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Chronic stress effects on hippocampal structure and synaptic function: relevance for depression and normalization by anti-glucocorticoid treatment

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INTRODUCTION

Environmental challenges imposed on an individual are generally perceived and processed via the brain. This can lead to activation of paraventricular neurons in the hypothalamic paraventricular nucleus (see for reviews de Kloet et al., 2005; Ulrich-Lai and Herman, 2009), causing stimulation of the autonomic nervous system and the hypothalamo–pituitary–adrenal (HPA) axis. Consequently, enhanced levels of (nor) adrenaline and corticosteroid hormones (corticosterone in most rodents and cortisol in humans) are released into the circulation. These two hormonal systems are instrumental in producing and replenishing energy resources that enable the organism to cope with the immediate challenge, while at the same time they suppress functions for which there is no immediate need (McEwen, 2007).

The brain is an important target organ for corticosteroids (reviewed in de Kloet et al., 2005). Both the high-affinity mineralocorticoid receptor (MR) and the lower affinity glucocorticoid receptor (GR) are highly expressed in specific brain regions, including important limbic areas such as the CA1 hippocampus, dentate gyrus, and basolateral amygdala (BLA). In interaction with other stress hormones (e.g., noradrenaline and corticotrophin releasing hormone), corticosteroids alter neuronal activity in areas that play a key role in attention, vigilance, selection of the appropriate behavioral strategy, and in the storage of memories for future use (Joëls and Baram, 2009). This can be seen as the central contribution to the general stress response, overall resulting in successful behavioral adaptation.

Exposure of an organism to environmental challenges activates two hormonal systems that help the organism to adapt. As part of this adaptational process, brain processes are changed such that appropriate behavioral strategies are selected that allow optimal performance at the short term, while relevant information is stored for the future. Over the past years it has become evident that chronic uncontrollable and unpredictable stress also exerts profound effects on structure and function of limbic neurons, but the impact of chronic stress is not a mere accumulation of repeated episodes of acute stress exposure. Dendritic trees are reduced in some regions but expanded in others, and cells are generally exposed to a higher calcium load upon depolarization. Synaptic strengthening is largely impaired. Neurotransmitter responses are also changed, e.g., responses to serotonin. We here discuss: (a) the main cellular effects after chronic stress with emphasis on the hippocampus, (b) how such effects could contribute to the development of psychopathology in genetically vulnerable individuals, and (c) their normalization by brief treatment with anti-glucocorticoids.

Keywords: hippocampus, neurogenesis, LTP, chronic stress, depression

The mechanism by which these behavioral effects of corticosteroid hormones are achieved has in part become evident from electrophysiological studies over the past two decades (reviewed in Joëls et al., 2007). In short, exposure to high levels of corticosteroids directly after stress quickly raises neuronal activity in hippocampal cells, on the one hand by promoting synaptic strengthening as demonstrated with paradigms inducing long-term potentiation (LTP; Wiegert et al., 2006), on the other hand by enhancing the frequency of mEPSCs and glutamate release probability (Karst et al., 2005; Olijslagers et al., 2008). The latter rapid, non-genomic actions require expression of MRs. At the same time, a slower, gene-mediated cascade is started via GR-dependent pathways, a cascade that will affect neuronal properties some hours later, i.e., by the time that the rapid effects have subsided. In CA1 neurons, these slow GR-dependent effects mostly attenuate information transfer – e.g., by enhancing cell firing frequency accommodation and hampering the induction of LTP (Joëls and de Kloet, 1989; Kerr et al., 1989; Kim and Diamond, 2002) – thus preserving earlier encoded information and normalizing activity to the pre-stress level. Yet, there seems to be a substantial regional specificity for GR-signaling pathways. For instance, dentate granule cells appear to be relatively resistant to slow GR-mediated signaling (Karst and Joëls, 2003; Van Gemert et al., 2009); principal neurons in the BLA can even display enhanced excitability some hours after stress (Duvarci and Paré, 2007; Liebmann et al., 2008). The delayed GR-mediated excitatory effects in the BLA may in part develop secondary to a rapid-onset MR-dependent enhancement of glutamatergic transmission.
Van Leuner et al., 2006; Oomen Lucassen, 2006; Munafo et al., 2009; Buchanan and Lovallo, 2001; Smeets et al., 2019.

