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Predicting plasticity in disordered solids from structural indicators

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Amorphous solids lack long-range order. Therefore identifying structural defects—a kin to dislocations in crystalline solids—that carry plastic flow in these systems remains a daunting challenge. By comparing many different structural indicators in computational models of glasses, under a variety of conditions we carefully assess which of these indicators are able to robustly identify the structural defects responsible for plastic flow in amorphous solids. We further demonstrate that the density of defects changes as a function of material preparation and strain in a manner that is highly correlated with the macroscopic material response. Our work represents an important step towards predicting how and when an amorphous solid will fail from its microscopic structure.

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I. INTRODUCTION

How can we predict when and where a material will fail? For disordered solids, including many food and cosmetic products, screens and cases for smartphones, and even mud and gravel perched on a hillside, this fundamental question remains a challenge. Under small deformations or forces, such amorphous materials respond as an elastic solid, but beyond a critical threshold the materials yield and exhibit extensive irreversible plastic deformation.

At the moment, we cannot easily predict from first principles whether a given material will fail abruptly and catastrophically, termed brittle failure, or flow slowly and steadily, known as ductile flow. Moreover, we cannot predict when or where it will fail, and we lack global design principles for how we might change the microscopic structure of these materials in order to control failure mechanisms.

One reason disordered solids are so challenging to understand is, unlike crystals, their microscopic structure lacks long-range order. In crystals it is easy to identify a defect where the crystalline order is broken, and unsurprisingly plasticity is initiated at certain types of these defects. Over the past 50 years, analogous structural defects have been proposed in amorphous solids [1], but it has proven more difficult to identify these and connect them to deformation and failure.

For this reason, theoretical work has largely remained disconnected from simulations. Several fairly successful theories have posited that there is no correlation of particle rearrangements with the inherent microstructure [2], while others such as Shear Transformation Zone (STZ) [3,4], Soft Glassy Rheology (SGR) [5], and elastoplastic models [6] assume the existence of structural defects, without specifying the precise definition of these defects. Perhaps more problematically, all these theories are phenomenological in the sense that they contain fitting parameters that we do not know how to extract from first principles, i.e. from the microstructure and interactions between the constituent particles of the material. In fact, even in the case of crystalline solids, predicting the collective dynamics of many dislocations [7,8] or dislocation nucleation in defect-free single crystals remain open problems [9–14].

Hence, tools developed to characterize amorphous solids can
serve as well to understand plasticity in crystalline solids [15–17].

Fairly recently, large-scale computer simulations of glassformers have reinvigorated the search for structural defects. Examples of promising approaches include identifying energetically favored structures and community inference [18–25], mapping the local shear modulus [26], highlighting regions that are excited by linear and nonlinear vibrational modes [27–34], or quantifying the structure in more complex ways, e.g., using machine learning with either supervised [35–37] or unsupervised methods [38–40]. A particularly literal and successful approach applies strain to small regions within a simulation to determine which are closest to yielding [41,42].

Until now there have been three main drawbacks to this line of inquiry. First, there has never been a consistent methodology for evaluating whether a given indicator that identifies structural defects works well for predicting deformation and failure, although efforts towards this goal have been made [41]. Second, it has not been clear whether a given method works best only on a particular model system or the interaction potential for which it was designed, or whether some methods work well universally across different disordered solids. Finally, computer-generated amorphous solids have historically been vastly more ductile than those in real experiments, and so it was difficult to simulate bulk brittle materials that exhibit catastrophic failure. Recent methodological developments [43,44] now allow very deep supercooling of model polydisperse liquids, to temperatures comparable to and even lower than what can be achieved with laboratory liquids. These liquids, when quenched to zero temperature, form ultrastable glasses that exhibit brittle failure [45]. In this paper we use the term brittle to characterize a discontinuous yielding characterizing the mechanical instability associated with the formation of a shear band. Although this phenomenon is not accompanied by the formation of free surfaces, as seen in the fracture of brittle materials, the macroscopic avalanche taking place at the discontinuous yielding transition would be the precursor of a crack in the absence of periodic boundary conditions employed in simulations.

In this article we employ these computational tools to conduct a comprehensive and quantitative comparative study of how well several recently proposed structural indicators predict plastic activity in two-dimensional model glasses formed using a wide range of preparation conditions. We develop a standard methodology for comparing these indicators to one another and to the complex deformation fields that result from the applied deformation.

We find that different classes of structural indicators are not always strongly correlated, suggesting that different paradigms for identifying structural defects are sensitive to distinct structural information. With a few exceptions, the indicators we investigated are excellent at predicting the loci of plastic instabilities in ductile and brittle materials over short strain scales (0.1% in the system sizes studied), and several remain correlated beyond 10% strain, highlighting that structure does indeed govern plastic deformation in these zero-temperature materials under simple shear. The quality of a given indicator does not vary much between the two interaction potentials we studied. In contrast, their predictive capabilities do change with glass stability: indicators are generally less accurate in ductile glasses, where many regions are soft even at zero strain. An exception to this rule occurs in the ultrastable glasses accessible via swap Monte Carlo—in these materials many of the structural indicator fields change so much before the first plastic rearrangement that the indicator field at zero strain is not highly predictive. Importantly, we demonstrate that free volume (or any measure of a local density), historically used to predict plasticity in metallic glasses, performs much worse than other indicators. Finally, we are able to follow the complete strain history of a given brittle sample and we find that our indicators are able to capture an anisotropic spatial distribution of soft regions before shear banding.

II. METHOD

A. From ductile to brittle materials

We shear systems of 2D soft disks using a standard athermal quasistatic (AQS) protocol, where the only control parameters are the imposed strain $\gamma$ and the strain increment $\delta \gamma$ [46]; see Appendix A for details. Figures 1(a) and 1(b) show that the mechanical response quantified by plots of the shear stress $\sigma$ versus $\gamma$, where different curves correspond to different material preparation protocols. Figure 1(a) corresponds to a standard glass former, a bidisperse packing of particles with a Lennard-Jones (LJ) interaction potential; glasses were formed by cooling equilibrium states by means of conventional molecular dynamics methods [47]. Figure 1(b) corresponds to polydisperse (POLY) disks [43] interacting via purely repulsive interactions; liquid states spanning a wide range of supercooling temperatures were equilibrated using the swap Monte Carlo method and quenched to the glassy phase with a minimization algorithm (details about models and protocols are provided in Appendix A). Plastic instabilities associated with deformation and particle rearrangements correspond to instantaneous drops in the stress. Qualitatively, we see that some material preparation protocols generate less-stable, ductile solids where small plastic instabilities occur frequently until the system approaches a steady state. Other preparation protocols generate ultrastable brittle solids which behave nearly elastically until they fail catastrophic around 6% or 7% strain ($\gamma_{\text{yielding}} \approx 0.07$). In order to compare these two very different material systems, we study the average strain at the first instability, $\langle \gamma_{\text{min}} \rangle$ [Fig. 1(c)], which is a good indicator for material stability/ductility for a given finite system size $N$, and correlates strongly with other previously developed measures for stability (Supplemental Material Fig. S1) [48]. Another indicator, the magnitude of the average stress drop $\langle \Delta \sigma \rangle$, is an order parameter for the macroscopic yielding transition [45], exhibiting a sharp peak at the macroscopic yielding transition in brittle systems [Fig. 1(d)] associated with the formation of a system-spanning shear band (right inset).

B. Structural indicators for plastic defects

In this paper, we have considered 18 different indicators sorted into five different families:
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FIG. 1. Probing plasticity from ductile to brittle glasses: (a) Typical stress-strain curves for the LJ system prepared via three different quench protocols. Inset shows a typical plastic event where dark particles indicate nonaffine rearrangements. (b) Typical stress-strain curves for the POLY system quenched from four initial temperatures \( T_{\text{ini}} \). (c) Average strain at the first plastic instability \( \langle \gamma_{\text{min}} \rangle \) for the different systems and protocols (with \( N = 10^4 \) particles). The dashed line indicates the yield strain \( \gamma_{\text{yielding}} \approx 7\% \). (d) Average stress drop \( \langle \Delta \sigma \rangle \) as a function of the strain \( \gamma \) for the POLY system. Snapshots show the cumulative nonaffine displacements observed after yielding in a very stable glass (bottom right) and a typical ductile glass quenched instantaneously from a high-temperature liquid (bottom left). Error bars are sample-to-sample fluctuations.

