Privacy, freedom of expression, and the right to be forgotten in Europe

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Abstract for start of the book:

In this chapter, Stefan Kulk & Frederik Zuiderveen Borgesius discuss the relation between privacy and freedom of expression in Europe. In principle, the two rights have equal weight in Europe – which right prevails depends on the circumstances of a case. To illustrate the difficulties when balancing privacy and freedom of expression, the authors discuss the Google Spain judgment of the Court of Justice of the European Union, sometimes called the ‘right to be forgotten’ judgment. The court decided in Google Spain that people have, under certain conditions, the right to have search results for their name delisted. The authors discuss how Google and Data Protection Authorities deal with such delisting requests in practice. Delisting requests illustrate that balancing privacy and freedom of expression interests will always remain difficult.

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Abstract – In this chapter we discuss the relation between privacy and freedom of expression in Europe. In principle, the two rights have equal weight in Europe – which right prevails depends on the circumstances of a case. We use the Google Spain judgment of the Court of Justice of the European Union, sometimes called the ‘right to be forgotten’ judgment, to illustrate the difficulties when balancing the two rights. The court decided in Google Spain that people have, under certain conditions, the right to have search results for their name delisted. We discuss how Google and Data Protection Authorities deal with such delisting requests in practice. Delisting requests illustrate that balancing privacy and freedom of expression interests will always remain difficult.
1 Introduction

In this chapter we discuss the relationship between privacy and freedom of expression in Europe. The two rights have equal weight, says the European Court of Human Rights. It depends on the circumstances of a case which right should prevail.

For readers who are not familiar with the complex legal order in Europe, we introduce the Council of Europe and its European Court of Human Rights (Section 2), and the European Union and its Court of Justice (Section 3). We discuss how those two courts deal with privacy and freedom of expression. In Section 4 we illustrate the tension between the two rights with the judgment of the Court of Justice of the European Union in the Google Spain case, sometimes called the ‘right to be forgotten’ case. The court decided in Google Spain that people have, under certain conditions, the right to have search results for their name delisted.
Section 5 describes developments about delisting requests since the Google Spain case. Section 6 concludes: delisting requests illustrate that a case-by-case analysis is required when balancing privacy and freedom of expression. We can expect much more case law, providing guidance on how to strike the balance.

In this chapter, we focus mostly on how the two most important European courts deal with balancing privacy and freedom of expression. Regarding delisting requests, we focus on the Google Spain judgment and its aftermath. The right to erasure (‘to be forgotten’) in the General Data Protection Regulation, applicable from 2018, is outside the scope of this chapter. The chapter incorporates and expands ideas we developed in earlier work.

2 The Council of Europe and its European Court of Human Rights

The Council of Europe, founded in 1949 just after the Second World War, is the most important human rights organisation in Europe. It is based in Strasbourg and now has 47 Member States. In 1950, the Council of Europe adopted the European Convention on Human Rights. The Council of Europe also set up a court: the European Court of

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2 There are different traditions in Europe countries regarding the right balance between privacy and freedom of expression. See M Verpaux, Freedom of Expression (Europeans and their rights, Council of Europe 2010), p. 17-27; p. 197-199.
7 European Convention on Human Rights [1950].

2.1 Privacy

The European Convention on Human Rights contains a right to privacy (Article 8) 9 and a right to freedom of expression (Article 10). Article 8 of the Convention protects the right to private life. (In this chapter we use ‘privacy’ and ‘private life’ interchangeably. 10) Article 8 is structured as follows: interferences with the right to privacy are prohibited in principle. Yet, paragraph 2 shows that this prohibition is not absolute. In many cases the right to privacy can be limited by other interests, such as public safety, or for the rights of others, such as freedom of expression. Article 8 reads as follows.

1. Everyone has the right to respect for his private and family life, his home and his correspondence

2. There shall be no interference by a public authority with the exercise of this right except such as is in accordance with the law and is necessary in a democratic society in the interests of national security, public safety or the economic well-being of the country, for the prevention of disorder or crime, for the protection of health or morals, or for the protection of the rights and freedoms of others.

The European Court of Human Rights interprets the right to privacy generously, and refuses to define the ambit of the right. 11 The court ‘does not consider it possible or

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8 Article 19 and 34 of the European Convention on Human Rights. In exceptional situations, the Grand Chamber of the Court decides on cases (Article 30 and 43 of the European Convention on Human Rights). Judgments by the Grand Chamber have more weight than judgments of other chambers of the Court.
10 See on the difference between ‘privacy’ and ‘private life’: G González Fuster, The Emergence of Personal Data Protection as a Fundamental Right of the EU (Springer 2014) 82-84; 255.
necessary to attempt an exhaustive definition of the notion of private life.'\textsuperscript{12} The court says it takes ‘a pragmatic, common-sense approach rather than a formalistic or purely legal one.’\textsuperscript{13} The court uses a ‘dynamic and evolutive’ interpretation of the Convention, and says ‘the term “private life” must not be interpreted restrictively.’\textsuperscript{14} In several cases, the court acknowledged that people also have a right to privacy when they are in public, such as in restaurants\textsuperscript{15} or on the street.\textsuperscript{16} The court’s dynamic approach has been called the ‘living instrument doctrine’.\textsuperscript{17} The living instrument doctrine could be seen as the opposite of the US doctrine of originalism. The latter doctrine entails that the US Constitution is to be interpreted according to the original meaning that it had at the time of ratification.\textsuperscript{18} In sum, the European Court of Human Rights gives extensive protection to the right to privacy interests, but the right is not absolute.

2.2 Freedom of expression

The right to freedom of expression is protected in Article 10 of the European Convention on Human Rights. Paragraph 2 of Article 10 permits limitations on the right to freedom of expression, similar to paragraph 2 of Article 8. Hence, the right to


\textsuperscript{12} See e.g. \textit{Niemietz v Germany} App no 13710/88 (ECtHR 16 December 1992), para 29. The court consistently confirms this approach. See e.g. \textit{Pretty v United Kingdom}, App no 2346/02 (ECtHR 29 April 2002), para 61; \textit{Marper v United Kingdom} App no 30562/04 and 30566/04 (ECtHR 4 December 2008) para 66.