While activation of stress systems in response to a single challenge helps to restore homeostasis and is thus highly adaptive, repeated exposure to stressful situations – particularly when these are unpredictable, uncontrollable and/or taking place at vulnerable periods in life – can introduce a considerable risk for psychopathology, especially in genetically predisposed individuals. For instance, the chance to develop an episode of depression in people carrying two alleles of the serotonin transporter s-type gene variant increases with the number of stressful events they have experienced throughout life, whereas l-type gene variant carriers are relatively protected against depression under adverse life conditions (Caspi et al., 2003; but see Munafò et al., 2009). Similarly, carriers of the (gain of function) GR Bc/1 polymorphism are more likely to develop depression over the course of their life (reviewed by Maneschiñ et al., 2009). Conversely, carriers of the GR loss-of-function ER22/23EK variant were reported to respond more quickly to antidepressants (Van Rossum et al., 2009).

There is ample evidence that cumulative stress exposure in life indeed forms a risk factor for the precipitation of depression; the functionality of the HPA-axis and glucocorticoids play a key role in this process (see for reviews de Kloet et al., 2005; Holsboer and Ising, 2010). For instance, high-risk proband of individuals with depression were found to exhibit hyper-reactivity of the HPA-axis prior to the onset of clinical symptoms. Also, many individuals with depression have elevated 24-h corticosterone levels and escape dexamethasone-induced suppression of the HPA-axis; normalization of these parameters generally precedes the relief of depressive symptoms, and the degree of HPA-axis normalization in turn predicts the relapse probability. Finally, anti-glucocorticoids given (as adjunct to antidepressants) to patients with psychotic depression accelerate and increase chances on successful treatment (DeBattista and Belanoff, 2006), provided that the anti-glucocorticoids levels are very high (Blasey et al., 2009).

Given the documented role of the HPA-axis and glucocorticoids in the etiology of depression, one may wonder how overexposure to stress and corticosteroids changes the structure and function of brain cells in such a way that an individual becomes more vulnerable to depression. Is the impact of chronic stress a mere accumulation of what is seen after acute stress exposure? To get a better understanding of the mechanisms that may contribute to the development of depression one could examine how (prolonged) stress changes cellular structure and function in key areas involved in depression and how this correlates with depressive-like symptoms such as learned helplessness and anhedonia in rodent models (Henn and Vollmayr, 2005). In this review we will summarize the main effects observed after prolonged corticosterone treatment or stress exposure on both the structure and function of neurons in the rodent hippocampus, an area of interest for the etiology of depression. The rodent chronic stress model allows indepth investigation of these cellular endpoints (which is presently not possible in humans) and is generally regarded to be relevant to the situation of accumulating stress exposure throughout life in humans. Next, we will discuss how these cellular changes could contribute to the development of mood disorders and how they can be relieved by brief treatment with anti-glucocorticoids.

**DEPRESSION, CHRONIC STRESS, AND STRUCTURAL PLASTICITY**

Recent studies have identified alterations in structural plasticity that may contribute to the etiology of depression (Castrén, 2006; Lucassen et al., 2010b). One of the most consistent findings is that hippocampal volume is reduced by approximately 10–15% in depression (reviewed in Sapolsky, 2000; Czech and Lucassen, 2007). Several structural substrates can explain the hippocampal volume loss, ranging from glial changes, reduced neurogenesis, dendritic atrophy, or shifts in fluid balance. Massive cell loss, though, is not a likely explanation for the reductions in hippocampal volume since many of the stress-induced structural changes are transient and disappear after recovery (Pham et al., 2003; Heine et al., 2004a). The following paragraphs will address how prolonged stress affects structural plasticity. In particular we will address the effects of prolonged stress and exposure to corticosterone hormones on neurogenesis – which is required for the behavioral effects of antidepressants in animal studies – and changes in dendritic complexity.