(1) Eight purely structural ones that require only the position of particles and not their interaction potential (red)
(2) One machine learning-based method that is also structural but require a training on a subset of shear deformations (purple)
(3) Three based on the linear response/harmonic vibrational modes with no information about the applied strain (green)
(4) Three that quantify the linear response to a specialized applied strain, which here is simple shear (blue)
(5) Three going beyond the linear response (orange).

We also have considered two additional indicators (colored in black) that are not \textit{a priori} related to plasticity (the local potential energy \( \varphi \) and local thermal expansion \( \alpha \)). Detailed descriptions of all employed structural indicators in this work are provided in Appendix B. In Fig. 2 we provide schematics explaining the key physical ingredients behind the calculation of the different classes defined above.

C. Rank correlation between structure and plastic events

Having characterized the macroscopic material properties of these systems, we next study how plastic deformation correlates with proposed indicators for structural defects. Although many different correlation functions have been proposed in previous work, here we adopt a very simple cumulative rank correlation originally put forward in Ref. [41], in order to fairly compare structural indicators with vastly different magnitudes and distributions, and to avoid setting an arbitrary threshold.

In particular, we ask how well a structural field is able to capture the triggering event associated with a plastic instability. The latter is detected by computing the destabilizing critical mode \( \Psi_c \) at the onset of each event. From this methodology, we define \( C = C(\gamma_{\text{str}}, \gamma_{\text{pl}}) \) as the correlation between a snapshot of the structure at strain \( \gamma_{\text{str}} \) and the plastic deformation field at a strain \( \gamma_{\text{pl}} \) (measured by \( \Psi_c \)). We consider three different prediction scores, sketched in Fig. 3(a): (1) \( C_{\min} \) correlating a structural field computed at zero strain \( \gamma_{\text{str}} = 0 \) with the first plastic instability occurring at \( \gamma_{\text{pl}}(1) \), (2) \( C_{\gamma} \) scoring how well a structural field computed at \( \gamma_{\text{str}} = 0 \) is able to predict events located around \( \gamma_{\text{pl}}(n) \) or alternatively the \( n \)th plastic event, and finally (3) \( C_{\Delta \gamma} \) is defined as the correlation between a structural field at a strain \( \Delta \gamma > 0 \) prior to a plastic event, and the subsequent plastic event.

In practice, we rank-order the value of each indicator on each particle between 1 and \( N \). We choose a larger rank to be associated with a higher probability of rearranging, e.g., small shear moduli or large free volumes. We define \( r_x(\gamma_{\text{str}}, \gamma_{\text{pl}}) \) as the rank of the structural indicator at a strain \( \gamma_{\text{str}} \) on the particle associated with the largest \( |\Psi_c| \) evaluated at a strain \( \gamma_{\text{pl}} \), normalized by the number of particles. For a good structural indicator, we expect \( r_x \) to be nearly unity. Sampling \( r_x \) over many realizations (here 100 independent samples), we expect the cumulative distribution function \( F(1 - r_x) \) to approach a Heaviside step function or a linear behavior \( F(1 - r_x) \sim 1 - r_x \) for an excellent and poor indicator, respectively. Figure 3(b)
FIG. 2. Different classes of structural indicators: (a) Purely structural indicators [22,49–51] that require only information about particle positions or geometry such as the distance between a particle pair $r_{ij}$ or angle between a triplet $\theta_{ijk}$. These methods enable the detection of locally unstable structures responsible for soft spots. (b) Machine learning-based methods [35,37–39] correlate a high-dimensional description of a local particle environment (constructed from purely structural information) with the likelihood of undergoing a local shear transformation. The cartoon explains the Softness method [35,36] that utilizes Support Vector Machine to distinguish “soft” and “stiff” particles based on their local radial distribution function $g(r)$ (counting the number of neighbors at a distance $r$). (c) Sketch of a rough energy landscape around an inherent state of the glass. The green and orange lines are a harmonic and anharmonic approximation of the landscape, respectively. Linear response methods [27–29,31,32] associate soft regions to low curvatures of the energy landscape. Anharmonic methods can provide estimates of activation barriers [52–54]. (d) Anisotropic indicators are also based on the linear response of the system but include the geometry of the imposed deformation [26,33,34,46]. An explicit derivative of quantities with respect to the imposed strain $\gamma$ allows filter out soft spots that couple weakly (red crosses) with the shear geometry. Soft regions with the correct shear orientation (green crosses) will correspond to activation barriers that decrease upon incrementation of the strain (softening shown in green). (e) Schematic of the residual plastic strength $\Delta \tau_y$ from the frozen matrix method [41,42]. A local patch of the material (with prestress $\tau_0$) is sheared until it yields (at a local plastic strength $\tau_c$), which allows the extraction of an estimate for the local strain distance to threshold $\Delta \gamma_{local} = \Delta \tau_y / \tilde{\mu}$, where $\Delta \tau_y = \tau_c - \tau_0$ and $\tilde{\mu}$ denote the minimal residual plastic strength and bulk shear modulus, respectively.

III. CORRELATION OF STRUCTURAL DEFECTS WITH PLASTICITY

We start by comparing the spatial similarities between the whole set of structural indicators considered. The full Spearman indicator to indicator correlation $C_s$ is shown in Fig. 4(a) for the gradually quenched binary Lennard-Jones system. The majority of purely structural indicators correlate strongly with each other but are not strongly correlated with indicators based on linear response. This suggests that the two classes of indicators capture different structural features, likely because the latter has explicit access to the forces across bonds, which encode longer-range elastic interactions across the contact network.

A. Prediction of the first plastic event

Previous work has demonstrated that a glass’s formation protocol has a substantial impact on where and how it fails.
under shear. Therefore, we first study how the structural indicators evaluated at zero strain, $\gamma = 0$, correlate with the plastic deformation that occurs at the first plastic event, at a strain $\gamma_{pl}(1)$. Figures 4(b) and 4(c) show the cumulative rank correlation $C_{\min} = C(0, \gamma_{pl}(1))$ for all the indicators studied in the most ductile (HTL) and least ductile (GQ) LJ glasses. Note that $C_{\min}$ is evaluated at vastly different strains in different systems, at approximately $\gamma \sim 0.1\%$ in ductile glasses, and approximately $\gamma \sim 5\%$ in the most brittle glasses. We observe a qualitative agreement between the two different protocols with some quantitative change in the predictiveness as the sample becomes more stable. Indicators constructed from vibrational modes (from $M$ to $\Psi$) perform extremely well, with a correlation $C_{\min}$ approaching unity. These indicators can even perform as well as the residual plastic strength $\Delta \tau_{y}$, which is a highly nonlinear method that locally shears a small portion of the material centered around a particle $i$ and measures how much additional stress is needed to induce yielding. This result confirms many observations that loci of plastic instabilities are directly connected to the presence of low-frequency excitations that control the response of a system upon external driving [29,31].

The majority of structure-based indicators perform poorly, with two important exceptions: the steric bond order $\Theta$ and the machine learning-based softness field $S$, which are highly correlated with each other and with soft modes, as shown by $C_{\gamma}$ in Fig. 4(a), highlighted by a yellow box. The indicator $\Theta$ highlights particles that significantly depart from sterically favored configurations with little particle overlap, and thus is a local measure of frustration. The fact that $\Theta$ is so similar to the agnostic machine learning method suggests that the machine learning algorithm has learned to identify such frustration too. In metallic glasses, plastic rearrangements do correlate strongly with unfavored local structures [55]. This connects to recent evidence that internal stresses caused by frustration built up during the quench process are responsible for quasilocalized excitations [56] and strongly echoes with experimental work on metallic glasses [57]. The same quasilocalized excitations control the regions with a low residual strength. In particular, recent works [52,58] have demonstrated that their distance to threshold scales with the cube of their frequency, i.e., $\Delta \tau_{y} \sim \omega^{3}$ when $\omega \rightarrow 0$. Together, this establishes a connection between a geometrical signature of frustration (measured by $\Theta$), regions with high internal stresses which create low-frequency modes (measured by $\Psi$) and regions with small distance to threshold (measured by $\Delta \tau_{y}$).

In Fig. 4(d) we summarize our results by plotting $C_{\min}$ for the three different quench protocols shown in Fig. 1(a) and ordering them according to the degree of stability of the sample. For visibility, we display only one of the best structural indicators in each family ($\Theta$, $S$, $M$, $\alpha$, $\mu$, $\phi$). The predictive power increases as the system becomes less ductile, consistent with Ref. [47]. In the least ductile LJ systems, structural indicators based on vibrational modes or frustrated geometric configurations work very well to predict the next plastic event. This is especially impressive since less ductile materials must be strained further before triggering the next plastic event.