\textsuperscript{13} \textit{Botta v. Italy} App no 21439/93 (ECtHR 24 February 1998) para 27.

\textsuperscript{14} \textit{Christine Goodwin v United Kingdom}, App no 28957/95 (ECtHR 11 July 2002), para 74; \textit{Amann v. Switzerland}, App no 27798/95 (ECtHR 16 February 2000), para 65.

\textsuperscript{15} \textit{Von Hannover v Germany (I)}, App no 59320/00 (ECtHR 24 September 2004).


\textsuperscript{17} A Mowbray, ‘The creativity of the European Court of Human Rights’ (2005) 5(1) Human Rights Law Review 57. The court puts it as follows: ‘That the Convention is a living instrument which must be interpreted in the light of present-day conditions is firmly rooted in the Court’s case-law’ (Matthews v. United Kingdom App no 24833/94 (ECtHR 18 February 1999), para 39). The court started the ‘living instrument’ approach in: \textit{Tyrer v United Kingdom}, App no 5856/72 (ECtHR 25 April 1978) para 31.

freedom of expression may be limited ‘for the protection of the reputation or rights of others’, including the right to privacy. Article 10 reads as follows:

1. Everyone has the right to freedom of expression. This right shall include freedom to hold opinions and to receive and impart information and ideas without interference by public authority and regardless of frontiers. This Article shall not prevent States from requiring the licensing of broadcasting, television or cinema enterprises.

2. The exercise of these freedoms, since it carries with it duties and responsibilities, may be subject to such formalities, conditions, restrictions or penalties as are prescribed by law and are necessary in a democratic society, in the interests of national security, territorial integrity or public safety, for the prevention of disorder or crime, for the protection of health or morals, for the protection of the reputation or rights of others, for preventing the disclosure of information received in confidence, or for maintaining the authority and impartiality of the judiciary.

Article 10 does not only protect the ‘speaker’ but also the ‘listener’, who has the right to receive information. As the European Court of Human Rights puts it, ‘the public has a right to receive information of general interest.’ The court also notes that ‘Article 10 applies not only to the content of information but also to the means of transmission or reception since any restriction imposed on the means necessarily interferes with the right to receive and impart information.’ Moreover, ‘the internet plays an important role in enhancing the public’s access to news and facilitating the sharing and dissemination of information generally (…).’

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20 Társaság a Szabadságjogokért v Hungary, App no 37374/05 (ECHR 14 April 2009), para. 26.
21 Autronic v Switzerland App no 12726/87 (ECHR 22 May 1990), para 47.
of Human Rights highlighted in another case, ‘user-generated expressive activity on the Internet provides an unprecedented platform for the exercise of freedom of expression.’

Privacy and freedom of expression have equal weight in the case law of the European Court of Human Rights: ‘as a matter of principle these rights deserve equal respect.’ It depends on the circumstances in a particular case which right should prevail. The court has developed a large body of case law on balancing privacy and freedom of expression. The court takes a nuanced approach, taking all circumstances of a case into account.

To balance privacy and freedom of expression, the European Court of Human Rights has developed a set of criteria. For instance, expression that advances public debate receives extra protection in the court’s case law: an ‘essential criterion is the contribution made by photos or articles in the press to a debate of general interest.’ And if a publication concerns a politician or a similar public figure, rather than an ordinary citizen, the European Court of Human Rights is more likely to rule that freedom of expression outweighs privacy. The court summarises the main criteria as follows:

‘where the right to freedom of expression is being balanced against the right to respect for private life, the relevant criteria in the balancing exercise include the following elements: contribution to a debate of general interest, how well known the person concerned is, the subject of the report, the prior conduct of the person concerned, the method of obtaining the information and its veracity, the content, form and

24 Axel Springer AG v Germany App no 39954/08 (ECtHR 7 February 2012), para 87. See similarly: Von Hannover v Germany App nrs 40660/08 and 60641/08 (ECtHR 7 February 2012), para 100; Węgrzynowski and Smołczewski v Poland App no 33846/07 (ECtHR 16 July 2013), para 56. See on this balancing approach: E Barendt, ‘Balancing freedom of expression and privacy: the jurisprudence of the Strasbourg Court’ (2009) 1(1) Journal of Media Law 49.
25 M Oetheimer, Freedom of Expression in Europe: Case-law Concerning Article 10 of the European Convention of Human Rights (Council of Europe publishing 2007).
26 Axel Springer AG v Germany App no 39954/08 (ECtHR 7 February 2012), para 90.
27 Axel Springer AG v Germany App no 39954/08 (ECtHR 7 February 2012), para 91.
consequences of the publication, and the severity of the sanction imposed [on the party invoking freedom of expression].

The European Convention on Human Rights’ provisions primarily protect people against their states. States should not interfere too much in people’s lives – states thus have a negative obligation towards their citizens. For example, states may only interfere with a person’s right to freedom of expression if such an interference is proportionate and necessary in a democratic society. However, in the late 1960s the European Court of Human Rights started to recognize that the Convention could also imply positive obligations. Hence, on some occasions, states must also take action to protect people against breaches of their human rights. For instance, a state may have an obligation to protect journalists from violent attacks. If the state fails to meet that obligation, the state infringes the right to freedom of expression.

People cannot bring a claim against other people before the European Court of Human Rights. But people can complain to the court if their state does not adequately protect their rights against infringements by other people. The rights in the European Convention on Human Rights can thus have horizontal effect: the Convention protects people against human rights violations by other non-state actors.

To illustrate how the European Convention on Human Rights balances privacy and freedom of expression, we briefly discuss the 2012 Axel Springer judgment. Springer publishes the mass-circulation German daily newspaper Bild. In 2004, Bild wrote about the arrest of a well-known German actor during the Münich beer festival.

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28 Satakunnan Markkinapörssi Oy And Satamedia Oy v Finland App no 931/13 (ECtHR 21 July 2015), para 83.
31 See e.g. Gundem v Turkey App no 23144/93 (ECtHR 16 march 2000).
32 Article 34 of the European Convention on Human Rights.
(Oktoberfest) for possession of 0.23 gram of cocaine. The actor plays a Police Superintendent in a popular TV series. Bild put a picture of the actor on its front page with the text: ‘Cocaine! Superintendent [name] caught at the Munich beer festival’ (publication 1). In a later publication (2), Bild reported that the actor was convicted for cocaine possession, and was fined 18,000 euro.

