

A Markovian Approach to Evaluate Session-based IR Systems

Electronic Appendix

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A Pseudocode for the Computation of the MsM Measure

Algorithm 1 stems from the observation that, since the random variables in eq. (1) can take the value ∞ with positive probability, their expectation is equal to ∞ . Nevertheless, in order to measure the “stochastic distance” of the document at position (i, j) from that in $(1, 1)$, we can compute the expectation under the restriction that the search does not end before reaching the target document. In practice, we can equal to 0 the probability to reach the state F from the states above (i, j) and normalize the other elements to still have a stochastic matrix. Therefore, in the algorithm, we can use a single smaller transition matrix P , representing the dynamic within a generic query, with $N + 2$ states: the first N states represents the N retrieved documents within the query, the $F = N + 1$ state is the end of the search session, and the $Q = N + 2$ is the reformulation to the next query. We then, appropriately, select rows and columns from this transition matrix P , rescale them into a \tilde{P} matrix ensuring it is stochastic, and perform the different computations, as discussed in the pseudo-code.

Algorithm 1: Pseudo-code for computing the M_sM measure (It continues on the next page.)

Input: run a $N \times K$ integer matrix where rows are documents, columns are queries, and each cell contains a natural number representing the relevance of a document, 0 for not relevant. i is the document index, j is the query index.

Input: p , q , r , and s , the transition probabilities of, respectively: moving forward to the next document within a query; moving backward to the previous document within a query; moving to the next query, i.e. jumping to the first document of the next query; and, stopping a search session. We assume $p + q + r + s = 1$, $p > 0$, $q \geq 0$, $r > 0$, and $s > 0$.

Output: the M_sM measure for the input run .

Data: For the first document of a generic query, there is no backward transition probability, so we have to rescale the transition probabilities:

$$p_1 = \frac{p}{p+s+r}, \quad s_1 = \frac{s}{p+s+r}, \quad r_1 = \frac{r}{p+s+r}$$

Data: For the last document of a generic query, there is no forward transition probability, so we have to rescale the transition probabilities:

$$q_N = \frac{q}{q+s+r}, \quad s_N = \frac{s}{q+s+r}, \quad r_N = \frac{r}{q+s+r}$$

Data: The transition matrix P of a generic query is a $(N + 2) \times (N + 2)$ stochastic matrix where:

- the leading $N \times N$ principal sub-matrix contains the backward and forward transitions between the documents within a query, paying attention to the re-scaled transition probabilities for the first and last document;
- the additional absorbing state $F = N + 1$ represents the end of a search session;
- the additional absorbing state $Q = N + 2$ represents the jump to the next query.

$$P = \begin{array}{c} \begin{array}{cccccccc|cc} & 1 & 2 & 3 & 0 & \dots & N-2 & N-1 & N & F & Q \\ \hline 1 & 0 & p_1 & 0 & 0 & \dots & 0 & 0 & 0 & s_1 & r_1 \\ 2 & q & 0 & p & 0 & \dots & 0 & 0 & 0 & s & r \\ 3 & 0 & q & 0 & p & \dots & 0 & 0 & 0 & s & r \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots \\ N-1 & 0 & 0 & 0 & 0 & \dots & q & 0 & p & s & r \\ N & 0 & 0 & 0 & 0 & \dots & 0 & q_N & 0 & s_N & r_N \\ \hline F & 0 & 0 & 0 & 0 & \dots & 0 & 0 & 0 & 1 & 0 \\ Q & 0 & 0 & 0 & 0 & \dots & 0 & 0 & 0 & 0 & 1 \end{array} \end{array}$$

Data: e is a $N \times 1$ vector, representing the average time to go from document 1 to documents $1, \dots, N$, respectively.

Data: e_Q is a scalar, representing the average time to move to the next query.

Data: h_F is a scalar, representing the probability to go from document 1 to state F , i.e. end of search session, in the first $K - 1$ queries.

Data: h_{FK} is a scalar, representing the probability to go from document 1 to state F , i.e. end of search session, in the K -th query.

Data: h is a $1 \times K$ vector, representing the probability to go from document 1 of the first query to the state $(F, 1), \dots, (F, K)$, respectively.

Data: π is a $1 \times K$ vector, representing the probability of ending the search session in query $1, \dots, K$, respectively.

Data: g is a $1 \times K$ vector, representing the total gain of each query.

```
/* the average time to go from document 1 to itself is 0          */
e(1) ← 0;
```

Algorithm 1: Pseudo-code for computing the MsM measure. (Continued from the previous page and it continues on the next page.)

