialogue systems, natural language understanding and generation are crucial in CRSs. This direction focuses on how to understand a user’s preferences and intentions from their utterances and generate fluent responses so as to deliver natural and effective dialogue actions (e.g., asking question and making recommendations) [8, 9, 11, 20]. Multiple datasets and simulation environments have been released to help push the state-of-the-art in this area. For instance, Li et al. [14] release a dataset comprising of more than 10,000 dialogues on movie recommendation and Chen et al. [3] incorporate a knowledge graph to bridge dialogue understanding and generation with the recommendation component. Li et al. [17] use simulations to train adversarial methods to generate better dialogue responses. And Liao et al. [18] approach conversational recommendation on travel domains, emphasizing the importance of topic managing.

The rapid development of recommender systems and dialogue agents in recent years provides us with an opportunity to help define how these two technologies can be combined. Now is the time to activate the community around this topic. Given that significant progress is being made, on many different aspects of conversational recommendation systems, we believe it is timely to review and bring together what has done so far. We hope to stimulate more ideas as well as discussions to promote the development of this emerging and promising research topic further, building on the rich tradition that the information retrieval community can bring to the table for this topic, in terms of algorithm development, understanding user preferences, human-computer interaction, and evaluation.

3 OBJECTIVES

By offering this tutorial, we want to benefit both industry and academia:

(1) We aim to provide industrial participants with a broad picture of the current development of conversational recommenders, with key take ways for building realistic conversational recommendation for their own scenario.

(2) We aim to provide academic participants with comprehensive literature review and insightful discussions. By summarizing existing assumptions and explorations on the topic of conversational recommendation, together with a review of recent progresses on recommender systems and dialogue
The tutorial is organized into four parts, with the aim to discuss how
existing efforts on building conversational recommender systems and dialogue systems, how to better formalize tasks and conduct evaluation for conversational recommendation.

In particular, we want to convey the following in this tutorial:

- **Share advances in static conversational recommendation techniques.**
  We review traditional static recommender systems by introducing representative models like early factorization methods [21], neural collaborative filtering [7] as well as the graph-based recommendation [25–27]. We review their key assumptions, strengths and shortcomings, and analyze the need to develop conversational recommendation.

- **Summarize recent developments in dialogue systems.**
  We cover the development of task-oriented dialogue system and non-task-oriented dialogues (a.k.a. chitchat system and chatbot). Specifically, we will review four typical components for dialogue systems: the natural language understander, the belief tracker, the policy maker and the response generator. We discuss how those techniques might be potentially helpful to conversational recommendation.

- **Identify, describe, and discuss different research directions for conversational recommendations.**
  This is the main part of this tutorial. We plan to introduce the four categories of work sketched above, with a particular emphasis on their application scenarios, methods, datasets and evaluations. Based on that, we aim to distill core research questions on this topic. Based on that, we want to engage in discussions with the participants about the future research.

### 4 FORMAT AND DETAILED SCHEDULE

The tutorial is organized into four parts, with the aim to discuss how recommender systems and dialogue systems are brought together to compose conversational recommender systems. In Part I, we give an introduction, viewing the topic of conversational recommendation from the development of information retrieval technology perspective. In Part II and III, we give preliminaries of recommender systems and dialogue systems. This does not only provide necessary background regarding both research topics, but also provides inspiration for why and how these two topics can help each other. In Part IV, the core of the tutorial, we provide a detailed introduction to existing efforts on building conversational recommender systems, using the four categories described in Section 1.

The following summarizes the schedule of the tutorial, with timing:

**I: Introduction (10 min)**
- 1.1 Conversational recommendation from information retrieval
- 1.2 Organization of the tutorial

**II: Preliminaries of recommender systems (20 min)**
- 2.1 Collaborative filtering
- 2.2 Deep learning approaches
- 2.3 Graph-based approaches

**III: Preliminaries of dialogue systems (20 min)**
- 3.1 Task-oriented dialogue systems

**IV: Conversational recommendation systems (120 min)**
- 4.1 Exploitation-exploration balance for cold-users
- 4.2 Attribute-centric conversational recommendation
- 4.3 Strategy-focused conversational recommendation
- 4.4 Dialogue understanding and generation

**V: Future directions (10 min)**

### 5 SUPPORTING MATERIALS

We will share the following materials with the participants of the tutorial:

1. **Slides** All slides will be made publicly available.
2. **Annotated bibliography** An annotated compilation of references will list all works discussed in the tutorial and should provide a good basis for further study.
3. **Code** An annotated list of pointers to open source code and datasets discussed in our tutorial will be shared with attendees.
4. **Survey** The authors are writing a survey on conversational recommendation; a complete draft will be shared with attendees.

The materials can be found at https://core-tutorial.github.io.

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### REFERENCES