The actor went to court, claiming Bild invaded his privacy. German courts decided, in several instances, that Springer violated the actor’s privacy, in short because there was no public interest in knowing about his offence. The German courts prohibited further publication of the Bild article, and ordered Springer to pay a 1000,- Euro penalty. German courts gave similar judgments regarding publication 2.

Springer went to the European Court of Human Rights, and claimed that Germany violated its right to freedom of expression. Germany and Springer agreed that Springer’s freedom of expression was interfered with, that the interference was prescribed by law, and that the aim of the interference was legitimate: protecting the reputation and privacy of the actor. But the parties disagreed on whether the interference with freedom of expression was ‘necessary in a democratic society’ (see Article 10(2) of the European Convention on Human Rights).

The European Court of Human Rights confirms, as a general principle, that freedom of expression is essential for democracy:

Freedom of expression constitutes one of the essential foundations of a democratic society and one of the basic conditions for its progress and for each individual’s self-fulfilment. Subject to paragraph 2 of Article 10, it is applicable not only to ‘information’ or ‘ideas’ that are favourably received or regarded as inoffensive or as a matter of indifference, but also to those that offend, shock or disturb. Such are the demands of pluralism, tolerance and broadmindedness without which there is no ‘democratic society’. As set forth in Article 10, freedom of expression is subject to exceptions, which must, however, be construed
strictly, and the need for any restrictions must be established convincingly.\textsuperscript{33}

The European Court of Human Rights reaffirms that freedom of expression can be limited in view of the rights of others, such as privacy. The court agrees with the German courts’ assessment that Springer’s sole interest in writing about the actor was that he was a well-known actor who was arrested. However, the court emphasises that he was arrested in public, during the Oktoberfest. The court notes that the actor had revealed details about his private life in a number of interviews. Therefore, his legitimate expectation of privacy was reduced.\textsuperscript{34} Moreover, the publications had a sufficient factual basis.

According to the court, a balancing exercise was needed between the publisher’s right to freedom of expression, and the actor’s right to privacy. The court says ‘there is nothing to suggest that such a balancing exercise was not undertaken’ by Springer. As Springer had received the information about the actor from the police, it did not have strong grounds for believing that it should preserve his anonymity. Therefore, says the court, Springer did not act in bad faith. Additionally, Springer’s publications did not ‘reveal details about [the actor’s] private life, but mainly concerned the circumstances of and events following his arrest’.\textsuperscript{35} Nor did the publications contain disparaging expression or unsubstantiated allegation.\textsuperscript{36}

Regarding the severity of the sanctions imposed on Springer, the court notes that ‘although these were lenient, they were capable of having a chilling effect on the applicant company.’\textsuperscript{37} The European Court of Human Rights concludes that Germany violated the right to freedom of expression of the publisher Springer.\textsuperscript{38} In short, Springer’s freedom of expression right prevails, in this case, over the actor’s privacy right.

\textsuperscript{33} Axel Springer AG v Germany App no 39954/08 (ECtHR 7 February 2012), para 78. Internal citations omitted.
\textsuperscript{34} ibid, para 101. Internal citations omitted.
\textsuperscript{35} ibid, para 108.
\textsuperscript{36} ibid, para 108.
\textsuperscript{37} ibid, para 109.
\textsuperscript{38} ibid, para 110-111.
In conclusion, the European Convention on Human Rights protects both privacy and freedom of expression. The Convention’s privacy and freedom of expression rights can have a horizontal effect, which means that these rights are also relevant in disputes among citizens. The European Court of Human Rights says privacy and freedom of expression are equally important.

3 The European Union and its Court of Justice

The European Union has its origin in the European Coal and Steel Community, which was formed in 1951, and the European Economic Community and European Atomic Energy Community, which were formed in 1957. These communities and other forms cooperation developed into the European Union (EU), which was formally established in 1992 by the Maastricht Treaty. The EU has grown into an economic and political partnership between 28 (soon 27\(^{39}\)) European countries. The 2007 Lisbon Treaty was the latest treaty to structurally reform the European Union.

The EU itself is not a party to the European Convention of Human Rights.\(^{40}\) However, each of the EU member states is also a member of the Council of Europe, and must thus also adhere to the Convention on Human Rights.\(^{41}\)

The Court of Justice of the European Union is one of the core EU institutions, and is based in Luxembourg.\(^{42}\) National judges in the EU can, and in some cases must, ask the Court of Justice of the European Union for a preliminary judgment concerning the interpretation of EU law.\(^{43}\) As noted, EU has its roots in economic cooperation. Until 1969 the Court of Justice of the European Union did not consider itself competent to rule on fundamental rights.\(^{44}\) Nowadays the Treaty on the European Union codifies

\(^{39}\) The United Kingdom is likely to leave the EU: the so-called Brexit.

\(^{40}\) See Opinion 2/13, ECLI:EU:C:2014:2454, in which the European Court of Justice advised against accession of the EU to the European Convention on Human Rights.


\(^{43}\) Article 19(3)(b) of the Treaty on European Union (consolidated version).

\(^{44}\) In 1969, the Court of Justice of the European Union said that ‘fundamental human rights [are] enshrined in the general principles of Community law and protected by the Court’ (Case C-29/69 Stauder v Stadt Ulm [1969] ECR 419, para 7). Also see: G González Fuster, The Emergence of Personal Data Protection as a Fundamental Right of the EU (Springer 2014) 164.
the importance of fundamental rights. Article 6(3) of the Treaty reads: ‘Fundamental rights, as guaranteed by the European Convention for the Protection of Human Rights and Fundamental Freedoms and as they result from the constitutional traditions common to the Member States, shall constitute general principles of the Union's law.’ Moreover, in 2000 the Charter of Fundamental Rights of the European Union was adopted. It lists the fundamental rights and freedoms recognized by the EU. Since the Charter became a legally binding instrument in 2009, the number of cases in which the Court of Justice of the European Union cited the Charter increased substantially. Recently the court has also given influential privacy and data protection-related judgments, such as the Google Spain judgment (see Section 3.3 below).