**CHRONIC STRESS AND ADULT HIPPOCAMPAL NEUROGENESIS**

Adult neurogenesis refers to the birth of new neurons in the adult brain that occurs in most vertebrate species including humans (Gould, 2007; Zhao et al., 2008). Similar to embryonic development, neurogenesis in the adult hippocampus involves a multi-step process starting with the proliferation of progenitor cells, followed by morphological and physiological maturation and finally functional integration into the hippocampal network. Although the exact functional role of the newborn granular neurons is unclear, numerous reports suggest that adult neurogenesis is involved in the acquisition of spatial learning, in pattern separation and in anxiety (Aimone et al., 2006; Dupret et al., 2008; Clelland et al., 2009; Garthe et al., 2009; Jessberger et al., 2009; Revest et al., 2009).

Stress is a potent inhibitor of adult neurogenesis; consistently, psychosocial and/or physical stressors all inhibit one or more phases of the neurogenic process (Leuner et al., 2006; Oomen et al., 2007; Leuner and Gould, 2010). Both brief unpredictable stress exposure and chronic mild unpredictable stress potently suppress proliferation (see Figure 1). In addition, prolonged mild stress exposure inhibits the survival of newborn cells (Lucassen et al., 2010a). The origin of these stress-induced reductions in proliferation can be diverse, ranging from, e.g., apoptosis of progenitor cells to cell cycle arrest. Apoptosis (not only of newborn cells) is indeed affected by stress (Heine et al., 2004a). Thus, 1 day after brief unpredictable stress a reversible reduction in proliferation is paralleled by a reversible increase in the numbers of apoptotic cells (Heine et al., 2004a), suggesting enhanced turnover of granular cells. One day following chronic mild stress, both proliferation and apoptosis appear to be reduced in the granular cell layer (Heine et al., 2004a). Overall, these effects of prolonged stress are also reversible (Heine et al., 2004a). In parallel with these effects of prolonged stress exposure on apoptosis and proliferation, an increase of the cell cycle inhibitor p27Kip1 occurs in the dentate...
At the same time, several examples exist of a persistent and lasting inhibition of adult neurogenesis after a brief stressor, despite the later normalization of glucocorticoid levels. These findings suggest that glucocorticoids may be involved in the initial suppression of cell proliferation, particularly in early life when adult neurogenesis is abundant, but that they are not always necessary for the maintenance of this effect.
A large number of other factors may also mediate stress-induced inhibition of adult neurogenesis. For instance, NMDA receptors, which have been identified on early progenitor cells, may be involved (Nacher and McEwen, 2006) since blocking NMDA-receptors prevents the corticosterone-induced decrease in proliferating cells (Cameron et al., 1998). Stress is also known to affect the levels of various neurotransmitters such as GABA, serotonin, noradrenaline, dopamine, cannabinoids, opioids, nitric oxide, and several neu- ropetptides that have been reported to regulate adult neurogenesis (see Lucassen et al., 2010a). Furthermore, stress reduces the expression of a number of growth and neurotrophic factors that all can influence neurogenesis. Moreover, the proximity of the precursors to blood vessels suggests a strong interaction with the vasculature and it is this population that is particularly sensitive to prolonged mild stress (Heine et al., 2005). Also astrocytes, which support the survival of developing neurons, possess GRs and are significantly affected by prolonged psychosocial stress (Czéh et al., 2006).

Postmortem studies on the hippocampus of depressed patients have generally found reductions in proliferating cells (Reif et al., 2006; Lucassen et al., 2010b). Although reductions in neurogenesis may be characteristic for depression, it is unknown whether it is a cause, correlate, or consequence of the disorder (Kemperman and Kronenberg, 2003). In this respect it is of interest that a recent paper showed that reductions in neurogenesis can cause increases in stress hormone levels and HPA-axis activity rather than the other way around (Schloesser et al., 2009). In monkeys too, hippocampal volume appears predictive for stress responsivity (Lyons et al., 2007). Early life environment is also important, because in women with depression only those with a history of stress early in life exhibited a decreased hippocampal volume (Heim et al., 2008). Interestingly, perinatal stress in rodents affects critical periods of brain development and alters structural (including neurogenesis), emotional, and neuroendocrine parameters in adult offspring in a specific and persisting manner that depends very much on the type and timing of stress (Lemaire et al., 2000; Bredy et al., 2003; Fenoglio et al., 2006; Oomen et al., 2009, 2010). As such, a reduced rate in neurogenesis may be a vulnerability factor for depression.