Figure 4(e) shows the correlation $C_{\min}$ for the same structural quantities as in Fig. 4(d), now for a polydisperse system prepared using swap Monte Carlo (POLY). Again, the initialization protocols are ranked from most ductile to most brittle, which is controlled by the equilibrium parent temperature $T_{ni}$ from which the system is minimized. Recalling from Fig. 1(c) that the first three types of POLY systems have ductility and stability very similar to the three types of LJ systems, we notice that Figs. 4(d) and 4(e) are quite similar if we restrict ourselves to those data points. This is also highlighted by the similarities in $C_{\min}$ across nearly all structural indicators between ductile LJ [Fig. 4(c)] and POLY [Fig. 4(f)] systems. Taken together, these results suggest that the attractive interaction and degree of polydispersity related to the interaction potential have only a small influence on the predictive power of a given indicator.

In contrast, the stability/ductility of the material very strongly influences the predictive power—for almost all of the conditions studied, the predictive power increases with decreasing ductility. This can be rationalized by realizing that the system dynamics are noisy, and so when a large fraction of the system is relatively soft it is more difficult to predict which of those soft regions will fail first, consistent with Ref. [47].

On the other hand, the most brittle systems we study—Fig. 4(g) and the right-most points in Fig. 4(e)—buck this trend. These correspond to ultrastable glasses that can be formed only using swap Monte Carlo. In those systems, the predictive power of vibrational modes, softness, and $\Theta$ are all lower. We also observe the same drop in Saddle Point Sampling (SPS), which highlights that the relevant saddles in the potential energy landscape (PEL) are not present at $\gamma = 0$ and form during the elastic branch. One direct consequence is
FIG. 4. Comparison of structural indicators: Local density $\rho$, free volume $\phi$, excess entropy $s_2$, contact force number $z$, Voronoi anisotropy $P$, divergence of the Voronoi anisotropy $Q$, hexatic bond orientational order $\Psi_6$, steric bond order $\Theta_1$, softness field $S$, local thermal expansion $\alpha$, potential energy $\phi$, low-frequency vibrational modes $M$, local heat capacity $c_\alpha$, vibrality $\psi$, atomic nonaffine shear modulus ($naf\mu$), nonaffine velocity $\dot{x}$, local shear modulus $\mu$, nonlinear vibrational modes $\pi$, Saddle Point Sampling (SPS), and residual plastic strength $\Delta\tau$.  

(a) Indicator to indicator Spearman cross-correlation $C_s$ for the less ductile (GQ) LJ glasses. (b), (c) and (f), (g) Correlation $C_{\min} = C(0, \gamma_{pl}(1))$ between a structural field computed at $\gamma = 0$ and the first plastic event. Binary LJ data are shown for the most (HTL) to the least (GQ) ductile glasses in (b) and (c), respectively. Panel (d) shows the same information as a function of the glass stability. Polydisperse glasses data prepared by SW AP are shown for mildly ($T_{\text{ini}} = 0.12$) and very stable glasses ($T_{\text{ini}} = 0.05$) in (f) and (g), respectively. Panel (e) shows the same information as in (d) but plotted as a function of the inverse of the parent temperature. (h), (j) Correlation decay $C_{\gamma} = C(0, \gamma_{pl}(n_{pl}))$ between a structural field computed at $\gamma = 0$ and the $n_{pl}$th plastic event in a system composed of $10^4$ particles. The underlying gray gradient indicates the corresponding average plastic strain ($\gamma_{pl}$) ranging linearly from $\gamma = 0$ (transparent) to $\gamma \geq \gamma_{\text{yielding}}$ (opaque). (i), (k) Correlation growth $C_{\Delta\gamma} = C(\gamma_{pl} - \Delta\gamma, \gamma_{pl})$ between a structural field $\Delta\gamma$ away from a plastic event located at $\gamma_{pl}$. Results are for ductile glasses (h), (i) and brittle glasses (j), (k) prepared at $T_{\text{ini}} = 0.30$ and $T_{\text{ini}} = 0.05$, respectively. The different colors and symbols correspond to the same structural indicators as shown in (d) and (e).

the reversibility of first inelastic events in very stable glasses as shown in Fig. 5 (also observed in Refs. [54,59,60]). During the elastic branch, the imposed loading creates a saddle that links two states (a) and (b). At the first plastic event, the system flows from (a) to (b) in the usual saddle-node bifurcation (as shown, e.g., in Ref. [61]). Shearing back the system the saddle now moves towards the state (b) as the energy barrier weakens. The recovering strain marks the location at which the original saddle was created. In contrast, the predictiveness offered by the residual plastic strength keeps increasing. This suggests that in very stable glasses, the as-cast structure is insufficient to predict the first event and that one needs access to the dynamics along the elastic branch.

B. Correlation away from the as-cast glass

One possible explanation for this observation is that (on average) the first plastic event is nearly an order of magnitude further away in strain for the most brittle materials compared to the other material preparations [Fig. 1(e)]. To test this hypothesis, we study how the correlation between structure and deformation changes with the number of plastic events
that have occurred since the state was prepared at \( \gamma = 0 \).
For the ductile glass, we see that the correlation function decays smoothly as the system approaches the yielding regime, identified by the gray shading [Fig. 4(b)]. The correlation for some structural indicators remains above the noise floor even beyond 50 plastic events or 10% strain, consistent with previous studies [29,41].

The fact that the correlation does not fully decay after the yielding transition suggests two possible scenarios: either (1) soft regions that have failed during the elastic branch are still weak and fail again in the post-yielding steady state and relatively (2) some significantly harder zones in the quenched state never yielded but were simply advected with the deformation [e.g., white regions in the snapshot shown in Fig. 1(d)]. These zones, rightly measured as hard in the initial state, thus continue to participate in the correlation score.

In brittle glasses, with the exception of \( \Delta \tau_r \), there is instead a sharp decrease in correlation after just a handful of plastic events [Fig. 4(j)]. In Fig. 6 we plot the correlation between the structure at \( \gamma_{\text{st}} = 0 \) and deformation changes with the strain for various glass stabilities (POLY system). At the same time, we monitor the average energy dissipation density \( \Gamma \) across the yielding transition. In the limit \( \gamma \rightarrow 0 \), we do observe a significant increase of the correlation as the glass becomes more stable (because of the increase of contrast due to the depletion of soft regions; see Ref. [47]). As the plastic activity (measured by \( \Gamma \)) increases the correlation decays as the microstructure is progressively reshuffled. However, in stable glasses, \( \Gamma \) is about four orders of magnitude lower than in poorly annealed glasses, which means that the decrease seen in \( C_r \) occurs in the absence of any plasticity. This suggests that significant changes to the indicators must occur along strain-reversible elastic branches, and that the longer extent of these elastic branches is indeed the reason why, for most indicators, predictive capabilities are low in the most brittle glasses. It also explains why \( \Delta \tau_r \) is far superior in this case—because \( \Delta \tau_r \) is measured only after the system yields, it has access to these transformed states far along an elastic branch.

**C. Correlation approaching an instability**

While some of the indicators are computationally expensive and therefore can be computed only at \( \gamma = 0 \), it is possible to compute other indicators along the strain trajectory. For these indicators, we also explore how well they capture deformation as a function of the strain until the next
Moreover, as computer glasses are inherently limited in size (the linear size of our systems is about 100 particle diameters long), finite-size effects are expected to emerge. Although a rigorous finite-size study is beyond the scope of this paper—due to the various preparation protocols and large number of indicators involved—we can still speculate on how our results would change in the thermodynamic limit based on previous studies that have focused on finite size effects. In particular, it is known that the average strain at which the first plastic event occurs is power-law dependent on the number of particles, and the interaction complexity [64,65]. As we have demonstrated that many indicators feature nearly perfect predictiveness at small strains away from instabilities (see Fig. 4), we can expect that the predictability of the first plastic event will improve with increasing system size [in particular for very stable glasses; cf. Fig. 4(e)].

Furthermore, it has been shown [67] that the energy dissipation density \( \Gamma \) occurring during the elastic branch remains constant with system size. Since we found that the correlation decay seen in \( C_y \) is mainly controlled by how much dissipation (plastic events) occurs at a given strain (see Fig. 6), we expect that our results should remain valid in the thermodynamic limit.