3.1 Privacy and freedom of expression

The Charter contains a right to privacy and a right to freedom of expression that resemble the corresponding rights in the European Convention on Human Rights. In addition to the right to privacy, the European Union Charter of Fundamental Rights contains a separate right to the protection of personal data.

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45 Article 6(3) of the Treaty on the European Union (consolidated version).
46 The phrases ‘fundamental rights’ and ‘human rights’ are roughly interchangeably. See on the slight difference: G González Fuster, The Emergence of Personal Data Protection as a Fundamental Right of the EU (Springer 2014) 164-166.
47 Article 6(1) of the Treaty on European Union (consolidated version).
50 See Article 7 (privacy) and Article 11 (freedom of expression) in the Charter of Fundamental Rights of the European Union. See Article 52 in the Charter on the possible limitations on the exercise of the Charter’s rights.
51 The European Convention on Human Rights does not explicitly protect personal data. However, many cases that concern personal data are also covered by the Convention’s right to privacy. See: P. de Hert and S. Gutwirth, ‘Data Protection in the Case Law of Strasbourg and Luxemburg: Constitutionalisation in Action’ in S. Gutwirth et al. (eds), Reinventing data protection? (Springer 2009).
3.2 Data protection law

Article 8 of the Charter of Fundamental Rights of the European Union grants people the right to protection of personal data:

1. Everyone has the right to the protection of personal data concerning him or her.

2. Such data must be processed fairly for specified purposes and on the basis of the consent of the person concerned or some other legitimate basis laid down by law. Everyone has the right of access to data which has been collected concerning him or her, and the right to have it rectified.

3. Compliance with these rules shall be subject to control by an independent authority.

Since the 1990s, the EU has played an important role in the field of data protection law. Data protection law was developed in response to the increasing amounts of personal information that were gathered by the state and large companies, typically using computers. In the 1970s, several European countries adopted data protection laws. Some of those national data protection laws contained restrictions on the export of personal data. National lawmakers wanted to prevent that their citizen’s data would be exported to countries without sufficient legal protection of personal data.

In 1981 the Council of Europe adopted the first legally binding international instrument on data protection, the Data Protection Convention. The Data Protection Convention requires signatories to enact data protection provisions in their national

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Although the European Commission had called on the member states of the European Community to ratify the Data Protection Convention in 1981, in 1990 only seven member states had done so. The Data Protection Convention left possibilities for countries to raise barriers for personal data flows at the borders. Many stakeholders feared that national authorities would stop the export of personal data to other European countries.

The EU stepped in to harmonise data protection law in the European Union, and presented a proposal for a Data Protection Directive in 1990. After five years of debate, the EU adopted ‘Directive 95/46/EC of the European Parliament and of the Council of 24 October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data.’ This Data Protection Directive is probably the most influential data privacy text in the world.

The Data Protection Directive has two aims. First: to ‘protect the fundamental rights and freedoms of natural persons, and in particular their right to privacy with respect to the processing of personal data.’ Second: to safeguard the free flow of personal data between EU member states. Under the Data Protection Directive, EU ‘Member States shall neither restrict nor prohibit the free flow of personal data between Member States for reasons connected with the protection [of personal data].’

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55 Article 4(1) of the Data Protection Convention.
57 Article 12.3 of the Data Protection Convention allows states to derogate from the prohibition of interfering with cross border data flows, in brief because of the special nature of personal data, or to avoid circumvention of data protection law.
61 Article 1(1) of the Data Protection Directive. See also article 1(2) of the General Data Protection Regulation.
62 Article 1(2) of the Data Protection Directive. See also article 1(3) of the General Data Protection Regulation.
EU data protection law grants rights to people whose data are being processed (data subjects), and imposes obligations on parties that process personal data (data controllers). The Data Protection Directive contains principles for fair data processing, comparable to the Fair Information Practice Principles.

For instance, personal data must be processed lawfully, fairly and transparently (lawfulness, fairness and transparency). Personal data that are collected for one purpose may not be used for incompatible purposes (purpose limitation). Data must be adequate, relevant and limited to what is necessary in relation to the processing purposes (data minimisation). Data must be ‘accurate and, where necessary, kept up to date; every reasonable step must be taken to ensure that personal data that are inaccurate, having regard to the purposes for which they are processed, are erased or rectified without delay’ (accuracy). Data must be ‘kept in a form which permits identification of data subjects for no longer than is necessary for the purposes for which the personal data are processed’ (storage limitation). Appropriate security of personal data must be ensured (integrity and confidentiality).

In 2018 the General Data Protection Regulation (GDPR) will replace the Data Protection Directive. While based on the same principles as the Directive, the Regulation brings significant changes. For instance, unlike a directive, a regulation has direct effect. A regulation thus does not have to be implemented in the national laws of the member states to be effective. Hence, the Regulation should lead to a

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63 Article 2(a) of the Data Protection Directive. See also article 4(1) of the General Data Protection Regulation.  
64 Article 2(d) of the Data Protection Directive. See also article 4(7) of the General Data Protection Regulation.  
67 Article 6(b) of the Data Protection Directive; Article 5(b) of the General Data Protection Regulation.  
68 Article 6(c) of the Data Protection Directive; Article 5(c) of the General Data Protection Regulation.  
69 Article 6(d) of the Data Protection Directive; Article 5(d) of the General Data Protection Regulation.  
70 Article 6(e) of the Data Protection Directive; Article 5(e) of the General Data Protection Regulation.  
71 Article 6(f) of the Data Protection Directive; Article 5(f) of the General Data Protection Regulation.  
72 Article 288 of the Treaty on the Functioning of the EU (consolidated version 2012).
more harmonised regime in the European Union.\(^{73}\) The Regulation aims to improve compliance and enforcement. Under the Regulation, Data Protection Authorities can, in some situations, impose fines for non-compliance of up to 4% of a company’s worldwide turnover.\(^{74}\)

The Charter’s right to protection of personal data and the right to privacy partly overlap. But in some respects, the right to protection of personal data has a broader scope than the right to privacy. The right to protection of personal data, and data protection law, apply as soon as personal data – any data relating to an identifiable person – are processed. Data protection law aims to ensure fairness when personal data are processed: such data must be processed ‘lawfully, fairly and in a transparent manner in relation to the data subject’.\(^{75}\) Data protection law deals with ‘information privacy’\(^{76}\) and ‘data privacy’,\(^{77}\) but it also aims, for instance, to protect people against discriminatory effects of data processing.\(^{78}\)

In some respects, privacy has a broader scope than data protection law. For example, a stalker often violates the victim’s privacy. However, if the stalker does not collect or process the victim’s personal data, data protection law does not apply.\(^{79}\)

\(^{73}\) The extent to which the Regulation will actually harmonize data protection rules is a matter of discussion, see e.g. P. Blume, ‘The Myths Pertaining to the Proposed General Data Protection Regulation’, (2014) 4(4) International Data Privacy Law 269.