CHRONIC STRESS AND DENDRITIC COMPLEXITY

In addition to the robust effects on neurogenesis, prolonged exposure to stress also dynamically regulates dendritic complexity. These stress-induced changes in dendritic structure are not only confined to the hippocampus but can also be observed in amygdala and prefrontal cortex. Moreover, stress can both increase and decrease dendritic complexity, depending on the brain area.

Stress-induced hippocampal structural plasticity has been reported in particular in the hippocampal CA3 area. Overall, prolonged exposure to glucocorticoids and prolonged psychosocial stress does not reduce cell number (Bodnoff et al., 1995; Vollmann-Honsdorf et al., 1997; Tata et al., 2006), but does cause a small reduction in total hippocampal CA3 volume (Czéh et al., 2001; Sheline et al., 2003; Heine et al., 2004a; Stewart et al., 2005). Moreover, there is evidence that glial processes make up a larger proportion of the tissue after exposure to prolonged psychosocial stress and glucocorticoid exposure (Czéh et al., 2006; Tata et al., 2006; Tata and Anderson, 2010). At the structural level, many studies have reported that prolonged exposure to glucocorticoids and stress reduces apical (but not basal) dendritic complexity and length of CA3 pyramidal neurons (Wooley et al., 1990; McEwen, 2000). Various types of chronic stress (repeated restraint stress, prolonged mild stress) resulted in similar changes in different species (Watanabe et al., 1992; Magarinos and McEwen, 1995; McKittrick et al., 1995, 2000; Magarinos et al., 1996; Galea et al., 1997). These changes in hippocampal dendritic morphology need several weeks to develop (Magarinos and McEwen, 1995), and the delay rather than the continuous presence of elevated corticosteroid levels seems to be important for these effects to occur (Magarinos and McEwen, 1995; Kole et al., 2004). Stress-and glucocorticoid-induced remodeling of dendritic structure can be prevented by the anti-epileptic drug phenytoin implying that excitatory amino acids are critically involved in these effects (McEwen, 2000). Other neurotransmitters that have been implicated in stress-induced remodeling include serotonin and GABA (McEwen, 2000). Recent evidence also points to a critical role for tissue plasminogen activator (Pawlak et al., 2005) and brain-derived neurotrophic factor (Magarinos et al., 2010).

In addition to changes in the apical dendritic tree, prolonged restraint stress or glucocorticoid exposure also leads to a loss of mossy fiber synapses (Sousa et al., 2000; Sandi et al., 2003; Tata et al., 2006). These changes at the synaptic level are accompanied by the retraction of thorny excrescences (Stewart et al., 2005). Presynaptically, prolonged stress/glucocorticoid exposure causes a rearrangement of synaptic mitochondria and vesicles, with more densely packed vesicle clusters localized close to the active zones (Magarinos et al., 1997). Taken together this indicates that prolonged exposure to chronic stress and glucocorticoids markedly change both pre- and post-synaptic structural structure.

The morphological changes in other hippocampal subfields upon exposure to prolonged chronic stress are less well studied. Prolonged activity-induced stress or corticosterone administration did show dendritic retraction of CA1 pyramidal neurons in some (Lambert et al., 1998; Sousa et al., 2000) but not in all studies (Wooley et al., 1990; Alfaz et al., 2008). Interestingly, brief exposure to elevated corticosteroid hormone levels does reduce apical CA1 dendritic complexity in animals that were exposed to prolonged mild stress (Alfaz et al., 2008; see Figure 2) indicating that prolonged stress renders these cells more sensitive to acute stress; this sensitivity to glucocorticoids was also observed in tissue from young animals (Alfaz et al., 2009). At the synaptic level, prolonged corticosteroid exposure decreases the number of spines on CA1 pyramidal neurons via a mechanism that requires tissue plasminogen activator (Pawlak et al., 2005).