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/*  $\hat{P}_1$  is the same matrix as  $P$  but where the  $F$  and  $Q$  state rows
   and columns have been removed since, to compute the average time
   to move from document 1 to document  $i$ , you must assume that
   neither the search session has ended nor you have moved to the
   next query */
 $\hat{P}_1 \leftarrow P(1 : N, 1 : N)$ ;
ensure  $\hat{P}_1$  is a stochastic matrix, i.e. normalize each row so that it sums up to
1;
for  $i \leftarrow 1$  to  $N - 1$  do
  /* compute the average time to go from each document to document
      $i + 1$  ( $\mathbf{I}_i$  is the  $i \times i$  identity matrix) */
   $tmp \leftarrow \left( \mathbf{I}_i - \hat{P}_1(1 : i, 1 : i) \right)^{-1} \begin{pmatrix} 1 \\ 1 \\ \vdots \\ 1 \end{pmatrix}$ ;

  /* we are interested only in the average time to go from
     document 1 to document  $i + 1$  */
   $e(i + 1) \leftarrow tmp(1)$ ;
end

/*  $\hat{P}_2$  is the same matrix as  $P$  but where the  $F$  state rows and
   columns have been removed since, to compute the average time to
   move to the next query, you must assume that the search session
   has not ended */
 $\hat{P}_2 \leftarrow P([1 : N \ Q], [1 : N \ Q])$ ;
ensure  $\hat{P}_2$  is a stochastic matrix, i.e. normalize each row so that it sums up to
1;
/* compute the average time to go from each document to the next
   query */
 $tmp \leftarrow \left( \mathbf{I}_N - \hat{P}_2(1 : N, 1 : N) \right)^{-1} \begin{pmatrix} 1 \\ 1 \\ \vdots \\ 1 \end{pmatrix}$ ;

/* we are interested only in the average time to go from document 1
   to the next query */
 $e_Q \leftarrow tmp(1)$ ;

/* compute the probability to go from document  $i$  to state  $F$  in the
   first  $K - 1$  queries */
 $tmp \leftarrow \left( \mathbf{I}_N - P(1 : N, 1 : N) \right)^{-1} P(1 : N, F)$ ;
/* we are interested only in the probability to go from document 1
   to state  $F$  in the first  $K - 1$  queries */
 $h_F \leftarrow tmp(1)$ ;

/*  $\hat{P}_3$  is the same matrix as  $P$  but where the  $Q$  state rows and
   columns have been removed since, to compute the probability to
   go from document  $i$  to state  $F$  in the  $K$ -th query, there is not
   next query */
 $\hat{P}_3 \leftarrow P(1 : F, 1 : F)$ ;
ensure  $\hat{P}_3$  is a stochastic matrix, i.e. normalize each row so that it sums up to
1;

```

Algorithm 1: Pseudo-code for computing the *MsM* measure. (Continued from the previous page, last page.)

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/* compute the probability to go from document  $i$  to state  $F$  in the
    $K$ -th query */
 $tmp \leftarrow (\mathbf{I}_N - \hat{P}_3(1:N, 1:N))^{-1} \hat{P}_3(1:N, F);$ 
/* we are interested only in the probability to go from document 1
   to state  $F$  in the  $K$ -th query */
 $h_{FK} \leftarrow tmp(1);$ 

/* compute the probability to go from document 1 (of the first
   query) to state  $F$  of the  $j$ -th query */
for  $j \leftarrow 1$  to  $K - 1$  do
  |  $h(j) \leftarrow (1 - h_F)^{j-1} \cdot h_F;$ 
end
 $h(K) \leftarrow (1 - h_F)^{K-1} \cdot h_{FK};$ 

/* compute the probability of ending the search session in query  $j$ 
   */
 $\pi(1) \leftarrow 1;$ 
for  $j \leftarrow 2$  to  $K$  do
  |  $\pi(j) \leftarrow 1 - \sum_{l=1}^{j-1} h(l);$ 
end

/* discount each retrieved document by the average time to get to
   it */
for  $i \leftarrow 1$  to  $N$  do
  | for  $j \leftarrow 1$  to  $K$  do
    | |  $E(i, j) \leftarrow \frac{run(i, j)}{1 + e(i) + e_Q};$ 
    | | /* In case of logarithmic discount, use instead
    | |  $E(i, j) \leftarrow \frac{run(i, j)}{1 + \log_{10}(1 + e(i) + e_Q)};$ 
    | | */
  | end
end

/* compute the total gain for each query */
for  $j \leftarrow 1$  to  $K$  do
  |  $g(j) \leftarrow \pi(j) \sum_{i=1}^N E(i);$ 
end

/* compute the MsM measure */
 $MsM \leftarrow \sum_{j=1}^K g(j);$ 

```