\(^{74}\) Article 79 of the General Data Protection Regulation.

\(^{75}\) Article 5(1)(a) of the General Data Protection Regulation, which replaces Article 6(1)(a) of the Data Protection Directive.

\(^{76}\) DJ Solove & PM Schwartz, Information Privacy Law (Aspen 2014).

\(^{77}\) LA Bygrave, Data privacy law. An international perspective (Oxford University Press 2014).


\(^{79}\) See on the scope of privacy and data protection: G González Fuster, The Emergence of Personal Data Protection as a Fundamental Right of the EU (Springer 2014); FJ Zuiderveen Borgesius, Improving Privacy Protection in the Area of Behavioural Targeting (Kluwer Law International 2015), chapter 5, section 2; O Lynskey, The foundations of EU data protection law (Oxford University Press 2015).
In conclusion, both the European Convention on Human Rights (of the Council of Europe) and the Charter of Fundamental Rights of the European Union protect privacy and freedom of expression. The more recent Charter also explicitly protects the right to the protection of personal data.

4 The Google Spain judgment of the Court of Justice of the European Union

We now turn to the Google Spain judgment of the Court of Justice of the European Union to see how this court has applied the rights to privacy, data protection, and freedom of expression in a concrete case. The Google Spain judgment was triggered by a Spanish dispute between, on the one hand, Google, and on the other hand, Mr. Costeja González and the Spanish Data Protection Authority. Costeja González took issue with a link in Google’s search results to a 1998 newspaper announcement concerning a real estate auction to recover his social security debts. Without Google’s search engine, the newspaper announcement would probably have faded from memory, hidden by practical obscurity.

Mr. Costeja González wanted Google to delist the search result for searches on his name, because the information suggesting he had financial problems was outdated. Costeja González complained to the Spanish Data Protection Authority, which upheld the complaint against the search engine. Court proceedings commenced, and eventually a Spanish judge asked the Court of Justice of the European Union on guidance on how to interpret the Data Protection Directive.

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In *Google Spain*, the Court of Justice of the European Union states that a search engine enables searchers to establish ‘a more or less detailed profile’ of a data subject, thereby ‘significantly’ affecting privacy and data protection rights. According to the court, search results for a person’s name provide ‘a structured overview of the information relating to that individual that can be found on the internet – information which potentially concerns a vast number of aspects of his private life and which, without the search engine, could not have been interconnected or could have been only with great difficulty.’

The Court of Justice of the European Union says that search engine operators process personal data if they index, store, and refer to personal data available on the web. Moreover, the court sees search engine operators as ‘data controllers’ in respect of this processing. Data controllers must comply with data protection law. The court also reaffirms that data protection law applies to personal data that are already public.

The Data Protection Directive contains provisions that aim to balance data protection interests and freedom of expression. For example, the directive provides for an exception for data that are processed for journalistic purposes or artistic and literary expression, if ‘necessary to reconcile the right to privacy with the rules governing freedom of expression.’ But the court states that a search engine operator cannot rely on the exception in data protection law for data processing for journalistic purposes.

The court holds that people have, under certain circumstances, the right to have search results for their name delisted. This right to have search results delisted also applies to lawfully published information. The court bases its judgment on the Data Protection

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84 Case C-131/12 *Google Spain v. Agencia Española de Protección de Datos (AEPD) and Mario Costeja González*, ECLI:EU:C:2014:317, paras 37 and 80.
85 ibid, para 80.
86 ibid, para 28.
87 ibid, para 28.
88 Article 9 Data Protection Directive. See also Article 85 of the General Data Protection Regulation.
89 Case C-131/12 *Google Spain v. Agencia Española de Protección de Datos (AEPD) and Mario Costeja González*, ECLI:EU:C:2014:317, para 85. The English version of the judgment says Google ‘does not appear’ to be able to benefit from the media exception. However, in the authentic language of the judgment, Spanish, the CJEU says Google cannot benefit from the media exception. See on the media exception: D Erdos, ‘From the Scylla of Restriction to the Charybdis of Licence? Exploring the scope of the ‘special purposes’ freedom of expression shield in European data protection’, (2015) 51(1) Common Market Law Review 119.
Directive and the privacy and data protection rights of the Charter of Fundamental Rights of the European Union. More specifically, the court bases its decision on the Data Protection Directive’s provisions that grant data subjects, under certain conditions, the right to request erasure of personal data, and the right to object to processing personal data.

The Data Protection Directive grants every data subject the right to correct or erase personal data that are not processed in conformity with the directive. In *Google Spain*, the court clarifies that not only inaccurate data can lead to such unconformity, but also data that are ‘inadequate, irrelevant or no longer relevant, or excessive’ in relation to the processing purposes, for instance because the data have been stored longer than necessary. In such cases, a search engine operator must delist the result at the request of the data subject.

The *Google Spain* judgment focuses on searches based on people’s names. For instance, a search engine may have to delist an article announcing a public auction of a house at 10 Eye Street for a search for ‘John Doe’ who is mentioned in the article. But after a successful delisting request of John Doe, the search engine can still legally refer to the same article when somebody searches for ‘10 Eye Street’. Making a publication harder to find, but only for searches based on a name, reintroduces some practical obscurity: the information is still available, but not as easily accessible in relation to the person’s name.