IV. EVOLUTION OF PLASTIC DEFECTS ACROSS THE YIELDING TRANSITION

Many phenomenological models that predict plastic deformation and failure rely on largely untested assumptions about the characteristics of structural defects, such as their strain distance to threshold or density. Now we are finally in a position to begin to test some of those assumptions, by quantifying properties of our calculated structural fields and studying how different structural indicators contribute different insights into macroscopic material response. In particular, we can utilize a structural indicator to isolate regions likely to rearrange and follow their spatial evolution during deformation. Here we propose to follow various sheared states along the stress-strain curve of a brittle glass [Fig. 8(a)].

We first focus on the initial state (\( y = 0 \)). We extract an estimate for the strain distance to the next instability as \( \Delta \gamma / \bar{\mu} \), with \( \bar{\mu} \) being the bulk shear modulus. In Fig. 8(b) we plot the distribution \( P(\Delta \tau / \bar{\mu}) \) at zero strain, for our most ductile [\( T_{\text{min}} = 0.3 \text{ (red)} \)] and most brittle [\( T_{\text{min}} = 0.05 \text{ (blue)} \)] computationally modeled glasses. In both cases, we find a power-law tail at low \( \Delta \tau / \bar{\mu} \), highlighting the presence of anomalously soft regions. However, the density of regions close to a plastic rearrangement changes drastically between the ductile and brittle material (about two orders of magnitude), which is illustrated by the leftmost snapshots where particles that are less than 3% in strain from threshold are colored in white. We observe the same decrease in the number of low-energy excitations (extracted by localizing modes lying below the onset frequency of the power-law tail in the density of states; see Supplemental Material Fig. S8) [48] and indicated by black crosses, consistent with other studies [69–71]. We find that the purely structural indicator \( \Theta \), which does not require information about the interaction between particles, is
also able to resolve a decrease in the number of particles belonging to highly disordered motifs ($\Theta > 0.1$), demonstrated quantitatively in the distribution $P(\Theta)$ in Fig. 8(c) and qualitatively in the leftmost bottom snapshots. Since the tail of $P(\Theta)$ changes by only one order of magnitude instead of two, it incorrectly labels some regions as soft even when the distance to threshold ($\tau_y/\tilde{\mu}$) is high. Nevertheless, these data demonstrate that some purely structural methods easily accessible to experimentalists can effectively be used to sort samples with respect to their ductility. While $\Theta$ works well for these simulations with spherically symmetric interaction potentials, similar trends are seen in also in the structural softness metric $S$, as shown in Fig. S9 [48], which can be applied to a wide range of simulations and experimental systems [72].

We next study specific features of the residual plastic strength distributions as a function of strain, show in Fig. 9. One consequence of the fact that $P(\Delta \tau_y)$ has a significantly depleted tail for low values of $\Delta \tau_y$ in brittle glasses [shown by the black line in Fig. 9(b)] is that such glasses can be deformed up to 5% of strain—labeled strain point (2) in Figs. 8 and 9—with only a minor plastic activity. At this large amount of strain, localized excitations have softened, resulting in a shift of $P(\Delta \tau_y)$ towards $\Delta \tau_y \to 0$, as shown by the gray empty squares in Fig. 9(b). In other words, regions that were relatively hard at zero strain move closer to their critical threshold, in agreement with elasto-plastic models where $\Delta \tau_y$ is assumed to decrease by the elastic loading $\tilde{\mu} \gamma$. We test this prediction explicitly by comparing the rescaled distribution $P(\Delta \tau_y - \tilde{\mu} \gamma_2, \gamma_1)$ from the state (1) (as-cast glasses) with the distribution $P(\Delta \tau_y, \gamma_2)$ of state (2), shown by the dashed gray line and empty squares, respectively, in Fig. 9(b). It is in good agreement with the solid gray line, suggesting that the elasto-plastic assumption works well for brittle materials. In contrast, this rescaling does not hold in ductile glasses at large strains, as already many blocks have yielded at 4%–5% of strain and thus have been redrawn (on average) at higher $\Delta \tau_y$ values. This is shown by the dashed gray lines in Fig. 9(a).

In addition, we note that purely structural indicators are less sensitive to this softening, as highlighted in Fig. 8(d).

Nevertheless, as the system progresses to the yielding point—strain state (3)—both the ductile and brittle materials exhibit similar distributions for $P(\Delta \tau_y)$, shown by the orange lines in Figs. 9(a) and 9(b). Both distributions exhibit a further

FIG. 9. Residual plastic strength distributions as a function of strain: Probability distribution of the residual plastic strength $\Delta \tau_y$ at different strain corresponding to the state marked in Fig. 8(a) for a poorly annealed (a) and very stable glasses (b). Here $P(\Delta \tau_y, \gamma_2)$, $P(\Delta \tau_y, \gamma_3)$, and $P(\Delta \tau_y, \gamma_4)$ is averaged over states at strain $4% < \gamma_2 < 5%$, $6% < \gamma_3 < 7%$, and $\gamma_4 > 10%$, respectively.
Here we rank order the structural field and monitor its average softening and thus can forecast the formation of a shear band. Inset shows a zoom of the rank close to the yielding transition.

Finally, the system yields and reaches a transient shear-banded state (4). We observe that the majority of our structural indicators are able to locate the shear band (including φ and ϕ). In particular, we observe an array of low energy excitations perfectly aligned with regions close to their threshold. Moreover, Θ reveals that the microstructure inside the band is highly disordered [see Figs. 8(d) and 10(b)], reinforcing the link between local disorder, low-energy excitations, and residual plastic strength. After the large stress drop, we observe that the region outside of the band has been stabilized, so that ⟨Δτ⟩ increases and ⟨Θ⟩ decreases. This stabilization is due to the decrease of the applied stress after the mechanical instability and the nucleation of the shear band. This stabilization can also be seen in a shift of the median of P(Δτ) from strain points (2) to (4), plotted in Fig. 9(b). In contrast, ductile glasses show almost no variation in their distributions of residual strength and already reach the steady-state distribution at 4%–5% of strain [see Fig. 9(a)].

V. CONCLUSION

Taken together, our results demonstrate that shear-driven rearrangements in amorphous solids are deeply encoded in the structure. In ductile systems, many of the structural indicators that have been previously proposed are highly predictive of deformation at yielding and beyond. Our work indicates that two purely structural indicators (machine learning and Θ), which do not require any knowledge of the interaction potential and can be immediately applied to experimental systems, perform comparably to more complicated methods in ductile solids. Another surprising observation is that the linear modes, which can be extracted from time averaging of two-particle correlation functions in experiments [74], outperform all other methods in ductile materials.

By analyzing ultrastable glass configurations whose first plastic instability is pushed up to 6%–7% shear strain, we show that the predictiveness of metrics based on linear response drops significantly in those systems. These results might appear counterintuitive, as the system undergoes only reversible elastic deformation. We show that no other metric—except for the residual plastic strength metric—can accurately predict rearrangements for such huge strain intervals. This clearly indicates that capturing quantitative information regarding the coupling strength of soft spots to the imposed loading geometry is key to high predictiveness. Future work should, therefore, focus on enhancing existing, and developing better, micromechanical-information-rich anisotropic indicators.

Using a novel nonlinear framework which allows extracting the precise location of soft quasilocalized excitations, and directly comparing them to the local yield stress map, we have firmly established that the low local yield stresses
observed in some regions directly emanate from the presence of soft quasilocalized modes. Quantifying how different nearby excitations interact and self-organize will be crucial for understanding strain localization and catastrophic failure via shear banding in brittle glasses.

Finally, our work focuses on the athermal quasistatic regime, but should certainly be extended to finite temperatures and strain rates. In particular, many fundamental questions in that context have yet not been addressed, such as (1) What is the interplay between the thermal and mechanical activation of soft spots? (2) How do the stresses and strains generated by a single shear transformation propagate throughout the system for different imposed strain rates? Answering these questions would place us in a prime position to formulate improved theoretical frameworks and models of elastoplasticity, both on the mesoscale—in the framework of more accurate elastoplastic lattice models—but also on the macroscale, towards formulating observation-based constitutive relations for macroscopic elastoplasticity.