Chronic stress not only affects hippocampal morphology. The prefrontal cortex and amygdala also appear to be remarkably sensitive to stress. Both brief and long-term exposure to restraint stress and glucocorticoid exposure elicit dendritic retraction of layer II/III pyramidal neurons in the medial prefrontal cortex (mPFC) (Wellman, 2001; Seib and Wellman, 2003; Cook and Wellman, 2004; Brown et al., 2005; Izquierdo et al., 2005; Liston et al., 2006; Radley et al., 2006; Hains et al., 2009). Interestingly, there is a dichotomy in the effects of stress on structural plasticity in the prefrontal cortex since dendritic complexity of pyramidal cells in the orbital frontal cortex – which play a role in reversal learning – is increased after prolonged stress (Liston et al., 2006).
The amygdala also appears to be extremely sensitive to prolonged stress. Exposure to chronic restraint stress triggers an increase in the total dendritic length as well as the number of spines of pyramidal and stellate cells in the BLA (Vyas et al., 2002, 2006). These effects may be stressor specific and/or cell specific since prolonged exposure to unpredictable stress reduces dendritic length of the bipolar cells (Vyas et al., 2002). Like in the CA3 region, stress-induced hypertrophy develops gradually in the amygdala and may be involved in the increased anxiety after (chronic) stress exposure (Mitra and Sapolsky, 2008).

**CHRONIC STRESS AND SYNAPTIC FUNCTION**

Several conspicuous changes in cell and/or network function have been observed after 3 weeks of exposure to high levels of corticosterone or stress. In all hippocampal areas, i.e., CA1, CA3, and dentate gyrus, induction of LTP is greatly impaired after a prolonged period of mild stress or chronic corticosteroid exposure (Bodnoff et al., 1995; Pavlides et al., 2002; Alfarez et al., 2003). This was seen both when corticosteroid levels are low (i.e., under conditions of rest, against a background of chronic stress), and several hours after a brief pulse of corticosterone (Alfarez et al., 2003). This indicates that activity-dependent strengthening of synapses, as is thought to occur in association with learning and memory formation, is greatly impaired after chronic stress under all circumstances.

The changes in synaptic strengthening may have been caused by alterations in glutamatergic transmission, the GABAergic network or calcium influx after chronic stress. In the CA3 area an enhancement of NMDA-receptor dependent transmission was observed (Kole et al., 2002) after prolonged psychosocial stress, while in the dentate gyrus AMPA-receptor dependent synaptic transmission mediated was found to be increased (Karst and Joëls, 2003), though only when chronic mild stress was combined with acute GR activation. In line with the generally raised basal excitability, a very recent report demonstrated reduced functionality of the GABAergic network in the hippocampal CA1 area after chronic restraint stress exposure in rats, presumably by a reduction in the number of parvalbumin-positive interneurons (Hu et al., 2010). The rapid increase in spontaneous GABAergic events seen in control animals after dexamethasone treatment was attenuated. These changes in basal glutamatergic and GABAergic transmission may implicate the potential to strengthen synaptic contacts.

Moreover, the combination of acute and chronic GR activation also enhanced calcium influx into dentate granule cells, compared to acute GR activation in handled controls (Van Gemert and Joëls, 2006). In CA1 pyramidal cells calcium influx was also increased, but this was most clearly seen in tissue from chronically stressed rats which were studied under rest (Karst and Joëls, 2007); after corticosterone application in vitro the amplitude declined (Figure 3). Enhanced calcium influx might hamper NMDA-receptor function and hence contribute to the generally impaired LTP observed after chronic stress.

Chronic stress also affects responsiveness of CA1 pyramidal neurons to serotonin (5-HT). In control animals, brief exposure of CA1 hippocampal cells to corticosterone induces a delayed GR-dependent enhancement of responses (i.e., a bigger hyperpolarization of the resting membrane potential) in the CA1 area via the 5-HT1A receptor (Karst et al., 2000). After 3 weeks of exposure to high levels of corticosterone or to unpredictable stress, 5-HT1A receptor-mediated responses were attenuated (Karten et al., 1999; Van Riel et al., 2003), both under basal corticosterone conditions and several hours after a brief exposure to corticosterone (i.e., when MRs as well as GRs are activated; Van Riel et al., 2003). The attenuated responses to serotonin after corticosterone treatment developed gradually and were associated with a significant reduction in the single cell expression level of MR relative to GR after 1 week of treatment, whereas expression levels of the 5-HT1A receptor itself were unchanged, both after 1 week and 3 weeks of corticosterone treatment (Karten et al., 1999).
CLINICAL RELEVANCE