The Court of Justice of the European Union says in *Google Spain* that a ‘fair balance’ must be struck between the searchers’ legitimate interests, and the data subject’s privacy and data protection rights. However, the court says that the data subject’s

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90 Case C-131/12 *Google Spain* v. *Agencia Española de Protección de Datos (AEPD) and Mario Costeja González*, ECLI:EU:C:2014:317, para 99.
91 Articles 12(b) and 14(a) of the Data Protection. See Articles 15, 17, and 21 of the General Data Protection Regulation.
92 Article 12(b) and 14(a) of the Data Protection Directive. See also Article 16-19 of the General Data Protection Regulation.
93 Case C-131/12 *Google Spain* v. *Agencia Española de Protección de Datos (AEPD) and Mario Costeja González*, ECLI:EU:C:2014:317, para 93.
95 ibid, para 81.
privacy and data protection rights override, ‘as a rule’, the search engine operator’s
economic interests, and the public’s interest in finding information.96 With that ‘rule’,
it seems that the Court of Justice of the European Union takes a different approach
than the European Court of Human Rights, which says that freedom of expression and
privacy have equal weight.

But the Court of Justice of the European Union also stresses that data subjects’ rights
should not prevail if the interference with their rights can be justified by the public’s
interest in accessing information, for example, because of the role played by the data
subject in public life. This approach resembles the approach of the European Court of
Human Rights when balancing privacy and the freedom of expression. As mentioned,
the European Court of Human Rights considers how well-known the person is about
whom a publication speaks. Public figures such as politicians must accept more
interference with their privacy than ordinary citizens.

When a search engine operator delists a search result, freedom of expression may be
interfered with in at least three ways.97 First, those offering information, such as
publishers and journalists, have a right to freedom of expression. As noted, the right
to freedom of expression protects not only the expression (such as a publication), but
also the means of communicating that expression.98 Therefore, if the delisting makes
it more difficult to find the publication, the freedom to impart information is
interfered with.99 Second, search engine users have a right to receive information.
Third, a search engine operator exercises its freedom of expression when it presents
its search results; an organised list of search results could be considered a form of
expression.100

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96 ibid, para 99.
97 JVJ van Hoboken, Search Engine Freedom. On the Implications of the Right to Freedom of
98 Autronic v Switzerland App no 12726/87 (ECtHR 22 May 1990), para 47.
99 JVJ van Hoboken, Search Engine Freedom. On the Implications of the Right to Freedom of
100 Case C-131/12 Google Spain v. Agencia Española de Protección de Datos (AEPD) and Mario
the Implications of the Right to Freedom of Expression for the Legal Governance of Web Search
Engines (Kluwer Law International 2012) 351. In the United States, some judges have granted search
engines such freedom of expression claims (on the basis of the First Amendment of the US
In *Google Spain*, the court mainly applied the Data Protection Directive, and gave little attention to the extensive case law on balancing privacy and freedom of expression of the European Court of Human Rights.

The *Google Spain* judgment was controversial. Many feared that freedom of expression would receive insufficient protection after the judgment. The NGO Index on Censorship said: ‘The Court’s decision (...) should send chills down the spine of everyone in the European Union who believes in the crucial importance of free expression and freedom of information.’ 101 Others welcomed the judgment. 102

In sum, the Court of Justice of the European Union recognised a right to be delisted. The right to be delisted requires from search engine operators that they delist, at the request of a data subject, outdated search results for name searches. But national courts and data protection authorities must decide on actual delisting requests. In the next section, we discuss how Google, Data Protection Authorities, and courts deal with delisting requests after the *Google Spain* judgment.

5 After the *Google Spain* judgment

5.1 Google


public’s interest to know and the right to distribute information.’" Google will look at ‘whether the results include outdated information about you, as well as whether there’s a public interest in the information — for example, we may decline to remove certain information about financial scams, professional malpractice, criminal convictions, or public conduct of government officials.’ Between fifty and one hundred people are working fulltime at Google to deal with delisting requests. 

As of January 2017, Google received over 680,000 requests and has evaluated more than 1.8 million URLs. Google has delisted roughly 43% of those URLs. The top ten sites impacted by delisting requests include Facebook, YouTube, Twitter, and Profile Engine (a site that crawls Facebook).

Google gives 23 examples of how it dealt with delisting requests. Examples of granted requests, quoted from Google, include:

- An individual who was convicted of a serious crime in the last five years but whose conviction was quashed on appeal asked us to remove an article about the incident.
- A woman requested that we remove pages from search results showing her address.
- A victim of rape asked us to remove a link to a newspaper article about the crime.
- A man asked that we remove a link to a news summary of a local magistrate’s decisions that included the man’s guilty verdict. Under the UK Rehabilitation of Offenders Act, this conviction has been spent.

Hence, in all these cases Google delisted the search result for the individual’s name. Indeed, delisting seems appropriate in these cases.

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105 Ibid.
106 As reported by Peter Fleischer, Google’s Global Privacy Counsel, at the Privacy & Innovation Conference at Hong Kong University, 8 June 2015, <www.lawtech.hk/pni/?page_id=11> accessed 31 January 2017.
108 Ibid.
Examples of denied requests include:

- We received a request from a former clergyman to remove 2 links to articles covering an investigation of sexual abuse accusations while in his professional capacity.
- An individual asked us to remove a link to a copy of an official state document published by a state authority reporting on the acts of fraud committed by the individual.
- An individual asked us to remove links to articles on the internet that reference his dismissal for sexual crimes committed on the job.\(^{109}\)

In all these cases, Google denied the request. Again, that seems appropriate.

The examples suggest that Google does a reasonable job when dealing with delisting requests. However, Google could be more transparent about how it deals with delisting requests. As noted, Google delisted almost 700,000 URLs. It is unclear whether those URLs concerned news articles, blog posts, or revenge porn. We do not know whether requests mainly come from ordinary citizens, politicians, or criminals.\(^ {110}\)

5.2 Data Protection Authorities

The EU’s national Data Protection Authorities cooperate in the Article 29 Working Party, an advisory body.\(^ {111}\) The Working Party published guidelines on the implementation of the Google Spain judgment. The Working Party says that ‘[i]n practice, the impact of the de-listing on individuals’ rights to freedom of expression

\(^{109}\) ibid.