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APPENDIX A: GLASS FORMER

1. System

a. Binary Lennard-Jones (LJ)

The 2D binary glasses are made of $10^4$ atoms. They were obtained by quenching liquids at constant volume. The density of the system is kept constant and equals $10^4/(98.8045)^2 = 1.02$. We choose the composition such that the number ratio of large (L) and small (S) particles equals $N_L/N_S = (1 + \sqrt{5})/4$. The two types of atoms interact via $6–12$ Lennard-Jones interatomic potentials whose parameters are: $\sigma_{LS} = 2 \sin(\pi/10)$, $\sigma_{LL} = 2 \sin(\pi/5)$, $\epsilon_{SL} = 1$, $\epsilon_{SS} = 0.5$, $\epsilon_{LL} = 0.5$, $\epsilon_{SL} = 1$, $m_S = 1$, $m_L = 1$. The standard Lennard-Jones potentials have been slightly modified to be twice continuously differentiable functions. This is done by replacing the Lennard-Jones expression for interatomic distances greater than $R_{in} = 2\sigma$ by a smooth quartic function vanishing at a cutoff distance $R_{cut} = 2.5\sigma$ [47].

b. Polydisperse soft spheres (POLY)

The glass-forming model consists of particles with purely repulsive soft-sphere interactions, and a continuous size polydispersity. Particle diameters, $d_i$, are randomly drawn from a distribution of the form $f(d) = Ad^3$, for $d \in [d_{\min}, d_{\max}]$, where $A$ is a normalization constant. The size polydispersity is quantified by $\delta = \sqrt{\langle d^2 \rangle - \langle d \rangle^2}/\langle d \rangle$, where $\langle \cdots \rangle$ and $\langle \cdots \rangle$ are here set to $\delta = 0.23$ by imposing $d_{\min}/d_{\max} = 0.449$. The average diameter, $\langle d \rangle$, sets the unit of length. The soft-spheres interactions are pairwise and described by an inverse power-law potential

\[ v_{ij} = v_0 \left( \frac{d_{ij}}{r} \right)^{12} + c_0 + c_1 \left( \frac{r}{d_{ij}} \right)^2 + c_2 \left( \frac{r}{d_{ij}} \right)^4, \]  

\[ d_{ij} = \frac{(d_i + d_j)}{2} (1 - \epsilon |d_i - d_j|), \]

where $v_0$ sets the unit of energy (and temperature with Boltzmann constant $k_B = 1$), and $\epsilon = 0.2$ quantifies the degree of nonadditivity of particle diameters. We introduce $\epsilon > 0$ to the model in order to suppress fractionation and thus enhance its glass-forming ability. The constants, $c_0$, $c_1$, and $c_2$, enforce a vanishing potential and the continuity of its first- and second-order derivatives of the potential at the cutoff distance $r_{cut} = 1.25d_{ij}$. We set $c_0 = -1.924145348608$, $c_1 = 2.1110623530$, and $c_2 = -0.591097451092$. We simulate a system with $N = 10000$ particles within a square cell of area $V = L^2$, where $L$ is the linear box length, under periodic boundary conditions, at number density $\rho = N/V = 1$. The model is the 2D version of one developed in Ref. [44] and subsequently studied in Ref. [45] for rheology.

2. Glass preparation

a. LJ

Three different quench protocols are considered. The first two kinds of glass are obtained after instantaneous quenches from high-temperature liquid (HTL) and equilibrated supercooled liquid (ESL) states at $T = 9.62T_g^{\text{sim}}$ and $T = 1.13T_g^{\text{sim}}$, respectively, with $T_g^{\text{sim}} = 0.31\epsilon_{SL}/k_B$. The last protocol consists in a gradual quench (GQ), in which temperature is continuously decreased from a liquid state, equilibrated at $1.13T_g^{\text{sim}}$, to a low-temperature solid state at 0.096$T_g^{\text{sim}}$, over a period of $10^6$ with $t_0 = 0.3\epsilon_{SL}/k_B$. All quench protocols are followed by a static relaxation via a conjugate gradient method to equilibrate the system mechanically at zero temperature. The forces on each atom are minimized up to machine precision. The same relaxation algorithm is used hereafter to study the response to mechanical loading.

b. POLY

Glass samples have been prepared by first equilibrating liquid configurations at a finite temperature, $T_{ini}$, and then performing a rapid quench to $T = 0$, the temperature at which the samples are subsequently deformed. We prepare equilibrium configurations for the polydisperse disks using swap
Monte Carlo simulations [44]. With probability $P_{\text{swap}} = 0.2$, we perform a swap move where we pick two particles at random and attempt to exchange their diameters, and with probability $1 - P_{\text{swap}} = 0.8$, we perform conventional Monte Carlo translational moves. To perform the quench from the obtained equilibrium configurations at $T_{\text{ini}}$ down to zero temperature, we use the conjugate-gradient method given by a C++ software [75]. The preparation temperature $T_{\text{ini}}$ then uniquely controls the stability of glass, and we consider a wide range of preparation temperatures, $T_{\text{ini}} = 0.05-0.300$. Some representative temperatures of this model are as follows: Onset of slow dynamics, $T_{\text{onset}} \approx 0.23$, mode-coupling crossover, $T_{\text{mc}} \approx 0.11$, and an estimated experimental glass transition temperature, $T_g^{\exp} \approx 0.068$. Note that these values are slightly different from the ones presented in Ref. [76] due to slight differences in the number density.

3. Mechanical loading

Beginning from a quenched unstrained configuration, the glasses are deformed in simple shear imposing Lees-Edwards boundary conditions up to $\gamma = 0.12$ with an athermal quasi static method. We apply a series of deformation increments $\gamma$ to the material by moving the atom positions following an affine displacement. After each deformation increment, we relax the system to its mechanical equilibrium. In order not to miss plastic events, a sufficiently small strain increment equal to $10^{-5}$ is chosen. Plastic events are detected when the computed stress $\sigma$ decreases, a signature of mechanical instability. We have checked that the lowest mode at this onset (measured at a strain $\gamma_1$ and stress $\sigma_1$) has converged to the true critical mode $\Psi_c$, at which the slope of the stress with respect to the strain is negative, as shown in Fig. 11. Fitting the critical strain $\gamma_c$ from the square root singularity of the stress (i.e., $\sigma - \sigma_c \sim \sqrt{\gamma_c - \gamma}$), we have monitored the overlap $1-|\Psi_c \cdot \Psi_j|$ between the critical mode $\Psi_c$ and the lowest mode $\Psi_j$ computed at strain $\gamma$ as a function of $\gamma < \gamma_c$. In Fig. 11(b) we observe that the overlap at $\gamma = \gamma_c$ (the rightmost point) is already below 0.01–0.1, meaning that the mode evaluated at $\gamma_c$ has an overlap larger than 95% with the true critical mode $\Psi_c$. More importantly for our analysis, we have extracted the rank $r_c$ of the particle having the largest $\Psi_c$ component (core of the triggering event) and compare it to the rank $r_c$ of the same particle as a function of $\gamma - \gamma_c$.

APPENDIX B: STRUCTURAL INDICATORS

1. Conventional bond orientational order $\Psi_s$

First we consider the conventional two-dimensional bond orientational order parameters $\Psi^i_m$ for the $j$th particle, defined by

$$\Psi^i_m = \frac{1}{n_j} \sum_{k=1}^{n_j} e^{im\theta_{jk}},$$

where $n_j$ is the number of nearest neighbors of the $j$th particle, and $\theta_{jk}$ is the angle between $r_{jk} = r_j - r_k$ and the $x$ axis. The condition for the nearest neighbors is set to $|r_{jk}| < x_{\text{cut}}d_{jk}$, where $x_{\text{cut}}$ is the first minimum of the normalized radial distribution functions. We set our cutoff after the first peak of the radial pair distribution function with $x_{\text{cut}} = 1.33$ and 1.5 for the polycrystalline and binary system, respectively. We use $m = 2, 3, \ldots, 9$, which would detect different symmetries, respectively. $m = 6$ is often used to characterize hexagonal order in glassy and jamming systems [49,77]. $\Psi_6$ takes 1 for perfectly hexagonal packings, whereas $\Psi_6$ takes lower values for disordered packings.