BEHAVIORAL CONSEQUENCES OF CHRONIC STRESS

How could these cellular changes after chronic stress contribute to increased susceptibility to disease and/or the manifestation of psychopathology? The gradual reduction in 5-HT responsiveness of CA1 hippocampal neurons after chronic stress may contribute to the development of mood disturbances. The morphological changes in the various hippocampal regions have been related to changes in hippocampal function after chronic stress and glucocorticoid exposure (see Conrad, 2006; Tata and Anderson, 2010). Reduced dendritic length is thought to compromise the transfer of signals through the hippocampus and thereby the capacity to learn and memorize information. Reduced neurogenesis could also have a large impact, since young adult-born granule cells contribute significantly to behavioral functions such as contextual fear memory, spatial pattern separation, and spatial learning (Saxe et al., 2006; Zhang et al., 2008; Clélland et al., 2009; Kitamura et al., 2009). Yet, the link between depressive-like behavior and neurogenesis is much disputed (see e.g., DeCarolis and Eisch, 2010). This is corroborated by recent experimental studies showing that development of helplessness (Vollmayr et al., 2003) or anhedonia (Jayatissa et al., 2009) following exposure to inescapable shocks or chronic stress, can occur without impairments in neurogenesis. Conversely, a down-regulation in neurogenesis does not necessarily lead to helpless (Santarelli et al., 2003; Vollmayr et al., 2003) or anhedonic-like behaviors (Jayatissa et al., 2009).

Thus, linking behavioral performance to structural plasticity is not entirely straightforward. The behavioral tasks are also often not very specific for a particular subregion of the hippocampus, which makes it difficult to directly relate cell shape or neurogenesis to behavioral performance. Moreover, learning itself may affect spine morphology and neurogenesis, so that ‘learning effects’ confound effects caused by chronic stress (Leuner et al., 2006; Dupret et al., 2007). It has furthermore been argued that hyperactivity of the HPA-axis at the moment of behavioral testing rather than lasting morphological changes explain differences in memory performance due to chronic stress (Wright et al., 2006). Still, since LTP is greatly hampered in all three hippocampal subareas after chronic stress, deficits in declarative memory are expected to develop along with prolonged stress exposure. In agreement, an overall decline in declarative memory function has been reported in depressed patients (see for example Deuschle et al., 2004).

Clearly, cellular changes after chronic stress – and hence their behavioral consequences – are not restricted to the hippocampus. For instance, the extended dendritic trees of principal cells in the BLA may very well promote anxiety (Roozendaal et al., 2009). In accordance, enhanced anxiety was seen in chronic stress conditions resulting in dendritic changes, whereas conditions that did not affect dendritic structure did not increase anxiety (Vyas and Chattarji, 2004). A second example concerns the structural plasticity seen in the mPFC. Dendritic complexity in the mPFC (but not orbital frontal) was found to correlate with behavioral flexibility (Cerqueira et al., 2005; Dias-Ferreira et al., 2009) and attentional set-shifting performance, i.e., behavioral aspects that depend on mPFC function (Liston et al., 2009). Conversely, reversal learning, which depends on the function of the orbital frontal cortex, was not impaired, which fits with the increased rather than decreased dendritic complexity of pyramidal neurons in the orbitofrontal cortex after chronic stress (Liston et al., 2006).

ANTIDEPRESSANTS AND ANTI-GlUCOCORTICOIDs

A second set of data also supports the idea that chronic stress-induced structural and functional changes in limbic areas bear relevance to psychopathology. This refers to the observation that treatments with antidepressants often reverse the stress-induced effects. For instance, antidepressant drugs can prevent and/or normalize effects of chronic stress on dendritic remodeling, apoptosis, and increased NMDA-transmission in the CA3 area (Magarinos et al., 1999; Kole et al., 2002; Lucassen et al., 2004). The normalizing effect has been most extensively studied with respect to neurogenesis (Czech et al., 2001; Santarelli et al., 2003; Drew and Hen, 2007; Pittenger and Duman, 2008; Lucassen et al., 2010a). The observation that different classes of antidepressant drugs, with distinct mechanisms of action, can all block behavioral effects of stress and restore normal levels of adult hippocampal neurogenesis is complemented by experiments showing that neurogenesis is required for the behavioral effects of antidepressants (Santarelli et al., 2003). Together, they support the possibility that stimulating...

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Conflict of Interest Statement: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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