\(^{110}\) According to research by Tippmann and Powles, 98% of the delisting requests were from ordinary citizens. This percentage would suggest that the right to be delisted satisfies a real privacy need. S Tippmann and J Powles, ‘Google accidentally reveals data on ‘right to be forgotten’ requests, <www.theguardian.com/technology/2015/jul/14/google-accidentally-reveals-right-to-be-forgotten-requests> accessed 31 January 2017.

and access to information will prove to be very limited.\textsuperscript{112} Nevertheless, Data Protection Authorities ‘will systematically take into account the interest of the public in having access to the information.’\textsuperscript{113} The Working Party also called on search engine operators to be transparent about their decisions: ‘the Working Party strongly encourages the search engines to publish their own de-listing criteria, and make more detailed statistics available.\textsuperscript{114}

The Working Party developed a set of criteria to help Data Protection Authorities to assess, on a case-by-case basis, whether a search engine operator should delist a search result. The Working Party states that ‘[i]t is not possible to establish with certainty the type of role in public life an individual must have to justify public access to information about them via a search result.’\textsuperscript{115} Nevertheless, the Working Party says that ‘politicians, senior public officials, business-people and members of the (regulated) professions’ can usually be considered to play a role in public life. Regarding minors, the Working Party notes that Data Protection Authorities are more inclined to delist results.\textsuperscript{116} Data Protection Authorities are also more likely to intervene if search results reveal ‘sensitive data.’\textsuperscript{117} The criteria developed by the Working Party offer guidance to both search engines and Data Protection Authorities when they decide on de-listing requests.\textsuperscript{118}

5.3 Open questions

Below we discuss some open questions regarding delisting requests after \textit{Google Spain}.

\begin{itemize}
\item Article 29 Working party, 14/EN WP 225 (2014) 2 and 6.
\item ibid, 2.
\item ibid, 3 and 10.
\item ibid, 13.
\item ibid, 15.
\item See on sensitive data: Section 5.3.2.
\end{itemize}
5.3.1 Public registers and open data

The Court of Justice of the European Union must decide on a case related to delisting requests and a public register. The Italian Supreme Court of Cassation asked the Court of Justice of the European Union advice in a case regarding the official commercial register (with information on companies). A man asked the commercial register to anonymise the file regarding a company, of which he was the sole director, that went bankrupt in 1992.

While the Court of Justice of the European Union has not yet given a decision, the Advocate General – the official advisor the court – did give his opinion. The Advocate General says, in short, that personal data in a commercial register remain relevant, and do not have to be removed. The information in the register serves legal certainty and the functioning of the market and must provide full, quick, and transparent access to all information regarding companies that are or were active on the market. According the Advocate General, privacy and data protection rights do not require that data in commercial registries be deleted.

The coming years, we can expect many types of personal data to be made accessible and online. For instance, through open data initiatives, public registers that used to be protected by practical obscurity, are sometimes published on the web. If a public register is published online, its data can be collected and republished by data brokers, journalists, search engines, and others. Such data re-use can serve important goals, such as fostering transparency, innovation, and public sector efficiency. However, data re-use can also threaten privacy. Difficult questions regarding the balance between privacy and the freedom to impart and receive information are inevitable.

5.3.2 Sensitive data

The Google Spain judgment has caused a problem regarding search engine operators and ‘special categories of data’. Such special categories of data are ‘personal data

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119 Case C-398/15 Camera di Commercio, Industria, Artigianato e Agricoltura di Lecce v Salvatore Manni, Opinion of AG Bot.
revealing racial or ethnic origin, political opinions, religious or philosophical beliefs, trade-union membership, and the processing of data concerning health or sex life.\textsuperscript{121} Regarding data relating to offences and criminal convictions, the Data Protection Directive states that processing ‘may be carried out only under the control of official authority, or if suitable specific safeguards are provided under national law, subject to derogations which may be granted by the Member State under national provisions providing suitable specific safeguards.’\textsuperscript{122} All these categories of data receive extra protection in the Data Protection Directive, because such data ‘are capable by their nature of infringing fundamental freedoms or privacy’.\textsuperscript{123} For brevity, we refer to ‘sensitive data’, rather than to special categories of data.

As noted, the Court of Justice of the European Union chose to see search engines operator as data controllers when they index, store, and refer to personal data on websites. That choice has caused a problem with sensitive data.

The Data Protection Directive only allows personal data processing if the controller can rely on a legal basis for processing.\textsuperscript{124} In \textit{Google Spain}, the Court of Justice of the European Union ruled that, for the processing at issue, a search engine could rely on the legitimate interests provision.\textsuperscript{125} This provision, also called the balancing provision, permits processing if the controller’s legitimate interests, or those of a third party, outweigh the data subject’s fundamental rights.

However, this balancing provision does not apply to processing sensitive data. The processing of sensitive data is only allowed after the data subject gave his or her explicit consent – unless a specified exception applies.\textsuperscript{126} (In some member states,

\begin{itemize}
    \item Art. 8(1) of the Data Protection Directive. See also article 9(1) of the General Data Protection Regulation.
    \item Article 8(5) of the Data Protection Directive. See also article 10 of the General Data Protection Regulation.
    \item Recital 33 of the Data Protection Directive.
    \item Article 8(2) of the Charter of Fundamental Rights of the European Union; Article 7 of the Data Protection Directive; article 6 of the General Data Protection Regulation.
    \item Article 7(f) of the Data Protection Directive. Case C-131/12 \textit{Google Spain v. Agencia Española de Protección de Datos (AEPD) and Mario Costeja González}, ECLI:EU:C:2014:317, para 73.
    \item Article 8 of the Data Protection Directive. See also article 9 of the General Data Protection Regulation.
\end{itemize}
data subjects cannot override the in-principle prohibition of processing sensitive data by giving their explicit consent.\textsuperscript{127})

In practice, many websites that are indexed by a search engine may include sensitive data. For example, a website might include a picture of an identifiable person in a wheelchair: personal data concerning that person’s health. And the website of a Catholic choir may include a member list: personal data indicating religion.

Because processing sensitive data requires explicit consent, a search engine operator would need the data subject’s explicit consent for processing web pages that include such data. Asking hundreds of millions of people for their consent would be impossible. The Data Protection Directive contains exceptions to the in-principle prohibition of processing sensitive data, such as exceptions for churches and for the medical sector. But in many cases, search engine operators cannot rely on any of the exceptions.\textsuperscript{128}

Therefore, it seems that a search engine operator’s practices are, formally, partly illegal – when the operator processes sensitive data included on web pages, and there are no exceptions that apply. That formal illegality is a side-effect of the choice of the Court of Justice of the European Union to see a search engine operator as a controller regarding the processing of personal data on third party web pages.