2. Generalized bond orientational order $\Theta$

We also compute a generalized bond orientational order parameter $\Theta$ developed in Ref. [22]. Here we consider a central particle $j$ and its neighbor particles. The definition of the neighbors is the same as $\Psi_6$. For each pair $(kl)$ of neighbor particles next to each other, we measure the angle between $r_{jk}$ and $r_{jl}$, denoted as $\theta^i_{kl}$. The reference configuration with these three particles, $j$, $k$, and $l$, perfectly just in touch, with the central angle indicated as $\theta^0_{ij}$. Practically $\theta^0_{ij}$ is computed by $d_{jk}$, $d_{jl}$, and $d_{kl}$, using the cosine formula. Note that we employ nonadditive rule for $d_{ji}$ (e.g., $d_{jk} = 0.5(d_j + d_k)(1 - \epsilon(d_j - d_k))$ for the polycrystalline disks), hence “just in touch” is achieved with respect to the nonadditive rule. Then we define
the order parameter for the \( j \)th particle as
\[
\Theta_j = \frac{1}{n_j} \sum_{(kl)} |\theta_{kl1} - \theta_{kl2}|,
\] (B2)
where \( n_j \) is the number of nearest neighbors of the \( j \)th particle. \((kl)\) denotes the summation over all pairs of neighbors. If particles form sterically favored, well-packed configurations (in the nonadditive sense), \( \Theta \) produces smaller value, because \( \theta_{kl1} \) would be close to the reference, \( \theta_{kl2} \). Instead disordered packings generally take larger \( \Theta \), since \( \theta_{kl1} \) would strongly deviate from \( \theta_{kl2} \). Thus, \( \Theta \) characterizes amount of disorder, which would play the similar roles as \( 1 - \Psi_m \), yet \( \Theta \) is more sensitive order parameter for multicomponents (or polydisperse) systems.

3. Voronoi-based metrics: \( \rho, \phi, P, \text{ and } Q \)

The local density \( \rho \) and local free volume \( \phi \) maps are extracted from a radical additive Voronoi tessellation by assigning to each particle a “radius” equal to its same-particle \( \sigma/2 \), e.g., \( \sin(\pi/10) \) for the largest particles in the LJ binary mixture. For each particle, we can define a vector \( p_i \) pointing from the particle center to the centroid of its Voronoi cell. For disorder packing networks, the magnitude \( P(i) = |p_i| \) can be anomalously large in disorder regions and thus can be used as a structural metric to quantify a local disorder. As well, one can define for each \( k \) Delaunay triangle the divergence of the local interpolated field \( p \) as
\[
Q_k = \langle (p)/(A_k)/(A) \rangle,
\] (B3)
where \( A_k \) and \( \langle A \rangle \) are the area of the triangle \( k \) and average \( \langle A \rangle \) over the whole packing, respectively. The local divergence \( Q(i) \) is computed as the average over Delaunay triangles touching particle \( i \). More details can be found in Ref. [50].

4. Local excess entropy \( s_2 \)

To obtain an estimate of the local excess entropy \( s_2 \), we follow closely Ref. [51]. We first reconstruct the local radial pair distribution function \( g_i(r) \) of particle \( i \) as
\[
g_i(r) = \frac{1}{A} \sum_j \frac{1}{\sqrt{2\pi}\delta^2} e^{-(r-r_{ij})^2/(2\delta^2)}.
\] (B4)
Here, the sum runs over all neighboring particle \( j \) with pairwise distance \( r_{ij} \), \( \rho \) is the global density, and \( \delta \) the standard deviation of the normalized Gaussian weight. Following previous work [78] for similar systems, we choose \( \delta = 0.12 \). The particle excess entropy \( s_2(i) \) follows
\[
s_2(i) = -2\pi \rho k_b \int_0^\infty dr [g_i(r) \ln g_i(r) - g_i(r) + 1],
\] (B5)
where \( k_b \) is the Boltzmann constant and the cutoff \( r_m \) is set to the minimum between the first and second peak of the total pair distribution function \( g_i(r) \).

5. Softness field \( S \)

Following previous work [36], we use the support-vector machine (SVM) algorithm to try to fit a combination of local structural variables which best distinguishes rearranging and nonrearranging particles. Here we aim to separate particles according to their likelihood of undergoing plastic flow. Previous works have built a training set for the SVM out of the particles which are confidently identified as rearranging (i.e., large \( D^2_{min} \) and nonrearranging (low \( D^2_{min} \) for a long time). Training only on the global maximum of the critical mode, as we test on when comparing structural indicators, would provide a very small training set, and particles near the global maximum are expected to move a large amount regardless of their structure, making them unsuitable training examples. Instead, we train our classifier on local fluctuations in the \( D^2_{min} \) computed on \( \Psi_e \) at each onset, which include information on both the core event and coupling with other soft regions of the system. Local extrema of \( D^2_{min} \) correspond to particles having a \( D^2_{min} \) value larger (or smaller) than all their Voronoi neighbours within a distance of two connections in the triangulation, i.e., up to the second peak of the radial distribution function. Particles corresponding to maxima and minima are labeled as \( s_i = 1 \) (soft) and \( s_i = 0 \) (stiff), respectively. We train an SVM as in Ref. [36], finding a linear combination of the structure functions which distinguishes local minima (\( y_i = 0 \)) from maxima (\( y_i = 1 \)). A training set of \( n \) particles can be written as \( \{G_i, y_i, \ldots \} \), where the vector \( G_i = (G_1, \ldots , G_m) \) describes the local structural environment of the particle \( i \) (details are provided below). Attempting to fit a deep neural network produces a higher accuracy on this training set, but is surprisingly less effective at identifying the global maximum, which reflects the fact that the training task is not exactly the same as the testing task.

The polydispersity of the POLY system necessitates unconventional choices of structural variables. In our previous work [36], most structural variables are, roughly speaking, the number of neighbor particles at a distance bin \( r \sim r + dr \). Since we used a bidisperse system [36], and a small neighbor and a large neighbor can produce very different stabilizing effects to the central particle at the same \( r \), we had to treat the number of small and large neighbors as two different structure functions in each bin. Unfortunately, there are infinitely many different particle sizes in the POLY system, so we cannot copy the previous approach. Our new solution is to normalize the particle distance, \( r_{ij} \), by their contact distance used in the pair potential
\[
d_{ij} = 0.5 \times (d_i + d_j)(1 - \epsilon \times |d_i - d_j|).
\] (B6)

The number of neighbor particles with the normalized distance \( r_{ij}/d_{ij} \) falling in a given bin constitutes a structure function. More precisely, we linearly spread particles into discrete bin locations, so that the structural variables are
\[
G_m = \sum_j g_{m,ij},
\] (B7)
where
\[
g_{m,ij} = \begin{cases} 1 - r_{ij}/d_{ij} - x_m & \text{if } x_{m-1} < r_{ij}/d_{ij} < x_m, \\ 1 - r_{ij}/d_{ij} - x_m & \text{if } x_m < r_{ij}/d_{ij} < x_{m+1}, \\ 0, \end{cases}
\] (B8)
and \( x_m \) is the location of the \( m \)th radial function, given by
\[
x_m = 0.5 \times 1.1^{m-1}, \quad m = 1, 2, \ldots , 25.\) (B9)
The linear particle spread used here is not worse than Gaussian spread used before [36] but is computationally faster. We use the diameter of the center particle, $d_i$, as one extra structure variable. We train an SVM using these 26 structure variables using regularization parameter $C = 1$ and found validation accuracy 91.5%, 90.5%, 89.9%, and 87.5%; for $T_{mL} = 0.05, 0.085, 0.12,$ and 0.3, respectively. Both the training set and the validation set consists of 20 000 local-max particles and 20 000 local-min particles. Using an even larger training set significantly slows down the SVM training but produces diminishing accuracy improvements.

For the LJ system, we also normalize the separation between each pair of particles by the interaction distance $\sigma_{ij}$ between them. We then bin the normalized distances into bins of width 0.025, between distance 0.8 and 3, and take the number of neighbors within each normalized distance bin as a structure function. The number of L-type neighbors and the number of S-type neighbors are treated as distinct structure functions. The diameter of the center particle is again taken as a structural variable, giving a total of 129 structural variables. We train an SVM using these variables, again using regularization parameter $C = 1$ and found validation accuracy of 93.5%, 92.8%, and 91.5% for the quench protocols QG, ESL, and HTL, respectively. Both the training and validation sets consist of 60 000 local-max particles.

6. Soft modes $\mathcal{M}$

Low-frequency vibrational modes are extracted from a partial diagonalization of the harmonic dynamical matrix $\mathcal{M} = \partial^2 U/\partial \Psi \partial \Psi$. We denote the $k$th lowest eigenmode by $\Psi_k$ with frequency $\omega_k = \sqrt{\kappa_k}$, where $\kappa_k$ is the corresponding eigenvalue (stiffness) of the mode. As demonstrated in many recent works [30,31,33], plasticity is controlled by quasilocalized low-frequency vibrational mode. Such a mode is composed of a localized core composed of a few tens of a particles and a long-ranged elastic kernel that decay as $r^{\gamma-d}$, with $d$ the dimension of the system. In large enough systems, these localized excitations are hybridized with plane waves (phonons). As a consequence, constructing a structural metric from stacking the norm of the $n$th lowest modes will be highly polluted by a phononic background. In Refs. [30,31], the authors have shown that one can efficiently disentangle plane waves from localized cores using the following contraction $U^{(3)} = \partial \Psi_k \partial \Psi$. The third-order anharmonic tensor reads $U^{(3)} = \partial^3 U/\partial x_i \partial x_j \partial x_k$. Each contraction of $U^{(3)}$ with $\Psi_k$ is proportional to the mode spatial derivatives. For plane waves, such a derivative scales as the frequency $\omega$, whereas for quasilocalized excitations, it attains a characteristic value independent of frequency. As a consequence, the contribution of plane waves for low-frequency vibrational modes become negligible. In addition, the scaling of long-ranged elastic tail is suppressed and now scales as $r^{1-3\gamma}$ [30]. In practice, our structural indicator is computed by the weighted sum

$$\mathcal{M}(i) = \sum_{k=1}^{n_k} \frac{|U^{(3)}; \Psi_k \Psi_i|^2}{\alpha_k^2},$$  \hspace{1cm} (B10)

where $| \cdot |^2$ means the norm associated to the $i$th particle. We have set the number of modes $n_k$ to 512 to optimize the prediction of plastic rearrangements.

7. Local potential energy $\varphi$

The local potential energy of the $i$th atom is computed as

$$\varphi(i) = \frac{1}{2} \sum_{a} \varphi_a(r_a), \hspace{1cm} (B11)$$

where the sum runs over all pairs of interacting particles $\alpha = \{i,j\}$ separated by a distance $r_a$. The total potential energy $U$ is recovered when summing over all $\varphi(i)$.

8. Local heat capacity $c_a$

The local heat capacity $c_a$ associated to the interaction $\alpha$ between two particles in contact with potential energy $\varphi_a$ reads

$$c_a = \frac{\partial \varphi_a}{\partial x} \mathcal{M}^{-1} \frac{\partial \varphi_a}{\partial x} \mathcal{M}^{-1} - \frac{\partial \varphi_a}{\partial x} \mathcal{M}^{-1} \cdot U^{(3)} \cdot \mathcal{M}^{-1}. \hspace{1cm} (B12)$$

The local heat capacity $c_a(i)$ of the $i$th particle is computed by summing over all interacting neighbors the absolute value $|c_a|$. When performing the sum only the second (anharmonic) term $\frac{\partial \varphi_a}{\partial x} \mathcal{M}^{-1} \cdot U^{(3)} \cdot \mathcal{M}^{-1}$ is kept as it is the most sensitive to low-frequency quasilocalized excitations. A more detailed description of this metric can be found in Refs. [31,33]. The full diagonalization of the Hessian matrix $\mathcal{M}$ is done with the Lapack library.

9. Vibrality $\Psi$

The vibrality $\Psi$ is computed following closely Ref. [32]. This indicator corresponds to the susceptibility of particle motion to infinitesimal thermal excitation in the zero temperature limit and is proportional to the well-known Debye-Waller factor. In practice, we calculate $\Psi$ as

$$\Psi(i) = \sum_{k=1}^{dN-d} \frac{|\Psi_k|^2}{\omega_k^2}, \hspace{1cm} (B13)$$

where the sum runs over the entire set of eigenmode $\Psi_k$ with frequency $\omega_k$.

10. Atomic shear nonaffinity $na\mu$

In athermal quasistatic deformation, the elastic constants can be derived from the second derivative of the total potential energy. Following Maloney et al. [61,79], but in the coordinates of the normal modes, the elastic constants can be obtained as

$$C_{ijkl} = \frac{1}{V} \left( \frac{\partial^2 U}{\partial \epsilon_{ij} \partial \epsilon_{kl}} + \sum_m \frac{\partial^2 U}{\partial q_{in} \partial \epsilon_{ij} \partial \epsilon_{kl}} dq_{in} \right), \hspace{1cm} (B14)$$

where $U$ is the potential energy, $V$ is the volume, and $q_{in}$ is the $n$th coordinate of the eigenbasis corresponding to the Hessian matrix $\left( \frac{\partial^2 U}{\partial \sigma_{jn} \partial \sigma_{ro}} \right)$. The first term of Eq. (B14), often called Born term, is the contribution due to affine displacement, while the second term represents the contribution from nonaffine relaxation in each normal mode.
Cheng et al. [80] once observed that the nonaffine modulus of a system is much more sensitive to structural stability than the affine modulus (Born term). Considering that the stress of the system is much more sensitive to structural stability than the internal fields, which connected discrete atomic position with continuum origin. Originally proposed by Goldhirsch and Goldenberg [82], the thermal expansion, \( \alpha \) thermal expansion, \( (B1) \) using a coarse-grained method [81] using a coarse-grained method, the nonaffine contribution to the modulus of each individual atom can be expressed as

\[
\hat{C}_{ijkl,m} = -\frac{V}{\lambda_m} \frac{\partial \sigma_{ij}}{\partial q_{km}} \frac{\partial \sigma_{kl}}{\partial q_{m}}. \tag{B15}
\]

We note that the nonaffine modulus contribution \( \hat{C}_{ijkl,m} \) is always negative and the nonaffine modulus of system can be written as \( \hat{C}_{ijkl} = \sum_m \hat{C}_{ijkl,m} \).

For one mode, different atoms often contribute differently. We may express the normalized eigenvector as \( \frac{\partial \sigma_{ij}}{\partial q_{km}} \). Since the nonaffine contribution to the modulus must converge to a finite value in the thermodynamic limit, the atomic nonaffine modulus contribution of each individual atom can be obtained as

\[
\hat{C}_{ijkl,n} = \sum_{m,a} \frac{V}{\lambda_m} \frac{\partial \sigma_{ij}}{\partial q_{km}} \frac{\partial \sigma_{kl}}{\partial q_{m}} c_{mma}. \tag{B16}
\]

In this way, the nonaffine modulus of a system can also be written as a sum of the atomic nonaffinity of each atom as \( \hat{C}_{ijkl} = \sum_n \hat{C}_{ijkl,n} \). Since the nonaffine contribution to the modulus must converge to a finite value in the thermodynamic limit, the atomic nonaffine modulus must scale like \( 1/N \) (\( N \) is number of atoms in the system), generally being smaller for atoms in large system.

To understand the atomic nonaffinity, we will simplify the above tensor expression to the case specific to the shear direction. Based on Eq. (B16), the atomic shear nonaffinity can be obtained as

\[
\hat{G}_n = \sum_{m,a} \frac{V}{\lambda_m} \left( \frac{\partial \sigma_{xy}}{\partial q_{qm}} \right)^2 c_{mma}, \tag{B17}
\]

where \( \partial \tau/\partial q_m \) is the derivative of shear stress with respect to coordinate \( q_m \) along the \( m \)th mode.

11. Local shear modulus \( \mu \) and local thermal expansion \( \alpha \)

We calculated the local shear modulus (\( \mu \)) [26] and local thermal expansion (\( \alpha \)) [81] using a coarse-grained method originally proposed by Goldhirsch and Goldenberg [82], which connected discrete atomic position with continuum fields.

First, we defined the local coarse-grained displacement field \( \mathbf{u}(r,t) \) from atomic displacement as

\[
\mathbf{u}(r,t) \equiv \sum_i u_i(t) \phi(\mathbf{r} - \mathbf{r}_i(t)) = \sum_j m_j \phi(\mathbf{r} - \mathbf{r}_j(t)), \tag{B18}
\]

where \( \mathbf{u}_i(t) \) is the displacement of atom \( i \) at time \( t \), starting from a reference position, and \( \phi(x) \) is the coarse-grained function. Here we choose a coarse-grained function \( \phi(r) = \frac{1}{\lambda}[1 - 2(r/r_c)^4 + (r/r_c)^6] \) for \( r < r_c \) and 0 for otherwise [47], with \( r_c \) the coarse-grained scale and \( \lambda = 8/15 \pi r_c^2 \). As prescribed by Tsamados et al. [26], we choose \( r_c = 5 \sigma \) to maintain the validity of linear elasticity and heterogeneity in the mesoscale, where \( \sigma \) is the atomic diameter, note that the coarse-grained size within a certain range would not change the qualitative conclusion as proved in Ref. [81].