To avoid that search engine activities would be rendered partly illegal, the Advocate General in \textit{Google Spain} did not want to regard a search engine operator as a controller regarding the processing of personal data made available on third party web pages.\textsuperscript{129} In contrast, the Court of Justice of the European Union did not explicitly consider consequences of seeing search engine operators as data controllers. After the


\textsuperscript{129} Case C-131/12 \textit{Google Spain v. Agencia Española de Protección de Datos (AEPD) and Mario Costeja González, Opinion of AG Jääskinen, para 90.}
Google Spain judgment, Google, Data Protection Authorities, and courts have solved the sensitive data problem by ignoring it.

But a 2016 judgment by a Dutch lower court illustrates how this sensitive data problem may play out. An attorney submitted a delisting request to Google, regarding a blog post about a criminal conviction of the attorney in another country. Under Dutch law, personal data regarding criminal convictions are sensitive data. The court held that Google could not link to the blog post, because the post contained sensitive data. Google had not asked the attorney for his consent for referring to the webpage, and therefore Google could not legally link to the blog post. Google has appealed the decision. Hopefully the Dutch Court of Appeals can mitigate the sensitive data problem.

However, a Europe-wide solution must be found for the sensitive data problem. In a future case concerning the processing of personal data, the Court of Justice of the European Union may use the opportunity to re-interpret data protection law’s provisions on sensitive data. Otherwise, the EU legislator must take action. One possibility would be to introduce a new exception to the in-principle prohibition of processing sensitive data, specifically for search engines. However, it does not seem plausible that the EU lawmaker will revise the General Data Protection Regulation anytime soon.

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130 Article 16 of the Dutch Data Protection Act.
The territorial aspects of the right to be delisted are contentious. Google chose to delist search results only on its European domains (e.g. google.de or google.fr). Hence, Google did not delist search results on its google.com domain.

The Article 29 Working Party, however, says that ‘limiting de-listing to EU domains on the grounds that users tend to access search engines via their national domains cannot be considered a sufficient mean to satisfactorily guarantee the rights of data subjects according to the [Google Spain] ruling.’

On the one hand, it is difficult to defend that the domain name of a search engine website should be the main factor when deciding which national law applies. If such reasoning were adopted, a search engine operator could easily escape the application of national laws by opting for a particular domain name. On the other hand, delisting search results from non-EU domain names may interfere with the right to receive information of citizens of states outside the EU. It is thus understandable that Google – a company from the US, where freedom of expression is strongly protected – does not want to delist search results on its .com domain.

Extraterritorial effects of the right to be delisted are currently addressed in proceedings of the French Data Protection Authority, Commission Nationale de l'Informatique et des Libertés (CNIL) against Google. In 2015, CNIL demanded that Google delisted results not only for searches on their European domains such as Google.fr, but on all its domains, including google.com. According to CNIL, ‘in order...’

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134 See: J Powles, ‘The case that won’t be forgotten’, 47 Loyola University Chicago Law Journal 583, 596.
135 Article 29 Working party, 14/EN WP 225 (2014) 3.
136 Y Fouad, ‘Reikwijdte van het Europese dataprotectierecht na Google Spanje: wat is de territoriale werkingssfeer en wordt eenieder beschermd?’ ['Scope of the European data protection law after Google Spain: what is the territorial scope and is everyone protected?'], Master thesis, Institute for Information Law (University of Amsterdam), on file with authors.
to be effective, delisting must be carried out on all extensions of the search engine.'\textsuperscript{137} Google filed an appeal, but CNIL insisted that without such world-wide coverage the right to be delisted can easily be circumvented.\textsuperscript{138}

Google did not comply. CNIL responded by starting formal proceedings against Google. In the meantime, Google implemented geo-location technology to ensure search results are delisted on Google domains if these domains are accessed from the country of the data subject.\textsuperscript{139} To illustrate: an internet user with an IP address from France would not see delisted search results on Google.com. An internet user with an IP address from the US would see all search results, including results that were delisted in France. Google’s geo-blocking solution seems to be a reasonable compromise.

CNIL, however, was not satisfied, as the delisted results would still be available to search engine users outside France. Moreover, people could circumvent the geo-block by using a virtual private network, which enables people to access a website using a foreign IP-address.\textsuperscript{140} CNIL fined Google 100.000 Euros. Google appealed the decision with the French Conseil d’État – the highest administrative court in France.\textsuperscript{141} At the time of writing, the Conseil d’État has not decided on the issue yet.

6 Concluding thoughts

The Google Spain judgment of the Court of Justice of the European Union illustrates how, under European law, freedom of expression can be limited by privacy and data protection rights. Privacy and data protection rights require that, under certain conditions...


conditions, search engine operators must delist outdated search results for name searches, if the relevant individual requests delisting. Yet, when assessing whether a search result must be delisted, search engine operators, Data Protection Authorities and national courts must also consider the extent to which delisting affects freedom of expression. Searchers have the right to receive information, and publishers, bloggers, journalists etc. have the right to impart information. National courts and Data Protection Authorities must therefore consider all relevant facts and circumstances and decide on a case-by-case basis whether a particular search result should be delisted for name searches.

In principle, privacy and freedom of expression have equal weight in Europe – which right prevails depends on the circumstances of a case. Balancing privacy-related and freedom of expression-related interests will always remain difficult. A case-by-case analysis is required, and all circumstances of a case should be taken into account. The Court of Justice of the European Union gave limited guidance as to when a search result should be delisted. But, the European Court of Human Rights has developed rich and nuanced case law on balancing privacy and freedom of expression, in which it provides a list of criteria to determine the right balance. We can expect much more case law on delisting requests. More case law will hopefully lead to more guidelines for deciding delisting requests.

The case of the right to be forgotten shows how search engines have made information about people more readily available. The right to be forgotten discussion is part of a broader discussion on how to balance different rights and interests when personal information is accessible online. Similar questions arise with regard to, for instance, open data initiatives, and online archives of newspapers or case law. The discussion on the right to be forgotten is only the beginning of how the right to privacy and freedom of expression should be weighed in the online world.

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