Then based on Eq. (B18), one can obtain the local strain field \( \epsilon_{ij} \) under the linear elastic assumption:

\[
\epsilon_{\alpha \beta}(r) = \frac{1}{2} \left[ \frac{\partial u_\alpha(r)}{\partial x_\beta} + \frac{\partial u_\beta(r)}{\partial x_\alpha} \right]. \tag{B19}
\]

And the local stress field \( \sigma_{\alpha \beta}(r,t) \) can be obtained by atomic interaction as [26,82].

\[
\sigma_{\alpha \beta}(r,t) = -\frac{1}{2} \sum_{i,j} \frac{\partial \Psi(r)}{\partial r_{i j}} \int_0^1 ds \partial \phi(r - r_i + s r_j). \tag{B20}
\]

where \( \Psi \) is atomic total energy. And for the small deformation, the local shear modulus \( \mu \) is the response of local shear stress by the change of local shear strain using athermal quasistatic simple shear:

\[
\mu(r) = \frac{\partial \sigma_{xy}(r)}{\partial \epsilon_{xy}(r)}. \tag{B21}
\]

And we can define the local thermal expansion \( \Gamma(r) \) from the coarse-grained volumetric strain \( \epsilon_v(r) \) caused by temperature:

\[
\alpha_r(r) = \frac{\epsilon_v(r)}{\Delta T} \bigg|_\rho. \tag{B22}
\]

We calculated the local thermal expansion at constant number density in the 2D polydisperse model, we reheat the sample from inherent structure to 0.01 \( T_{\text{MCT}} \) (\( \Delta T = 0.01 T_{\text{MCT}} \)) with the NVT ensemble to calculate local thermal expansion.

12. Nonaffine velocity \( \dot{x} \)

The nonaffine velocity field \( \dot{x} \) is computed from solving

\[
\mathcal{M} \cdot \dot{x} = -\frac{\partial U}{\partial \mathbf{x}}. \tag{B23}
\]

This displacement field is nothing than the linear response of the system to the shear force \(-\partial U/\partial \mathbf{x}_y\). This indicator is dominated by the lowest harmonic eigenmodes, which include both a phononic background and quasilocalized excitations. As done in the soft modes indicator \( \mathcal{M} \), we disentangle plane waves and elastic kernels from localized cores by computing the contraction \( |U^{(S)} : \dot{x} \dot{x}\| \), giving us a unique scalar value for each particle.
13. Nonlinear modes $\pi$

Following Refs. [30,58,62], we extract nonlinear modes by finding iteratively solution $\hat{\pi}$ of the nonlinear equation

$$\mathcal{M} \cdot \hat{\pi} = \frac{\mathcal{M} \cdot \hat{x}}{\hat{U}^{(n)}},$$

(B24)

where $\mathcal{U}^{(n)}$ is the rank-$n$ tensor of derivatives of the potential energy and $\hat{\pi}^{(n)}$ denotes a contraction over $n$ instances of the mode $\hat{\pi}$. In practice, we have used $n = 4$ and $n = 3$ for the POLY and LJ system, respectively. The latter system has a potential not as smooth as the polydisperse model and for which $\mathcal{U}^{(4)}$ is ill-defined.

In order to probe homogeneously in the system modes with both a low stiffness and a high coupling with the imposed deformation, we decompose our system into a cubic grid with cell size $l = 4\sigma$. In each cell, we pick the pair $\alpha$ that has the largest $|\frac{\partial \varphi_\alpha}{\partial x_i} \cdot \hat{x}_i|$ value (where $\varphi_\alpha$ is the potential energy of the contact $\alpha = [ij]$, with $\hat{x}_i = x_i - \bar{x}_i$ and $x_i = \bar{x}_i(l)$) and compute the associated dipole response $\mathcal{M} \cdot d_\alpha$ from solving

$$\mathcal{M} \cdot d_\alpha = f_\alpha,$$

(B25)

with $f_\alpha = \partial \varphi_\alpha / \partial \hat{x}_i$; see, for example, Ref. [83]. In an upcoming paper series [58], we demonstrate that the dipole response is an excellent starting guess to efficiently find the solution $\hat{\pi}$.

Glassy nonlinear modes strongly overlap in space with quasilocomodal modes present in the harmonic approximation but without the phononic background [83]. Cores are still decorated with a quadrupole like elastic kernel. This long-ranged decay can be suppressed by computing the contraction $\mathcal{U}^{(3)} \cdot \hat{x} \hat{x}$. Finally, the structural metric $\pi$ is extracted by summing over the $n_k$ different modes found during the mapping procedure,

$$\pi(i) = \sum_{k=1}^{n_k} \frac{[\mathcal{U}^{(3)} \cdot \hat{x}_k \hat{x}_k]^2}{\kappa_k},$$

(B26)

where $\kappa_k$ is the stiffness of the $k$th mode.

14. Saddle Point Sampling

A complete description of the Saddle Point Sampling can be found in Refs. [53,54]. For one system with volume $V$ at initial shear strain $\gamma_0$, knowing the activation energy of a plastic event $Q_0$ and the shear stress difference between the system at a saddle point and at its initial state $\Delta \tau = \tau(x_\alpha, \gamma_0) - \tau(0, \gamma_0)$, one can predict the triggering strain of this plastic event as

$$\Delta \gamma_c = \gamma_c - \gamma_0 = -\frac{3Q_0}{2V \Delta \tau_0}.$$  

(B27)

For one local region, if the triggering strain of all possible events is obtained, then we define the local yield strain to be the lowest one of those possible events,

$$\Delta \gamma_c = \min (\Delta \gamma^i),$$

(B28)

where $i$ loops over all the possible local events.

We use activation relaxation technique nouveau (ARTn) [84] to harvest the plastic events for each local region, with a push back strategy (mentioned in Ref. [85]) to confirm each saddle point is connected to initial minimum. The activation of ARTn was initiated by imposing a random displacement to the local cluster on a chosen atom with a radius of $2\sigma$. A force tolerance of $5 \times 10^{-3} \varepsilon / \sigma$ is used for converging to the saddle points. Multiple activations were attempted until five events were found for each local cluster.

15. Residual plastic strength $\Delta \tau_p$

We compute the local yield stress fields whose method is presented extensively in Refs. [41,47]. It gives access to a relevant mechanical quantity, i.e., a local slip threshold, in a nonperturbative way, over a well-defined length scale and for arbitrary loading directions. Local stress thresholds appear to be a very sensitive probe of the preparation of the glass, the anisotropy induced by plastic deformation, and the rejuvenation process [41,73].

This method consists in locally shearing a circular region of radius $R_{\text{free}} = 5$ using the Athermal Quasi-Static method which are embedded in a shell where atoms are constrained to affine strain. It thus forces plastic rearrangements to take place in the central relaxed zone. The local yield stress $\tau_0$, and the associated critical deformation $\epsilon_c$, are computed at the critical state before the first shear stress drop in the loading direction $\alpha$. Strictly speaking, rather than calculate a threshold, we are interested in a more effective quantity to correlate structure and plastic activity which is the residual plastic strength [86,87] $\Delta \tau_0(\alpha) = \tau_0(\alpha) - \tau_0(\alpha)$. It corresponds to the amount of stress necessary to trigger an instability, where $\tau_0(\alpha)$ is the prestress in the probed area. On this scale, glasses are heterogeneous and anisotropic. For an external load in the $\alpha$ direction, the effective threshold thus corresponds to the smallest $\Delta \tau_0(\alpha)$ projected in this direction, which writes $\Delta \tau_0(\alpha) = \min_{\alpha} \Delta \tau(\alpha)$ with $|\alpha - \alpha_l| < 45^\circ$. We compute the local yield stresses on a regular square grid of lattice parameter $R_{\text{free}}$ with $R_{\text{free}} = 5\sigma$ every $\Delta \alpha = 10^\circ$, i.e., in 18 different directions $\alpha$. These parameters optimize the correlation between $\Delta \tau_0(\alpha_l)$ and plastic activity [41,47]. In order to define a field of residual plastic strength per atom, $\Delta \tau_0$ is then evaluated by assigning to each atom the smallest value of the thresholds calculated at the grid points located at distances less than $R_{\text{free}}$.


